

# **Multi-TRxPs for Industrial Automation with 5G URLLC Requirements**

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**Abstract**

The Fifth Generation (5G) Ultra Reliable Low Latency Communication (URLLC) is envisioned to be one of the most promising drivers for many of the emerging use cases, including industrial automation. In this study, a factory scenario with mobile robots connected via a 5G network with two indoor cells is analyzed. The aim of this study is to analyze how URLLC requirements can be met with the aid of multi-Transmission Reception Points (TRxPs), for a scenario which is interference limited. By means of simulations, it is shown that availability and reliability can be significantly improved by using multi-TRxPs, especially when the network becomes more loaded. In fact, optimized usage of multi-TRxPs can allow the factory to support a higher capacity while still meeting URLLC requirements. The results indicate that the choice of the number of TRxPs which simultaneously transmit to a UE, and the locations of the TRxPs around the factory, is of high importance. A poor choice could worsen interference and lower reliability. The general conclusion is that it is best to deploy many TRxPs, but have the UE receive data from only one or maximum two at a time. Additionally, the TRxPs should be distributed enough in the factory to be able to properly improve the received signal, but far enough from the TRxPs of the other cell to limit the additional interference caused.

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**Keywords** Industrial Automation, URLLC, Multi-TRxP, Spatial Diversity, 5G, NR

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## Preface

I would like to offer my most sincere gratitude to my professor Riku Jäntti for his valuable feedback and guidance. I would also like to thank my advisor Lauri Kuru who has been an unfaltering source of support throughout this experience. Many thanks to all my colleagues at Nokia who have inspired me, and readily offered their help whenever it was needed. I have been provided with an excellent environment in which I could grow, learn, and conduct my research, and for this I will remain eternally grateful. Additionally I would like to extend my deepest appreciation to all the great teachers who have taught me both in Jordan and in Finland, for performing the world's most important job. Last but not least, I would like to thank my family, whom I owe all of my life's successes to, and my friends everywhere, whom I am very lucky to have.

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Farah Salah

# Contents

<b>Abstract</b>	<b>iii</b>
<b>Preface</b>	<b>iv</b>
<b>Contents</b>	<b>v</b>
<b>Symbols and abbreviations</b>	<b>viii</b>
<b>1 Introduction</b>	<b>1</b>
1.1 Motivation . . . . .	1
1.2 Objectives and Research Questions . . . . .	3
1.3 Thesis Structure . . . . .	4
<b>2 Background</b>	<b>5</b>
2.1 Reliability Definitions in General . . . . .	5
2.2 Reliability Definitions within Communication Systems . . . . .	6
2.3 Reliability, Latency, and Capacity Trade-offs . . . . .	7
2.4 5G Architecture and Assumptions . . . . .	8
2.5 Dual Connected Handover . . . . .	9
2.6 MMIB-based L2S Interface and Link Adaptation . . . . .	12
<b>3 Factory Scenario</b>	<b>15</b>
3.1 Use Case Selection . . . . .	15
3.2 Characteristics . . . . .	15
3.3 Requirements . . . . .	16
3.4 Propagation Environment . . . . .	18

<b>4</b>	<b>Reliability Enhancement with Multi-TRxP Solution</b>	<b>20</b>
4.1	Deployment and Setup . . . . .	22
4.2	Multi-point Transmission/ Reception and Coordination Methods . . . . .	24
4.3	Simple Analytic Proof . . . . .	27
<b>5</b>	<b>Simulation Work</b>	<b>30</b>
5.1	Simulation Assumptions and Main Parameters . . . . .	30
5.2	Defining URLLC KPIs . . . . .	34
5.3	Development Methodology and Modifications to the Simulation Tool . . . . .	38
<b>6</b>	<b>Results and Analysis</b>	<b>40</b>
6.1	Initial Observations . . . . .	40
6.2	TRxP Cluster Size Sweep . . . . .	41
6.2.1	With 50 factory UEs . . . . .	41
6.2.2	With 70 factory UEs . . . . .	43
6.2.3	With Variable Capacity . . . . .	45
6.3	Number of Existing TRxPs per cell Sweep . . . . .	45
6.3.1	With 50 factory UEs . . . . .	46
6.3.2	With 70 factory UEs . . . . .	46
6.4	TRxP Ring Radius Sweep . . . . .	49
6.4.1	With 50 factory UEs . . . . .	49
6.4.2	With 70 factory UEs . . . . .	49
6.5	System Statistics and Confidence Intervals . . . . .	51
<b>7</b>	<b>Conclusion</b>	<b>55</b>
7.1	Summary . . . . .	55
7.2	Evaluation and Limitations . . . . .	56

7.3 Future Work . . . . . 58

**References** . . . . . **59**

## Symbols and abbreviations

### Symbols

$T_c$	Coherence Time
$f_m$	Maximum Doppler Spread
$f_c$	Central Frequency
$v$	Velocity
$c$	Speed of Light in a Vacuum
$d$	Distance
$d_0$	Reference Distance
$n$	Path Loss Exponent
$P_s$	Desired Signal Power
$P_i$	Interference Power
$N$	Noise Power
$D$	Downtime
$U$	Uptime
$T$	Total Time Interval
$N_U$	Number of Uptime Events
$D_U$	Number of Downtime Events

### Abbreviations

3GPP	Third Generation Partnership Project
4G	Fourth Generation Mobile Network
5G	Fifth Generation Mobile Network
AS	Active set
AVG	Automated Guided Vehicles
BLEP	Block Error Probability
BLER	Block Error Rate
CBR	Constant Bit Rate
CDF	Cumulative Distribution Function
C-JT	Coherent Joint Transmission
CL	Confidence Limit
C-MTC	Mission-Critical MTC
CN	Core Network
C-plane	Control Plane
CQI	Channel Quality Indicator
C-RAN	Cloud-RAN
CSI	Channel State Information
CSI- RS	Channel State Information Reference Signal
CU	Central Unit
CW	Code Word



D2D	Device to Device Communication
DCHO	Dual Connected Handover
DD	Data Duplication
DL	Downlink
DM-RS	Demodulation Reference Signal
DPS	Dynamic Point Selection
DU	Distributed Unit
E2E	End-to-End
FLS	Fast Link Switching
gNB	Next Generation NodeB
HO	Handover
JT	Joint Transmission
KPI	Key Performance Indicator
L2S	Link to System
L1	Layer 1
L3	Layer 3
LA	Link Adaptation
LOS	Line-of-Sight
LSF	Large-Scale Fading
LTE	Long Term Evolution
LUT	Look-up Table
MAC	Media Access Control
MCBF	Mean Count Between Failures
MCS	Modulation and Coding Scheme
MI	Mutual Information
MIMO	Massive Input Massive Output
MIT	Mobility Interruption Time
MMIB	Mean Mutual Information per Bit
M-MTC	Massive MTC
MTBF	Mean Time Between Failures
MTC	Machine Type Communication
MTTR	Mean Time to Recover
NC-JT	Non-Coherent Joint Transmission
NR	New Radio
NR-PDSCH	New Radio-Physical Downlink Shared Channel
OBS	Obstructed Line-of-Sight
PCI	Physical Layer Cell Identity
PDCP	Packet Data Convergence Protocol
PER	Packet Error Probability
PHY	Physical Layer
PL	Path Loss
PRB	Physical Resource Block
QoS	Quality of Service
RAN	Radio Access Network
RBIR	Received Bit Information Rate

RLC	Radio Link Control
RLF	Radio Link Failure
RRC	Radio Resource Control
RRM	Radio Resource Management
RS	Reference Signal
RSRP	Reference Signal Received Power
Rx	Receiver Antenna
SDAP	Service Data Adaptation Protocol
SDU	Service Data Unit
SE	Spectral Efficiency
SI	Symbol Information
SINR	Signal to Interference and Noise Ratio
SNR	Signal to Noise Ratio
SRB	Signaling Radio Bearer
SRS	Sounding Reference Signal
TRxP	Transmission Reception Point
TTI	Transmission Time Interval
TTT	Time to Trigger
Tx	Transmitter antenna
UE	User Equipment
UL	Uplink
UPF	User Plane Function
U-plane	User Plane
URLLC	Ultra-Reliable Low-Latency Communications

# 1 Introduction

The promises of what the Fifth Generation Mobile Network (5G) will enable has sparked innovation and created a vision of a new 5G-era with seemingly endless possibilities. It has caused the emergence of new paradigms of thought, new ways to conduct business, new technological solutions, services and products, and is expected to transform the world as we know it. With the advent of some of those new technologies and use cases which deviate from the traditional human-centric, delay tolerant applications, the need for Ultra-Reliable Low-Latency Communications (URLLC) in the 5G wireless network has become incontrovertible. Within URLLC, mobility is a major concern as it increases systems' vulnerability to interference, shadowing, and other hindrances which lower the signal quality and cause unreliable communication. This thesis studies how stringent URLLC requirements can be met even when users are mobile, by using multiple Transmission Reception Points (multi-TRxP). In this chapter, the motivation towards adopting 5G networks with URLLC for industrial automation scenarios is given, followed by the objectives and research questions of this thesis work, and finally a brief outline of the thesis.

## 1.1 Motivation

One of the major differentiators of 5G from any of the previous generations of mobile wireless systems is that it is natively addressing the needs of Machine-Type Communications (MTC). The Third Generation Partnership Project (3GPP) classifies MTC into two categories; Massive MTC (M-MTC), and Mission-Critical MTC (C-MTC) [1]. M-MTC consists of a large number of low-cost, low-energy devices such as sensors and actuators, which communicate with low data volumes, and require networks with high coverage and energy efficiency. On the other hand, C-MTC involves scenarios that require ultra reliability, with very low latency and very high availability. URLLC is one of the most promising innovation-driving features of 5G, as it enables such C-MTC applications as industrial automation, smart grid, remote surgery, self-driving cars and Vehicle to Vehicle (V2V) as well as Vehicle to Infrastructure (V2I) communication. A summary of MTC use cases is shown in Figure 1.

In this work, industrial automation is taken as an example target use case for possibly very strict URLLC requirements. Automation within factories is continuously increasing, as it increases productivity and operational efficiency, and reduces costs and manufacturing errors. Automation helps industries to efficiently monitor, manage, and control their processes. In fact, URLLC has been said to be "one of the enabling technologies in the fourth industrial revolution" [2].

Traditionally, wired networks have been used because the existing wireless technologies were not capable of satisfying the required latency and reliability requirements.

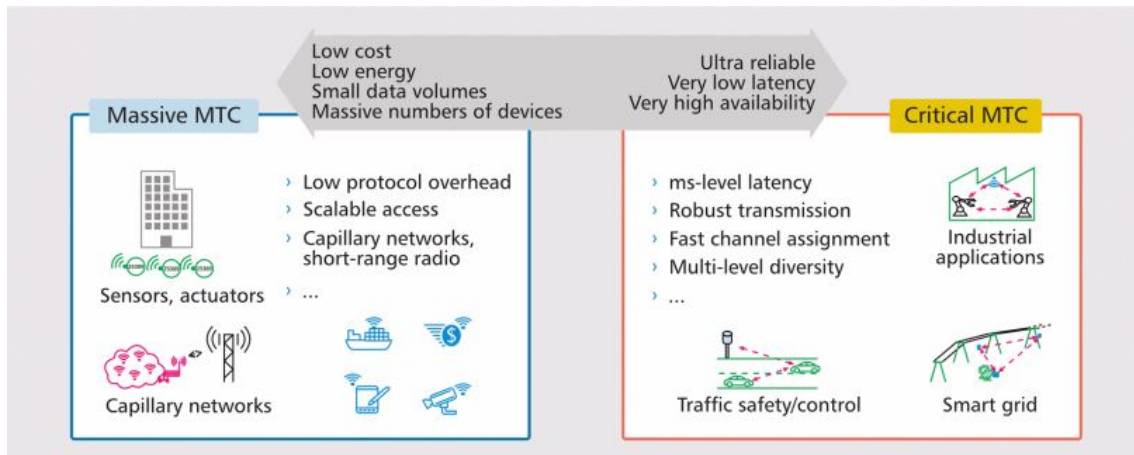


Figure 1: Machine Type Communication Use Cases for 5G.[1]

However, wired communication also comes with a wide range of problems, including wear and tear of the wires reducing long-term reliability, high costs of manufacturing, installing, and maintaining, as well as inherent inflexibility of deployment, when compared to the wireless alternative. The advantages of wireless communication for industrial automation applications are numerous. To begin with, production line configuration is flexible and modular, there can be a rapid realization of different production environments, and the communication between devices is flexible. In addition, mobility is easy since slip rings, cable carriers, etc., can be avoided for machines, robots or sensors. Installation and maintenance costs are also lower, due to the absence of cable damages from moving machine parts, no protection and housing needs, faster installation, and no interruptions by the personnel.

Therefore, wireless communication for industrial automation has been on a steep rise, and is expected to continue growing extensively. In fact, a research report by Persistence Market Research predicts that the revenue from global markets for industrial wireless sensor networks will reach approximately US\$ 7,000 Million by the end of 2026, due to increased demand from the growing implementation of automation technologies [3].

However, there are many challenges that arise from using wireless communication, due to the nature of electromagnetic wave propagation. Reflection, scattering, and diffraction which electromagnetic waves experience result in constructive or destructive interference of different signal copies arriving at the receiver, causing a high fluctuation of the quality of wireless transmission channels. These problems become even more prominent in industrial propagation environments which tend to have many metallic surfaces and moving objects. This is one of the reasons why the currently available wireless access technologies limit the possibility of using wireless communication for automatic industries with high reliability constraints. For instance, connecting factory User Equipment (UE) via WiFi or any other technology which operates in the unlicensed band leads to many restrictions due to concerns

such as security, privacy, and potentially very high interference. The current fourth-generation (4G) wireless cellular network, also known as Long Term Evolution (LTE), is also not suitable, as it is unable to satisfy strict latency or reliability requirements. Simulation results in [4] show that LTE can indeed support factory scenarios but only when relaxed reliability and delay requirements are targeted, however with stringent requirements a new 5G radio-interface is needed. The nominal latency of LTE is around 50 ms, and might even reach several seconds [5]. LTE is also designed to serve mostly mobile broadband traffic, which does not have very high reliability. In fact its target Block Error Rate (BLER) is approximately  $10^{-1}$  before re-transmission. Meanwhile, Table 1 shows that factory reliability requirements can lead to BLER target of  $10^{-9}$  and latency of as low as 1ms. This table is a result of a survey which gathered first-hand information from notable industry players [6]. Therefore, there is a vital need for factories of the future to be able to operate their devices over the 5G network, and potentially acquire their own frequency bands, benefiting from the general advantages of a licensed band, in addition to the improved reliability, latency, and flexibility of 5G. For this reason, this work focuses on how industrial automation scenarios using 5G networks can meet stringent URLLC requirements.

Table 1: Machine Type Communication Use Cases for 5G.[6]

<b>E2E Latency</b>	<b>Reliability</b>	<b>Data Size</b>	<b>Com. Range Between Devices</b>	<b>No. of Devices per Factory Hall</b>	<b>Machine Mobility (Indoors)</b>
<b>Summarized Results</b>					
1 to 50 ms	$1 - 10^{-6}$ to $1 - 10^{-9}$	10 to 300 bytes	2 to 100 m	10 to 1000	0 to 10 m/s
<b>Application Scenario: Manufacturing Processes</b>					
< 10 ms	$1 - 10^{-9}$	< 50 bytes	< 100 m	< 1000	1 m/s
<b>Application Scenario: Automated Guided Vehicles</b>					
10 to 50 ms	$1 - 10^{-6}$ to $1 - 10^{-9}$	< 300 bytes	2 m	< 1000	< 10 m/s

## 1.2 Objectives and Research Questions

This work studies how stringent URLLC requirements can be met in industrial automation scenarios by using multi-TRxPs, which provide spatial diversity gains. The work includes defining the most relevant and representative reliability Key

Performance Indicators (KPIs) for communication networks, quantifying those KPIs, and using them to study reliability by means of simulation. A Nokia internal system level simulation tool is used, and support for the multi-TRxP solution is added to the code. Factory automation with mobile robots as UEs is selected as a relevant use case, but results can be extended to a wide range of applications. Dual Connected Handover (DCHO) and Mean Mutual Information per Bit (MMIB)-based Link Adaptation (LA) are incorporated in the baseline, and the study focuses on how reliability can be improved further, while also considering capacity issues.

The primary research question is therefore, **"How can the Multi-TRxP solution be optimally utilized for reliability and availability enhancement in an industrial automation scenario with URLLC requirements?"**. The performance is analyzed under three main themes, namely, the effect of the number of TRxPs in a UE cluster, the number of TRxPs existing per cell, and the placement of TRxPs around the factory, which are explained in more details in further sections. These themes are studied for factories with two different capacity conditions; a low-medium loaded factory with 50 UEs, and a slightly overloaded factory with 70 UEs. This gives insight into the ability of the solution to address capacity concerns.

### 1.3 Thesis Structure

The thesis is organized as follows. The background given in Section 2 starts by providing reliability definitions in the context of reliability engineering in general, and then within communication systems specifically. This is followed by some background on the trade-off between reliability and latency on one hand, and capacity on the other. Then, a brief description is given of some aspects of 5G architecture and assumptions that are most relevant for this work. The background section concludes by discussing the dual connected handover and MMIB-based link to system interface and link adaptation, which are to be used in the study. Then, Section 3 provides a description of the factory automation scenario which is to be simulated and analyzed. A justification of the selected use case is given, in addition to the specific set of characteristics, requirements, and propagation environment that the use case pertains. Next, Section 4 introduces the multi-TRxP solution which the thesis studies as a potential method for achieving URLLC requirements within the industrial automation scenario. Here, the possible options for network deployment and setup, as well as the multi-point transmission methods are discussed, and the selected choices are justified. A small analytic proof of how multi-TRxPs can actually improve reliability in a simplified scenario is also given. From here, the thesis transitions into Section 5, which discusses the simulation work. It includes the assumptions and main parameters, and the URLLC KPIs that will be used to analyze the simulation results. In addition, a brief description of the modifications that were done to the simulation tool is given. Finally, the results are presented and analyzed in Section 6, and the work is concluded in Section 7, which includes an evaluation of the limitations of the study and suggestions for future work.

## 2 Background

### 2.1 Reliability Definitions in General

Before attempting to analyze and improve reliability, it is vital that the respective definitions of key terms are properly understood and distinguished. Three of the most commonly used terms in reliability engineering are reliability, availability, and maintainability. Those terms are central elements of many application areas, from manufacturing, transport, and process industries, to nuclear and space industries. Their general definitions are known, but they can have very different applicable meanings depending on the application, which is why some ambiguity exists in much of the literature. For this reason, the general definitions of those terms within reliability engineering are given in this section based on the glossary of the American Society for Quality (ASQ) [7], followed in the next section by their specific and applied definitions within communication systems.

- **Reliability:** *"The probability of a product performing its intended function under stated conditions without failure for a given period of time" [7].* Reliability then denotes the probability of a failure occurring over a specified time interval. It gives an indication of how long correct operation continues. Within a certain application area, a precise definition of reliability would need to include a comprehensive description of what the environment is, what the time period is, and how failures are defined, which could prove to be quite challenging.
- **Availability:** *"The ability of a product to be in a state to perform its designated function under stated conditions at a given time" [7].* It represents the probability that a system is operational at a given point in time. Availability consists of both reliability and maintainability.
- **Maintainability:** *"The probability that a given maintenance action for an item under given usage conditions can be performed within a stated time interval when the maintenance is performed under stated conditions using stated procedures and resources." [7].* Maintainability indicates how the system can be restored after a failure.

Figure 2 shows the relationship between those terms. From the figure, two new acronyms appear; MTBF and MTTR. Those are respectively the Mean Time Between Failures and Mean Time To Recover, and are commonly used to quantify reliability and maintainability. MTBF excludes downtime, while MTTR represents the mean downtime. Clearly, an unreliable system can have high availability if it fixes itself instantly.

