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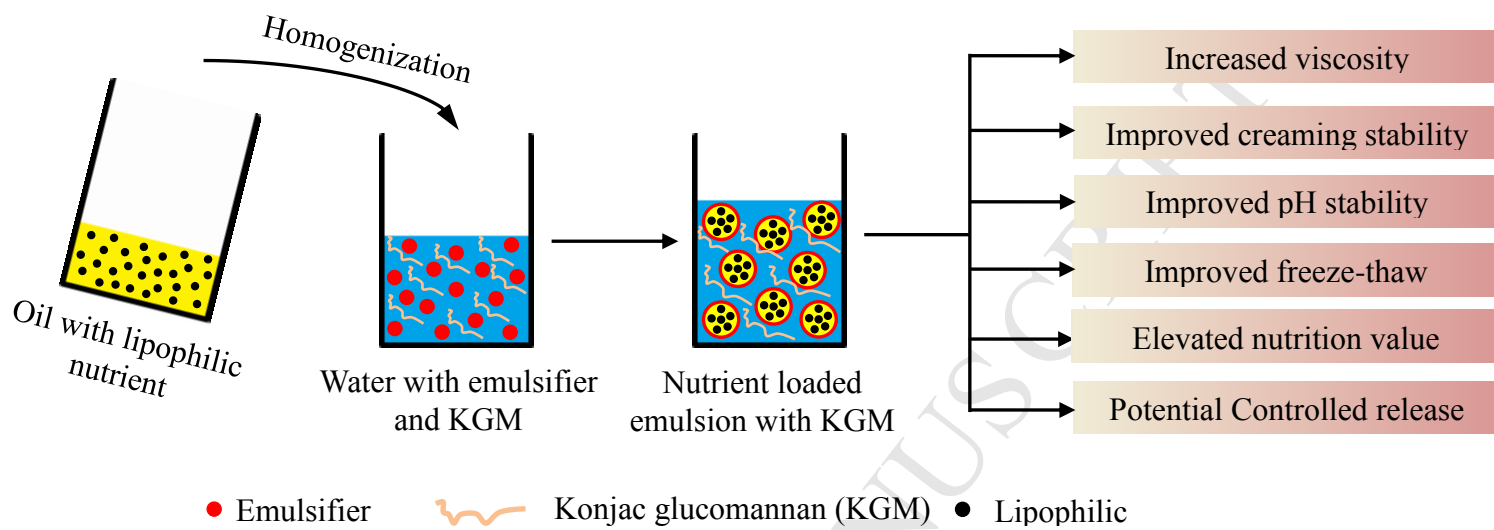
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Improved emulsion stability and modified nutrient release by structuring O/W emulsions using konjac glucomannan

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Abstract

Functional konjac glucomannan (KGM) was used to structure the water phase of O/W emulsions containing a lipophilic bioactive compound (β -carotene). KGM greatly increased the viscosity of the water phase and thus the viscosity of final emulsions. Results of Fourier-transform infrared spectroscopy (FT-IR) showed that there is no significant non-covalent interaction between KGM and whey proteins in the water phase. KGM significantly improved the creaming and pH stability of whey-protein-stabilized emulsions ($p < 0.05$), and significantly decreased the oiling-off of emulsions during freeze-thaw test. Emulsions with or without KGM all had good thermal stability at 80 °C. Microscopy observations indicated obvious aggregation of free proteins and oil droplets in gastric phase and an enzymatic-induced break-down of droplets, mainly in the intestinal phase of the simulated gastrointestinal tract (GIT). Emulsions with KGM-structured water phase showed a lower final release rate of encapsulated β -carotene than emulsion without KGM ($p < 0.05$), and the release rate decreased with the increasing KGM content. The findings of this study contribute to a better understanding of the influence of the water phase on the release of encapsulated compounds from emulsions, and make it possible to achieve controlled release of encapsulated compounds, and/or to deliver multiple functional ingredients in one carrier by structuring the water phase of emulsion with functional polymers.

Keywords: emulsion, water phase, konjac glucomannan, stability, release

1 **1. Introduction**

2 There has been a growing interest in the utilization of emulsions as the carriers for the
3 encapsulation, protection and delivery of lipophilic functional nutrients. Many emulsion-
4 based carriers have been successfully developed to protectively deliver a variety of bioactive
5 molecules, such as carotenoids (Lu et al., 2016; Lu, Kelly, & Miao, 2017b), fatty acids
6 (Karthik & Anandharamakrishnan, 2016), polyphenols (Lu, Kelly, & Miao, 2016), peptides
7 (Niu, Conejos-Sanchez, Griffin, O'Driscoll, & Alonso, 2016) and novel drugs (Hormann &
8 Zimmer, 2016).

9 Since emulsions have been widely used as novel carriers for functional nutrients, a better
10 understanding of the factors that can influence the digestion behavior of emulsion droplets
11 and thus the release of encapsulated bioactive nutrients becomes very important. The
12 digestion of emulsion droplets is closely related to their structure, including the oil phase,
13 interfacial layer, and water phase. Taking protein stabilized oil-in-water (O/W) emulsion as
14 an example, the digestion process of protein-stabilized emulsion droplets containing bioactive
15 nutrients mainly goes through four steps: (i) binding of proteinase to the droplet surface in the
16 water phase, e.g., pepsin and trypsin; (ii) hydrolysis of interfacial protein layers by proteinase;
17 (iii) hydrolysis of lipid in the oil phase by lipase and release of bioactive nutrients from lipid
18 droplets; and (iv) formation of bile-salt emulsified micelles containing released lipophilic
19 bioactive nutrients. Accordingly, the formula of water phase and interface can influence the
20 final bioaccessibility by modifying the first two steps of the digestion, while the structure and
21 composition of oil phase can influence the final bioaccessibility through affecting the later
22 two steps of digestion. The effects of composition or structure of the oil phase and interface

23 on the release of encapsulated bioactive nutrients from emulsions have been well investigated
24 in previous studies (Lu et al., 2017b; Qian, Decker, Xiao, & McClements, 2012; Salvia-
25 Trujillo, Fumiaki, Park, & McClements, 2017). However, little is known about the influence
26 of the water phase on the digestion of emulsion droplets and thus the release of encapsulated
27 nutrients from emulsions.

28 Natural polysaccharides are a class of biopolymers that have been widely used in the
29 production of emulsions, due to their wide availability, good physical and chemical stability,
30 edibility, and low cost. Previous studies have shown that introducing polysaccharide as a
31 second stabilizer into the water phase can significantly enhance the stability of emulsions
32 (Dickinson, 2011). Polysaccharides can generally modify the interface, rheology, or gelation
33 properties of emulsions. Polysaccharides can also significantly enhance the stability of
34 protein-stabilized emulsions by forming a polysaccharide-protein double-layer interface
35 (Aoki, Decker, & McClements, 2005; Guzey, Kim, & McClements, 2004; Jeonghee Surh
36 2005; Mao, Roos, & Miao, 2015). In addition, polysaccharides can form network structures
37 in the water phase, which can limit the mobility of oil droplets by steric hindrance and thus
38 improve the creaming stability of emulsions (Lin et al., 2017). Such network structures in the
39 water phase can potentially also influence the binding and interaction process of enzymes, the
40 digestion velocity of emulsion droplets, and thus the release of encapsulated bioactive
41 nutrients, as described above.

42 Konjac glucomannan (KGM) is a natural polysaccharide obtained from tubers of
43 *Amorphophallus konjac* cultivated in Asia. KGM is reported to possess many health benefits,
44 such as lowering the blood cholesterol and sugar levels, positively modulating gut microflora,
45 promoting weight loss, and improving immune function (Arvill, 1995; Chua, Baldwin,
46 Hocking, & Chan, 2010). However, there are few studies on using KGM to modify the water
47 phase and thus influencing the release of encapsulated bioactive nutrients from emulsions.

48 Introducing KGM into emulsions can also endow the final emulsions with new health
49 benefits associated with KGM besides those contributed by encapsulated molecules. This can
50 accordingly achieve a delivery of multiple nutrients in one carrier.

