# Virulence in smut fungi: Insights from evolutionary comparative genomics 



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## Erklärung

Ich versichere, dass ich meine Dissertation mit dem Titel „Virulence in smut fungi: Insights from evolutionary comparative genomics" selbstständig ohne unerlaubte Hilfe angefertigt und mich dabei keiner anderen als der von mir ausdrücklich bezeichneten Quellen und Hilfsmittel bedient habe.
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Marburg, den 29. September 2015

Gabriel Schweizer

In all things of nature there is something of the marvelous.
Aristoteles (384-322 BC)

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## SUMMARY

Fungi and plants show a long history of co-evolution since about 400 million years. This lead to the development of diverse types of interactions which include for example parasitism, in which fungi reduce the fitness of their host. Parasitic fungi can establish biotrophic interactions, which require living plant tissues for successful colonization. To establish biotrophy, fungi secret effectors, which are proteins that prevent or mediate plant immune responses. They can also contribute to virulence by changing the host physiology towards the needs of the pathogen. Effectors and their plant targets evolve in a molecular arms race, where both pathogen and plants evolve new effectors and plant interactors, respectively. In this process, single nucleotide polymorphisms and species-specific orphan genes can play an important role.

Smut fungi (order: Ustilaginales) are biotrophic pathogens, which parasitize mostly sweet grasses, including wheat, oat, barley, maize, sugar cane and Sorghum grass. The genomes of five related species with different host plants or colonization strategies (Ustilago hordei, Ustilago maydis, Sporisorium scitamineum, Sporisorium reilianum f. sp. zeae and S. reilianum f. sp. sorghi) were sequenced. Furthermore, methods allowing geneitc manipulations were developed, which makes this group of smut fungi an interesting model system for studying virulence and/or host specificity.

The aim of the present work was to investigated to which extent positively selected or species-specific effectors contribute to virulence of the respective species. To detect positive selection, families of homologous proteins were built. Positive selection was then inferred by applying a non-homogenous branch model of sequence evolution. Most genes under positive selection were found in both formae speciales of $S$. reilianum. A role in virulence could be shown for sr10529 in $S$. reilianum f. sp. zeae. This gene is orthologous to pit2 of $U$. maydis, where it encodes an inhibitor of cysteine proteases. To get insights in differences in the inhibition of maize cysteine proteases by Pit2-orthologues, a yeast-2-hybrid assay was conducted In contrast to the expectaion that Pit2-orthologues of maize pathogens can better interact with maize cysteine proteases compared to Pit2 of the Sorghum pathogen, no host/pathogen-specific interaction could be observed. Besides this, a contribution to virulence could be demonstrated for three gene clusters containing positively selected genes inS. reilianum f. sp. zeae.

Besides positively selected genes, species-specific orphan genes were bioinformatically identified. Most candidates could be detected in Pseudocyma flocculosa. Deletion of the orphan gene um02193 in $U$. maydis did not reveal a contribution to virulence for this protein.

## Zusammenfassung

Pilze und Pflanzen teilen eine lange Koevolution seit ungefähr 400 Millionen Jahren. Dabei entwickelten sich unterschiedliche Arten von Interaktionen wie zum Beispiel Parasitismus, bei dem Pilze auf Kosten ihrer Wirtspflanze leben. Parasitäre Pilze gehen dabei beispielsweise biotrophe Interaktionen ein, bei denen lebendes Pflanzengewebe für die Besiedelung des Wirts erforderlich ist. Zur erfolgreichen Etablierung biotropher Wechselwirkungen sekretieren Pilze Proteine, die als Effektoren Immunantworten verhindern oder abschwächen oder zur Virulenz beitragen, indem sie die Wirtsphysiologie zu Gunsten des Pathogens verändern. Effektoren und ihre pflanzlichen Interaktionspartner evolvieren in einem molekularen Wettrüsten, bei dem Pathogen und Wirtspflanze kontinuierlich neue Effektoren und Interaktionspartner evolvieren, um Virulenz bzw. Abwehr Sicher zu stellen. In diesen Prozessen können Einzelnukleotid-Polymorphismen unter positiver Selektion und artspezifische Gene eine wichtige Rolle spielen.

Brandpilze (Ordnung: Ustilaginales) sind biotrophe Pathogene, die vorwiegend Gräser befallen, darunter auch Weizen, Hafer, Gerste, Mais, Zuckerrohr und Sorghumgras. In der Vergangenheit wurden die Genome von fünf verwandten Arten mit unterschiedlichen Wirtspflanzen oder Besiedelungsstrategien sequenziert (Ustilago hordei, Ustilago maydis, Sporisorium scitamineum, Sporisorium reilianum f. sp. zeae und S. reilianum f. sp. sorghi). Außerdem wurden Methoden zur genetischen Manipulation entwickelt, was diese Gruppe ideal für Studien zur Virulenz und/oder Wirtsspezifität macht.

Ziel dieser Arbeit war es zu untersuchen, inwiefern positiv selektierte oder artspezifische Effektoren zur Virulenz beitragen. Um positive Selektion zu detektieren, wurden Familien von homologen Proteinen gebildet. Positive Selektion wurde unter Verwenundg eines nichthomogenen Modells für die Evolution von Nukleotidsequenzen vorhergesagt. Die meisten Gene unter positiver Selektion wurden in den beiden formae speciales von S. reilianum detektiert. Ein Beitrag zur Virulenz konnte für sr10529 aus S. reilianum f. sp. zeae gezeigt werden. Dieses Gen ist ein Ortholog zu pit2 aus $U$. maydis, welches einen Inhibitor von Cysteinproteasen kodiert. Um Einblicke in mögliche Unterschiede in der Inhibition von Cysteinproteasen in Mais durch Pit2-Orthologe zu erhalten, wurde ein Hefe-2-Hybrid System verwendet. Im Gengensatz zu der Erwartung, dass Pit2-Orthologe aus Maispathogenen mit Cysteinproteasen aus Mais besser interagiren als Pit2 aus dem Sorghum pathogen, zeigten sich keine Wirt/Parasit-spezifischen Interaktionen. Daneben konnte eine Rolle in der Virulenz von $S$. reilianum f. sp. zeae für drei Gencluster, die positiv selektierte Gene enthalten, gezeigt werden.

Neben positiver Selection wurden artspezifische Gene bioinformatisch identifiziert. Dabei wurden die meisten Kandidaten in Pseudozyma flocculosa gefunden. Die Deletion des Kandidatengenes um02193 in U. maydis konnte keinen Beitrag zur Virulenz zeigen.

## AbBREVIATIONS

| AD | Gal4-activation domain |
| :--- | :--- |
| BD | Gal4-binding domain |
| bp | Base pair(s) |
| $\Delta$ | Deletion |
| $\mathrm{d}_{\mathrm{N}}$ | Rate of non-synonymous mutations |
| $\mathrm{d}_{\mathrm{S}}$ | Rate of synonymous mutations |
| DTT | Dithiothreitol |
| ETI | Effector-triggered immunity |
| $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$ | Double distilled water |
| HA | Hemagglutinin |
| kDA | Kilodalton |
| LysM | Lysin-motiv |
| MAMP | Microbe-associated molecular pattern |
| MTI | MAMP-triggered immunity |
| OD 600 | Optical density at 600 nm |
| NB-LRR | Nucleotide-binding leucine-rich receptor |
| $\omega$ | Ratio of the rates of non-synonymous and |
|  | synonymous mutations (d ${ }_{\mathrm{N}} / \mathrm{d}_{\mathrm{S}}$ ) |
| PLCP | Papain-like cysteine protease |
| PRR | Pattern recognition receptor |
| SDS-PAGE | SDS-Polyacrylamid-Gelelectrophoresis |

## 1. INTRODUCTION

Plants and fungi show a long lasting history of co-evolution over the last 400 million years. Analyses of ribosomal RNAs and fossil records suggested that the development of pioneering land plants was already supported by associations with symbiotic fungi (Remy et al., 1994; Gehring et al., 1996). Besides this, a whole range of forms of interactions evolved. Today, a widespread type is mutualism where both plants and fungi benefit (Parniske, 2008). At the other end of the spectrum are plant pathogenic fungi. They can greatly reduce plant fitness, which has negative impacts on agricultural productions (Fisher et al., 2012). Fungal pathogens can also contribute to plant biodiversity by reducing the fitness of otherwise dominant individuals (Bagchi et al., 2014). Among pathogenic fungi, a variety of colonization and interaction strategies evolved. Some species penetrate only epidermal cell layers, whereas others grow systemically in the infected site and adopt an intra- or extracellular mode of growth. Plant pathogenic fungi can be necrotrophic, meaning that fungi kill the host plant and feed on dead plant tissue, biotrophic, where fungi depend on living plants or a combination of both, where fungi switch from an initial biotrophic to a later necrotrophic stage during plant colonization. Interactions vary also in the degree of specificity: some pathogens are generalists and can parasitize hundreds of plant species (for instance, the grey mold Botrytis cinerea), whereas others are specialists and capable of colonizing only one host species (for example, the powdery mildew Blumeria graminis) (Dean et al., 2012). All forms of interactions are mediated by fine tuned, multilayered molecular interplays between plants and fungi.

### 1.1 Molecular basis of plant-fungus interactions

To protect efficiently against pathogens, plants have evolved receptors that allow the recognition of microbes. As reliable perception is crucial for plant survival, these pattern recognition receptors (PRR) evolved to target microbial surface molecules, which are highly conserved and essential for survival. Importantly, these molecules are absent in plants, as their presence would lead to self-stimulated immune responses. This class of molecules is termed microbe-associated molecular pattern (MAMP). Recognition of MAMPs by PRRs initiates a first layer of defense reactions named MAMP-triggered immunity (MTI) (Dodds \& Rathjen, 2010). PRRs occur in two variants: receptor-like kinases (RLK) and receptor-like proteins (RLP). PRR signaling elicits rapid plant responses, which involve activation of ion channels, production of reactive oxygen species, activation of defense-related mitogen-associated protein kinase (MAPK) cascades and transcriptional reprogramming as well as later responses like plant hormone biosynthesis and callose deposition at infection sites (Boller \& Felix 2009; Macho \& Zipfel, 2014). In fungi, the cell wall component chitin was found to serve as

MAMP: Suspension-cultured tomato cells showed an alkanization response upon treatment with yeast cell wall fractions (Felix et al., 1993). In Arabidopsis thaliana, chitin oligomers are perceived by the LysM-RLK receptor chitin elicitor receptor kinase 1 (CERK1) through three extracelluar LysM-domains (Miya et al., 2007; Liu et al., 2012). Studies in rice revealed that the LysM protein chitin elicitor-binding protein (CEBiP) belonging to the RLP-PRRs is in addition to CERK1 needed for chitin recognition (Shimizu et al., 2010). MTI is generally sufficient to protect the plant against non-adapted pathogens, a phenomenon known as non-host resistance. On the contrary, adapted pathogens can prevent, reduce or cope with MTI responses in a second, intracellular layer of interaction through the secretion of effector molecules (Dodds \& Rathjen, 2010).

Effectors can be recognized by intracellular receptors belonging to the nucleotide-binding leucine-rich receptor (NB-LRR) class, whose activation leads to effector-triggered immunity (ETI). ETI and MTI responses are similar but ETI is qualitatively stronger and faster and often leads to a type of localized cell death called hypersensitive response (Dodds \& Rathjen, 2010). A simple way of interaction between effectors and their plant targets was proposed in the "gene-for-gene" model, where the product of an effector gene (in this case termed avirulence or avr gene) interacts directly with the product of a plant resistance gene ( $R$ gene), thereby triggering a hypersensitive response (Flor, 1971). The idea of direct interactions between effectors and targets has been broadened by the "guard and decoy" as well as the "bait and switch" model (van der Hoorn \& Kamoun, 2008; Collier \& Moffett, 2009). In addition, it is conceivable that plants do not only sense effector molecules themselves but also their action. This could for example include recognition of changes of plant hormone levels. While some effectors allow to avoid or cope with MTI and ETI responses, others fulfill crucial virulence functions. Effectors may be toxic compounds that kill the host plant (in fungi with a necrotrophic phase in their life cycle). They may also be secreted and/or translocated proteins that change physiological and metabolic states of host cells towards the needs of pathogens by degrading, modifying, inhibiting or altering the activity of plant targets (in fungi with biotrophic life styles). In addition, they can aid in plant penetration or spore dispersal and shield hyphae on the plant surface and at penetration sites (Lo Presti et al., 2015). Finally, the successful plant colonization of a pathogen depends not only on effectors and their plant targets, but also on environmental conditions (Hua, 2013) and likely on the composition of the phyllosphere surrounding the pathogen (Vorholt, 2012; Rovenich et al., 2014).

### 1.2 Evolution of effector genes

Plants and fungal pathogens co-evolve antagonistically. In a simple model, this takes place in three steps: First, an adapted pathogen attacks and colonizes a host plant, which causes a reduction of plant fitness. This favors the selection of novel host defense strategies, leading to
a spread of the corresponding genes in the plant population. In consequence, this leads to a reduction of pathogen adaptation and fitness. In turn, this selects for novel virulence factors and results in an increase of frequency of underlying genes in the pathogen population. Such ongoing adaptations and counter-adaptations are typically compared to an arms race (van Valen, 1973). Due to their essential function in the survival of microbes, MAMPs are unlikely to evolve and PRRs are selected for the reliable recognition of specific MAMPs. In contrast, effectors and their plant interactors can be highly variable, suggesting that the arms race operates on the level of pathogen effector molecules and their host targets (Figure 1.1).


Figure 1.1: Plant and fungal molecules governing interaction. An invading fungal hypha is shown in light yellow. The plant cuticula is depicted in brown and the plant cytoplasm is filled in green. The white space between fungal hypha and plant cytoplasm represents the apoplastic space (enlarged). Black lines indicate fungal and plant plasma membranes. Plant and fungal cell walls are not shown. Blue borders of molecules (chitin and PRR) indicate low evolvability. Red molecule borders (NB-LRR, plant targets and effectors) depict fast and frequent changes, indicating that these molecules are engaged in an arms race. Effectors attached to the fungal cell wall are colored blue, effectors aiding in plant penetration are shown in black, apoplastic effectors are filled yellow and cytoplasmic effectors are colored grey. Effectors with different functions are drawn in different molecule shapes. Brown arrows show typical results of interactions of plant and fungal molecules, whereas grey lines indicate inhibition of such outcomes. PRR, pattern recognition receptor; NB-LRR, nucleotide-binding leucine-rich receptor; MTI, MAMP-triggered immunity; ETI, effectortriggered immunity. See main text for more details. Figure template was taken from Djamei \& Kahmann (2012).

Since effector genes are under constant innovation pressure, their distribution within genomes evolved towards localization in niches that have high mutation rates and foster rapid adap-
tations. These parts of the genome are considered as "evolutionary cradles" for effectors (Croll \& McDonald, 2012). For example, they involve gene-sparse regions, where repeat-rich transposon islands dominate and effectors are enriched in these regions. This trend has been discovered in the plant pathogenic oomycete genus Phytophthora and is most distinctive in P. infestans (Haas et al., 2009). A similar trend was also found in Leptosphaeria maculans (Rouxel et al., 2011). In Magnaporthe oryzae, effectors are often located in subtelomeric regions, which tend to evolve at higher mutation and recombination rates compared to the rest of the genome (Orbach et al., 2000). Several plant pathogenic fungi like Nectria haematococca, Fusarium oxysporum and Mycosphaerella graminicola (Zymoseptoria tritici) have evolved conditionally dispensable chromosomes, which often harbor effector genes (Han et al., 2001; Ma et al., 2010; Stukenbrock et al., 2010). Finally, expansions and contractions of gene families frequently participate in effector gene evolution. Family enlargement could set the playground for the evolution of new effector functions since new paralogous sequences are free to obtain novel characteristics, whereas ancestral sequences retain their current role. In contrast, family shrinking could be a sign for avoidance of host recognition. Expansions of families encoding secreted proteins were for instance observed in Puccinia graminis f. sp. tritici and Melapsora lini-populina (Duplessis et al., 2011). In silico-analyses unraveled that homologues of the Cladosporium fulvum-virulence factor ecp2 (Hce2) are members of an ancient fungal family, which went through several lineage-specific family expansions and contractions (Stergiopoulos et al., 2012).

### 1.2.1 Single nucleotide polymorphisms and positive selection

A simple and frequent way of creating novel alleles in a molecular arms race are single nucleotide polymorphisms (SNP). Their rate of occurrence varies among species and strains and also along chromosomes (Baer et al., 2007). In protein coding sequences, SNP come in two flavors: they can leave the encoded amino acid unchanged (synonymous mutation) or they change the corresponding amino acid (non-synonymous mutation). Without selection, synonymous and non-synonymous mutations are detected at a specific rate that is determined by the structure of the genetic code. In this neutral scenario, the ratio of the non-synonymous and the synonymous mutation rate $\mathrm{d}_{\mathrm{N}} / \mathrm{d}_{\mathrm{S}}(\omega)$ is considered to be 1 . Deviations from this ratio are interpreted as selection. An excess of synonymous mutations leads to an $\omega<1$, which is interpreted as negative (purifying) selection, meaning that deleterious mutations are counter-selected and that a protein is likely to keep its current function. In contrast, an excess of non-synonymous mutations leads to an $\omega>1$ and is a sign of positive selection, which indicates that adaptive mutations are favored and that a protein is evolving a new function, a higher efficiency for its current role or adapts to changes of its target to maintain the function.

To detect genes showing signs of positive selection, models of sequence evolution are
applied. Evidence for positive selection is considered when a model that allows sites with an $\omega>1$ fits data significantly better than a model allowing sites with varying $\omega$ between 0 and 1 (Nielsen, 2005). Three main approaches were developed for the detection of positive selection. They assume heterogeneous substitution processes in space (site models), in time (branch models) or both (branch-site model). Site models are applied to population data and are used to identify specific sites of proteins under positive selection. Initial methods estimate the number of non-synonymous and synonymous mutations per site between two sequences (Li et al., 1985; Nei \& Gojobori, 1986). This model assumes that all sites in a protein are under the same selection pressure and share the same underlying $d_{N} / d_{S}$ ratio. However, this hypothesis is unrealistic as different sites in a protein have different structural and functional roles and thus are expected to be under different selection pressures. Therefore, this approach was further developed by allowing variable selection intensities among different sites (Nielsen \& Yang, 1998; Yang et al., 2000). Branch models are applied to infer positive selection between different species. In this approach, $\omega$ varies between the branches of a phylogenetic tree. This allows the detection of positive selection acting on certain lineages (Yang, 1998; Yang \& Nielsen, 1998). The algorithm reported in these studies requires that the user a priori defines branches among which similar selection pressures are assumed. This approach was further developed in such a way that scanning for positive selection between branches does not depend on a priori assumptions (Dutheil et al., 2012). Branch models do not highlight specific sites of proteins under positive selection. Branchsite models allow $\omega$ to vary both among protein sites and branches of a phylogenetic tree. In this method, branches are a priori divided in 'foreground' and 'background' branches. A likelihood ratio test is used to compare a model that allows positive selection on the foreground branches with a model that does not allow positive selection (Yang et al., 2005; Zhang et al., 2005).

Inferring differences in selection pressures within and between sequences is commonly used to detect genes involved in adaptation processes. Many studies focus on pathogen host systems, because beneficial mutations are expected to occur frequently in these environments (Nielsen \& Yang 1998; Aguileta et al., 2009; Aguileta et al., 2010). It is also applied for the identification of putative effector genes of plant pathogens and their potential targets. For example, an early investigation of seven families of bacterial type III-secreted proteins showed that they contain members under positive selection (Rohmer et al., 2004). To identify potential effectors of P. infestans, Liu et al. (2005) used a library of expressed sequence tags obtained from infection stages and identified scr74, which belongs to a highly polymorphic family. Maximum likelihood analysis showed that these polymorphisms are likely created by positive selection. A population study of 123 Phaeosphaeria nodorumstrains from 8 geographical origins unraveled signs of positive selection in the host-specific toxA gene, suggesting an adaption of each strain to its local host (Stukenbrock \& McDonald, 2007). The wheat pathogen $Z$. tritici and its relatives parasitizing wild grasses, $Z$.
pseudotritici and Z. ardabiliae, were used to demonstrate that adaptation to a new host is accompanied by positive selection (Stukenbrock et al., 2011). Moreover, a survey of families consisting of paralogous small secreted proteins in Melampsora larici-poulina uncovered potential effector genes by employing a positive selection analysis (Hacquard et al., 2012). Molecularly investigated are also the selection pressures shaping the avr-Pita gene of the hemibiotroph Magnaporthe oryzae (Huang et al., 2014). An intriguing study by Dong and colleagues (2014) could trace back by positive selection analysis the mutations underlying a recent host shift of Phytophthora mirabilis in both the pathogen and the respective host plants. Another study addressing positive selection occurring on the plant site found chitinases as targets of molecular selection in Arabis species. Surprisingly, amino acid-changing mutations were overrepresented in the active site cleft, which suggested that fungi protect against this plant enzyme through inhibition (Bishop et al., 2000). Together, these studies illustrate the importance of SNPs under positive selection in plant-pathogen systems.

### 1.2.2 Orphan genes

Another major source of genetic innovation is the de novo creation of new genes, rather than the modification of existing ones. Such genes appear only in the group of decendants of the species in which this innovation occurred. These genes are often termed orphan genes, since they lack a (detectable) orthologous gene in other genomes. Orphan genes can constitute a significant proportion of a genome. For instance, a study in animal genomes revealed that between $10 \%$ and $20 \%$ of all genes are orphans (Khalturin et al., 2009). Due to their restricted distributions, orphan genes are thought to be involved in lineagespecific characteristics (Tautz \& Domazet-Lošo, 2011). These could for example include the adaptation of a plant pathogen to a specific host. An association between pathogenesis and orphan genes was proposed in the tree pathogenic species Heterobasidion irregulare. In this species, virulence QTL regions showed a significant enrichment in transposable elements, orphan genes and genes encoding proteins with a secretion prediction (Olson et al., 2012). About one third of all genes are identified as orphans in the genome of the devastating necrotrophic fungal pathogen Macrophomina phaseolina (Islam et al., 2012). Orphan genes are also found in symbiotic fungi. The genome analysis of the arbruscular mycorrhizal species Rhizophagus irregularis uncovered lineage-specific gene families of mycorrhiza-induced small secreted proteins (MiSSPs) (Tisserant et al., 2013).

Two main models describe the possible birth of orphan genes: one postulates that after a gene duplication event, one copy acquires a new function and diverges to such an extent that homology between this and the founder gene cannot be detected in scans for similarities between sequences (Tautz \& Domazet-Lošo, 2011). This process is known as neofunctionalization (Ohno, 1970). Although this model is in line with proposing that gene duplications are the major source of creating novelty (Ohno, 1970), it has some shortcomings. First, it
is challenging to propose an evolutionary process that would affect only one gene copy and allow the accumulation of adaptive mutations while the second copy maintains its ancestral function (Lynch \& Katju, 2004). Second, diversification beyond the detection limits of BLAST would require substitutions at the entire length of a gene. However, many genes code for proteins containing functional domains which cannot be easily mutated, and simulations have shown that even small conserved motifs are sufficient to detect homologous sequences, thereby discarding them in an orphan detection pipeline (Albá \& Castresana, 2007). These constraints in the duplication model would cease if the duplication is associated with a rearrangement or a transposon insertion, which would alter the duplicated gene dramatically (Tautz \& Domazet-Lošo, 2011). Transposable elements in protein coding genes are for example found in humans (Nekrutenko \& Li, 2001). A different model suggests that two gene copies evolve by innovation, amplification and divergence. This concept proposes that an ancestral protein with a major and a minor function optimizes the minor function upon duplication, thereby decoupling the evolution of the ancestral and the duplicated sequence. This evolutionary process is known as subfunctionalization (Lynch \& Force, 2000) and was demonstrated experimentally in Salmonella enterica using a histidine biosynthetic enzyme (Näsvall et al., 2012).

Another, truly de novo origin of orphan genes is the evolution of protein coding sequences from non-coding regions. This could happen by random combinations of transcription initiation sites, splice sites, polyadenylation sites and/or regulatory regions which together could ensure the formation of functional transcripts (Tautz \& Domazet-Lošo, 2011). Since this event is likely rare, it has been considered to be unimportant for the evolution of new genetic information (Jacob, 1977). However, there are examples for this scenario of gene birth: In Saccharomyces cerevisiae, the genes BSC4 and MDF1 were identified as de novo evolving. Bsc4p is a protein involved in DNA repair and Mdf1p promotes vegetative growth by binding MAT $\alpha 2$ in rich medium (Cai et al., 2008; Li et al., 2010a). CLLU1, C22ORF45 and DNAH10OS are characterized as human-specific genes that show synteny to non-transcribed regions in other primates. Proteomics demonstrated that these genes encode proteins and a putative role for CLLU1 was suggested in chronic lymphocytic leukaemia (Knowles \& McLysaght, 2009). The human six-exon gene FLJ33706 evolved from a non-coding region that is conserved in eutherian mammals. The first exon and some splice junctions were created through an Alu element insertion. Increased expression of this gene was observed in Alzheimer's disease brain samples (Li et al., 2010b). In Drosophila melanogaster, the gene Sdic contains a coding exon that shows a history as intronic sequence. It codes for a sperm-specific dynein intermediate (Nurminksy et al., 1998). Finally, the gene Poldi in Mus musculus is specifically expressed in testis. Although short open reading frames can be detected, the gene likely acts as non-coding RNA. A deletion of this gene reduces the mobility of sperm (Heinen et al., 2009).

Despite the origins of orphans discussed here, one could speculate that orphan genes
evolve from horizontally transferred genes, gene fusions or fissions, shifts in open reading frames or exon shuffling events.

### 1.3 Smut fungi as model organisms for biotrophic plant pathogens

A wide range of fungal taxonomic groups contains species infecting flowers of host plants (Ngugi \& Scherm, 2006). Among those, an important group are the Basidiomycete smut fungi (Order: Ustilaginales), which comprise more than 2,500 described species. All of them have coupled their sexual reproduction to a biotrophic plant parasitic stage (MartínezEspinoza et al., 2002). Typically, they show a narrow host range and most members parasitize only one host species. Smut fungi can infect around 4,000 species of angiosperms, but are predominately found on sweet grasses (Poaceae) which include important crops like maize, sorghum, barley, wheat, oat and sugar cane. A prominent characteristic of smut fungi infections is the massive production of black teliospores which partly or completely replaces seeds and floral organs. In addition, some species can cause macroscopic symptoms in form of tumors or phyllody on discrete parts of a plant (Vánky, 2012).

The corn smut causing species Ustilago maydis serves as popular model organism for biotrophic fungal pathogens as well as fungal cell biology (Steinberg \& Perez-Martin, 2008; Brefort et al., 2009). It can be cultivated in artificial media as saprotrophic yeast-like budding cells termed sporidia. Strains have been engineered to develop filamentous growth on plates without the need of a compatible mating partner (Brachmann et al., 2001). Constructing solopathogenic haploid strains (i.e. strains able to infect plants in absence of a compatible mating type) greatly advanced research on this organism (Bölker et al., 1995; Kämper et al., 2006). Today, PCR-based, FLP-based and CRISPR/Cas-based systems enabling genetic manipulations are established in U. maydis (Kämper, 2004; Khrunyk et al., 2010; Schuster et al., in press). Community efforts lead to the deciphering of the genome sequence and reverse genetic approaches highlighted the importance of clustered effector genes with crucial contributions to pathogenicity (Kämper et al., 2006). Together with its short life cycle of three to four weeks under greenhouse conditions, these achievements made $U$. maydis a popular model organism and contributed to its listing upon the 10 most important fungal pathogens, despite not being a highly relevant pathogen in agricultural environments (Dean et al., 2012).

### 1.3.1 The life cycle of smut fungi

Since the life cycle of smut fungi is mostly investigated in $U$. maydis, it is presented as an example here. U. maydis undergoes a dramatic switch of cell morphology during its life cycle: the growth form changes from yeast-like budding to dikaryotic filaments which
are able to penetrate and proliferate in planta (Figure 1.2). This switch is governed by a tetrapolar mating system, which consists of the biallelic $a$ locus and the multiallelic $b$ locus (Kahmann \& Schirawski, 2007). The a locus harbors a pheromone receptor system that allows haploid sporidia of opposite $a$ mating types to sense each other, thereby stimulating the formation of conjugation tubes and eventually fusion (Banuett \& Herskowitz, 1989) (Figure 1.2A). Recognition of the pheromone is transmitted via a c-AMP-dependent protein kinase A (PKA) and a mitogen-activated protein kinase (MAPK) pathway. Both pathways converge on the key transcription factor Prf1, which activates transcription of a large set of genes, including the $a$ mating type genes mfa1 and pra1 as well as the $b$ mating type genes (Brefort et al., 2009).

