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# The Epidemiology of Invasive Fungal Disease in Children

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## Abstract

Considerable progress has been made in the prevention, diagnosis and management of pediatric patients with invasive fungal disease (IFD). The reported decreasing trend in the incidence of invasive candidiasis (IC) over the last 15 years in both neonates and children has been encouraging. Nevertheless, a growing population of immunocompromised hosts has increased the number of children at risk for IFD, which continues to be associated with significant morbidity and mortality as well as increased financial burden to the health care system. Therefore, it is important to understand the contemporary epidemiology of IFD. Incidence rates of IFD in children are impacted by geographical, population and time variability. There is an ongoing effort to constantly update the incidence and the species distribution causing IFD among different pediatric populations as a means to target preventative, diagnostic and therapeutic resources to the most appropriate subset of patients. Among children vulnerable to IFD, patients with hematologic malignancies, primary or secondary immunodeficiencies, patients undergoing solid organ or hematopoietic stem cell transplantation, and premature neonates are the major subsets of pediatric patients at risk of developing IFD. This review focuses on fungal disease epidemiology with specific emphasis on the two most common pediatric IFD, IC and invasive aspergillosis (IA).

## Introduction

Invasive fungal disease (IFD) is a major cause of morbidity and mortality among immunocompromised and hospitalized pediatric patients [1,2]. There has been a significant increase in pediatric patients at risk of IFD, primarily due to increasing

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2  
3 utilization of immunosuppressive medications across many medical specialties.  
4  
5 Simultaneously, there have been advances in the management of IFD via novel fungal  
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7 diagnostic tests and evidenced-based utilization of antifungal agents for prophylaxis  
8  
9 and treatment. Collectively, these factors have altered the epidemiology and outcomes  
10  
11 of IFD over the last 15 years [3,4].  
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14  
15 The spectrum of pediatric patients vulnerable to IFD is wide and includes  
16  
17 children receiving chemotherapy for malignancies, recipients of hematopoietic stem  
18  
19 cell (HCT) and solid organ (SOT) transplants, children with primary  
20  
21 immunodeficiencies, children receiving immune modulating therapies for  
22  
23 autoimmune conditions, and those with acquired immunodeficiency. Beyond these  
24  
25 patient groups, neonates and children hospitalized in the intensive care unit, among  
26  
27 other groups, are also at risk for IFD [5-10]. The wide range of pediatric populations  
28  
29 at risk for IFD makes it challenging to maintain contemporary estimates of  
30  
31 epidemiology to guide clinical decision-making.  
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33

34  
35 Despite these challenges, a recent increased focus on IFD in the pediatric  
36  
37 literature has provided clinicians with reasonable estimates of IFD in at risk  
38  
39 populations. *Candida* spp. remain the leading cause of IFD among pediatric patients  
40  
41 and are the fourth most common pathogen detected in hospital-acquired pediatric  
42  
43 blood stream infections (BSIs) in the United States and Europe [2,11-13]. *Aspergillus*  
44  
45 species and organisms from the Mucorales family remain the leading cause of  
46  
47 invasive mold disease (IMD). [14,15].  
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51 This review summarizes the contemporary literature on the epidemiology of  
52  
53 IFD in pediatric patients with malignancies, transplant recipients, children with  
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55 primary immunodeficiency, and those managed in the pediatric (PICU) and neonatal  
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3 intensive care units (NICU). As previously noted, there are other sub-populations of  
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5 children at risk for IFD, yet as data on IFD epidemiology in these populations are  
6  
7 limited they are not included in this discussion. Future investigations are necessary to  
8  
9 better define the risk of IFD in these other populations.  
10

### 11 12 13 14 15 **Overall IFD Epidemiology** 16

17  
18 Both candidemia and IA are associated with significant increases in hospital  
19  
20 length of stay and an overall in-hospital mortality of 15.8% for pediatric candidemia  
21  
22 and 18% for children with IA [12,13]. In an attempt to estimate the attributable  
23  
24 financial burden of IFD to the health care system, a cost analysis study revealed that  
25  
26 the increase of total hospital charges to treat IC for non-neonatal pediatric patients are  
27  
28 \$65,058 - \$119,474 per episode [12]. Similarly, for children with invasive  
29  
30 aspergillosis (IA) the median healthcare cost reached \$49,309 per episode [13].  
31  
32

