

1 Methodological and practical viewpoints of 2 qualitative-driven mixed method design: the 3 case of decentralisation of primary healthcare 4 services in Nepal

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8 **Background:** Although considerable attention has been paid to the use of quantitative
9 methods in health research, there has been limited focus on decentralisation research using
10 a qualitative-driven mixed method design. Decentralisation presents both a problematic
11 concept and methodological challenges, and is more *context-specific* and is often *multi-*
12 *dimensional*. Researchers often consider using more than one method design when
13 researching phenomena is complex in nature. **Aim:** To explore the effects of decentralisation
14 on the provision of primary healthcare services. **Methods:** Qualitative-driven mixed
15 method design, employing three methods of data collections: focus group discussions
16 (FGDs), semi-structured interviews (SSIs) and participant observations under two compo-
17 nents, that is, core component and supplementary components were used. Four FGDs with
18 health service practitioners, three FGDs with district stakeholders, 20 SSIs with health
19 service users and 20 SSIs with national stakeholders were carried out. These were
20 conducted sequentially. NVivo10, a data management program, was utilised to code the
21 field data, employing a content analysis method for searching the underlying themes or
22 concepts in the text material. **Findings:** Both positive and negative experiences related
23 to access, quality, planning, supplies, coordination and supervision were identified.
24 **Conclusion:** This study suggests some evidence of the effects of decentralisation on
25 health outcomes in general, as well as filling a gap of understanding and examining
26 healthcare through a qualitative-driven mixed methods approach, in particular. Future
27 research in the area of qualitative in-depth understanding of the problems (why decen-
28 tralisation, why now and what for) would provoke an important data set that benefits the
29 researchers and policy-makers for planning and implementing effective health services.

Q4 30 **Key words:** decentralisation; focus groups; health services; in-depth interviews;
31 primary healthcare; qualitative-driven mixed method design

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33 Background

34 The World Health Organisation recommends that
the multiple facets of healthcare should be

35 appropriately understood before making any 35
36 healthcare interventions (Roberts *et al.*, 2004). 36
37 Despite a growing need to engage in health- and 37
38 health systems-related research, there is still 38
39 limited evidence of theoretical and methodological 39
40 underpinnings about qualitative design in this area 40
41 (Green and Thorogood, 2014). 41

42 Patton (2002) suggested that qualitative methods 42
43 in primary healthcare (PHC) research would be 43

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44 appropriate to meet the needs and interests of
 45 decision-makers and healthcare practitioners by
 46 providing an in-depth understanding of complex
 47 health problems, which ultimately would be useful
 48 in health planning and management. In the same
 49 vein, Morse agreed that ‘qualitative [approaches]
 50 often address broad and complex problems rather
 51 than the concise hypotheses found in quantitative’
 52 designs (2003: 834). In Green and Thorogood’s
 53 (2014: xiv) view, one of the limitations of current
 54 approaches to generating qualitative evidence for
 55 PHC research is a lack of relevant and appropriate
 56 study design, as ‘the context of health research may
 57 be rather different from that of general social
 58 research’. To address these concerns, and add to the
 59 literature on health research, this paper uses
 60 qualitative-driven mixed method to explore the
 61 effects of decentralisation on provision of PHC
 62 services in the context of Nepal.

63 **Methods**

64 **Setting**

65 Nepal is one of the poorest countries of South
 66 Asia. Despite expanding the universal healthcare
 67 services through PHC settings to the rural com-
 68 munities, difficult topography (hills and moun-
 69 tains) and political instability have meant that
 70 Nepal has consistency failed to achieve a lasting
 71 change in improving people’s health status.
 72 Accessing and utilising essential PHC, mainly for
 73 poor and marginalised people, remains a chal-
 74 lenge. Revitalisation of PHC, through improving
 75 health access, reducing health inequities, and
 76 addressing new challenges and expectations by
 77 ensuring high quality, has been put forward as an
 78 immediate agenda of the government (Depart-
 79 ment of Health Services, 2014).