51 From the above, this study was therefore proposed to investigate the effect of structuring
52 water phase of model oil-in-water (O/W) emulsions by KGM on their properties, including
53 droplet size, surface charge, creaming stability, pH stability, thermal stability, and freeze-
54 thaw stability, and also the effect on the release of encapsulated bioactive nutrients (β -
55 carotene) from O/W emulsion after passing through a simulated gastrointestinal tract (GIT)
56 digestion.

57

58 **2. Material and methods**

59 *2.1 Materials*

60 Konjac glucomannan powder was obtained from Konjac Food (Cupertino, CA, USA).
61 Whey protein isolate was purchased from Davisco Food International (Le Sueur, MN, USA).
62 β -carotene (>93%, UV), pepsin, pancreatin (porcine, 4 \times USP) were purchased from Sigma-
63 Aldrich (St. Louis, MO, USA). Sunflower oil was purchased from a local supermarket. All
64 other chemicals and reagents used were of AR-grade and obtained from Sigma-Aldrich (St.
65 Louis, MO, USA).

66

67 *2.2 Preparation of emulsions*

68 Whey protein isolate (WPI) was dispersed (2%, w/w) in Millipore water containing sodium
69 azide as antimicrobial agent (0.01% w/w). The dispersions were stirred for 4 h and kept at
70 4 °C overnight for complete dissolution of WPI. The dispersion was then brought to 25 °C
71 before adding konjac glucomannan (KGM) powder to make a KGM content of 0.05%, 0.1%,
72 or 0.2% in the final emulsions. The mixtures were stirred for 4 h for a complete dissolution of

73 KGM and then centrifuged at 4,000 rpm (2700 g) for 20 min before being used as the water
74 phase. The oil phase was prepared by dissolving β -carotene (0.2%, w/w in final emulsion) in
75 sunflower oil (10%, w/w in final emulsion) at 140 °C which was then mixed with the water
76 phase at 10,000 rpm for 2 min at room temperature using an Ultra-Turrax (IKA, Staufen,
77 Germany) followed by further homogenization (APV 1000, SPX Flow Technology, Charlotte,
78 North Carolina, USA) at 50 MPa for 3 passes at room temperature to obtain final emulsions.

79

80 *2.3 Droplet size and surface charge*

81 The droplet size and zeta potential of KGM emulsions were measured by a laser particle
82 analyzer (Nano-ZS, Malvern Instruments, Worcestershire, UK) as described in our previous
83 study (Lu et al., 2016). Emulsions were diluted to the final oil content (w/w) of 0.01% before
84 testing. The refractive index (RI) of samples was set at 1.47 for sunflower oil.

85

86 *2.4 Creaming stability*

87 Emulsion stability was evaluated using Lumisizer (LUM GmbH, Berlin, Germany) as
88 describe previously. Emulsions were centrifuged at 2,300 g at 25 °C with a scanning rate of
89 once every 10 s for 1,200 s. Following the test, curves of the integrated transmitted light
90 against time were plotted, and the slope of each curve was taken as the Creaming Index (CI).

91

92 *2.5 Rheological analysis*

93 Rheological measurements were performed using an AR 2000ex rheometer (TA
94 Instruments, Crawley, UK). A concentric cylinder geometry (stator inner radius=15 mm,
95 rotor outer radius=14 mm) was selected, and 19 g of each sample was placed into the inner
96 cylinder and equilibrated for 2 min before measurement. Viscosity testing was performed
97 over a shear rate range of 0–300 s⁻¹ at 25 °C.

98

99 *2.6 Fourier transforms infrared spectroscopy (FT-IR)*

100 Fourier transform infrared spectroscopy (FT-IR) technology was used to evaluate the
101 molecular structure of KGM and WPI in water phase before and after homogenization. The
102 IR spectra of the samples were recorded by FT-IR spectrophotometer (Bruker Corp, Billerica,
103 Massachusetts, USA) using an attenuated total reflection (ATR) technique. The spectrum was
104 scanned from 4000 cm^{-1} -900 cm^{-1} with a resolution of 4 cm^{-1} . An average of 300 scans was
105 recorded for each sample.

106

107 *2.7 Stability of emulsions at different pH values and temperature*

108 The effect of KGM on the resistance of emulsions to extreme pH environments was
109 evaluated. The KGM emulsions were brought to different pH values from 2.0 to 7.0 with HCl
110 or NaOH solution, and maintained for 4 h at room temperature before droplet size, surface
111 charge and creaming stability analysis by DLS and Lumisizer as described above.

112 The effect of KGM on the resistance of emulsions to thermal processing was evaluated
113 following incubation at 25°C, 37 °C, or 80 °C for 2 h. The droplet size was then analyzed by
114 Malvern Nanosizer as described above.

115

116 *2.8 Freeze-Thaw Test*

117 Freeze-thaw testing was applied to emulsions with the objective of assessing the possibility
118 of frozen storage of liquid emulsions containing bioactive nutrients. In addition, the changes
119 in properties of emulsions after the freeze-thaw processing can potentially contribute to the
120 freeze-drying of liquid emulsions into solid products, which can significantly facilitate the
121 storage, transportation, and application of emulsions.

122 Liquid emulsions were kept at -20°C for 24 h and then thawed at 25°C for 2 h in a water
123 bath. This cycle was repeated three times. Droplet size and surface charge was measured after
124 each cycle by Malvern nanosizer. Creaming stability of emulsions after three cycles was
125 measured with Lumisizer as described above.

126 Oiling-off of emulsions after 3 cycles of freeze-thaw was also evaluated by measuring the
127 content of β -carotene in the free oil fraction. The thawed emulsions were centrifuged at
128 10,000 g for 10 min (25 °C). Free oil layer containing β -carotene on top of emulsions were
129 collected and subjected to a second centrifuge at 4000 g for 10 min (25 °C). The supernatant
130 (free oil) containing β -carotene was collected and extracted with ethanol/hexane. The content
131 of β -carotene in hexane fraction was quantified by RP-HPLC as described below. The oiling-
132 off was calculated based on the equation below:

$$133 \quad \text{Oil-off (\%)} = \frac{C_{\text{free oil}}}{C_{\text{initial}}} \times 100\% \quad (1)$$

134 where $C_{\text{free oil}}$ and C_{initial} are the concentration of β -carotene in the free oil fraction after 3
135 cycles of freeze-thaw and in the initial emulsion before freeze-thaw test, respectively.

136

137 2.9 Simulated gastrointestinal tract (GIT) digestion

138 An *in vitro* simulated GIT model consisting of mouth, gastric and intestinal phases was
139 used to digest β -carotene loaded emulsions. The simulated saliva fluid (SSF), simulated
140 gastric fluid (SGF), and simulated intestinal fluid (SIF) were prepared as described
141 previously research (Lu et al., 2017b) with minor modification.

142 For the mouth phase digestion, emulsions were mixed with SSF (1:1, v/v), the pH was
143 adjusted to 6.8 and the mixtures were incubated at 37 °C for 10 min with continuous agitation
144 at 150 rpm. For the gastric phase, the bolus sample from the mouth phase was mixed with the
145 SGF (1:1, v/v). The pH of the mixture was adjusted to 2.5 and it was incubated at 37 °C for 2

146 h with continuous agitation at 150 rpm. The pepsin activity in the final mixture was 1000
147 U/ml. For the small intestinal phase, the bolus sample from the gastric phase was mixed with
148 the SIF (1:1, v/v). The pH of the mixture was adjusted to 7.0 and it was incubated at 37 °C
149 for 2 h with continuous agitation at 150 rpm. The trypsin activity in the final mixture was 25
150 U/ml.