The following is a numerical example. If a certain system has an availability of 99,999% (commonly referred to as 5 nines), then its unavailability is 0,001%, or 5



Figure 2: Availability, Reliability and Maintainability.

minutes on average per year. If failures occur in the system four times a year on average, then reliability, quantified as the MTBF, would be three months.

## 2.2 Reliability Definitions within Communication Systems

In this section, network reliability, availability, and maintainability are defined within the context of communication systems. Precise and applicable definitions are needed in order to use them during this study and consequent simulation analysis.

- Communication Service Reliability:** TR 22.804 [8] defines reliability according to its definition in IEC 61907 [9], which closely agrees with the above given general definition of reliability. It states that reliability is *"the ability of the communication service to perform as required for a given time interval, under given conditions"*. Those given conditions would "include aspects that affect reliability, such as: mode of operation, stress levels, and environmental conditions" [9]. Appropriate measures of quantifying reliability, according to TR 22.804, include "mean time to failure, or the probability of no failure within a specified period of time" [8]. This definition focuses on the end-to-end experience of functions consuming the network's communication capabilities, instead of the inner workings of the network. One or more re-transmissions of network layer packets may take place in order to satisfy the reliability requirement.
- Communication Service Availability:** TR 22.804 defines communication service availability as the *"percentage value of the amount of time the end-to-end communication service is delivered according to an agreed Quality of Service (QoS), divided by the amount of time the system is expected to deliver the end-to-end service according to the specification in a specific area"* [8]. Simply put, it is the percentage of time during which a system operates correctly. The end point in the definition's mention of "end-to-end" is assumed to be the communication service interface. Note that a communication service which does not meet the relevant QoS requirements is considered to be unavailable. For example, a system can be considered unavailable even if the expected message is correctly delivered, but not within the required time. This specified time interval cannot be shorter than the sum of the end-to-end latency, the jitter, and



the survival time. The survival time is *"the time that an application consuming a communication service may continue without an anticipated message."* [8].

- **Communication Service Maintainability:** this can be quantified by such indicators as the mean time to restoration, or the probability of restoration within a specified period of time [9].

## 2.3 Reliability, Latency, and Capacity Trade-offs

Redundancy methods have long been used in several application fields to enhance reliability. Within communication systems, redundant links that transmit the same information over different paths can drastically enhance the probability of successful delivery, especially when the paths are in different locations, granting more spatial diversity. Many propagation problems are location-specific, which means that the more TRxPs that are deployed and sending the same information to a UE, the more likely that at least one of them does so successfully. However, this does not come without a cost. The main problem with any redundancy method is waste. If a certain number of connected TRxPs is enough to ensure the desired level of reliability to the UE, any additional connection is wasteful, and would better be utilized to transmit new data. This creates capacity limitations for user traffic. Moreover, additional TRxPs are also creating more interference to other cells, and the greater the load on the cell, the more the interference it causes is. This limits capacity as well.

Capacity is an important aspect of this study due to its importance in industrial automation. The available spectrum can be rather limited, especially for the private networks which are envisioned for many of the URLLC scenarios, such as the factory automation scenario. Spectral scarcity is an even bigger concern for networks operating in frequency ranges below 6 GHz. The following is an example to clarify this, which is based on traffic assumptions taken from TR 22.804 [8]. Consider a packaging machine with 50 sensors, message size of 40 Bytes, and 1 ms cycle time. This translates to  $40 * 8 * 50 * 1000 = 16$  Mbits/s + overheads, which is approximately 20 Mbits/s/machine at L1. Due to the strict reliability and latency requirements, Spectral Efficiency (SE) can be rather low. If 1bps/Hz is assumed, which can still be considered quite optimistic, this translates to approximately 20MHz per machine on average. In conclusion, even one machine is capable of approaching the capacity limits of a single 20 MHz carrier. If the machine happens to be at cell edge, the situation could be even worse.

Capacity can be quite limited due to interference concerns. Additional UEs and/or higher user traffic increases interference, and therefore is likely to be limited in order to achieve a certain reliability target. In addition, increasing the number of TRxPs connected to each UE also increases the interference to other cells. This is specific to the scenario's own deployment and setup, which is properly detailed in Section 4.1. Capacity and Spectral Efficiency (SE) can be quite limited and a

trade-off between SE and reliability requirement is expected, subject to interference conditions. TR 22.804 specifies a number of use cases with varying requirements, and the selected use case for this work assumes a maximum limitation of 100 mobile robots. Section 3.3 further discusses the use case requirements, and the associated limitations caused by the available technology.

In order to allow for more capacity, the number of TRxP connections cannot be indefinitely increased, and an optimal number of TRxP connections that suffices for a certain level of reliability target likely exists. In addition, capacity can be increased by using proper link adaptation, which selects an appropriate Modulation and Coding Scheme (MCS), that is low enough to improve the Signal to Interference and Noise Ratio (SINR) to meet the reliability Block Error Probability (BLEP) target, without reserving too many excess resources for a single transmission. This is further discussed in section 2.6. If a fixed, low, MCS is used, it will cause plenty of unnecessary interference and the resulting capacity will not be realistic.

When evaluating capacity, the specific variables that affect it for the particular factory scenario must be taken into account. Those variables include the application requirements, such as the target reliability and delay, as well as the traffic. The traffic characteristics include the message length and interval, traffic density, and distribution.

## 2.4 5G Architecture and Assumptions

The 5G New Radio (NR) interface provides for the growing needs for mobile connectivity. Two fundamental technological enablers include softwarization, such as virtualisation of network functions, as well as software defined, programmable network functions and infrastructure resources. The Next Generation NodeB (gNB) functions are split between a Central Unit (CU) and a Distributed Unit (DU). The CU controls the operation of DUs over front-haul (Fs) interface. The DU is a logical node which includes a subset of the gNB functions, depending on the functional split option. In 5G release 15, TS38.401 defines the CU and DU according to the chosen functional split as follows:

- CU: a logical node hosting the Radio Resource Control (RRC) protocol, Service Data Adaptation Protocol (SDAP) and Packet Data Convergence Protocol (PDCP) of the gNBs. The CU terminates the F1 interface connected with the DU. [10]
- DU: a logical node hosting Radio Link Control (RLC), Media Access Control (MAC) and Physical (PHY) layers of the gNB, and its operation is partly controlled by the CU. The DU terminates the F1 interface connected with the CU. A gNB may consist of a gNB-CU and one or more gNB-DU(s). One DU

can support one or multiple cells, but one cell can be supported by only one DU. A gNB-CU and a gNB-DU is connected via F1 interface. [10]

Figure 3 shows one possible architecture option for gNBs. The figure shows the User Plane Function (UPF) as part of the 5G Core Network (CN), with two gNBs connected, each with a CU and DU, and each DU with multiple TRxPS. The User Plane interfaces shown in the figure are the New Generation User Plane interface (NG-U), which is between NG-RAN and 5G CN, the F1 User Plane interface (F1-U), which is between gNB-CU and gNB-DU, and the Xn User Plane interface (Xn-U), which exists between gNBs. Because the TRxPs within one cell in this option are controlled by the same DU, they share common scheduling and Layer 1 (L1) signals. This option is sufficient for the purpose of this work, and therefore the entire system architecture is omitted from the scope of this thesis.

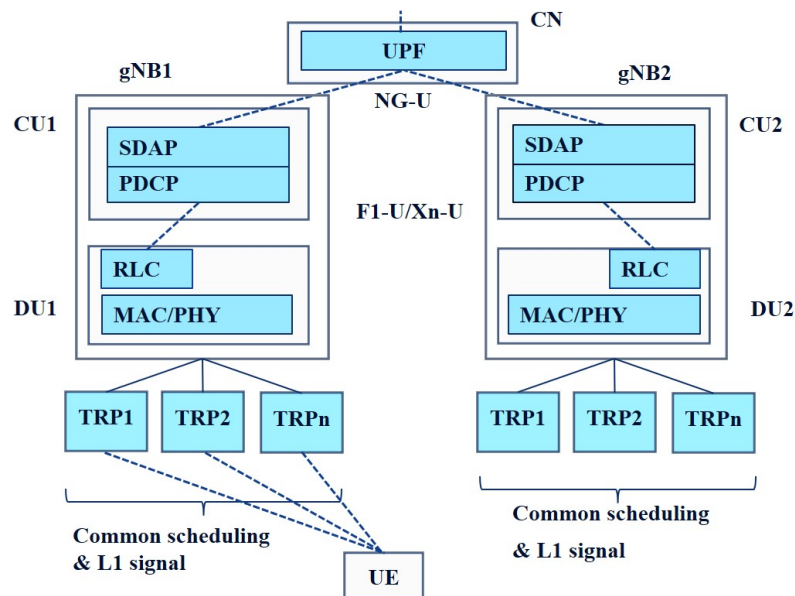


Figure 3: 5G NR Protocol Stacks with Two Cells and Multiple TRxPs.

## 2.5 Dual Connected Handover

TR 38.913 defines Mobility Interruption Time (MIT) as "the shortest time duration supported by the system during which a user terminal cannot exchange user plane packets with any base station during transitions" [11]. The technical report also states that the target for mobility interruption time should be 0 ms for NR. Current LTE systems' intra-frequency handovers suffer from failure risks which can cause severe user plane interruptions. Interruptions can be caused by both successful and failed handovers. Field measurements in [12] show that 4G handovers each have a median interruption time of 50 ms, and some handovers can lead to interruption

times of 80 to 100 ms. This makes mobility a big concern for URLLC. Under URLLC requirements, failures cannot be tolerated, and successful handovers need to be completed without introducing any interruptions. From the reliability's point of view purely, the legacy HO may not be completely out of question, but this essentially depends on the network loading and interference. However, from a latency point of view, the legacy handover is not capable of satisfying the strictest requirements. Some improvements have been specified in LTE to reduce the delay down to the order of approximately 10 ms, but 1 ms cannot be achieved with only one RX/TX chain. For example, Rel.14 make-before-break and synchronous Random Access Channel (RACH)-less HO have been claimed to improve interruption time down to approximately 5 ms in good conditions [13][14]. RACH-less HO allows to save the RACH procedure, whereas make-before-break keeps the UE connected to the source cell until it fully accesses the target cell. It is important to further note that this is for successful handovers, and failed ones lead to significantly longer interruptions. For example, Radio Link Failure (RLF) timers are in the order of several hundreds of milliseconds.

Consequently, URLLC requirements raise the need for a handover that causes 0 ms interruption. The interruption time will always be non-zero if the UE can only connect to one cell at a time, since before connecting to a target cell it will have to detach from the source cell. Therefore, 3GPP has started discussions of what shall be referred to as a Dual Connected Handover (DCHO) hereinafter. With DCHO, the UE will be able to connect simultaneously to both the source as well as the target cell during a handover, leading to zero interruption time. For this type of dual connectivity, both U-plane and C-plane will be anchored in the master gNB (MgNB), and data bearers are split in the master's Packet Data Convergence Protocol (PDCP) layer, so that there is an Xn interface after the PDCP layers of both the MgNB and the Secondary gNB (SgNB). Note that instead of dual connectivity, multi connectivity could be considered. However, the gains of having more than 2 connected cells for the handover are yet to be shown, and there is a possibility of the additional interference from those additional links even reducing reliability. For this reason, combined with the fact that legacy handovers are incapable of meeting URLLC's 0 ms interruption handover targets, DCHO is taken as the baseline for this thesis. However, DCHO is not the main focus of this work, and therefore will not be thoroughly discussed. Further details can be found in [14]. A small illustration of the protocol stack for dual connectivity, and for the basic concept of the DCHO can be seen in Figures 4 and 5 respectively. Those figures assume simple gNB architecture, but details of the architecture with TRxP support are given in Section 4.

As seen from the figures, the C-plane operation occurs with Signaling Radio Bearer (SRB) duplication, meaning that control plane messages are sent through both cells. This is done so that the whole connection is not dependent on a single gNB. Two options could be used for the U-plane, namely, Fast Link Switching (FLS) or Data Duplication (DD). Because of the mutual interference that exists between the source and target cells, aggregating capacity from both cells by using

them to send different data simultaneously is not an attractive option. Instead, FLS can be used to dynamically select the best cell for data transmission, which can be done quickly and aggressively since an incorrect decision would not have a drastic negative effect. Alternatively, DD can be used, where the configured gNBs are used for duplicating the data packets, granting further spatial diversity gains, but causing more interference. With DD, the UE data is available in both cells, but chosen from the higher SINR link, ie. combined probability is not used.

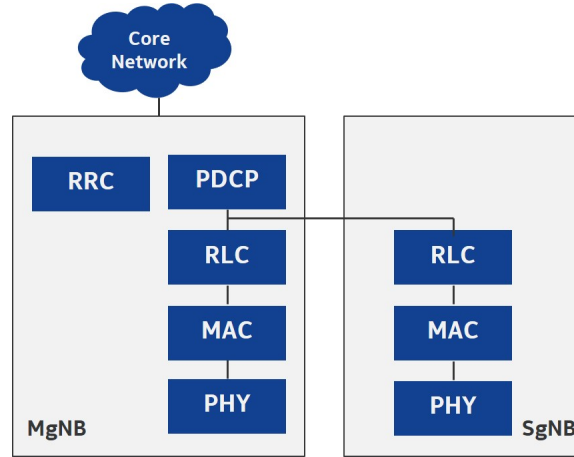


Figure 4: Protocol Stack of Dual Connectivity [14]

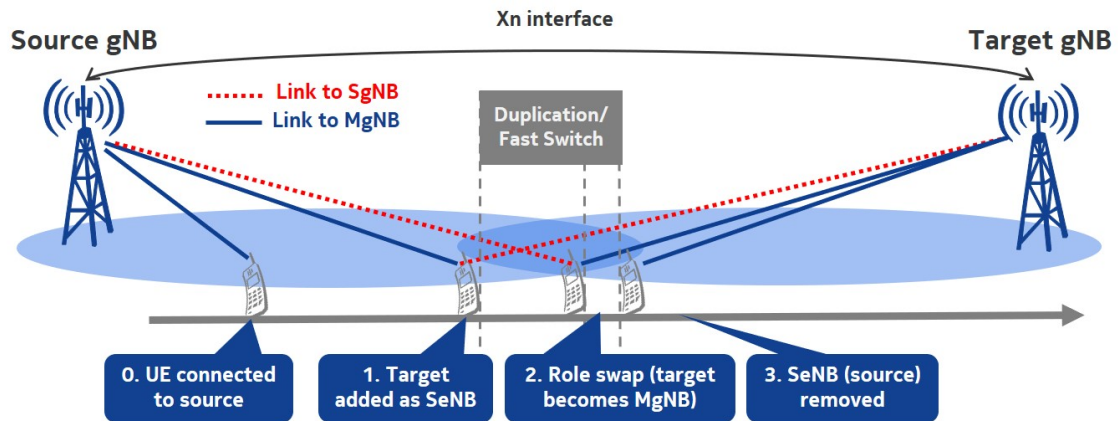


Figure 5: Dual Connected Handover [14]

Simulation results in [14] show that RLFs could be entirely removed using DCHO under certain assumptions. Outages are also significantly reduced, but are still above the ultra-reliable level. Data duplication could potentially decrease outages more than fast link switching due to higher diversity gains. However, this occurs only under certain circumstances, since in some situations the additional interference caused by data duplication can in fact make matters worse and even start causing RLFs.

The main residual problem for the legacy handover is the failed HO command.

This is a well known result from earlier 3GPP studies. However the main risk with DCHO seems to be the late addition of PSCell. Note that the PSCell cannot be added arbitrarily early, as the PSCell needs to be a working link. If the PSCell is added too early, there will be a secondary link RLF. Much effort could go into optimizing the DCHO, but for simplicity and because it is not the main focus of this thesis, some default values that showed good performance in other internal simulations are re-used.

## 2.6 MMIB-based L2S Interface and Link Adaptation

Link to System (L2S) Interface with BLEP information can be used to keep track of User Plane (U-Plane) and/or Control Plane (C-Plane) error rate performance in URLLC context. The link quality model which is based on Mutual-Information (MI) proposed by [15] is a simple, easy to apply and accurate method for obtaining BLEP information. The model is shown in Figure 6, which shows the separate modulation and coding models that exist. The modulation model works on each symbol and maps the received Signal to Noise Ratio (SNR) to the mutual information, obtaining Symbol Information (SI) as output. Then, the coding model gets for every coding block a decoding performance which it maps from either the sum or average of the mutual information, ie. it performs quality mapping between the BLEP and the Received Bit Information Rate (RBIR), which is the normalized mutual information per coded bit.

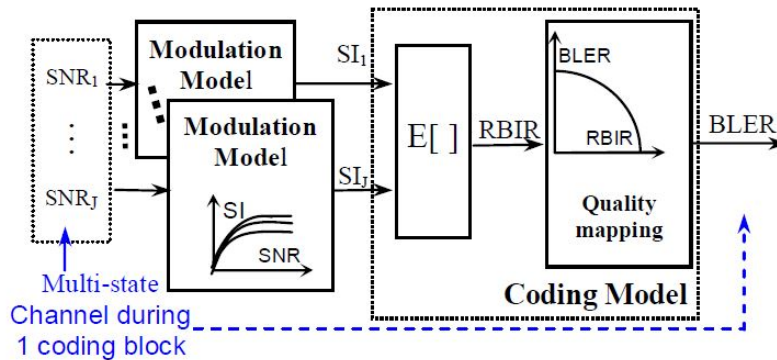


Figure 6: MI-based quality model structure [15]

The inputs to MMIB-based L2S interface are the SINR per resource element, modulation, code rate, and codeword size, and the output is BLEP. It is possible to generate frequency-dependent fast fading and then apply MMIB, but explicitly generating wideband fast fading response is quite heavy. A simpler approach is to use fast fading CDF only.

The MMIB based L2S interface is implemented in a way that suits the abstraction level of the simulation tool, and is applied in two steps as follows:

- Step 1: Offline calculation of the BLEP-performance for each MCS
- Step 2: Generation of SINR-BLEP LUT for runtime usage. During runtime, BLEP can be obtained with a single LUT check as a function of the current SINR, after specifying the target BLER.

The offline calculation is done considering all 16 different MCS combinations according to Table 5.2.2.1-4 of 3GPP TS 38.214 [16], shown in Table 2. The table gives the modulation, code rate, and efficiency associated with each Channel Quality Indicator (CQI) index.

Table 2: 4-bit CQI Table (5.2.2.1-4 of 3GPP TS 38.214) [16]

CQI index	Modulation	Code rate x 1024	Efficiency
0		Out of range	
1	QPSK	30	0.0586
2	QPSK	50	0.0977
3	QPSK	78	0.1523
4	QPSK	120	0.2344
5	QPSK	193	0.3770
6	QPSK	308	0.6016
7	QPSK	449	0.8770
8	QPSK	602	1.1758
9	16QAM	378	1.4766
10	16QAM	490	1.9141
11	16QAM	616	2.4063
12	64QAM	466	2.7305
13	64QAM	567	3.3223
14	64QAM	666	3.9023
15	64QAM	772	4.5234

Link adaptation chooses an MCS that meets the target BLER, but uses the most spectral-efficient option. This is achieved by changing the mapping direction of the L2S-interface, ie. given the SINR distribution and target BLER, a suitable MCS is chosen. One of the results of the offline calculation is shown in Figure 7. Notice that this particular set of curves is for a single target BLER and a single codeblock size. The highlighted parts of the curves are the chosen combination of modulation order and code rate. Notice that as the SINR gets lower, a different curve becomes necessary in order to meet the BLER target. Therefore, a lower modulation order and code rate are selected. This means that the target is almost always going to be met as long as there are enough remaining resources. The lower the MCS, the more Physical Resource Blocks (PRBs) that are assigned to each UE, which effectively limits the achievable capacity. For this reason, the results will focus on what the achievable capacity can be given a certain target BLER.