The $b$ locus encodes a pair of homeodomain transcription factors, termed bE and bW . These two proteins can dimerize if they are derived from different alleles. The heterodimeric $\mathrm{bE} / \mathrm{bW}$ complex triggers formation of filaments and subsequent pathogenic development. Filaments show tip-directed growth and accumulation of the cytoplasm in the tip cell. Older, vacuolated parts of the filament are separated by septa (Brefort et al., 2009) (Figure 1.2B). On the plant surface, hyphae stop their tip growth and develop non-melanized appressoria (Figure 1.2C). The formation of appressoria requires the recognition of chemical and physical cues (Mendoza-Mendoza et al., 2009). The perception of hydrophobic surface involves Sho1 and the mucin transmembrane protein Msb2, which activate MAP kinase signaling important for pathogenic development (Lanver et al., 2010).

Upon penetration, the plant plasma membrane invaginates and forms a tight interface between plant and fungus (Figure 1.2D). This interaction zone is used to exchange nutrients and signals and hence plays a crucial role in establishing the biotrophic phase of $U$. maydis. Colonization of the host plant is aided by the secretion of effector proteins, which change the plant physiology to favorable conditions for the proliferation of $U$. maydis. After penetration, U. maydis grows initially intercellularly in the epidermal layer. Later, hyphae of $U$. maydis are found mostly extracellular in mesophyll tissue and in vascular bundles (Figure 1.2E). Karyogamy and tumor formation begin around six days post infection. In tumors, fungal hyphae fragment and differentiate into melanized diploid teliospores (Banuett \& Herskowitz, 1996) (Figure 1.2F). Recent work has uncovered a central role of the WOPR-domain protein ROS1 in these processes. Upon deletion of ros1, tumors are still induced, but $U$. maydis fails to undergo karyogamy and to start the spore differentiation program (M. Tollot \& R. Kahmann, unpublished). Fully developed symptoms are typically scored twelve days after infection (Kämper et al., 2006). After tumors have dried and broken up, spores are released. Under favorable conditions, spores germinate and the nuclei undergo meiosis resulting in haploid cells, which completes the life cycle of $U$. maydis (Figure 1.2G).


Figure 1.2: Life cycle of smut fungi exemplified by $U$. maydis. The life cycle involves a crucial switch from yeast-like saprotrophic to filamentous pathogenic growth. See main text for more details (Source: Kämperet al., 2006).

### 1.3.2 Genomic features of smut fungi

Within the last decade, the genomes of five related smut fungi with different host plants have been sequenced: Ustilago hordei infecting barley (Laurie et al., 2012), Ustilago maydis growing on maize and its wild ancestor teosinte (Kämper et al., 2006), Sporisorium scitamineum parasitizing on sugarcane (Que et al., 2014; Taniguti et al., 2015; Dutheil et al., in preparation), Sporisorium reilianum f. sp. zeae also growing on maize (Schirawski et al., 2010) and $S$. reilianum f. sp. sorghi infecting sorghum grass (G. Mannhaupt \& R. Kahmann, unpublished) (Figure 1.3).


Figure 1.3: Relationship of five smut fungi species and their host plants. Grey and green lines indicate phylogenies of fungi and plants, respectively. Plant phylogeny is not drawn to scale. Blue numbers on the fungal tree represents the nucleotide divergence (in \%) between each species (kindly provided by J. Y. Dutheil). Scale bare represents $1 \%$ of nucleotide divergence. Red numbers at branch nodes show estimates of divergence times in myr according to Munkacsi et al. (2007). The arrow depicts the beginning of domestication about 10,000 years ago. Pictures of host plants were obtained from Wikipedia: The free encyclopedia.

Deciphering the genome sequences of these smut species exhibited features that are distinct from other filamentous plant pathogens. First, their genome size lies only between 18.48 and 21.15 Mbp , which represents the bottom end of the range of genome sizes in filamentous plant pathogens (Raffaele \& Kamoun, 2012). The small genome size can be attributed to the low amount of repetitive elements in these genomes (Table 1.1). A relative exemption to this is $U$. hordei, where the fraction of repetitive elements is about doubled compared to the other species. However, this fraction is still small compared to other filamentous plant pathogens (Raffaele \& Kamoun, 2012). Currently, it is not clear, how spreading of repetitive elements is limited in smuts. Some of their genomes show signatures similar to those created by repeat-induced point mutations (RIP) (Laurie et al., 2012; Dutheil et al., in preparation; G. Schweizer, J. Y. Dutheil and R. Kahmann, unpublished). However, it remains to be elucidated to what extent RIP could potentially contribute to limiting these elements in the genome. Moreover, the majority of protein coding genes in smut fungi are
devoid of introns (Table 1.1), which contributes to their compact genome sizes. Finally, large scale genome duplications could not be detected (Kämper et al., 2006).

Table 1.1: Characteristics of genomes of five sequenced smut fungi

| Species | $\begin{gathered} \text { Genome } \\ \text { size }[\mathrm{Mbp}]^{1} \end{gathered}$ | Number of proteins ${ }^{1}$ | Secreted proteins ${ }^{2}$ | Repetitive elements $[\%]^{3}$ | Genes without introns [\%] ${ }^{1}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| U. hordei | 21.15 | 7,113 | 523 (254) ${ }^{\text {a }}$ | 16.45 | 62.5 |
| U. maydis | 19.67 | 6,787 | $596(308)^{\text {a }}$ | 6.70 | 72.3 |
| S. scitamineum | 19.63 | 6,693 | $602(324)^{\text {b }}$ | 6.68 | 67.7 |
| S. reilianum | 18.48 | 6,673 | $602(310)^{\text {a }}$ | 8.26 | 70.9 |
| f. sp. zeae <br> S. reilianum <br> f. sp. sorghi | 18.70 | 6,674 | $615(319)^{\text {b }}$ | not yet determined | 65.2 |

${ }^{1}$ According to annotation information as of November 2011, which was used in this study
${ }^{2}$ According to predictions of SignalP 4.0
${ }^{3}$ According to Dutheil et al. (in preparation)
${ }^{\text {a }}$ The first value indicates the total number of predicted secreted proteins. Values in parenthesis indicate number of proteins without predictable functional domain according to Lo Presti et al. (2015)
${ }^{\mathrm{b}}$ The first value indicates the total number of predicted secreted proteins. Values in parenthesis indicate number of proteins without predictable functional domain (G. Mannhaupt, personal communication)

A second distinct characteristic of the sequenced smut genomes is the clustering of genes encoding putative effector proteins (Kämper et al., 2006; Schirawski et al., 2010; Dutheil et al., in preparation). The presence of such clusters is likely a consequence of the low amount of transposable elements: a duplication event was not followed by translocations (Dutheil et al., in preparation). Consequently, clustering in $U$. hordei was found to be less compact (Laurie et al., 2012). In U. maydis, initially twelve clusters compromising three to 26 genes could be identified. They encode predicted secreted proteins for which a functional prediction is not possible. Expression profiling revealed that most genes in these clusters are induced in planta. The individual deletion of five clusters had an effect on virulence, which ranged from a complete lack of pathogenicity to hypervirulence (Kämper et al., 2006). Gene clusters in $S$. reilianum f. sp. zeae were identified due to the remarkably high synteny of its genome to $U$. maydis. Gene clusters showed a low degree of similarity in overall higher conserved regions, indicating that they have rapidly evolved (Schirawski et al., 2010).

The five species considered here harbor a similar number of gene models and genes encoding secreted proteins, of which about half have no predictable function (Table 1.1). In the present study, all predicted secreted proteins are considered as potential effectors. Efforts to elucidate the biological importance of effectors resulted in the functional characterization of three translocated (Cmu1, Tin2, See1) and two apoplastic effectors (Pep1, Pit2) in $U$. maydis. Cmu1 functions as chorismate mutase in the shikimate pathway and converts chorismate to prephenate, thereby reducing the pool of chorismate available for the synthesis of salicylic acid (Djamei et al., 2011). Tin2 stabilizes the maize protein kinase ZmTKK1 by masking a degradation motif. Since ZmTKK1 acivates anthocyanin biosynthesis pathways, it has been speculated that the binding of ZmTKK1 by Tin2 directs metabolites
to the anthocyanin pathway and lowers the amount of metabolites available for other defense reactions (Tanaka et al., 2014). Redkar and colleagues (2015) could show that See1 is specifically required for reactivation of plant DNA synthesis in leaf cells by interacting with a SGT1 homolog. This interaction interferes with the phosphorylation of SGT1 and is important for leaf tumor formation. Pep1 was shown to function as inhibitor of plant peroxidases, thereby efficiently preventing peroxidase-driven oxidative burst and suppressing early defense responses of maize (Hemetsberger et al., 2012). Pit2 was identified as another enzymatic inhibitor. It reduces the activity of a group of salicylic acid-induced papain-like cysteine proteases, which suppresses host immunity reactions (Müller et al., 2013).

In summary, the availability of five annotated genomes of related smut fungi, the typically narrow host range and their amenability to genetic manipulations make smut fungi a particularly interesting model to investigate genes contributing to virulence and potentially host specificity.

### 1.4 Aim of the thesis

The aim of this work was to determine to what extend positive selection and de novo gene creation contribute to the evolution of virulence in smut fungi. To identify candidate genes for both groups, the genomes of five related smuts were employed for comparative pathogenomics analyses. In the next step, identified candidate genes were assessed for their contribution to virulence by creating deletion mutants.

## 2. RESULTS

### 2.1 Detection of species-specific genes and their contribution to virulence

### 2.1.1 Defining criteria to infer orphan genes

The first step was to find clustering criteria for coverage and identity that allow the inference of orphan genes in the five genomes of $U$. hordei, $U$. maydis, $S$. scitamineum, $S$. reilianum f . sp. zeae and $S$. reilianum f. sp. sorghi. Families were considered to consist of orphan genes, if they contain only members of one species. In this way, paralogous members in one family were also considered as orphan genes. As a result, settings of $5 \%$ for coverage and identity still leave proteins that do not group in one family (Figure 2.1). Increasing the cutoffs for coverage and identity leads to the detection of more orphan genes, as proteins cannot be grouped in one family under stricter settings (Figure 2.1). However, higher thresholds would also increase the number of false positive detections, since looser criteria would allow the clustering in one family. Hence, the inference of orphan genes was carried out with settings of $5 \%$ of both coverage and identity.


Figure 2.1: Identification of orphan genes in smut genomes. Shades of grey indicate the number of families which have only members of one species according to different settings for coverage and identity (scale on the right).

To infer orphan genes on a more reliable basis, the genomes of the related human pathogen Malassezia globosa (Xu et al., 2007), the smut pathogen of dicot Persicaria species Melanopsichium pennsylvanicum (Sharma et al., 2014) and the bio-control agent Pseudozyma flocculosa (Lefebvre et al., 2013) were included. Initially, the proteome of all eight genomes was used to perform an all-agains-all blastp search. To build families of homologues, SiLiX was employed with settings of $5 \%$ for both coverage and identity. In this way, 608 families could be built, of which 492 contained only members in one species.

### 2.1.2 Orphan genes are particular prominent in P. flocculosa and rarely in the pathovariants of $S$. reilianum

In the next step, all proteins of families with members in one species only were used to run a tblastn search against the non-redundant data base of the National Center for Biotechnology Information (NCBI). After this step, only sequences that did not have a hit except in their own genome were considered (e-value cutoff: 0.001) (Table 2.1). It turned out that most orphan genes are found in P. focculosa, whereas the Sporisorium species showed the lowest number of orphan genes (Table 2.1). All orphan genes found with this approach are listed in Table 6.1 (Supplementary Information).

Table 2.1: Total number of orphan genes and number of predicted secreted orphan proteins in eight related fungal species

| Species | Total number of <br> orphan genes | Number of predicted <br> secreted orphan proteins |
| :--- | :---: | :---: |
| M. globosa | 52 | 4 |
| P. flocculosa | 108 | 28 |
| M. pennsylvanicum | 89 | 4 |
| U. hordei | 73 | 4 |
| U. maydis | 87 | 7 |
| S.scitamineum | 21 | 1 |
| S. reilianum f. sp. zeae | 8 | 1 |
| S. reilianum f. sp. sorghi | 0 | 0 |

In M. globosa, U. maydis and S. scitamineum, one family consisting of two paralogous orphan genes could be found. Additionally, two families consisting of two paralogues could be found in M. pennsylvanicum and P. flocculosa. Such paralogous orphan genes were not found in $U$. hordei or the two pathovariants of $S$. reilianum. RNAseq data obtained from $U$. maydis infected maize plants ( 6 days post infection; M. Tollot and R. Kahmann, unpublished) demonstrated expression of 77 orphan genes (out of 87) in at least one replicate, indicating that these orphans correspond to valid gene models. None of the $U$. maydis orphan proteins was found to be potentially unconventionally secreted (data set: K. Schipper, T. Brefort, M. Mann and B. Macek, unpublished). Using the synteny browser of the MIPS Ustilago maydis Genome Database (version 2.0) revealed that 11 of the 87 orphan genes in $U$. maydis are located in a genomic region which is syntenic compared to $S$. reilianum f. sp. zeae. In addition, the syntenic region in $S$. reilianum f. sp. zeae encodes a gene in the region homolgous to an $U$. maydis-orphan gene. This could indicate that genes in this regions are homologous between $U$. maydis and $S$. reilianum f. sp. zeae, but diverged rapidly and cannot their homolgy cannot be recognized anymore. In addition, 32 orphan genes of $U$. mayids are located in a syntenic region compared with $S$. reilianum f. sp. zeae, but in these cases, no gene is annotated in $S$. reilianum in the region homolgous to the
orpohan in $U$. maydis. Therefore, one could speculate that these $U$. maydis orphan genes originated de novo. To evaluate the importance of orphan genes in $U$. maydis, population data of 20 Mexican and two US-American U. maydis isolates (G. Schweizer, J. Y. Dutheil, N. Rössel and R. Kahmann, unpublished) were scanned for the presence of orphan genes. 60 candidates could be recovered from multiple genome alignments. These 60 orphan genes were all present in all 22 isolates, indicating that they play an important role in the fitness of $U$. maydis. Interestingly, one orphan gene (um03039) was found under positive selection in the population data, which was not predicted to encode a secreted protein. Since it was shown that conserved proteins tend to be longer compared to poorly conserved proteins (Lipman et al., 2002), it was tested whether orphan genes in $U$. maydis differ in length compared to non-orphan genes. As a result, they tend to be significantly shorter (median: 469 bp vs. $1526 \mathrm{bp} ; p$-value $=2.2 \cdot 10^{-16}$; Wilcoxon rank sum test).

### 2.1.3 Contribution to virulence of predicted secreted orphan proteins in $U$. maydis

Out of 87 orphan genes in $U$. maydis, 7 encode a predicted secreted protein. Of those, um02193 is part of the previously identified cluster 5 A , whose deletion did not affect virulence in seedling infections (Kämper et al., 2006). Since some effectors of $U$. maydis are known to act in an organ-specific manner (Skibbe et al., 2010; Schilling et al., 2014), the effect of the single deletion on tassel infections was tested. However, no contribution to virulence could be observed (Figure 2.2).


Figure 2.2: Deletion of the orphan gene um02193 in the solopathogenic strain SG200 does not affect virulence in tassels. Gaspe Flint plants were either infected with the strain SG200 or with one of three independent deletion mutants thereof as indicated below each bar. Symptoms were recorded 10 days post infection according to severeness as shown on the right. The result is presented as mean of three independent experiments in relation to the total number of infected plants (n).

The orphan gene um11980 is part of the $U$. maydis-cluster 13_10, whose deletion had no effect on virulence in seedling infections (K. Münch and R. Kahmann, unpublished).

### 2.2 Detection of positively selected genes and their contribution to virulence

### 2.2.1 Defining criteria to infer homologous sequences

The aim of this analysis was to identify homologous genes in the five smut fungi $U$. hordei, $U$. maydis, S. scitamineum, $S$. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi, which show signs of positive selection. Such genes are considered to have a possible role in the adaptation to the respective host plant. To define families of homologous sequences, a blastp search was conducted. All proteins served as query and data base (all-against-all search). The result was used to build families employing SiLiX (Miele et al., 2011), which relies on degrees of coverage and identity as clustering criteria. Since the focus of this analysis was to identify positive selection between orthologous genes, the aim of the clustering step was to maximize the number of families containing one member per species (core families). In this way, paralogous members were avoided. To determine settings yielding the maximum number of core families, a range between $5 \%$ and $95 \%$ of coverage and identity was tested. As a result, an identity of $40 \%$ and coverage between $5 \%$ and $45 \%$ lead to the maximum number of core families (5394, Figure 2.3). Stricter settings (i.e. higher identity and coverage cutoffs) lead to less core families, because more diverse sequences cannot be captured in one family anymore (Figure 2.3). Looser criteria (i.e. lower values for identity and coverage) also reduced the number of core families, because sequences are allowed to cluster in a single family, although the sequences are not necessarily related (Figure 2.3).


Figure 2.3: Core families in relation to varying settings for identity and coverage. Shades of grey indicate the number of core families according to the scale on the right. The maximal number of core families can be obtained with a coverage between $5 \%$ and $45 \%$ and an identity of $40 \%$.

Furthermore, it turned out that settings with $40 \%$ identity and $80 \%$ coverage still lead to 5326 core families. Since sequences in a family are more similar using these criteria, the members can be aligned with more confidence, which is important for the detection of positive selection. Building families based on these thresholds had a cost of only 68 core families; therefore, the following analysis was done using these stricter settings. In this way, a total of 8761 families could be inferred. Among those, 5254 families have one member in each species, 55 families have two members in each species, 8 families have three members in each species, and 5 families have four members in each species. 2 families have five members in each species and 2 families have six members in each species. Among these core families, there are 245 families comprising only members that are predicted to be secreted. In total, there were 5411 families where each species was represented at least once. Among those, 257 families consist only of members showing a prediction for secretion. Given that each species encodes on average 588 predicted secreted proteins, these results suggest that about $45 \%$ of all potential effector proteins are conserved across the five species.

Interestingly, several species-specific family expantions could be found in U. hordei. There were 17 families which encompassed between 5 and 25 members in $U$. hordei but
had no members in other species (Table 2.2). Moreover, 1 family contained 62 members in $U$. hordei and $1 U$. maydis-protein, 1 family incorporated 8 members in $U$. hordei and 1 member in $S$. scitamineum and 1 family consisted of 6 members of $U$. hordei and 1 member of each Sporisorium species (Table 2.2).

Table 2.2: Species-specific family expansions in $U$. hordei

|  | Members in each species |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Family | U. hordei | $\boldsymbol{U}$. maydis | S. scitamineum | S. reilianum <br> f. sp. zeae | S. reilianum <br> f. sp. sorghi |
| FAM006490 | 8 | 0 | 0 | 0 | 0 |
| FAM006493 | 25 | 0 | 0 | 0 | 0 |
| FAM006496 | 15 | 0 | 0 | 0 | 0 |
| FAM006499 | 7 | 0 | 0 | 0 | 0 |
| FAM006501 | 8 | 0 | 0 | 0 | 0 |
| FAM006503 | 7 | 0 | 0 | 0 | 0 |
| FAM006506 | 5 | 0 | 0 | 0 | 0 |
| FAM006511 | 13 | 0 | 0 | 0 | 0 |
| FAM006513 | 9 | 0 | 0 | 0 | 0 |
| FAM006522 | 8 | 0 | 0 | 0 | 0 |
| FAM006524 | 8 | 0 | 0 | 0 | 0 |
| FAM006525 | 10 | 0 | 0 | 0 | 0 |
| FAM006528 | 9 | 0 | 0 | 0 | 0 |
| FAM006539 | 5 | 0 | 0 | 0 | 0 |
| FAM006542 | 11 | 0 | 0 | 0 | 0 |
| FAM006543 | 9 | 0 | 0 | 0 | 0 |
| FAM006461 | 62 | 1 | 0 | 0 | 0 |
| FAM006426 | 8 | 0 | 0 | 0 | 0 |
| FAM003338 | 6 | 0 |  | 0 | 0 |

Notably, only four of such $U$. hordei-families contained each two members which are located adjacently in the genome. This suggests that duplication events were accompanied by subsequent translocations, which may be attributed to the higher content of repetitive elements in U. hordei compared to the other species considered here. Only 3 families consisted exclusively of members of the two maize parasites $U$. maydis and $S$. reilianum f. sp. zeae. No evidence for horizontal gene transfer between these species could be detected. Regardless of their composition, 6205 families enclosed at least three members. These families were used for the ensuing detection of positive selection.

### 2.2.2 Positively selected genes are particularly enriched in the pathovariants of $S$. reilianum

All families of homologous proteins with at least 3 members were aligned and pyhlogentically analyzed (PhyML 3.0). Next, a non-homogeneous model of sequence evolution allowing $\omega$ to vary along the phylogeny (Nielsen \& Yang, 1998; Romiguier et al., 2012) was applied to
scan for positive selection. It turned out that genes showing signs of positive selection are particularly enriched in $S$. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi. In addition, a substantial number of candidates was also found in $U$. hordei, but only very few in $U$. maydis and $S$. scitamineum (Table 2.3). A complete list of identified positively selected genes is provided in Table 6.2 (Supplementary Information).

Table 2.3: Total number and number of predicted secreted proteins found under positive selection in five smut genomes

| Species | Total number of proteins <br> under positive selection | Number of predicted secreted <br> proteins under positive selection |
| :--- | :---: | :---: |
| U. hordei | 49 | 22 |
| U. maydis | 2 | 0 |
| S. scitamineum | 7 | 0 |
| S. reilianum f. sp. zeae | 84 | 25 |
| S. reilianum f. sp. sorghi | 111 | 27 |

Notably, all genes found under positive selection in the two pathovariants of S. reilianum, in S. scitamineum and in $U$. maydis share orthologous members in the other species, indicating positive selection between species. On the contrary, genes with signs of positive selection in U. hordei belong largely ( 36 out of 49 genes) to families showing species-specific expansions. This indicates selection between paralogous genes in $U$. hordei and could suggest a speciesspecific way of adapting to its host. Predicted secreted proteins are significantly overrepresented in the group of proteins under positive selection compared to the group of proteins not found under positive selection. In $U$. hordei, $44.9 \%$ of proteins showing signs of positive selection are predicted to be secreted, whereas only $7.1 \%$ of proteins not found under positive selection have a secretion prediction ( $p$-value $=5.72 \cdot 10^{-13}$; Fisher's exact test). In $S$. reilianum f. sp. zeae, the fraction of predicted secreted proteins among those with signs of positive selection is $29.8 \%$ compared to $8.8 \%$ predicted secreted proteins of not positively selected proteins ( $p$-value $=4.34 \cdot 10^{-8}$ ). Similar values were found for $S$. reilianum f. sp. sorghi ( $24.3 \%$ compared to $9.0 \% ; p$-value $=1.77 \cdot 10^{-6}$ ). These results highlight the importance of positively selected and secreted proteins in responses to environmental changes. In U. maydis and S. scitamineum, no gene under positive selection was found to encode a predicted secreted protein. This can be attributed to the overall small number of genes showing signs of positive selection.

Because effector proteins are often considered to be small, it was tested whether genes under positive selection are shorter than gene that are not under positive selection. It turned out that genes under positive selection are significantly shorter in $U$. hordei (median 661 bp vs. 1449 bp ) and in $S$. reilianum f. sp. zeae (median 1269 bp vs. 1539 bp ), but not in S. reilianum f. sp. sorghi (median 1326 bp vs. 1534 bp ) (Figure 2.4).


Figure 2.4: Lengths of genes under positive selection (red boxes) compared to genes not under positive selection (grey boxes) in $U$. hordei, $S$. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi. Data are represented in form of a box plot, where the top and bottom of the boxes indicate the first and third quartile, respectively. The thick middle line represents the median. Whiskers show data points within the 1.5 interquartile ranges. Open circles denote data points exceeding this range. N equals the number of genes in each category. Asterisks indicate levels of significance: ${ }^{* * *}, p<0.001 ;{ }^{*}, p<0.05 ;$ ns, not significant (Wilcoxon rank sum test).

Since it is known that effector genes of smut fungi are often found in clusters, it was tested if genes with signs of positive selection tend to locate in such clusters. For this approach, the clusters defined as in Dutheil et al. (in preparation) were used. In S. reilianum f. sp. zeae, 9 genes clusters were inferred. 1 cluster contains three genes under positive selection; 2 clusters harbor 2 genes each with signs of positive selection and 2 clusters possess one gene each under positive selection. Together, this lead to a significant trend of localization of genes under positive selection in clusters ( $p$-value $=4.54 \cdot 10^{-6}$; Fisher's exact test). In line with this result is the observation that no significant tendency for localization of genes under positive selection towards telomeres, as was described in other organisms, could be found in $S$. reilianum f. sp. zeae ( $p$-value $=0.07$; Wilcoxon rank sum test). Note that similar analyses for $U$. hordei and $S$. reilianum f . sp. sorghi are not possible, because genome information is only available on the level of contigs.

Likely due to the higher amount of transposable elements, no significant gene clusters could be detected in $U$. hordei. Since it is known that transposable elements contribute to gene family expansions, it was assessed whether genes under positive selection in $U$. hordei are physically closer to transposable elements compared to genes not harboring signs of positive selection. The minimal distance between uncharacterized interspersed repeats and genes under positive selection was significantly shorter than the distance to genes not under positive selection (median 166.5 bp vs. $2027 \mathrm{bp} ; p$-value $=1.79 \cdot 10^{-9}$; Wilcoxon rank sum
test with Bonferroni correction). Intriguingly, candidate genes under positive selection were significantly more distant to low complexity regions than genes not found under positive selection (median $\approx 19300 \mathrm{bp}$ vs. $\approx 9800 \mathrm{bp} ; p$-value $=2.77 \cdot 10^{-3}$. Together, these results suggest that interspersed repeats, but not low complexity regions, could be involved in enhancing rates of non-synonymous mutations in $U$. hordei.

### 2.2.3 Virulence phenotypes of deletion mutants of predicted secreted positively selected genes in $S$. reilianum f. sp. zeae

To assess a potential contribution to virulence of genes found under positive selection and harboring a secretion prediction, deletion mutant strains were created by replacing the gene of interest with a resistance cassette via homologous recombination. Deleted single candidate genes and virulence phenotypes of the respective deletion mutants are summarized in Table 2.4.

Table 2.4: Genes under positive selection in $S$. reilianum f. sp. zeae that were individually deleted in JS161 and the according virulence phenotype

| Gene | Description | $\omega$ | Paralogues $^{\mathbf{1}}$ | Virulence <br> phenotype |
| :--- | :--- | :---: | :---: | :--- |
| sr10529 <br> (srmpit2) | conserved hypothetical protein | 31.147 | 0 | almost <br> apathogenic |
| sr10059 | conserved hypothetical <br> Ustilaginaceae- specific protein | 6.539 | 0 | virulence <br> not affected |
| sr10182 | conserved hypothetical protein | 1.575 | 12 | virulence <br> not affected |
| sr12968 | conserved hypothetical protein | 37.901 | 0 | virulence <br> not affected |
| sr14558 | conserved hypothetical protein | 24.355 | 0 | virulence <br> not affected |
| sr14944 | conserved hypothetical <br> Ustilaginaceae-specific protein | 4.305 | 0 | virulence <br> not affected |
| sr14347 | conserved hypothetical protein | 544.340 | 5 | virulence <br> not affected |
| sr12897 | conserved hypothetical protein | infinite | 0 | virulence <br> not affected |

${ }^{1} \mathrm{e}$-Value cutoff: 0.001

The solopathogenic strain JS161 of $S$. reilianum f. sp. zeae was used, because it penetrates and grows within host plants without a compatible mating partner, thereby eliminating the need to generate deletion mutants in both compatible strains (Schirawski et al., 2010). The genotype of strains growing on selection media was verified by Southern analysis. JS161 and its deletion mutant derivatives were grown in $\mathrm{YEPS}_{\mathrm{L}}$ until exponential growth was reached and cultures were adjusted to $\mathrm{OD}_{600}=1$. Such cultures were used for needle infections of 1 week old maize seedlings of the dwarf variety Gaspe Flint. Virulence symptoms were recorded nine weeks post infection. A prominent symptom of maize infected by S. reilianum f. sp. zeae is the occurrence of phyllody, i.e. the development of leave-like structures instead of female flower organs (Figure 2.5).


Figure 2.5: Virulence phenotype of JS161 in Gaspe Flint. Cob phenotypes of mock-infected (left) and $S$. reilianum f. sp. zeae-infected (right) Gaspe Flint maize plants 9 week after treatment. A prominent symptom is the development of phyllody in female flower parts (pictures kindly provided by K. Münch)

Spore development after after infections with JS161 is rarely observed. In contrast, spore development is prominent when compatible strains are crossed (Schirawski et al., 2010; Ghareeb et al., 2011; Zuther et al., 2012). K. Münch kindly created all deletion mutants in the solopathogenic strain JS161 and provided virulence data obtained with these strains.

