

OPEN SCIENCE & RDM @ GHENT UNIVERSITY

Inge Van Nieuwerburgh, OpenAIRE workshop Prague, April 26th 2018



"FACILITATING OPEN KNOWLEDGE CREATION"



FOCUS ON OPEN ACCESS SINCE EARLY 2000

- Herbert Vandesompel @Ghent up to 1999
- Open access conference 2002
- Repository since 2003
- Driver 2006
- OpenAIRE 2009
- Open access policy 2010
- RDM policy 2016
- Several open science groups: EUA, CESAER, VLIR, ...



OpenAIRE implements the EC

OPEN SCIENCE

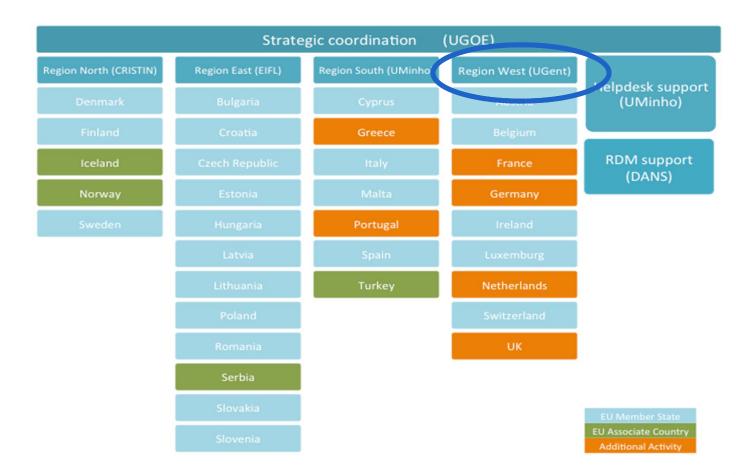
requirements

People support network

- Europe's diverse landscape requires local support
- ✓ Different practices, different mentalities
- √ 33 expert Open Access Desks all over Europe (NOAD)
 - (OA) Policy aligning (pasteur4OA taks)
 - > Technical assistance
 - OS Training and support











PARTICIPATE

SEARCH

MONITOR

SUPPORT

OPEN ACCESS

BELGIUM OA STATISTICS (UPDATED WEEKLY)

OA numbers

Timeline

Graph

Repositories **Document Types**

229681 OA publications in 12 repositories

OA in Belgium

Updated on 09 January 2017

















THE NATIONAL RESEARCH ENVIRONMENT

Within the EU, Belgium has a strong reputation for R&D and innovation, primarily due to the high quality of its education and research facilities. There are two separate university systems in Belgium, six French-speaking universities (including two in Brussels) and 21 university colleges ('hautes écoles'), and six universities and 22 university colleges ("hogescholen") in Flanders (see full list of universities). Additionally there are several subject-based research institutions. The larger strategic research centres in Flanders are often participating in EU and other international projects and are significant actors in the ERA (e.g. IMEC, VITO, VIB), as is the Walloon Space research pole (Liège) or the bio-medical pole (Brussels-Charleroi).

Open Access

BACKGROUND

Overview

Policies and Mandates

Open Access in FP7

Open Access in H2020

IN PRACTICE

EU Member States

Austria

Belgium

Bulgaria

Croatia

Cyprus

Czech Republic

Denmark

Estonia

Finland

France

Germany

Greece

Hungary

Iceland

Ireland

Italy

Lotvio

Example Belgium

Open Science WG BE

Government, experts



Personal contact

Exchange views on policy briefs, exchange information

Align with EC-related groups

ERAC open science WG, NPR, ERA roadmap, ...

Align open science information

OpenAIRE website, Openaccess.be, Unesco, EC survey OA, ...









Image: The Open Science Training Handbook: https://book.fosteropenscience.eu/ - Creative Commons Public Domain Dedication (CC0 1.0 Universal)

CONTEXT



FLEMISH SCIENTIFIC FUND FWO

Art. 2, § 2 Following the Berlin Declaration of 2003 for the promotion of free access to scientific knowledge and cultural heritage, beneficiaries of FWO mandates, credits and projects <u>must deposit</u> the publications that result from the FWO subsidies in a <u>public "Open Access" database</u>, <u>within one</u> <u>year</u> from the date of publication, in order to effect greater impact and valorisation of their work. Researchers are also advised to publish their other publications in such an "Open Access" database, the so-called "Open Archives", together with the research data that resulted in these publications.

