Redefining Cut-Points for High Symptom Burden of the Global Initiative for Chronic Obstructive Lung Disease Classification in 18,577 Patients with Chronic Obstructive Pulmonary Disease

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ABSTRACT

Background Patients with chronic obstructive pulmonary disease (COPD) can be classified into groups A/C or B/D based on symptom intensity. Different threshold values for symptom questionnaires can result in misclassification and, in turn, different treatment recommendations. The primary aim was to find the best fitting cut-points for Global initiative for chronic Obstructive Lung Disease (GOLD) symptom measures, with a modified Medical Research Council dyspnea grade of 2 or higher as point of reference.

Methods After a computerized search, data from 41 cohorts and whose authors agreed to provide data were pooled. COPD studies were eligible for analyses if they included, at least age, sex, postbronchodilator spirometry, modified Medical Research Council, and COPD Assessment Test (CAT) total scores.

Main outcomes Receiver operating characteristic curves and the Youden index were used to determine the best calibration threshold for CAT, COPD Clinical Questionnaire, and St. Georges Respiratory Questionnaire total scores. Following, GOLD A/B/C/D frequencies were calculated based on current cut-points and the newly derived cut-points.

Findings A total of 18,577 patients with COPD [72.0% male; mean age: 66.3 years (standard deviation 9.6)] were analyzed. Most patients had a moderate or severe degree of airflow limitation (GOLD spirometric grade 1, 10.9%; grade 2, 46.6%; grade 3, 32.4%; and grade 4, 10.3%). The best calibration threshold for CAT total score was 18 points, for COPD Clinical Questionnaire total score 1.9 points, and for St. Georges Respiratory Questionnaire total score 46.0 points.

Conclusions The application of these new cut-points would reclassify about one-third of the patients with COPD and, thus, would impact on individual disease management. Further validation in prospective studies of these new values are needed.

INTRODUCTION

The Global initiative for chronic Obstructive Lung Disease (GOLD) is a recent practice strategy on the diagnosis, prevention, and management of chronic obstructive pulmonary disease (COPD) (1). Patients with COPD are classified based on postbronchodilator spirometry into grade I (forced expiratory volume in the first second, FEV₁ \geq 80% predicted), grade II (FEV₁ 50% to <80% predicted), grade III (FEV₁ 30% to <50% predicted), or grade IV (FEV₁ <30% predicted). Additionally, patients are classified in groups A to D for specific therapeutic recommendations based on the degree of symptoms (low vs high), and the history of exacerbations and hospitalizations.

High symptoms are determined using various questionnaires: the modified Medical Research Council scale (mMRC, grade 2 or higher), the COPD Clinical Questionnaire (CCQ) total score (1 point or higher), the COPD Assessment test (CAT) total score (10 points or higher), and the St. Georges Respiratory Questionnaire (SGRQ) total score (25 points or higher) (1).

The choice of symptom questionnaire impacts the classification of patients with COPD in gold A/C OR B/D groups (2-5). mMRC only focusses on the impact of dyspnea, the most common symptom of patients with COPD. The other symptom questionnaires also take other aspects of health-related quality of life into consideration. Therefore, the CAT, CCQ, or SGRQ may be preferred over MMRC. However, mMRC is easy to obtain, and, it is the most frequently used in clinical practice and studies, and is suggested to be used to categorize patients into symptom severity groups for the purpose of treatment (1,6).

Previous studies suggest that the current cut-points need proper validation (4,7-10). Indeed, the GOLD Scientific Committee recognized that a calibration of the current cut-points of the symptom measures is an important topic that needs to be addressed in the next major revision of the gold document (10). Then again, the former threshold values for mMRC, SGRQ, and CAT are still used in the GOLD 2017 strategy.1 Interestingly, the GOLD document indicates that multidimensional scores like CAT do not categorize patients into symptom severity groups for the purpose of treatment, suggesting a central role of mMRC for patient classification. Therefore, new classification schemes should be benchmarked against mMRC.

The primary aim of this patient-level pooled analysis was to find best fitting cut-points for GOLD symptom measures, with a mMRC dyspnea grade of 2 or higher as the point of reference. Following, the impact of the newly derived cut-points of all questionnaires on the frequency distribution of the GOLD staging was studied.

METHODS

This is a pooled analysis of concurrent cohort studies assessing mMRC and multidimensional evaluation systems in COPD. To identify the original cohorts, we performed a computerized search in the database Medline/Pubmed for reports published from the first CAT publication (September 2009) to June 2015 (11). D.S., S.H-W., or M.S. approached the corresponding authors to gather information about their readiness to partake and the availability of a minimum required set of individual data of patients with COPD, including age, sex, postbronchodilator FEV₁, CAT total score, and mMRC dyspnea grade. All participants within their respective studies gave their informed written consent to participate in the original study, and each study was approved by their respective ethics committee.

Measurements

The individual demographics and clinical characteristics [sex, age (years), height (m), weight (kg), smoking status (current/former/never), pack years, use of long-term oxygen therapy (yes/no), FEV₁ (liters), FEV₁ (% predicted), FEV₁/FVC (%), mMRC dyspnea grade, CAT total score (points), CCQ total score (points), SGRQ total score (points), and number of COPD exacerbations and/or hospitalizations in the last 12 months] were provided from each dataset. All data were pooled, and the dataset was cleaned.