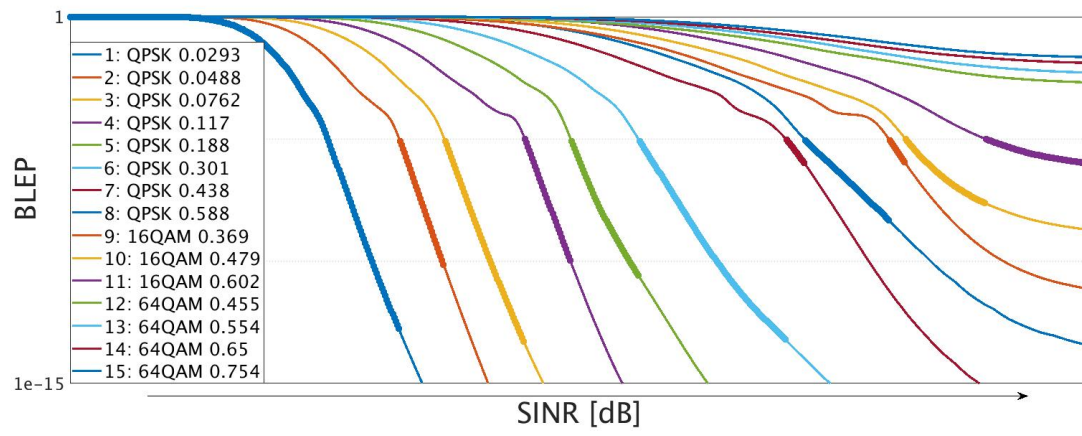


Figure 7: Mean BLEP per MCS



## 3 Factory Scenario

### 3.1 Use Case Selection

Before starting with the simulation work, a decision had to be made regarding which industrial automation use case would be most relevant for URLLC studies, to select as the simulated scenario. This is important for simulation purposes, but also because the system characteristics and requirements for industrial automation are quite use-case specific. Application areas are numerous, and include augmented reality, motion control, mobile robots, massive wireless sensor networks, and remote access and maintenance.

After a thorough analysis of the different options, mobile robots in a factory scenario was chosen to be the use case for this URLLC study. This is a fairly representative use case considering the capacity needs and latency requirements. Mobile robots have numerous applications in industrial and intra-logistics environments and will have a big role in the Factory of the Future. A mobile robot essentially is a programmable machine able to execute multiple operations, following programmed paths to fulfill a large variety of tasks [8]. Tasks can for example be assistance in work steps or transport of goods and materials. Mobile robots are monitored and controlled from a guidance control system. They can be track-guided by the infrastructure with markers or wires in the floor or guided by their own surround sensors, such as cameras or laser scanners. One popular application of mobile robots is Automated Guided Vehicles (AGVs). AGVs are automatically steered, driver-less vehicles used to move materials efficiently in a restricted facility, such as automated forklifts, towing vehicles, and load transporters. An interesting example is the Amazon warehouses which uses robots developed by Kiva systems, now purchased by Amazon. Those robots use sophisticated route planning to move items, for example when a customer orders them. As of September 2018, Amazon deployed over 100,000 of such robots [17]. Another example is Ocado, which is an online-only supermarket with a fully automated warehouse, which uses modified LTE stack to coordinate the action of the robots. Mobile robots in industrial automation is on a steep rise worldwide. According to a report published by Allied Market Research, the value of the global warehouse robotics market was \$2.47 billion in 2017, and is forecasted to reach \$4.97 billion by the end of 2023, with a compound annual growth rate of 12.09% [18].

### 3.2 Characteristics

Mobile robots can either be restricted to indoor movement, outdoor movement, or a combination of both. This study will focus on the indoor only scenario. Figure 8 shows the communication stream for mobile robots, with AGVs as an example. Two types of Device to Device Communication (D2D) exists here: D2D between mobile

robots and D2D between a mobile robot and a peripheral device. Between mobile robots, real-time control data is communicated to allow a collision-free operation of autonomous mobile robots and synchronized actions between multiple mobile robots. As for communication between a mobile robot and a peripheral device, it can be for example mobile robots which are able to open and close doors or gates. For this purpose, the mobile robots transmit the control data to the door or gate control. Furthermore, mobile robots can be working together with fixed installations like cranes or manufacturing machines. To this end, the robots exchange real-time control data with cranes or manufacturing machines. In the figure, DL refers to Downlink Traffic and UL refers to Uplink Traffic.

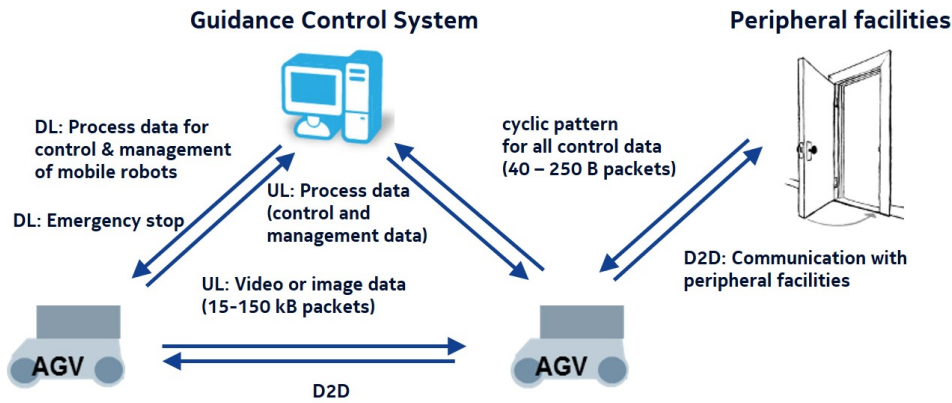


Figure 8: Amazon Warehouse Robots [8]

### 3.3 Requirements

The mobile robots use case demands very high requirements on latency, communication service availability, and determinism. This application can involve simultaneous transmission of non-real time data, real-time streaming data (video) and highly-critical, real-time control data. The latter involves very high requirements in terms of latency and communication service availability over the same link and to the same mobile robot. Good 5G coverage in indoor (from basement to roof), outdoor (plant/factory wide) and indoor/ outdoor environment is needed due to mobility of the robots. In addition, seamless mobility support for up to 50 km/h is required, such that there is no impairment of the application when the robot moves within a factory or plant. Some requirements for the "Factories of the Future" from TR 22.804 [8] are summarized in Table 3.

The network would have to meet a set of characteristics and requirements which are specified by 3GPP TR 22.804 "Study on Communication for Automation in Vertical Domains" [8]. Those include:

- *Reliability*; as defined in Section 2.2 above.

Table 3: Factories of the Future Requirements from TR22.804 [8]

Index	Requirements
7.1	<p>The 5G system shall support a cyclic data communication service, characterized by at least the following parameters:</p> <p>cycle time of:</p> <p>1 ms for precise cooperative robotic motion control</p> <p>1–10 ms for machine control</p> <p>10–50 ms for cooperative driving</p> <p>10–100 ms for video operated remote control</p> <p>40 ms to 500 ms for standard mobile robot operation and traffic management</p> <p>Jitter: &lt; 50% of cycle time</p> <p>Communication service availability: &gt; 99,9999%</p> <p>Max. number of mobile robots: 100</p>
7.2	<p>For certain applications, the 5G system shall support real-time streaming data transmission (video data) from each mobile robot to the guidance control system by at least the following parameter:</p> <p>Data transmission rate per mobile robot: &gt; 10 Mb/s</p> <p>Number of mobile robots: 100</p>
7.3	<p>The 5G system shall support seamless mobility such that there is no impairment of the application in case of movements of a mobile robot within a factory or plant.</p>
7.4	<p>The 5G system shall support user equipment ground speeds of up to 50 km/h</p>
7.5	<p>The 5G system shall support uniform and unequivocal parameters for interfaces to allow dependability monitoring (see Section 4.3.4).</p>
7.6	<p>Communication complying with the above requirements shall be available over a service area of 1 km<sup>2</sup> and less.</p>

- *Availability*; as defined in Section 2.2 above.
- *Latency*; "the time that takes to transfer a given piece of information from a source to a destination, measured at the communication interface, from the moment it is transmitted by the source to the moment it is successfully received at the destination" [8]
- *Jitter*; the variation of a time parameter, such as end-to-end latency or update time, relative to a reference or target value.[8]
- *Coverage*; the geographical areas where there can be guaranteed reliability and latency levels [20].
- *Capacity*; the number of bits that is transmitted or received in a cell, in some

time interval. This depends on the number of UEs, and the traffic cycle and message size.

- *Node Density*; the number of UEs per km<sup>2</sup>.
- *Mobility*; UE speed and UE movement patterns.
- *Traffic*; the update cycle and data size.
- *Longevity*; battery lifetime, etc.

### 3.4 Propagation Environment

The propagation conditions, deployment specifics, and traffic characteristics can be very use-case specific, and those aspects on top of the latency and reliability requirements should be considered for the factory automation use case [6]. For this simulation, the adopted channel model is the Industrial Channel Model given in [21]. This model has been recently been used as a base for simulations in various papers on wireless industrial automation, including [20], [22] and [23]. The measurements are done in four different modern factory buildings, which have floors made of concrete and ceilings with metal supported by steel truss work. All the facilities contained industrial inventory consisting mostly of similar metal machinery. Transmitting antennas (Tx) were 6 m long, and receiving antennas (Rx) were 2 m long. Different frequencies and Large Scale Fading (LSF) topographies were considered, and the shadowing decorrelation distances were varied from 0.2 m to 5.3 m. The paper provides a one-slope pathloss model which it concludes that it models the large scale fading well. The path loss model is shown in Equation 1.

$$PL(d) = PL(d_0) + 10n \cdot \log(d/d_0) \quad (1)$$

Where  $PL(d)$  is the path loss in decibels,  $n$  is the path loss exponent,  $d$  is the distance between the transmitter and the receiver, and  $d_0$  is some reference distance. Experimental measurements from [21] for those variables are given in Table 4. The results are different based on the Large-Scale Fading (LSF) topography. Those are split into three categories; Line-of-Sight (LOS), Obstructed line-of-sight (OBS) with light clutter, and obstructed heavy clutter, specified as follows:

- Line-of-Sight (LOS): Line of sight exists for each point between Tx and Rx.
- Obstructed line-of-sight (OBS) path with light surrounding clutter: industrial inventory obscures the line of sight, at a similar height as the Rx, but well below the Tx.

- Obstructed line-of-sight (OBS) path with heavy surrounding clutter: industrial inventory also obscures the line of sight, but at a similar height or even higher than the Tx and well above the Rx.

Table 4: One-Slope Model Parameters [21]

Index	f [MHz]	Topography	PL( $d_0$ ) [dB]	n[-]	$\sigma$ [dB]
1	900	1 (LOS)	57.67	2.25	5.65
2		2 (OBS, light clutter)	64.42	1.94	4.97
3		3 (OBS, heavy clutter)	69.73	2.16	5.16
4		All LSF topographies	61.65	2.49	7.35
5	2400	1 (LOS)	67.43	1.72	4.73
6		2 (OBS, light clutter)	72.71	1.52	4.61
7		3 (OBS, heavy clutter)	80.48	1.69	6.62
8		All LSF topographies	71.84	2.16	8.13
9	5200	1 (LOS)	77.57	1.25	4.32
10		2 (OBS, light clutter)	81.06	0.68	3.87
11		3 (OBS, heavy clutter)	83.33	1.35	3.16
12		All LSF topographies	81.01	0.91	4.79

As previously mentioned, fast fading is already incorporated in the MMIB-based L2S interface, and is therefore not explicitly modeled anywhere else in the simulation.

## 4 Reliability Enhancement with Multi-TRxP Solution

Due to the strict URLLC requirements of many of the envisioned 5G use cases, combined with the inability of current LTE systems to meet those requirements, much research has been dedicated towards investigating different solutions that could enhance reliability and reduce latency for the upcoming NR releases. Fundamentally, high reliability can be achieved by increasing the diversity order of the system. If latency requirements are not very strict, very high levels of reliability can even be achieved by LTE, when any number of retransmissions at many protocol layers are allowed. However, with tight latency requirements very few retransmissions, if any, could be tolerated. [24] shows that diversity is the most vital method that can obtain high reliability, and it can be possibly achieved in combination with low latency even in a fading channel using short transmission intervals and no retransmissions, however at the cost of a restriction on capacity and coverage area. The studies in [25] and [26] show that URLLC levels of signal quality outage probabilities can be achieved with a combination of microscopic and macroscopic diversity. Microscopic diversity includes massive MIMO, and macroscopic diversity includes multi-connectivity, which could be multi-RAT, multi-cell, or multi-node coordinated transmission and reception techniques. Multi-RAT multi-connectivity, such as NR-LTE interworking, is envisioned as a prominent solution for URLLC, especially in the first stages of deployment of 5G. Performance analysis of NR-LTE interworking is given in [27], with focus on the different factors that contribute to PDCP level delay.

Diversity is a method to make communication robust through the exploitation of channel variations in time, frequency, and space. Frequency diversity is achieved by using multiple resource blocks of independent fading coefficients, but this can be problematic due to spectrum scarcity. Time diversity, on the other hand, utilizes different time slots with different independent fading coefficients, but is difficult to exploit for URLLC due to tight latency requirements. This leaves spatial diversity as the most prominent solution, and justifies this study's focus on the multi-TRxP solution. A TRxP is defined in TR 38.913 as an "antenna array with one or more antenna elements available to the network located at a specific geographical location for a specific area" [11]. When a UE combines the signal it receives from a number of base stations which are all synchronously transmitting the same data to it, it receives a higher total power and the effects of shadowing are reduced, which can enhance reliability significantly [28]. Figure 9 shows how the required SNR to achieve a certain reliability target is reduced as the diversity order, in terms of the number of antennas, is increased.

Within the scope of URLLC studies, especially with stringent requirements, the assumption is that the scenario should already not have any coverage holes in the deployment area, and performance should be interference limited, not noise limited. Radio link failures cannot be tolerated, and handovers should be executed in a very

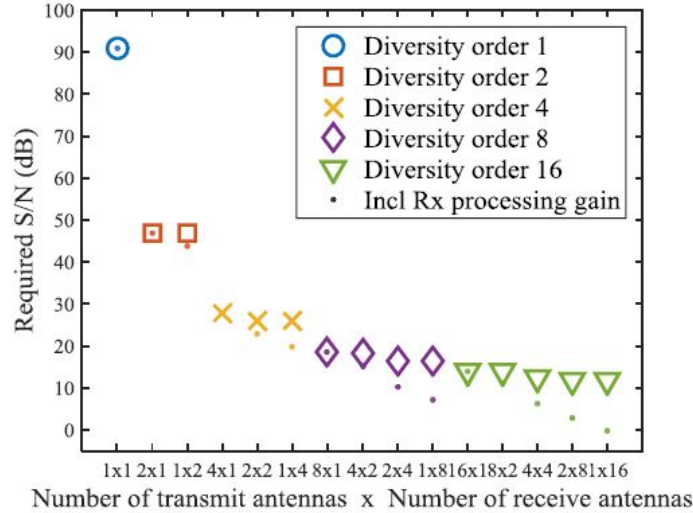


Figure 9: Required SNR for achieving a packet error rate of  $10^{-9}$  in a Rayleigh fading channel [24]

short period of time, as discussed in Section 2.5. This means that the majority of users at any time instance will likely enjoy medium to high SINR, and the main focus of the studies will be on the tail of the achieved SINR distribution. This describes the few users who are in sub-optimal conditions, and pose a risk on reliability by potentially experiencing errors and outages. Using multiple TRxPs for the transmission and/or reception of signals can be an effective method for achieving spatial diversity gains that can improve the tail of the SINR distribution, shrink the cell-edge, and reduce the BLEP so that it meets the target. Multi-TRxP support is expected to be a vital part of NR, and a key enabler of URLLC. Details regarding this solution are discussed in 3GPP technical report TR 38.802 [29].

The traditional method of achieving spatial diversity gains is to add more antennas to a cell in a fixed setup. The main advantage of the multi-TRxP solution, is that the serving TRxPs can be selected dynamically, which reduces the system's interference levels compared to the case that all TRxPs would serve each user of the cell. Lower interference can be converted to either better reliability or capacity. In addition, the placement of TRxPs would not need to be very close to each other, and they could be placed in completely different locations, as compared to the classical case.

Other solutions exist in the literature for improving reliability. For example, the Nokia white paper on 5G for Mission Critical Communication recommends a variety of improvements on the 5G radio access as well as programmable 5G multi-service architecture [28]. Those include interference management, such as selective blanking of the strongest interferers during retransmissions. In addition, user or service optimized retransmission mechanisms, flexible frame structures, network slicing, software defined networks, and mobile-edge computing are highlighted. H. Shariatmadari, et al. propose a flexible slot structure for the control channel which

would be able to perform early detection of a control information delivery failure, which could allow for timely retransmissions [30]. [31] demonstrates using stochastic geometry analysis that the reliability of uplink transmissions can be enhanced through double association, which involves a UE transmitting both to a macro base station as well as a small base station. Those solutions are seen as very relevant, but are not considered for this study in order to limit the scope.

## 4.1 Deployment and Setup

The multi-TRxP solution could be applied with many potential Radio Access Network (RAN) architectures and deployment strategies, and in a private industrial scenario one is free to decide what best suits its needs. For example, a small factory could be covered with one cell only, ie. one central gNB and one antenna location. The disadvantage is that reliability would decrease as a function of cell size due to distance dependent pathloss, interference from outside small cells, and UE self-noise.

A possible enhancement to the one cell scenario could be to indeed have one gNB, but deploy multiple TRxPs in different locations around the cell. In this case, RF and part of PHY will be located in the TRxPs, and the rest of the gNB functions will be located in the central node. This could be a viable option for a small to moderate size factory with fiber transport and moderate load. However, reliability can be affected by the intra-cell interference, ie. interference from TRxPs of the factory cell, depending on the chosen multi-point transmission method. It will also be affected by inter-cell interference, ie. interference from outside small cells, and TRxP density (pathloss and diversity order).

An alternative is to deploy multiple cells, ie. two or more gNBs. Reliability can still be improved by deploying multiple TRxPs per cell. Multiple cells might be needed in a factory for multiple reasons, including fiber connection limitations, to distribute the RAN processing and provide more processing power, in addition to providing a sufficient number of cell specific identifiers or signals, such as Channel State Information Reference Signal (CSI-RS) in some multi-point transmission cases. Another justification is that there will be a physical limitation on the number of TRxPs that could be connected to a single DU, so they cannot be increased indefinitely. Multi-cells would also be needed in scenarios where UEs need to move from a factory Cloud-RAN (C-RAN) to another factory C-RAN, or even to an outside network. For example, a UE could need to connect to an outside network if it was carrying load from the factory to the parking lot or any place outside the factory walls, in which case it could be desirable to have it connect to the public cellular network, for instance.

Since the multiple cell deployment could be necessary in some cases, and it is one that has many additional reliability concerns caused by handovers and inter-cell interference, among others, it is an interesting and important candidate for this



study. A factory with two cells has been chosen, as it is reasonable considering the factory size limitations set for URLLC requirements (Communication should be available over a service area of 1 km<sup>2</sup> and less according to Table 3). In addition, this allows for the analysis of one of the worst case scenarios, and can provide insight into the applicability of the multi-TRxP solution in those difficult conditions. Therefore, the studied scenario shall be a factory with two cells each containing multiple TRxPs, as shown in figure 10. In addition, the factory is assumed to have acquired a single frequency band, due to costs and spectrum scarcity, especially when higher frequencies are not used. Also note that omni-directional antennas are assumed, since multi-beam antennas would complicate the simulation and might not be relevant when the focus is on increasing spatial diversity.