33  
34 Globally, there are a number of pediatric multicenter studies that have  
35  
36 documented the incidence of IC and IA (Table 1) [16-21], as well as the distribution  
37  
38 of fungal pathogens in children (Tables 2 and 3). The largest international  
39  
40 collaborative studies assessing the incidence of IC and IA in children were conducted  
41  
42 by the International Pediatric Fungal Network (IPFN; [www.ipfn.org](http://www.ipfn.org)) [14, 22]. A  
43  
44 predominance of non-*albicans* *Candida* spp. in both pediatric (56%) and neonatal  
45  
46 (52%) patients were found by the IPFN [22], with similar distribution of *C. albicans*  
47  
48 and *C. parapsilosis* as reported in other pediatric studies in Latin America, USA and  
49  
50 Europe [23-25]. In particular, a South American surveillance study found *C. albicans*  
51  
52 (pediatric 43.8% and neonatal 35.7%) and *C. parapsilosis* (pediatric 27.0% and  
53  
54 neonatal 26.3%) prevailed [23]. A higher incidence of *C. parapsilosis* infection both  
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3 in neonates (42%) and in pediatric patients (38%) was noticed in an Australian  
4  
5 prospective candidemia study revealing a possible difference of geographic *Candida*  
6  
7 niches [26]. A European multi-center study to define *Candida* spp. distribution among  
8  
9 pediatric patients (EURO-CANDY study) is currently ongoing and led by the  
10  
11 European Pediatric Mycology Network (EPMYn) [27].  
12  
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14  
15 Epidemiology of invasive mold disease (IMDs) in children revealed that *A.*  
16  
17 *fumigatus* and *A. flavus* are the predominant mold isolated [14]. Beyond *Aspergillus*  
18  
19 spp., pathogens from the Mucorales family were the causative agent in 13%, with  
20  
21 *Rhizopus* and *Mucor* prevailing [14]. A single centre study reported comparable  
22  
23 fungal epidemiology among pediatric patients, with *Aspergillus* spp. accounting for  
24  
25 40% of the IMDs, followed by Mucorales (20%) and *Fusarium* spp. (11%) [28]. Two  
26  
27 large international registries (Zygomycosis.net and FungiScope™) characterized  
28  
29 paediatric-specific data surrounding the underlying fungal epidemiology in  
30  
31 mucormycosis., *Rhizopus* spp. predominated (39.7 %), followed by *Lichtheimia* spp.  
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33 (17.5 %) and *Mucor* spp. (12.7 %) [29].  
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#### 41 **Invasive fungal disease in pediatric patients with malignancies and** 42 **hematopoietic stem cell transplantation (HCT) recipients** 43 44

45  
46 It is challenging to define the incidence of IFD in children with cancer, as the  
47  
48 incidence will vary by chemotherapy regimen and supportive care practices [30, 31].  
49  
50 Furthermore, the criteria for defining and diagnosing IFD have varied over time and  
51  
52 application of these definitions varies by study [30-33]. Inconsistencies in IFD  
53  
54 diagnostic criteria might impact the true estimate of IFD rates among these patients  
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56 and therefore make the comparison among different chemotherapy protocol groups  
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3 difficult [31]. Despite these challenges, early diagnosis and prompt initiation of  
4  
5 effective antifungal therapy remains as one of the important actions necessary for  
6  
7 improving IFD outcomes in pediatric patients **with malignancies** [4, 30,32,33].  
8  
9

