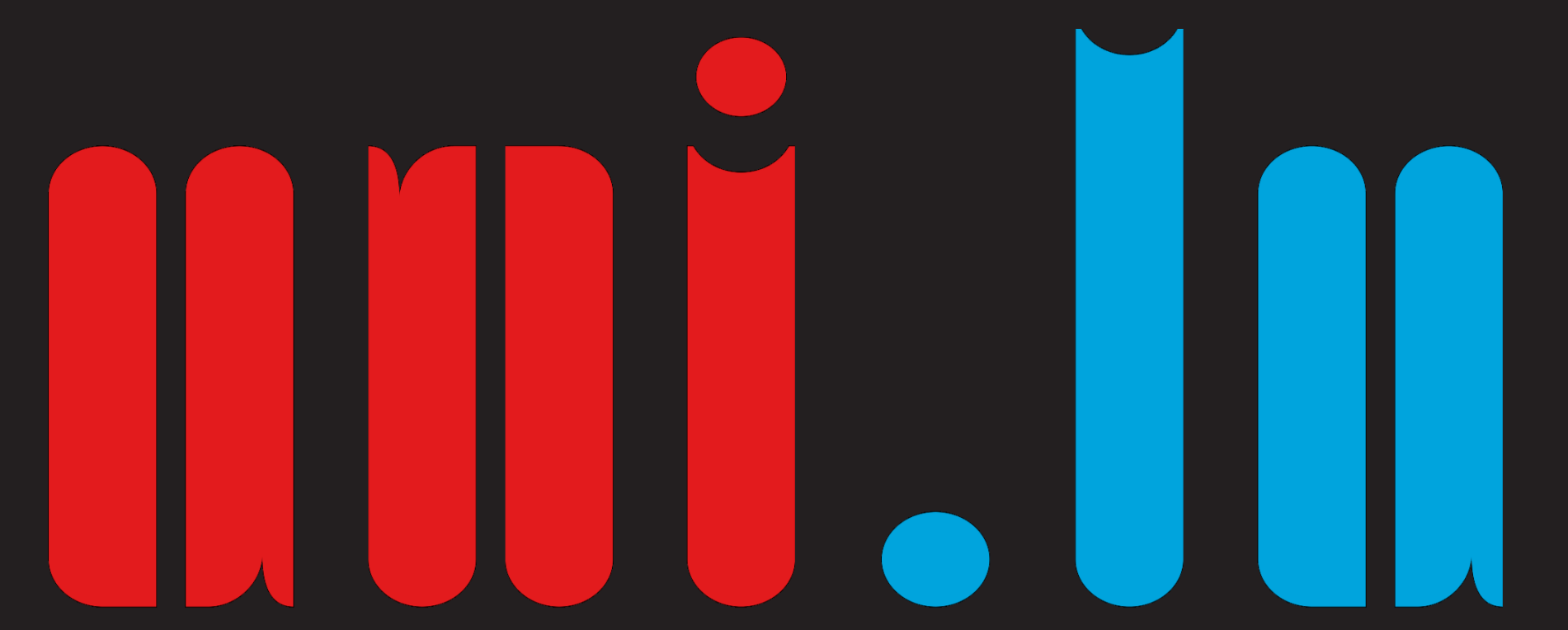


# A Faithful Semantic Embedding of the Dyadic Deontic Logic E in HOL

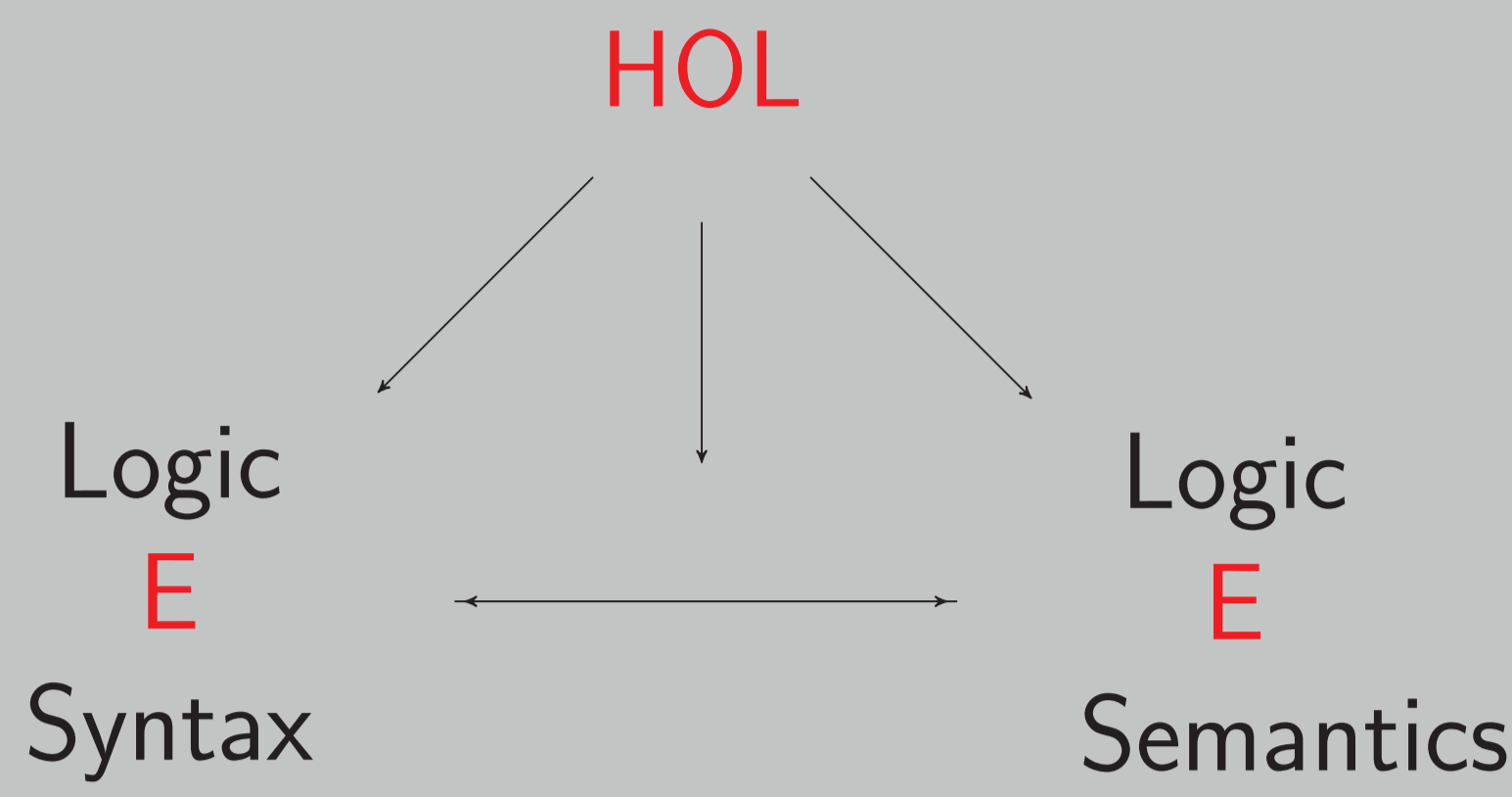
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## Shallow Semantical Embedding

A semantic embedding of a target logical system defines the syntactic elements of the target language in a background logic (HOL) [2].