151

152 *2.10 Laser scanning confocal microscope observation*

153 The microstructure of the initial and digested samples was observed using a Leica TCS
154 SP5 laser scanning confocal microscope (Leica Microsystems, Baden-Württemberg,
155 Germany). All the images were taken using a 63 x oil-immersion objective and simultaneous
156 dual-channel imaging, He-Ne laser (excitation wavelength at 633 nm) and an Argon laser
157 (excitation wavelength at 488 nm). A mixture of two dyes, Fast green (0.1 %, w/w in water)
158 and Nile red (0.1 %, w/w in propanediol) was used to color and detect protein and lipid,
159 respectively. Initial/digested sample (500 µl) was gently mixed with 50 µl of mixed dye.

160

161 *2.11 Release of encapsulated β-carotene*

162 The amount of β-carotene in micelle fractions after the intestinal phase GIT was measured
163 as the final release rate of encapsulated β-carotene. Briefly, an aliquot of raw digesta from the
164 intestinal phase was centrifuged at 4,500 rpm (2978 g) for 40 min at 4 °C and the middle
165 layer was collected and considered as the micelle fraction. One mL of the micelle fraction
166 was extracted twice with ethanol/n-hexane. The upper n-hexane layer containing the
167 solubilized β-carotene was collected and analyzed by RP-HPLC as described below.

168 The final release rate of encapsulated β-carotene was calculated using the follow equation:

$$169 \quad \text{Release rate (\%)} = \frac{C_{\text{micelle}}}{C_{\text{initial}}} \times 100\% \quad (2)$$

170 where C_{micelle} and C_{initial} are the concentration of β -carotene in the micelle fraction and
171 initial emulsion before digestion, respectively.

172

173 2.12 Quantification of β -carotene

174 Reversed-phase high performance liquid chromatography (RP-HPLC) was used to quantify
175 β -carotene. An Agilent 1200 series system with a DAD UV-Vis detector (Agilent, Santa
176 Clara, CA, USA) and a reversed phase TSKgel ODS-100v C_{18} column (4.6×250 mm, 5 μm ,
177 TOSOH) was employed.

178 Chromatography conditions: column operation temperature at 30 °C; elution was
179 performed with 90% ethanol and 10% acetonitrile from 0-30 min, flow rate was 1 mL/min,
180 detection wavelength was 450 nm, and injection volume was 20 μL .

181

182 2.13 Statistical analysis

183 All experiments were repeated at least three times. One-way analysis of variance (ANOVA)
184 was employed to compare means of data. A t-Test was used to determine the differences
185 between means. Significant differences were determined at the 0.05 level ($p < 0.05$).

186

187

188 3. Results and discussion

189 3.1 Droplet size and surface charge

190 As is shown in Table 1, an emulsion without konjac glucomannan (KGM) had an average
191 droplet size of 252 nm, while emulsions containing KGM showed significantly increased
192 droplet size with increasing KGM content from 0.05% to 0.2% ($p < 0.05$). KGM, as a natural
193 polysaccharide, is dispersible in water and can form a network structure with different

194 particle size depending on its molecular weight and concentration (Lazaridou, Biliaderis, &
195 Izydorczyk, 2003). This network structure can potentially increase the average droplet size of
196 emulsions. However, the droplet size test was performed after 1000-fold dilution of
197 emulsions and KGM at this extreme low concentration (<0.0002%) is unlikely to form a
198 network structure.

199 There is another possibility to explain the increased droplet size of KGM-emulsions. The
200 peak of the size distribution shifted to a slightly higher value (data not shown), indicating that
201 there may be some flocculation or coalescence of the droplets, which were forced close
202 enough at high KGM concentrations induced by depletion attraction between droplets (Ye,
203 Hemar, & Singh, 2004). Slight aggregation of droplets was also observed in our previous
204 research (Lu et al., 2016).

205 All emulsions were negatively charged and no significant difference in surface charge
206 between different emulsions was observed ($p>0.05$). Generally, KGM is a non-charged
207 polysaccharide and binding of KGM to the surface of WPI-stabilized emulsion droplets
208 should lead to their significantly reduced surface charge. However, surface charge of
209 emulsions containing KGM showed almost no difference from that of the emulsion without
210 KGM (Table 1), potentially demonstrating that there is very limited binding of KGM to the
211 droplet surface.

212

213 *3.2 Rheological analysis*

214 The viscosity of emulsions significantly decreased with the increasing shear rate (~50 1/s),
215 indicating a shear-thinning property. The KGM emulsion showed higher viscosity than
216 emulsion without KGM, and the viscosity of KGM emulsions increased with increasing
217 KGM content (Fig. 1). Several factors can potentially influence the viscosity of emulsions,
218 such as oil content, viscosity of water phase, droplet size, or surface charge (McClements,

219 2015). In this study, increased viscosity of KGM emulsions can be mainly attributed to two
220 factors: (i) increased viscosity of water phase (WPI-KGM dispersion); and (ii) flocculation of
221 droplets by depletion force induced by non-absorbed polysaccharide KGM. As shown in Fig.
222 2, the viscosity of the water phase (WPI-KGM dispersions) also decreased with increasing
223 shear rate, and a similar increase in viscosity with increasing KGM content was seen,
224 suggesting that KGM can significantly increase the viscosity of the water phase and thus the
225 viscosity of final emulsions. In addition, KGM, as a biopolymer can potentially generate a
226 depletion force between droplets and induce flocculation of droplets at certain concentrations
227 (Mao, 1995), which accordingly can increase the viscosity of emulsions. This point will be
228 further discussed in the creaming stability section below.

229 Generally, KGM can disperse in water and form highly viscous suspensions at pH values
230 of 4.0-7.0 due to its high molecular weight, ranging from 200-2000 kDa (Chua et al., 2010;
231 Villay et al., 2012). The viscosity of WPI-KGM suspensions after homogenization decreased
232 significantly (**Fig. 2**). This is mainly attributed to the mechanical de-polymerization and/or
233 de-polymerization-coupled conformation of KGM by homogenization (Villay et al., 2012).
234 The molar-mass distribution is the primary parameter that influences the viscosity of
235 polysaccharide in solution. Homogenization can lead to mechanical degradation (de-
236 polymerization) of polysaccharides, and produce fractions with low molecular weight or low
237 polydispersity, which accordingly lead to decreased viscosity.

238

239 *3.3 Fourier- transform infrared spectroscopy (FT-IR) analysis*

240 Generally, polysaccharides can form double layer structures at the interface of protein-
241 stabilized emulsion through electrostatic attraction, hydrogen bond or hydrophobic
242 interactions with protein. However, KGM is a non-charged polysaccharide and cannot form
243 complexes with protein by electrostatic attraction. Our preliminary research also indicated

244 that there is no significant hydrophobic or hydrogen bond interaction between WPI and KGM
245 at different pH values (2-8) and temperatures (up to 90°C) (data not shown).

246 However, little was known about the interaction between WPI and KGM in the water
247 phase after homogenization. Hence, fourier-transform infrared spectroscopy (FT-IR) was
248 employed to investigate the influence of HPH on the properties of water phase. The spectra of
249 water phase (WPI-KGM dispersions) before and after HPH were collected within
250 wavelengths of 900-4000 cm^{-1} and spectra between 1350-1700 cm^{-1} were analysed, since this
251 zone covers the main characteristic absorption peaks of WPI (Chen, Li, Ding, & Suo, 2012).
252 The spectra of WPI (Fig. 3) indicated the presence of characteristic absorption peaks at 1645,
253 1548, 1456, and 1400 cm^{-1} corresponding to the C=O stretching and the bending of N-H, C-H,
254 C-N bonds, respectively (Barth, 2007; Chen et al., 2012). Compared with WPI before
255 homogenization, the spectra of WPI after homogenization did not show significant difference.