10 **Epidemiology.** The incidence of IFD in children receiving chemotherapy for  
11  
12 cancer and those undergoing **HCT** remains high and is associated with increased  
13  
14 morbidity and mortality [30]. Two studies nicely illustrate the changing landscape of  
15  
16 IFD within similar cohorts of children with AML [34, 35]. The rate of IFD reached  
17  
18 almost 5% using the AML-BFM 93 chemotherapy protocol, while in the same  
19  
20 population the IFD incidence decreased to 3% with the more intensified BFM AML  
21  
22 2004 protocol [34, 35]. The lower number of IFD in the BFM AML 2004 study may  
23  
24 be partially attributed to the broader administration of antifungal prophylaxis (in  
25  
26 >70% of the chemotherapy cycles) with a preference for drugs with anti-mold activity  
27  
28 [35]. As a comparator, in a French study including 387 children with AML receiving  
29  
30 the ELAM 02 chemotherapy protocol from 2005-2011, the incidence rate of IFD was  
31  
32 6.7% [36]. In the US, a higher incidence of IFD in children with AML enrolled on  
33  
34 CCG 2961 protocol by the Children's Cancer Group (CCG) was reported [37]. These  
35  
36 differences in IFD incidence have been partially explained by international variations  
37  
38 in infection supportive care practices among the BFM and CCG groups for pediatric  
39  
40 patients with AML [31]. In particular, BFM centres more frequently provided  
41  
42 antifungal prophylaxis compared to Children's Oncology Group centers, including  
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44 utilization of antifungal agents with anti-mold activity (63.8% vs 14.4%) [31].  
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51 The inconsistent utilization of antifungal prophylaxis and choice of  
52  
53 prophylactic agent also has an impact on reported IFD rates among pediatric **patients**  
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55 **with malignancies**. Without antifungal prophylaxis, mixed population of pediatric  
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57 patients **with malignancies** reported rates of IFD between 2.9 - 7.8% [38,39]. In  
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3 specific pediatric cancer populations, an IFD rate of 6.1% was observed in patients  
4  
5 with promyelocytic AML in a retrospective Canadian study without antifungal  
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7 prophylaxis and 8.4 % (or IFD incidence of 0.84/1000 person-days) in non-  
8  
9 lymphoblastic leukemia patients in an Italian study, respectively [40,41]. In patients  
10  
11 receiving antifungal prophylaxis, Watanabe *et al.* reported an IFD rate of 3.8%  
12  
13 (6/158 cases) in a mixed population of patients with leukemia and lymphoma  
14  
15 receiving oral amphotericin B or intravenous fluconazole [42]. Koyabashi *et al.* in a  
16  
17 mixed population of pediatric patients with hematological malignancies or children  
18  
19 undergoing HCT receiving antifungal prophylaxis reported an IFD rate of 6.9%  
20  
21 (23/334 cases) [43]. By comparison, Kaya *et al.* reported an IFD rate of 13.6%  
22  
23 (proven 7.2%) among children with leukemia receiving fluconazole prophylaxis [44].  
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28 *Candida* and *Aspergillus* spp. are the predominant pathogens causing IFD in  
29  
30 children with malignancies, while an increasing shift towards other non-*Aspergillus*  
31  
32 molds (*Fusarium*, *Scedosporium* and Mucorales) has recently been observed [3,4, 30,  
33  
34 45]. During a ten year period, the average annual incidence of candidemia among  
35  
36 pediatric oncology/HCT patients was 1.25 cases/1000 hospital discharges [45].  
37  
38 Although *C. albicans* is the most frequent species isolated, there is also an increasing  
39  
40 trend for non-*albicans Candida* spp. in children with cancer, most frequently *C.*  
41  
42 *parapsilosis* and *C. tropicalis* [22, 30, 45]. *A. fumigatus* is the most common cause of  
43  
44 IA in children with hematologic malignancy, followed by *A. flavus* and *A. terreus* [14,  
45  
46 15, 28]. Among non-*Aspergillus* molds, pathogens from the Mucorales family  
47  
48 accounted for 13% while all other non-*Aspergillus* and non-Mucorales molds  
49  
50 represented 17% of the IFD cases [14].  
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55 **Outcomes.** The overall case-fatality rate of IFDs ranges between 10% and  
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57 70%, with higher rates observed in specific subpopulations such as the patients with  
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3 disseminated IFD, CNS involvement, or persistent neutropenia [30,41, 43]. Kobayashi  
4  
5 *et al.* reported an IFD case fatality rate of 48.2%, and up to 71.4% in patients with  
6  
7 lung involvement [43]. For CNS aspergillosis, case-fatality rates before 1990 reached  
8  
9 80%, while after 1990 mortality rates decreased significantly to 39.5% [46]. For IC,  
10  
11 overall fatality rates range between 10% and 25%, but can reach close to 50% in  
12  
13 patients with ICU admission [30]. In a French study of children with AML, the  
14  
15 overall survival at 24 months for children diagnosed with IFD was 72% [36]. The  
16  
17 case-fatality rates of IMDs in most studies are between 20% and 50%, increasing to  
18  
19 approximately 80% in patients with allogeneic HCT [14, 15, 30, 47]. Pana *et al.* found  
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21 a case fatality rate of almost 40% for mucormycosis in children suffering from  
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23 hematological malignancies and 80% for HCT patients [29].  
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### 31 **Invasive fungal disease in pediatric solid organ transplant (SOT) recipients**

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33 **Epidemiology.** The true burden of IFD as well as the species distribution  
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35 following solid organ transplantation has been evaluated in few studies. A US  
36  
37 multicenter prospective study (TRANSNET) employing IFD surveillance among  
38  
39 mainly adult SOT recipients reported a marginal increase of IFD from 2000-2006,  
40  
41 with the highest rates observed among small bowel, lung and liver transplantation,  
42  
43 respectively [48]. In an attempt to analyze only the pediatric (SOT) recipient's cases  
44  
45 from the TRANSNET database, Knapp K *et al.*, reviewed 49 IFD episodes among 41  
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47 pediatric SOT recipients (3% of all SOT recipients in the TRANSNET cohort) [49].  
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49 The most common organisms detected were *Candida* spp. (78%), followed by  
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*Aspergillus* spp. (8%) [49].