### Comprehension axiom:

$$\neg\varphi = \{x \mid \neg_{o \rightarrow o}(\varphi x)\} = \lambda x. \neg_{o \rightarrow o}(\varphi x)$$

$M, s \models \neg\varphi$  if and only if  $M, s \not\models \varphi$  (that is, not  $M, s \models \varphi$ )

## System E: Syntax

Åqvist defined dyadic deontic logic system **E** [1] by the following axioms and rules: ( $\Box$  (S5-schemata for necessity) and  $\bigcirc(-/-)$  (for conditional obligation))

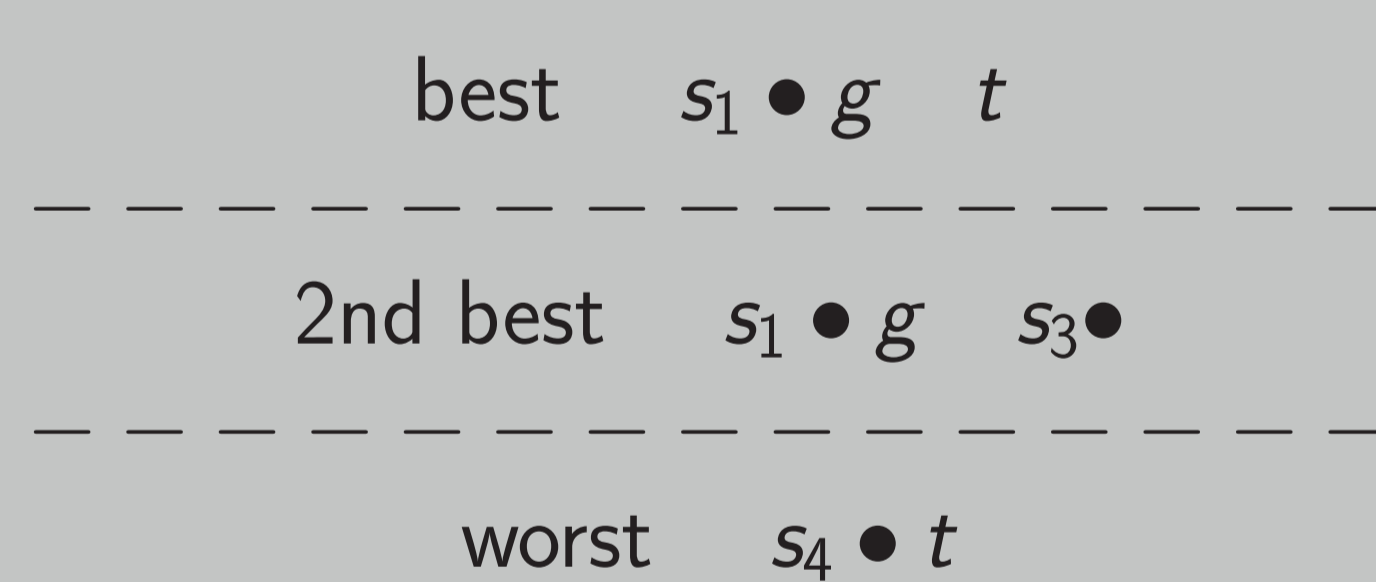
$\bigcirc(\psi_1 \rightarrow \psi_2 / \varphi) \rightarrow (\bigcirc(\psi_1 / \varphi) \rightarrow \bigcirc(\psi_2 / \varphi))$	COK
$\bigcirc(\psi / \varphi) \rightarrow \Box \bigcirc(\psi / \varphi)$	Abs
$\Box\psi \rightarrow \bigcirc(\varphi / \psi)$	Nec
$\Box(\varphi_1 \leftrightarrow \varphi_2) \rightarrow (\bigcirc(\psi / \varphi_1) \leftrightarrow \bigcirc(\psi / \varphi_2))$	Ext
$\bigcirc(\varphi / \varphi)$	Id
$\bigcirc(\psi / \varphi_1 \wedge \varphi_2) \rightarrow \bigcirc(\varphi_2 \rightarrow \psi / \varphi_1)$	Sh

## System E: Semantics

- A preference model is a structure  $M = \langle S, \succeq, V \rangle$  where
  - $S$  is a non-empty set of items called possible worlds;
  - $\succeq \subseteq S \times S$  (intuitively,  $\succeq$  is a betterness or comparative goodness relation);
  - $V$  is a function assigning to each atomic sentence a set of worlds (i.e.  $V(q) \subseteq S$ ).
- (Satisfaction) Given  $opt_{\succeq}(V(\varphi)) = \{s \in V(\varphi) \mid \forall t (t \models \varphi \rightarrow s \succeq t)\}$ 
 $M, s \models \bigcirc(\psi / \varphi)$  if and only if  $opt_{\succeq}(V(\varphi)) \subseteq V(\psi)$
- (Soundness and Completeness) System **E** is (strongly) sound and complete with respect to the class of all preference models [1].