Statistics

Mean (standard deviation), median (interquartile range), or proportions were calculated, whatever appropriate. Pearson product moment correlations between mMRC dyspnea grade, CAT total score, CCQ total score, and SGRQ total score were performed. A r-value of $<\pm 0.20$ indicates no meaningful correlation; ± 0.20 to ± 0.34 , weak; ± 0.35 to ± 0.50 , moderate; and $>\pm 0.50$, strong correlation (12). Postbronchodilator FEV₁ was used to classify patients into spirometric grades 1-4. Allocation to GOLD groups A-D was done using mMRC ≥ 2 , CAT ≥ 10 , CCQ ≥ 1 , and SGRQ ≥ 25 in combination with exacerbations history. In addition, patients were re-allocated (if applicable) based on the newly derived cut-points in combination with exacerbations history.

Receiver operating characteristic (ROC) curves were used to reveal the cut-points for the GOLD symptom measures that discriminate best between the 2 clusters defined on mMRC dyspnea grade (2 or higher). ROC curve represented dependency between the sensitivity and specificity of the binary classification for different cut-points of the GOLD symptom measures. The cut-point, which satisfied the optimal criterion of the Youden index (13), was referred as the best calibration threshold. The optimal cut-points were calculated for CAT total score, CCQ total score, and SGRQ total score. A software environment R v 3.1.0 was used. The ROC function from the pROC package was used to visualize the ROC curves and calculate the best thresholds.

Graphs were created using GraphPad Prism v 6 (GraphPad Software Inc. San Diego, CA). Statistics were performed using SPSS for Windows, v 20.0 (IBM Corp, Armonk, NY). A P value of \leq .01 was interpreted as statistically significant, to obtain a greater statistical power than the usual P value of <.05.



Figure E1. Flow diagram of subject inclusion

	Dataset resource											
Lead author	Agusti	Billington	Boutou	De Torres	Casanova	Casanova	Chaplin	Dodd	Horita	Jehn	Jones	Karch
	(39)	(40)	(41)	(42)	(43)	(43)	(44)	(45)	(46)	(47)	(2)	(20)
Journal and year	Qual Life Res,	COPD, 2015	BMJ Open Respir	CHEST,	Respir	Chest,	J Cardiopulm	Thorax,	Clin Respir	Environ Health,	ERJ, 2013	Respir Med, 2016
	2015		Res, 2014	2014	Res, 2014	2014	Rehabil Prev, 2015	2011	J, 2013	2013		
Country	Spain	UK	UK			Spain	UK	UK	Japan	Germany	Belgium, France, UK,	Germany
											Germany, Italy, the	
											Netherlands and Spain	
Cohort	GSK					CHAIN					HEED	COSYCONET
n	110	61	703			785	166	196	74	34	1725	2258
Gender (male), n (%)	104 (94.5)	29 (47.5)	348 (49.5)		658 (83.8)		89 (53.6)	114 (58.2)	60 (81.1)	22 (64.7)	1251 (72.5)	1379 (61.1)
Age, years	70.3 (9.7)	71.2 (10.0)	67.3 (9.8)	67.8 (8.8)			70.8 (8.7)	69.2 (9.0)	72.3 (9.4)	63.5 (9.9)	64.9 (9.7)	65.1 (8.4)
Current smoker, n (%)	28 (25.5)	32 (52.5)	-	223 (28.4)			-	-	11 (14.9)	28 (82.4)	737 (42.7)	561 (24.8)
Packyears	40.0 (24.6-54.3) ^a	-	-	55.9 (28.0) ^h			-	-	51.6 (30.4)	15.7 (12.1)	38.4 (19.2) ^p	41.2 (22.0-63.0) ^v
BMI, kg/m ²	-	26.1 (22.3-29.9)	26.0 (22.4 - 30.0) ^d	27.8 (24.8-31.0) ⁱ			27.7 (7.0) ^k	$27.6 (6.6)^{1}$	21.2 (3.0)	26.5 (19.9-29.8)	27.0 (4.9) ^q	26.2 (23.2-29.4) ^w
FEV ₁ (% pred.)	63.6 (20.0)	65.4 (15.8)	49.2 (18.8)	59.3 (20.2)		56.2 (24.4)	51.2 (19.4)	43.2 (12.7)	46.2 (13.4)	56.4 (19.7)	52.5 (18.5)	
FEV ₁ / FVC (%)	54.0 (11.2) ^b	53.0 (10.2)	43.9 (14.5) ^e			51.9 (11.3)	-	-	59.7 (7.7)	42.3 (13.2)°	56.2 (10.6) ^r	51.3 (11.0)
LTOT, n (%)	-	-	-			69 (22.3) ^j	-	-	16 (21.6)	8 (23.5)	-	436 (19.3)
GOLD stage, n (%) - I	24 (21.8)	12 (19.7)	45 (6.4)			134 (17.1)	35 (21.1)	16 (8.2)	0 (0.0)	0 (0.0)	216 (12.5)	202 (8.9)
- II	57 (51.8)	39 (63.9)	289 (41.1)			377 (48.0)	55 (33.1)	86 (43.9)	20 (27.0)	14 (41.2)	827 (47.9)	956 (42.3)
- III	24 (21.8)	9 (14.8)	246 (35.0)			231 (29.4)	50 (30.1)	65 (33.2)	42 (56.8)	15 (44.1)	540 (31.3)	856 (37.9)
- IV	5 (4.5)	1 (1.6)	123 (17.5)			43 (5.5)	26 (15.7)	29 (14.8)	12 (16.2)	5 (14.7)	142 (8.2)	244 (10.8)
Exacerbations previous	9 (8.2)	41 (67.2)	-			98 (12.5)	-	-	-	11 (32.4)	451 (29.9) ^s	633 (28.0)
12 months ≥2, n (%)												
Hospitalizations previous	6 (5.5)	-	-			89 (11.3)	-	-	-	20 (58.8)	155 (10.3) ^t	453 (20.1) ^x
12 months ≥1, n (%)												
mMRC dyspnea grade	49 (44.5)	41 (67.2)	576 (81.9)			358 (45.6)	131 (78.9)	148 (75.5)	35 (47.3)	16 (47.1)	751 (43.5)	1090 (48.3)
≥2, n (%)												
CAT total score, points	16.3 (8.2)	14.8 (6.8)	21.2 (7.5)			12.1 (7.6)	21.8 (7.6)	20.2 (7.5)	11.1 (7.9)	19.1 (5.7)	17.7 (8.4)	18.1 (7.4)
CCQ total score, points	-	-	3.1 (1.2) ^f			1.6 (1.1)	-	2.9 (1.2) ^m	-	-	-	-
SGRQ total score, points	44.5 (24.1) ^c	-	47.3 (16.4) ^g	-			-	45.7 (19.1) ⁿ	-	-	44.7 (19.4) ^u	43.6 (19.9) ^y