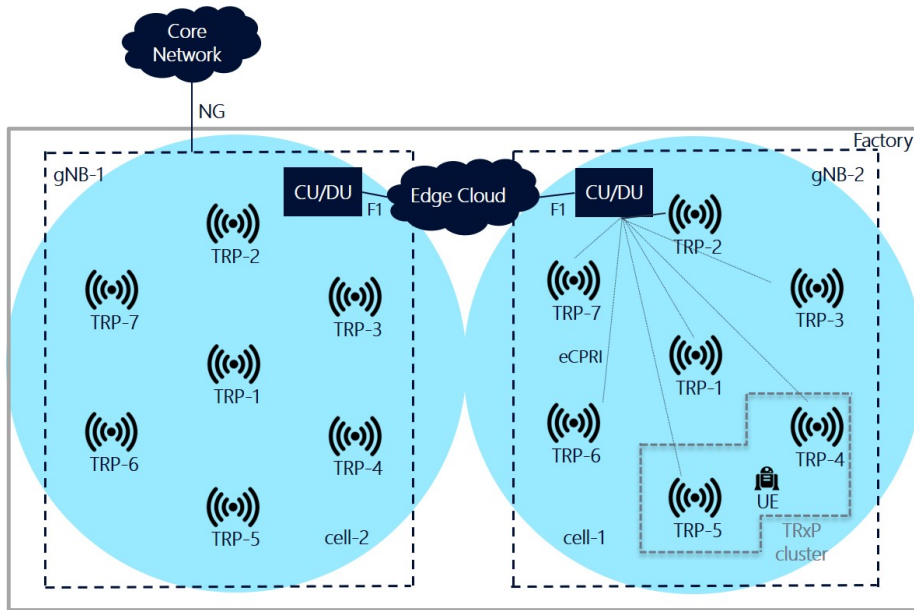


Figure 10: Illustration of the Factory Setup with TRxPs.

For this type of architecture, intra-frequency inter-cell interference is the dominating impairment. Intra-frequency intra-cell interference could also be present, depending on the specific chosen multi-point transmission method. This is the interference between TRxPs within the same cell. In addition, inter-frequency inter-cell interference could exist from the cells outside the factory. Accordingly, reliability can be expected to depend heavily on the intra-cell and inter-cell mobility, and the TRxP density (pathloss and diversity order). Another constraint of this architecture is that the air interface capacity may not be sufficient to support the non-best effort traffic generated by factory devices. The achievable capacity will likely be highly dependent on the chosen multi-point transmission and/or inter-cell interference mitigation method.

## 4.2 Multi-point Transmission/ Reception and Coordination Methods

In this section, the different methods in which UEs and the multiple TRxPs can communicate are analyzed, and the selected choice is justified. Those methods can be categorized under multi-point transmission/reception and coordination methods.

A summary of multi-point coordination and transmission/reception methods is shown in Figure 11. In multi-point coordination, a single TRxP transmits data to the UE, but multiple TRxPs coordinate for link adaptation and/ or scheduling functions. Multi-point coordination is split into coordinated link adaptation and coordinated scheduling. Coordinated link adaptation deals with the question “with what rate to transmit”, and aims to improve interference level predictions by sharing information about transmission decisions between TRxPs. On the other hand, coordinated scheduling deals with the question of “if and when to transmit”, and aims to lower the interference levels themselves by sharing information coordinating between the TRxPs [32]. TR 38.802 [29] states that for NR, coordinated transmission schemes involving both co-located as well as non-co-located TRxPs are considered. Additionally, support for both semi-static and dynamic network coordination schemes shall be available. To limit the scope of the work, multi-point coordination and interference coordination are not considered.

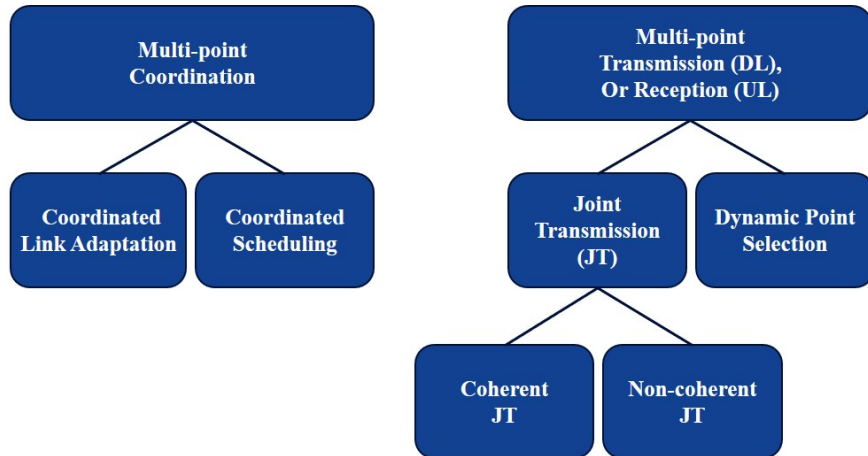


Figure 11: Multi-point Transmission/Reception and Coordination.

According to TR 38.802, NR shall support downlink transmission of the same NR-Physical Downlink Shared Channel (NR-PDSCH) data stream(s) from multiple TRxPs at least with ideal backhaul, and different NR-PDSCH data streams from multiple TRxPs with both ideal and non-ideal backhaul [29]. Note that this work shall focus on downlink transmission, as the simulation tool to be used is only capable of modeling the downlink, and therefore this document shall omit discussions concerning uplink transmission. This limits the following discussion to multi-point transmission schemes only, instead of transmission and reception.

With multi-point transmission, a set of TRxPs transmit simultaneously to a given UE. Two types exist; Joint Transmission (JT) and Dynamic Point Selection (DPS). With dynamic point selection, the UE does not receive signals from multiple TRxPs, but instead from one serving TRxP which is chosen based on the UE's channel conditions. Switching between TRxPs can be done very quickly, even at every subframe, and without needing a handover. This method is simple and provides selection diversity gain. It also has the advantage of not creating extra interference from different transmitting TRxPs.

As for Joint Transmission (JT), it refers to the case when multiple TRxPs are actually involved in the transmission and reception of signals at the same time. For Coherent Joint Transmission (C-JT), the network gets information about the channels to the UE from the TRxPs it is connected to, and selects transmission pre-coding weights accordingly. Since signals will be received at the UE from antennas that could be on multiple sites, accurate Channel State Information (CSI) feedback is required. It also requires calibration of the transmit or receive chains for different antennas, and very tight synchronization between the transmission points. C-JT can result in very high combining gains, but because of those constraints, it may not be an appealing, or even feasible, method for the non-cosited TRxP deployments.

Finally, Non-Coherent Joint Transmission (NC-JT) aims to achieve diversity gains, and increase the power transmitted to the UE. This means that UE movement has a smaller effect on it, and it causes a lower signaling overhead, when compared to C-JT. With NC-JT, each TRxP transmits data individually to the UEs without adaptive pre-coding across the TRxPs. This can be split into the following cases:

- Case 1: Different Code Words (CW) are transmitted from different TRxPs. Each TRxP performs adaptive pre-coding independently.
- Case 2: The same CW and the same L1 waveform is transmitted synchronously from different TRxPs.

Case 2 can be considered as a diversity combining technique which improves the received signal quality, while case 1 aims at exploiting the Massive Input Massive Output (MIMO) capacity gains from spatial multiplexing. For this study, case 2 will be analyzed as a potentially effective way to achieve ultra reliability for the factory scenario, due to flexible control of the macro diversity order. Here, a UE will be able to receive the same CW from a group of TRxPs, and therefore the power from the signals that the UE receives will be combined additively and those signals will not create any interference to each other. The achievable capacity will however be limited, and interference to other cells might increase.

For this case, the UE could be served by a cluster of TRxPs within the cell. All the TRxPs of a cell would be mapped to the same Physical Layer Cell Identity (PCI), which is signaled to UE as part of a common Synchronization Signal (SS) Block.

The UE would receive the same data from all TRxPs in its cluster, using the same Demodulation Reference Signal (DM-RS). All TRxPs in a cluster would transmit the same cell specific and UE specific CSI-RS, which allows for non-coherent combination of signals at the UE. When the same scheduler is used, it can be designed so that no intra-TRxP interference would exist within a cell. Alternatively, the UE data could be made to be available at all TRxPs within a cluster, but would be transmitted to the UE by one TRxP only. The UE reports measurements and the best serving TRxP for the next frame is chosen based on the highest received SINR. Then, other TRxPs shall mute the resources that the serving UE is going to use, in order to avoid interference.

Downlink UE specific CSI-RS, or uplink Sounding Reference Signal (SRS) can be used to create a TRxP cluster for a UE. In the downlink based case, all the TRxPs of a cell transmit the same cell specific CSI-RS, but each TRxP within the cell transmits its own UE-specific CSI-RS. In addition, all the TRxPs of a cluster transmit common DM-RS to a given UE. The clustering can be a very dynamic, as the cluster selection can take place in as little as the granularity of the CSI reporting period. Interference between clusters can be mitigated by smart scheduling, based on the interference reports. Downlink based clustering could provide a good and flexible balance between reliability and capacity, however with some potential issues. One issue is that the UE would need to be aware of the TRxPs in its cluster, but this could be possible in the NR specifications. In addition, the finite number of UE specific CSI-RS signals per cell could be a limitation. One way to overcome this problem could be to cluster based on the uplink, but this is not possible considering the simulation tool's lack of modelling of the uplink, and therefore this is omitted from the discussion.

The cluster of serving TRxPs would then be selected for each UE based on the best received signal power. For example, there could be 7 TRxPs in a cell, and the UE could be served by a cluster of 2 TRxPs at any time, as shown in Figure 12. So, the UE would report which of the 7 TRxPs it is getting the highest Reference Signal Received Power (RSRP) from, and the best 2 would be chosen as that UE's cluster. TRxPs of the same cell will have common scheduling and L1 signals when connected to a user. In the DL, a common L1 signal is transmitted by several TRxPs, and in the UL, multiple TRxPs receive the L1 waveform from the same UE and combine it. This is only possible for TRxPs within the same cell. However, different gNBs have independent scheduling. To clarify, let us consider an example shown in Figure 12. The figure shows a scenario with 2 users, where the serving cell for both of them is gNB2. However, UE1 is close to the cell edge and is undergoing a dual connected handover. Therefore, it is also connected to gNB1 as a secondary cell. Assuming data duplication for the DCHO, UE1 is going to receive the same data at this time instance from TRP-3 and TRP-4 of the MgNB-1, and from TRP-7 and TRP-6 of SgNB-2. However, the TRxPs that belong to different gNBs cannot send the same codewords and L1 waveforms. The number of PRBs that UE1 requires to be allocated to it will contribute to the load of TRxP 3, 4, 7 and 8.

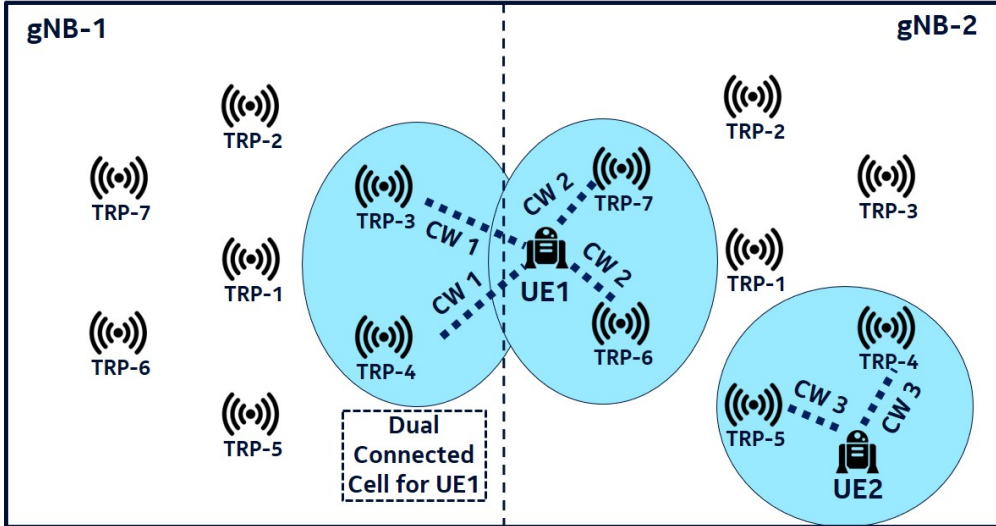


Figure 12: Example of TRxP clusters

### 4.3 Simple Analytic Proof

In order to study the effectiveness of this solution in achieving reliability in the mobile robots industrial automation scenario, a simulation campaign is needed. This aids in mimicking the actual environment, and modelling all of the complex factors that impact it, in order to gain accurate insight into which combination of solutions is capable of meeting the reliability targets, while least compromising capacity and spectral efficiency. The complexity of the scenario makes it very difficult to study with closed form expressions. However, a highly simplified model can first be analyzed as a basic proof of concept to display that mathematically, multi-TRxPs can indeed improve the cell-edge SINR.

For a scenario involving one factory cell only, the situation is quite straight forward, as the only source of interference comes from cells outside the factory. Under the selected mutli-point transmission methods, adding more TRxPs to the factory cell will only improve the received signal power, therefore improving SINR. The limitation on the number of TRxPs then only comes from the physical limitation of how many TRxPs can be accommodated by the DU itself. For a factory with two cells, however, the situation is more complicated. Adding TRxPs to both cells means that both the desired signal, as well as the interference, are stronger. This effectively means that improved SINR can only be achieved if the increase in signal power is greater than the increase in interference power. This is clear from the basic formula of user SINR, shown in Equation 2. Here,  $P_s$  refers to the total desired signal power (Watt) coming from the user's serving cell, while  $P_i$  is the total undesired signal power arriving to the user from all cells except its serving cell (Watt), and  $N$  is the noise power (Watt).

$$SINR = \frac{P_s}{N + \sum_{i \neq s} P_i} \quad (2)$$

This equation can be extended to properly describe the SINR in this work's multi-TRxP scenario. Equation 3 shows that the SINR will be equal to the sum of the power received by the UE from all TRxPs that are within its serving cluster ( $A$ ), divided by the noise power plus the sum of the power from all TRxPs that are not in the serving cell ( $SC$ ). By this notation,  $SC$  contains all the TRxPs in the serving cell, and  $A$  is the subset of those TRxPs that are simultaneously serving the UE at a certain time. Here, we are assuming legacy handover (not DCHO).

$$SINR = \frac{\sum_{s \in A} P_s}{N + \sum_{i \notin SC} P_i} \quad (3)$$

The amount by which  $P_s$  and  $P_i$  each increase by adding more TRxPs depends on many aspects, including the relative distance between the UEs and each TRxP, shadowing, and the load on each TRxP. However, the main reason that allows the serving cell power to increase more than the interference in the cell edge comes from the exponential nature of pathloss. This can be shown by the following simplification.

Consider a two cell factory studied along one dimension only. Assume that there is a TRxP on both ends of the factory, transmitting with equal power = 24 dB, no shadowing, and that the channel behaves similarly to the industrial channel model with index 11 from Table 4. This corresponds to  $PL(d_0) = 83.33$ ,  $n = 1.35$  and  $\sigma = 3.16$  dB. Figure 13 illustrates this simplified setup. The SINR along each point between the factory walls can be calculated using pathloss Equation 1. The user will be served by the cell it receives a stronger signal from, and handovers are assumed to be ideal. Also assume that the user height is equal to the antenna heights. Noise is taken as a constant -114.45 dB.

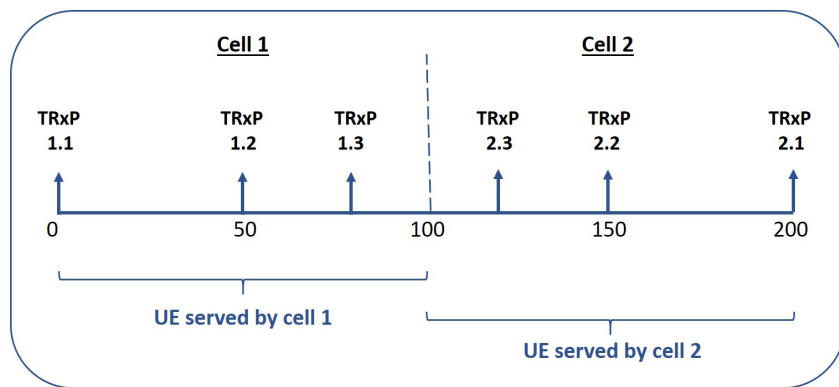


Figure 13: Simplified Model for Theoretical Analysis

The SINR plot resulting from this simplified setup is shown in Figure 14. The plot for the "1 TRxP" case refers to only TRxP 1.1 and TRxP 2.1 present in Figure

13, while the "2 TRxP" case refers to the presence of TRxP 1.1 and 1.2 in cell 1, and TRxP 2.1 and 2.2 in cell two. Finally, the "3 TRxP" case refers to the presence of TRxP 1.1, 1.2, and 1.3 in cell 1 and TRxP 2.1, 2.2, and 2.3 in cell 2. The plot shows that increasing the number of TRxPs has a positive effect on the SINR when the user is close to the cell edge, and a negative effect otherwise. This is a purely mathematical effect, stemming from the exponential nature of pathloss (ie.  $n \neq 1$ ). Notice as well from the figure that adding more TRxPs is decreasing the width of the cell edge, if the cell edge is defined as the area over which the SNR is below a certain threshold. From this, it can be inferred that the simulation results will be expected to show that adding TRxPs can improve reliability by improving the SINR of at-risk users, but under certain conditions only. The locations at which the TRxPs are added will probably be important, the mean SINR might actually be lower, and the SINR of the best users (the leftmost and rightmost parts of the plot) should not be decreased so heavily that they become the new threat to reliability.

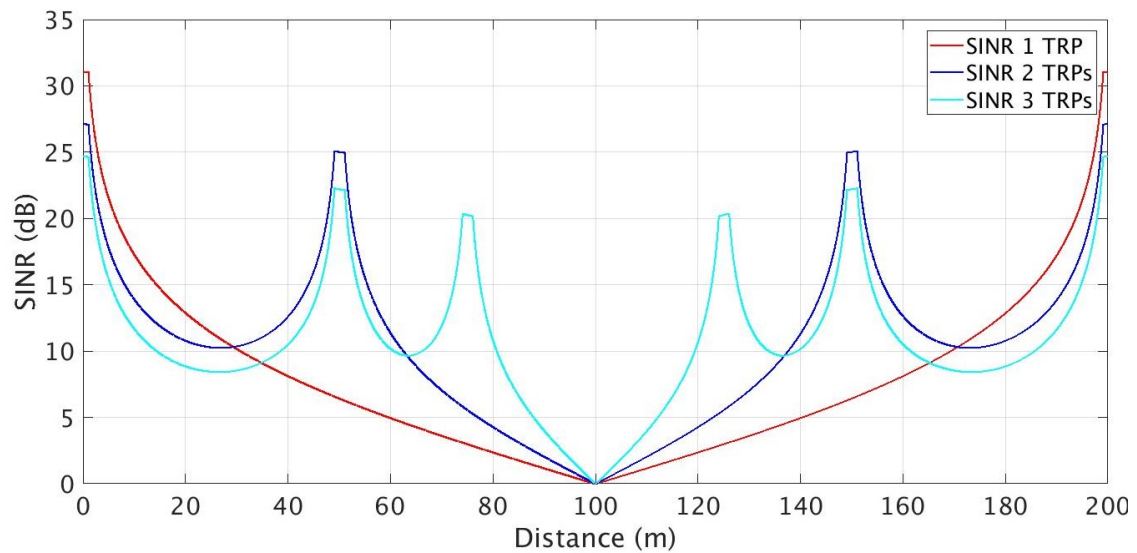


Figure 14: SINR Along One Dimension for the Simplified Model

## 5 Simulation Work

### 5.1 Simulation Assumptions and Main Parameters

The Nokia internal simulation tool used is a system level simulator developed for mobility studies of communication environments. The choice to use a system level simulator instead of a link level simulator, in spite of the fact that a link level simulator could more easily quantify reliability by simply tracing SDUs and calculating the fraction of them that were successfully delivered, stems from this study’s need for mobility events and system level performance characteristics. The selected tool is not a Transmission Time Interval (TTI) based simulator, and there are no individual packets in the model. Instead, the frame structure and traffic have been abstracted to resource and traffic volumes, and KPIs such as SINR are based on models of fading, interference, etc. The time step is larger than TTI, which is why a certain level of approximation and averaging exists. The advantage is that it allows for the analysis of longer time periods, which include a sufficient amount of mobility events such as handovers. Those events are vital for this analysis, as they pose a fundamental threat to reliability. Since it is the tail of the SINR distribution that corresponds with cell edge performance, and is therefore of vital interest, statistics from many handover events should be collected from each simulation run.