## Contrary-To-Duties

- Chisholm's CTD-paradox [4]
  - It ought to be that a certain man go to help his neighbours.
  - It ought to be that, if he goes he tell them he is coming.
  - If he does not go, he ought not to tell them he is coming.
  - He does not go.



- For example actual world  $s_3$  satisfies:  $\bigcirc(g)$   $\bigcirc(t/g)$   $\bigcirc(\neg t / \neg g)$   $\neg g$

## Formulas E as Certain HOL Terms

We assume a set of basic types  $BT = \{o, i\}$ . The mapping  $[\cdot]$  translates **E** formulas  $s$  into HOL terms  $[s]$  of type  $i \rightarrow o$ . Type  $i \rightarrow o$  is abbreviated as  $\tau$  in the remainder.

$$\begin{aligned} [p^j] &= p^j \\ [\neg s] &= \neg_{\tau} [s] \\ [s \vee t] &= \vee_{\tau \rightarrow \tau} [s] [t] \\ [\Box s] &= \Box_{\tau \rightarrow \tau} [s] \\ [\bigcirc(t/s)] &= \bigcirc_{\tau \rightarrow \tau} [s] [t] \end{aligned}$$

$\neg_{\tau \rightarrow \tau}$ ,  $\vee_{\tau \rightarrow \tau}$ ,  $\Box_{\tau \rightarrow \tau}$  and  $\bigcirc_{\tau \rightarrow \tau}$  thereby abbreviate the following HOL terms:

$$\begin{aligned} \neg_{\tau \rightarrow \tau} &= \lambda A_{\tau} \lambda X_i. \neg(A X) \\ \vee_{\tau \rightarrow \tau} &= \lambda A_{\tau} \lambda B_{\tau} \lambda X_i. (A X \vee B X) \\ \Box_{\tau \rightarrow \tau} &= \lambda A_{\tau} \lambda X_i \forall Y_i. (A Y) \\ \bigcirc_{\tau \rightarrow \tau} &= \lambda A_{\tau} \lambda B_{\tau} \lambda X_i \forall W_i. ((\lambda V_i (A V \wedge (\forall Y_i (A Y \rightarrow r_{i \rightarrow \tau} V Y)))) W \rightarrow B W) \end{aligned}$$

## Corresponding Henkin Model $H^M$ for Preference Model $M$

Given a preference model  $M = \langle S, \succeq, V \rangle$ . Let  $p^1, \dots, p^m \in PV$ , for  $m \geq 1$  be propositional symbols and  $[p^j] = p^j$  for  $j = 1, \dots, m$ . The Henkin model  $H^M = \langle \{D_{\alpha}\}_{\alpha \in T}, I \rangle$  for  $M$  is defined as follows:

- $D_i$  is chosen as the set of possible worlds  $S$
- $D_{\alpha \rightarrow \beta}$  as (not necessarily full) sets of functions from  $D_{\alpha}$  to  $D_{\beta}$ .
- For  $1 \leq i \leq m$ , we choose  $l p^i \in D_{\tau}$  such that  $l p^i(s) = T$  if  $s \in V(p^i)$  in  $M$  and  $l p^i(s) = F$  otherwise.
- We choose  $l r_{i \rightarrow \tau} \in D_{i \rightarrow \tau}$  such that  $l r_{i \rightarrow \tau}(u, s) = T$  if  $s \succeq u$  in  $M$  and  $l r_{i \rightarrow \tau}(u, s) = F$  otherwise.