Mandated:

Open repository
Embargo permitted: one year
after publication

Advised:

other publications
Related research data

http://www.fwo.be/en/general-regulations/



<u>DMP</u>

"For the FWO, the emphasis is on long-term data preservation and management. The data are targeted to be preserved for a period of at least five years from the end of the research. The DMP related questions in the application form are related to this central aspect."

- ⇒ Answer 5 questions in application
- ⇒ Full DMP six months into project
- ⇒ evaluation: updates DMP



FLEMISH GOVERNMENT: RESEARCH

- Declaration on open access in 2007
- Several policy workshops
- Start working group open science & innovation in 2017
- Representation at European level: support open science



- Report advisory group VRWI "open science for better science with bigger impact 2016
- Report autumn 2017 VLIR Open Science group: call for infrastructure, incentives, skills training and legal framework



COPYRIGHT

- Initiative Belgian CR law: open access provision in contract law: in process
- EU CR law: long process changes in CR law: would include TDM exception



UGENT OPEN ACCESS POLICY



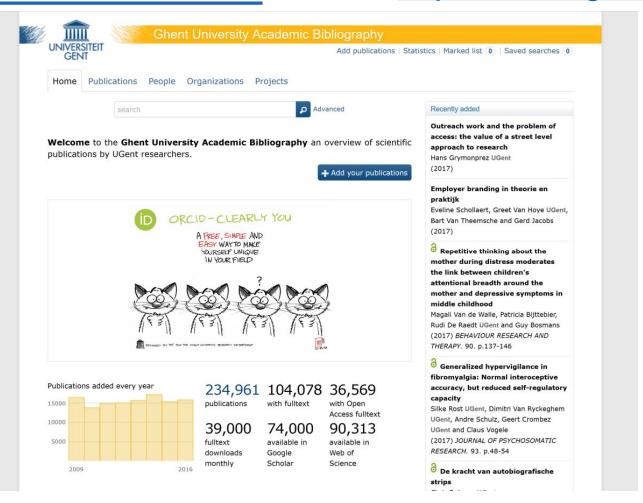
ID/OA MANDATE UGENT SINCE 2010

- Mandate immediate deposit of full text
- Optional open access (if allowed)
- Final peer reviewed manuscript
- Upon publication
- Access to all publications in the UGent network, some open access
 - Copyright exception for use in research & education context



UGENT REPOSITORY

http://biblio.ugent.be





REPOSITORY

Access Level

- Open Access (File is freely available, effective immediately)
- Local Access (UGent only)
- Closed Access (Author/Reviewer only)
- Switch Access Level:

Open Access (File is freely available, effective immediately)

YYYY-MM-DD



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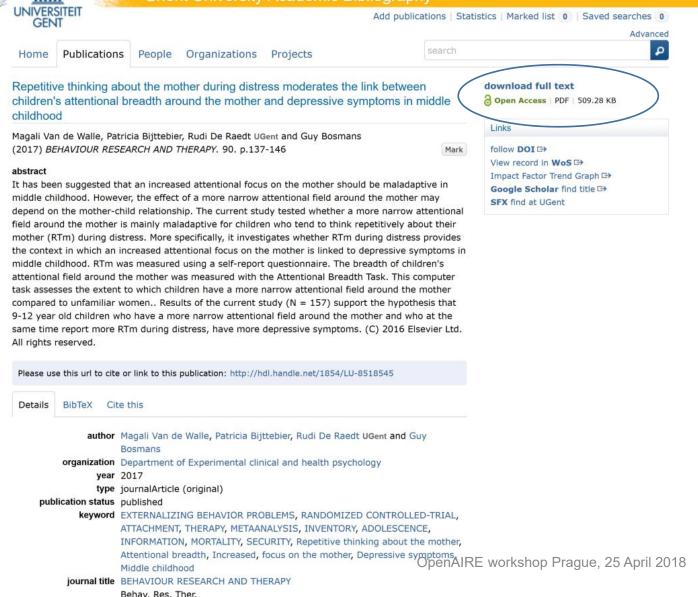