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3 Organ-specific data of IFD in pediatric SOT recipients are limited [50-54]. A  
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5 study with 98 pediatric liver transplant recipients revealed that 31% presented with  
6  
7 *Candida* infections [50]. In a more recently published study, the incidence rate of IC  
8  
9 in children undergoing liver transplantation is estimated to be 2.5% (10/397) [51].  
10  
11 Among the 10 IC cases reported, *C. albicans* prevailed (50%), followed by *C.*  
12  
13 *parapsilosis*, *C. lusitaniae* (20% each) and *C. guilliermondii* (10%) [51]. One study  
14  
15 dedicated to pediatric heart transplant patients showed that *Candida* infections were  
16  
17 66% of all IFD, followed by IMD at 16% (82% of them attributed to *Aspergillus* spp.)  
18  
19 [52]. Among 83 IFD attributed to yeast infections, *C. albicans* was the majority  
20  
21 (55%), followed by *C. parapsilosis* (13%), *C. krusei* (4%), *C. glabrata* and *C.*  
22  
23 *tropicalis* (2% each) [52]. Among 22 IFD attributed to mold infections, 18 were  
24  
25 caused by *Aspergillus* spp. (82%) followed by zygomycetes (13.6%) and *Exherohilum*  
26  
27 spp. (4.5%) [52]. Results from a multi-center US and European study analyzing  
28  
29 children undergoing lung transplantation showed that the proven and probable IFD  
30  
31 rate reached 10.5% with almost equal distribution of *Candida* and *Aspergillus* spp.  
32  
33 [53]. In a single center study with 55 pediatric lung transplant recipients (2002-2007),  
34  
35 11 patients accounted for 14 proven or probable IFD events (20%) [54]. Although  
36  
37 pediatric data are lacking, few studies in adult lung transplant recipients, especially  
38  
39 for cystic fibrosis patients, have indicated that pre-transplant *Aspergillus* spp. lung  
40  
41 colonization could be implicated with the presence of post-transplant bronchiolitis  
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43 obliterans associated with *Aspergillus* spp. pulmonary infection [55, 56].  
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50 Contemporary data among 548 pediatric SOT recipients between 2000 and  
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52 2013 from a single center in the US revealed a low overall IFD incidence of 2.2%  
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54 (13/584), or 14.3 IFD events per 100,000 patient-days and a decreasing trend over  
55  
56 time (accepted article, pending revision, Fisher B). Differences in IFD rates were  
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3 reported among organ transplant type and over two time periods. In particular, higher  
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5 IFD rates were observed for heart/lung recipients (12.5%), lung only(11.4%) and liver  
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7 (4.7%), compared to kidney and heart (0%). In addition, over the two time periods  
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9 selected (2000–2006) and (2007–2013), an IFD rate decrease was noted, with a stable  
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11 number of patients in each period, from 4% (25.5 events per 100,000 patient-days) to  
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13 1% (3.9 events per 100,000 patient-days). The number of patients receiving antifungal  
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15 prophylaxis increased over time from 6% for the first time period to 9% for the  
16  
17 second period, which may explain some but likely not all of the decrease in IFD  
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19 between the two time periods (accepted article, pending revision, Fisher B).  
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24 **Outcomes.** The mortality associated with IFDs varies by type of SOT, type of  
25  
26 IFD and time period. In 1999, Gladly *et al.* reported a 33.3% case fatality rate in  
27  
28 pediatric liver transplant recipients with invasive *Candida* infections [50]. On the  
29  
30 contrary, in a recently published study, only one of the 10 patients with IC died  
31  
32 (mixed infection with *C. parapsilosis* and IA) [51]. In pediatric heart transplant  
33  
34 recipients with IFD, the case fatality rate reached almost 50% [52]. More  
35  
36 specifically, 13/22 patients (59%) with IMD and 43/92 (47%) with yeast infections  
37  
38 died [52]. The case fatality rate from the aforementioned cohort of 548 pediatric SOT  
39  
40 recipients was 21.4% (3 of 14 pts), including 2 lung recipients and 1 heart/lung  
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42 recipient (accepted article, pending revision, Fisher B).  
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### 50 **Invasive fungal disease in primary immunodeficiencies (PID) pediatric patients**