The gene sr10529 is orthologous to pit2 of $U$. maydis, which was demonstrated to be essential for virulence by acting as inhibitor of a group of salicylic acid-induced cysteine proteases (Döhlemann et al., 2011; Müller et al., 2013). The deletion of sr10529 (srmpit2) in JS161 resulted in an almost complete absence of phyllody formation (Figure 2.6.). In contrast to the striking contribution of srmpit2 to virulence, individual deletions of seven other genes that show signatures of positive selection and encode a predicted secreted protein did not reveal a role in pathogenicity for these genes (Figure 2.6). This finding could indicate that these genes are needed under conditions not tested here or are involved in adaptation processes unrelated to host plant colonization.


Figure 2.6: Virulence phenotype of single deletion mutants of positively selected in S. reilianum f. sp. zeae. Gaspe Flint maize plants were infected with water, the solopathogenic strain JS161 or three independent deletion mutants of srPit2 (left panel in first row), sr10182 (right panel in first row), sr12968 (left panel in second row), sr14558 (right panel in second row), sr14944 (left panel in third row), sr14347 (right panel in third row), sr10059 (left panel in fourth row) or sr12897 (right panel in fourth row) in JS161. The respective strain is indicated below each bar. Symptoms were scored 9 weeks post infection and categorized according to severeness as illustrated in the legend below the bar plot. The results are shown as mean of three independent experiments in relation to the total number of infected plants (n).

Since the solopathogenic strain JS161 is reduced in virulence compared to compatible wild type strains, the contribution of srmpit2 to virulence was tested in crossings of compatible strains. A strong reduction in virulence could be observed. Notably, deletion mutant strains were unable to produce spores (Figure 2.7).


Figure 2.7: Deletion of srPit2 leads to a severe reduction of virulence in crossings of compatible strains. Plants were either infected with water, crossings of compatible S. reilianum. f. sp. zeae wild type mating partners (JS60 $\times \mathrm{JS61}$ ) or with crossings of deletion mutants thereof as indicated below each bar. Symptoms were categorized according to severeness as shown in the legend of Figure 2.6. The result is represented as mean of three independent experiments in relation to the total number of infected plants (n).

While some genes found under positive selection are located solitary, others are part of a cluster of genes encoding predicted secreted proteins. Since such gene clusters are composed of families of paralogous genes (Kämper et al., 2006; Schirawski et al., 2010; Dutheil et al., in preparation), it was decided to assess a role in virulence by deleting entire clusters. Contributions to pathogenicity of each cluster gene can then be monitored by individual complementations. In total, six gene clusters containing positively selected genes were deleted and their contribution to virulence was assessed in Gaspe Flint infections. The positively selected genes residing in clusters, phenotypes of deletion mutants and phenotypes of deletion mutants of orthologous regions in U. maydis are summarized in Table 2.5. Homologous relationships are for each cluster shown in Supplementary Figure 6.1.
Table 2.5: Genes under positive selection in $S$. reilianum f. sp. zeae residing in gene clusters and virulence phenotype of cluster deletions

| $\begin{aligned} & \text { Cluster in } S . \\ & \text { reilianum f. sp. zeae } \end{aligned}$ | Genes under positive selection | Description | $\omega$ | Virulence phenotype | Paralogues outsite cluster $^{1}$ | Cluster in U. maydis | Virulence phenotype |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & 1-32^{\mathrm{a}}(\operatorname{sr12084}- \\ & \text { sr12087; } 4 \text { genes }) \end{aligned}$ | sr12084 ${ }^{\text {s }}$ | conserved hypothetical Ustilaginaceae-specific protein | infinite | hypervirulent | 0 | I-1 | virulence not affected |
| $\begin{aligned} & 5-18^{\mathrm{a}}(\text { sr13421 - } \\ & \text { sr13413; } 9 \text { genes }) \end{aligned}$ | $\begin{aligned} & s r 13419^{\mathrm{s}} \\ & \operatorname{sr13415} \end{aligned}$ | conserved hypothetical Ustilaginaceae-specific protein hypothetical protein | $\begin{aligned} & 1.245 \\ & 1.146 \end{aligned}$ | hypervirulent | 0 |  | virulence not affected ${ }^{\text {a }}$ |
| $\begin{aligned} & 10-15^{\mathrm{a}}(\text { sr11226-- } \\ & \text { sr11240; } 13 \text { genes }) \end{aligned}$ | $\begin{gathered} s r 11233^{\mathrm{s}} \\ \text { sr11237s } \\ \text { sr11239.2 } 2^{\mathrm{ns}} \\ \text { sr11240 } \end{gathered}$ | conserved hypothetical Ustilaginaceae-specific protein conserved hypothetical protein conserved hypothetical protein conserved hypothetical protein | $\begin{gathered} 5.230 \\ 10.783 \\ 5.956 \\ \\ 5.956 \end{gathered}$ | virulence strongly reduced | 0 | 10A | virulence reduced ${ }^{\text {b }}$ |
| $\begin{aligned} & 20-15^{\mathrm{a}}(\operatorname{sr16549-} \\ & \text { sr16561; } 13 \text { genes }) \end{aligned}$ | $\begin{aligned} & \text { sr16550 } \\ & \text { sr16553 } \\ & \text { sr16556 } \\ & \text { sr } 16558^{\mathrm{s}} \end{aligned}$ | conserved hyptothetical Ustilaginaceae-specific protein conserved hypothetical Ustilago-specific protein conserved hypothetical Ustilago-specific protein conserved hypothetical Ustilaginaceae-specific protein | infinite 3.223 3.235 2.878 | virulence unaffected | 5 |  | virulence reduced $^{\text {a }}$ |
| $\begin{aligned} & 12-15^{\mathrm{a}}(\operatorname{sr15149}- \\ & \text { sr15146; } 4 \text { genes }) \end{aligned}$ | $\begin{aligned} & \operatorname{sr} 15149^{\mathrm{s}} \\ & \operatorname{sr} 15147^{\mathrm{s}} \end{aligned}$ | conserved hypothetical protein conserved hypothetical protein | $\begin{gathered} 4.218 \\ 31.726 \end{gathered}$ | virulence unaffected | 3 |  | virulence unaffected ${ }^{\text {c }}$ |
| $\begin{gathered} 2-21^{\mathrm{a}}(\operatorname{sr10308-} \\ \operatorname{sr10318;} 11 \text { genes }) \end{gathered}$ | sr10317 ${ }^{\text {s }}$ | conserved hyothetical Ustilaginaceae-specific protein | 3.533 | virulence unaffected | 0 | 2 A | hypervirulent ${ }^{\text {b }}$ |
| ${ }^{1}$ e-Value cutoff: 0.001 <br> ${ }^{\text {a }}$ Reported in Schirawski <br> ${ }^{\mathrm{b}}$ Reported in Kämper et <br> ${ }^{\mathrm{c}} \mathrm{K}$. Münch and R. Kah <br> ${ }^{\text {s }}$ Encodes a predicted sec <br> ${ }^{\text {ns }}$ Encodes not a predict | et al., 2010 <br> al., 2006 <br> ann, unpublished eted protein accordin secreted protein acc | to SignalP 4.0 <br> rding to SignalP 4.0 |  |  |  |  |  |

Interestingly, deletion of the clusters 1-32 (containing the positively selected gene sr12084) and 5-18 (containing the positively selected genes sr13419 and sr13415) lead to a hypervirulent phenotype (Figure 2.8). Deleting cluster I-1 (homologous to cluster 1-32 in S. reilianum f. sp. zeae) in the solopathogenic $U$. maydis-strain SG200 did not affect virulence (Figure 2.9; deletion strains kindly provided by N. Rössel). Similarly, deletion of the homologous region of cluster 5-18 in SG200 did not have an impact on virulence (Schirawski et al., 2010). Furthermore, deletion of cluster 10-15, which contains the positively selected gens sr11233, sr11237, sr11239.2 and sr11240, lead to a strong reduction in virulence (Figure 2.8). In contrary, deleting the clusters 20-15 (with the positively selected genes sr16550, sr16553, sr16556 and sr16558), 12-15 (containing the positively selected genes sr15149 and sr15147) and 2-21 (with the positively selected gene $s r 10317 \mathrm{had}$ no effect on virulence (Figure 2.8).


Figure 2.8: Virulence phenotype of deletion mutants of gene clusters containing positively selected genes in $S$. reilianum f. sp. zeae. Gaspe Flint maize plants were infected with water, the solopathogenic strain JS161 or three independent deletion mutants of cluster 1-32 (left panel in top row), cluster 5-18 (left panel in middle row), cluster 2-21 (left panel in last row), cluster 10-15 (right panel in first row), cluster 12-15 (right panel in middle row) and cluster 20-15 (right panel in last row) as indicated below each bar. Symptoms were categorized according to severeness as shown in the legend of Figure 2.6. The results are represented as mean of three independent experiments in relation to the total number of infected plants (n).


Figure 2.9: Virulence phenotype of the deletion of cluster Um00792-Um00795 in U. mayids. Early Golden Bantam maize plants were infected with water, the solopathogenic strain SG200 or three independent deletion mutants of cluster Um00792-Um00795 as indicated below each bar. Symptoms were categorized according to severeness as shown in the legend below the bar plot. The results are represented as mean of three independent experiments in relation to the total number of infected plants (n).

### 2.3 Potential role of SrPit2 in host specificity

### 2.3.1 Yeast-2-hybrid interaction analysis of salicylic acid-induced maize papain-like cysteine proteases and Pit2-orthologues

The U. maydis-ortholog of SrMPit2, UmPit2 (um01375) was shown to have an important function as inhibitor of a class of salicylic acid-induced papain-like cysteine proteases (PLCPs) (Müller et al., 2013). Therefore, it was tempting to speculate that SrMPit2 could inhibit efficiently PLCPs of maize, but not of Sorghum (and vice versa) and could therefore play a role in host specificity. To get indications of a potentially differential interaction, a yeast-2-hybrid analysis with maize PLCPs as prey and with Pit2 orthologues of $U$. maydis, S. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi as bait was performed. Previously, it has been shown that UmPit2 can interact in a yeast-2-hybrid assay with the maize PLCPs corn cysteine protease 2 (CP2), CP1A, CP1B and xyleme cysteine protease 2 (XCP2). Furthermore, in vitro experiments demonstrated that UmPit2 can inhibit CP2, CP1A, CP1B
and XCP2 (Müller et al., 2013). Therefore, CP1A, CP1B and XCP2 were tested for differences in interaction with Pit2-orthologues in a yeast-2-hybrid screen. Since CP2 showed auto activation, it was not included here.

Plasmids carrying either one of the three PLCPs (prey plasmid) or one of the Pit2orthologues (bait plasmid) were co-transformed in the yeast strain AH109. Single colonies were used to inoculate a SD liquid culture. Cells were grown until the exponential phase was reached and cell densities were adjusted with $\mathrm{H}_{2} \mathrm{O}$ to $\mathrm{OD}_{600}=1.6 \mu \mathrm{~L}$ of $1: 10$ serial dilutions were dropped on on low stringency medium (growth control) or high stringency medium to test for interaction. To be able to detect also small differences in interaction, high stringency plates were supplemented with 0.1 mM or $0.5 \mathrm{mM} 3-\mathrm{AT}$, which is a competitive inhibitor of HIS3 (Brennan \& Struhl, 1980). Growth was monitored for three days at $28^{\circ} \mathrm{C}$.

As a result, better growth could be observed for yeast strains expressing pit2 of $U$. maydis and $S$. reilianum f. sp. sorghi compared to strains expressing pit2 of $S$. reilianum f. sp. zeae. This observation was found for all interactions with CP1A, CP1B and XCP2 (Figure 2.10). In addition, the effect became more prominent when 0.1 mM or 0.5 mM 3 -AT were added (Figure 2.10). This result is in contrast with the hypothesis that Pit2 of the maize pathogens $U$. maydis and $S$. reilianum f. sp. zeae can interact stronger with maize PLCPs than Pit2 of the Sorghum pathogen S. reilianum f. sp. sorghi. Whether a difference could be observed when PLCPs of Sorghum are tested for interaction or when an alternative readout is applied (e.g. degradation of ONPG) remains to be investigated.


Figure 2.10: Yeast-2-hybrid analysis of interactions between Pit2 proteins of $U$. mayids and the two pathovariants of $S$. reilianum and maize papain-like cysteine proteases. Plasmid combinations are indicated at the left, top and bottom of each panel. Growth conditions are shown on the right site of each panel. Expressing pit2 of $S$. reilianum f. sp. zeae resulted in a weaker growth compared with expression of pit2 of $S$. reilianum f. sp. sorghi or $U$. mayids. UmPit2, pit2 of $U$. mayids; SrMPit2, pit2 of $S$. reilianum f. sp. zeae; SrSPit2, pit2 of $S$. reilianum f. sp. sorghi. The figure is representative of two independent experiments.

To investigate whether differences in protein levels could explain the observed growth pattern, cells were disrupted by alkaline cell lysis and proteins were precipitated by adding trichloroacetic acid. Proteins were separated by SDS-PAGE. Pit2-orthologues and CP1A, CP1B or XCP2 were immunologically detected by Western blot using $\alpha$-HA antibodies for the detection of PLCPs and $\alpha$-c-myc antibodies for the detection of Pit2. A horse radish peroxidase-conjugated secondary antibody was used for chemo-luminescence emission. No differences in protein levels were observed (Figure 2.11).


Figure 2.11: Western blot analysis of protein levels in yeast-2-hybrid interactions. The upper part shows $\alpha$-HA detection of prey fusion proteins (empty vector, CP1A, CP1B or XCP2) in combination with different bait proteins (ev, empty vector; Um, UmPit2; SrM, SrMPit2; Srs, SrSPit2) as indicated above the blot. Filled arrows depict detected proteins. The lower part shows $\alpha$-c-myc detection of bait fusion proteins as indicated above the blot. Open arrow heads indicate detected protein. Numbers onf the left site of each blot indicate the size standard in kDa .

### 2.3.2 The C-terminus of Pit2 of S. reilianum shows signs of positive selection

To identify residues of Pit2 of the two formae speciales in $S$. reilianum which are under positive selection and are therefore likely involved in the interaction with PLCPs, the branchsite model of PAML (Yang, 2007) was employed. Both S. reilianum-branches were defined as foreground. As a result, two residues of the previously identified conserved PID14 domain, which is in $U$. maydis sufficient for inhibition of PLCPs (Müller et al., 2013), are under positive selection (Figure 2.12). Furthermore, additional residues under positive selection are scattered along the entire C-terminus (Figure 2.12).

```
                            20 40
                                    6 0
SSCI_03677 MLVHSAPAFI ATLVALCLAQ HVQAIQLPAI RRSLTHNDDA A--------N LERRWFWNFG
    srs_10529 MLVHSARAFV AALL-LGLVL HVHAIQMPAM RRSLSSHADA GAAGGSTLGK LARRWFFNFG
        sr}10529 MLVHSARAFV AALL-LGLVL HVHA--MPAM RRSLSSHADA GAAGGSTLGK LARRWFFDFG
                    80 100 120
SSCI_03677 SSLGRSPDNN ALIVPEDMIK KHTAALVTEW QTYLNEMHRQ HPNWKRIDWR DDGPAGFARW
    srs_10529 GSLAPLDAVP IFEIPKSLIK THKPAEVTKW EVFLQRVHRK HPDWTHVHWT TDGPVGY---
        s
        1 2 9
SSCI_03677 ESEKQGRSH
    srs_10529 ------KGH
        sr110529 ------KSH
```

Figure 2.12: Distribution of positively selected sites in Pit2. Alignment of Pit2-orthologues of $S$. scitamineum (top line), S. reilianum f. sp. sorghi (middle line) and $S$. reilianum f. sp. zeae (lower line) indicates residues under positive selection. Red amino acids indicate positive selection in the respective species and purple residues indicate positive selection in one or both species. The brown shaded area is homologous to the previously identified conserved PID14 domin, which is sufficient for inhibition of cysteine proteases (Müller et al., 2013). Bold numbers indicated alignment sites.

### 2.3.3 One papain-like cysteine protease of Sorghum bicolor is under positive selection

The arms race model proposes an antagonistic co-evolution of pathogens an hosts. Therefore, the target of an effector should be under positive selection. To test whether PLCPs of maize and Sorghum show signs of positive selection, all proteins of maize and Sorghum which are homologous to the five previously identified SA-induced PLCPs of maize (van der Linde et al., 2012) were used as data set. Inference of homology and scanning for positive selection was done as described in chapter 2.2.1. Only one PLCP of $S$. bicolor showed sings of positive selection ( $\omega=3.2$; Figure 2.13). Whether this accelerated evolution is due to the interaction with inhibitors of pathogens remains to be elucidated.


Figure 2.13: Phylogeny of PLCPs of maize (indicated by proteins named Zmays) and $S$. bicolor (indicated by proteins named Sbicolor). Positive selection was only inferred in Sbicolor_18614 as indicated by the red frame. Scale bar represents branch length as indicated.

## 3. DISCUSSION

In the present work, comparative pathogenomics of five related smut fungi with distinct host plants (U. hordei, U. maydis, S. scitamineum, S. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi) was used to investigate to which extent genes under positive selection and species-specific gene birth contribute to the evolution of virulence in smut fungi. Candidate genes from both evolutionary scenarios were assessed for their function in pathogenicity.

### 3.1 Orphan genes and their contribution to virulence in smut fungi

To assess whether orphan genes play a role in virulence of grass pathogenic smut fungi, the genomes of $U$. hordei, $U$. maydis, $S$. scitamineum, $S$. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi were compared. To obtain more reliable results, genomes of M. globosa, P. flocculosa and M. pennsylvanicum were in addition used in an all-against-all blastp search. Orphan genes resulting from this step were further verified by using them as query in a tblastn search against the non-redundant database of NCBI.

As a result, most orphan genes (in total 108 orphan genes among which 28 encode a predicted secreted protein) were found in P. flocculosa. This species is presumed to have lost its ability to colonize plants and is used as biocontrol agent (Lefebvre et al., 2013). If $P$. flocculosa is indeed unable to infect plants, this finding would indicate that orphan genes are not specifically involved in pathogenicity. To explain the relative high number of orphan genes one could propose that the non-pathogenic life style of $P$. flocculosa might release evolutionary constraints on effector genes, since they do not need to evolve in response to changes of host targets any more. Therefore, previous effector genes of P. flocculosa might be free to accumulate mutations, which could diversify them to such an extent that orthologous genes are not detectable anymore. However, this neutral evolution would likely lead to the formation of pseudogenes, which should be frequently lost due to genetic drift (Francino, 2005) and should therefore not be detected in the genome. Thus, it is likely that the detected orphan genes still have a function. For example, they could be involved in the antagonistic interaction between P. flocculosa and powdery mildews, which is a unique trait of this species. This antagonism could be carried out at the level of secreted orphan proteins. Cytoplasmic orphan proteins could also contribute to this trait by acting in synthesis pathways of secondary metabolites.

A comparable number of orphan genes was found in the plant pathogens M. pennsylvanicum (in total 89 candidates among which 4 encode predicted secreted proteins), U. maydis (87/7) and $U$. hordei (73/4), which suggests that the adaptation of $M$. pennsylvanicum to
a dicot host is not predominantly carried out by de novo gene birth.
Population data of 22 U. maydis strains showed that most orphan genes of this species are present in all 22 strains. This indicates that orphan genes contribute to important functions in natural environments. If their presence would be neutral, one would expect that more U. maydis strains lost these genes. So far, only one of the orphan genes discovered in the present study (um12217) was shown to play a role in virulence (Schilling et al., 2014). The contribution of other candidates remains to be elucidated. It is attractive to propose a contribution of orphan genes to the formation of leaf tumors, which is a unique characteristic of $U$. maydis. This could be investigated by genome comparisons with the related species Ustilago trichophora, which can also induce leaf tumors on its host plant Echinochloa sp. (Tsukamot et al., 1999). Detecting homologous sequences to the $U$. maydis orphans would dismiss the idea of true orphan genes, but could reveal insights in leaf tumor formation and potentially convergent evolution. In addition, it would be interesting to express orphan genes of $U$. maydis in $S$. reilianum f. sp. zeae and to assess whether such transformants might then induce tumors also in leaves or stems of infected maize plants.

The human pathogen M. globosa shows a lower number of orphan genes compared to the plant and non-pathogenic species in this group (in total 52 candidate genes of which 4 encode secreted proteins). If the reduction of orphan genes is related to its life style as human pathogen and whether the inferred orphan genes are involved in pathogenic traits remains to be elucidated. Due to their lower divergence, only few orphan genes could be detected in Sporisorium species ( $21 / 1,8 / 1$ and 0 , respectively). The low number of total orphan genes and candidates encoding predicted secreted proteins suggests that orphan genes do not play a major role in plant colonization of these species.

### 3.2 Genes under positive selection in smut fungi

Besides creating new genes, polymorphisms in existing alleles are another source of genetic novelty. To detect genes under positive selection in $U$. hordei, U. maydis, S. scitamineum, $S$. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi, families of homologous sequences were built and a non-homogenous branch model of sequence evolution (Nielsen \& Yang, 1998) was applied. It turned out that most candidate genes under positive selection were found in the two very closely related pathovariants $S$. reilianum f. sp. zeae (in total 84 genes) and $S$. reilianum f. sp. sorghi (in total 111 genes). In contrast, only few genes under positive selection were detected in the more distantly related species S. scitamineum ( 7 genes) and $U$. maydis ( 2 genes). However, 49 genes were found under positive selection in $U$. hordei, the most distantly related species in the group of smuts compared here. This contradictory finding can be explained by proposing different origins of genes under positive selection in these species. In $U$. hordei, several species-specific expansions of families could be inferred and 36 genes under positive selection are found in such families. Therefore, po-
sitive selection in $U$. hordei is predominantly found between paralogous genes. In contrast, genes under positive selection in the other four species belong to families of orthologous sequences. Because speciation times of $U$. maydis and S. scitamineum are large, many synonymous mutations could accumulate over time. This leads to saturation of the codon evolution model, as the high number of synonymous mutations results in $d_{N} / d_{S}$ ratios lower than 1 , even if non-synonymous mutations have occurred. Since the two pathovariants of $S$. reilianum are more than $98 \%$ identical on the nucleotide level, saturation does not occur and most genes under positive selection are found in these species ( 84 and 111 candidates, respectively). The very high level of sequence diversity also prevented detection of positive selection in orthologues of avirulence homolog (Avh) genes in Phytophthora sojae and Phytophthora ramorum. Therefore, the authors restricted the inference of positive selection to paralogous sequences (Jiang et al., 2008).

In $U$. hordei and the two formae speciales of $S$. reilianum, genes encoding predicted secreted proteins were found to be about three times over-represented in the set of positively selected genes compared to the set of genes without signs of accelerated evolution. This finding illustrates that secreted proteins are more likely under positive selection, consistent with their potential role in host interactions. This trend was also reported in other plant pathogenic fungi like poplar leaf rusts (Joly et al., 2010) and the barley and wheat powdery mildews (Hacquard et al., 2013; Wicker et al., 2013). Together, these results highlight the importance of positive selection of putative effectors for successful plant colonization.

Although the group of positively selected genes is enriched for predicted secreted proteins, the majority of positively selected genes in $U$. hordei, $S$. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi does not encode secreted proteins. Despite wrong annotations, false negative predictions and the possibility of unconventional secretion, the respective proteins could be truly functioning in the cytoplasm. This role could for example include the production of secondary metabolites, which have been shown to be associated with host plant penetration and establishment of biotrophy (O'Connell et al., 2012). Cytoplasmic proteins could also play important roles apart from pathogenicity. Such traits could for example involve the efficient competition with microorganism colonizing the same host plant. Since plants are colonized by numerous microorganisms (Vorholt, 2012), it is likely that smut fungi have to compete with a variety of microbial species. For example, smut fungi are likely suppressing the presence of pathogens with a necrotrophic life style. Necrotrophic fungi kill their host plant during colonization, which is in strong contrast to biotrophic interactions established by smut fungi. Initial experiments revealed a transcriptional and metabolic response of $U$. maydis to the presence of the hemibiotroph Fusarium verticillioides in axenic culture (Jonkers et al., 2012). Interestingly, U. maydis and F. verticillioides are in nature frequently found in the same maize plant and even in the same tissue (Pan et al., 2008). Maize plants co-infected with $U$. maydis and an endophytic strain of $F$. verticillioides grow larger compared to plants infected only with $U$. maydis. This suggests that $F$. verticillioides
reduces pathogenicity of $U$. maydis. Furthermore, biomass of $U$. maydis was 20 - to 60 -fold lower in co-inoculation experiments compared to its biomass when infected alone (Rodriguez Estrada et al., 2012).

Fungi can also serve as hosts for viral infections (Ghabrial \& Suzuki, 2009; Drinnenberg et al., 2011) and it is likely that targets of such infections are also found under positive selection. Furthermore, one could speculate that targets of natural and anthropogenic fungicides are also under positive selection.

Positively selected genes can also contribute to speciation processes. The cocktail of effectors allowing adaptation to a certain host plant can vary between strains. This could lead to strain isolation on different hosts. Hence, they can be drivers of ecological specialization and eventually speciation, because hybrids of strains adapted to different host plant might be less fit on either plant (Giraud et al., 2010). It would be interesting to elucidate whether this is true for the two S . reilianum strains. If hybridization of $S$. reilianum f . sp. zeae and S. reilianum f. sp. sorghi leads to offsprings that are less fit on either maize or Sorghum compared to their parents, this would suggest that hybrids are counter selected. Despite effectors, genes underlying mating, fusion of hyphae or dikaryon formation and maintenance can also contribute to speciation. It is conceivable that this trait is also carried out by positively selected genes, because it is likely that changes in protein structure play a role in ensuring incompatibility between strains.

### 3.3 Virulence function of putative effector proteins under positive selection

Genes showing signs of positive selection are assumed to play important roles in adaptations to the environment of an organism. Positive selection occurring in plant pathogens is attributed to an arms race carried out between effectors and their plant targets (Bishop et al., 2000; Rohmer et al., 2004; Liu et al. 2005; Stukenbrock \& McDonald, 2007; Hacquard et al., 2012). Therefore, secreted proteins found under positive selection are expected to play important roles in plant colonization.

In the present study, the contribution to virulence of single positively selected genes as well as gene clusters containing positively selected members was assessed by creating deletion mutants. Most genes under positive selection were found in the two pathovariants of $S$. reilianum. A solopathogenic strain of $S$. reilianum f. sp. zeae (JS161) was created previously, which eases genetic manipulations (Schirawski et al., 2010). Therefore, this strain was used to elucidate virulence functions of potential effectors. Among strains carrying single gene deletions, only one candidate (sr10529; srmpit2) showed a strong contribution to virulence. This was also observed when deletion mutants of compatible wild type $S$. reilianum f. sp. zeae strains were analyzed. Importantly, no spore formation could be observed in deletion
strains. srmpit2 is homologous to the well characterized gene pit2 of $U$. maydis, where it encodes an inhibitor of a group of salicylic acid-induced papain-like cysteine proteases of maize (Müller et al., 2013). pit2 deletion mutants in the solopathogenic U. maydis strain SG200 show also a drastic reduction of virulence (Döhlemann et al., 2011). Interestingly, a recent study revealed that pit2 is also found in $U$. hordei and M. pennsylvanicum, but absent in M. globosa and Ceraceosorus bombacis, an early lineage of smut fungi and a pathogen of cotton trees (Sharma et al., 2015). This advances the idea that pit2 was gained in the ancestor of $U$. hordei and M. pennsylvanicum and plays an important role in virulence in descendent species. Intriguingly, the effector proteins Tin3 and Stp1 of U. maydis are in vitro also capable of inhibiting the same class of PLCPs as Pit2. However, their overall effect on virulence upon deletion varies greatly (N. Neidig, T. Brefort and R. Kahmann, unpublished; K. Schipper, L. Liang and R. Kahmann, unpublished). Besides secreting effector proteins, $U$. maydis employs another strategy to inhibit PLCPs. Infections with SG200 were shown to transcriptionally activate a maize cystatine, which also inhibits PLCPs (van der Linde et al., 2012). PLCPs were also identified as effector targets in other plant pathogens. For example, the tomato pathogen Cladosprium fulvum secrets the effector Avr2, which inhibits the apoplastic PLCP Rcr3 of tomato (Rooney et al., 2005). Moreover, the nematode Globodera rostochiensis pathotype Ro-1-Mierenbos employs the effector Gr-VAP1 to also inhibit Rcr3 (Lozano-Torres et al., 2012). Together, these results illustrate the importance of inhibiting PLCPs as prerequisite for pathogenicity in a variety of species.