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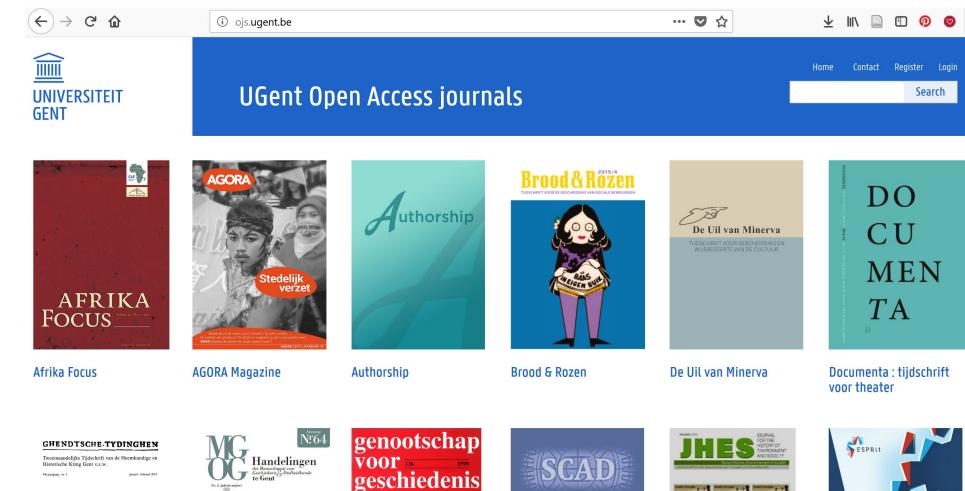


Ghent University Academic Bibliography





UGENT OPEN ACCESS JOURNALS: OJS





UGENT RDM POLICY



PROCESS

- Start: Faculty Psychology and pedagogical studies
- Small working group 2014, extending
- RDM framework since autumn 2016
- Taskforce 2017 for concrete actions, based on the RISE framework DCC
 - (http://www.dcc.ac.uk/resources/how-guides/RISE)
- Concrete action list 2018

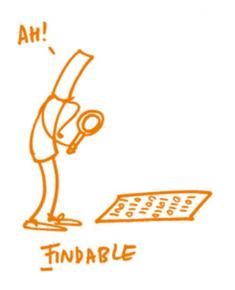


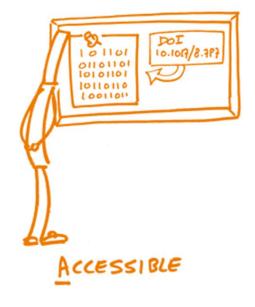
POLICY: WORKING GROUP

- Research director
- Library / ICT / Research admin / information security advisor
- Faculty representation



FAIR DATA PRINCIPLES







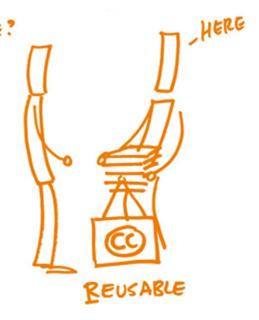




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POLICY FRAMEWORK 2016

"This policy framework gives an outline of the premises in dealing with research data at Ghent University. It is a general policy which defines **basic principles and responsibilities**. It should enable researchers and students to carefully manage their scientific research data. "



OBJECTIVE

Ghent University aims to expand and optimize its quality assurance of research, and aspires to reach an RDM that meets the highest quality standards within the international research context



BASIC PRINCIPLES

- RDM = integral part of good research practice
- Research data = valuable output to be made available
- But some restrictions can apply

'as open as possible, as closed as necessary'



<u>SCOPE</u>

- Research data: all information collected, created, or obtained from third parties by researchers to be analyzed with the purpose of generating, verifying and validating original scientific claims, irrespective of their form or the method of data collection. They can be raw, processed to a greater or lesser extent, or analyzed, and can adopt a digital or non-digital form.
- The term Researchers refers to the staff members, students and persons with a different connection to the University who conduct scientific research at the University
- Shared responsibility researchers, faculty & central admin



CENTRAL ADMIN

- Mechanisms & procedures for storage, registration, archiving and access to data
- General data guidelines, training and advice



FACULTY

- Step between central general provisions and research communities
- Discipline specific guidelines, training and advice



RESEARCHER

- Primary responsible for carefull management of data they generate & collect – FAIR
- When they leave the university, preserve data and accompanying documantation & mde accessible for validation
- Prior written agreements will always have to be made with master students and unpaid and/or voluntary researchers.