256 KGM exhibited a characteristic absorption peak of the β -1,4-linked glycosidic bond at
257 895 cm^{-1} and a characteristic peak of the enlargement of pyranoid rings at 808 cm^{-1} (Gao, Su,
258 Huang, & He, 2014). Hence, KGM showed almost no significant absorption within
259 wavelength of 900-4000 cm^{-1} . Spectra of WPI-KGM dispersions also showed no significant
260 difference compared with pure WPI dispersion before and after homogenization (Fig. 3). All
261 these results suggested that: (i) homogenization did not induce significant changes in the
262 molecular structure of WPI; and (ii) homogenization processing did not induce obvious non-
263 covalent interactions, e.g., electrostatic attraction, hydrophobic interaction or hydrogen bond,
264 between WPI and KGM. However, the droplet size (data not shown) of WPI-KGM
265 dispersions significantly decreased after homogenization, which was mainly attributed to the
266 mechanical de-polymerization of KGM by homogenization, as described above (Villay et al.,
267 2012).

268

269 3.4 Creaming stability

270 Creaming index (CI) was used to describe the rate of light transmission change. It is
271 calculated based on the curve of the integrated transmitted light against time. A higher CI
272 value indicates a lower creaming stability of emulsion. Hence, emulsion containing 0.05%
273 KGM showed the highest creaming stability, followed by emulsions containing 0.1% KGM
274 and the emulsion without KGM (Table 1). KGM can improve the creaming stability of
275 emulsions by increasing the viscosity of emulsions as described above (Fig. 1). In addition,
276 KGM at these concentrations (0.05%, or 0.1%) can potentially form a network structure in
277 water phase. Even though, these network structures can be destroyed by mechanical
278 homogenization as described above, the chain-structure of KGM can still limit the creaming
279 of emulsions due to the increased steric hindrance and force of friction between droplets and
280 the continuous phase.

281 However, emulsion containing 0.2% KGM showed very poor creaming stability, which
282 was mainly attributed to the depletion flocculation of emulsion droplets by non-absorbed
283 KGM (Klinkesorn, Sophanodora, Chinachoti, & McClements, 2004; Mao, 1995). Non-
284 adsorbed polymers can generate an attractive osmotic force between droplets. This osmotic
285 force increases with increasing concentration of polymer until it is large enough to overcome
286 the repulsive forces between droplets and cause flocculation of droplets. Droplet flocculation
287 can also increase the viscosity of emulsions by decreasing the internal packing of droplets
288 within flocs due to increased effective volume fraction of the particles based on Dougherty-
289 Krieger equation (McClements, 2015). This may explain why emulsion with high content of
290 KGM showed increased viscosity, as described above (Fig. 1).

291

292 3.5 Stability of emulsions at different pH

293 Emulsions were all stable at pH 2-4 and pH 6-7. The emulsion without KGM showed a
294 significantly increase in droplet size at pH 5 (Fig. 4a), which is mainly attribute to whey
295 protein aggregation at this pH value, which is close to its isoelectric point (IEP). This
296 increase in droplet size accordingly resulted in poor creaming stability of these emulsions
297 (Table 2). Emulsions containing KGM showed significantly less increase in droplet size at
298 pH 5 ($p<0.05$) than the emulsion without KGM, depending on the content of KGM.
299 Accordingly, these KGM-containing emulsions with reduced droplet size at pH 5 showed
300 better creaming stability than the emulsion without KGM (Table 2). These results suggested
301 that KGM can significantly improve the pH stability of WPI-stabilized emulsions.

302 KGM is a very stable polysaccharide across a wide range of pH values. KGM, with a
303 chain-like structure, can fill in the space between free protein molecules and oil droplets in
304 emulsions and potentially can isolate oil droplets from each other, which accordingly reduces
305 the Brownian-motion-induced contact of proteins and oil droplets and thus their aggregation
306 at pH value close to the IEP of proteins. The higher of the KGM content, the higher density
307 of the filled space, and thus the more significant inhibition of aggregation.

308

309 *3.6 Thermal stability*

310 The thermal stability of emulsions at different temperatures was also investigated. After 2
311 h incubation at elevated temperature (37°C or 80°C), the droplet size of all emulsions showed
312 almost no difference compared to the droplet size of emulsions at 25°C (Table 2), suggesting
313 that emulsions in this study had good thermal stability at temperatures up to 80°C.

314

315 *3.7 Freeze-thaw testing*

316 After the first cycle of freeze-thaw, the droplet size and surface charge of all emulsions
317 significantly decreased ($p<0.05$) (Table 3). Then, the droplet size increased with the

318 increasing freeze-thaw cycles and the final droplet size of all emulsions after three cycles
319 were smaller than their initial droplet size. No significant difference in surface charge after
320 second and third cycles of freeze-thaw was observed, except for the emulsion without KGM.
321 Oiling-off of emulsions was also clearly observed after 3 cycles of freeze-thaw (Table 2) and
322 KGM successfully reduced the oiling-off of the emulsion. Compared with the emulsion
323 without KGM (10.2%), only 2.4% of the oil was released from the emulsion containing 0.2%
324 KGM after 3 cycles of freeze-thaw ($p<0.05$).

325 Ice crystals are formed during freezing of emulsions, and the ice penetration can
326 potentially lead to the break-down of the protein layer surrounding the oil droplets, and thus
327 lead to oiling-off of emulsions. Combined with the results above, it is assumed that (i)
328 freezing led to the break-down of large emulsion droplets, leading to the oiling-off of
329 emulsions, a narrower size distribution and a smaller average droplet size, and (ii) freezing
330 can also induce desorption of whey proteins from the droplet surface, leading to the reduced
331 surface charge of emulsions after first cycle of freeze-thaw. However, the above findings
332 suggested that KGM can help to maintain the structure of the interfacial protein layer and
333 significantly protect the emulsion from oiling-off, probably by slowing down the formation
334 of ice crystals (Mao et al., 2015).

335

336 *3.8 Simulated GIT digestion*

337 As described in our previous studies (Lu, Kelly, & Miao, 2017a; Lu et al., 2017b), passing
338 emulsions through simulated gastrointestinal tract (GIT) digestion greatly modifies their
339 properties. The average droplet size of all emulsions significantly increased after gastric
340 phase and decreased after intestinal phase (Table 4), which was also confirmed by the
341 microscopy observation. As is shown in Fig. 5, after the mouth phase, no major difference in
342 droplet shape and size was observed. After the gastric phase, significant aggregation of

343 proteins (green fluorescence) was observed, which was mainly attributed to the exposure of
344 the hydrophobic domain of whey proteins induced by pepsin hydrolysis and relatively high
345 ionic strength in gastric phase. The process of protein aggregation also clustered some oil
346 droplets (red fluorescence) and formed larger complexes. In addition, hydrolysis of WPI at
347 the interface by pepsin resulted in aggregation of some oil droplets. These two factors may
348 explain the dramatic increase in droplet size after the gastric phase. After the intestinal phase,
349 almost all proteins at the interface were hydrolysed by trypsin, leading to the collapse of oil
350 droplets and the hydrolysis of oil by lipase. The hydrolysis of whey protein and sunflower oil
351 also led to the quenching of most of the green and red fluorescence, respectively. Only very
352 few intact oil droplets were captured.

353

354 *3.9 Release of encapsulated β -carotene after GIT*

355

356 Adding KGM to the water phase of emulsions significantly modified the release of β -
357 carotene from emulsion droplets after passing through GIT. Emulsion without KGM showed
358 a final β -carotene-release-rate of 64.5%. β -carotene-release-rate of emulsions containing
359 KGM were generally inferior to that of emulsion without KGM, and the release rate
360 decreased with increasing KGM content (**Fig. 6**). Emulsions containing 0.1% KGM and 0.2%
361 KGM showed significant lower β -carotene-release-rate than emulsion without KGM ($p<0.05$).
362 The results indicated that KGM can potentially slow down the release of β -carotene from
363 emulsion droplets, which is dependent on the content of KGM in final emulsions.