In contrast to the striking virulence phenotype of srmpit2 deletion mutants, seven deletions of single positively selected genes did not alter virulence. This finding can be in part attributed to the presence of paralogues: sr10182 has 12 and sr14347 has five paralogues. The other genes (sr10059, sr12968, sr14558, sr14944 and sr12897) do not have paralogues (blastp e-value cutoff: 0.001). Nevertheless, these could be genes with redundant functions by acting in the same pathways that are targeted by other effectors. It could also be that effects on virulence are not apparent in the solopathogenic strain used here. This strain causes significantly reduced disease symptoms compared to crossings of compatible wild type strains. In particular, spore formation is only very rarely observed in JS161 (Schirawski et al., 2010). In addition, a virulence phenotype could only be detectable in changes of plant physiological states. This was for example observed in deletion mutants of the chorismate mutase of $U$. maydis (cmu1). cmu1 deletion mutants have only a weak macroscopic virulence phenotype, but infected maize plants show greatly elevated levels of salicylic acid, a plant hormone typically acting against biotrophs (Djamei et al., 2011).

Some of the positively selected genes are located in clusters. Clusters contain paralogous sequences, which could have similar functions (Kämper et al., 2006; Schirawski et al., 2010; Dutheil et al., in preparation). Therefore, entire cluster deletions were created in a first step. The contribution to virulence of each gene could then be assessed by complementing the cluster deletion strain with individual genes. Deletion of cluster 10-15 (sr11226-sr11240)
in JS161 resulted in an almost complete absence of virulence. This cluster is homologous to the $U$. maydis-cluster 10A, whose deletion in SG200 also leads to a great decrease of virulence symptoms (Kämper et al., 2006). Homologues of the positively selected genes in S. reilianum f. sp. zeae (sr11233, sr11237, sr11239.2 and sr11240) are also found under positive selection in $S$. reilianum f. sp. sorghi. This result suggests that the virulence function of this cluster is conserved across smut fungi. To which extent each gene under positive selection contributes to the virulence phenotype of the cluster deletion remains to be investigated. Deletion of cluster 5-18 (sr13421-sr13413), which contains the positively selected genes sr13419 and sr13415 as well as deleteion of cluster 1-32 (sr12084-sr12087), which contains the positively selected gene sr1084 resulted in increased virulence. Notably, deletions of regions in $U$. maydis homologous to these clusters did not affect virulence (Schirawski et al., 2010; this work). This could suggest that the virulence regulating function of this group of effectors is ancestral and specifically lost in $U$. maydis. It is tempting to speculate that this loss could add to the ability of $U$. maydis of inducing tumors on all aerial parts of the plant. Alternatively, the new virulence function was gained in $S$. scitamineum after the speciation from $U$. maydis or even only recently in $S$. reilianum, potentially accompanied by the occurrence of positive selection. This is supported by the finding that the genes srs_13419 and srs_ 13415 of S. reilianum f. sp. sorghi, which are homologous to the positively selected cluster genes in $S$. reilianum f. sp. zeae, are also found to be under positive selection. Notably, cluster 5-18 of S. reilianum is enlarged compared to the homologous region in $U$. maydis, which could also explain a gain of a function in virulence for this cluster. However, five out of nine genes of this cluster are not predicted to encode secreted proteins; hence, this region was in a recent analysis not considered to constitute a cluster (Dutheil et al., in preparation).

An opposite situation is found for deletion mutants of cluster 20-15 (sr16549-sr16561), which contains the positively selected genes sr16550, sr16553, sr16556 and sr16558. Its deletion did not affect virulence, but deletion of the homologous region in $U$. maydis lead to attenuated pathogenicity (Schirawski et al., 2010). This suggests that the effectors in cluster 20-15 are not required for seedling infections. Moreover, deletions of cluster 2-21 (sr10308sr10318) did also not alter virulence, but deletion mutants of the orthologous cluster 2A in U. maydis resulted in a hypervirulent phenotype (Kämper et al., 2006). This observation was attributed to the presence of the two genes um01239 and um01240, which encode Virulence control protein 1 (Vcp1) and Vcp2 (K. Heidrich, A. Djamei and R. Kahmann, unpublished). The hypervirulent phenotype observed in $U$. maydis was explained by proposing an Avr-like function for Vcp1 and Vcp2, which means that the presence of these effectors attenuates virulence. Alternatively, Vcp1 and Vcp2 could actively restrict the proliferation of $U$. maydis, as heavy colonization might interfere with biotrophic development. The gene sr10317 is found under positive selection in $S$. reilianum f. sp. zeae and is homologous to Vcp1 and Vcp2 in U. maydis. This could suggest that sr10317 evolves towards escaping host
recognition. Deleting cluster 2-21 including sr10317 in JS161 did not affect virulence, which suggests that the encoded effectors do not play a virulence role under green house conditions. Alternatively, if the hypervirulent phenotype in $U$. maydis is explained by regulation of colonization, the missing virulence phenotype of infections with cluster deletion mutants in $S$. reilianum f. sp. zeae would mean that the regulatory effect on growth is not required in this system. It would be interesting to elucidate whether the change of function occurred already after the speciation from $U$. maydis (and is therefore also found in $S$. scitamineum) or only recently in $S$. reilianum. Given that the virulence function is lost after the split with U. maydis, it is not clear if and how the change in function is compensated in Sporisorium species. On the other hand, one could propose a gain of virulence regulation in $U$. maydis, which could in part explain its ability to induce virulence symptoms on all aerial parts of its host plant.

Deletion of cluster 12-15 (sr15146-sr15149) which contains the positively selected genes sr15147 and sr15149 did not reveal a contribution to virulence. Deleting the homologous region in $U$. maydis had also no effect on virulence (K. Münch and R. Kahmann, unpublished). The observation that entire cluster deletions do not affect virulence was previously reported (Kämper et al., 2006; Schirawski et al., 2010). These studies used seedling infections to elucidate a virulence function for cluster genes. Although no virulence phenotype could be observed in these experiments, it could well be that some effectors contribute to virulence in specific organs. Indeed, recent studies elucidated that some effectors of $U$. maydis function in an organ-specific manner (Skibbe et al., 2010; Schilling et al., 2014; Redkar et al., 2015). This suggests that deletion mutants show a virulence phenotype only in certain organs and potentially only in specific tissues. Furthermore, these secreted molecules are potentially not contributing to virulence or are not needed under greenhouse conditions. It could also be that some effectors are obsolete for infections of domesticated maize plants in agricultural environments by $U$. maydis and $S$. reilianum f. sp. zeae. This may be explained by the intriguing speculation that these effectors were necessary for the successful colonization of teosinte, the wild progenitor of maize, but are dispensable for the infection of modern maize varieties. In addition, secreted proteins under positive selection can have a role not directly related to pathogenicity, like competing with other microbes present on the host plant.

A recent study addressing positively selected genes in Z. tritici could show that positively selected genes contribute to virulence in this species (Poppe et al., 2015). In other cases, the investigated organisms where positively selected genes were identified cannot be grown in axenic culture or are not accessible for stable genetic manipulations. Some studies try to circumvent this problem by employing indirect approaches. For example, Kemen et al. (2011) expressed candidate effector genes of the Arabidopsis-pathogen Albugo laibachii in Pseudomonas syringae pv. tomato DC3000. To assess a potential virulence function of effectors in this heterologous system, growth rates were monitored. Another study used

Agrobacterium-infiltration for expression of candidate genes of Phytophthora sojae in Nicotiana benthamiana, where a hypersensitive response reaction could be detected (Win et al., 2007). Although these studies made a contribution of positively selected candidate effectors to virulence likely, they allow only limited conclusions about the natural infection situation. Therefore, a global picture showing which fraction of positively selected genes contributes to virulence is missing.

### 3.4 Do putative effector proteins under positive selection play a role in host specificity?

It is conceivable that effector proteins not only play roles in coping with plant immune responses or in virulence, but also in determining the host range of fungal plant pathogens. The effector gene srmpit2 shows strong signs of positive selection $(\omega=31.147)$ and its deletion lead to a strong reduction in virulence. One could speculate that SrMPit2 and its homolog in S. reilianum f. sp. sorghi SrSPit2 contribute to host specificity by efficiently inhibiting cysteine proteases of maize and Sorghum, respectively, but less efficiently of their non-host plants (Figure 3.1).


Figure 3.1: Model explaining a potential role for Pit2-orthologues in host specificity. Pit2 of $S$. reilianum f. sp. zeae (SrMPit2) can efficiently inhibit papain-like cysteine proteases (PLCPs) of its host plant maize (indicated by a thick red line). Similarly, Pit2 of S. reilianum f. sp. sorghi (SrSPit2) efficiently inhibits PLCPs of its host Sorghum (indicated by a thick red line). However, they inhibit PLCPs of their respective non-host plants to less extent (indicated by thin red lines).

To address this idea, the strength of interactions between the maize PLCPs XCP2, CP1A and CP1B and the Pit2-orthologues of U. maydis (UmPit2), S. reilianum f. sp. zeae (SrMPit2) and S. reilianum f. sp. sorghi (SrSPit2) was determined using a yeast-2-hybrid system. Surprisingly, the interaction between maize PLCPs and SrSPit2 was stronger than the interaction between maize PLCPs and SrMPit2. These preliminary results need to be corroborated by in vitro inhibition assays using purified proteins. If consistent results can be obtained, this finding could be explained by assuming that successful plant colonization requires fine meditated inhibition of PLCPs and that a too strong inhibition counteracts
biotrophic establishment of $S$. reilianum. Alternatively, the result obtained in the yeast-2-hybrid analysis does not reflect the true inhibition capability of SrMPit2 and SrsPit2 of PLCPs in planta. To address the contribution of SrMPit2 and SrSPit2 to host specificity strains in which the two alleles of pit2 are exchanged between $S$. reilianum f. sp. zeae and S. reilianum f. sp. sorghi are currently generated. If Pit2 plays a role in host specificity, strains expressing the endogenous pit2 gene are expected to cause more virulence symptoms than strains expressing the orthologous pit2 gene.

Interestingly, a contribution of positively selected cysteine protease inhibitors to host specialization was reported in Phytophthora mirabilis and Phytophthora infestans. Their orthologous effectors epiC1 and PmepiC1 inhibit efficiently the PLCPs of their respective host plants Solanum sp. (RCR3) and Mirabilis jalapa (MRP2), RCR3 and MRP2, but not the PLCPs of the respective non-host plant. Although the differences in inhibition efficiency were only shown in vitro, this suggests a role in host specificity for inhibitors of PLCPs in Phytophthora sp. (Dong et al., 2014).

Besides interacting with important host targets, effectors contributing to host specificity are expected to be expressed at initial stages of infection, because it seems likely that the success of a plant-pathogen interaction is decided early. The recent finding that pit2 in U. maydis is Msb2/Sho1-dependently induced in vitro by hydrophobic surfaces and/or hydroxy fatty acids suggests that Pit2 is indeed already needed early on for a successful plant colonization (Lanver et al., 2014). This further supports the idea that Pit2-orthologs might contribute to host specialization.

A role of effector proteins under positive selection in host specialization was found in the wheat pathogen $Z$. tritici. Individual deletions of two effector genes in $Z$. tritici resulted in reduced pycnidia formation. One of the deletion mutants was complemented when expressing the orthologous gene of Zymoseptoria ardabiliae in this strain. In contrast, expressing orthologous genes of Zymoseptoria pseudotritici did not restore pycnidia formation (Poppe et al.,2015). This finding suggests that positively selected effectors can play a role in host specificity of plant pathogenic fungi.

### 3.5 Limitations of detecting positive selection as approach to identify virulence factors

In order to infer positive selection, families of homologous proteins were composed based on sequence identity and coverage cutoffs. Obtaining precise alignments is crucial for reliable reconstruction of phylogenies and eventually for the detection of positive selection (Schneider et al., 2009; Jordan \& Goldman, 2012). Since similar sequences can be aligned with higher confidence, rather strict settings for detection of homologies were applied. In addition, only alignment sites consistently found by two independent alignment programs were considered.

In this way, false positive predictions are avoided. However, this could be at the cost of missing biologically important candidate genes, because they were not considered for analysis under these settings, but could nevertheless play important roles in pathogenicity.

Scanning for positive selection as approach for the detection of virulence factors has another shortcoming: the inferred $\omega$-value is an average value for a branch in a phylogeny. If a gene encodes a protein consisting of two domains, where one is under positive and one is under purifying selection, the global $\omega$-value can be lower than or equal to 1 , even though one domain shows an access of non-synonymous mutations. In addition, genes that begin to accumulate non-synonymous mutations are also missed in this approach, because the according $\omega$-value does not yet exceed 1. Likewise, genes that have been under positive selection, but were subsequently under purifying selection, could show an $\omega$-value below 1 , and these genes are also not displayed as positively selected.

The used data set consists of very closely related species (the two pathovariants of $S$. reilianum show a genome identity of more than $98 \%$ ), but also of distantly related species (the genome identity between $U$. hordei and $S$. reilianum f. sp. zeae is only about $70 \%$ ). The largest number of positively selected genes was found in the pathovariants of $S$. reilianum, whereas almost no candidate genes were detected in $S$. scitamineum and $U$. maydis. This finding can be attributed to model saturation. It has been suggested that this problem could be solved by distinguishing radical and conservative amino acid replacements according to the physico-chemical properties of the original and substituted amino acid (Hughes et al., 1990). The ratio of radical vs. conservative amino acid substitutions could also be used to scan for proteins involved in adaptation processes, because radical replacements are more likely to change or improve the function of a protein. However, this approach also has drawbacks, because the ratio is not only shaped by selection, but for example also by codon usage bias or transition vs. transversion ratios (Dagan et al., 2002). In addition, even small changes of amino acid characteristics can provide a fitness advantage, but they will not be considered in this approach. Alternatively, population data of each species could be employed for the detection of positive selection and selection pressures of orthologous genes could be contrasted. Moreover, it would be interesting to have sequence data of additional species branching between $U$. hordei, $U$. maydis and $S$. scitamineum in a phylogenetic tree, because this sequence information would help to reduce the problem of large nucleotide divergences between species.

The present study aimed to identify single nucleotide polymorphisms under positive selection between homologous genes. Such genes are thought to evolve in an 'arms race'. Despite molecular arms races, effectors and their plant targets can also be engaged in 'trench warfare'. In this model, alleles of effectors and plant targets do not change over time (as in an arms race), but are preserved in a population in oscillating frequencies. In a simple model, which assumes that host plant resistance is carried out by $R$ genes and that biotrophic pathogens are virulent if either an avr gene or its cognate $R$ gene is missing, rare alleles are
advantageous. If a plant genome contains an efficient $R$ gene against pathogens, this allele will spread in the plant population. In turn, pathogens encoding a corresponding avr gene will show reduced fitness, because presence of the avr gene induces cell death. Therefore, pathogens in a population that do not encode that avr gene are favored. The low frequency of this avr gene in a population leads to a lower frequency of the cognate $R$ in the host population, because its presence does not confer an advantage. If the frequency of a $R$ gene is low, the low fraction of pathogens in a population which encode the corresponding avr gene have an advantage. In this way, resistance and susceptible alleles can persist over millions of years (Brown \& Tellier, 2011). This type of selection was for example described in the Puccinia chondrillina - Chondrilla juncea (nakedweed) pathosystem (Chaboudez \& Burdon, 1995), for Linum - Melampsora interactions (Thrall et al., 2012) and for the rpm1 locus of Arabidopsis species (Stahl et al., 1infinite). While 'trench warfare' maybe common in natural ecosystems, agricultural habitats likely underlie selection of the 'arms race' model type, because susceptible plant cultivars will not be planted in fields anymore (Brown \& Tellier, 2011). The smut fungi investigated in the study presented here are pathogens in agricultural ecosystems. This indicates that 'arms race' should predominate selection for pathogenicity and resistance. Nevertheless, it cannot be excluded that some effectors evolve according to 'trench warfare'.

This study aimed to uncover protein coding genes contributing to virulence. However, differences in virulence and potentially host specificity are not necessarily reflected at the level of protein sequences, but can also evolve at the level of regulation of gene expressions. Studies from model organisms like yeast and Drosophila showed that natural selection also affects large parts of the non-coding genome (Emerson et al., 2010; Haddrill et al., 2008). In a recent study, Rech et al. (2014) employed eight strains of the hemibiotrophic maize pathogen Colletotrichum graminicola to highlight selective pressures acting on different genomic regions. Five non-coding regions were distinguished: 5 ' and 3 ' untranslated regions (UTRs), 5 ' and 3 ' up- and downstream regions, respectively, and introns. Selection in these regions was identified by comparing the rate of nucleotide substitutions in these regions and the rate of synonymous substitutions in adjacent coding regions. It turned out that 3'UTRs with signs of positive selection are predominantly associated with predicted secreted proteins, which could function as effectors. Therefore, strains encoding an identical effector gene, but with regulatory sequences under positive selection could still show variations in virulence. It would be interesting to elucidate whether similar patterns can be also found in smut fungi.

## 4. Material and Methods

### 4.1 Materials

### 4.1.1 Chemicals

All chemicals used in this study were obtained in the desired purity mainly from the companies Difco (Augsburg), Merck (Darmstadt), Roth (Karlsruhe) and Sigma-Aldrich (Deisenhofen).

### 4.1.2 Media and buffer

All media and buffers used in this study are listed under the respective method. If required, media and buffer were autoclaved ( $5 \mathrm{~min}, 121^{\circ} \mathrm{C}$ ) or, in case of heat-sensitive material, filter sterilized (pore size: $0.2 \mu \mathrm{~m}$; Life Technologies, Darmstadt).

### 4.1.3 Enzymes and antibodies

Restriction enzymes were obtained from New England Biolabs (Frankfurt). Phusion DNA polymerase F-530L (Thermofisher Scientific, Braunschweig) was used for polymerase chain reaction (PCR). Ligation of DNA molecules was performed using T4 DNA ligase (Roche, Mannheim). Digestion of fungal cell wall was done using Novocyme 234 (Novo Nordisc; Copenhagen, Denmark). Primary antibodies were obtained from Sigma-Aldrich and horse radish peroxidase-conjugated secondary antibodies from Cell Signaling Technology (Danver, USA).

### 4.1.4 Kits

Purifying of DNA fragments and PCR products from agarose gels was done with the Wizard SV Gel and PCR Clean-Up System (Promega, Mannheim). Plasmids were purified by employing the QIAprep Mini Plasmid Kit (Quiagen,, Hilden). Special kits are mentioned with the respective methods.

### 4.2 Cell culture

### 4.2.1 Cultivation of Escherichia coli

E. coli strains were grown in dYT at $37^{\circ} \mathrm{C}$ and 200 rmp . Antibiotics were added as selection marker when needed (Ampicillin, $100 \mu \mathrm{~g} / \mathrm{mL}$; Kanamycin $40 \mu \mathrm{~g} / \mathrm{mL}$ ).

| dYT liquid medium: | $1.6 \%(\mathrm{w} / \mathrm{v})$ Trypton-Pepton |
| :--- | :--- |
|  | $1.0 \%(\mathrm{w} / \mathrm{v})$ Yeast Extract |
|  | $0.5 \%(\mathrm{w} / \mathrm{v}) \mathrm{NaCl}$ |
| in $\mathrm{H}_{2} \mathrm{O}_{\text {bid }}$. |  |
| YT solid medium: | $0.8 \%(\mathrm{w} / \mathrm{v})$ Trypton-Pepton |
|  | $0.5 \%(\mathrm{w} / \mathrm{v})$ Yeast Extract |
|  | $0.5 \%(\mathrm{w} / \mathrm{v}) \mathrm{NaCl}$ |
| $1.3 \%(\mathrm{w} / \mathrm{v})$ Bactoagar |  |
|  | in $\mathrm{H}_{2} \mathrm{O}_{\text {bid }}$. |

### 4.2.2 Cultivation of Saccharomyces cerevisiae and yeast-2-hybrid analysis

S. cerevisiae was grown at $28^{\circ} \mathrm{C}$, (liquid cultures with shaking: 200 rpm ) under aerobic conditions. YEPD was used as complete medium to maintain strains. Auxotrophic mutant strains were grown in SD medium supplemented with the respective amino acids.

| YEPD medium: | 2.0 \% (w/v) Pepton |
| :---: | :---: |
|  | $1 \%$ (w/v) Yeast Extract |
|  | [solid medium: $2 \%$ (w/v) Bactoagar] |
|  | in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$ |
| SD medium: | $0.67 \%$ (w/v) Yeast Nitrogen Base w/o amino acids |
|  | 0.16 \% (w/v) DO supplements w/o adenine, histidine, leucine |
|  | and tryptophan (Clontech) |
|  | 2.0 \% glucose (after autoclaving) |
|  | [solid medium: $2 \%$ (w/v) Bactoagar] |
|  | in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$ |

For yeast-2-hybrid analyses, a single $S$. cerevisiae colony was grown over night in SD medium at $28^{\circ} \mathrm{C}, 200 \mathrm{rpm}$. This preculture was used to inoculate a main culture at an $\mathrm{OD}_{600}$ of 0.1 in SD medium. This culture was grown to an $\mathrm{OD}_{600}$ of 0.4 to 0.7 and adjusted with $\mathrm{H}_{2} \mathrm{O}_{\text {bid }}$. to an $\mathrm{OD}_{600}$ of 1.0. This cell suspension was used for serial 1:10 dilutions up to 1:1000 and $6 \mu \mathrm{~L}$ of each dilution were dropped on SD solid medium, which selected for the presence of the transformed plasmids (low stringency; SD without leucine and without tryptophan) or selected in addition for interaction (high stringency; SD without leucin, tryptophan, adenine and histitdine). To further increase stringency, 0.1 mM 3 -amin-otriazole (3-AT) or 0.5 mM 3 -AT were added. Incubation occured at $28^{\circ} \mathrm{C}$. The result was documented after 3 days by photography.

### 4.2.3 Cultivation of Ustilago maydis and Sporisorium reilianum

U. maydis was grown in $\mathrm{YEPS}_{\mathrm{L}}$ liquid medium at $28^{\circ} \mathrm{C}$ and 200 rpm . Potato-Dextrose (PD) agar plates were used as solid medium. Selection markers were added when needed (Hygromycin: $200 \mu \mathrm{~g} / \mathrm{mL}$; Geneticin: $50 \mu \mathrm{~g} / \mathrm{mL}$ ). Glycerol stocks for long term storage at $-80^{\circ} \mathrm{C}$ were created by mixing a dense liquid culture with NSY-Glycerin (1:1).

| YEPS | $1.0 \%(\mathrm{w} / \mathrm{v})$ Yeast Extract |
| :--- | :--- |
| (modified from Tsukuda et al., 1988) | $1.0 \%(\mathrm{w} / \mathrm{v})$ Peptone |
|  | $1.0 \%(\mathrm{w} / \mathrm{v})$ Saccharose |
|  | in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$ |
|  |  |
| PD solid medium: | $3.9 \%(\mathrm{w} / \mathrm{v})$ Potato Dextrose Agar |
|  | $1.0 \%(\mathrm{v} / \mathrm{v}) 1$ M Tris- $\mathrm{HCl}(\mathrm{pH} 8.0)$ |
|  | in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$ |
|  |  |
| NSY-Glycerin: | $0.8 \%(\mathrm{w} / \mathrm{v})$ Nutrient Broth |
|  | $0.1 \%(\mathrm{w} / \mathrm{v})$ Yeast Extract |
|  | $0.5 \%(\mathrm{w} / \mathrm{v})$ Saccharose |
|  | $69.6 \%(\mathrm{v} / \mathrm{v})$ Glycerin |
|  | in $\mathrm{H}_{2} \mathrm{O}_{\text {bid }}$. |

### 4.2.4 Estimation of cell density

Cell densities of liquid cultures were estimated by using a photometer (Ultrospec 3000pro, Biochrom) at a wave length of $600 \mathrm{~nm}\left(\mathrm{OD}_{600}\right)$. To ensure measurements of linear scale, cultures were diluted to an $\mathrm{OD}_{600}$ between 0.3 and 0.8 when needed. The respective medium was used as reference. Cultures of $U$. maydis and $S$. cerevisiae with an $\mathrm{OD}_{600}$ of 1.0 have about $1-5 \cdot 10^{7}$ cells $/ \mathrm{mL}$.

### 4.3 Strains, oligonucleotides and plasmids

### 4.3.1 E. coli strains

All experiments were performed with the strain TOP10 (Invitrogen, Karlsruhe), which is a derivative of $E$. coli K12. It has the following genotype:
$\mathrm{F}^{-}, m c r \mathrm{~A}, \Delta(m r r-h s d \mathrm{RMS}-m c r \mathrm{BC}), \phi 80 \mathrm{lacZ} \Delta \mathrm{M} 15, \Delta l a c \mathrm{X} 74, d e o \mathrm{R}$, recA1, araD139, $\Delta$ (araleu)7697, galU, galK, rpsL(StrR), endA1, nupG

## 4．3．2 S．cerevisiae strains

The yeast－2－hybrid experiments were performed with the strain AH109（Clonetech）．It has the following genotype：
MATa trp1－901 leu2－3 ura3－52 his3－200 gal4 $\Delta$ gal80 LYS2：：GAL1 $_{\text {UAS }}$ GAL1 $_{\text {TATA }}-H I S 3$ GAL2 ${ }_{\text {UAS }}$ GAL1 $_{\text {TATA }}-$ ADE2 URA3：：MEL1 UAS $^{-M E L 1} 1_{\text {TATA }}$－lacZ

## 4．3．3 U．maydis and $S$ ．reilianum strains

All strains used in this study are listed in Table 4．1．Deletion mutants were created by replacing the gene（s）of interest with a resistance cassette according to Kämper（2004）．All strains were verified by Southern analysis．

Table 4．1：Strains of $U$ ．maydis and $S$ ．reilianum f．sp．zeae used in this study

| Strain | Genotype | Resistance ${ }^{1}$ | Reference／Source |
| :---: | :---: | :---: | :---: |
| SG200 | a1：mfa2 bE1 bW2 | P | Kämper et al．， 2006 |
| SG200 4 Um00792－Um00795 | a1：mfa2 bE1 BW2 um00792－um00795：：hyg | P，H | N．Rössel |
| SG200 ${ }^{\text {U Um02193 }}$ | a1：mfa2 bE1 bW2 um02193：：hyg | P，H | this work |
| S．reilianum f．sp．zeae SRZ 5－1（JS60；sequenced） | a2 b2 | － | Schirawski et al．， 2005 |
| S．reilianum f．sp．zeae SRZ 5－2（JS61） | a1 b1 | － | Schirawski et al．， 2005 |
| JS60 $\Delta$ SrPit2 | a2 b2 sr10529：：G418 | G | this work |
|  | a1 b1 sr10529：：G418 | G | this work |
| JS161 | a1 mfa2．1 bW1 bE2 | P | Schirawski et al．， 2010 |
|  | a1 mfa2．1 bW1 bE2 sr10529：：hyg | P，H | K．Münch |
| JS161的 Sr10059 | a1 mfa2．1 bW1 bE2 sr10529：：G418 | P，G | K．Münch |
| JS161去r10182 | a1 mfa2．1 bW1 bE2 sr10182：：G418 | P，G | K．Münch |
| JS161歽12968 | a1 mfa2．1 bW1 bE2 sr12968：：G418 | P，G | K．Münch |
|  | a1 mfa2．1 bW1 bE2 sr14558：：G418 | P，G | K．Münch |
| JS161 $\Delta$ Sr14944 | a1 mfa2．1 bW1 bE2 sr14944： $\mathrm{G}_{4} 18$ | P，G | K．Münch |
| JS161 $\Delta$ Sr14347 | a1 mfa2．1 bW1 bE2 sr14347：：G418 | P，G | K．Münch |
| JS161 ${ }^{\text {Sr12897 }}$ | $a 1$ mfa2．1 bW1 bE2 sr12897：：G418 | P，G | K．Münch |
|  | a1 mfa2．1 bW1 bE2 <br> sr12084－sr12087：：G418 | P，G | K．Münch |
| JS161佔13421－Sr13413 | a1 mfa2．1 bW1 bE2 <br> sr13421－sr13413：：G418 | P，G | K．Münch |
| JS161佔11226－Sr11240 | a1 mfa2．1 bW1 bE2 <br> sr11226－sr11240：：G418 | P，G | K．Münch |
| JS161酑15149－Sr15147 | a1 mfa2．1 bW1 bE2 sr15149－sr15147：：G418 | P，G | K．Münch |
|  | a1 mfa2．1 bW1 bE2 <br> sr16549－sr16561：：G418 | P，G | K．Münch |
| JS161歽10308－Sr10318 | a1 mfa2．1 bW1 bE2 <br> sr103108－sr10318：：G418 | P，G | K．Münch |

[^0]
### 4.3.4 Varieties of maize

Maize infections with $S$. reilianum and tassel infections with $U$. maydis strains were done using the dwarf variety 'Gaspe Flint'. For other $U$. maydis infections, the sweet corn variety 'Early Golden Bantam' was used (Olds Seed Company, Madison, USA).