EXTERNAL OBLIGATIONS

- Respect relevant legal framework: GDPR, IPR, CR
- If more stringent regulations are imposed, e.g. by funders, comply



TOPICS

- IPR
- Personal data (eg ALLEA code of conduct approved by board)
- DMP: adequate documentation
- Storage
- Retention & destruction of data
- Access to research data "as open as possible, as closed as necessary"



<u>STAFF</u>

- 1 FTE central level (research data manager) since May 2015
- May 2018: +1 FTE: RDM ambassador
- xx% FTE in some faculties



COMMUNICATION

Portal

https://www.ugent.be/en/research/research-

staff/organisation/datamanagement

Internal (re)search tips

http://onderzoektips.ugent.be

Faculty pages



SKILLS

- In doctoral schools
- Dedicated RDM workshops for researchers in faculties
- On demand

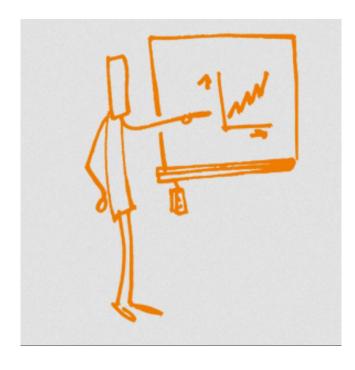




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PRACTICAL: DMP



DATA MANAGEMENT PLAN (DMP)



✓ document outlining how data will be handled <u>during</u> and <u>after</u> a project

✓ increasingly required by research funders/institutions

✓ good practice even if not required, because...



COMMON DMP THEMES

"[...] plans typically state what data will be created and how, and outline the plans for sharing and preservation, noting what is appropriate given the nature of the data and any restrictions that may need to be applied." (DCC website)

- 1. description of data to be collected/created (e.g. content, type, format, volume...)
- 2. methodologies, standards for collecting/creating data & data documentation
- 3. ethics & intellectual property issues (e.g. informed consent, anonymisation, any restrictions on sharing e.g. confidentiality, copyright, embargoes , usage licenses…)
- 4. plans for data sharing & access (e.g. how, when, with whom...)
- 5. strategy for long-term preservation (e.g. what to keep, for how long, where...)

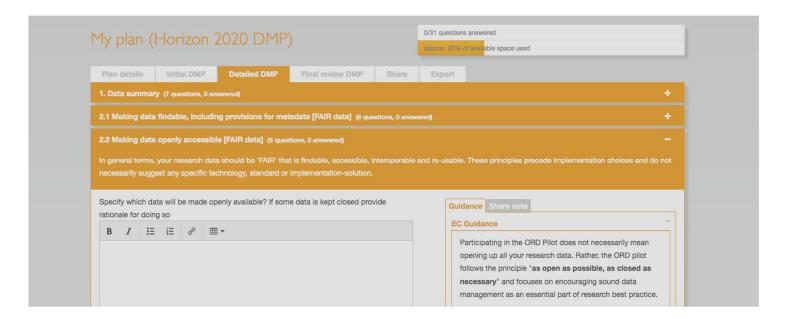




DMPonline.be

A web-based planning tool

- supports researchers to draft a data management plan (DMP)
- includes DMP templates (e.g. Horizon 2020) and guidance



Based on DMPonline software



- open source software developed by DCC (https://dmponline.dcc.ac.uk/)
- local instance: https://dmponline.be



- 2015: started as a pilot @ Ghent University
- 2017: launch of **DMPbelgium consortium** of research institutions, with UGent as project leader
 - develop shared tool
 - hosted on BELNET servers
- for 2018: 6+ new members ready to join