80 Between 2007 and 2010, I conducted study on
 81 decentralisation, a system which involves the
 82 transfer of central governments’ resources with
 83 authority, accountability and responsibility to local
 84 tiers of government. Imbued in the notion of
 85 decentralisation is the belief that *local is better* in
 86 terms of identifying, analysing and implementing
 87 appropriate government actions (Regmi *et al.*,
 88 2010). Over four decades, decentralisation has
 89 been adapted to reform health services across the
 90 globe, and Nepal has also adopted this approach to
 91 reform its PHC services.

There is, however, little exploration concerning
 the impact of decentralisation policy on health
 service performance, mainly due to the complex
 nature of the subject matter, as well as methodo-
 logical challenges. Qualitative design in health
 research can assist in filling this gap.

Methodological justification

Although there are no clear-cut divisions
 between quantitative and qualitative paradigms,
 and they are not mutually exclusive; quantitative
 research provides a more generalised and
 numerically based view of reality, allegedly
 neglecting social and cultural meanings (Patton,
 2002; Silverman, 2010). Broadly conceived, quali-
 tative methodology encompasses a variety of
 methods, which are characteristically language-
 based, descriptive rather than analytical, and
 which, to varying degrees, recognise the experi-
 ence of the researcher as a significant variable in
 the form of the data collected (Seale *et al.*, 2004).

Flick (1998: 4) emphasised that ‘recognition and
 analysis of different perspectives, researchers’
 reflections on their research as part of the process
 of knowledge production, and the range of
 approaches and methodology’ are important
 aspects of qualitative research. Qualitative
 methods, therefore, would be a preferred method
 for research design ‘when little is known about the
 topic, when research context is poorly understood,
 when the boundaries of the domain are ill-defined,
 when the phenomenon is not quantifiable, [or]
 when the nature of the problem is murky’ (Morse,
 2003: 833).

Based on the above criteria, qualitative methodo-
 logic is a good fit for the present study. First, there
 have been some attempts to measure the impact of
 decentralisation through allocation of public
 expenses and revenues (fiscal decentralisation)
 using quantitative attributes (Porcelli, 2009;
 Jimenez-Rubio, 2010; 2011). These approaches
 would present a great challenge. According to
 Bossert (2014), measuring decentralisation is more
 about who gets more choice (deconcentration
 or devolution), and how much choice (narrow,
 moderate or broad) is given to local authorities over
 what functions (financing, service delivery, human
 resources, access rules and governance), rather than
 an association of independent and dependent vari-
 ables or causal relationships. This is mainly due to

141 two challenges: (i) problematic concept, as different
142 disciplines (political science, social policy, manage-
143 ment, development studies, geography) use the
144 term decentralisation and it appears in different
145 conceptual literatures (federalism, central–local
146 relations, principal–agent theory, public choice
147 theory). Therefore, the concept of decentralisation
148 is difficult to measure and link to the conceptual
149 literature (Peckham *et al.*, 2006). And (ii) methodo-
150 logical problem, as there is limited evidence
151 available ‘that developed systematic definitions,
152 conceptual frameworks and consistent methodo-
153 logies to produce consistent, valid and reliable
154 results’ (Bossert, 1996: 149). In addition, the nature
155 of decentralisation is *context-specific* and is often
156 *multi-dimensional*, therefore it has been suggested
157 that the effects of decentralisation, even within a
158 country, would be different (Litvack *et al.*, 1998).

159 Second, measuring the impact of decentralisation
160 is a complex phenomenon, as health systems
161 across the world are constantly changing, and how
162 radically the change departs from past practice can
163 often be difficult to measure in quantitative attri-
164 butes (Roberts *et al.*, 2004). Third, the meaning
165 and interpretation of decentralisation is ill-defined
166 and it is recommended to understand its meaning
167 through utilising stakeholders’ knowledge within
168 their context, mechanisms, and expected outcomes
169 (Pawson and Tilly, 1997). Finally, evaluating the
170 impact of health services, mainly in low- and
171 middle-income countries, is often difficult due to
172 the lack of reliable data systems, and traditional
173 (quantitative) research may no longer be appro-
174 priate for addressing complex PHC interventions
175 (World Health Organization, 2014).