Table 1. General characteristics per resource article

Values expressed as mean (SD), median (IQR) or number of patients (%). Abbreviations: BMI= body mass index; CAT= COPD Assessment Test; CCQ= Clinical COPD Questionnaire; FEV₁= Forced Expiratory Volume in the first second; FVC= Forced Vital Capacity; GOLD= Global initiative for chronic Obstructive Lung Disease; LTOT= long-term oxygen therapy; mMRC= modified Medical Research Council dyspnoea scale; SGRQ= St. George's Respiratory Questionnaire. ^a 8 missing; ^b 1 missing; ^c 7 missing; ^d 190 missing; ^e 462 missing; ^f 522 missing; ^g 495 missing; ^h 11 missing; ⁱ 4missing; ^j 474missing; ^k 25missing; ¹ 18 missing; ^m 159 missing; ⁿ 157 missing; ^o 2 missing; ^p 71 missing; ^q 6 missing; ^r 49 missing; ^s 151 missing; ^u 151 missing; ^u 11 missing; ^w 2 missing; ^x 2 missing; ^y 12 missing.

Dataset resource											
Author	Kelly	Kim	Kon	Kwon	Lee	Ladeira	Lopez-Campos	Manca	Maricic	Mendoza	Mihaltan
	(48)	(49)	(50)	(51)	(52)	(53)	(54)	(55)	(56)	(57)	(58)
Journal and year	Respiration,	Pulm Med,	Thorax, 2014	CHEST, 2013	Respir Med, 2014	Rev Port Pneumol,	Int J COPD,	COPD, 2014	Coll Antropol,	Eur Respir J,	Pneumologia,
published	2012	2013				2015	2015		2013	2015	2015
Country	UK	South Korea	the UK	Indonesia, Korea,	Australia, China,	Portugal	Spain	Spain	Croatia	Chile	Romania
				Vietnam and Hong	Korea and Taiwan						
				Kong							
Cohort		-	-	GSK	GSK		On-Sint				
n	219	238	260	303	321	82	499	92	33	101	1082
Gender (male), n (%)	139 (63.5)	192 (80.7)	151 (58.1)	296 (97.7)	286 (89.1)	64 (78.0)	407 (81.6)	67 (72.8)	25 (75.8)	62 (61.4)	801 (74.0)
Age, years	64.0 (9.6)	67.8 (9.4)	71.0 (8.8)	69.0 (9.4)	69.7 (8.8)	70.2 (9.5)	67.1 (9.3)	66.1 (10.8)	62.6 (7.9)	68.8 (8.5)	63.1 (10.1)
Current smoker, n (%)	28 (12.8)	51 (21.4)	31 (11.9)	53 (17.5)	62 (19.3)	17 (20.7)	115 (23.0) ^f	4 (4.3)	$12(36.4)^{1}$	-	569 (52.6)
Packyears	41.7 (23.2) ^a	27.9 (26.2)	41.1 (29.5)	30.0 (20.0-50.0)	40.0 (25.0-60.0)	41.5 (20.0-75.0)	38.3 (20.6)	33.0 (15.5-60.0)	41.6 (35.2)	40.5 (20.6)	-
BMI, kg/m ²	25.2 (5.4) ^b	22.9 (3.2) ^c	27.0 (23.7-31.0)	20.8 (3.6)	23.4 (4.1)	26.2 (5.0)	27.7 (4.3) ^g	26.2 (4.7)	24.5 (3.5)	26.9 (4.5)	-
FEV ₁ (% pred.)	40.4 (17.9)	72.4 (23.7)	50.1 (20.6)	49.9 (18.0)	50.6 (19.3)	44.8 (16.3)	58.7 (18.4)	48.6 (17.2)	67.5 (16.9)	66.1 (19.5)	56.6 (17.8)
FEV / FVC (%)	36.9 (12.7)	54.5 (11.8)	50.4 (14.3)	50.0 (9.8)	46.5 (12.1)	67.0 (11.4)	54.6 (10.8) ^h	47.3 (11.6)	57.3 (7.9)	55.0 (9.5)	-
LTOT, n (%)	32 (14.6)	-	12 (4.6)	-	-	47 (57.3)	69 (14.2) ⁱ	7 (7.6)	-	3 (3.0)	-
GOLD stage, n (%) - I	8 (3.7)	99 (41.6)	26 (10.0)	18 (5.9)	25 (7.8)	2 (2.4)	55 (11.0)	5 (5.4)	6 (18.2)	28 (27.7)	119 (11.0)
- II	45 (20.5)	92 (38.7)	96 (36.9)	131 (43.2)	121 (37.7)	22 (26.8)	304 (60.9)	32 (34.8)	24 (72.7)	52 (51.5)	560 (51.8)
- III	96 (43.8)	38 (16.0)	84 (32.3)	121 (39.9)	137 (42.7)	48 (58.5)	112 (22.4)	45 (48.9)	2 (6.1)	18 (17.8)	336 (31.1)
- IV	70 (32.0)	9 (3.8)	54 (20.8)	33 (10.9)	38 (11.8)	10 (12.2)	28 (5.6)	10 (10.9)	1 (3.0)	3 (3.0)	67 (6.2)
Exacerbations previous	132 (60.3)	126 (52.9)	117 (45.0)	95 (32.1) ^d	153 (47.7)	26 (31.7)	309 (62.6) ^j	29 (31.5)	-	-	470 (43.4)
12 months ≥2, n (%)											
Hospitalizations previous	-	-	-	-	-	25 (30.5)	161 (33.8) ^k	15 (16.3)	-	-	-
12 months ≥1, n (%)											
mMRC dyspnea grade	183 (83.6)	93 (39.1)	185 (71.2)	169 (55.8)	153 (47.7)	54 (65.9)	247 (49.5)	51 (55.4)	6 (18.2)	49 (48.5)	665 (61.5)
≥2, n (%)											
CAT total score, points	23.1 (8.1)	16.0 (9.3)	20.7 (7.9)	17.8 (8.1)	14.8 (8.0)	17.3 (8.2)	18.4 (7.6)	12.8 (8.1)	14.6 (7.7)	16.0 (8.2)	17.8 (7.9)
CCQ total score, points	-	-	2.8 (1.2)	-	-	-	-	-	-	-	-
SGRO total score, points	-	-	49.3 (16.4)	45.4 (17.8) ^e	-	-	-	-	-	42.8 (18.4)	-