364 As discussed in the introduction section, any factors that influence the four steps of the
365 digestion process of emulsion droplets can potentially modify the final release of
366 encapsulated ingredients from emulsions. Our previous studies also showed that emulsions
367 with maltodextrin-structured water phase showed significantly different release profiles of
368 lipophilic food flavors due to modified mobility within the water phase (Mao, Roos, & Miao,

2014). In this study, KGM was used to formulate the water phase of model O/W emulsion. Emulsions with KGM showed more a viscous water phase than emulsion without KGM. In addition, KGM shows a chain-like molecular structure in water, which facilitates intermolecular cross-linking into a gel-like structure. All these properties can potentially interfere with the digestion steps of the hydrolysis of interfacial protein layer and the hydrolysis of oil phase by steric hindrance effect, leading to slower release of encapsulated ingredients from emulsion droplets. These factors may explain why emulsions with KGM-structured water phase showed decreased release rates of encapsulated β -carotene with increasing KGM content in this study. The findings also suggest that it is feasible to achieve a controlled/sustainable release of encapsulated functional ingredients from emulsions by structuring the water phase of emulsions with natural biopolymers.

However, KGM can be hydrolysed and utilized by the microorganisms in the gut. Hence, the *in-vivo* digestion behaviour and release profile of encapsulated ingredients in emulsions with a KGM-structured water phase need to be further studied.

4. Conclusion

Konjac glucomannan (KGM) increased the viscosity of the water phase and thus the viscosity of the final whey-protein-isolate (WPI) stabilized O/W emulsions. No significant non-covalent interaction between KGM and whey proteins before and after homogenization was observed by FT-IR. KGM greatly improved the creaming and pH stability of emulsions and protected emulsions from oiling-off after freeze-thaw process. The digestion and breakdown of emulsion droplets mainly happened in the intestinal phase of a simulated gastrointestinal tract (GIT), as evaluated by confocal laser scanning microscopy. KGM significantly decreased the release rate of β -carotene from emulsions, which is dependent on the content of KGM in emulsions.

394 Model O/W emulsions with better stability and controlled release of β -carotene were
395 obtained by simply structuring the water phase with a health-beneficial polysaccharide, KGM.
396 Findings in this study make it possible to design emulsion-based functional food products or
397 drug carriers with potential controlled or sustainable release of functional ingredients inside
398 by structuring the water phase of emulsions with natural edible biopolymers.

399

400

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409

410 **Notes**

411 The authors declare no conflict of interest.

412

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Figure captions

Fig. 1 Viscosity of emulsions. KGM 0% indicates emulsion without konjac glucomannan (KGM), while KGM 0.05%, KGM 0.1%, and KGM 0.2% indicates emulsions with KGM content (w/w) of 0.05%, 0.1%, and 0.2%, respectively. Insert: initial viscosity at very low shear rate (~ 2.5 1/s)

Fig. 2 Viscosity of whey protein isolate (WPI) and konjac glucomannan (KGM) mixed solutions before and after homogenization at 50 MPa. (a) WPI solution without KGM; (b) WPI solution with 0.05% (w/w) KGM; (c) WPI solution with 0.1% KGM; (d) WPI solution with 0.2% KGM.

Fig. 3 Fourier-transform infrared (FT-IR) spectra of (a) WPI (2%, w/w), (b) WPI (2%, w/w) after homogenization, (c) a mixture of WPI (2%, w/w) and KGM (0.2%, w/w) and (d) a mixture of WPI (2%, w/w) and KGM (0.2%, w/w) after homogenization. WPI indicates whey protein isolate. KGM indicates konjac glucomannan.

Fig. 4 Droplet size (a) and zeta potential (b) of emulsions at different pH values. KGM 0% indicate emulsion without konjac glucomannan (KGM). KGM 0.05%, KGM 0.1%, and KGM 0.2% indicates emulsions with KGM content of 0.05%, 0.1%, and 0.2%, respectively.

Fig. 5 Confocal scanning microscope observation of emulsion droplets after being exposed to simulated gastrointestinal tract (GIT). KGM 0% indicate emulsion without konjac glucomannan (KGM). KGM 0.05%, KGM 0.1%, and KGM 0.2% indicates emulsions with KGM content (w/w) of 0.05%, 0.1%, and 0.2%, respectively.

Fig. 6 Release of encapsulated β -carotene from emulsions. KGM indicates konjac glucomannan. * $p < 0.05$, ** $p < 0.01$.

Table 1 Droplet size, zeta potential, polydispersity index (PdI) and creaming index (CI) of emulsions containing KGM

KGM (%, w/w)	size (d.nm)	zeta potential (mV)	PdI	CI (%/min)
0	252±9 ^d	-65.0±5.4 ^a	0.24±0.02 ^a	0.64±0.01 ^b
0.05	280±8 ^c	-60.4±2.2 ^a	0.21±0.02 ^b	0.52±0.02 ^c
0.1	306±17 ^b	-59.3±2.6 ^a	0.21±0.01 ^b	0.55±0.02 ^c
0.2	350±24 ^a	-59.3±0.7 ^a	0.20±0.02 ^b	1.70±0.04 ^a

*KGM indicates konjac glucomannan. ^aDifferent letters indicate significant difference between values in a column ($p < 0.05$)

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Table 2 Creaming index (CI) of emulsions at pH 5.0 or after freeze-thaw, oil-off of emulsions after freeze-thaw, and droplet size of emulsions at different temperatures

KGM content (%, w/w)	CI at pH 5.0 (%/min)	CI after freeze-thaw (%/min)	Oil-off after freeze-thaw (%)	Size (d.nm)		
				25 °C	37 °C	80 °C
0	9.11±0.05 ^a	0.76±0.00 ^b	10.2±0.03 ^a	252±8 ^d	254±5 ^d	257±2 ^d
0.05	7.77±0.03 ^b	0.70±0.00 ^c	6.7±0.02 ^b	271±10 ^c	269±9 ^c	266±6 ^c
0.1	5.98±0.03 ^c	0.62±0.00 ^d	4.8±0.00 ^c	313±6 ^b	312±6 ^b	314±6 ^b
0.2	3.88±0.04 ^d	1.36±0.01 ^a	2.4±0.02 ^d	334±6 ^a	328±6 ^a	331±6 ^a

*KGM indicates konjac glucomannan. ^aDifferent letters indicate significant difference between values in a column ($p<0.05$)

Table 3 Droplet size and surface charge of emulsions after freeze-thaw processing

Freeze-thaw cycle	Size (d.nm)				Zeta potential (mV)			
	KGM 0%	KGM 0.05%	KGM 0.1%	KGM 0.2%	KGM 0%	KGM 0.05%	KGM 0.1%	KGM 0.2%
0	252±9 ^a	280±8 ^a	306±17 ^a	350±24 ^a	-65.0±5.4 ^a	-60.4±2.2 ^a	-59.3±2.6 ^a	-59.3±0.7 ^a
1	202±4 ^b	226±2 ^b	266±18 ^b	302±18 ^b	-57.3±0.1 ^b	-52.6±1.4 ^b	-53.1±2.1 ^b	-52.8±0.7 ^b
2	216±5 ^c	249±8 ^c	288±16 ^c	308±13 ^b	-52.5±1.8 ^c	-54.5±1.8 ^b	-53.9±1.8 ^b	-52.4±1.3 ^b
3	227±6 ^d	273±9 ^d	307±9 ^d	314±10 ^b	-52.5±1.7 ^c	-54.2±1.4 ^b	-51.4±0.1 ^b	-54.5±0 ^b

* Freeze-thaw test was done at -20°C, followed by thawing at 25°C. KGM 0% indicate emulsion without konjac glucomannan (KGM). KGM 0.05%, KGM 0.1%, and KGM 0.2% indicates emulsions with KGM content (w/w) of 0.05%, 0.1%, and 0.2%, respectively. ^aDifferent letters indicate significant difference between values in a column ($p<0.05$)

Table 4 Droplet size and zeta potential of emulsions containing KGM following different phases of gastrointestinal tract digestion