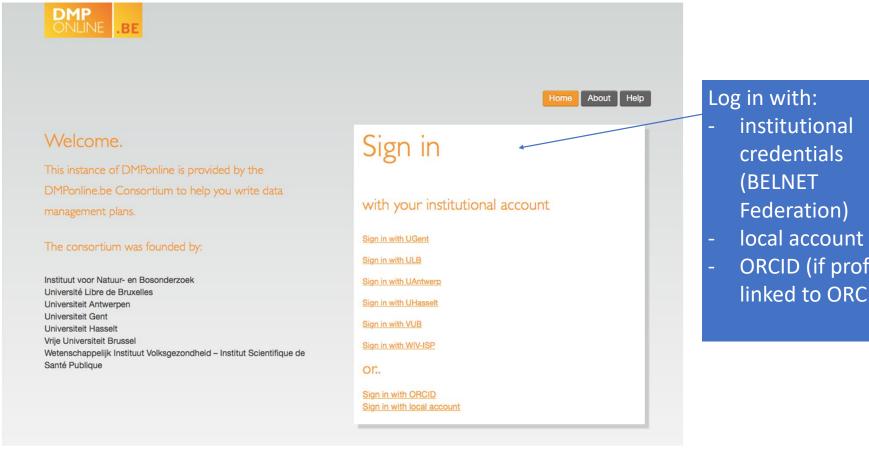






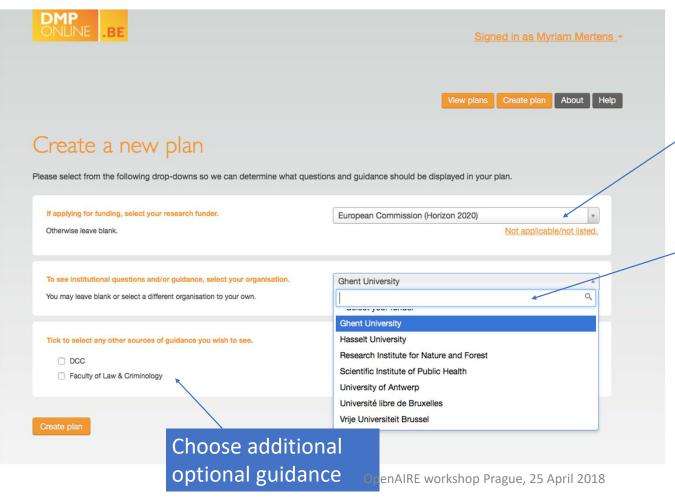


How the tool works



- institutional credentials Federation)
- ORCID (if profile linked to ORCID)