Values expressed as mean (SD), median (IQR) or number of patients (%). Abbreviations: BMI= body mass index; CAT= COPD Assessment Test; CCQ= Clinical COPD Questionnaire; FEV₁= Forced Expiratory Volume in the first second; FVC= Forced Vital Capacity; GOLD= Global initiative for chronic Obstructive Lung Disease; LTOT= long-term oxygen therapy; mMRC= modified Medical Research Council dyspnoea scale; SGRQ= St. George's Respiratory Questionnaire. ^a 125 missing; ^b 8 missing; ^c 3 missing; ^d 7 missing; ^e 1 missing; ^f 3 missing; ^g 6 missing; ^h 125 missing; ⁱ 14 missing; ^j 5 missing; ^k 23 missing; ^l 21 missing.

Dataset resource										
Author	Miravitlles	Miravitlles	Minami	Nakken	Nishijima	Novotna	Pothirat	Pothirat	Price	Raghavan
	(59)	(60)	(61)	(62)	(63)	(64)	(65)	(66)	(67)	(68)
Journal and year	IJTLD, 2015	Respir Med,	Multidiscip	BMJ Open,	Int J Chron	IJCOPD, 2014	BMC Pulm Med,	Int J Chron	Int J COPD, 2014	COPD, 2012
published		2014	Respir Med, 2014	2014	Obstruct Pulmon		2014	Obstruct Pulmon		
					Dis, 2015			Dis, 2015		
Country	Spain	Spain	Japan	The	Japan	The Czech republic	Thailand	Thailand	US, France, Spain,	Canada
				Netherlands					Germany, Italy, UK	
Cohort	INSEPOC study					Czech Multicentre			Adelphi Respiratory	COLD
						Research Database of			DSP	
						COPD (CMRD)				
n	2721	696	50	193	16	514	97	153	1070	111
Gender (male), n (%)	2251 (82.7)	585 (84.1)	47 (94.0)	101 (52.3)	15 (93.8)	374 (72.8)	80 (82.5)	89 (58.2)	734 (68.6)	63 (56.8)
Age, years	66.9 (9.7)	68.7 (9.3)	71.0 (8.9)	66.0 (8.7)	73.5 (6.6)	67.3 (8.1)	70.7 (8.2)	71.5 (8.5)	64.6 (10.4)	64.3 (10.6)
Current smoker, n (%)	1959 (72.0) ^a	156 (22.4) ^f	11 (22.0)	32 (16.6)	2 (12.5)	93 (18.1)	5 (5.2)	-	338 (31.6)	21 (18.9)
Packyears	36.0 (24.0-50.0) ^b	43.2 (21.6) ^g	63.5 (32.2)	37.8 (28.3-51.4)	37.5 (24.6) ^m	38.0 (25.0-48.0) ⁿ	34.0 (19.0-59.5)	-	32.0 (20.0-48.0) ^q	19.6 (22.6) ^u
BMI, kg/m ²	27.7 (4.3) ^c	26.8 (24.7-30.0) ^h	22.9 (3.8)	26.3 (5.3)	20.6 (2.4)	27.2 (5.9)	20.9 (3.5)	20.2 (3.9)	26.4 (23.4-29.4) ^r	27.2 (24.3-30.8)
FEV ₁ (% pred.)	52.6 (18.9)	53.2 (19.6)	51.5 (18.9)	47.3 (17.7)	54.8 (18.5)	43.3 (11.2)	56.4 (21.0)	47.8 (17.6)	60.5 (16.0)	86.7 (15.8)
FEV / FVC (%)	53.4 (11.1) ^d	53.1 (12.1) ⁱ	59.3 (9.5)	40.3 (12.7)	59.6 (9.6)	50.5 (10.7)	50.8 (12.1)	51.9 (10.3)	-	65.0 (60.0-67.6)