The chosen time step for this simulation is 10ms, within which the channel is assumed to be constant. In order to be able to realistically make this assumption, the channel coherence time, which is the time during which the channel impulse response is non-varying, needs to be larger than 10ms. Therefore, the carrier frequency cannot be too high, neither the UE speed. This is clearly visible from equation 4 of coherence time ( $T_c$ ), which is approximately equal to the inverse of the maximum doppler spread ( $f_m$ ).  $f_m$  is in turn calculated as shown in equation 5, with  $f_c$  being the central frequency,  $v$  being the velocity and  $c$  being the speed of light [33]. For this reason, the new 5G high frequency bands shall not be utilized in this simulation, and the carrier frequency shall be set to 5200 MHz. Wide deployment of 5G are anyway expected to be done first at frequency bands lower than 6GHz, and higher frequency bands will follow.

$$T_c \approx 1/f_m \tag{4}$$

$$f_m = \frac{v}{c} f_c \tag{5}$$

The main simulation parameters are displayed in Table 5. The simulated factory is covered by two gNBs, and UE movement is restricted to indoor only. A brief justification for some of the main choices is given below. First, in order to insure the validity of using the measurement-based channel model in [21], the simulated scenario has to largely match the conditions in the measured environment. The



distances between transmitter and receiver in the model were varied from 15 m up to 140 m. This means that the simulated factory should not be so large that the UE can be at a much further distance than 140 m from a transmitter. Additionally, the factory cannot be larger than what is specified by TR 22.804 for factories in Table 3. Therefore, a factory with length 200 m and width 100 m is chosen. The channel model also assumes 6 m base station antenna height and 2 m UE antenna height, and therefore those values are selected for the simulation as well. The UE speed is set to 50 km/h, because it is the defined maximum in Table 3. The maximum is chosen here with the intention of studying the worst case mobility performance.

Table 5: Common Simulation Parameters

Parameter	Value	Parameter	Value
Number of steps	varied	Antenna height	Tx 6m Rx 2m
Number of experiments	varied	Shadowing decorrelation length	5 m
Number of factory UEs	50 and 70	TRxP ring radius	30 m (or sweep)
Time step	10 ms	Wall loss	INF
Mobility model	Random	Factory size	100 m x 200 m
UE speed	50 km/h	Number of existing TRxPs per cell	5 (or sweep)
Number of cells	2	TRxP cluster size per UE	2 (or sweep)
Number of available PRBs per TTI	100	Code Block Size	320 bits
Traffic Cycle	10 ms	LA Target BLEP	1e-5
L3 Filter K	4	L3 Filter sampling time	200 ms
Antenna gain	2 dB	Antenna type	Omnidirectional
Tx Power	24 dBm	Noise power	-114.45 dB
RAT	5G	Traffic Model	Cyclic URLLC
System bandwidth	20 MHz	Channel Model	Statistical Industrial
L2S	MMIB	HO	DCHO with DD

An isolated control environment is created for the factory by selecting an infinite wall loss. This is to ensure no interference from outside cells, and enable the evaluation to focus on the impacts of inter-cell interference inside the factory. As for the traffic model, URLLC cyclic traffic and load generation is chosen, characterized by a constant bit-rate (CBR) service, assuming that the cyclic load from each UE is evenly distributed in the time/frequency resource grid. Due to the study's focus on stringent reliability and latency requirements, it will be assumed that even a single retransmission pushes the delay out of the required limits. Therefore, the simulation and associated KPIs will assume that no retransmissions are allowed.

DCHO and MMIB-based LA are included in the baseline of all simulations. The DCHO Replace trigger deals with replacing a cell in the Active Set (AS), and 'A35' is the optimized replace strategy from signaling point of view. The role swap is based on sA3 trigger, and A34 is the remove trigger. Table 6 shows the values of some of the parameters and triggers related to the DCHO, selected as what is thought to be a reasonable compromise. Given fairly high mobile robots speed and the assumed knowledge of the UE speed, even more aggressive offset and/or Time To Trigger (TTT) settings could potentially be used, which could improve the HO timing from the SINR point of view. However, this will lead to more signaling traffic due to ping-pong HOs for the legacy HO, or due to increased preparation signaling for DCHO.

Table 6: DCHO Parameters

Parameter	Value	Parameter	Value
<b>General Setup</b>			
Maximum active set size	2	SRB duplication	true
SCell survival	true	link scheduling	DD
PSCell replace strategy	'A35'	Role Swap	'sA3'
<b>HO within active set (role swap)</b>			
sA3.offset	2 dB	sA3.TTT	0.2 s
<b>Fast cell change within active set</b>			
sA3.offset	1 dB	sA3.TTT	0 s
<b>Add cell to active set</b>			
A33.offset	3 dB	A33.TTT	0.2 s
<b>Remove cell from active set</b>			
A34.offset	6 dB	A34.TTT	0.2 s
<b>Replace cell in active set</b>			
A35.offset	0 dB	A35.TTT	0.1 s

The TRxP cluster for each UE is selected at each time step according to the TRxP cluster size, by selecting which TRxPs it receives the highest RSRP from. The overall power received at the UE is calculated as the linear sum of power from all TRxPs in its cluster. Cell resources are assumed to be shared among the TRxPs of a cell, ie. TRxPs do not add extra resources. SINR is calculated based on equation 3. Interference at a UE is the sum of received signals from all TRxPs that are active and not in the UE's serving cell, adjusted by each TRxP's fraction of resource elements. This is the fraction of PRBs the UEs which receive data from the TRxP require from

the scheduler, from the available PRBs per time step (with a maximum of 100%). TRxPs within the user's serving cell are assumed not to cause any interference to it, even if it is not part of its cluster (ie. transmitting to other users in that cell).

Note that the simulator uses SINR values for BLEP calculation and LA which does not contain any filtering, fast fading, or other impairment, i.e. it is the actual SINR at a given simulation step. However, the MMIB-based LA incorporates fast fading into its implementation. In addition, fast fading and L3 filtering are applied to Radio Resource Management (RRM) measurements, such as RSRP for mobility triggering. For this, the real received power is filtered in the UE, and sampled at certain measurement intervals. Fast fading is partially averaged out from this value, but there is some delay due to filtering and finite sampling interval. This means that the impact of fast fading and UE report delays is modelled and reflected on the results.

By means of simulation, three themes are to be studied, namely:

- The impact of the TRxP cluster size: the number of TRxPs a UE can simultaneously receive data from in a cell.
- The impact of the number of existing TRxPs per cell: the number of TRxPs which actually exist in a cell.
- The impact of the position of TRxPs in the factory: a cell has a central TRxP in the middle, and a ring of TRxPs surrounding it. The impact of varying the radius of this ring is studied.

In order to avoid confusion, Figure 15 shows the difference between what will be referred to as the "Number of Existing TRxPs per cell", and the "TRxP cluster size". Those themes are studied under two conditions, first a low to medium loaded factory (50 UEs), then a factory with slightly high load (70 UEs). The choice of the number of UEs was made after running test simulations and observing that very high reliability is achievable up to 50 or slightly higher number of users, but with 70 users, the interference and cell congestion problems increase and reliability becomes more limited. Running with higher than 70 UEs gives similar conclusions to 70, but with many more outages and discards. Hence 70 users were chosen as this was found to be enough to observe the effects of a higher load in the factory. Regarding the length of the simulation run, it had to be quite long since the analysis is focusing on ultra reliability and outage events occur very infrequently. The length of a single simulation run was chosen to be longer for simulations involving a better setup (50 UEs), and shorter for worse setups which have more outage events (70 UEs). Note that a warm-up period exists during which statistics are not collected until the system stabilizes. This transient removal was done after visually examining the results, and finding a period which is safely large enough so that the transient has no effect at all on any of the simulations. For each studied item, a certain number

of UEs exist in the factory, and move with random motion for the length of the simulation. The exact same simulation is then repeated to create another experiment, while only varying the shadowing realization. Because the system is interference limited, it was shown that the shadowing actually has a very minimal impact, and therefore not many experiments are needed. The results are shown by taking the average across the experiments. Regarding the case with 70 UEs, the results were not affected by increasing experiments enough to justify the added complexity and time, and therefore were run with one experiment, but the 50 UEs cases were run with 5 experiments each, and the KPIs were collected by averaging across the experiments. The similarity of the results regardless of the experiment shows that they could likely be applicable to any factory, regardless of its own shadowing map.

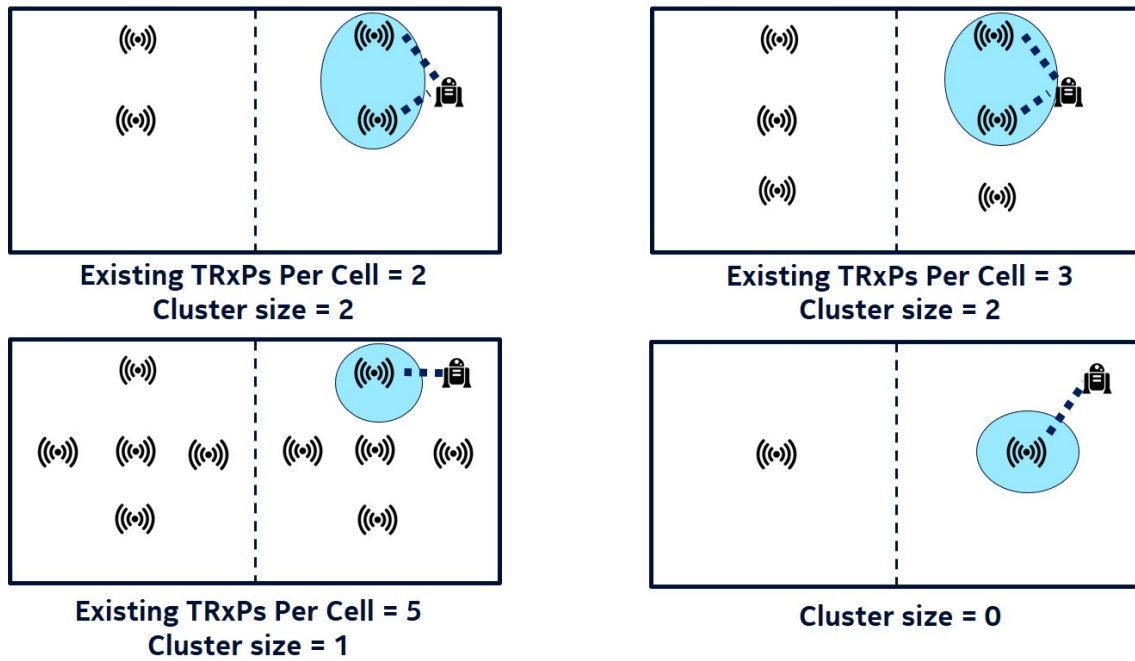


Figure 15: Demonstrating Different Sweeps

## 5.2 Defining URLLC KPIs

This section aims to derive the most representative URLLC KPIs for this study. The KPIs must be closely related to the definitions of reliability and availability, and must be quantifiable with the system level simulator used. Within the scope of communication systems, reliability is often quantified by means of the residual BLER at PHY or the Packet Error Rate (PER) at higher protocol layers [6]. For this study, the URLLC scenario assumes small packet sizes which are small enough so that one packet can be assumed to fit into one codeblock, such that no splitting and reassembly is needed. Because retransmissions are excluded from the study, a simplification in this case can be to assume that PER becomes synonymous to BLER.

However, the BLEP output from the simulation cannot be readily used to indicate the level of reliability. This is due to the MMIB-based link adaptation breaking the direct correlation that would otherwise be expected between BLEP, SINR, and reliability. One would normally assume that the lower the SINR, the higher the BLEP, and the lower the reliability. However, a worsening of SINR could lead to very good BLEP because LA was able to choose a lower MCS. Therefore, lower SINR means higher error probability only when there is no smaller MCS to switch to. If such MCS exists, the BLEP can have any value below the target, depending on the LUT.

Hence, the only obtainable indication of poor reliability is the case when the LA is not able to meet the BLER target. This could happen because low SINR together with low target BLER can lead to situations where the lowest MCS may not be sufficient. Additionally, LA may not be able to select a lower MCS due to high load in the cell. In some cases, the cell could be overloaded, meaning that the required resources are higher than the available resources, leading to some UEs being discarded. Discarded UEs correspond to  $\text{BLEP} = 1$  in this model. Finally a wrong decision might be made by the reactive LA due to delays, including delayed UE reports. This is the delay between the channel measurement and the actual data transmission. Delays are very problematic because the channel can change unfavorably quite sharply, especially since interference from neighbours can be very dynamic [34]. Moreover, due to the spacing of the MCSs in the LA LUT, combined with the fact that LA is applied at every time step, the BLEP values might fluctuate heavily.

Therefore, the definitions of reliability and availability in the context of URLLC are re-visited. As mentioned in section 2.2, 3GPP TR 22.804 [8] has defined communication service availability as the *percentage value of the amount of time the end-to-end communication service is delivered according to an agreed QoS, divided by the amount of time the system is expected to deliver the end-to-end service according to the specification in a specific area*. In the event that a TTI-based system level simulator that explicitly modeled SDUs is used, this definition could be applied directly. Instead, the chosen tool models data signals in each time step characterized by a signal strength, interference level, and BLEP, among others. Because the transmission cycle of the URLLC cyclic traffic model is set to 10 ms, each UE has data to receive during each time step. Accordingly, each time step for each user can be assigned a QoS requirement based on the BLER target. In other words, if data transmitted to a user during a time step has a BLEP that does not meet the target BLER, the QoS requirement is considered to be not met, the time step is considered to be in outage and the system is considered unavailable. This is a very strict definition, as in reality the application might be able to tolerate a number of time steps with a BLEP not meeting the target for a number of users, but for simplicity and for the sake of simulating the most stringent requirements, this definition is taken. It is essential to point out that with this definition, an outage does not necessarily mean that an actual error occurred.

Accordingly, TR 22.804 quantifies unavailability as shown in Equation 6, where  $\Delta D_i$  is the length of the  $i$ -th downtime interval (outage) within the time period  $T$  [8]. The communication service availability can then be calculated as shown in Equation 7. Availability is often expressed in terms of the number of 9s, which is obtained as the floor of the answer from Equation 8. In the results, the number of 9s will be shown without taking the floor function, in order to better reveal relative differences.

$$Unavailability = \frac{\sum_i \Delta D_i}{T} \quad (6)$$

$$Availability = 1 - Unavailability \quad (7)$$

$$Availability(9s) = -\log_{10}(Unavailability) \quad (8)$$

While availability gives an indication of how ready the system is for use at any given time, and is quantified by the percentage of time the system's operation is correct, reliability gives an indication of how long correct operation continues. TR 22.804 states that it "*may be quantified using appropriate measures such as Meantime to Failure (MTTF), or the probability of no failure within a specified period of time*". MTTF is typically used within reliability engineering only for systems that are non-repairable. However, bad quality of service periods in communication systems only exist for a period of time and then better QoS can be achieved, for example when UEs move away from the cell edge. Therefore, the system is repairable and the Mean Time Between Failures (MTBF) is a better suited metric. MTBF gives an indication of how long correct operation continues, until there is another failure. Ie. it is the mean of the uptime durations. Similarly, the Mean Time To Recover can be obtained from the mean of the downtime durations, to give an indication of how long outages last. MTBF and MTTR are calculated as shown in Equation 9 and 10 respectively, where  $N_U$  is the number of uptime events,  $U_i$  is the duration of the  $i$ th uptime,  $N_D$  is the number of downtime events, and  $D_i$  is the duration of the  $i$ th downtime.

$$MTBF = \frac{\sum_{i=1}^{i=N_U} U_i}{N_U} \quad (9)$$

$$MTTR = \frac{\sum_{i=1}^{i=N_D} D_i}{N_D} \quad (10)$$

To give an example, if a system has an availability of 99,99%, its unavailability is 0,01%, ie. 53 min on average per year. However if the availability increases by one nine, ie. 99,999%, its outage is expected to be 5 minutes on average per year.

If system failures occur four times a year with a constant rate, then reliability, in terms of the mean time between failures, is three months.

All three KPIs, namely Availability, MTBF and MTTR can be obtained from a system perspective, as well as UE perspective. Figure 16 and 17 demonstrate how those KPIs are collected for each of those cases. Figure 16 shows that from a UE’s perspective, each UE experiences certain downtimes and uptimes in the data it receives. Aggregated statistics can be obtained by calculating the KPIs over all UEs. Durations are obtained by counting time steps, and multiplying by the duration of one time step, which is 10 ms. Similarly, Figure 17 shows the communication system’s perspective, assuming that the system is in outage in a time step when any UE is in outage. The figure demonstrates the situation assuming two UEs are in the system, for simplicity.