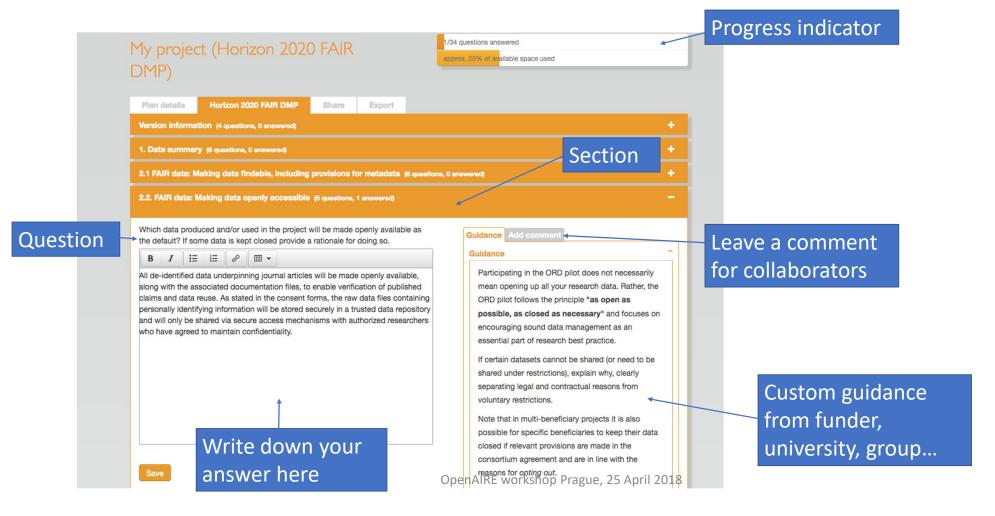
Creating a new plan



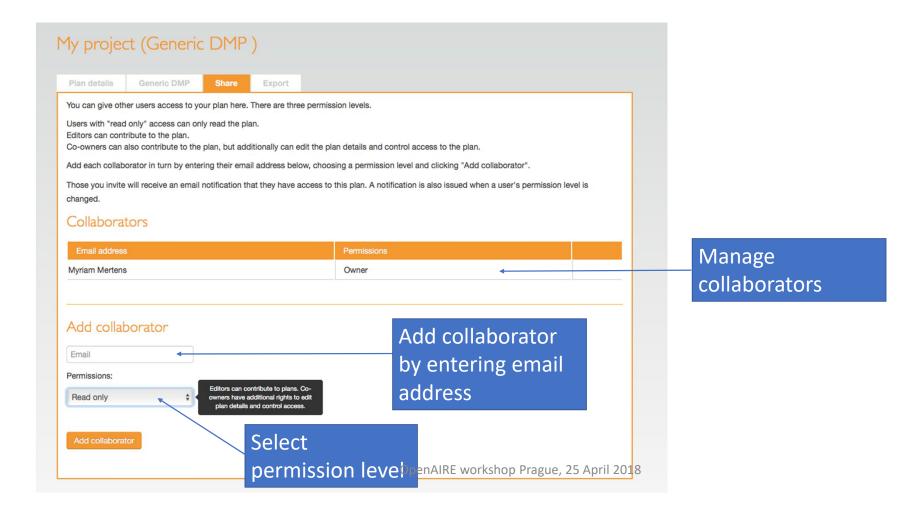
Select funder to get its template

Select institution to get local guidance, as well as institutional template(s) - if funder not applicable

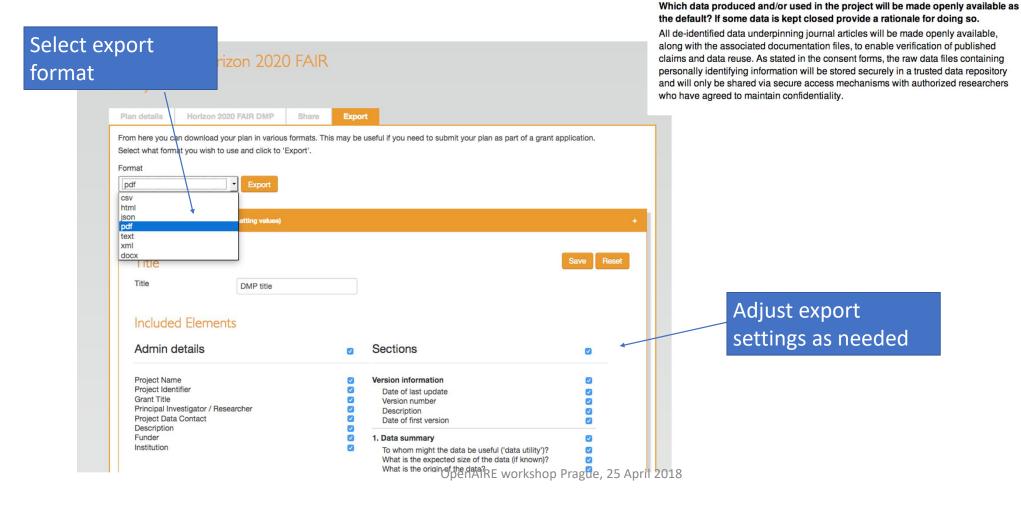
Writing a plan



Sharing a plan

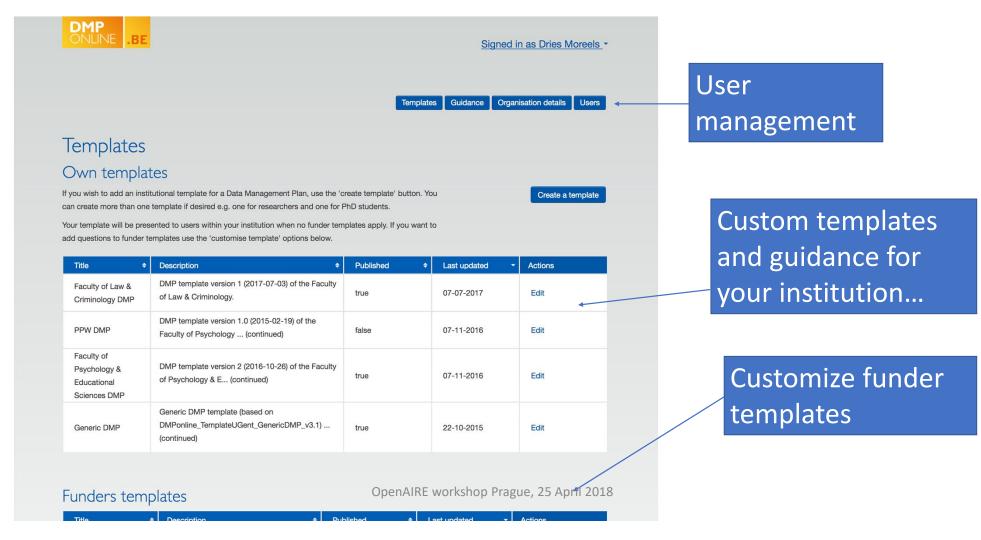


Exporting a plan



2.2. FAIR data: Making data openly accessible

Institutional customization



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