LTOT, n (%)	302 (11.8) ^e	163 (24.4) ^j	0 (0.0)	53 (27.5)	0 (0.0)	78 (15.2)	-	-	143 (13.4)	0 (0.0)
GOLD stage, n (%) - I	232 (8.5)	46 (6.6)	4 (8.0)	0 (0.0)	0 (0.0)	0 (0.0)	14 (14.4)	10 (6.5)	112 (10.5)	77 (69.4)
- II	1202 (44.2)	347 (49.9)	22 (44.0)	93 (48.2)	10 (62.5)	168 (32.7)	42 (43.3)	58 (37.9)	711 (66.4)	32 (28.8)
- III	984 (36.2)	229 (32.9)	17 (34.0)	62 (32.1)	3 (18.8)	278 (54.1)	27 (27.8)	61 (39.9)	203 (19.0)	2 (1.8)
- IV	303 (11.1)	74 (10.6)	7 (14.0)	38 (19.7)	3 (18.8)	68 (13.2)	14 (14.4)	24 (15.7)	44 (4.1)	0 (0.0)
Exacerbations previous	1402 (51.5)	420 (70.2) ^k	7 (14.0)	104 (53.9)	2 (12.5)	162 (31.5)	13 (13.4)	-	356 (33.4) ^s	-
12 months ≥2, n (%)										
Hospitalizations previous	341 (12.5)	162 (27.1) ¹	6 (12.0)	81 (42.0)	2 (12.5)	141 (27.4)	-	-	150 (14.0) ^t	-
12 months ≥ 1 , n (%)	170 ((7 (1)	110 (51 7)	24 (52.0)	1.50 (22.2)	5 (12.0)	201 (7.5.1)	20 (10 2)		255 (25.2)	0 (7 0)
mMRC dyspnea grade	1526 (56.1)	449 (64.5)	34 (68.0)	150 (77.7)	7 (43.8)	391 (76.1)	39 (40.2)	96 (62.7)	377 (35.2)	8 (7.2)
≥2, n (%)	10.0 (0.0)	21.2 (2.2)	11.6 (7.1)	21.2 (7.1)	160 (10.0)	16670	10.0 (7.0)	10.4 (5.0)		0.4.(5.2)
CAT total score, points	19.2 (8.2)	21.3 (8.2)	11.6 (/.1)	21.2 (7.1)	16.0 (10.3)	16.6 (7.8)	12.3 (7.3)	12.4 (7.3)	20.6 (8.5)	8.4 (6.3)
CCQ total score, points	-	-	-	-	-	-	-	-	-	-
SGRQ total score, points	-	-	-	-	-	$48.0(18.5)^{\circ}$	38.3 (20.7)	42.1 (21.0) ^p	-	-

Values expressed as mean (SD), median (IQR) or number of patients (%). Abbreviations: BMI= body mass index; CAT= COPD Assessment Test; CCQ= Clinical COPD Questionnaire; DSP= Disease Specific Programme; FEV₁= Forced Expiratory Volume in the first second; FVC= Forced Vital Capacity; GOLD= Global initiative for chronic Obstructive Lung Disease; LTOT= long-term oxygen therapy; mMRC= modified Medical Research Council dyspnoea scale; SGRQ= St. George's Respiratory Questionnaire. ^a 145 missing; ^b 717 missing; ^c 28 missing; ^d 2 missing; ^e 153 missing; ^f 10 missing; ^g 35 missing; ^h 2 missing; ⁱ 1 missing; ^j 29 missing; ^k 98 missing; ^l 98 missing; ^m 1 missing; ⁿ 57 missing; ^o 319 missing; ^p 3 missing; ^r 37 missing; ^s 4 missing; ^t 1 missing; ^u 2 missing.