## Corresponding Preference Model $M_H$ for Henkin Model $H$

For every Henkin model  $H = \langle \{D_{\alpha}\}_{\alpha \in T}, I \rangle$  there exists a corresponding preference model  $M_H$ . Corresponding means that for all **E** formulas  $\delta$  and for all assignment  $g$  and worlds  $s$ ,  $\models [\delta] S; \models^{H, g[s/S]} = T$  if and only if  $M_H, s \models \delta$ . We construct the corresponding preference model  $M_H$  as follows:

- $S = D_i$ .
- $s \succeq u$  for  $s, u \in S$  iff  $l r_{i \rightarrow \tau}(u, s) = T$ .
- $s \in V(p^i_{\tau})$  iff  $l p^i_{\tau}(s) = T$ .

## Result: Soundness and Completeness of the Embedding

Given  $\text{vld}_{\tau \rightarrow o} = \lambda A_{\tau} \forall S_i (A S)$  we have:  $\models^E \varphi$  if and only if  $\models^{\text{HOL}} \text{vld} [\varphi]$

## Isabelle/HOL: Propositional Connectives

```

theory DDLE imports Main
begin
typedcl i -- "type for possible worlds"
type_synonym sigma = "(i => bool)"

abbreviation(input) mtrue  :: "'sigma" ("T") where "T == lambda w. True"
abbreviation(input) mfalse :: "'sigma" ("F") where "F == lambda w. False"
abbreviation(input) mneg  :: "'sigma" ("¬") [52]53 where "¬ phi == lambda w. not (phi w)"
abbreviation(input) mand  :: "'sigma => sigma" ("σ ⇒ σ") [51] where "phi ∧ psi == lambda w. phi w ∧ psi w"
abbreviation(input) mor   :: "'sigma => sigma" ("σ ∨ σ") [50] where "phi ∨ psi == lambda w. phi w ∨ psi w"
abbreviation(input) mimp  :: "'sigma => sigma" ("σ → σ") [49] where "phi → psi == lambda w. phi w → psi w"
abbreviation(input) mequ  :: "'sigma => sigma" ("σ ↔ σ") [48] where "phi ↔ psi == lambda w. phi w ↔ psi w"
    
```

## Isabelle/HOL: Modal Operators

```

abbreviation(input) mbox  :: "'sigma => sigma" ("□") where "□ phi == lambda w. forall v. phi v"
consts r :: "'sigma => sigma" (infixr "r" 70)
-- "the betterness relation r, used in definition of □"
abbreviation(input) mopt  :: "'sigma => sigma" ("□ opt")
where "□ opt phi == (lambda w. (phi w) & (forall x. (phi x) -> v r x))"
abbreviation(input) msubset :: "'sigma => sigma" (infix "⊆" 53)
where "phi ⊆ psi == forall x. phi x -> psi x"
abbreviation(input) mcond  :: "'sigma => sigma" ("□<_>")
where "□<psi> phi == lambda w. □ opt phi w ⊆ psi"
    
```

## Isabelle/HOL: Validity

```

abbreviation(input) valid :: "'sigma => bool" ("□") [8]109
where "[p] == forall w. p w"
    
```

## Isabelle/HOL: Chisholm Scenario

```

section {* Chisholm Scenario *}
consts g :: "'sigma" t :: "'sigma"
context (* Chisholm Scenario *)
assumes
ax1: "[ □<g> T ]" and
ax2: "[ □<t> g ]" and
ax3: "[ □<¬t> ¬g ]" and
ax4: "[ ¬g ]"
begin
lemma True nitpick [satisfy, user_axioms, show_all, expect=genuine] oops
end
    
```

## Conclusion

- We have described a faithful semantic embedding of the dyadic deontic logic system **E** in simple type theory.
- This work complements the one reported in [3] where the focus is on neighborhood semantics for dyadic deontic logic.
- Our work provides the theoretical foundation for the implementation and automation of dyadic deontic logics within theorem provers and proof assistants.

## References

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