### 4.3.5 Oligonucleotides

All oligonucleotides used in this study are listed in Table 4.2. They were ordered in the quality 'salt free' from Eurofins MWG Operon (Ebersberg). They were used for plasmid construction and sequencing.
Table 4.2: List of oligonucleotides used in this study

| Name | Sequence ( $\left.5^{\prime}-3^{\prime}\right)^{\mathbf{1}}$ | Use ${ }^{2}$ |
| :---: | :---: | :---: |
| um02193 LB fwd | CTTGCCTTGGAGCTTGTTGG | amplifies upstream region of um02193 [F] |
| um02193 LB rev | GATCGGCCATCTAGGCCAGTAGATCCTTGCCTCTTGC | amplifies upstream region of um02193; adds SfiI site [R] |
| um02193 RB fwd | GATCGGCCTGAGTGGCCAAAGGAGGGTGTACAACTCC | amplifies downstream region of um02193; adds SfiI site [F] |
| um02193 RB rev | GGCAACCGTTAACTTGAAGC | amplifies downstream region of um02193 [R] |
| um01375_Y2H_f2 | ACTGCCCGGGTATTCCGGTGCGTCGATCGCTC | amplifies um01375 (Umpit2) w/o signal peptide; adds XmaI site [F] |
| um01375_Y2H_r | AGTCGGATCCTTATTCCCAGATGACCACATCTCC | amplifies um01375 (Umpit2); adds BamHI site [R] |
| sr10529_Y2H_f2 | AGTCCATATGCTGGTCCACTCGGCGCG | amplifies sr10529 (SrMpit2) w/o signal peptide; adds NdeI site [F] |
| sr10529_Y2H_r | AGTCCCCGGGTTAGTGGCTCTTGTACCCAATG | amplifies sr10529 (SrMpit2); adds XmaI site [R] |
| srs10529_Y2H_f2 | ACTGCCCGGGTATACAGATGCCAGCCATGC | amplifies srs10529 (SrSPit2) w/o signal peptide; adds XmaI site [F] |
| srs10529_Y2H_r | AGTCGGATCCTTAGTGGCCCTTGTACCCAAC | amplifies srs10529 (SrSPit2); adds BamHI site [R] |
| sr10529 lb fw | GTTGAACTCGACATGGTGCG | amplifies upstream region of sr10529 [F] |
| sr 10529 lb rev | GATCGGCCATCTAGGCCAAACGGATCGGCATGCAAGG | amplifies upstream region of sr10529; adds SfiI site [R] |
| sr 10529 rb fw | GATCGGCCTGAGTGGCCTGTTTCGCATCATCGCACGG | amplifies downstream region of sr10529; adds SfiI site [F] |
| sr 10529 rb rev | CTTTCCGTCACTCACTTCGC | amplifes downstream region of sr10529 [R] |
| sr10059_lb_fw | GTAACGCCAGGGTTTTCCCAGTCACGACGAATATTT GGGAAGGCTCGAGCCA | amplifies upstream region of sr10059; adds $S s p \mathrm{I}$ site [F] |
| sr10059_lb_rv | GAACTCGCTGGTAGTTACCACGTTCGGCCATCTAGGCC GGTGGATCGAAGGGAAATCG | amplifies upstream region of sr10059; restores SfiI site [R] |
| sr10059_rb_fw | TCCGATGATAAGCTGTCAAACATGAGGCCTGAGTGGC CTGTAAACCAGGCTGCTGCAC | amplifies downstream region of sr10059; restores SfiI site [F] |
| sr10059_rb_rv | GCGGATAACAATTTCACACAGGAAACAGCAATATT CAGCAAAGATGAAGGGTC | amplifies downstream region of sr10059; adds $S s p \mathrm{I}$ site [R] |
| sr10182_lb_fw | GTAACGCCAGGGTTTTCCCAGTCACGACGAATATT GCAGCATGCGAAGGTTG | amplifies upstream region of sr10182; adds $S s p \mathrm{I}$ site [F] |
| sr10182_lb_rv | GAACTCGCTGGTAGTTACCACGTTCGGCCATCTAGGCC TGTCGGTGTCGTCTAGAGAG | amplifies upstream region of sr10182; restores $S f i \mathrm{I}$ site $[\mathrm{R}]$ |
| sr10182_rb_fw | TCCGATGATAAGCTGTCAAACATGAGGCCTGAGTGGCC ATGGGTCTTGCTCGGTTTCC | amplifies downstream region of sr10182; restores SfiI site [F] |

amplifies downstream region of $s r 10182$; adds $S s p \mathrm{I}$ site $[\mathrm{R}]$
amplifies upstream region of $s r 12968$; adds $S s p$ I site $[\mathrm{F}]$
amplifies upstream region of $s r 12968$; restores $S f i \mathrm{I}$ site $[\mathrm{R}]$
amplifies downstream region of $s r 12968$; restores $S f i$ I site $[\mathrm{F}]$
amplifies downstream region of sr12968; adds $S f i \mathrm{I}$ site $[\mathrm{R}]$
amplifies upstream region of sr14558; adds $S s p$ I site $[\mathrm{F}]$

amplifies downstream region ofsr14558; restores SfiI site [F]
amplifies downstream region of $s r 14558$; adds $S s p$ I site $[\mathrm{R}]$

$$
\text { amplifies upstream region of } s r 14944 \text {; adds } S s p \mathrm{I} \text { site }[\mathrm{F}]
$$




$$
\begin{aligned}
& \text { amplifies upstream region of } \operatorname{sr} 14347[\mathrm{~F}] \\
& \text { amplifies upstream region of } \operatorname{sr} 14347[\mathrm{R}] \\
& \text { amplifies downstream region of } 14347[\mathrm{~F}] \\
& \text { amplifies downstream region of } \operatorname{sr} 14347[\mathrm{R}] \\
& \text { amplifies upstream region of sr12897; adds } S s p \text { I site }[\mathrm{F}]
\end{aligned}
$$ GCGGATAACAATTTCACACAGGAAACAGCAATATT TCGGCAGCATCGCACGA

GTAACGCCAGGGTTTTCCCAGTCACGACGAATATT GACGCCTCGAGGCCTTC
GAACTCGCTGGTAGTTACCACGTTCGGCCATCTAGGCC TGGAAGAGAATGAGAGGAGG ICCAA GTCACACCACGACGCTTCAC
GCGGATAACAATTTCACACAGGAAACAGCAATATT TGGGAGACCAAGGCTCG
GTAACGCCAGGGTTTTCCCAGTCACGACGAATATT TCATGTTTGCAGGGTCG
GAACTCGCTGGTAGTTACCACGTTCGGCCATCTAGGCC GGAGAAGCTTGCTTGATGTG
TCCGATGATAAGCTGTCAAACATGAGGCCTGAGTGGCC CGCTCTTTGTCATTTCCTGC
GCGGATAACAATTTCACACAGGAAACAGCAATATT
CAGTGCTCTCCCGTTTC
GTAACGCCAGGGTTTTCCCAGTCACGACGAATATT CTTGGCATCCTGGTCTG
GAACTCGCTGGTAGTTACCACGTTCGGCCATCTAGGCC GCGCTTGTGCTAGGTGAAAG
TCCGATGATAAGCTGTCAAACATGAGGCCTGAGTGGCC GACAACGCGCAGGTTTCATC
GCGGATAACAATTTCACACAGGAAACAGCAATATT
TCGGGTTGCAAAGAAGC
AAAGCCAAGGTTACGACAGC
GATCGGCCATCTAGGCCCAATGTGGTTGTAGGACGGC GATCGGCCTGAGTGCCTGTTCGATGCGACTTGTCGG
GTCCGTCGACATTTCATCGC
GTAACGCCAGGGTTTTCCCAGTCACGACGAATATT
sr10182_rb_rv
sr10182_rb_rv
sr12968 lb_fw
sr12968_lb_rv
sr12968_rb_fw
sr12968_rb_rv
sr14558_lb_fw
sr14558_lb_rv
sr14558_rb_fw
sr14558_rb_rv
sr14944_lb_fw
sr14944_lb_rv
sr14944_rb_fw
sr14944_rb_rv
sr 14347 lb fw sr 14347 lb rev sr 14347 rb fw sr 14347 rb rev sr12897 lb fw2
CGACAAGACGACCACCT
amplifies upstream region of $\operatorname{sr12897}$; restores SfiI site $[\mathrm{R}]$
amplifies downstream region of sr12897; restores SfiI site [F]
 -

amplifies downstream region of $\operatorname{sr12087}$; adds $S s p \mathrm{I}$ site $[\mathrm{F}]$ amplifies downstream region of $\operatorname{sr12087}[\mathrm{R}]$ amplifies upstream region of sr13421[F]
amplifies upstream region of sr11226 $[\mathrm{F}]$GAACTCGCTGGTAGTTACCACGTTCGGCCATCTAGGCCCTTGAACAGAGTAGGATTGG
TCCGATGATAAGCTGTCAAACATGAGGCCTGAGTGGCC GGCTTTCCAGCTCTCGTTGG
GCGGATAACAATTTCACACAGGAAACAGCAATATT CAGCCGCTGCCACTTCT
GTAACGCCAGGGTTTTCCCAGTCACGACGACTCG TTCAGCGCCTTTAGC
GCGGCCGCAATTGTCACGCCATGGTGGCCATCTAGGCC AAGAATCGAGGGGGAAACAG
CTGTAGGAGTGCGGCCGCATTAAT AGGCCTGAGTGGCCATACTGTCGGGTGCCAATGC GCGGATAACAATTTCACACAGGAAACAGCGAGTCTC AACGTTGCTCTGC
GTAACGCCAGGGTTTTCCCAGTCACGACGGTCTC GTGCAGCAATCAACG
GCGGCCGCAATTGTCACGCCATGGTGGCCATCTAGGCC GCCTGACGATGCTTTCTTGG
CTGTAGGAGTGCGGCCGCATTAATAGGCCTGAGTGGCC ACTTTGTTTGCGGCACAAGG
GCGGATAACAATTTCACACAGGAAACAGCTTGCTGAT CAGCCCTTCGAC
GTAACGCCAGGGTTTTCCCAGTCACGACGATG
GACGTATGCGGATCTGC
GCGGCCGCAATTGTCACGCCATGGTGGCCATCTAGGCC AgTGTGTCGTTCGAGGTAGG
CTGTAGGAGTGCGGCCGCATTAATAGGCCTGAGTGGCC TGGGAGAGTCAGCGTGATTC
GCGGATAACAATTTCACACAGGAAACAGCTGAGAGCA GAGACGAGATCG
sr12897 lb rv2
sr12897 rb fw
sr12897 rb rv
sr12084 lb fw
ni qI f80Z[.IS
sr12087 rb fw

sr13421 lb fw
sr13421 lb rv
sr13413 rb fw
sr13413 rb rv
sr11226 lb fw
sr11226 lb rv
sr11240 rb fw
sr11240 rb rv

$$
\text { amplifies downstream region of } \operatorname{sr} 13413[\mathrm{R}]
$$

amplifies upstream region of sr15149; restores SfiI site $[\mathrm{R}]$
amplifies upstream region of $s r 15149$; adds $S s p$ I site [F]
amplifies downstream region of 15146 ; restores $S f i$ I site $[\mathrm{F}]$ amplifies downstream region of 15146 ; restores SfiI site $[\mathrm{F}]$
amplifies downstream region of $\operatorname{sr} 15146[\mathrm{R}]$
amplifies upstream region of $\operatorname{sr16549}[\mathrm{F}]$

amplifies downstream region of $\operatorname{sr16561}$; restores $S f i \mathrm{I}$ site $[\mathrm{F}]$

$$
\text { amplifies downstream region of } \operatorname{sr} 16561[\mathrm{R}]
$$

amplifies upstream region of sr10308 [F]
amplifies upstream region of sr10308; restores SfiI site [R]
amplifies downstream region of $\operatorname{sr} 10318$; restores $S f i \mathrm{I}$ site $[\mathrm{F}]$
amplifies downstream region of $\operatorname{sr10318}[\mathrm{R}]$
GCGGCCGCAATTGTCACGCCATGGTGGCCATCTAGGCC CGTACTCTCGAAACGTTAGC
GTAACGCCAGGGTTTTCCCAGTCACGACGAATATT CTGGGACGCCCAACACG
CTGTAGGAGTGCGGCCGCATTAATAGGCCTGAGTGGCC CCTGGCTGTCGAGTGATTTG
GCGGATAACAATTTCACACAGGAAACAGCTTGGAA GCGAGCTTCGCAAG
GTAACGCCAGGGTTTTCCCAGTCACGACGAGAACG TCTGCAGCGTGTTG
GCGGCCGCAATTGTCACGCCATGGTGGCCATCTAGGCC GCTTGGTGGGATGTTCTTGC
CTGTAGGAGTGCGGCCGCATTAATAGGCCTGAGTGGCC CGCCTCATGTTCACATGTCG
GCGGATAACAATTTCACACAGGAAACAGCGTTCCA CTTTGCCAACATCC GTAACGCCAGGGTTTTCCCAGTCACGACGCTGCGC AGCATGTGTTGAAC
GCGGCCGCAATTGTCACGCCATGGTGGCCATCTAGGCC TCGGATGCGGAAGAGGATGG
CTGTAGGAGTGCGGCCGCATTAATAGGCCGAGTGGCC TACTTTGAAGGGAGGTGTCC GCGGATAACAATTTCACACAGGAAACAGCTGGTATCGC ACTCGACGAGC
${ }^{1}$ Sequence is shown in 5 '-3' orientation; restriction sites are underlined
${ }^{2}$ Oligonucleotides hybridize either with the senes strand $[R]$ or the complementary strand $[F]$

### 4.3.6 Plasmids

All plasmids created in this work are described here. Plasmid sequences were verified by sequencing (Eurofins MWG Operon, Ebersberg). pGBKT $7_{7}$ and its derivatives confer Kanamycin resistance while all other plasmids confer Ampicillin resistance.
pTOPO_sr10529_Genet This plasmid carries the transformation construct for deleting sr10529 in the $S$. reilianum f. sp. zeae wild type strains JS60 and JS61. It was created by replacing the Hygromycin cassette in pTV1 (T. Vellmer, personal communication) with the Geneticin resistance cassette of pUMa1057 (Baumann et al., 2012). In this way, the Geneticin cassette is flanked by the up- and downstream regions of sr10529.
pGADT $_{7}$ (ClonTech; Saint-Germain-en-Laye, France) This plasmid contains a GAL4 activation domain followed by an HA-epitope. It was used to created N-terminal GAL4AD-HA-fustions, which were tested for interaction with different preys in yeast-2-hybrid analysis. The plasmid carries the LEU2 auxotrophy marker.
pGADT $_{\mathbf{7}}-\mathbf{C P 1 A}$, pGADT $_{\mathbf{7}}-\mathbf{C P 1 B}$ and pGADT $_{\mathbf{7}}-\mathbf{X C P 2}$ These plasmids were published previously (Müller et al., 2013) and contain the maize cysteine proteases CP1A, CP1B and XCP2, respectively, N-terminally fused to GAL4AD-HA (without activation domain).
pGBKT $_{7}$ (ClonTech; Saint-Germain-en-Laye, France) This plasmid encodes the GAL4 binding domain followed by a c-myc epitope. This plasmid was used for N-terminal fusions of GAL4BD-c-myc with different genes that should be tested for interaction in a yeast-2-hybrid analysis (bait plasmid). It contains the TRP1 auxotrophy marker.
$\mathrm{pGBKT}_{\mathbf{7}}$-um01375 This plasmid was used for the expression of $u m 01375$ without signal peptide in a yeast-2-hybrid screen. Primers um01375_Y2H_f2 and um01375_Y2H_r were used to amplify a 0.3 kbp fragment from $U$. maydis um01375 by PCR. The resulting fragment was digested with $X m a \mathrm{I}$ and BamHI and ligated with the $7.3 \mathrm{~kb} \mathrm{XmaI} /$ BamHI fragment of $\mathrm{pGBKT}_{7}$.
$\mathrm{pGBKT}_{7}-\mathrm{sr} 10529$ This plasmid was used for the expression of $\operatorname{sr10529}$ without signal peptide in a yeast-2-hybrid screen. Primers sr10529_Y2H_f2 and sr10529_Y2H_r were used to amplify a 0.3 kbp fragment from the $S$. reilianum f. sp. zeae (JS60) gene sr10529 by PCR. The resulting fragment was digested with XmaI and $N d e \mathrm{I}$ and ligated with the $7.3 \mathrm{~kb} \mathrm{XmaI} / N d e \mathrm{I}$ fragment of $\mathrm{pGBKT}_{7}$.
$\mathrm{pGBKT}_{7}$-srs10529 This plasmid was used for the expression of srs 10589 without signal peptide in a yeast-2-hybrid screen. Primers srs10529_Y2H_f2 and srs10529_Y2H_r were used to amplify a 0.3 kbp fragment from the $S$. reilianum f. sp. sorghi (JS678) gene srs10529 by PCR. The resulting fragment was digested with XmaI and BamHI and ligated with the $7.3 \mathrm{kbp} \mathrm{XmaI} / \mathrm{BamHI}$ fragment of $\mathrm{pGBKT}_{7}$.
pTOPO $\Delta$ sr10529 Hyg This plasmid contains the deletion construct consisting of up- and downstream flanking regions of sr10529 and a Hygromycin resistance cassette. It was used to generate deletion mutants of sr10529 in JS161. The plasmid was constructed by T. Vellmer.
pRS426 $\Delta$ sr10059 Gen This plasmid contains a deletion construct consisting of up- and downstream flanking regions of sr10059 and a Geneticin (G418) resistance cassette. It was used to generate deletion mutants of sr10059 in JS161. The plasmid was kindly constructed by K. Münch. pRS426 $\Delta s r 10182$ Gen This plasmid contains a deletion construct consisting of up- and downstream flanking regions of sr10182 and a Geneticin resistance cassette. It was used to generate deletion mutants of sr10182 in JS161. The plasmid was kindly constructed by K. Münch.
pRS426 $\Delta \mathrm{sr} 12968$ Gen This plasmid contains a deletion construct consisting of up- and downstream flanking regions of sr12968 and a Geneticin resistance cassette. It was used to generate deletion mutants of sr12968 in JS161. The plasmid was kindly constructed by K. Münch.
pRS426 $\Delta \mathrm{sr} 14558$ Gen This plasmid contains a deletion construct consisting of up- and downstream flanking regions of sr14558 and a Geneticin resistance cassette. It was used to generate deletion mutants of $\operatorname{sr14558}$ in JS161. The plasmid was kindly provided by K. Münch.
pRS426 $\Delta \mathrm{sr} 14944$ Gen This plasmid contains a deletion construct consisting of up- and downstream flanking regions of sr14944 and a Geneticin resistance cassette. It was used to generate deletion mutants of sr14944 in JS161. The plasmid was kindly constructed by K. Münch.
pTOPO $\Delta$ sr14347 Gen This plasmid contains a deletion construct consisting of up- and downstream flanking regions of sr14347 and a Geneticin resistance cassette. It was used to generate deletion mutants of $\operatorname{sr14347}$ in JS161. The plasmid was kindly constructed by K. Münch.
pRS426 $\Delta$ sr12897 Gen This plasmid contains a deletion construct consisting of up- and downstream flanking regions of sr12897 and a Geneticin resistance cassette. It was used to generate deletion mutants of sr128897 in JS161. The plasmid was kindly created by K. Münch.
pRS426 $\Delta \mathrm{sr}$ 12084-12087 Gen This plasmid contains a deletion construct consisting of the upstream region of $\operatorname{sr} 12084$, the downstream region of 12087 and a Geneticin resistance cassette. It was used to generate deletion mutants of cluster 1-32 (sr12084-sr12087) in JS161. The plasmid was kindly provided by K. Münch.
pRS426 $\Delta$ sr13421-13413 Gen This plasmid contains a deletion construct consisting of the upstream region of sr13421, the downstream region of 13413 and a Geneticin resistance cassette. It was used to generate deletion mutants of cluster 5-18 (sr13421-sr13313) in JS161. The plasmid was kindly provided by K. Münch.
pRS426 $\Delta$ sr11226-11240 Gen This plasmid contains a deletion construct consisting of the upstream region of sr11226, the downstream region of 11240 and a Geneticin resistance cassette. It was used to generate deletion mutants of cluster 10-15 (sr11226-sr11240) in JS161. The plasmid was kindly created by K. Münch.
pRS426 $\Delta$ sr15149-15146 Gen This plasmid contains a deletion construct consisting of the upstream region of sr15149, the downstream region of 15146 and a Geneticin resistance cassette. It was used to generate deletion mutants of cluster 12-15 (sr15149-sr15146) in JS161. The plasmid was kindly provided by K. Münch.
pRS426 $\Delta$ sr16549-16561 Gen This plasmid contains a deletion construct consisting of the upstream region of sr16549, the downstream region of 16561 and a Geneticin resistance cassette. It
was used to generate deletion mutants of cluster 20-15 (sr16549-sr16561) in JS161. The plasmid was kindly provided by K. Münch.
pRS426 $\Delta$ sr10308-10318 Gen This plasmid contains a deletion construct consisting of the upstream region of sr10308, the downstream region of 10318 and a Geneticin resistance cassette. It was used to generate deletion mutants of cluster 2-21 (sr10308-sr10318) in JS161. The plasmid was kindly provided by K. Münch.

### 4.4 Microbiological methods

### 4.4.1 Infections of Z. mayds with $U$. maydis and S. reilianum

To assess virulence of $U$. maydis and $S$. reilianum wild type and mutant strains, a preculture was grown in at test tube in $\mathrm{YEPS}_{\mathrm{L}}$ over night at $28^{\circ} \mathrm{C}$ with shaking. A main culture was then inoculated with an $\mathrm{OD}_{600}$ of 0.1 and incubated at $28^{\circ}, 200 \mathrm{rpm}$ until an $\mathrm{OD}_{600}$ was reached (around 6 h of incubation). Cell culture was harvested by centrifugation (3500 rpm, 10 min , room temperature; Biofuge Stratos, Heraeus) and adjusted to an $\mathrm{OD}_{600}$ of 1.0 using sterile water. Compatible wild type strains were mixed 1:1 (v/v) prior to infections. Four maize seeds were planted in one flowerpot containing 'Frühstorfer Pikiererde' of type 'P' or 'H' and daily watered. Seven days old (in case of tassel infections: 15 days old) maize seedlings were infected by injecting cell suspensions in the leave whorl. The infection site was about 3 cm above the basal meristem. Plants were grown in a green house with $28^{\circ} \mathrm{C}$ (day) and $20^{\circ} \mathrm{C}$ (night). During the day phase, light intensity was a least 28,000 lux (with additional sun shine up to 90,000 lux). Relative humidity was between $40 \%$ and 60 \%. Symptom scoring was done 12 days post infection (dpi) in case of $U$. maydis seedling infections, 10 dpi (in case of $U$. maydis tassel infections) or 9 weeks post infection (in case of $S$. reilianum seedling infections. Symptom scoring occurred according to the categories described in Tables 4.3, 4.4 and 4.5, respectively. Routinely, each strain was infected in three independent experiments with $30-40$ plants ( 10 flower pots). Data are presented as mean in relation to the total number of plants.

Table 4.3: Categories of virulence symptoms of $U$. maydis maize seedling infection (according to Kämper et al., 2006)

| Plant symptom | Description |
| :--- | :--- |
| No symptoms | No symptoms observable |
| Chlorosis / necrosis | Plant shows discoloration |
| Ligula swelling | Plant shows weak swellings at the ligula |
| Small tumors | Small tumors $(<1 \mathrm{~mm}$ on leaves or very few tumors $(>1 \mathrm{~mm})$ |
| Normal tumors | Tumors on leaves and/or stem |
| Heavy tumors | Tumors on base of stem and/or change of growth axis |
| Dead | Plant died due to the infection |

Table 4.4: Categories of virulence symptoms of $U$. maydis maize tassel infections (modified from Walbot \& Skibbe, 2010)

| Plant symptom | Description |
| :--- | :--- |
| No tassel | No tessel development was observed |
| Small tumors in $<50 \%$ of tassel length | Small tumors $(<1 \mathrm{~mm})$ in less than half of <br> the tassel length |
| Small tumors in $\geq 50 \%$ of tassel length | Small tumors $(<1 \mathrm{~mm})$ in at least half of <br> the tassel length |
| Large tumors in $<50 \%$ of tassel length | Large tumors $(\geq 1 \mathrm{~mm})$ in less than half of <br> the tassel length |
| Large tumors in $\geq 50 \%$ of tassel length | Large tumors $(\geq 1 \mathrm{~mm})$ in at least half of <br> the tassel length |
| Stunted tassel | Plant did not develop mature tassels |

Table 4.5: Categories of virulence symptoms of $S$. reilianum f. sp. zeae maize seedling infections (modified from Ghareeb et al., 2011)

| Plant symptom | Description |
| :--- | :--- |
| No cobs | The maize plant did not develop cops |
| Healthy cobs $\leq 1 \mathrm{~cm}$ | The cob does not show virulence symptoms and <br> its length is $\leq 1 \mathrm{~cm}$ |
| Healthy cobs $>1 \mathrm{~cm}$ | The cob does not show virulence symptoms and <br> its length is $>1 \mathrm{~cm}$ |
| Spiky cobs The cob shows spiky development, but no phyllody <br> Phyllody in cobs $\leq 1 \mathrm{~cm}$ The cob developed phyllody and its length is $\leq 1 \mathrm{~cm}$ <br> Phyllody in cobs $>1 \mathrm{~cm}$ The cob developed pyllody and its length is $>1 \mathrm{~cm}$ <br> Spores Spore formation was observed <br> Dead plants The plant died due to the infection |  |

### 4.4.2 Rubidium-chloride mediated transformation of $E$. coli

This protocol is modified after Cohen et al. (1972). In order to obtain chemo-competent E. coli strains, 100 mL dYT medium was supplemented with $10 \mathrm{mM} \mathrm{MgCl}{ }_{2}$ and 10 mM
$\mathrm{MgSO}_{4}$. This medium was used for inoculation with 1 mL of an over night culture. This main culture was grown at $37^{\circ} \mathrm{C}$ and 200 rpm to an $\mathrm{OD}_{600}$ of 0.5 and harvested by centrifugation ( $15 \mathrm{~min}, 3000 \mathrm{rmp}, 4^{\circ} \mathrm{C}$; Biofuge Stratos, Heraeus). Cell pellet was resuspended in 33 mL ice cold RF-1 solution and incubated for 30 min to 60 min on ice. After another centrifugation step ( $15 \mathrm{~min}, 3000 \mathrm{rmp}, 4^{\circ} \mathrm{C}$; Biofuge Stratos, Heraeus), cells were resuspended in 5 mL ice cold RF-2 solution and incubated 15 min on ice. $50 \mu \mathrm{~L}$ of this cell suspension (1 aliquot) were shock frozen in liquid nitrogen and stored at $-80^{\circ} \mathrm{C}$.

RF-1 solution: 100 mM RbCl
$50 \mathrm{mM} \mathrm{MnCl} 2 \cdot 2 \mathrm{H}_{2} \mathrm{O}$
30 mM Potassium acetate
$10 \mathrm{mM} \mathrm{CaCl} 2 \cdot 2 \mathrm{H}_{2} \mathrm{O}$
$15 \%(\mathrm{v} / \mathrm{v})$ Glycerin
in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$
adjust pH to 5.8 with acetate; filter sterilized

RF-2 solution: 10 mM 3 -( $N$-morpholino)propanesulfonic acid (MOPS) 10 mM RbCl
$75 \mathrm{mM} \mathrm{CaCl} 2 \cdot 2 \mathrm{H}_{2} \mathrm{O}$
$15 \%(\mathrm{v} / \mathrm{v})$ Glycerin
in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$
adjust pH to 5.8 with NaOH ; filter sterilized
For transformations, one aliquot of cells was thawed on ice and mixed with up to $20 \mu \mathrm{~L}$ plasmid solution and 20 min incubated on ice. After a heat shock $\left(1 \mathrm{~min}, 42^{\circ} \mathrm{C}\right), 150 \mathrm{~mL}$ dYT were added and the cell suspension was incubated at $37^{\circ} \mathrm{C}, 950 \mathrm{rpm}$ for 45 min in a Thermomixer (Eppendorf). After that, the transformation mix was spread on plates with the respective antibiotic and incubated over night at $37^{\circ} \mathrm{C}$.

### 4.4.3 Transformation of $S$. cerevisiae

S. cerevisiae was grown in 50 mL YEPD to an $\mathrm{OD}_{600}$ of 0.6 , harvested ( $2000 \mathrm{rmp}, 3 \mathrm{~min}$; Biofuge Stratos, Heraeus) and washed in sterile $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$. Cells were then resuspended in 10 mL SORB, again centrifuged and resuspended in $360 \mu \mathrm{~L}$ SORB. To this solution, $40 \mu \mathrm{~L}$ of heat denatured DNA-solution ( $10 \mathrm{mg} / \mathrm{mL}$ salmon sperm DNA; Invitrogen) were added. Aliquots of $15 \mu \mathrm{~L}$ were stored at $-80^{\circ} \mathrm{C}$ for a couple of months.
For transformation, one aliquot was thawed and mixed with up to $10 \mu \mathrm{~L}$ of plasmid solution and 6 volumes of PEG and incubated for 30 min at room temperature. After a heat shock ( $15 \mathrm{~min}, 42^{\circ} \mathrm{C}$ ), cells were centrifuged and washed once with YEPD. Cell suspension was then spread on SD plates with the respective selection marker and incubated at $28^{\circ} \mathrm{C}$.