	Dataset resource											
Author	Ringbaek	Da Silva	Da Silva	Sigari	Tsiligianni	Tulek	Jones	Vestbo	Wilke	Xie	Yoshikawa	Zogg
	(69)	(18)	(19)	(70)	(71)	(72)	(16)	(17)	(3)	(73)	(74)	(75)
Journal and year	COPD,	Qual Life	J Bras Pneumol,	Rheumatol Int,	BMC Pulm Med,	Respirology,	Respir Med,	Respir Med,	J COPD F, 2014	Chin Med J, 2014	Respirology,	BMC Res
published	2012	Res, 2014	2013	2015	2012	2014	2014	2014			2014	Notes, 2014
Country	Denmark		Brazil	Iran	Greece	Turkey	US, France, G	ermany, Italy,	The Netherlands	Shanghai	Japan	Switzerland
								Spain, UK				
Cohort							Adelphi Re	spiratory DSP	-	-		
n	118		50	78	90	119		1491	698	844	58	68
Gender (male), n (%)	47 (39.8)		24 (48.0)	45 (57.7)	82 (91.1)	116 (97.5)		1019 (68.3)	391 (56.0)	659 (78.1)	56 (96.6)	41 (60.3)
Age, years	68.2 (9.6)		66.2 (8.5)	60.5 (8.0)	67.4 (8.7)	59.5 (9.3)		65.1 (10.2)	64.8 (8.9)	68.0 (9.1)	72.1 (9.2)	67.2 (10.4)
Current smoker, n (%)	22 (18.6) ^a		-	27 (34.6)	70 (77.8)	-		487 (32.9) ^d	166 (23.8) ^g	623 (73.8)	20 (34.5)	32 (47.1)
Packyears	41.7 (22.6) ^b		-	29.1 (34.7)	60.0 (40.0-84.3)	38.3 (10.2)	30.	.0 (20.0-45.0) ^e	40.0 (28.0-50.0) ^h	22.5 (15.0-31.0) ^k	60.0 (45.8-80.0)	45.8 (32.5) ⁿ
BMI, kg/m ²	24.8 (5.8)		25.9 (5.1)	-	27.8 (5.1)	27.6 (5.3)	26	.5 (23.4-29.4) ^f	26.1 (5.4)	22.9 (3.0)	21.0 (3.5)	25.1 (21.1-28.9)
FEV ₁ (% pred.)	33.7 (9.3)		44.1 (13.8)	48.7 (17.4)	58.2 (18.8)	59.2 (20.0)		62.6 (17.1)	54.7 (22.3)	48.0 (17.2)	50.8 (19.7)	64.3 (21.9)
FEV / FVC (%)	-		48.7 (10.8)	56.1 (8.9)	55.7 (10.7)	-		-	42.3 (14.0)	54.7 (10.1)	43.5 (12.7) ¹	50.1 (12.4)
LTOT, n (%)	4 (3.4)		-	5 (6.4)	1 (1.1)	-		117 (7.8)	136 (19.5)	202 (23.9)	10 (17.2)	2 (3.1)°
GOLD stage, n (%) - I	0 (0.0)		0 (0.0)	7 (9.0)	15 (16.7)	17 (14.3)		256 (17.2)	115 (16.5)	31 (3.7)	5 (8.6)	13 (19.1)
- П	6 (5.1)		18 (36.0)	28 (35.9)	46 (51.1)	64 (53.8)		936 (62.8)	259 (37.1)	310 (36.7)	22 (37.9)	38 (55.9)
- III	70 (59.3)		25 (50.0)	35 (44.9)	21 (23.3)	30 (25.2)		241 (16.2)	220 (31.5)	366 (43.4)	25 (43.1)	12 (17.6)
- IV	42 (35.6)		7 (14.0)	8 (10.3)	8 (8.9)	8 (6.7)		58 (3.9)	104 (14.9)	137 (16.2)	6 (10.3)	5 (7.4)
Exacerbations previous	-		-	31 (39.7)	2 (2.2)	48 (40.3)		465 (31.2)	330 (47.3)	386 (45.7)	7 (12.1)	8 (11.8)
12 months ≥2, n (%)												
Hospitalizations previous	-		-	39 (50.0)	5 (5.6)	28 (23.5)		196 (13.1)	239 (34.3)	218 (25.8)	5 (8.6)	-
12 months ≥1, n (%)												
mMRC dyspnea grade	118 (100.0)		25 (50.0)	70 (89.7)	22 (24.4)	64 (53.8)		758 (50.8)	490 (70.3)	534 (63.3)	36 (62.1)	28 (41.2)
≥2, n (%)												
CAT total score, points	18.3 (6.6)		20.8 (9.9)	25.1 (8.7)	12.9 (7.5)	13.1 (8.1)		21.0 (8.8)	20.0 (7.4)	18.3 (7.9)	15.2 (7.7)	13.3 (7.0)
CCQ total score, points	-		-	-	1.6 (1.0)	-		-	$2.3 (1.1)^{i}$	-	-	-
SGRQ total score, points	42.8 (7.0) ^c		44.9 (20.4)	-	36.8 (18.3)	-		-	54.0 (22.0) ^j	-	42.0 (15.9) ^m	-

Values expressed as mean (SD), median (IQR) or number of patients (%). Abbreviations: BMI= body mass index; CAT= COPD Assessment Test; CCQ= Clinical COPD Questionnaire; DSP= Disease Specific Programme; FEV₁= Forced Expiratory Volume in the first second; FVC= Forced Vital Capacity; GOLD= Global initiative for chronic Obstructive Lung Disease; LTOT= long-term oxygen therapy; mMRC= modified Medical Research Council dyspnoea scale; SGRQ= St. George's Respiratory Questionnaire. ^a 8 missing; ^b 13 missing; ^c 113 missing; ^d 12 missing; ^e 188 missing; ^f 44 missing; ^g 1 missing; ^h 22 missing; ⁱ 3 missing; ^j 1 missing; ^l 1 missing; ⁿ 16 missing; ⁿ 3 missing; ^o 3 missing.