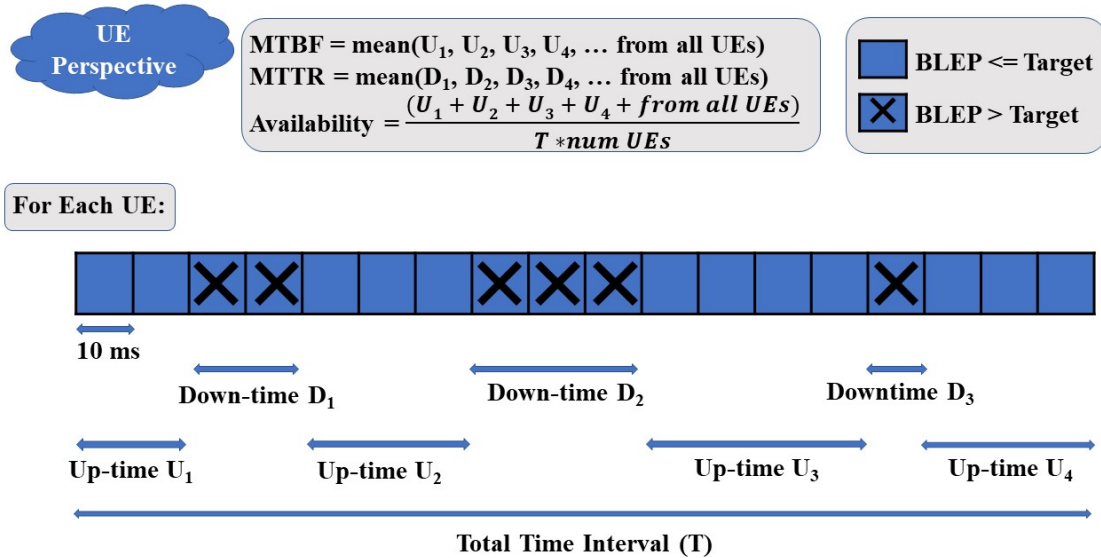


Figure 16: KPI Collection from UE Perspective

At this point, it is vital to point out that assessing how reliable a system is by means of stochastic parameters obtained from abstracted models and simulations with many simplifications and inaccuracies is inherently flawed. Another important note is that within reliability engineering, the MTBF metric has gained notoriety, and using it as a sole metric is thought to be flawed and misleading. First, MTBF assumes independent and identically distributed (iid) uptimes, which is not a realistic assumption in communication systems. Its usage dates back to the fact that exponential distributions are simple because they have one parameter only, a constant error rate. However, this is not a realistic assumption for most systems. Additionally, a specific MTBF cannot be valid indefinitely, and it is quite illogical that some systems report an MTBF figure without specifying a duration over which it is valid. Many recognized reliability engineering specialists, such as P. O’Connor and R. Barnard [35] have

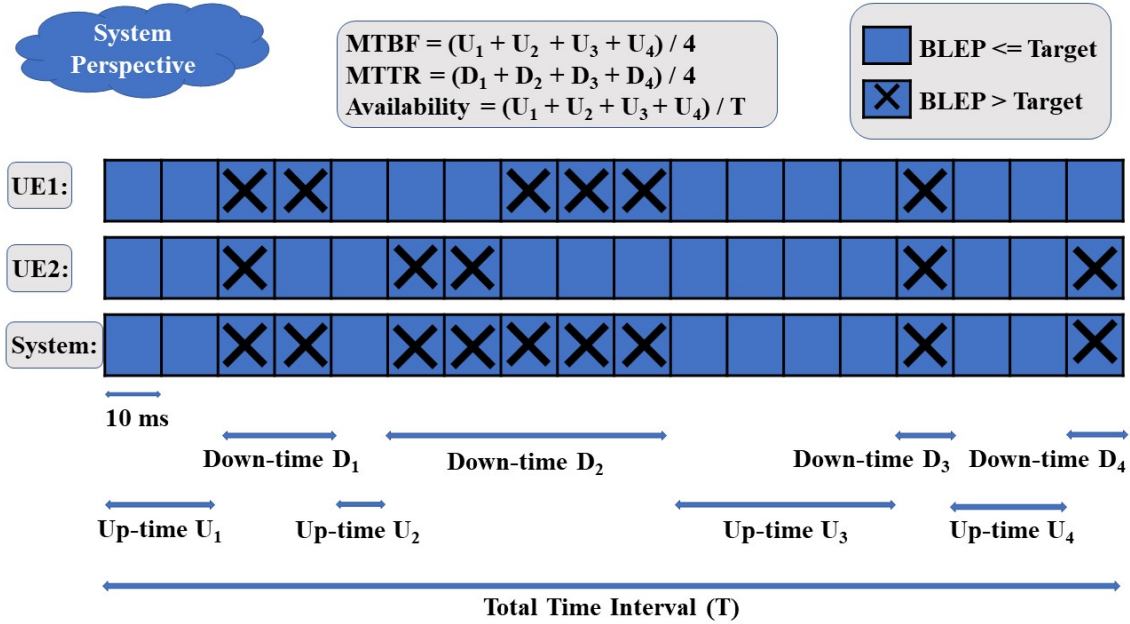


Figure 17: KPI Collection from System Perspective

argued that reliability parameters and estimation thereof are misleading and often unnecessary. Even predictions based on historic data can be inaccurate, because identical conditions will not reappear, and even minor changes could have a major impact on reliability. [36] and [37] point out that much of the literature ignores that the uncertainty involved significantly invalidates the quantitative methods for predicting reliability.

Therefore, the KPIs cannot and should not be used as an absolute assessment of the reliability of a real factory with similar parameters. The KPI outputs are not accurate in an absolute sense, but can be very valuable as means to assess the relative differences in design alternatives, and this is precisely what they will be used for.

### 5.3 Development Methodology and Modifications to the Simulation Tool

This section gives a brief summary of the author's individual software-based contributions to the simulation tool, which constitutes a large part of the thesis work. The code was written and committed as part of the main branch of a large, long-time simulation tool which is being developed by a team. The team uses continuous integration development practices with a tight commit cycle and automatic test suit which executes for each commit. Multi-TRxP was a completely new feature of the tool, and the tasks of incorporating it included:



- Concept creation for multi-TRxP modeling
- Factory scenario creation
- Implementation
- Verification
- Following coding practices consistently
- Code optimization
- Statistics Collection

The simulation tool saves the majority of information in matrices of size  $n\_cells$  x  $n\_ue$ . The chosen approach was to redesign those structures into 3 dimensional matrices of size  $n\_cells$  x  $n\_ue$  x  $n\_trxp$ . This required substantial code modifications to make all complex parts of the modelled functionality during all time steps work as expected with the new matrices.

## 6 Results and Analysis

### 6.1 Initial Observations

After running all simulation campaigns, the following observations are made. First, as expected, with DCHO and MMIB LA, none of the simulated cases suffered from any RLFs. The study therefore demonstrates that bad QoS periods still exist even when RLFs are not occurring, in terms of a failure to meet BLER target. It is also visible from the results that the scenario is indeed interference and congestion limited, since additional users have a big impact on reliability, while changing the shadowing map has a minimal effect.

In order to demonstrate some of the main aspects of the simulation, Figure 18 shows a trace of a small excerpt with only 1000 time steps, taken from the scenario with no TRxPs. Here, the factory contained 70 UEs, and all other parameters were set according to Table 5 and Table 6. The figure traces one UE as it moves from one cell to the other, and undergoes a DCHO. On the top of the figure, the RSRP after L3 filtering is shown, which is the value used for handover decisions. The yellow highlight in the figure represents the serving cell. The UE starts in primary cell 1, and as it approaches cell edge around time step 450, it dual connects with secondary cell 2 (blue highlight). Around step 700, cell 2 RSRP becomes strong enough so that it is the new serving cell, and a role swap is performed, making cell 1 become the secondary cell in dual connection. Around time step 800, cell 2 RSRP is strong enough so that cell 1 is completely released.

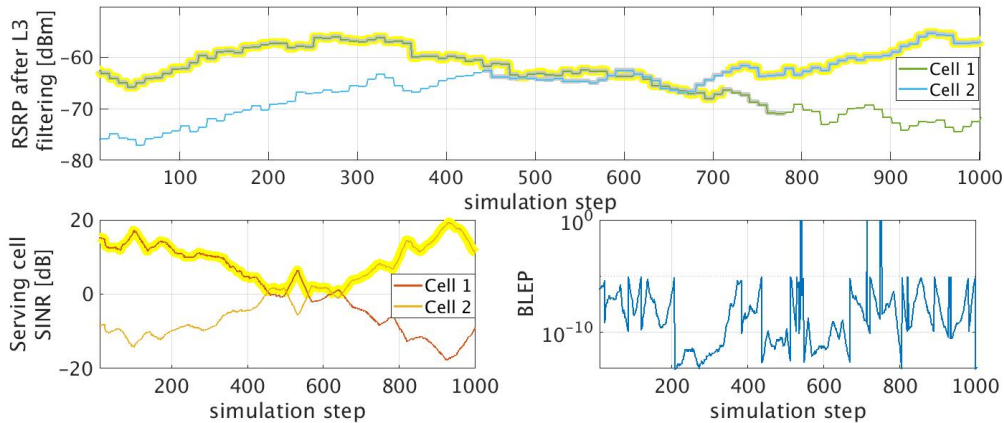


Figure 18: UE Trace

The bottom left plot of the figure shows the SINR that the UE would get from either cell if it was its serving cell. The actual serving cell SINR it gets is the yellow highlight. It is clear that around cell edge, the SINR is stopped from dropping and remains at the contour of the plot, as the UE SINR is the highest SINR from both cells while it is dual connected to them. From the bottom right plot of the figure

shows the BLEP for this UE. Because of the high number of UEs, and the low cell edge SINR, the BLER target is not met in a few time steps, causing outages.

## 6.2 TRxP Cluster Size Sweep

In this section, the benefit of the multi-TRxP solution for URLLC is analyzed, when there are first 50 UEs and then 70 UEs in the factory. The case without multi-TRxPs is considered the cluster size 0 case, while cluster size 1 to 5 are the multi-TRxP cases, with 5 TRxPs available per cell, and a cluster of a certain size selected out of them for any UE to simultaneously receive the same data from. Ie. cluster size 2 means that there are 5 TRxPs in each cell, and a UE at any time step is receiving data from the 2 TRxPs that provide it with highest RSRP.

### 6.2.1 With 50 factory UEs

Figure 19 shows how increasing the TRxP cluster size affects availability. With 50 UEs, the cells are not overloaded, and the availability is quite high. The figure shows that the multi-TRxP case increases the system availability significantly, from 1 nine to 5 nines. However, adding more TRxPs to the UE's cluster does not improve availability much, and can even slightly lower it. The same conclusions can be reached from the UE perspective.

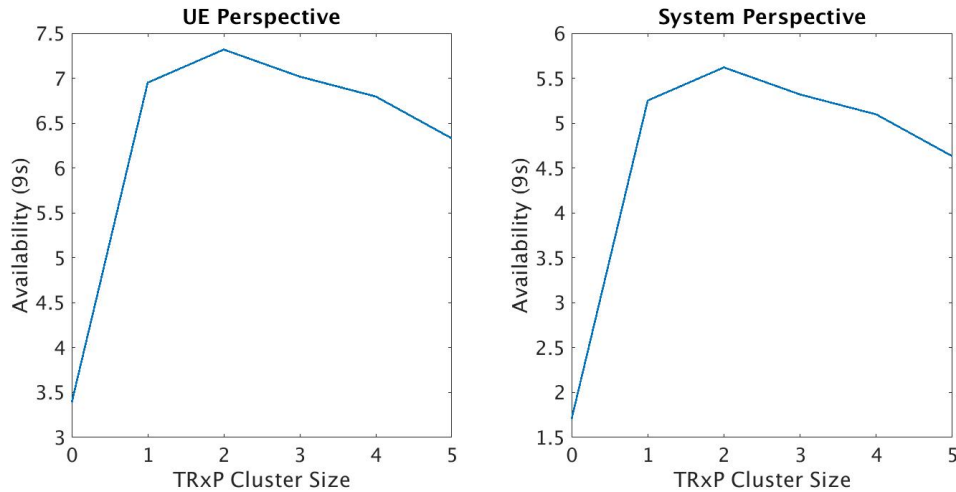


Figure 19: Availability of different TRxP cluster size with 50 UEs

Figures 20 and 21 show the MTBF and MTTR respectively. The MTBF also shows that outages occur much further apart when multi-TRxP is used than if it is not. Without multi-TRxPs the simulated MTBF of the system is around 0.04 s, which increases to 45.45 s when a TRxP cluster size of 1 is used. Beyond cluster size 2, however, the MTBF drops slightly with higher cluster sizes from a UE perspective,

and more steeply from the system perspective. Multi-TRxP brings the MTBF very close to its theoretical limit, which is the length of a single simulation run. As for the MTTR, it also supports previous observations as it decreases from 88 ms to 47 ms, approximately 50% decrease. It then starts to rise again beyond cluster size 2. From the system perspective the results are not as smooth, possibly because with 50 UEs there were not enough outages during the simulation run, but the same general pattern appears. This leads to the conclusion that the multi-TRxP solution is very powerful to achieve URLLC requirements, but an optimal number of TRxPs likely exists, beyond which the added interference will make matters worse. A safe bet is to set a TRxP cluster size of one, as that already improves the situation significantly by allowing the UE to connect to any TRxP that is giving it the best RSRP, without risking an increased interference problem.

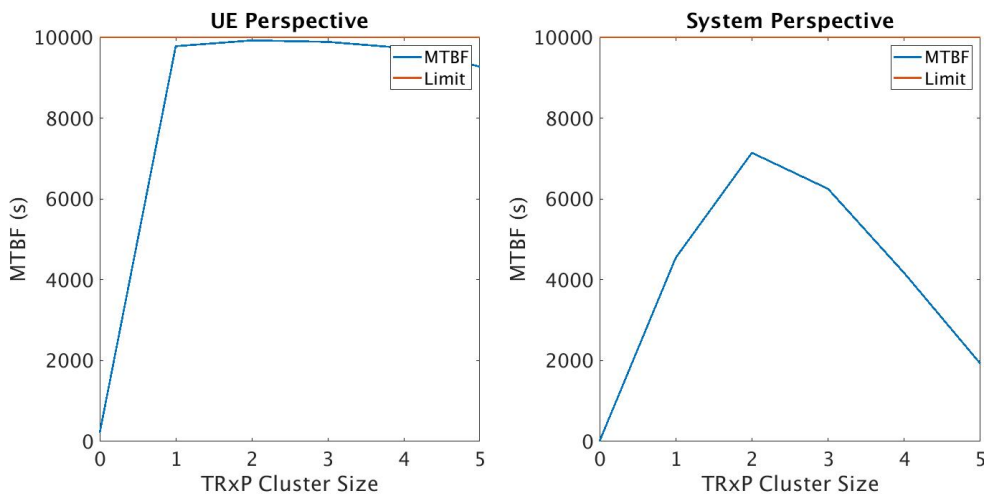


Figure 20: MTBF under different TRxP cluster size with 50 UEs

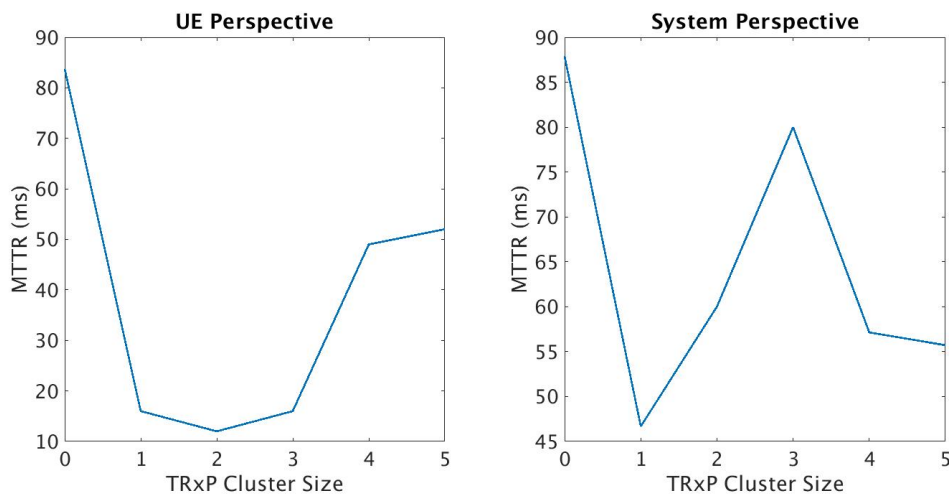


Figure 21: MTTR under different TRxP cluster size with 50 UEs

### 6.2.2 With 70 factory UEs

Figure 22 shows that the availability follows the same pattern as in the 50 UE case, but with a sharper drop in availability when more TRxPs than one are added to the UE cluster. This can be explained by the higher interference and lower available resources, causing more outages. It is evident from the figure that receiving data from all available TRxPs is almost as bad as the situation when no TRxPs are present at all, when the cell is loaded. By comparing the availability from the 50 UE and 70 UE cases, it is clear that multi-TRxP can also allow for higher capacity in a factory, given a certain desired availability. From a UE perspective, for example, 3 nines can be achieved even without TRxPs with a lower load (50 UEs), but with a higher load (70 UEs), multi-TRxPs with a cluster size of 1 or 2 is needed to get that level of availability.

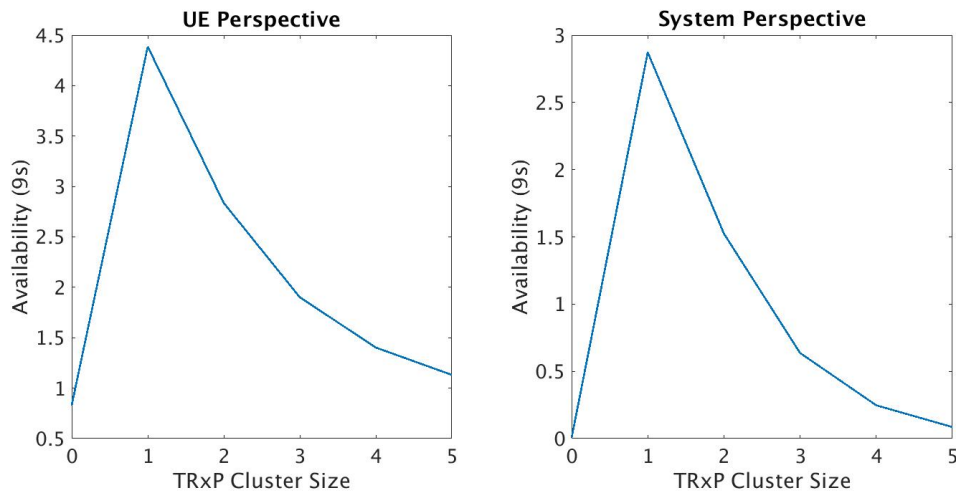


Figure 22: Availability of different TRxP cluster size with 70 UEs

The results of the MTBF and MTTR show that the same affect occurs for reliability as in availability, as evident from Figure 23 and Figure 24 respectively. The system MTBF increases from 0.08 s to 76.82 s, when multi-TRxP is added with cluster size 1. However, it quickly drops to 4.51 s even as one more TRxP is added to a cluster. Similarly, the system MTTR decreases from 9536 ms to 112 ms from case 0 and case 1, and then starts to rise again. The SINR plot in Figure 25 shows that indeed, the overall SINR of all UEs improves significantly when multi-TRxPs are used, but then starts to decrease with each additional TRxP in the cluster, due to the additional interference.

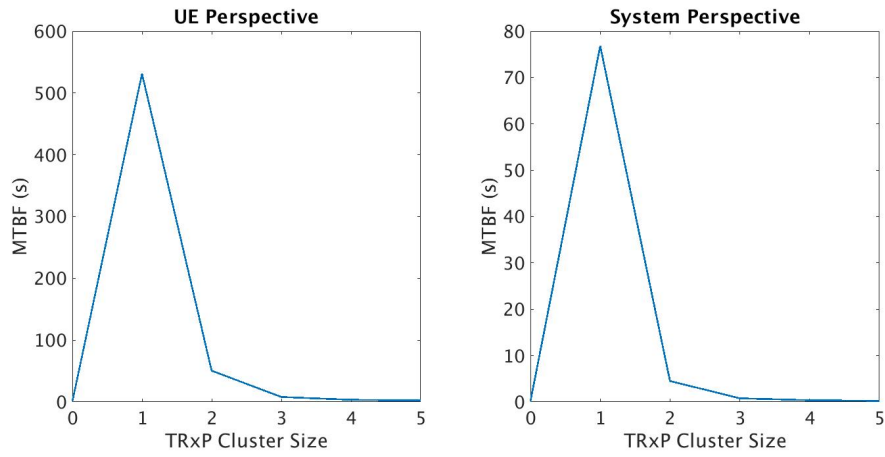


Figure 23: MTBF under different TRxP cluster size with 70 UEs

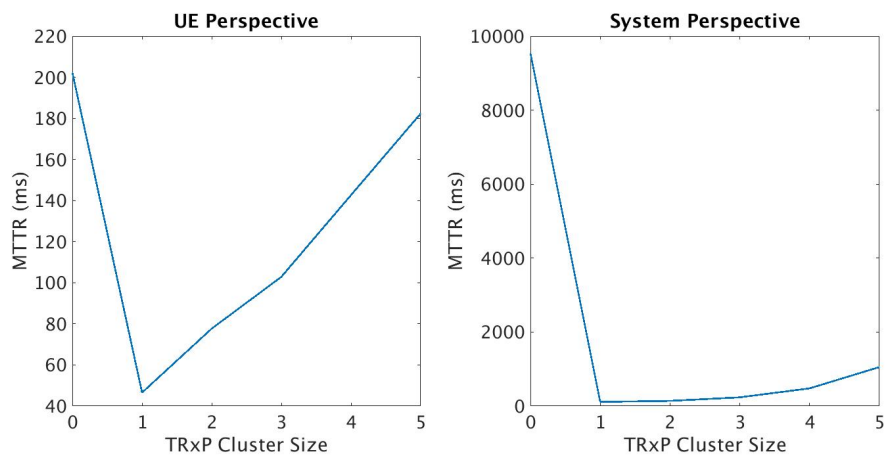


Figure 24: MTTR under different TRxP cluster size with 70 UEs

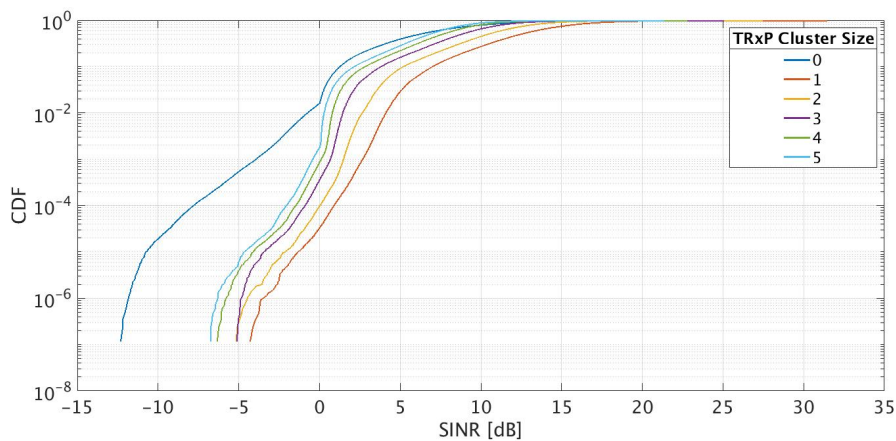


Figure 25: SINR for different TRxP cluster sizes with 70 UEs

### 6.2.3 With Variable Capacity

To further examine the effect of capacity on the factory’s performance, system availability for a range of number of UEs and over a range of TRxP cluster sizes is analyzed. The results shown in Figure 26 support the previous observation that the availability is highly dependant on the number of users. When the number of users is lower than 50, outages are very rare. For instance, with 30 UEs, no outages were recorded for any of the multi-TRxP cases. However without TRxPs, a few outages were recorded even with 30 UEs, and the achieved system availability was close to 3 nines. On the other hand, when 50 UEs are in the factory, the interference is large enough so that better observations can be made by the study, while still having a comparatively decent level of availability. The 70 UEs case suffers from occasional congestion and discards, and therefore a significant drop in availability. This justified the usage of 50 UEs and 70 UEs as the basis for analysis for most of the results, and reconfirms the ability of multi-TRxPs to improve availability and allow for larger capacity.