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52 **Epidemiology.** Among all PID, the epidemiology of IFD has been most  
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54 clearly defined for chronic granulomatous disease (CGD), an inborn error of the  
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56 phagocyte NADPH oxidase complex. While children with CGD are at risk for a wide  
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3 range of yeast and mold pathogens, *Aspergillus* spp. and *Candida* spp. are most  
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5 common [5, 57-64]. Among 155 CGD patients in a French study from 1976-2008,  
6  
7 42.6% (66/155) developed at least one IFD [58]. In particular, IMDs represented  
8  
9 61.3% (49/80) of all IFD events. *Aspergillus* spp. accounted for 65.3% of these IMDs  
10  
11 (32/49), with *A. fumigatus* (28.5%) and *A. nidulans* (22.4%) being the most common  
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13 *Aspergillus* spp. [58]. Notably, itraconazole prophylaxis had a significant impact on  
14  
15 IFD incidence [58].  
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18  
19 Another congenital immunodeficiency associated with an increased  
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21 susceptibility to IFD is Hyper-IgE syndrome (HIES) (i.e. Job's syndrome) [5,65].  
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23 Invasive pulmonary aspergillosis occurs in almost 20% of these patients almost  
24  
25 exclusively secondary to presence of pneumatocysts and bronchiectasis due to  
26  
27 recurrent bacterial infections and due to impaired local STAT3-dependent lung  
28  
29 epithelial immunity [65,66]. While rare, dissemination to the CNS in these patients  
30  
31 has been occasionally reported [65, 67]. A recent literature review reported 16 HIES  
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33 cases with rare endemic/dimorphic fungi such as *Coccidioides*, *Cryptococcus* and  
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35 *Histoplasma*, underscoring the vulnerability of this patient group to a wide range of  
36  
37 fungal pathogens [66].  
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41 The caspase recruitment domain-containing protein 9 (CARD9) represents an  
42  
43 essential molecule for the production of T-helper cells producing interleukin-17  
44  
45 pathway. CARD9 deficiency is a PID with impaired *Candida* spp. killing [67-69].  
46  
47 Although large enough cohorts are not available to define the true incidence and case  
48  
49 fatality rates of IFD in these patients, a case series report suggests that the GI tract and  
50  
51 in particular CNS are common anatomical locations for *Candida* infection [69]. Other  
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53 important anatomic locations are the bone and eye [70]. A subsequent case series  
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3 found that CARD9 deficient patients can also suffer from isolated IA in the CNS and  
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5 GI-tract [71].  
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7 **Outcomes.** The overall IFD case fatality rate for CGD reached 17% in one  
8  
9 study, with a reported reduction of mortality over time from 43% (1985–1990) to 6%  
10  
11 (1991-2009) [62]. The decrease in mortality for CGD patients over the last 15 years  
12  
13 has been attributed to high clinical awareness but also to the implementation of  
14  
15 itraconazole prophylaxis [58,62,64]. Nevertheless, IA remains a major cause of death  
16  
17 for CGD patients [64]. In HIES, a 17% IFD case fatality rate has been reported [65].  
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#### 24 **Invasive fungal disease in PICU patients**