KGM content (w/w, %)	Size (d.nm)				zeta potential (mV)			
	initial	mouth phase	gastric phase	intestinal phase	initial	mouth phase	gastric phase	intestinal phase
0	252±9 ^d	248±1 ^d	1505±361 ^a	192±56 ^a	-65±5.4 ^a	-64.5±4.9 ^a	18.0±1.4 ^a	-70.5±6.4 ^a
0.05	280±8 ^c	288±1 ^c	1624±452 ^a	185±31 ^a	-60.4±2.2 ^a	-58.5±0.7 ^a	15.5±0.7 ^{a,b}	-71.0±2.8 ^a
0.1	306±17 ^b	305±4 ^b	1598±423 ^a	178±19 ^a	-59.3±2.6 ^a	-59.5±2.1 ^a	14.0±0 ^b	-76.5±4.9 ^a
0.2	350±24 ^a	352±11 ^a	1057±204 ^a	200±38 ^a	-59.3±0.7 ^a	-59.0±1.4 ^a	9.0±0 ^c	-77.5±3.5 ^a

*KGM indicates konjac glucomannan. ^aDifferent letters indicate significant difference between values in a column ($p < 0.05$)

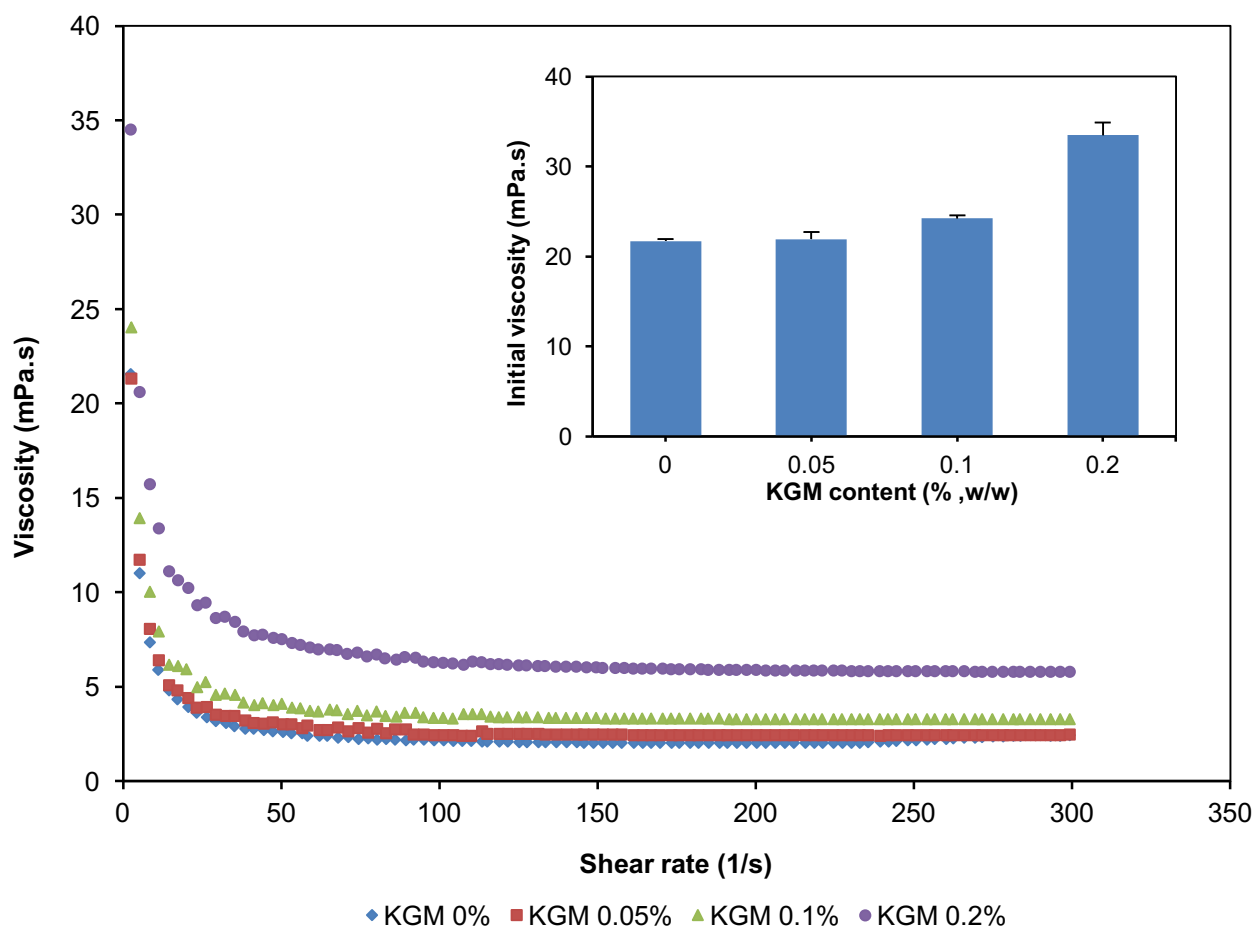


Fig. 1

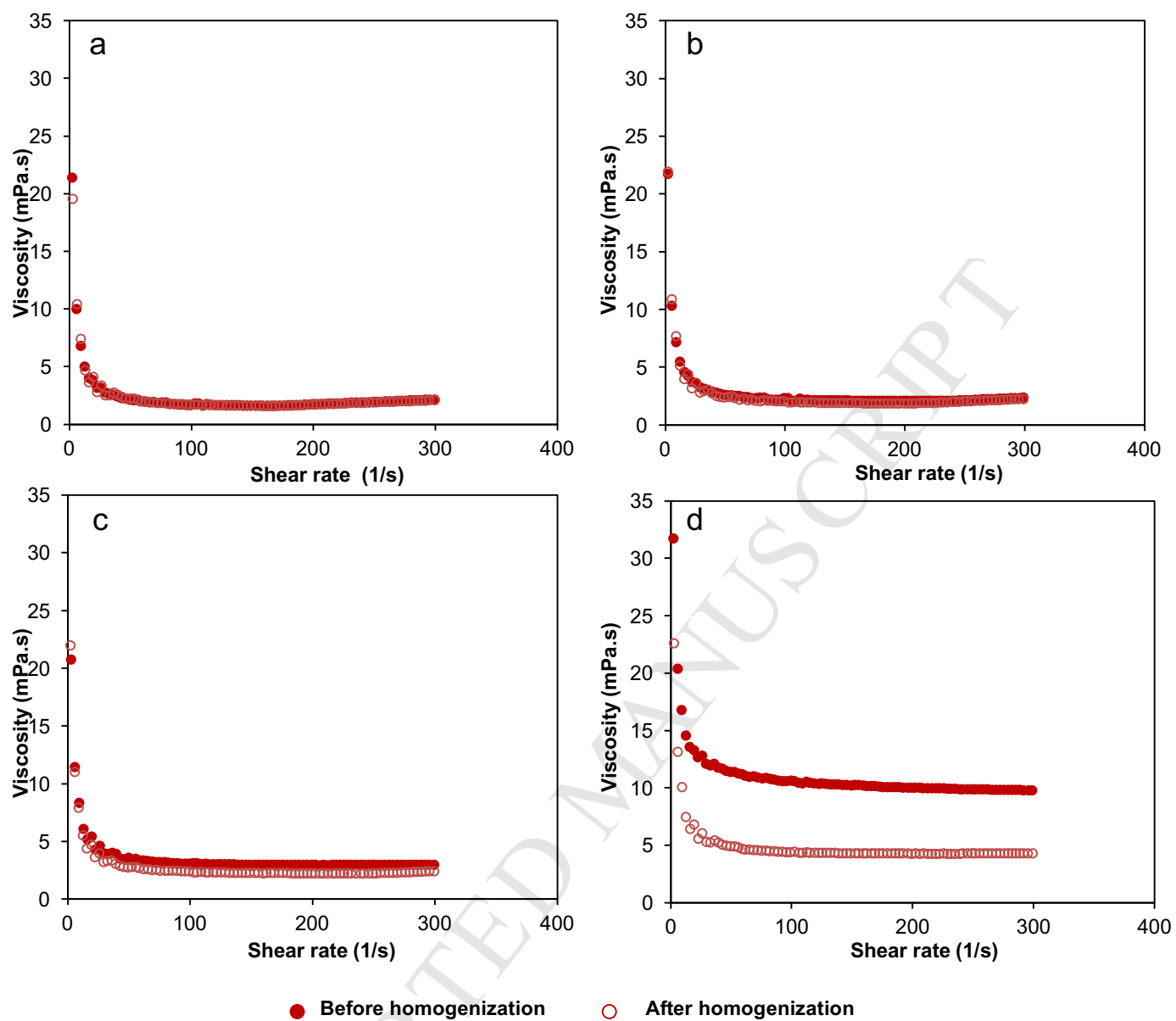


Fig.2

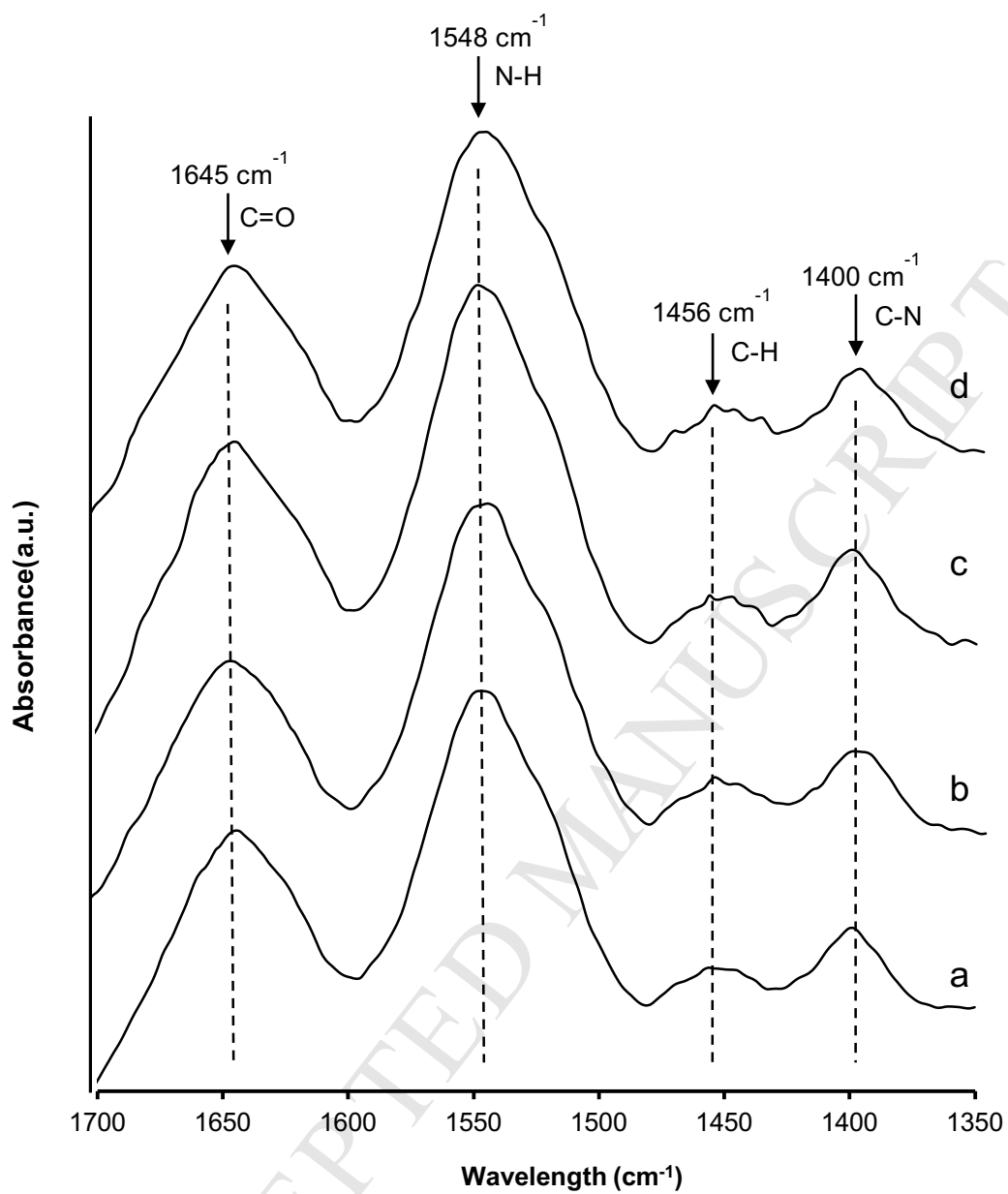


Fig. 3