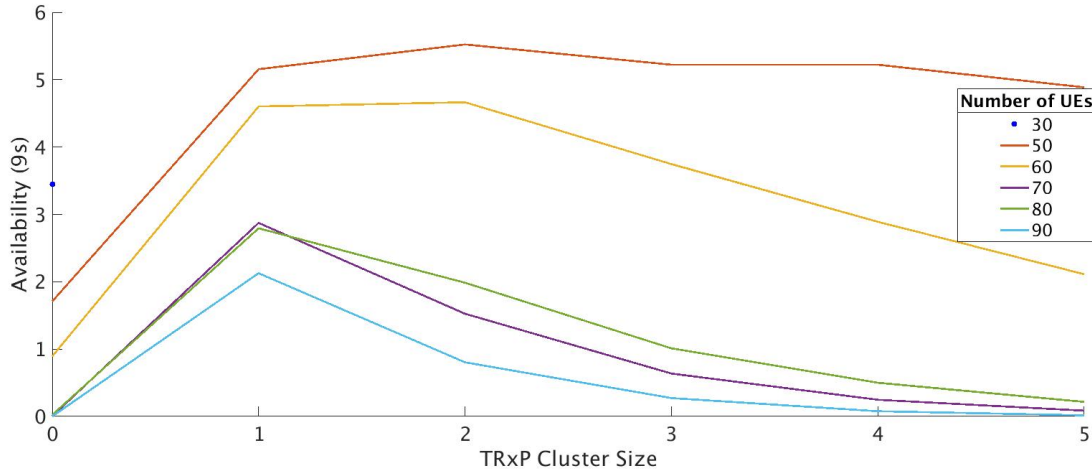


Figure 26: Availability of different TRxP cluster size with different number of UEs

### 6.3 Number of Existing TRxPs per cell Sweep

The second researched theme is whether or not the number of existing TRxPs in the factory cell affects availability and reliability. For this part of the analysis, the TRxP cluster size is fixed to 2 TRxPs per UE, and number of existing TRxPs is swept from 2 to 7. Other parameters are kept the same.

### 6.3.1 With 50 factory UEs

Figure 27 shows that with a fixed cluster size, the availability increases as the number of existing TRxPs in a cell increases. In fact, with 6 or 7 TRxPs, there were no outages in the entire long simulation run, across any of the 5 experiments. Therefore, no results were added from those sweep values in the figure. This result can be justified when considering that the interference does not increase when the number of TRxPs increases, if the UE is only receiving data from a small number of them. The additional existing TRxPs only help the UE select the two TRxPs which can provide it with a higher signal, potentially because the increased number of them means there is a higher likelihood of one being very close to the UE.

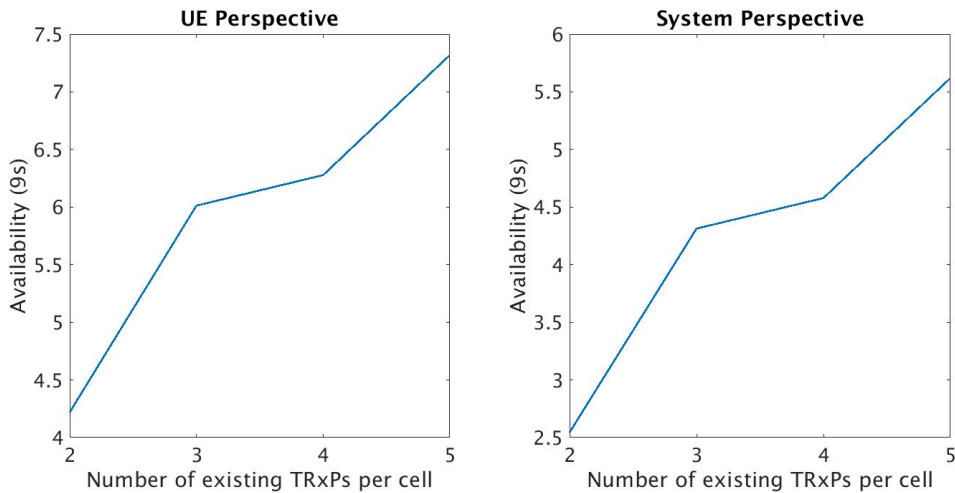


Figure 27: Availability under different number of available TRxPs per cell and 50 UEs

Similar results can be observed from the MTBF in Figure 28, which also increases and reaches the limit (the length of a single simulation run) with more existing TRxPs. The MTTR also generally decreases with more TRxPs, as shown in Figure 29, eventually reaching 0 with 6 and 7 TRxPs as no outages are observed across any of the simulation runs. Because the number of outages that could be obtained from the simulation was quite low, the decrease in MTTR is not consistent, and there are some fluctuations. However with the available resources and time limitations, long enough simulations could not be run, as they might even require weeks of wall-clock time in order to get a good statistical confidence with such a high availability, and separate tools that are capable of analyzing such a massive amount of data.

### 6.3.2 With 70 factory UEs

Similar observations can be drawn from the 70 UE cases, but with a lower overall reliability and availability. Figure 30 shows that almost a linear relationship exists



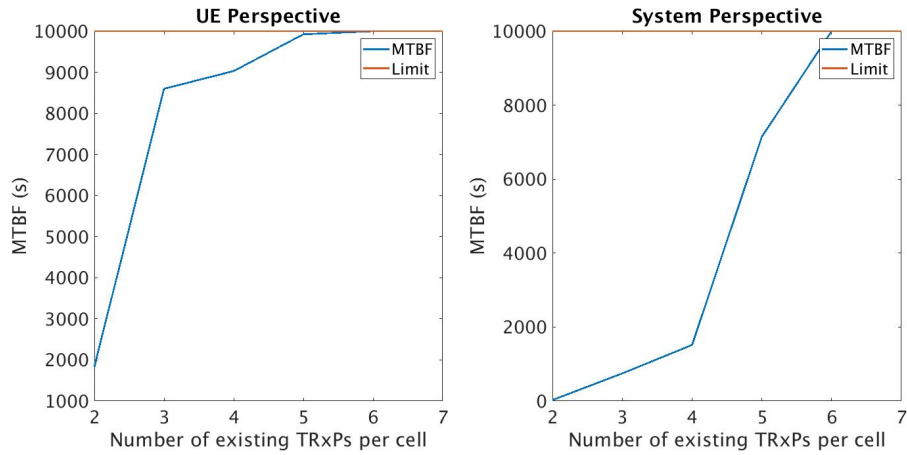


Figure 28: MTBF under different number of available TRxPs per cell and 50 UEs

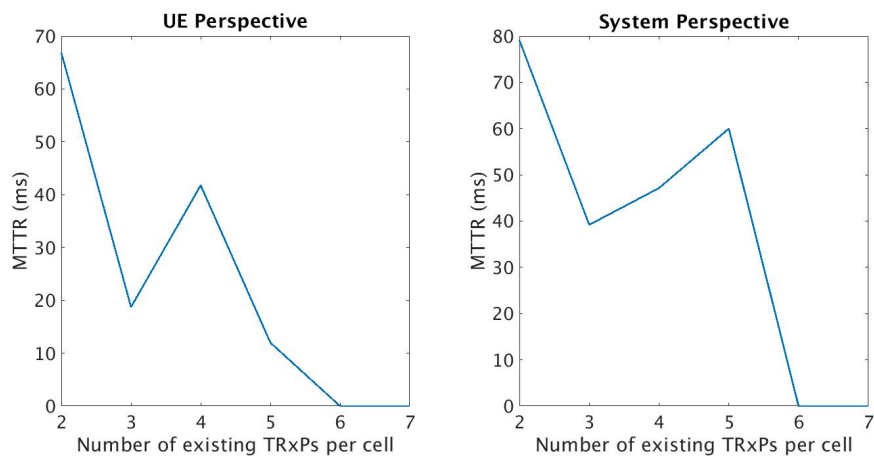


Figure 29: MTTR under different number of available TRxPs per cell and 50 UEs

between the number of existing TRxPs and availability as number of 9s. With 2 existing TRxPs, and 70 UEs, the BLER target was not met by at least one UE in most time steps, corresponding to a system availability of as low as 1.72%. By increasing the number of existing TRxPs per cell to 7, the system availability increases to 99.95%, or 3 nines. This corresponds to a slope of  $(0.9995 - 0.0172)/(7 - 2) = 19.7\%$  increase in system availability per added TRxP in a cell, on average. Similarly, increasing the number of existing TRxPs increases the MTBF and decreases the MTTR, as shown in Figure 31 and Figure 32 respectively.

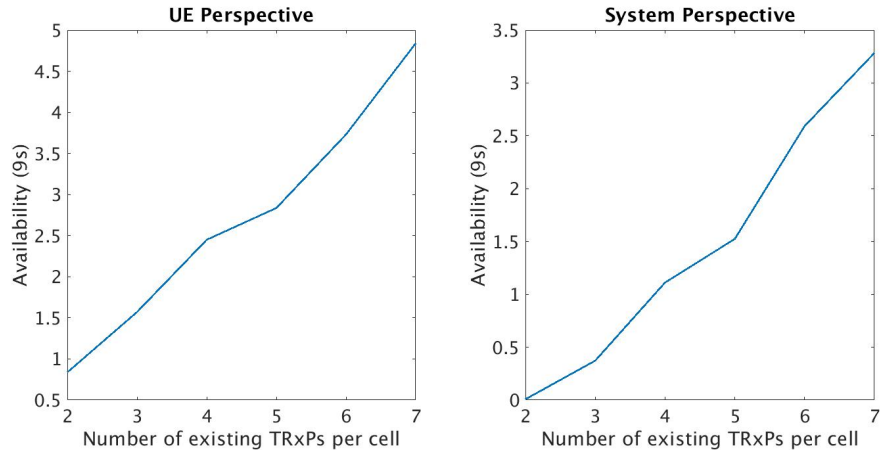


Figure 30: Availability under different number of available TRxPs per cell and 70 UEs

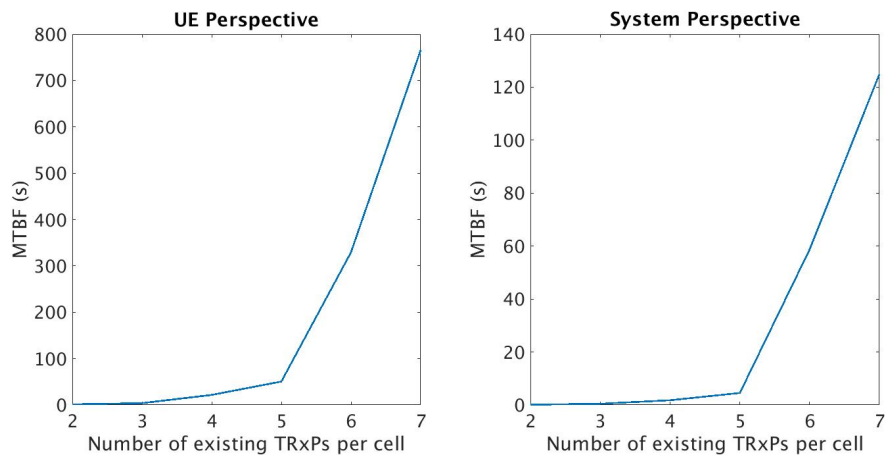


Figure 31: MTBF under different number of available TRxPs per cell and 70 UEs

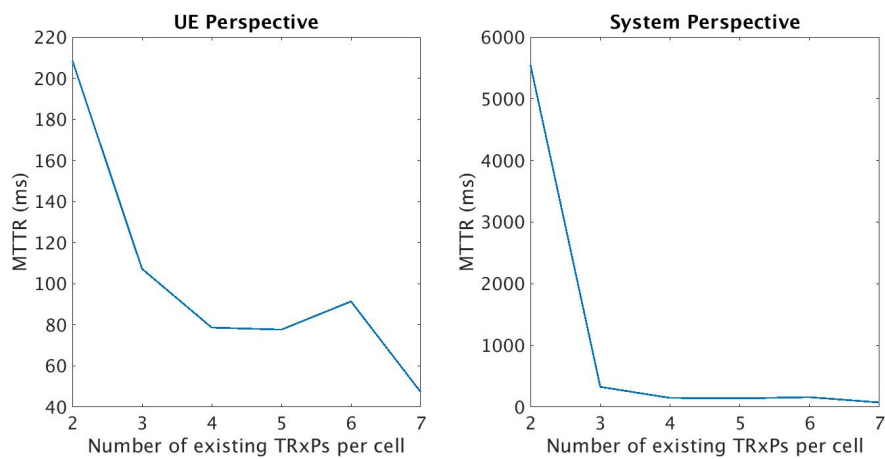


Figure 32: MTTR under different number of available TRxPs per cell and 70 UEs

## 6.4 TRxP Ring Radius Sweep

In this section, the importance of where the TRxPs are placed around a cell is analyzed. To simplify the analysis, the TRxPs are always placed as one in the middle of the cell, and others in a ring around it. The radius of this ring is varied in order to get an idea about whether or not an optimal placement exists. For those simulations, there were 5 existing TRxPs per cell, and a cluster size of 2 TRxPs per UEs, other parameters are kept the same.

### 6.4.1 With 50 factory UEs

When the factory load is low, and outages are scarce, and the TRxP placement does not have a drastic effect on the KPIs. It appears that increasing the radius, which moves TRxPs of different cells closer together, slightly worsens the availability, MTBF and MTTR, as observed from Figure 33, Figure 34, and Figure 35 respectively. Even though this worsening is clear, it is important to properly inspect the axes, which clearly show that the reliability and availability remain quite high and the drop is not significant. Note that with radius 10 m there were no outages and therefore no corresponding value in the availability plot.

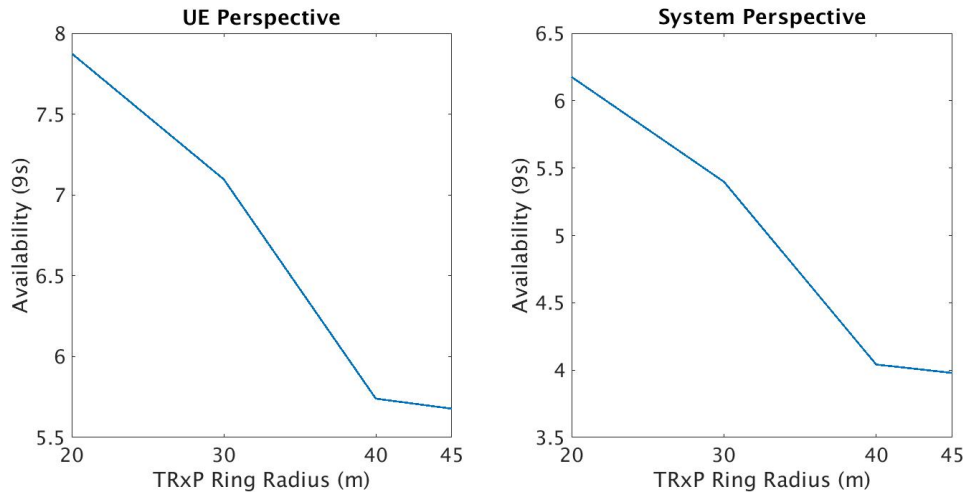


Figure 33: Availability with different TRxP Ring Radius and 50 UEs

### 6.4.2 With 70 factory UEs

More meaningful results are observed from a more loaded cell, as there are more outages and the placement of TRxPs has a bigger impact on them. The results indicate that an optimal placement of TRxPs within the factory that results in the best KPIs does likely exist. From Figure 36, it is clear that the best availability is

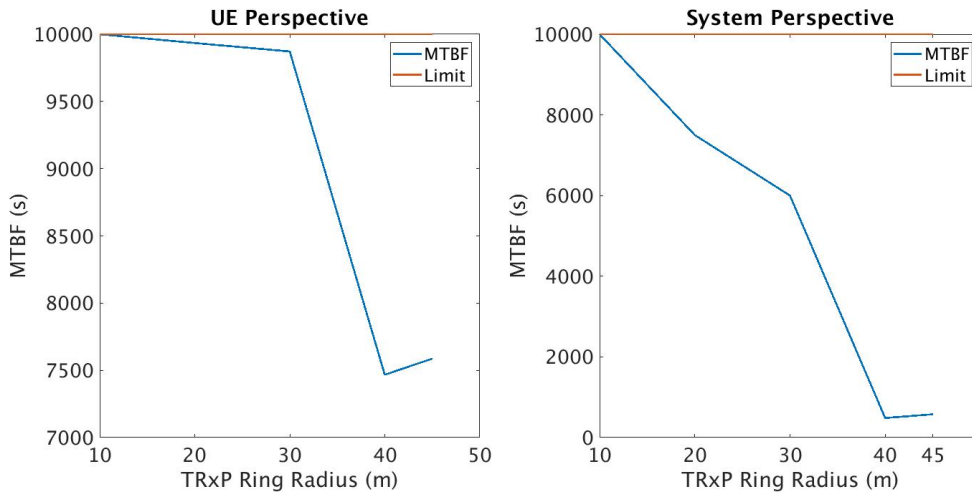


Figure 34: MTBF with different TRxP Ring Radius and 50 UEs

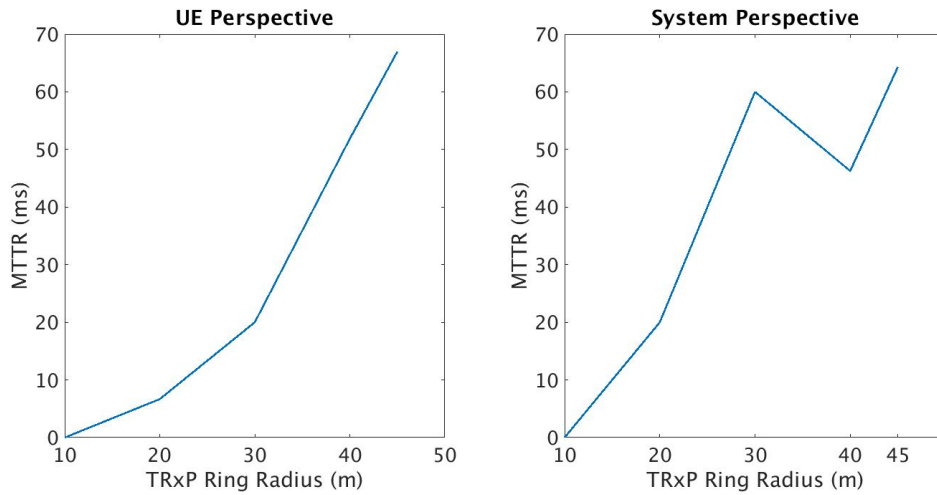


Figure 35: MTTR with different TRxP Ring Radius and 50 UEs

achieved when the surrounding TRxPs are 30 m away from the central TRxP. This follows the conclusions made regarding the effects of interference on the scenario. When the TRxPs are too close to each other at the center of the cell, the benefit from their existence is minimal, as the spatial diversity gains are small. When they are moved further apart, and cover more parts of the factory, they likelihood of a TRxP being close to a UE increases, and there is a higher chance that a UE's SINR will improve. However, when the TRxPs ring radius becomes higher than 30, this means that the TRxPs close to cell edge get very close to each other, keeping in mind that the linear distance between the center of the cells in the factory is 100 m. This increases the interference on users, causing more frequent and longer outages, as shown from the MTBF and MTTR in Figure 37 and 38 respectively.