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26 Children in PICUs represent a heterogeneous pediatric population with a well-  
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28 documented increased risk for developing IFD due to a unique combination of critical  
29  
30 and complex clinical conditions, including prolonged need of hospitalization, frequent  
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32 invasive interventions, and the presence of foreign devices, such as catheters and  
33  
34 endotracheal tubes [72]. The predominant cause of IFD in the PICU is IC, while IA is  
35  
36 mainly observed in children with underlying hematological malignancies admitted to  
37  
38 the PICU.  
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43 **Epidemiology.** The incidence of IC and the *Candida* spp. distribution vary  
44  
45 among different PICUs and among different time periods. These differences may  
46  
47 reflect specific institution peculiarities associated with differences in critical care  
48  
49 practices, differences in geographical niches of *Candida* spp., and the expansion of  
50  
51 antifungal prophylactic regimens. For example, from 2005-2009 the incidence of IC  
52  
53 among seven PICUs in Greece ranged from 0-14.1 cases/1000 admissions with a  
54  
55 median incidence of 6.4 cases/1000 admissions [73]. Comparable incidences have  
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3 been reported from Spain with 6.9 cases/1000 admissions during a two-year period  
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5 (1996-1998) [74]. Slightly lower incidences were found in a study from the US with  
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7 3.5 cases/1000 admissions reported during 1997–2004 [75], similar to the results from  
8  
9 Egypt (3 cases/1000 inpatient-days) [76]. Over a 10-year-period, the incidence of IC  
10  
11 in PICU patients in a single center study in Germany was 0.59/1000 hospital  
12  
13 discharges (95% CI, 0.02–1.09) [45]. A more recent update from Spain for the period  
14  
15 2008-2009 reported an incidence of 4.22 cases/100 PICU admissions [77].  
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19 Richards *et al.* reported that almost 10% of bloodstream infections in US  
20  
21 PICUs were attributed to *Candida* spp. [78], while a study in Israel reported that  
22  
23 14.4% of bloodstream infections in PICUs were candidemia [79]. *C. albicans*  
24  
25 remains the leading cause of IC in the PICU, with an increasing trend of non-*albicans*  
26  
27 *Candida* spp. worldwide. In Europe, *C. albicans* prevails, with a percentage ranging  
28  
29 between 37.6 to 55.5% comparable to US studies reporting a 46% of IC caused by *C.*  
30  
31 *albicans*. [73,75-77, 80]. *C. parapsilosis* is the second leading etiology of IC at  
32  
33 approximately 20%. The high percentage of *C. parapsilosis* isolated in the PICU  
34  
35 emphasizes the need of implementing further infection control bundle measures, as its  
36  
37 origin is mainly exogenous either through horizontal transmission or adherence to  
38  
39 foreign devices (such as catheters and other devices) [75]. Other *Candida* spp.  
40  
41 account for 10-15% of the isolates, most prominently with *C. tropicalis*, *C. glabrata*,  
42  
43 *C. krusei* and *C. lusitaniae*. Differences in the distribution of these species among  
44  
45 different PICUs have been associated with local practices and therefore it is necessary  
46  
47 to learn a center's local epidemiology.  
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53 **Outcomes.** The case fatality rate of IC in the PICU is difficult to estimate due  
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55 to the high clinical complexity and severity of underlying conditions. Zaoutis *et al.*  
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57 compared the case fatality rates in children with IC and controls in the PICU and  
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3 found a statistically significant higher rate of death in children with IC (44% vs 14%;  
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5 OR: 4.22; CI: 2.35, 7.60) [75]. The same group observed a prolonged median PICU-  
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7 and hospital- length of stay for children with IC (35 and 46 days, respectively) [75].  
8  
9 Hegazi *et al.* found a similar case fatality rate in PICU patients (42.4%), while the  
10  
11 case fatality rate from candidemia was estimated to be 16.7%, similar to the 18.2%  
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13 reported by Vogiatzi *et al.* [73,76].  
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17 The impact of species-specific mortality among children in the PICU has been  
18  
19 evaluated, however, results are conflicting. In another study, children with candidemia  
20  
21 due to non-*albicans Candida* spp. were twice as likely to die than children with *C.*  
22  
23 *albicans* [80]. On the contrary, other studies found no significant difference between  
24  
25 different *Candida* spp. and case fatality rates [72,75]. In one study, the main species  
26  
27 associated with higher mortality were *C. glabrata*, *C. krusei* and *C. tropicalis*, and this  
28  
29 was felt to be most likely due to decreased susceptibility and/or resistant to  
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31 fluconazole among *C. glabrata* and *C. krusei* [72].  
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### 38 **Invasive fungal disease in NICU patients**

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40 A significant increase in the incidence of IC was initially reported during the  
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42 1990s temporally associated with increased survival rates of premature very low  
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44 birth-weight (VLBW) neonates, while in the last 15 years there has been an overall  
45  
46 decrease in neonatal IC within European countries and the US [16,17,20,21, 81-84].  
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48 The cause of this decrease is likely multifactorial and has been correlated with  
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50 prophylactic use of fluconazole and with infection control bundle measures  
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55 eliminating catheter-related bloodstream infections [17, 84].  
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3       **Epidemiology.** In a large cohort study including 6956 VLBW neonates, *C.*  
4       *albicans* was the third most common pathogen causing late onset sepsis (6%) [85].  
5       Results from a multicenter study (19 centers in the US) among extreme low birth-  
6       weight (ELBW) neonates showed a significant variability in the incidence of IC  
7       among different centers (2-28%) [86]. Aliaga *et al.* was among the first to report a  
8       significant decrease of neonatal IC, dropping from 3.6 per 1000 infants in 1997 to 1.4  
9       per 1000 infants in 2010 in the US [84]. Similar decreases have now been reported in  
10       a number of smaller European studies [16,19]. In a UK study, a lower median age of  
11       diagnosis was reported for *C. albicans* (11 days) and *C. glabrata* (9 days) compared  
12       to other species such as *C. parapsilosis* (18 days), *C. tropicalis* (20 days) and *C.*  
13       *lusitaniae* (23 days) in infants < 90 days of age [16]. Irrespective of the age of  
14       diagnosis, *C. albicans* remains the most frequent *Candida* spp. associated with  
15       neonatal IC, followed by *C. parapsilosis*, and *C. tropicalis*; *C. glabrata* and *C. krusei*  
16       are less frequently encountered [87-89]. The incidence of *C. parapsilosis* infections in  
17       NICU patients is rather stable when comparing the time period before 2000 (33.5%)  
18       and after 2000 (27%) [90].