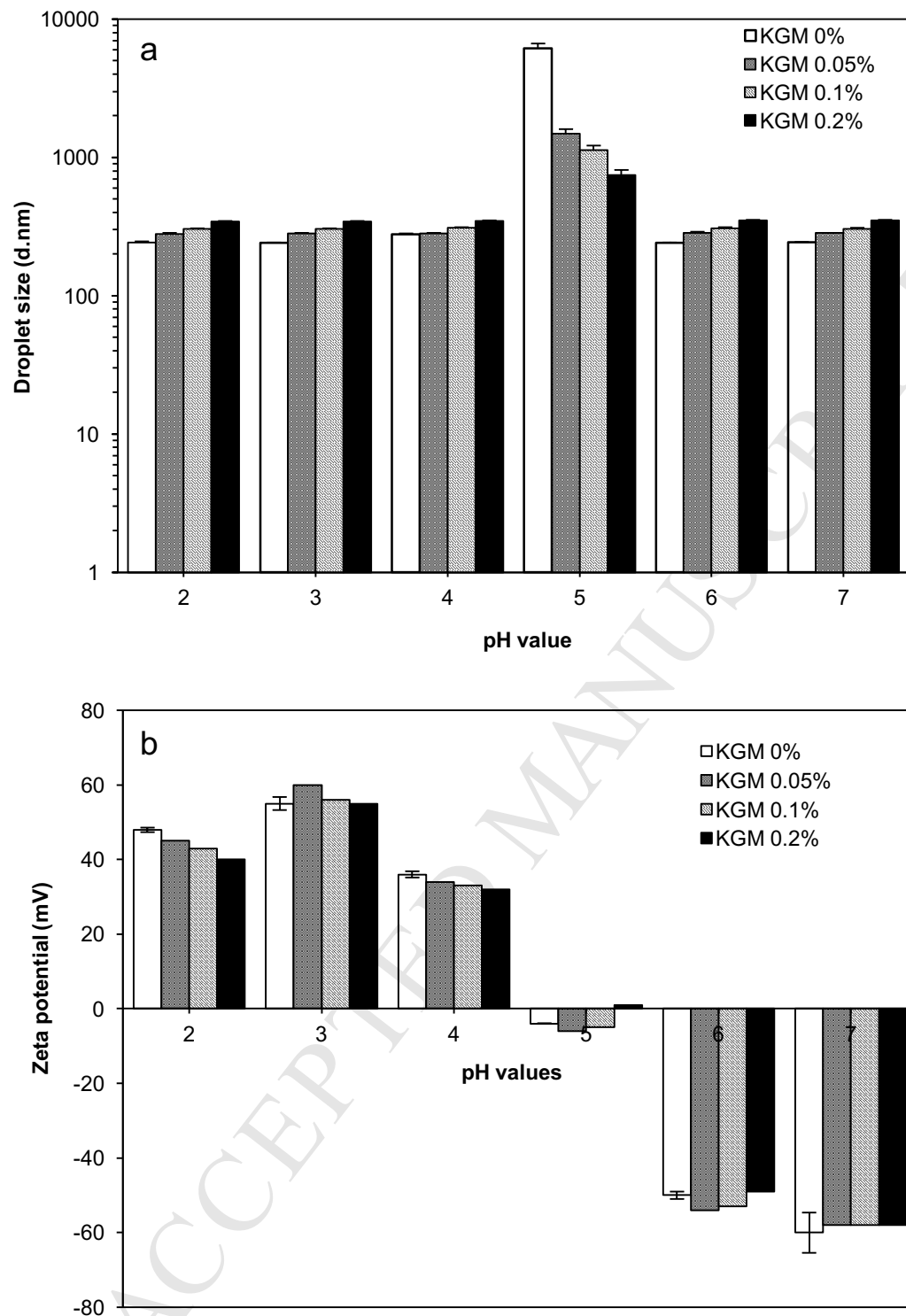


Fig. 4

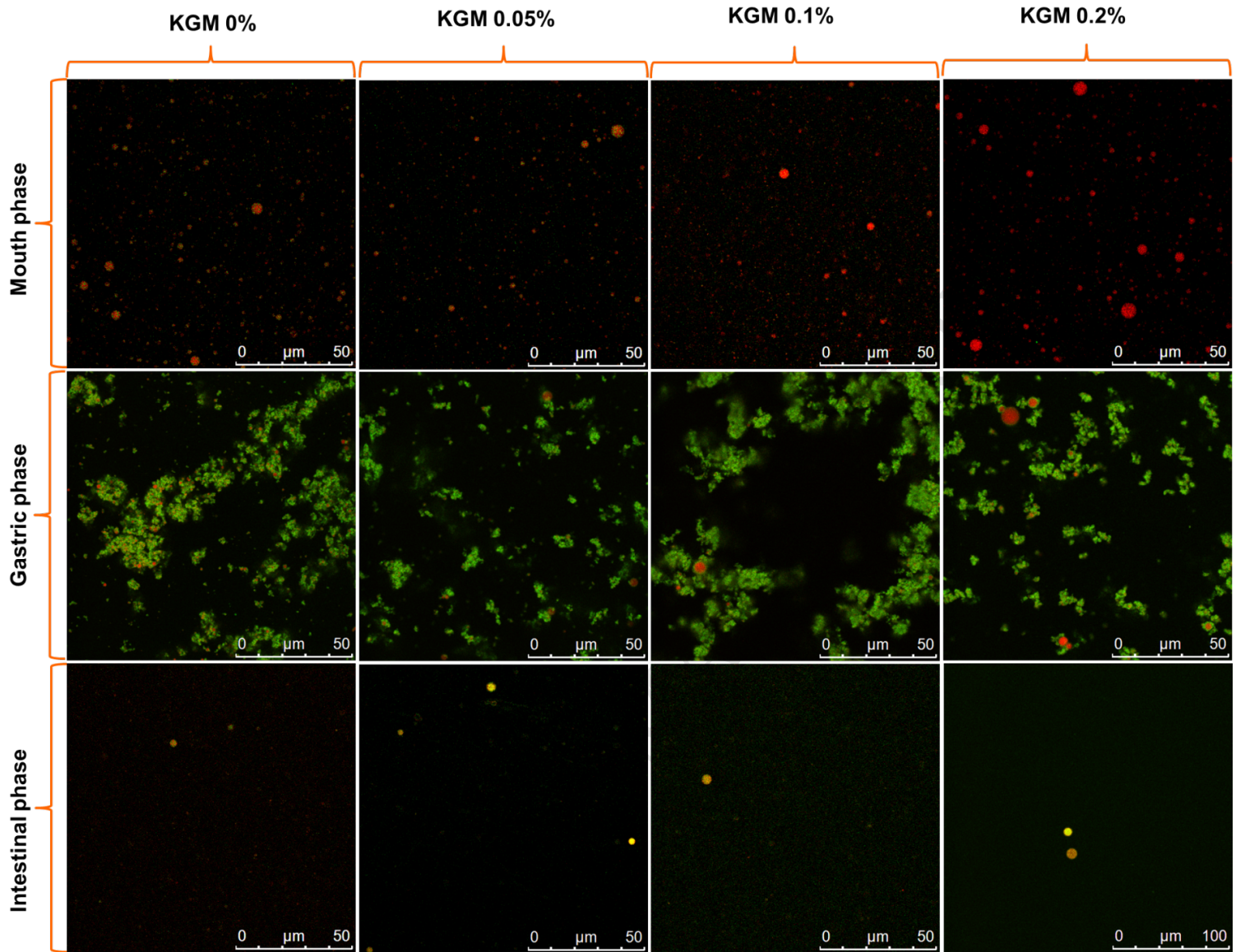


Fig.5

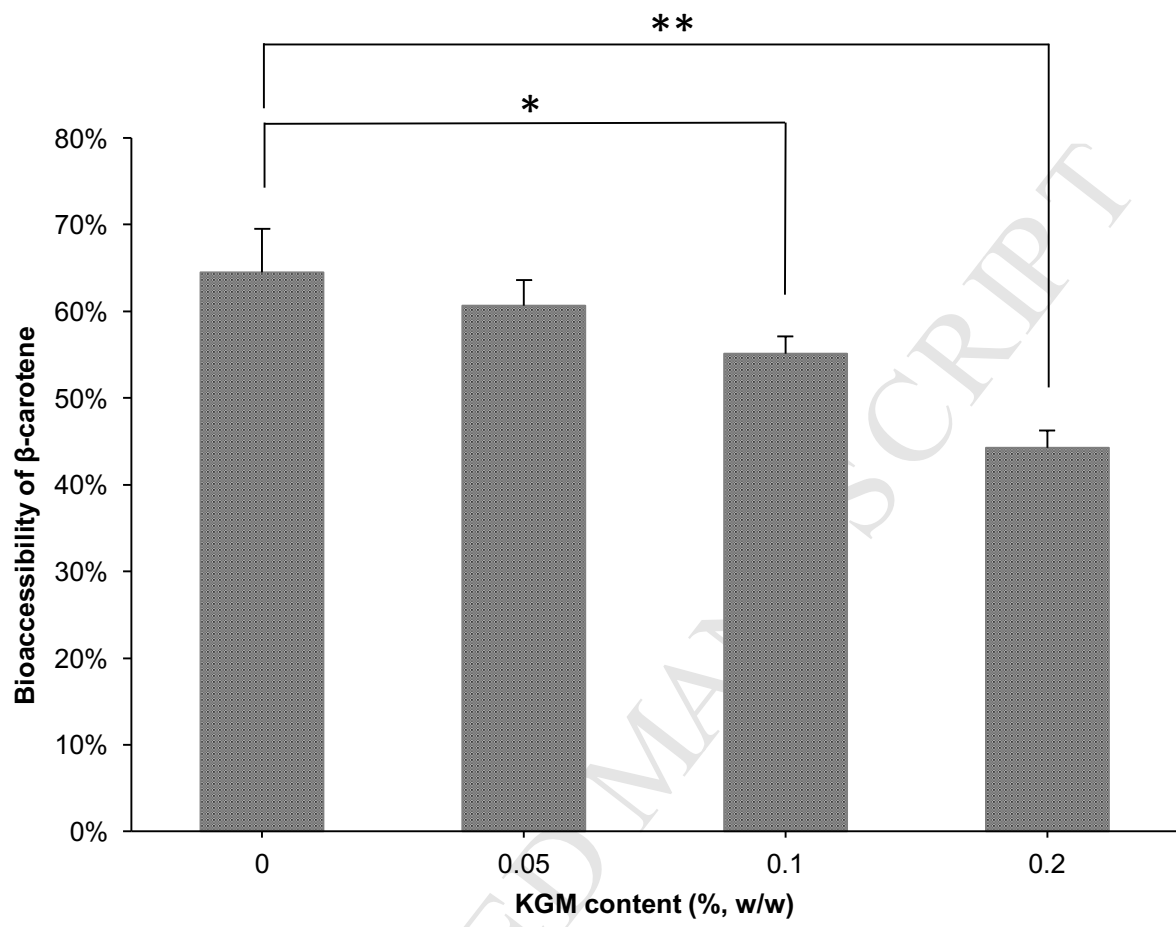


Fig.6

Highlights of this study:

- KGM can significantly improve the stability of WPI-stabilized O/W emulsion
- KGM can significantly decrease the oiling-off of emulsion after freeze-thaw test
- KGM can potentially delay the release of β -carotene from emulsion droplets