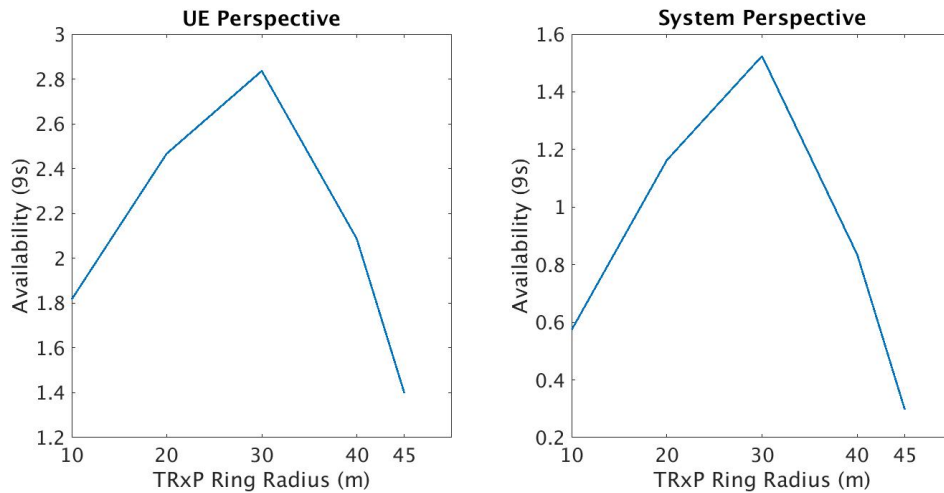


Figure 36: Availability with different TRxP Ring Radius and 70 UEs

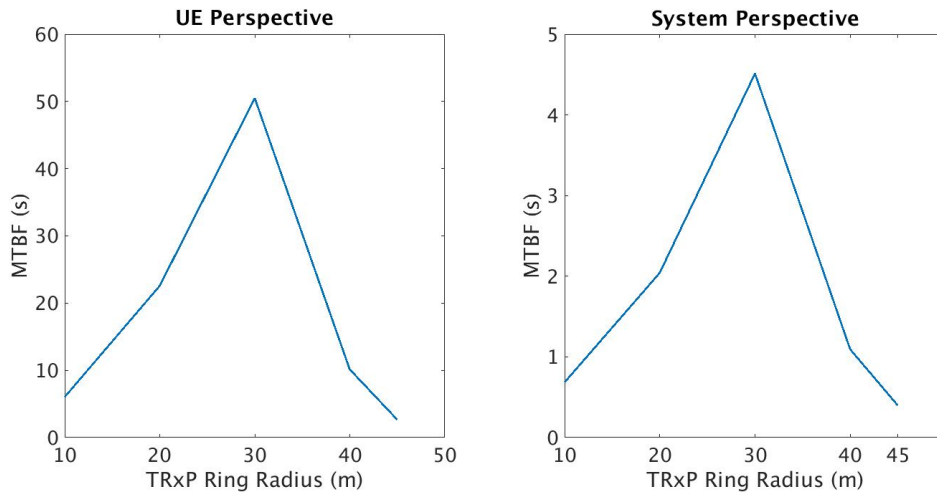


Figure 37: MTBF with different TRxP Ring Radius and 70 UEs

## 6.5 System Statistics and Confidence Intervals

Some statistics from the system perspective are displayed in Table 7. The table displays the MTBF and MTTR with their corresponding 95% confidence intervals with a normal distribution assumption, as the lower Confidence Limit (CL) and upper CL. The number of outage events is also shown. The results show that when the conditions are good and availability is high, the confidence intervals are quite large. This is expected, since the means are calculated from very few outage events. In some conditions there were no outages across the entire simulation run for any of the users across any of the experiments. For those cases a Not a Number (nan) is present in place of a confidence interval. Low statistical confidence is normal and expected for high availability studies. For example, for the TRxP Cluster Size sweep, value 2, with 50 UEs, there were only 2 outage events from 5 different experiments,

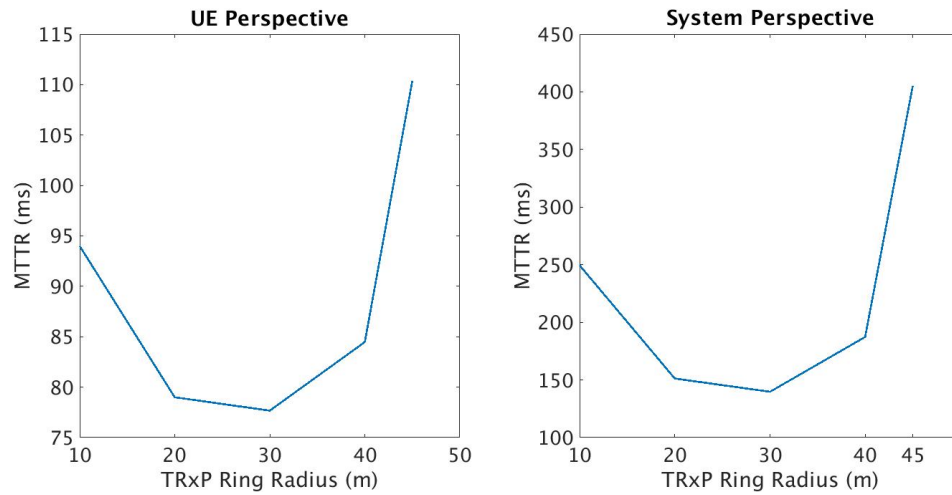


Figure 38: MTTR with different TRxP Ring Radius 70 UEs

each with 50 users moving around the factory for 1 million time steps (plus 200 time steps as a warmup period). The only way to achieve good statistical confidence in such cases would be to have a different, faster simulation tool, less time limitation, as well as a better available tool for analyzing massive volumes of data. Already with this data the post processing and plotting of figures took a very long time on Matlab, even after many code optimizations. The statistical confidence is however enough to compare results across different sweep values, and get valuable insight into high reliability studies and the optimal usage of the multi-TRxP solution. This is especially true since the same overall conclusions were generally drawn from the 50 UE and 70 UE cases, and the 70 UE cases generally have small confidence intervals due to more outages caused by the overloaded cells and increased interference.

Table 7: System Output Statistics

	<b>MTBF</b> <b>(s)</b>	<b>MTBF</b> <b>Lower</b> <b>CL</b>	<b>MTBF</b> <b>Upper</b> <b>CL</b>	<b>MTTR</b> <b>(ms)</b>	<b>MTTR</b> <b>Lower</b> <b>CL</b>	<b>MTTR</b> <b>Upper</b> <b>CL</b>	<b>Outage</b> <b>Events</b>
<b>50 UEs</b>							
TRxP Cluster Size							
0	0.04	0.04	0.04	87.9	86.7	89.1	11204
1	45.45	19.42	71.49	46.7	13.7	79.6	6
2	71.43	32.34	110.52	60	-194.1	314.1	2
3	62.5	27.58	97.42	80	-82.9	242.9	3
4	41.67	17.04	66.29	57.1	0	114.3	7
5	19.23	10.59	27.87	55.7	33.1	78.4	21
<b>70 UEs</b>							
TRxP Cluster Size							
0	0.08	0.05	0.11	9536.3	6121.1	12951.6	104
1	76.82	5.5	148.14	111.7	42.9	180.4	12
2	4.51	3.09	5.94	139.7	101.8	177.7	214
3	0.78	0.65	0.92	235.6	201.3	269.9	980
4	0.37	0.31	0.42	478.2	406.8	549.6	1183
5	0.23	0.19	0.27	1053.5	852.8	1254.2	780
<b>50 UEs</b>							
N. Existing TRxPs							
2	27.55	25.02	30.08	79.1	76.3	81.9	1805
3	746.23	243.92	1248.54	39.2	32.2	46.2	62
4	1515.11	935.65	2094.57	47.1	30.1	64.2	28
5	7142.84	3234	11051.68	60	-194.1	314.1	2
6	10000	10000	10000	0	nan	nan	0
7	10000	10000	10000	0	nan	nan	0
<b>70 UEs</b>							
N. Existing TRxPS							
2	0.1	0.07	0.12	5552.3	3546.5	7558.1	177
3	0.45	0.38	0.51	327.6	284.7	370.6	1294
4	1.77	1.39	2.14	148.5	123.9	173	521
5	4.51	3.09	5.94	139.7	101.8	177.7	214
6	58.67	11.58	105.77	160	7.2	312.8	16
7	124.94	11.99	237.88	74.3	40.2	108.4	7

	MTBF (s)	MTBF Lower CL	MTBF Upper CL	MTTR (ms)	MTTR Lower CL	MTTR Upper CL	Outage Events
<b>50 UEs</b>							
TRxP Ring Radius							
10	10000	10000	10000	0	nan	nan	0
20	7500	1995.94	13004.05	2	nan	nan	1
30	5999.98	299.09	11700.86	6	-19.41	31.41	2
40	483.83	281.01	686.64	4.63	3.77	5.48	59
45	576.86	413.49	740.23	6.43	5.04	7.82	49
<b>70 UEs</b>							
TRxP Ring Radius							
10	0.34	0.29	0.4	24.95	21.47	28.42	1070
20	1.02	0.81	1.24	15.12	12.33	17.92	455
30	2.26	1.54	2.97	13.97	10.18	17.77	214
40	0.55	0.45	0.65	18.73	16.05	21.41	780
50	0.2	0.17	0.23	40.54	34.9	46.18	1245



## 7 Conclusion

### 7.1 Summary

By means of simulations, a factory with mobile robots and two indoor cells is analyzed, in order to observe how multi-TRxPs can be used to improve reliability and availability. First, the simulation shows that DCHO and MMIB-based LA can be used to eliminate successful HO interruption times, and reduce HO failures and RLFs. For all simulated cases, with medium (50 UEs) and slightly high (70 UEs) load on the factory in terms of number of UEs, there were no recorded RLFs. However, outages defined by bad QoS periods still exist, and are quantified as the time steps when the LA cannot meet the BLER target for at least one UE. Outages occur mostly when the interference is high, and when the cell is too congested. Then, the ability to use multi-TRxPs to decrease the outage durations and frequency is analyzed.

The simulation results show that for the case with multi-TRxPs, when a small cluster size is chosen (1 or 2 TRxPs), availability, MTTR, and MTBF could be significantly improved as compared to the case with no TRxPs. However, especially with a more loaded cell, further increasing the TRxP cluster size has a negative effect, due to the additional interference it causes. Regarding the number of TRxPs that exist in a factory, it was shown that deploying more TRxPs generally improves reliability and availability, for a fixed small TRxP cluster size. This is because the probability of increasing the received power at a UE becomes higher when more TRxPs exist, improving the SINR. Therefore, the general recommendation is to deploy many TRxPs, but have the UE receive data from only one or maximum two at a time. This also allows a factory to increase its capacity, in terms of the number of UEs, and still meet URLLC requirements.

Regarding the placement of TRxPs around the factory, it is found that it does indeed have a large impact on reliability and availability, but only when the cells are quite loaded and interference is already problematic. Then, an optimal position exists where the TRxPs are distributed enough in the factory so that there is a higher probability of them being near a UE at any time, thereby providing it with a higher signal power, but still far enough from the TRxPs of the other cell so that the interference it causes is limited.

Finally, it is observed that the shadowing map does not have a significant impact on the results, and simulation runs with different experiments corresponding to different shadowing realizations produce very similar results with all other parameters kept constant. Therefore, the displayed results have been averaged over the different experiments when present, and the results are therefore applicable to any factory, regardless of its associated shadowing realization.

## 7.2 Evaluation and Limitations

As with any simulation work, many limitations and sources of inaccuracies and errors can exist, and it is vital to know what they are and how they affect the results.

To begin with, very strict requirements have been assumed, which might not actually be needed in a real application. Those strict requirements include not allowing any HARQ re-transmissions, which actually depends on the latency requirements of the application. Additionally, the outage definition is very strict. The application could very likely tolerate a short time interval with few UEs having higher than target BLEP without any problems. This period of time during which the application which is consuming the communication service is able to continue its operation even without an anticipated message is defined as survival time, according to TS 22.261 [19]. Survival time has not been taken into account in this study, as it would have been difficult to come up with accurate values. Instead, a strict approach was taken where it is simply assumed to be zero. For this reason, the statistics for reliability and availability that were obtained are likely worse than what they actually would be in reality.

Some limitations of the simulation tool includes that it does not model SDUs explicitly, and therefore outage durations could not be observed from the SDUs that were not delivered correctly, in-time, and within QoS requirements. Instead, poor QoS has been linked to probability distributions, which is less accurate. Additionally, the tool's timestep is 10 ms, which is quite large compared to TTI, and everything within this time step is averaged, assuming a constant channel within it. This means that the smallest outage that could be recorded was 10 ms, when in fact it might be much shorter.

Overall, the simulation includes a large amount of abstraction, averaging, and simplified models. One of the simplifications that likely had a large effect on the results is the scheduling assumption. Any UE that cannot be served by its serving cell in a time step because all available PRBs are allocated, is considered 'discarded', and remains so until a time step where the cell has enough resources after serving all other UEs to serve it. The discarded UE is chosen randomly, when the available resources are lower than the required ones. Time steps for a discarded UE are considered to have BLEP of 1, and are therefore in outage. This means that the outage duration for a UE is much larger when it is discarded than what would realistically happen, where the UE would be served and another would be discarded in place of it. This affects the UE perspective results, but not the system perspective ones, as those would be the same no matter which UE is in outage, as long as any is in outage.

Moreover, for all simulations, the fast fading standard deviation is taken as a constant value, without taking into account the effect that the number of TRxPs would have on it. Additionally, a global SINR standard deviation is assumed regardless of the line of sight conditions. Additionally, the interference calculation is based on

load information from the previous time step, and is therefore not entirely accurate. BLEP values also fluctuate rapidly due to the spacing of the MCSs in the table, and the fact that a new one can be selected at any time step. This affects the accuracy of the outage statistics. The LA is also reactive, and affected by the errors from UE report delays, which are modelled.

The channel model used, which is a measurement-based industrial indoor channel model from [21] also introduces inaccuracies. Results from field measurements are always at least partly specific to the conditions in which they were carried out. For a factory with different clutter or setup, the pathloss might be different. The measurements are also specific to the height difference between the transmitter and receiver. The same difference has been used in the simulation as in the paper, but the results are likely to differ for a different setup. Since the measurements are only valid up to 140 m between Tx and Rx, the modeled factory size has been limited so as not to exceed this distance. Additionally, human errors in making the measurements are unavoidable, even when great care is taken. A cart was pushed forward at a low speed to gather samples of received power, and the person pushing the cart must have varied his/her speed slightly and even moved slightly out of path at times. However, by having a large enough number of samples, this inaccuracy decreases, and the authors managed to achieve acceptable confidence intervals.

The paper provides both a path loss model with a fixed intercept as well as one with a non-fixed intercept for the channel model. The authors point out that existing models from literature have usually used a fixed intercept path loss, such as [39] and [40]. Fixed intercepts assume that at the reference distance  $d_0$ , path loss follows free space propagation. This assumption does not fit the modeled scenario, as it is usually only applicable in obstruction-free spacious areas with a very high Tx. Therefore, the non-fixed intercept pathloss, which has been adopted in this work, is more suitable. The authors also verify their model by means of a Kolmogorov-Smirnov (K-S) goodness-of-fit test, and all the non-fixed intercept models passed the test at  $\alpha = 0.5$  level of significance. Additionally, the chosen pathloss exponent for this work is lower than the free space pathloss of  $n = 2$ , which is a good choice considering the heavy multipath propagation of the studied industrial environment. The authors also measure the background radiation before starting their experiments, to guarantee that machinery or other sources of noise does not disrupt their measurements.

Finally, an important limitation for this work is the time and resources limitation, which prevented the ability of running longer simulations and getting more precise statistics. For all those reasons, as well as the inherent inaccuracy of reliability predictions, and problems associated with the MTBF metric and its unrealistic underlying assumptions, the absolute results should not be taken as an accurate assessment of the reliability level of a similar factory scenario in reality. Instead, the results give valuable insight into the relative difference of the design choices.

### 7.3 Future Work

This work is seen as a starting point, on top of which many other aspects could be analyzed if time permits. An important aspect to analyze would be the combination of beamforming with the multi TRxP solution. Beamforming is a key feature of 5G, and an excellent method to reduce interference. Interference could also be reduced by means of interference coordination and mitigation techniques, such as eICIC. Another possibility is to utilize the scenario to study the impact of predictive mobility, where the HO decisions are made via a Machine Learning (ML) algorithm which is trained under similar industrial conditions. ML can be potentially used to optimize the triggers and offsets, and to connect to the best cell in order to attempt to keep the SINR high.

Further improvements could include modeling the control plane, and observing how it performs. Control plane signals can tolerate higher delays and therefore HARQ re-transmissions could potentially be used, which would improve the reliability. It would also be interesting to study the time distribution of errors, to see if outages are occurring in bursts or more regularly, for example. Outages caused by a bad handover or multi-TRxP decision will probably be correlated in time. This would require a much longer simulation run in order to be properly analyzed. The spatial distribution of errors can also be studied, to see if there are certain areas of the factory where UEs are more likely to experience outages. Moreover, the factory's performance under mixed traffic models can be analyzed, with both best effort mobile broadband background users, as well as the URLLC traffic.

In order to improve the errors caused by UE reports, the link adaptation can be made to consider the CQI reporting delays while the MCS is being selected. This can be achieved by providing the scheduler with additional information, such as the channel variations and delay [34]. Additionally, more accurate estimates of the channel quality can be obtained if the UE is made to report the PUCCH more frequently, which comes at the expense of higher signaling overhead and power consumption [38].

Longer simulation runs and more experiments can be conducted to improve the statistical confidence, but could lead to an unreasonably long waiting time and unreasonably large collected data, which would require a separate tool that is capable of analyzing the data and producing the required plots. Different aspects could also be analyzed if time permits, such as having more simulations while sweeping the number of factory UEs. The effect of the placement of TRxPs could also be studied by considering all different locations around the factory, instead of assuming a circular placement and only varying the radius. Moreover, different multi-point transmission methods could also be compared, such as analyzing dynamic point selection, as it might be a better method considering the large impact of interference. Additionally, for the DCHO, different TTT and offsets could be considered, and results with FCS could also be observed and compared to DD.

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