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21       **Outcomes.** Despite a decreasing incidence, neonatal IC is associated with a  
22       high case fatality rate with an overall estimate of about 20% and increasing to 50% in  
23       extremely low birth weight (ELBW) infants. Increased mortality and long term  
24       neurodevelopmental abnormalities have been associated with neonatal IC, and in  
25       particular with the occurrence of hematogenous *Candida* meningoenophalitis  
26       (HCME) [86,91,92]. Almost 50% of the infants surviving neonatal IC will have long-  
27       term neurodevelopmental deficits [91-95]. Additional poor prognostic factors for  
28       neonatal IC outcome include the early onset of IC, delayed catheter removal and  
29       delayed initiation of antifungal therapy [96-98]. A recently published meta-analysis in  
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3 2016 showed that fluconazole prophylaxis in ELBW infants not only contributed to a  
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5 significant reduction of IC but also to a reduction in case fatality rates [99].  
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## 10 **Conclusion**

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14 There is an ongoing global effort to constantly update our knowledge on the  
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16 incidence and distribution of pathogens causing pediatric IFD. Continuing and  
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18 actually expanding this effort is necessary to better understand the changing incidence  
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20 and outcomes of IFD and to identify emerging at risk populations. Local monitoring  
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22 of the epidemiology is also necessary to understand the burden of IFD at the  
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24 institutional level. These data are of utmost importance to tailor preventive measures,  
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26 to focus resources on the most susceptible hosts and to implement institution-based  
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28 infection control strategies. Although the spectrum of children vulnerable to IFD is  
29  
30 wide, the majority of cases are inclusive of the patient populations reviewed in detail  
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32 above. Recent studies on the epidemiology of *Candida* infections suggest a decrease  
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34 in the infection rates in the last decade. The reason for this decline is not exactly  
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36 known but often attributed to the utilization of antifungal prophylaxis and improved  
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38 infection control practices. A gradual shift from *C. albicans* to non-*albicans Candida*  
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40 has also been recorded, while a stable incidence of IA was observed. Mortality rates  
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42 remain high depending on the fungal pathogen isolated and underlying condition of  
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44 the pediatric patient. Future work is needed to improve diagnostic capabilities to  
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46 better understand the epidemiology of these infections and to allow for earlier  
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48 initiation of appropriate therapeutic interventions that will result in improved survival  
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54 from these devastating infections.  
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For Review Only

**Table 1.** Incidence of Invasive Candidiasis and Invasive Aspergillosis from contemporary multicenter pediatric studies

Author [ref]	IFD incidence	Time period	Cases (N)	Mortality	Type of study	Comments
<b>Invasive Candidiasis (IC)</b>						
Zaoutis <i>et al.</i> [12]	4.3 /10. 000 pediatric admissions	2000	1118	15.8%	Multicenter US study (KID 2000) & (NIS 2000)	Analysis showed an absolute 10.0% increase in mortality attributable IC
Oeser <i>et al.</i> [16]	15.2/10.000 person-years	2000-2009	1473	NR	Multicenter EU study (England & Wales)	Decrease in IC incidence after 2007: 2.09/100. 000 (2007) <i>versus</i> 1.53/100. 000 (2009)  Difference in IC incidence among age groups: Highest in <1 year old patients (11.0/100.000) and lowest in 10-14 year old patients: (0.47/100. 000)
Blyth <i>et al.</i> [26]	4.6/10.000 admissions 4.39/100. 000 population (neonates) 0.92/100 000 population (children)	2001-2004	1005	10% children 22% neonates	Multicenter study in Australia	
Fisher <i>et al.</i> [17]	2.46/10. 000 inpatient days (2003) 0.77/10. 000 inpatient days (2011)	2003-2011	4456	14%	Multicenter US study	Decrease in IC incidence:72% for pediatric and 91% for neonatal cases Mortality varied: 17.3% (2003) <i>versus</i> 11.6% (2011)
Santolaya <i>et al.</i> [23]	8.1/10.000 pediatric admissions	2008-2010	302	28%	Multicenter study in Latin America	
Cleveland <i>et al.</i> [19]	ATL: 13.3/ 100. 000 person-years BTM: 26.2 / 100. 000 person-years	2008-2011	1863	29% 28%	Multicenter US study population-based surveillance	Significant decrease of IC for both pediatric and <1 year of age groups.
Cleveland <i>et al.</i> [18]	19/ 10.000 person-years (children) 33.8/ 100. 000 person-years (neonates)  * mixed population children and adults (children N=121; <1 yr N=113)	2008-2013	3848*	NR	Multicenter US study population-based surveillance	Baltimore: Decrease in IC incidence in neonatal but not in pediatric patients: Reported increase 17% (2.0/100.000 in 2008 to 2.4/100,000 in 2013);  Atlanta: the decline was greatest for persons aged <1 year: reported decrease 60% ( 41.7/100,000 in 2008 to 16.6/ 100.000 in 2013)