RESULTS

Overall, 337 reports were identified, of which 63 were eligible (Figure 1). Forty-five author groups were able and willing to participate. Finally, 41 datasets were included in the patient level pooled analysis. At the time of inclusion, 3 articles were published with the dataset of the COPD History Assessment In SpaiN (CHAIN) cohort (14), 3 articles used the Adelphi Respiratory Disease Specific Program dataset (one of which is from another subcohort (15) than the other 2 articles (16,17)), 1 author group published 2 articles with the same dataset (18,19), and 1 dataset did not have recently measured FEV_1 (% predicted) (5). In addition, the COPD and SYstemic consequences-COmorbidities NETwork (COSYCONET) steering committee approved to share their cohort baseline data (20). Table 1 provides all details per study.

Demographic and clinical characteristics of 18,577 patients with COPD are presented in Table 2. Most patients had a moderate or severe degree of airflow limitation. Spirometric grade 2 was the most prevalent (46%). Using the GOLD 2017 cut-points, the majority of patients were classified in the high-symptom B/D groups: mMRC, 55.3%; CAT, 83.6%; CCQ, 78.8%; and SGRQ 83.0%.

The degree of airflow limitation correlated weakly-to-moderately with the mMRC dyspnea grade (r=-0.40, P < .001), CAT total score (r=-0.26, P < .001), CCQ total score (r=-0.37, P < .001), and SGRQ total score (r=-0.36, P < .001; Figure 2). Moreover, the symptom measures interrelated strongly, with the Pearson product-moment correlation coefficients ranging from 0.540 to 0.799 (all P < .001; Figure 3).

New Cut-Points

Figure 4 shows the newly proposed cut-points. A CAT cut-point of 18 points, a CCQ cut-point of 1.9 points, and a SGRQ cut-point of 46.0 points reached the highest sensitivity and specificity vs the mMRC dyspnea grade of 2 or higher as point of reference.

Frequency Distribution

GOLD A/B/C/D frequencies based on current cut-points and the newly derived cut points are shown in Figure 5. Compared with the existing CAT cut-point (\geq 10 points), the new cut-point (\geq 18 points) re-classified 30.2% of the GOLD B/D patients into GOLD A/C. Compared with the existing CCQ cut-point (\geq 1 point), the new cut-point (\geq 1.9 points) re-classified 23.9% of the GOLD B/D patients into GOLD A/C. Compared to the existing SGRQ cut-point (\geq 25 points), the new cut-point (\geq 46 points) re-classified 34.3% of the GOLD B/D patients into GOLD A/C.

Variables	n=	
Gender (male), n (%)	18,577	13,370 (72.0)
Age, years	18,577	66.3 (9.6)
- 40-50 years, n (%)		1,122 (6.0)
- 51-60 years, n (%)		3,978 (21.4)
- 61-70 years, n (%)		6,985 (37.6)
- 71-80 years, n (%)		5,380 (29.0)
- >80 years, n (%)		1,112 (6.0)
Current smoker, n (%)	16,888	6,626 (35.7)
Pack years	14,234	38.0 (23.0-52.5)
BMI, kg/m ²	16,934	26.5 (5.2)
FEV ₁ (% pred.)	18,577	54.6 (19.5)
FEV ₁ /FVC (%)	13,692	51.8 (12.1)
LTOT, n (%)	12,547	1,903 (10.2)
GOLD spirometric grade, n (%) - 1	18,577	2,029 (10.9)
- 2		8,611 (46.4)
- 3		6,026 (32.4)
- 4		1,911 (10.3)
Exacerbations previous 12 months ≥2, n (%)	16,607	6,443 (38.8)
Hospitalizations previous 12 months ≥1, n (%)	13,881	2,537 (18.3)
mMRC grade, n (%) - 0	18,577	2,183 (11.8)
- 1		6,122 (33.0)
- 2		5,474 (29.5)
- 3		3,598 (19.4)
- 4		1,200 (6.5)
mMRC dyspnea grade ≥2, n (%)	18,577	10,272 (55.3)
CAT total score, points	18,577	18.4 (8.4)
Percentage subjects with value ≥ 10 , n (%)		15,535 (83.6)
CCQ total score, points	2,047	2.1 (1.3)
Percentage subjects with value ≥ 1 , n (%)		1,614 (78.8)
SGRQ total score, points	6,159	45.4 (20.0)
Percentage subjects with value ≥ 25 , n (%)		5,114 (83.0)

Values expressed as mean (SD), median (IQR) or number of patients (%). Abbreviations: BMI= body mass index; CAT= COPD Assessment Test; CCQ= Clinical COPD Questionnaire; FEV₁= Forced Expiratory Volume in the first second; FVC= Forced Vital Capacity; GOLD= Global initiative for chronic Obstructive Lung Disease; LTOT= long-term oxygen therapy; mMRC= modified Medical Research Council dyspnoea scale; SGRQ= St. George's Respiratory Questionnaire





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SGRQ total score (points)

SGRQ total score (points)

CCQ total score (points)



Figure 4. Receiver operating characteristic (ROC) curves showing best pairwise classification thresholds. CAT= COPD Assessment Test; CCQ= Clinical COPD Questionnaire; SGRQ= St. George's Respiratory Questionnaire.