Colonies could be harvested after 2-3 days.
SORB: 100 mM Lithium acetate
$1 \mathrm{mM} \mathrm{Na} 2_{2}$-EDTA
1 M Sorbitol
in 10 mM Tris- $\mathrm{HCl}, \mathrm{pH} 8.0$ (filter sterilized)

PEG: $\quad 100 \mathrm{mM}$ Lithium acetate
1 mM Na 2 -EDTA
$40 \%$ ( $\mathrm{w} / \mathrm{v}$ ) PEG 3350
in 10 mM Tris- $\mathrm{HCl}, \mathrm{pH} 8.0$ (filter sterilized)

### 4.4.4 Transformation of $U$. maydis and $S$. reilianum

For transformation, a protocol modified from Schulz et al. (1990) and Gillissen et al. (1992) was used. A cell culture was grown in $50 \mathrm{~mL} \mathrm{YEPS}_{\mathrm{L}}\left(28^{\circ} \mathrm{C}, 200 \mathrm{rpm}\right)$ until an $\mathrm{OD}_{600}$ of 0.8 - 1.0 was reached, harvested ( $3500 \mathrm{rpm}, 5 \mathrm{~min}$, room temperature; Biofuge Stratos; Heraeus) and resuspended in 25 mL SCS. After another centrifugation ( $3500 \mathrm{rpm}, 5 \mathrm{~min}$, room temperature), cells were resuspended in 2 mL Novozyme solution ( $2.5 \mathrm{mg} / \mathrm{mL}$ SCS; filter sterilized) and incubated at room temperature, until about $80 \%$ of the cells begun to protoplast (about 2-10 min). The protoplast formation was monitored microscopically. The reaction was stopped by adding 20 mLSCS . After centrifugation ( $10 \mathrm{~min}, 2300 \mathrm{rpm}$, room temperature), cells were resuspended carefully in 20 mL SCS and again centrifuged ( $10 \mathrm{~min}, 2300 \mathrm{rpm}$, room temperature). Cells were resuspended in 10 mL SCS and after centrifugation ( $10 \mathrm{~min}, 2300 \mathrm{rpm}$, room temperature) resuspended in 20 mL STC. After centrifugation ( $10 \mathrm{~min}, 2400 \mathrm{rpm}$, room temperature), cells were resuspended in 0.5 mL ice cold STC. Aliquots ( $70 \mu \mathrm{~L}$ ) were used immediately or stored at $-80^{\circ} \mathrm{C}$ for several months. For integrative transformations, one aliquot was thawed on ice, mixed with up to $10 \mu \mathrm{~L}$ linearized DNA (in total up to $5 \mu \mathrm{~g}$ ) and $1 \mu \mathrm{~L}$ Heparin solution ( $10 \mathrm{mg} / \mathrm{mL}$ ) and incubated 10 min on ice. Adding 0.5 mL STC/PEG was followed by another incubation for 15 min on ice. The total transformation mix was spread on a RegAgar plate containing double concentrated antibiotics. Plates were grown at $28^{\circ} \mathrm{C}$ and colonies were harvested after 4 - 7 days. They were plated on PD plates containing the respective antibiotic. Potential transformants were verified by Southern analysis.

SCS solution: 1 M Sorbitol
20 mM Sodium acetate
in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$ ( pH 5.8 ; autoclaved)

STC solution: 1 M Sorbitol
10 mM Tris- $\mathrm{HCl}(\mathrm{pH} 7.5)$
$100 \mathrm{mM} \mathrm{CaCl}{ }_{2}$
in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$ (autoclaved)

STC/PEG: $\quad 40 \%(\mathrm{w} / \mathrm{v})$ PEG 3350 in STC (filter sterilized)

RegAgar: $\quad 1.0 \%(w / v)$ Yeast Extract
2.0 \% (w/v) Bactp-Pepton
2.0 \% (w/v) Sucrose

1 M Sorbitol
$1.5 \%$ (w/v) Bactoagar
in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$ (autoclaved)

### 4.5 Methods of molecular biology

### 4.5.1 Methods of in vitro modifications of nucleic acids

### 4.5.1.1 Restriction of DNA

Restrictions of DNA were carried out via type II endonucleases (NEB, Frankfurt) for 2-16 h at the enzyme-specific optimal temperature. A typical reaction mix was set up as follows:

```
X \muL DNA (0.1-5 \mug)
5 LL Enzyme-specific 10x buffer (NEB)
5 L BSA (if required; NEB)
0.5-1 U Restriction endonuclease
ad 50 \muL H2 O
```


### 4.5.1.2 Ligation of DNA fragments

To ligate DNA fragments, T4 ligase (Roche, Mannheim) was used. Ligations of a linearized vector and a DNA fragment were prepared in such a way that the fragment was present 3 times more than the vector. Ligations with more than two fragments were usually carried out in an equal molar ratio of all fragments. Typically, ligations were done in a total volume of $10 \mu \mathrm{~L}$ with 1 U T 4 DNA ligase at $16^{\circ} \mathrm{C}$ over night.

### 4.5.1.3 Polymerase chain reaction

To amplify DNA fragmetns, the polymerase chain reaction (PCR) was used. For all reactions, Phusion Polymerase was employed. The PCR cycle consisted typically of the following steps: Initial denaturation $\left(98^{\circ} \mathrm{C}, 1 \mathrm{~min}\right)$, denaturation $\left(98^{\circ} \mathrm{C}, 10 \mathrm{sec}\right)$, annealing ( $55^{\circ} \mathrm{C}$, $30 \mathrm{sec})$, elongation $\left(72^{\circ} \mathrm{C}, 30 \mathrm{sec}\right)$, finale elongation $\left(72^{\circ} \mathrm{C}, 10 \mathrm{~min}\right)$. In all cases, 35 cycles were run. The annealing temperature and elongation duration was adjusted to the primers used and to the length of the fragment ( $30 \mathrm{sec} / 1 \mathrm{kpb}$ ), respectively. PCR reactions were performed in a Peqstar 96 universal gradient thermo cycler (Peqlab, Erlangen) or in a T Personal Thermocycler (Biometra, Göttingen). A typical reaction mix was set up as follows:

```
10.0 \mu\textrm{L}}\quad5\textrm{x HF}\mathrm{ -Buffer (Finnzymes)
1.5 \muL DMSO
0.4 LL dNTPs (1:1:1:1 ratio)
1.0 \mu\textrm{L}}\quad\mathrm{ Oligonucleotide 1(100 pM/ }\mu\textrm{L}
1.0 \mu\textrm{L}}\quad\mathrm{ Oligonucleotide 2(100 pM/ }\mu\textrm{L}
1.0 \mu\textrm{L}}\mathrm{ gDNA (as template)
0.5 \mu\textrm{L}}\mathrm{ Phusion DNA polymerase F-530L
34.6 \mu\textrm{L}}\quad\mp@subsup{\textrm{H}}{2}{}\mp@subsup{\textrm{O}}{\mathrm{ bid.}}{
```


### 4.5.2 Isolation of nucleic acids

### 4.5.2.1 Isolation of plasmids from E. coli

Plasmids were isolated from a densely grown culture using the QIAprep Mini Plasmid Kit (Quiagen, Hilden) with $1.5 \mathrm{~mL}-2.0 \mathrm{~mL}$ of culture.Plasmids were eluted with $30 \mu \mathrm{~L}-50 \mu \mathrm{~L}$ $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$. Yields were typically around $250 \mathrm{ng} / \mu \mathrm{L}$

### 4.5.2.2 Isolation of genomic DNA from $U$. maydis and S. reilianum

The used approach is modified from Hoffman \& Winston (1987). 4 mL of a dense over night culture in YEPS $_{\mathrm{L}}$ were together with $200 \mu \mathrm{~L}$ (around 0.3 g ) of glass beads centrifuged ( $5 \mathrm{~min}, 13.000 \mathrm{rpm}$, room temperature; Biofuge Pico, Heraeus). The pellet was resuspended in $500 \mu \mathrm{~L}$ Ustilago-lysis buffer and $500 \mu \mathrm{~L}$ TE-Phenol/Chloroform. Samples were shaken 15 min on a Vibrax VXR shaker (IKA, Staufen) at 1500 rpm . After centrifugation ( $20 \mathrm{~min}, 13.000 \mathrm{rpm}$, room temperature), which separates the phases, $400 \mu \mathrm{~L}$ of the supernatant were mixed with $1 \mathrm{~mL} 70 \% ~(\mathrm{v} / \mathrm{v}$ ) ethanol. After centrifugation ( $15 \mathrm{~min}, 13.000$ rpm, room temperature; Biofuge Pico, Heraeus), the pellet was washed once with $500 \mu \mathrm{~L}$ $70 \%(\mathrm{v} / \mathrm{v})$ ethanol ( $5 \mathrm{~min}, 13.000 \mathrm{rpm}$, room temperature) and solved in $30 \mu \mathrm{~L} \mathrm{TE} / \mathrm{RNase}$ A (50:1) at $55^{\circ} \mathrm{C}$ for 15 min in a Thermomixer (Eppendorf). DNA was stored up to several months at $-20^{\circ} \mathrm{C}$.

Ustilago-lysis-buffer: $\quad 50 \mathrm{mM} \mathrm{Na} 2$-EDTA

$$
\begin{aligned}
& 1 \%(\mathrm{w} / \mathrm{v}) \text { SDS } \\
& \text { in } 50 \mathrm{mM} \text { Tris- } \mathrm{HCl}(\mathrm{pH} 7.5)
\end{aligned}
$$

TE-Phenol/Chloroform: 1:1 mixture of phenol (equilibrated with TE-buffer) and chloroform

TE-buffer: $\quad 1 \mathrm{mM} \mathrm{Na}_{2}$-EDTA in 10 mM Tris- $\mathrm{HCl}(\mathrm{pH} 8.0)$

### 4.5.3 Separation and detection of nucleic acids

### 4.5.3.1 Agarose-Gelelectrophoresis

DNA fragments were separated according to their size in an electric field, where DNA migrates due to its negative charge to the anode. The agarose concentration varied between $0.8 \%$ and $1.0 \%(\mathrm{w} / \mathrm{v})$ in TAE-buffer according to the fragment length (shorter fragments were run with higher concentrations). Prior to use, agarose was supplemented with ethidium bromide ( $1 \mathrm{mg} / \mathrm{mL}$ ). TAE served as buffer in the running chambers. DNA was mixed with loading buffer and transferred to the gel. Electrophoresis was run at 80 mA to 150 mA until the desired separation grade was reached. As size standards, either the 1 kb ladder ( $0.5 \mathrm{~kb}-10 \mathrm{~kb}$; NEB, Frankfurt) or the 100 bp ladder ( $0.1 \mathrm{~kb}-1.5 \mathrm{~kb}$; NEB, Frankfurt) was used. DNA was detected under UV light ( 254 nm ). Photographs for documentation were taken with the BioDoc-IT-system; UVP).

```
50x TAE-Buffer: \(\quad 2 \mathrm{M}\) Tris-Base
2 M acetate
50 mM Na 2 -EDTA
in \(\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}\)
```

6x Loading buffer: 50 \% (w/v) Saccharose
0.01 \% (w/v) Bromphenol blue
in TE-buffer

### 4.5.3.2 Southern analysis

Genomic DNA was isolated from U. maydis and S. reilianum transformants as described in chapter 4.5.2.2. Around $5 \mu \mathrm{~g}$ of DNA were used for restrictions. Enzymes were chosen in such a way that the transformed constructs alter the number and/or length compared to the wild type locus. Restriction occurred over night at the enzyme-specific tempera-
ture. Restricted DNA was separated via agarose-gelelectrophoresis (TAE gel, 80 mA ) and transferred to a nylon membrane with a method modified after Southern (1975). Prior to transfer, the gel was incubated in 0.25 M HCl for $20 \mathrm{~min}-30 \mathrm{~min}$, leading to depurination. After that, the gel was 15 min equilibrated in 0.4 M NaOH . Transfer to a positively charged Nylon Membrane (Roche, Mannheim) was carried out by using capillary forces created by a stack of paper towels and 0.4 M NaOH as transfer buffer. Due to this flux, DNA fragments are eluted from the gel and bind to the Nylon membrane. Transfer was done at room temperature over night (typically around 16 h ).
To detect DNA fragments, probes were generated by PCR using the PCR DIG labeling mix (Roche, Mannheim). The recombination flanks (ca. 1 kb ) served as template. The PCR products were purified from an agarose gel, eluted in $50 \mu \mathrm{~L} \mathrm{H}_{2} \mathrm{O}$ and mixed with 30 mL Southern Hybridization Buffer. Prior to use, the probe was denatured at $99^{\circ} \mathrm{C}$ for 20 min . Membranes were pre-hybridized with Southern Hybridization Buffer at $65^{\circ} \mathrm{C}$ for $30 \mathrm{~min}-120 \mathrm{~min}$ and subsequently replaced by the denatured probe. Hybridization occurred for at least one day in a hybridization oven at $65^{\circ} \mathrm{C}$ under constant slow turning. The membrane was then washed twice with Southern Wash Buffer for 20 min at $65^{\circ} \mathrm{C}$. After washing with DIG Wash Buffer ( 5 min , room temperature), the membrane was incubated in $20 \mathrm{~mL}-30 \mathrm{~mL}$ DIG II buffer for $30 \mathrm{~min}-60 \mathrm{~min}$ at room temperature. In this way, non-hybridized areas of the membrane were masked. The membrane was then incubated with 10 mL Antibody Solution for 30 min at room temperature. The antibody is covalently coupled to an alkaline phosphatase. After washing twice with DIG Wash Buffer ( 15 min , room temperature), the membrane was equilibrated with 30 mL DIG III buffer ( 5 min , room temperature). After incubation in 10 mL CDP Star Solution ( 5 min , room temperature), which serves as substrate for the phosphatase, excess solution was removed and the membrane was sealed in a plastic bag for further incubation ( $15 \mathrm{~min}, 37^{\circ} \mathrm{C}$ ). For detection of a luminescence signal, the membrane was together with an X-ray film (Medical X-Ray Screen Film Blue Sensitive; CEA, Hamburg) placed in a film cassette. The signal was typically monitored for $10 \mathrm{~min}-30 \mathrm{~min}$ and detected by developing the film in an x-ray film developer machine (QX-60; Konica or AGFA CP 1000; Mortsel, Belgium).

| Na-Phosphate Buffer: | Solution 1: $1 \mathrm{M} \mathrm{Na}_{2} \mathrm{HPO}_{4}$ in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$. <br> Solution 2: $1 \mathrm{M} \mathrm{NaH}_{2} \mathrm{PO}_{4} \cdot \mathrm{H}_{2} \mathrm{O}$ in $\mathrm{H}_{2} \mathrm{O}_{\text {bid }}$. <br> Mix solution 1 and 2 (ratio ca. 4:1) (pH 7.0) |
| :---: | :---: |
| Southern Hybridization Buffer: | $7.0 \%$ (w/v) SDS in 0.5 M Na-Phosphate Buffer |
| Southern Wash Buffer: | 1.0 \% (w/v) SDS in 0.1 M Na-Phosphate Buffer |
| DIG I Buffer: | $\begin{aligned} & 0.1 \mathrm{M} \text { Maleic acid } \\ & 0.15 \mathrm{M} \mathrm{NaCl} \\ & \text { in } \mathrm{H}_{2} \mathrm{O}_{\text {bid. }} \\ & \text { adjust } \mathrm{pH} \text { to } 7.5 \text { (with } \mathrm{NaOH} \text { ); autoclaved } \end{aligned}$ |
| DIG Wash Buffer: | 0.3 \% (v/v) Tween-20 in DIG I Buffer |
| DIG II Buffer: | 1.0 \% (w/v) Powdered Milk in DIG I Buffer |
| DIG III Buffer: | $\begin{aligned} & 0.1 \mathrm{M} \mathrm{NaCl} \\ & 0.05 \mathrm{M} \mathrm{MgCl}_{2} \cdot 6 \mathrm{H}_{2} \mathrm{O} \end{aligned}$ <br> in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$, adjust pH to 9.5 (with 1 M Tris- HCl ) |
| Antibody Solution: | $1 \mu \mathrm{~L}$ Anti-DIG antibody (Anti Digoxigenin Fab Fragment; Roche) in 10 mL DIG II Buffer |
| CDP Star Solution: | $100 \mu \mathrm{~L}$ CDP Star (Roche) in 10 mL DIG II Buffer |

### 4.6 Isolation and detection of proteins in yeast-2-hybrid analysis

Strains of $S$. cerevisiae used for yeast-2-hybrid analysis were grown in SD medium to an $\mathrm{OD}_{600}$ of 0.4 to 0.7 and adjusted to an $\mathrm{OD}_{600}$ of 1.0 .1 mL of this cell suspension was mixed with $150 \mu \mathrm{~L}$ Alkaline Lyses Buffer and incubated for 10 min on ice. After adding $150 \mu \mathrm{~L}$ of $55 \%(\mathrm{v} / \mathrm{v})$ trichloroacetic acid and another incubation step ( 10 min on ice), cell debris was pelleted by centrifugation ( $10 \mathrm{~min}, 13.000 \mathrm{rpm}$, room temperature). The pellet was resuspended in $100 \mu \mathrm{~L}$ HU-Buffer. Samples were heated ( $10 \mathrm{~min}, 65^{\circ} \mathrm{C}$ ), spun ( $3 \mathrm{~min}, 13.000$ rpm, room temperature) and $10 \mu \mathrm{~L}$ were used for separation by Sodiumdodecylsulfate-

Polyacrylamide-Gelelectrophoresis (SDS-PAGE).
Separation of protein samples was performed using SDS-PAGE with a method modified from Laemmli (1970). In this method, all proteins get a constant negative charge via the binding to SDS. This allows separation in an electric field. Chambers (Mini Protean System; Bio-Rad, München) were filled with SDS-Running Buffer. Gels were composed of a stacking gel and a separation gel. The stacking gel is used to concentrate the proteins in one layer prior to entering the separation gel. The separation gel separates the proteins in a polyacrylamide matrix according to their size, so that smaller proteins run faster. Separation was performed at $40 \mathrm{~mA} /$ gel. Protein mass was assessed by using a stained mixture of standard proteins ( $15 \mathrm{kDa}-170 \mathrm{kDa}$; Prestained Page Ruler; Fermentas, St. Leon-Roth).
Proteins were detected by an immunological assay using chemoluminescence. Proteins were transferred from the gel to a PVDF-membrane (GE Healthcare, München) with the transfer system Transfer-Blot Turbo (Bio-Rad, München). The membrane was activated by covering it shortly with methanol prior to use. The 'Mixed Protein Sizes' program (7 min) was used for blotting according to the manufacturer's instructions. The transferred proteins were immunologically detected. The membrane was incubated for 1 h at room temperature or over night at $4^{\circ} \mathrm{C}$ in Blocking Solution. After short washing with TBS-T, the membrane was incubated with antibody solution containing the primary antibody (mouse anti-HA, product number: \#H9658, diluted 1:5000 or mouse anti-c-Myc, product number: \#M5546, diluted 1:3000; both obtained from Sigma-Aldrich, Deisenhofen) at $4^{\circ} \mathrm{C}$ over night or for 1 h at room temperature with constant slow shaking. After washing three times with TBS-T for 15 min each, the membrane was incubated in Antibody Solution containing the secondary antibody (horse anti-mouse IgG, horse radish peroxidase (HRP)-linked, product number: 7076S, diluted 1:10000; Cell Signaling Technology, Danver, USA) for 1 h at room temperature with constant slow shaking. After washing three times with TBS-T for 15 min each, the membrane was transferred to a plastic bag and incubated with ECL (GE Healthcare, München), which serves as substrate for the HRP, for 5 min at room temperature. After removing excess solution, the membrane was sealed in a plastic bag and together with an X-ray film (Medical X-Ray Screen Film Blue Sensitive; CEA, Hamburg) placed in a film cassette. The signal was typically monitored for $2 \mathrm{~min}-15 \mathrm{~min}$ and detected by developing the film in an x-ray film developer machine (QX-60; Konica or AGFA CP 1000; Mortsel, Belgium).

| Alkaline Lyses Buffer: | 2 M NaOH mixed with 2-Mercaptoethanol (ratio 12.3:1) prepared freshly prior of each experiment |
| :---: | :---: |
| HU Buffer: | 8 M urea <br> $5 \%$ (w/v) SDS <br> 200 mM NaHPO 4 ( pH 6.8 ) <br> 0.1 mM EDTA <br> $0.1 \%$ (w/v) Bromphenol blue <br> in $\mathrm{H}_{2} \mathrm{O}_{\text {bid. }}$ <br> $15 \mathrm{mg} / \mathrm{mL}$ DTT were added prior to use |
| SDS Running Buffer: | 192 mM Glycine <br> 0.1 \% (w/v) SDS <br> in 25 mM Tris- $\mathrm{HCl}(\mathrm{pH} 8.3)$ |
| Stacking Gel: | $\begin{aligned} & 5 \%(\mathrm{v} / \mathrm{v}) \text { Acrylamid } \\ & 0.1 \%(\mathrm{w} / \mathrm{v}) \text { SDS } \\ & \text { in } 125 \mathrm{mM} \text { Tris- } \mathrm{HCl}(\mathrm{pH} 6.8) \end{aligned}$ |
| to start polymerization: | $0.1 \% ~(\mathrm{w} / \mathrm{v})$ Ammonium persulfate (APS) <br> $0.05 \%$ ( $\mathrm{v} / \mathrm{v}$ ) Tetramethylethylenediamine (TEMED) |
| Separation Gel: | $12 \%$ (v/v) Acrylamid <br> 0.1 \% (w/v) SDS <br> in 375 mM Tris- $\mathrm{HCl}(\mathrm{pH} 8.8)$ |
| to start polymerization: | $\begin{aligned} & 0.1 \%(\mathrm{w} / \mathrm{v}) \text { APS } \\ & 0.05 \%(\mathrm{v} / \mathrm{v}) \text { TEMED } \end{aligned}$ |
| TBS-T: | $\begin{aligned} & 150 \mathrm{mM} \mathrm{NaCl} \\ & 0.1 \%(\mathrm{v} / \mathrm{v}) \text { Tween } 20 \\ & \text { in } 50 \mathrm{mM} \text { Tris- } \mathrm{HCl}(\mathrm{pH} 7.5) \end{aligned}$ |
| Blocking Solution: | 10 \% (w/v) Powdery milk in TBS-T |
| Antibody Solution: | diluted antibodies in $1 \%$ (w/v) Powdery milk in TBST |

### 4.7 Bioinformatic methods

### 4.7.1 Positively selected genes

### 4.7.1.1 Detection of positive selection between species

For this task it is important to build families of similar proteins, because obtaining reliable alignments is crucial for scanning for positive selection. Proteins of each species ( $U$. hordei: 7,113 proteins, $U$. maydis: 6,787 , S. scitamineum: 6,693 , S. reilianum f. sp. zeae: $6,673, S$. reilianum f. sp. sorghi: 6,674; all annotations as of November 2011) were used to perform a local blastp search (Altschul et al., 1990), where the protein set served both as query and database (all-against-all search). To identify settings for coverage and identity, which lead to the maximum number of core families (i.e. families that have an equal number of members from each species), SiLiX (Miele et al., 2011) was run with a range for coverage and identity between $5 \%$ and $95 \%$ (in $5 \%$ steps).
Families with at least two members have been aligned using two alignment programs: MACSE, which aligns sequences on a codon level and accounts for frame shifts, produces both an amino acid and a nucleotide alignment (Ranwez et al., 2011) and Prank, which considers insertions and deletions and also produces alignments based on amino acids and on nucleotides (Löytynoja \& Goldman, 2008). The consensus alignment of the two software was determined using AlnScore, which is part of the Bio++ program suite (Dutheil et al., 2006; Guéguen et al., 2013). The nucleotide alignment created by Prank served as a reference. Consistent alignment sites with a maximum of $30 \%$ gaps were used to translate the consensus nucleotide alignment to amino acid sequences using SeqMan, which is also part of the Bio++ programs. For families with at least three members, the translated sequences were used to create phylogenetic trees using PhyML 3.0 (Guindon et al., 2010). Settings for PhyML were as follows: 'aa' (for amino acid sequences) was set as data type, a minimum parsimony starting tree was used (-p), the amino acid based default model LG was applied, character frequency option (-f) was set to m, distribution (-a) was set to e (maximum likelihood), number of relative substitution categories ( -c ) was set to 4 and tree topology search option (-s) was set to best (best of NNI and SPR search).
In the next step, BppML (Dutheil \& Boussau, 2008) was used to fit the non-homogenous codon model YN98 (Nielsen \& Yang, 1998), which allows the estimation of one $\omega$ value $\left(d_{\mathrm{N}} / \mathrm{d}_{\mathrm{S}}\right)$, one $\kappa$ value (transversions/transitions) and the branch length for each branch of a phylogenetic tree. MapNH (Romiguier et al., 2012) was used for mapping substituions. This was done by computing the liklehood for a homogenous substituion process vs. the alternative model of a heterogenous subsitution process. PartNH (Dutheil et al., 2012) was used to build partitions of a phylogenitc tree according to the mapped parameters, but without any a priori assumptions. Model 'free' allows differing parameters between neigh-
boring branches of the tree whereas model 'join' assumes that the parameters of neighboring branches are shared between them.

Since the goal of this analysis was to identify genes putatively contributing to virulence, the candidate set of genes under positive selection has been scanned for genes encoding predicted secreted proteins with SignalP 4.0 (Petersen et al., 2011). Proteins were considered as predicted to be secreted if SignalP 4.0 indicates secretion and the absence of transmembrane domains.

### 4.7.1.2 Detection of positive selection in $U$. maydis population data

The genomes of 20 U . maydis strains-originating from Mexico were sequenced using Illumina paired end sequencing with a read length of 100 bp and a 100 -fold coverage. A de novo assembly was performed using SOAPdenovo2 (Luo et al., 2012). In order to estimate the ideal kmer-length for each strain, an assembly was performed using kmer lengths between 15 and 127. Since filtering of the reads (trimming the first 10 bp and requiring a minimum quality score of 35 with an exception at maximal 10 positions) did not improve the $\mathrm{N}_{50}$ value, a filtering step was not included. The assembly with the kmer size yielding the best $\mathrm{N}_{50}$ of contigs was used for further analysis.
Next, a multiple genome alignment with the assembled strains and the reference strain ( $U$. maydis 521) as well as calling single nucleotide polymorphisms (SNPs) was conducted using MultiZ (Blanchette et al., 2004). From this alignment, open reading frames were extracted with MafFilter (Dutheil et al., 2014) according to the reference strain. The phylogeny of orthologous genes was inferred using PhyML 3.0 (Guindon et al., 2010). Settings for PhyML were used as described before. Positive selection was inferred by applying a branch model (Yang \& Nielsen, 1998) implemented in PAML4 (Yang, 2007) by comparing the M1a model (negative or neutral selection) with the M2a model (positive selection). Significant differences between the obtained maximum likelihood values of both models were detected using a $\chi^{2}$ test. To infer potential effector proteins, prediction of secretion was done using SignalP 4.0 (Petersen et al., 2011). Proteins were considered as predicted to be secreted if SignalP 4.0 indicates secretion and the absence of transmembrane domains.

### 4.7.1.3 Detection of positive selection in cysteine proteases of maize and Sorghum

Following the arms race model, not only effectors, but also their plant targets should be under positive selection. To detect cysteine proteases under positive selection in maize and Sorghum, the salicylic acid (SA)-induced maize cysteine proteases CP1-like A, CP1-like B, CatB3-like, XCP2 and CP2-like, which were identified in SA-infiltrated apoplastic fluid (van der Linde et al., 2012) and which can be - with the exception of CatB3-like - inhibited by Pit2 (Müller et al., 2013), where used as query to identify their closest homologues in

Sorghum bicolor in a blastp search. The best hits in S. bicolor and the original query files of CP1-like A, CP1-like B, CatB3-like, XCP2 and CP2-like were used as queries for a blastp search against the maize and Sorghum proteome. Building families of homologous sequences and detection of positive selection was done as described in chapter 4.7.1.1. The inference of families was done with an identity of $40 \%$ and a coverage of $80 \%$.

### 4.7.1.4 Detection of sites of Pit2 under positive selection in S. reilianum

To infer which sites of Pit2 are under positive selection in the two pathovariants of $S$. reilianum, a branch-site model of PAML4 (Yang, 2007), which allows more than one $\mathrm{d}_{\mathrm{N}} / \mathrm{d}_{\mathrm{S}}$ ratio per branch, was used. $S$. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi were $a$ priori defined as foreground branches.