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**Invasive Aspergillosis (IA)**

Zaoutis <i>et al.</i> [13]	437/100.000 (0.4%) hospitalized immunocompromised children	2000	666	18%	Multicenter US study	Children with IA had a significantly higher mortality and longer median length of hospital stay (16 days) than immunocompromised children without IA (3 days)
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**Table 2.** Distribution of *Candida* spp. causing IFD among pediatric patients from multicenter studies between 2000-2017

Author [ref]	Invasive Candidiasis (IC) Fungal species distribution	Time period	Cases (N)	Mortality	Type of study
	<i>Candida albicans</i> N (%)				
	<b>Non-albicans <i>Candida</i> spp.</b> N (%)				
	(three most frequently reported)				
Oeser <i>et al</i> [16]	815 (55.3)	2000- 2009	1473	NR	Multicenter EU study (England & Wales)
	<i>C parapsilosis</i> 320 (21.7) <i>C glabrata</i> 60 (4.1) <i>other</i> 153 (10.4)				
Blyth <i>et al.</i> [26]	47 (43.9)	2001- 2004	80 pediatric	NR	Multicenter study in Australia
	<i>C parapsilosis</i> 41 (38.3) <i>C glabrata</i> 3 (2.8) <i>C krusei</i> 2 (1.9) <i>C tropicalis</i> 2 (1.9) <i>C orthopsilosis</i> 2 (1.9)				
Blyth <i>et al.</i> [26]	13 (39.4)	2001- 2004	24 neonatal	NR	Multicenter study in Australia
	<i>C parapsilosis</i> 14 (42.4) <i>C glabrata</i> 3 (9.1) <i>C tropicalis</i> 1 (3.0)				
Steinbach <i>et al.</i> [22]	87 (44)	2007- 2011	196 pediatric	19%	Multicenter US & EU study (IPFN)
	<i>C. parapsilosis</i> 45 (22) <i>C. glabrata</i> 21 (11) <i>C. lusitaniae</i> 8 (4)				
Steinbach <i>et al.</i> [22]	12 (48)	2007- 2011	25 neonatal	8%	Multicenter US & EU study (IPFN)
	<i>C. parapsilosis</i> 7 (28) <i>C. glabrata</i> 1 (4) Other 6 (24)				
Santolaya <i>et al.</i> [23]	115 (38.1)	2008- 2010	302 pediatric	28%	Multicenter study in Latin America
	<i>C. parapsilosis</i> 80 (26.5) <i>C. tropicalis</i> 44 (14.6) <i>C. guilliermondii</i> 31 (10.3)				

IPFN: International Pediatric Fungal Network

NR: not reported

**Table 3.** Distribution of *Aspergillus* spp. causing IFD among pediatric patients from multicenter studies between 2000-2017

Author [ref]	Invasive mold Diseases (IMDs) Fungal species distribution		Time period	Cases (N)	Mortality	Type of study
	<i>Aspergillus</i> spp.	Non- <i>Aspergillus</i> Molds				
	N (%)	N (%)				
Burgos <i>et al.</i> [15]	<i>A. fumigatus</i> 67 (52.8) <i>A. flavus</i> 20 (15.7) <i>A. terreus</i> 6 (4.7) <i>A. niger</i> 6 (4.7)	NR	2000- 2005	139 IA	<i>A. fumigatus</i> 38 (52) <i>A. flavus</i> 11 (15)	Multicenter EU study
Pana <i>et al.</i> [29]	NR	<i>Rhizopus</i> spp. (39.7) <i>Lichtheimia</i> spp. (17.5) <i>Mucor</i> spp. (12.7)	2005- 2014	63 MC	33%	Multicenter study (Fungiscope & zygomyco.net )
Wattier <i>et al.</i> [14]	<i>A. fumigatus</i> 26 (20) <i>A. flavus</i> 7 (5) <i>A. niger</i> 6 (5)	Mucormycoses 17 (13) <i>Rhizopus</i> spp 9 (7) <i>Mucor</i> spp 3 (2) Other mold 22 (17) <i>Curvularia</i> spp 4 (3) <i>Exserohilum</i> spp 4 (3) <i>Fusarium</i> spp 4 (3)	2007- 2011	131 IMIS: 98 IA 17 MC	IMIs 39 (30) IA 30 (31) MC 6 (35)	Multicenter EU and US study (IPFN)

IA: Invasive Aspergillosis

IMIs: Invasive Mold infections

MC: Mucorales infections

NR: Not reported