Figure 5. Frequency distribution proportional rectangles, based on the current GOLD 2017 strategy and newly derived GOLD cut-points combined with exacerbations. CAT= COPD Assessment Test; CCQ= Clinical COPD Questionnaire; mMRC= modified Medical Research Council dyspnoea scale; SGRQ= St. George's Respiratory Questionnaire.

DISCUSSION

Healthcare professionals should be aware of the fact that the choice of symptom measure influences classification, and, in turn, also specific treatment recommendation in patients with COPD. Using mMRC \geq 2 points as a reference, a CAT cut-point of 18 points, CCQ cutpoint of 1.9 points, and SGRQ cut-point of 46.0 points reached the highest agreement. Implementation of these newly derived cut-points will influence the management of individual patients and the design and interpretation of clinical studies.

Recommendations

As the newly derived cut-points reached the highest sensitivity and specificity with the mMRC dyspnea grade of 2 or higher, guidelines committees may need to consider the use of a mMRC dyspnea grade 2 or higher, a CAT total score of 18 points or higher, a CCQ total score of 1.9 points or higher, or a total SGRQ score of 46.0 points or higher to classify patients with COPD as symptomatic (ie, GOLD B or D;

Figure 6). This recommendation is supported by the fact that a CAT total score ≥ 10 points already occurs in 50% of current or former smokers without having any airway obstruction (76). The newly derived cut-points enable healthcare professionals to classify the largest proportion of patients into the same GOLD quadrant regardless of their choice of symptom measure.

Clinical Consequences

Future studies are needed to assess the effectiveness of bronchodilators in COPD patients with and without symptoms, using the newly derived cut-points. For example, GOLD A patients are advised to use short-acting bronchodilators, whereas GOLD B patients are advised to use long-acting bronchodilators (1). Therefore, the new cutpoints may reduce the prescription of long-acting bronchodilators in patients who are currently GOLD B, and will become GOLD A by applying the new cut-points. Obviously, the question arises what to do with COPD patients with a mMRC grade below 2 and a CAT score between 10 (current cut-point) and 18 points (newly derived cut point)? This combination of scores suggests that these patients suffer from other symptoms than dyspnea, which can most probably not be treated satisfactorily with the current pulmonary drug therapy.

The newly proposed cut-points may also affect recruitment criteria for upcoming trial designs. Indeed, studies that previously applied the current cut-points, will have an overrepresentation of GOLD B or D patients. Sillen et al (77) showed that there is a lot of heterogeneity in GOLD group D, when applying the existing cutpoints. In turn, adjusting cut-points of the symptom measures to the newly derived cut-points will increase baseline homogeneity of patient populations within observational COPD studies and intervention trials.

The current analysis confirms that the degree of airflow limitation only moderately correlates with the symptom measures. So, the degree of symptom burden cannot accurately be derived from spirometry. Therefore, healthcare professionals need to regularly assess symptoms in patients with COPD. Indeed, a change in symptom scores may even have a prognostic value in patients with COPD (78).



Figure 3. Suggested GOLD ABCD diagram, using the new cut-points to assess symptoms. CAT= COPD Assessment Test; CCQ= Clinical COPD Questionnaire; GOLD= Global initiative for chronic Obstructive Lung Disease; mMRC= modified Medical Research Council dyspnoea scale; SGRQ= St. George's Respiratory Questionnaire.

Strengths and Limitations

The pooled, multicenter, multinational, patient level dataset with a large number of patients and global coverage is a major strength. Indeed, this resulted in a heterogeneous sample of patients with COPD, also including a high number of patients with a low mMRC dyspnea grade (grade 0: 2183 patients; grade 1: 6122 patients), patients with a mild degree of airflow limitation (spirometric grade 1: 2029 patients), and 1,122 patients younger than 50 years of age. Moreover, patients were recruited from various care settings (ie, primary care, general population, hospital outpatients). This makes the results more generalizable.

A limitation of the current study is that the largest proportion of patients was male (72.0%). Although this seems to over-represent the male sex, it is probably a reliable representation of the current COPD population in the participating cohorts (79). Less data were available for the CCQ total score (2047 patients) and SGRQ total score (6159 patients). Furthermore, the definition of COPD, current, former or never smoker and the definition of exacerbations and hospitalizations could differ between studies. Finally, the mMRC dyspnea grade solely captures symptoms of dyspnea, which may, together with spirometry and history of exacerbations/hospitalizations, be a suitable guidance for treatment recommendations. Nevertheless, mMRC dyspnea scale may be too limited to truly understand the impact of COPD. Indeed, symptoms like fatigue, pain and insomnia, may also occur in patients with COPD (80). Therefore, CAT, CCQ, or SGRQ may be preferred to more broadly characterize the daily symptoms of patients with COPD. Obviously, when CAT, CCQ, and SGRQ are applied for the binary classification of high vs low symptoms, there will still be discrepancy between these symptom measures. So, the GOLD Scientific Committee may want to consider the choice of 1 symptom measure or applying the worst scoring questionnaire to classify patients into groups A/C or B/D.

CONCLUSIONS

To objectively define a symptom burden score equivalent to a mMRC dyspnea grade of 2 or higher, a CAT total score of \geq 18 points, a CCQ total score of \geq 1.9 points, or a SGRQ total score of \geq 46 points should be used. Following this grading, about one-third of the patients in GOLD groups B/D are re-classified to GOLD groups A/C. This implies that guidelines committees may consider adapting our evidence-based cut-points of symptom measures.

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