### 4.7.1.5 Parameters of positively selected genes between species

Tendency for cluster localization. Since many effectors are located in clusters, it was tested whether positively selected genes tend to reside in clusters. This was done by contrasting the fraction of positively selected genes residing in clusters with the fraction of not positively selected genes residing in clusters with Fisher's Exact Test. Cluster definitions were taken from Dutheil et al. (in preparation).
Localization within chromosomes. In some species, it has been described that effector genes tend to locate towards telomeres. To test whether this is also true in smut fungi, the relative physical distance to telomeres has been computed for each gene. The minimal distance was estimated by the distance between the midpoint of each gene and the closer telomere. This distance was divided by the length of the respective chromosome. In this way, the location relative to telomeres could be obtained. For example, if a gene is located in the proximity of a centromere, the relative distance to the closer telomere would be around 0.5. The relative distances to telomeres have been contrasted between genes showing signs of positive selection and genes not under positive selection with the Wilcoxon Rank-Sum Test.
Distance to repetitive elements. Since $U$. hordei shows the highest content of repetitive elements in the group of smut fungi investigated here, it was tested whether genes under positive selection are closer located to repetitive elements. For this analysis, only elements with at least 10 copies were considered. These included: BEL, Copia, DNA transposon, EnSpm, ERV1, Gypsy, Harbinger, hAT, Jockey, L1, Low complexity, MuDR, Polinton, Pseudogene, R1, Simple repeat, SINE2/tRNA, Sola and uncharacterized Interspersed repeats. The closest distance between each gene and each of the repetitive elements was computed. The result was contrasted for genes under positive selection and genes not under positive selection by the Wilcoxon Rank-Sum Test. To account for different copy numbers of the repetitive elements, the resulting p -value was multiplied with the copy number of
each repetitive element (Bonferroni correction). P-values $\leq 0.05$ after this correction step were considered significant.

### 4.7.2 Detection of orphan genes

For the prediction of orphan genes, the five smut genomes described before were employed. In addition, the genome of M. globosa (4,283 proteins), M. pennsylvanicum $(6,280)$ and $P$. flocculosa $(6,877)$ were used. A local blastp search was performed using all proteins as query and data base, respectively. The output was used to create families of homologous sequences using SiLiX (Miele et al., 2011). To identify settings for coverage and identity, which lead to a confident prediction of orphan genes, a range of settings between $5 \%$ and $95 \%$ (in 5 $\%$ steps) was tested. To account for potential homologues outside of the 8 genomes initially used and to account for annotation errors, the orphan genes obtained with an identity and coverage of $5 \%$ were used as query for a tblastn search against the non-redundant nucleotide data base of the National Center for Biotechnology Information (NCBI). Candidates were discarded, if a hit outside their own genome was found with an e-Value $\leq 0.001$. Prediction of secretion was done with SignalP 4.0 (Petersen et al., 2011). Proteins were considered as predicted to be secreted if SignalP 4.0 indicates secretion and the absence of transmembrane domains.

### 4.7.3 Horizontal gene transfer

To detect a potential horizontal gene transfer between $U$. maydis and $S$. reilianum f. sp. zeae, all families which contained one member of $U$. hordei, $U$. maydis, $S$. scitamineum, $S$. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi were considered. In addition, analysis was restricted to families whose phylogenetic tree had only branch lengths $>0.001$. In this way, 3,402 families were kept for further analysis. Remaining trees were rerooted by using the $U$. hordei branch as out group. Next, cophenetic distances for each phylogeny were computed using the R library APE (Paradis et al., 2004). This distances were used as signs for potential horizontal gene transfers.

### 4.7.4 Inferring syntenic regions between $U$. maydis and $S$. reilianum f. sp. zeae

To assess whether genomic regions of $U$. maydis and $S$. reilianum f. sp. zeae, the Synteny Viewer of MIPS, hosted by the Helmhotz Zentrum München, Institute for Bioinformatics and Systems Biology was employed. Genes of interest were considered to locate in a syntenic region, if the two up- and downstream neighboring genes are syntenic and maximal one non-syntenic gene was found between these two adjacent genes. The Synteny Viewer can
be found at
http://mips.helmholtz-muenchen.de/gbrowse2/cgi-bin/gbrowse_syn/ust_um_uh_sr/

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## 6. Supplementary Information

All orphan genes in M. globosa, P. flocculosa, M. pennsylvanicum, U. hordei, U. maydis, S. scitamineum and $S$. reilianum f. sp. zeae, which were detected in the present study are listed in Table 6.1.

Table 6.1: List of orphan genes in eight fungal species

| Species | Gene | Description | Secretion | Synteny ${ }^{1}$ |
| :---: | :---: | :---: | :---: | :---: |
| P. flocculosa | gi\|521581819|gb|EPQ25726.1| | NA | no | NA |
| P. flocculosa | gi\|521581874|gb|EPQ25773.1| | NA | no | NA |
| P. flocculosa | gi\|521581969|gb|EPQ25853.1| | NA | no | NA |
| P. flocculosa | gi\|521582005|gb|EPQ25889.1| | NA | no | NA |
| P. flocculosa | gi\|521582197|gb|EPQ26065.1| | NA | no | NA |
| P. flocculosa | gi\|521582202|gb|EPQ26070.1| | NA | yes | NA |
| P. flocculosa | gi\|521582272|gb|EPQ26140.1| | NA | yes | NA |
| P. flocculosa | gi\|521582300|gb|EPQ26168.1| | NA | no | NA |
| P. flocculosa | gi\|521582306|gb|EPQ26174.1| | NA | no | NA |
| P. flocculosa | gi\|521582348|gb|EPQ26204.1| | NA | yes | NA |
| P. flocculosa | gi\|521582423|gb|EPQ26279.1| | NA | no | NA |
| P. flocculosa | gi\|521582594|gb|EPQ26438.1| | NA | yes | NA |
| P. flocculosa | gi\|521582673|gb|EPQ26501.1| | NA | no | NA |
| P. flocculosa | gi\|521582738|gb|EPQ26566.1| | NA | yes | NA |
| P. flocculosa | gi\|521582805|gb|EPQ26633.1| | NA | no | NA |
| P. flocculosa | gi\|521582806|gb|EPQ26634.1| | NA | yes | NA |
| P. flocculosa | gi\|521582844|gb|EPQ26663.1| | NA | no | NA |
| P. flocculosa | gi\|521582918|gb|EPQ26737.1| | NA | no | NA |
| P. flocculosa | gi\|521582949|gb|EPQ26768.1| | NA | no | NA |
| P. flocculosa | gi\|521583031|gb|EPQ26839.1| | NA | yes | NA |
| P. flocculosa | gi\|521583056|gb|EPQ26864.1| | NA | no | NA |
| P. flocculosa | gi\|521583171|gb|EPQ26979.1| | NA | no | NA |
| P. flocculosa | gi\|521583228|gb|EPQ27028.1| | NA | no | NA |
| P. flocculosa | gi\|521583258|gb|EPQ27058.1| | NA | yes | NA |
| P. flocculosa | gi\|521583294|gb|EPQ27094.1| | NA | no | NA |
| P. flocculosa | gi\|521583298|gb|EPQ27098.1| | NA | no | NA |
| P. flocculosa | gi\|521583305|gb|EPQ27105.1| | NA | no | NA |
| P. flocculosa | gi\|521583345|gb|EPQ27145.1| | NA | yes | NA |
| P. flocculosa | gi\|521583352|gb|EPQ27152.1| | NA | no | NA |
| P. flocculosa | gi\|521583360|gb|EPQ27160.1| | NA | no | NA |
| P. flocculosa | gi\|521583431|gb|EPQ27216.1| | NA | no | NA |
| P. flocculosa | gi\|521583522|gb|EPQ27307.1| | NA | no | NA |
| P. flocculosa | gi\|521583543|gb|EPQ27328.1| | NA | no | NA |
| P. flocculosa | gi\|521583610|gb|EPQ27389.1| | NA | no | NA |

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| gi\|521583654|gb|EPQ27433.1| | NA | no | NA |
| :---: | :---: | :---: | :---: |
| gi\|521583696|gb|EPQ27475.1| | NA | no | NA |
| gi\|521583714|gb|EPQ27493.1| | NA | no | NA |
| gi\|521583813|gb|EPQ27576.1| | NA | no | NA |
| gi\|521583887|gb|EPQ27650.1| | NA | yes | NA |
| gi\|521583986|gb|EPQ27749.1| | NA | no | NA |
| gi\|521584029|gb|EPQ27780.1| | NA | no | NA |
| gi\|521584030|gb|EPQ27781.1| | NA | no | NA |
| gi\|521584035|gb|EPQ27786.1| | NA | no | NA |
| gi\|521584067|gb|EPQ27818.1| | NA | no | NA |
| gi\|521584165|gb|EPQ27916.1| | NA | no | NA |
| gi\|521584189|gb|EPQ27940.1| | NA | yes | NA |
| gi\|521584190|gb|EPQ27941.1| | NA | no | NA |
| gi\|521584193|gb|EPQ27944.1| | NA | yes | NA |
| gi\|521584251|gb|EPQ27988.1| | NA | no | NA |
| gi\|521584258|gb|EPQ27995.1| | NA | yes | NA |
| gi\|521584267|gb|EPQ28004.1| | NA | no | NA |
| gi\|521584352|gb|EPQ28089.1| | NA | no | NA |
| gi\|521584515|gb|EPQ28249.1| | NA | no | NA |
| gi\|521584538|gb|EPQ28272.1| | NA | no | NA |
| gi\|521584570|gb|EPQ28304.1| | NA | no | NA |
| gi\|521584643|gb|EPQ28377.1| | NA | no | NA |
| gi\|521584669|gb|EPQ28403.1| | NA | yes | NA |
| gi\|521584672|gb|EPQ28406.1| | NA | yes | NA |
| gi\|521584804|gb|EPQ28525.1| | NA | yes | NA |
| gi\|521584858|gb|EPQ28579.1| | NA | no | NA |
| gi\|521584864|gb|EPQ28585.1| | NA | yes | NA |
| gi\|521584879|gb|EPQ28600.1| | NA | no | NA |
| gi\|521585060|gb|EPQ28762.1| | NA | no | NA |
| gi\|521585079|gb|EPQ28781.1| | NA | yes | NA |
| gi\|521585127|gb|EPQ28829.1| | NA | no | NA |
| gi\|521585182|gb|EPQ28884.1| | NA | no | NA |
| gi\|521585438|gb|EPQ29127.1| | NA | no | NA |
| gi\|521585614|gb|EPQ29291.1| | NA | yes | NA |
| gi\|521585615|gb|EPQ29292.1| | NA | yes | NA |
| gi\|521585630|gb|EPQ29307.1| | NA | no | NA |
| gi\|521585644|gb|EPQ29321.1| | NA | no | NA |
| gi\|521585651|gb|EPQ29328.1| | NA | no | NA |
| gi\|521585770|gb|EPQ29447.1| | NA | no | NA |
| gi\|521585784|gb|EPQ29461.1| | NA | yes | NA |
| gi\|521585980|gb|EPQ29640.1| | NA | no | NA |
| gi\|521586029|gb|EPQ29689.1| | NA | no | NA |
| gi\|521586033|gb|EPQ29693.1| | NA | no | NA |
| gi\|521586087|gb|EPQ29747.1| | NA | yes | NA |
| gi\|521586188|gb|EPQ29835.1| | NA | no | NA |
| gi\|521586309|gb|EPQ29956.1| | NA | no | NA |
| gi\|521586366|gb|EPQ30013.1| | NA | no | NA |


| P. flocculosa | gi\|521586427|gb|EPQ30059.1| | NA | no | NA |
| :---: | :---: | :---: | :---: | :---: |
| P. flocculosa | gi\|521586578|gb|EPQ30210.1| | NA | no | NA |
| P. flocculosa | gi\|521586593|gb|EPQ30225.1| | NA | no | NA |
| P. flocculosa | gi\|521586642|gb|EPQ30274.1| | NA | yes | NA |
| P. flocculosa | gi\|521586726|gb|EPQ30336.1| | NA | yes | NA |
| P. flocculosa | gi\|521586831|gb|EPQ30441.1| | NA | no | NA |
| P. flocculosa | gi\|521586919|gb|EPQ30529.1| | NA | no | NA |
| P. flocculosa | gi\|521587081|gb|EPQ30671.1| | NA | no | NA |
| P. flocculosa | gi\|521587150|gb|EPQ30740.1| | NA | no | NA |
| P. flocculosa | gi\|521587281|gb|EPQ30871.1| | NA | no | NA |
| P. flocculosa | gi\|521587578|gb|EPQ31143.1| | NA | no | NA |
| P. flocculosa | gi\|521587705|gb|EPQ31270.1| | NA | yes | NA |
| P. flocculosa | gi\|521587858|gb|EPQ31418.1| | NA | no | NA |
| P. flocculosa | gi\|521587869|gb|EPQ31429.1| | NA | no | NA |
| P. flocculosa | gi\|521587875|gb|EPQ31435.1| | NA | yes | NA |
| P. flocculosa | gi\|521587878|gb|EPQ31438.1| | NA | no | NA |
| P. flocculosa | gi\|521588008|gb|EPQ31568.1| | NA | no | NA |
| P. flocculosa | gi\|521588059|gb|EPQ31619.1| | NA | yes | NA |
| P. flocculosa | gi\|521588434|gb|EPQ31968.1| | NA | no | NA |
| P. flocculosa | gi\|521588533|gb|EPQ32067.1| | NA | yes | NA |
| P. flocculosa | gi\|521588551|gb|EPQ32085.1| | NA | no | NA |
| P. flocculosa | gi\|521588748|gb|EPQ32282.1| | NA | no | NA |
| P. flocculosa | gi\|521588779|gb|EPQ32313.1| | NA | no | NA |
| P. flocculosa | gi\|521588834|gb|EPQ32368.1| | NA | no | NA |
| P. flocculosa | gi\|521588867|gb|EPQ32401.1| | NA | no | NA |
| P. flocculosa | gi\|521588877|gb|EPQ32411.1| | NA | no | NA |
| P. flocculosa | gi\|521588937|gb|EPQ32471.1| | NA | no | NA |
| M. globosa | jgi\|Malgl1|1115|MGL_1114 | NA | no | NA |
| M. globosa | jgi\|Malgl1|1137|MGL_1136 | NA | no | NA |
| M. globosa | jgi\|Malgl1|1229|MGL_1228 | NA | no | NA |
| M. globosa | jgi\|Malgl1|1316|MGL_1315 | NA | no | NA |
| M. globosa | jgi\|Malgl1|1358|MGL_1357 | NA | no | NA |
| M. globosa | jgi\|Malgl1|1384|MGL_1383 | NA | no | NA |
| M. globosa | jgi\|Malgl1|1424|MGL_1423 | NA | no | NA |
| M. globosa | jgi\|Malgl1|1472|MGL_1471 | NA | no | NA |
| M. globosa | jgi\|Malgl1|1473|MGL_1472 | NA | no | NA |
| M. globosa | jgi\|Malgl1|1493|MGL_1492 | NA | no | NA |
| M. globosa | jgi\|Malgl1|176|MGL_0175 | NA | no | NA |
| M. globosa | jgi\|Malgl1|18|MGL_0017 | NA | no | NA |
| M. globosa | jgi\|Malgl1|2077|MGL_2076 | NA | no | NA |
| M. globosa | jgi\|Malgl1|2165|MGL_2164 | NA | no | NA |
| M. globosa | jgi\|Malgl1|2332|MGL_2331 | NA | no | NA |
| M. globosa | jgi\|Malgl1|2384|MGL_2383 | NA | no | NA |
| M. globosa | jgi\|Malgl1|2480|MGL_2479 | NA | no | NA |
| M. globosa | jgi\|Malgl1|2604|MGL_2603 | NA | no | NA |
| M. globosa | jgi\|Malgl1|2674|MGL_2673 | NA | yes | NA |
| M. globosa | jgi\|Malgl1|2689|MGL_2688 | NA | no | NA |


| M. globosa | jgi\|Malgl1|2799|MGL_2798 | NA | no | NA |
| :---: | :---: | :---: | :---: | :---: |
| M. globosa | jgi\|Malgl1|2821|MGL_2820 | NA | no | NA |
| M. globosa | jgi\|Malgl1|2860|MGL_2859 | NA | no | NA |
| M. globosa | jgi\|Malgl1|2983|MGL_2982 | NA | no | NA |
| M. globosa | jgi\|Malgl1|2999|MGL_2998 | NA | yes | NA |
| M. globosa | jgi\|Malgl1|3098|MGL_3097 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3099|MGL_3098 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3333|MGL_3332 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3500|MGL_3499 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3509|MGL_3508 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3697|MGL_3696 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3726|MGL_3725 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3855|MGL_3854 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3863|MGL_3862 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3884|MGL_3883 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3902|MGL_3901 | NA | no | NA |
| M. globosa | jgi\|Malgl1|3984|MGL_3983 | NA | no | NA |
| M. globosa | jgi\|Malgl1|4190|MGL_4189 | NA | yes | NA |
| M. globosa | jgi\|Malgl1|4248|MGL_4247 | NA | no | NA |
| M. globosa | jgi\|Malgl1|4280|MGL_4279 | NA | no | NA |
| M. globosa | jgi\|Malgl1|4281|MGL_4280 | NA | no | NA |
| M. globosa | jgi\|Malgl1|4284|MGL_4283 | NA | no | NA |
| M. globosa | jgi\|Malgl1|4285|MGL_4284 | NA | no | NA |
| M. globosa | jgi\|Malgl1|515|MGL_0514 | NA | no | NA |
| M. globosa | jgi\|Malgl1|560|MGL_0559 | NA | no | NA |
| M. globosa | jgi\|Malgl1|635|MGL_0634 | NA | no | NA |
| M. globosa | jgi\|Malgl1|663|MGL_0662 | NA | no | NA |
| M. globosa | jgi\|Malgl1|887|MGL_0886 | NA | no | NA |
| M. globosa | jgi\|Malgl1|891|MGL_0890 | NA | no | NA |
| M. globosa | jgi\|Malgl1|945|MGL_0944 | NA | no | NA |
| M. globosa | jgi\|Malgl1|948|MGL_0947 | NA | no | NA |
| M. globosa | jgi\|Malgl1|969|MGL_0968 | NA | yes | NA |
| M. pennsylvanicum | mp00071 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp00099 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp00371 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp00381 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp00818 | uncharacterized protein | yes | NA |
| M. pennsylvanicum | mp00858 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp00895 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp01750 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp02293 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp02658 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp02770 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp03081 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp03265 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp03288 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp03427 | uncharacterized protein | yes | NA |


| M. pennsylvanicum | mp 03669 |
| :--- | :---: |
| M. pennsylvanicum | mp 04410 |
| M. pennsylvanicum | mp 05484 |
| M. pennsylvanicum | mp 05636 |
| M. pennsylvanicum | mp 05672 |
| M. pennsylvanicum | mp 06007 |
| M. pennsylvanicum | mp 06011 |
| M. pennsylvanicum | mp 06013 |
| M. pennsylvanicum | mp 06014 |
| M. pennsylvanicum | mp 06015 |
| M. pennsylvanicum | mp 06019 |
| M. pennsylvanicum | mp 06024 |
| M. pennsylvanicum | mp 06028 |
| M. pennsylvanicum | mp 06029 |
| M. pennsylvanicum | mp 06033 |
| M. pennsylvanicum | mp 06035 |
| M. pennsylvanicum | mp 06043 |
| M. pennsylvanicum | mp 06044 |
| M. pennsylvanicum | mp 06047 |
| M. pennsylvanicum | mp 06054 |
| M. pennsylvanicum | mp 06056 |
| M. pennsylvanicum | mp 06058 |
| M. pennsylvanicum | mp 06059 |
| M. pennsylvanicum | mp 06068 |
| M. pennsylvanicum | mp 06070 |
| M. pennsylvanicum | mp 06072 |
| M. pennsylvanicum | mp 06086 |
| M. pennsylvanicum | mp 06101 |
| M. pennsylvanicum | mp 06172 |
| M. pennsylvanicum | mp 06184 |
| M. pennsylvanicum | mp 06191 |
| M. pensylvanicum | mp 06103 |
| M. pennsylvanicum | mp 06109 |
| M. pennsylvanicum | mp 06158 |
| M. pennsylvanicum | mp 06110 |
| M. pennsylvanicum | mp 06111 |
| M. pennsylvanicum | mp 06112 |
| M. pennsylvanicum | mp 06119 |
| M. pennsylvanicum | mp 06121 |
| M. 06185 |  |
| M. |  |
| M. |  |
| M. |  |
| M. |  |


| uncharacterized protein | no | NA |
| :---: | :---: | :---: |
| uncharacterized protein | yes | NA |
| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |
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| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |
| uncharacterized protein | no | NA |


| M. pennsylvanicum | mp06198 | uncharacterized protein | no | NA |
| :---: | :---: | :---: | :---: | :---: |
| M. pennsylvanicum | mp06200 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06201 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06202 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06204 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06217 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06221 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06226 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06231 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06240 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06243 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06247 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06265 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06269 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06276 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06280 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06282 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06296 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06301 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06305 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06317 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06326 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06328 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06333 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06336 | uncharacterized protein | no | NA |
| M. pennsylvanicum | mp06349 | uncharacterized protein | yes | NA |
| M. pennsylvanicum | mp06353 | uncharacterized protein | no | NA |
| S. reilianum | sr10613 | hypothetical protein | no | NA |
| f. sp. zeae |  |  |  |  |
| S. reilianum <br> f. sp. zeae | sr10788.2 | hypothetical protein | no | NA |
| S. reilianum | sr16736 | hypothetical protein | no | NA |
| f. sp. zeae |  |  |  |  |
| S. reilianum | sr17069 | hypothetical protein | no | NA |
| f. sp. zeae |  |  |  |  |
| S. reilianum | sr17257 | hypothetical protein | no | NA |
| f. sp. zeae |  |  |  |  |
| S. reilianum | sr17262 | hypothetical protein | no | NA |
| f. sp. zeae |  |  |  |  |
| S. reilianum | sr17427 | hypothetical protein | yes | NA |
| f. sp. zeae |  |  |  |  |
| S. reilianum | sr17432 | hypothetical protein | no | NA |
| f. sp. zeae |  |  |  |  |
| S. scitamineum | SSCI_00006 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_00007 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_00654 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_01142 | hypothetical protein | no | NA |


| S. scitamineum | SSCI_01522 | hypothetical protein | yes | NA |
| :---: | :---: | :---: | :---: | :---: |
| S. scitamineum | SSCI_01820 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_02260 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_02665 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_03331 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_03442 | conserved hypothetical protein | no | NA |
| S. scitamineum | SSCI_03446 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_03514 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_03817 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_03843 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_03844 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_03850 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_03851 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_03924 | conserved hypothetical protein | no | NA |
| S. scitamineum | SSCI_04055 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_05565 | hypothetical protein | no | NA |
| S. scitamineum | SSCI_05582 | hypothetical protein | no | NA |
| U. hordei | UH_00606 | hypothetical protein | no | NA |
| U. hordei | UH_01152 | hypothetical protein | no | NA |
| U. hordei | UH_01204 | hypothetical protein | yes | NA |
| U. hordei | UH_01288 | hypothetical protein | no | NA |
| U. hordei | UH_01318 | hypothetical protein | no | NA |
| U. hordei | UH_01444 | hypothetical protein | no | NA |
| U. hordei | UH_01578 | hypothetical protein | no | NA |
| U. hordei | UH_01728 | hypothetical protein | no | NA |
| U. hordei | UH_01931 | hypothetical protein | no | NA |
| U. hordei | UH_02069 | hypothetical protein | no | NA |
| U. hordei | UH_02299 | hypothetical protein | no | NA |
| U. hordei | UH_02474 | hypothetical protein | no | NA |
| U. hordei | UH_02679 | hypothetical protein | no | NA |
| U. hordei | UH_02860 | hypothetical protein | no | NA |
| U. hordei | UH_02908 | hypothetical protein | no | NA |
| U. hordei | UH_02914 | hypothetical protein | no | NA |
| U. hordei | UH_03063 | hypothetical protein | no | NA |
| U. hordei | UH_03141 | hypothetical protein | no | NA |
| U. hordei | UH_03159 | hypothetical protein | no | NA |
| U. hordei | UH_03178 | hypothetical protein | no | NA |
| U. hordei | UH_03267 | hypothetical protein | no | NA |
| U. hordei | UH_03368 | hypothetical protein | no | NA |
| U. hordei | UH_03501 | hypothetical protein | no | NA |
| U. hordei | UH_04023 | hypothetical protein | no | NA |
| U. hordei | UH_04081 | hypothetical protein | no | NA |
| U. hordei | UH_04117 | hypothetical protein | no | NA |
| U. hordei | UH_04314 | hypothetical protein | yes | NA |
| U. hordei | UH_04574 | hypothetical protein | no | NA |


| U. hordei | UH_04772 | hypothetical protein | no | NA |
| :---: | :---: | :---: | :---: | :---: |
| U. hordei | UH_05269 | hypothetical protein | no | NA |
| U. hordei | UH_05480 | hypothetical protein | no | NA |
| U. hordei | UH_05524 | hypothetical protein | no | NA |
| U. hordei | UH_05543 | hypothetical protein | no | NA |
| U. hordei | UH_05554 | hypothetical protein | no | NA |
| U. hordei | UH_05559 | hypothetical protein | no | NA |
| U. hordei | UH_05722 | hypothetical protein | no | NA |
| U. hordei | UH_05770 | hypothetical protein | no | NA |
| U. hordei | UH_06049 | hypothetical protein | yes | NA |
| U. hordei | UH_06080 | hypothetical protein | no | NA |
| U. hordei | UH_06111 | hypothetical protein | no | NA |
| U. hordei | UH_06222 | hypothetical protein | no | NA |
| U. hordei | UH_06370 | hypothetical protein | no | NA |
| U. hordei | UH_06474 | hypothetical protein | no | NA |
| U. hordei | UH_06851 | hypothetical protein | no | NA |
| U. hordei | UH_06927 | hypothetical protein | no | NA |
| U. hordei | UH_07217 | hypothetical protein | no | NA |
| U. hordei | UH_07332 | hypothetical protein | yes | NA |
| U. hordei | UH_07359 | hypothetical protein | no | NA |
| U. hordei | UH_07378 | hypothetical protein | no | NA |
| U. hordei | UH_07468 | hypothetical protein | no | NA |
| U. hordei | UH_07661 | hypothetical protein | no | NA |
| U. hordei | UH_07678 | hypothetical protein | no | NA |
| U. hordei | UH_07691 | hypothetical protein | no | NA |
| U. hordei | UH_07829 | hypothetical protein | no | NA |
| U. hordei | UH_07907 | hypothetical protein | no | NA |
| U. hordei | UH_08083 | hypothetical protein | no | NA |
| U. hordei | UH_08258 | hypothetical protein | no | NA |
| U. hordei | UH_08348 | hypothetical protein | no | NA |
| U. hordei | UH_08559 | hypothetical protein | no | NA |
| U. hordei | UH_08652 | hypothetical protein | no | NA |
| U. hordei | UH_08839 | hypothetical protein | no | NA |
| U. hordei | UH_08887 | hypothetical protein | no | NA |
| U. hordei | UH_12255 | hypothetical protein | no | NA |
| U. hordei | UH_13141 | hypothetical protein | no | NA |
| U. hordei | UH_13467 | hypothetical protein | no | NA |
| U. hordei | UH_13888 | hypothetical protein | no | NA |
| U. hordei | UH_14430 | hypothetical protein | no | NA |
| U. hordei | UH_15370 | hypothetical protein | no | NA |
| U. hordei | UH_15756 | hypothetical protein | no | NA |
| U. hordei | UH_16081 | hypothetical protein | no | NA |
| U. hordei | UH_16255 | hypothetical protein | no | NA |
| U. hordei | UH_16407 | hypothetical protein | no | NA |
| U. hordei | UH_16797 | hypothetical protein | no | NA |
| U. maydis | um00052 | putative protein | no | sr11383 |
| U. maydis | um00187 | hypothetical protein | yes | not syntenic |


| U. maydis | um00276 |
| :---: | :---: |
| U. maydis | um00278 |
| U. maydis | um00731 |
| U. maydis | um00858 |
| U. maydis | um01024 |
| U. maydis | um01041 |
| U. maydis | um01047 |
| U. maydis | um01053 |
| U. maydis | um01125 |
| U. maydis | um01226 |
| U. maydis | um01389 |
| U. maydis | um01455 |
| U. maydis | um02112 |
| U. maydis | um02193 |
| U. maydis | um02251 |
| U. maydis | um02313 |
| U. maydis | um02756 |
| U. maydis | um03039 |
| U. maydis | um03047 |
| U. maydis | um03196 |
| U. maydis | um03388 |
| U. maydis | um03472 |
| U. maydis | um03535 |
| U. maydis | um03562 |
| U. maydis | um03797 |
| U. maydis | um03932 |
| U. maydis | um04087 |
| U. maydis | um04120 |
| U. maydis | um04286 |
| U. maydis | um04490 |
| U. maydis | um04772 |
| U. maydis | um04929 |
| U. maydis | um04958 |
| U. maydis | um04968 |
| U. maydis | um05095 |
| U. maydis | um05155 |
| U. maydis | um05342 |
| U. maydis | um05350 |
| U. maydis | um05397 |
| U. maydis | um05399 |
| U. maydis | um05517 |
| U. maydis | um05573 |
| U. maydis | um05772 |
| U. maydis | um05976 |
| U. maydis | um06357.2 |


| hypothetical protein | no | syntenic |
| :--- | :--- | :--- |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | sr12146 |
| hypothetical protein | no | not syntenic |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | syntenic |
| hypothetical protein | yes | sr10767 |
| conserved hypothetical | no | not syntenic |
| Ustilago-specific protein |  | no |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | sr17069 |
| hypothetical protein | no | not syntenic |
| hypothetical protein | no | not syntenic |
| hypothetical protein | no | not syntenic |
| hypothetical protein | no | not syntenic |
| hypothetical protein | no | syntenic |
| hyppothetical protein | no | no |
| hypothetical protein | no | syntenic |
| hypothetical protein | no | not syntenic |
| hypotative protein | nothethetical protein protein | no |


| U. maydis | um06384 | hypothetical protein | no | syntenic |
| :---: | :---: | :---: | :---: | :---: |
| U. maydis | um10045 | putative protein | no | not syntenic |
| U. maydis | um10078 | hypothetical protein | no | not syntenic |
| U. maydis | um10171 | hypothetical protein | no | sr13001 |
| U. maydis | um10264 | hypothetical protein | no | sr10578 |
| U. maydis | um10328 | hypothetical protein | no | syntenic |
| U. maydis | um10358 | hypothetical protein | no | syntenic |
| U. maydis | um10495 | putative protein | no | syntenic |
| U. maydis | um10853 | putative protein | no | syntenic |
| U. maydis | um10950 | hypothetical protein | no | not syntenic |
| U. maydis | um11061 | hypothetical protein | no | not syntenic |
| U. maydis | um11072 | hypothetical protein | no | syntenic |
| U. maydis | um11081 | putative protein (C-terminal fragment) | no | syntenic |
| U. maydis | um11082 | hypothetical protein | no | sr17427 |
| U. maydis | um11094 | hypothetical protein | yes | syntenic |
| U. maydis | um11146 | hypothetical protein | no | syntenic |
| U. maydis | um11237 | putative protein | no | sr16847 |
| U. maydis | um11251 | putative protein | no | sr17065 |
| U. maydis | um11332 | putative protein | no | syntenic |
| U. maydis | um11371 | putative protein | no | no |
|  |  |  |  | information |
| U. maydis | um11387 | hypothetical protein | no | syntenic |
| U. maydis | um11397 | putative protein | no | syntenic |
| U. maydis | um11524 | conserved hypothetical protein | no | syntenic |
| U. maydis | um11639 | hypothetical protein | yes | syntenic |
| U. maydis | um11775 | putative protein | no | not syntenic |
| U. maydis | um11809 | putative protein | no | syntenic |
| U. maydis | um11813 | putative protein | yes | not syntenic |
| U. maydis | um11835 | conserved hypothetical protein | no | not syntenic |
| U. maydis | um11873 | hypothetical protein | no | not syntenic |
| U. maydis | um11891 | conserved hypothetical protein | no | not syntenic |
| U. maydis | um11900 | putative protein | no | syntenic |
| U. maydis | um11980 | putative protein | yes | not syntenic |
| U. maydis | um12092 | hypothetical protein | no | syntenic |
| U. maydis | um12098 | putative protein | no | not syntenic |
| U. maydis | um12156 | putative protein | no | syntenic |
| U. maydis | um12217 | hypothetical protein | yes | not syntenic |
| U. maydis | um12235 | hypothetical protein | no | not syntenic |
| U. maydis | um12275 | hypothetical protein | no | sr15526 |
| U. maydis | um12319 | hypothetical protein | no | not syntenic |
| U. maydis | um12339 | hypothetical protein | no | not syntenic |

[^1]All genes with signs of positive selection in $U$. hordei, $U$. maydis, $S$. scitamineum $S$. reilianum f. sp. zeae and $S$. reilianum f. sp. sorghi, which were detected in the present study are listed in Table 6.2.
Table 6.2: List of genes under positive selection in five related smut fungi

| Species | Gene | Description | $\omega_{\text {free }}$ | $\omega_{\text {join }}$ | Secretion |
| :---: | :---: | :---: | :---: | :---: | :---: |
| S. reilianum f. sp. zeae | sr00093 | hypothetical protein | 1.71197 | 1.71197 | no |
| S. reilianum f. sp. zeae | sr00846.2 | conserved hypothetical protein | 3.07138 | $\leq 1$ | yes |
| S. reilianum f. sp. zeae | sr06404 | related to ARO80 - positive transcription regulator of ARO9 and ARO10 | $\leq 1$ | 1.55914 | no |
| S. reilianum f. sp. zeae | sr06452 | related to NADH-dependent flavin oxidoreductase | 1.40228 | 1.40228 | no |
| S. reilianum f. sp. zeae | sr10057 | conserved hypothetical protein | 1.17126 | 1.17126 | yes |
| S. reilianum f. sp. zeae | sr10059 | conserved hypothetical Ustilagiceae-specific protein | 6.53881 | 6.53881 | yes |
| S. reilianum f. sp. zeae | sr10206 | conserved hypothetical protein | 1.24279 | infinite | no |
| S. reilianum f. sp. zeae | sr10317 | conserved hypothetical Ustilaginaceae-specific protein | 3.53338 | 3.53338 | yes |
| S. reilianum f. sp. zeae | sr10529 | conserved hypothetical protein | 31.1469 | 31.1469 | yes |
| S. reilianum f. sp. zeae | sr10680 | probable methylglutaconyl-coa hydratase; mitochondrial precursor | 1.53935 | infinite | no |
| S. reilianum f. sp. zeae | sr10916.2 | conserved hypothetical Ustilaginaceae-specific protein | 1.45001 | 1.45001 | yes |
| S. reilianum f. sp. zeae | sr11005 | conserved hypothetical protein | 1.02783 | 1.15079 | no |
| S. reilianum f. sp. zeae | sr11154 | conserved hypothetical protein | 1.20855 | $\leq 1$ | no |
| S. reilianum f. sp. zeae | sr11233 | conserved hypothetical Ustilaginaceae-specific protein | 5.22937 | 5.22937 | yes |
| S. reilianum f. sp. zeae | sr11237 | conserved hypothetical protein | 10.7826 | 10.7826 | yes |
| S. reilianum f. sp. zeae | sr11239.2 | conserved hypothetical protein | 5.95563 | 3.93728 | no |
| S. reilianum f. sp. zeae | sr11240 | conserved hypothetical protein | 5.95563 | $\leq 1$ | no |
| S. reilianum f. sp. zeae | sr11254 | conserved hypothetical protein | 2.24726 | infinite | no |
| S. reilianum f. sp. zeae | sr11259 | conserved hypothetical protein | 2.30171 | $\leq 1$ | no |
| S. reilianum f. sp. zeae | sr11400 | conserved hypothetical Ustilaginaceae-specific protein | 1.13351 | 1.13351 | yes |
| S. reilianum f. sp. zeae | sr11471 | related to Serine protease | 2.66863 | infinite | no |
| S. reilianum f. sp. zeae | sr11541 | conserved hypothetical protein | $\leq 1$ | 1.80241 | no |
| S. reilianum f. sp. zeae | sr11929 | conserved hypothetical protein | 2.52875 | 2.52875 | no |
| S. reilianum f. sp. zeae | sr12013 | probable FEN2 - Pantothenate permease | 1.12702 | $\leq 1$ | no |
| S. reilianum f. sp. zeae | sr12126 | related to FRE6 - Ferric reductase | $\leq 1$ | 1.67466 | no |
| S. reilianum f. sp. zeae | sr12173 | related to YEA4 - uridine diphosphate-N-acetylglucosamine | 1.39832 | 1.39816 | no |
| S. reilianum f. sp. zeae | sr12295 | conserved hypothetical protein | 1.19001 | 1.19001 | no |
| S. reilianum f. sp. zeae | sr12344 | conserved hypothetical protein | 1.80458 | $\leq 1$ | no |




conserved hypothetical protein
hypothetical protein
related to Enoyl-CoA hydratase
related to NCR1 - transmembrane glycoprotein; involved in sphingolipid metabolism
conserved hypothetical protein
conserved hypothetical protein
conserved hypothetical protein
conserved hypothetical protein
conserved hypothetical protein
conserved hypothetical protein
conserved hypothetical protein
related to membrane protein Dik6
hypothetical protein
conserved hypothetical Ustilagnaceae-specific protein
conserved hypothetical protein
conserved hypothetical Ustilaginaceae-specific protein
conserved hypothetical protein
conserved hypothetical protein
conserved hypothetical protein
conserved hypothetical Ustilaginaceae-specific protein
conserved hypothetical protein
related to putative monooxygenase
conserved hypothetical protein
conserved hypothetical protein
conserved hypothetical protein
related to UTP6 - U3 snoRNP protein
probable beta-glucosidase
conserved hypothetical protein
conserved hypothetical Ustilaginaceae-specific protein
conserved hypothetical protein
conserved hypothetical protein

sr12419 sr12427 sr12501 sr12655 sr12770 sr12844 \％ $\stackrel{\text { ® }}{\stackrel{\circ}{\circ}}$ sr13334 sr13398 sr13409 $\stackrel{N}{\stackrel{0}{4}}$ $\stackrel{10}{27}$ $\stackrel{\stackrel{3}{7}}{\stackrel{3}{5}}$ | $\stackrel{\rightharpoonup}{4}$ |
| :---: |
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| $\stackrel{\rightharpoonup}{\circ}$ | $\circ$

$\stackrel{\circ}{4}$
$\stackrel{\rightharpoonup}{4}$ sr13671 sr13869 sr14022 sr14083 sr14347 sr14406 sr14463 $\circ$
$\stackrel{0}{0}$
$\stackrel{1}{6}$ sr14682 $\stackrel{8}{\circ}$ sr14937 sr14939 sr14944
 sr15149
S．reilianum f．sp．zeae
 $S$ reilianum f sp zeae
 S．reilianum f．sp．zeae
S．reilianum f．sp．zeae
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| S. reilianum f. sp. zeae | sr15192 | conserved hypothetical protein | $\leq 1$ | 101.265 | no |
| :---: | :---: | :---: | :---: | :---: | :---: |
| S. reilianum f. sp. zeae | sr15203 | conserved hypothetical protein | 1.61396 | 1.61396 | no |
| S. reilianum f. sp. zeae | sr15229 | conserved hypothetical protein | 1.11082 | 1.11082 | yes |
| S. reilianum f. sp. zeae | sr15255 | conserved hypothetical protein | $\leq 1$ | 498.94 | no |
| S. reilianum f. sp. zeae | sr15500 | related to APP1 - Actin Patch Protein | $\leq 1$ | 4.21556 | no |
| S. reilianum f. sp. zeae | sr15591 | related to TPT1-tRNA 2'-phosphotransferase | 1.00706 | $\leq 1$ | no |
| S. reilianum f. sp. zeae | sr15671 | conserved hypothetical protein | 1.88713 | 1.88713 | no |
| S. reilianum f. sp. zeae | sr15716 | conserved hypothetical protein | $\leq 1$ | 337.43 | no |
| S. reilianum f. sp. zeae | sr15939 | conserved hypothetical protein | 2.47531 | infinite | no |
| S. reilianum f. sp. zeae | sr15970 | conserved hypothetical protein | $\leq 1$ | 604.175 | no |
| S. reilianum f. sp. zeae | sr16021 | conserved hypothetical protein | 2.51846 | $\leq 1$ | no |
| S. reilianum f. sp. zeae | sr16116 | probable acyl transferase-like protein | 1.02694 | $\leq 1$ | no |
| S. reilianum f. sp. zeae | sr16119 | conserved hypothetical protein | 2.11268 | 2.11268 | no |
| S. reilianum f. sp. zeae | sr16120 | conserved hypothetical protein | 1.9598 | 1.9598 | no |
| S. reilianum f. sp. zeae | sr16122 | related to Cytochrome P450 | 1.13438 | 1.06102 | no |
| S. reilianum f. sp. zeae | sr16135 | probable ATP18-subunit i/j of the mitochondrial F1F0-ATP synthase | 1.13546 | $\leq 1$ | no |
| S. reilianum f. sp. zeae | sr16278 | conserved hypothetical protein | 1.039 | 1.041 | no |
| S. reilianum f. sp. zeae | sr16520 | conserved hypothetical protein | 7.70556 | 7.70556 | no |
| S. reilianum f. sp. zeae | sr16553 | conserved hypothetical Ustilago-specific protein | 3.22326 | 3.22326 | yes |
| S. reilianum f. sp. zeae | sr16556 | conserved hypothetical Ustilago-specific protein | 3.23532 | 3.23532 | no |
| S. reilianum f. sp. zeae | sr16558 | conserved hypothetical Ustilaginaceae-specific protein | 2.87797 | 2.87797 | yes |
| S. reilianum f. sp. zeae | sr16642 | conserved hypothetical Ustilaginceae-specific protein | 1.02783 | $\leq 1$ | no |
| S. reilianum f. sp. zeae | sr16650 | probable HMG-box transcription factor | 40.4119 | 40.4119 | no |
| S. reilianum f. sp. zeae | sr16859 | conserved hypothetical protein | $\leq 1$ | 1.87546 | no |
| S. reilianum f. sp. zeae | sr20006 | conserved hypothetical Ustilaginaceae-specific protein | 10.6794 | 10.6794 | yes |
| S. reilianum f. sp. sorghi | srs_00093 | hypothetical protein | 1.71197 | 1.71197 | no |
| S. reilianum f. sp. sorghi | srs_00846 | conserved hypothetical protein | 3.07138 | infinite | yes |
| S. reilianum f. sp. sorghi | srs_06410 | conserved hypothetical protein | $\leq 1$ | 29.18 | no |
| S. reilianum f. sp. sorghi | srs_06431 | conserved hypothetical protein | 475.801 | 475.801 | no |
| S. reilianum f. sp. sorghi | srs_06452 | related to NADH-dependent flavin oxidoreductase | 1.40228 | 1.40228 | no |
| S. reilianum f. sp. sorghi | srs_10057 | conserved hypothetical protein | 1.17126 | 1.17126 | yes |


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$\infty$
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| S. reilianum f. sp. sorghi | srs_12427 | hypothetical protein | 1.06862 | 1.06862 | no |
| :---: | :---: | :---: | :---: | :---: | :---: |
| S. reilianum f. sp. sorghi | srs_12456 | probable KRE2 - alpha-1;2-mannosyltransferase | 1.50484 | 5.96477 | no |
| S. reilianum f. sp. sorghi | srs_ 12501 | related to Enoyl-CoA hydratase | 1.53935 | $\leq 1$ | no |
| S. reilianum f. sp. sorghi | srs_12655 | related to NCR1 - transmembrane glycoprotein; involved in sphingolipid metabolism | 1.60055 | infinite | no |
| S. reilianum f. sp. sorghi | srs_12757 | conserved hypothetical protein | $\leq 1$ | 707.986 | no |
| S. reilianum f. sp. sorghi | srs_12770 | conserved hypothetical protein | 1.55237 | 1.55237 | no |
| S. reilianum f. sp. sorghi | srs_12776 | conserved hypothetical protein | $\leq 1$ | 2.12026 | no |
| S. reilianum f. sp. sorghi | srs_12877 | related to molybdopterin synthase large subunit | 1.15798 | $\leq 1$ | no |
| S. reilianum f. sp. sorghi | srs_12957 | probable mfs-multidrug-resistance transporter | $\leq 1$ | 907.41 | no |
| S. reilianum f. sp. sorghi | srs_12968 | conserved hypothetical protein | 37.9007 | 37.9007 | es |
| S. reilianum f. sp. sorghi | srs_12970 | conserved hypothetical protein | $\leq 1$ | 1.72837 | no |
| S. reilianum f. sp. sorghi | srs_12972 | conserved hypothetical protein | 1.76216 | 1.76216 | no |
| S. reilianum f. sp. sorghi | srs_13056 | related to MDR1 - Mac1p interacting protein | 2.27469 | 2.15835 | no |
| S. reilianum f. sp. sorghi | srs_13060 | conserved hypothetical protein | $\leq 1$ | 1.29293 | ys |
| S. reilianum f. sp. sorghi | srs_13205 | conserved hypothetical protein | $\leq 1$ | 1.08661 | no |
| S. reilianum f. sp. sorghi | srs_13324 | conserved hypothetical protein | $\leq 1$ | 1.5301 | no |
| S. reilianum f. sp. sorghi | srs_13334 | conserved hypothetical protein | 2.26451 | 2.26451 | no |
| S. reilianum f. sp. sorghi | srs_13398 | conserved hypothetical protein | 1.48512 | $\leq 1$ | no |
| S. reilianum f. sp. sorghi | srs_13412 | related to membrane protein Dik6 | 1.48676 | 1.48676 | no |
| S. reilianum f. sp. sorghi | srs_13415 | hypothetical protein | 1.14552 | 1.14552 | S |
| S. reilianum f. sp. sorghi | srs_13419 | conserved hypothetical protein | 1.24534 | 1.24534 | es |
| S. reilianum f. sp. sorghi | srs_13490 | conserved hypothetical protein | 2.74755 | 2.74755 | es |
| S. reilianum f. sp. sorghi | srs_13496 | conserved hypothetical protein | 2.17684 | 2.17684 | yes |
| S. reilianum f. sp. sorghi | srs_ 13546 | conserved hypothetical protein | $\leq 1$ | 1.54943 | no |
| S. reilianum f. sp. sorghi | srs_ 13661 | related to TAF2 - component of TFIID complex | 876.665 | 876.665 | no |
| S. reilianum f. sp. sorghi | srs_13671 | conserved hypothetical protein | 1.05028 | 1.05028 | no |
| S. reilianum f. sp. sorghi | srs_13781 | related to molybdenum cofactor biosynthetic protein | 1.41492 | 1.41492 | no |
| S. reilianum f. sp. sorghi | srs_13869 | conserved hypothetical protein | 1.33366 | 1.33618 | yes |
| S. reilianum f. sp. sorghi | srs_13946 | related to SHP1 - potential regulatory subunit for Glc7p | $\leq 1$ | 1.12427 | no |
| S. reilianum f. sp. sorghi | srs_14083 | conserved hypothetical protein | 1.11394 | 1.11394 | S |
| S. reilianum f. sp. sorghi | srs_14529 | related to Zinc finger protein SFP1 | 4.77608 | 4.77608 | о |


| S. reilianum f. sp. sorghi | srs_14785 | conserved hypothetical protein | 63.1724 | 63.1724 | no |
| :---: | :---: | :---: | :---: | :---: | :---: |
| S. reilianum f. sp. sorghi | srs_14894 | conserved hypothetical protein | $\leq 1$ | 9.49286 | no |
| S. reilianum f. sp. sorghi | srs_14937 | probable beta-glucosidase | 1.08064 | infinite | S |
| S. reilianum f. sp. sorghi | srs_14939 | conserved hypothetical protein | 1.80117 | 1.80117 | no |
| S. reilianum f. sp. sorghi | srs_14944 | conserved hypothetical protein | 4.30527 | 4.30527 | es |
| S. reilianum f. sp. sorghi | srs_14996 | probable PHO8 - repressible alkaline phosphatase vacuolar | $\leq 1$ | 677.664 | s |
| S. reilianum f. sp. sorghi | srs_15033 | conserved hypothetical protein | 1.56395 | 1.56395 | no |
| S. reilianum f. sp. sorghi | srs_15122 | conserved hypothetical protein | $\leq 1$ | 7.4706 | no |
| S. reilianum f. sp. sorghi | srs_15147 | conserved hypothetical protein | 31.7216 | 31.7216 | yes |
| S. reilianum f. sp. sorghi | srs_15149 | conserved hypothetical protein | 4.2176 | 4.2176 | yes |
| S. reilianum f. sp. sorghi | srs_15203 | conserved hypothetical protein | 1.61396 | 1.61396 | no |
| S. reilianum f. sp. sorghi | srs_15299 | conserved hypothetical protein | 1.11082 | 1.11082 | yes |
| S. reilianum f. sp. sorghi | srs_15315 | conserved hypothetical protein | $\leq 1$ | 1.57954 | no |
| S. reilianum f. sp. sorghi | srs_15329 | related to IST2 - Plasma membrane protein that may be involved in osmotolerance | 446.687 | 446.687 | no |
| S. reilianum f. sp. sorghi | srs_15591 | related to TPT1-tRNA 12 -phosphotransferase | 1.00706 | $\leq 1$ | no |
| S. reilianum f. sp. sorghi | srs_15619 | conserved hypothetical protein | 1.91947 | 1.91947 | no |
| S. reilianum f. sp. sorghi | srs_15654 | probable small nuclear ribonucleoprotein chain D2 | $\leq 1$ | 407.237 | no |
| S. reilianum f. sp. sorghi | srs_15918 | related to glyoxylate/hydroxypyruvate reductase | $\leq 1$ | 1.79065 | no |
| S. reilianum f. sp. sorghi | srs_15939 | conserved hypothetical protein | 2.47531 | $\leq 1$ | no |
| S. reilianum f. sp. sorghi | srs_15961 | conserved hypothetical protein | 2.25348 | 12.4355 | no |
| S. reilianum f. sp. sorghi | srs_16021 | conserved hypothetical protein | 2.51846 | infinite | no |
| S. reilianum f. sp. sorghi | srs_16116 | probable acyl transferase-like protein | 1.02694 | $\leq 1$ | no |
| S. reilianum f. sp. sorghi | srs_16120 | conserved hypothetical protein | 1.9598 | 1.9598 | no |
| S. reilianum f. sp. sorghi | srs_16122 | related to Cytochrome P450 | 1.13438 | $\leq 1$ | no |
| S. reilianum f. sp. sorghi | srs_16129 | conserved hypothetical protein | $\leq 1$ | 537.788 | no |
| S. reilianum f. sp. sorghi | srs_16135 | probable ATP18-subunit $\mathrm{i} / \mathrm{j}$ of the mitochondrial F1F0-ATP synthase | 1.13546 | $\leq 1$ | no |
| S. reilianum f. sp. sorghi | srs_16157 | conserved hypothetical protein | $\leq 1$ | 463.206 | no |
| S. reilianum f. sp. sorghi | srs_16278 | conserved hypothetical protein | 1.039 | 1.041 | no |
| S. reilianum f. sp. sorghi | srs_16371 | conserved hypothetical protein | $\leq 1$ | 5.99124 | no |
| S. reilianum f. sp. sorghi | srs_16377 | conserved hypothetical protein | $\leq 1$ | 1.50671 | no |
| S. reilianum f. sp. sorghi | srs_16449 | conserved hypothetical protein | 997.171 | infinite | no |


| S. reilianum f. sp. sorghi | srs_16466 | probable Myp1 protein | $\leq 1$ | 3.90275 | no |
| :---: | :---: | :---: | :---: | :---: | :---: |
| S. reilianum f. sp. sorghi | srs_16553 | conserved hypothetical protein | 3.22326 | 3.22326 | yes |
| S. reilianum f. sp. sorghi | srs_16556 | conserved hypothetical Ustilago-specific protein | 3.23532 | 3.23532 | no |
| S. reilianum f. sp. sorghi | srs_16558 | conserved hypothetical protein | 2.87797 | 2.87797 | yes |
| S. reilianum f. sp. sorghi | srs_16588 | conserved hypothetical protein | $\leq 1$ | 357.195 | no |
| S. reilianum f. sp. sorghi | srs_16642 | conserved hypothetical protein | 1.02783 | $\leq 1$ | no |
| S. reilianum f. sp. sorghi | srs_16863 | conserved hypothetical protein | $\leq 1$ | 1.03443 | no |
| S. reilianum f. sp. sorghi | srs_20006 | conserved hypothetical protein | 10.6794 | 10.6794 | yes |
| S. reilianum f. sp. sorghi | srs_25015 | conserved hypothetical protein | 1.02783 | infinite | no |
| S. reilianum f. sp. sorghi | srs_25027 | conserved hypothetical protein | 1.02783 | $\leq 1$ | no |
| S. reilianum f. sp. sorghi | srs_25043 | conserved hypothetical protein | 1.02783 | 1.11774 | no |
| S. reilianum f. sp. sorghi | srs_25047 | conserved hypothetical protein | 1.02783 | infinite | no |
| S. scitamineum | SSCI_00812 | related to TPT1-tRNA $12^{6}$-phosphotransferase | 1.00706 | $\leq 1$ | no |
| S. scitamineum | SSCI_ 01369 | conserved hypothetical protein | $\leq 1$ | 15.104 | no |
| S. scitamineum | SSCI_02435 | related to carbonic anhydrase | 366.235 | 823.893 | no |
| S. scitamineum | SSCI_03055 | related to molybdopterin synthase large subunit | 1.15798 | $\leq 1$ | no |
| S. scitamineum | SSCI_04694 | conserved hypothetical protein | 2.86628 | 2.86628 | no |
| S. scitamineum | SSCI_ 05503 | conserved hypothetical protein | 1.30406 | 1.30406 | no |
| S. scitamineum | SSCI_06326 | probable RPL40A - Ubiquitin | 5.02301 | 5.02301 | no |
| U. hordei | UH_00833 | conserved hypothetical protein | $\leq 1$ | 941.957 | no |
| U. hordei | UH_01988 | conserved hypothetical Ustilaginaceae-specific protein (N-terminal fragment) | $\leq 1$ | 1.14629 | no |
| U. hordei | UH_02987 | hypothetical protein | 1.59605 | 1.10372 | no |
| U. hordei | UH_ 03016 | hypothetical protein | 1.07083 | $\leq 1$ | no |
| U. hordei | UH_ 03570 | conserved hypothetical protein | 1.48512 | 1.64585 | no |
| U. hordei | UH_04676 | related to Mig1 protein | 1.35023 | 1.35023 | yes |
| U. hordei | UH_04736 | related to Mig1 protein; induced during biotrophic phase | 1.58458 | 1.20234 | yes |
| U. hordei | UH_04922 | related to Mig1 protein | 1.35023 | 1.35023 | yes |
| U. hordei | UH_04923 | related to Mig1 protein | 1.35023 | 1.35023 | yes |
| U. hordei | UH_04990 | related to Mig1-Mig1 protein; induced during biotrophic phase | 1.14956 | 1.14956 | yes |
| U. hordei | UH_05685 | probable CPR1 - cyclophilin (peptidylprolyl isomerase) | $\leq 1$ | 1.29869 | no |
| U. hordei | UH_06051 | related to Mig1 protein; induced during biotrophic phase | 1.58458 | 1.97029 | yes |




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| $U$. hordei | $U H_{-} 14902$ | related to Mig2 | 4.15392 | 4.15392 | yes |
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| $U$. hordei | $U H_{-} 15096$ | hypothetical protein | 1.20794 | 1.20105 | no |
| $U$. hordei | $U H_{-} 15387$ | hypothetical protein | 2.42678 | 2.42678 | yes |
| $U$. hordei | $U H_{-} 15725$ | hypothetical protein | 2.42678 | 2.42678 | yes |
| $U$. hordei | $U H_{-} 15858$ | hypothetical protein | 2.42678 | 2.42678 | yes |
| $U$. hordei | $U H_{-} 16658$ | hypothetical protein | $\leq 1$ | 1.30498 | no |
| $U$. maydis | um03440 | conserved hypothetical Ustilago-specific protein | 9.03615 | 9.03615 | no |
| $U$. maydis | um05426 | conserved hypothetical Ustilago-specific protein | 9.03615 | 9.03615 | no |




Figure 6.1: Relationships between gene clusters 1-32, 5-18, 10-15, 20-15, 12-15 and 2-21 of $S$. reilianum f. sp. zeae and homologous regions in $U$. maydis. The genomic region of $S$. reilianum f. sp. zeae is shown on the upper part of each cluster comparison.Arrows indicate the orientation of genes and lines between arrows symbolize intergenic regions (not drawn to scale). Blue arrows indicate genes encoding a predicted secreted protein. Purple arrows indicate an ambiguous prediction of secretion. Brown arrows show genes adjacent to the gene cluster encoding secreted proteins. The red gene name indicates positive selection of this gene. Numbers show the position on the chromosome in kbp. Dashed lines illustrate homology according to the all-agains-all blast search with an e-Value cutoff of 0.001 . Paralogues and orthologues which are not part of the genomic region shown here as well has hits only found in one direction are not indicated. Orange brackets mark a cluster described previously (Kämper et al., 2006; Schirawski et al., 2010).

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Curriculum Vitae


[^0]:    ${ }^{1}$ P，Phleomycin；H，Hygromycin；G，Geneticin

[^1]:    ${ }^{1}$ Synteny was only compared between regions in $U$. maydis that contain an orphan gene and the homologous region in $S$. reilianum f. sp. zeae