176 **Techniques, tools and approaches**

177 The meaning and interpretations of mixed
178 methods are debatable and this often creates some
179 confusion over the way the term has been used in
180 the research literature or paradigms. Cheek *et al.*
181 (2015) argue that people often used the terms
182 ‘mixed methods’, ‘mixed method research’ and
183 ‘multiple methods’ interchangeably. In fact, these
184 terms do not have the same meanings. Several
185 authors argue that the term ‘mixed methods’ has
186 consistently brought ambiguity, confusions and lack
187 of precision (Johnson *et al.*, 2007; Hesse-Biber,
188 2010; Hesse-Biber and Johnson, 2013; Morse and
189 Cheek, 2014; Cheek *et al.*, 2015). Greene (2006)

190 warns that one of the challenges of using mixed
191 methods research is not only the meaning and
192 interpretation of qualitative and quantitative, but
193 also the fact that they belong to different and
194 incompatible paradigms. In such a context, Morse
195 and Niehaus pose a question on ‘how researcher
196 combines the qualitative and quantitative com-
197 ponents in a single project as an essential con-
198 sideration if rigour is to be maintained’ (2009: 19).
199 It can be argued that the issue of incompatibility in
200 mixed methods is always debatable, either due to
201 the disciplinary devaluation of the qualitative
202 component (Creswell *et al.*, 2006) or devaluation of
203 anything less than experimental designs (Denzin
204 and Lincoln, 2005). Another practical challenge is
205 that there is no specific tool or technique that would
206 be able to measure or evaluate the impact of mixed
207 methods designs precisely (Morse and Niehaus,
208 2009). Some commentators have questioned whe-
209 ther using both qualitative and quantitative criteria
210 would be the best approach to evaluating the mixed
211 methods (Sale and Brazil, 2004), but others see the
212 validity ‘legitimation’ is the critical component
213 beyond the sum of its parts (Onwuegbuzie and
214 Johnson, 2006).

215 Generally, mixed methods are considered as a
216 combination of qualitative and quantitative methods
217 that were mixed, but here we have clearly seen the
218 complexity and difficulty involved in the combina-
219 tion. According to Morse and Niehaus (2009), a
220 mixed methods study ‘consists of a qualitative or
221 quantitative core component and a supplementary
222 component (which consists of qualitative or quanti-
223 tative research strategies but is not a complete study
224 in itself)’. This design would also consider ‘mix[ing]
225 two qualitative methods or two quantitative
226 methods’ (Morse and Niehaus, 2009: 20). It is
227 interesting to emphasise that the notion of mixed
228 methods is not only mixing two or more approaches
229 or their parts in a single study, but also ‘it is the point
230 of interface of those approaches and the consequent
231 integration of the results of the various components
232 in the research ... such integration is the key in
233 mixed designs, both to the design and to the sig-
234 nificance of the study’ (Morse and Cheek, 2015: 731).

235 Due to different theoretical drives, that is, the
236 conceptual direction or overall purpose of the
237 research, as well as a combination of both core and
238 supplementary components, qualitative-driven
239 mixed methods can possibly be categorised into
240 four designs (Table 1).

241 Given the objectives and significance of the
 242 study, I decided to adopt a qualitative-driven
 243 mixed methods design QUAL → qual. The study
 244 design, adapted from Morse and Niehaus’s (2009)
 245 qualitative-driven mixed methods research, has
 246 been represented in Figure 1.

247 I obtained data through three methods of data
 248 collections: focus group discussions (FGDs), semi-
 249 structured interviews (SSIs) and participant
 250 observations (POs), where the QUAL core com-
 251 ponent was the FGDs and the supplementary
 252 components were SSIs and POs. These were

253 conducted sequentially, not only to obtain two
 254 different perspectives on the same phenomenon,
 255 but also to integrate the supplementary findings
 256 with the core component. From the SSIs, I hoped
 257 to understand the individuals’ perspectives
 258 and perceptions; from the POs, I wanted to
 259 contextualise the relationship between stake-
 260 holders; and from the FGDs, I hoped to see the
 261 participants’ knowledge and perspectives (per-
 262 ceptions, beliefs, experience), and some degree of
 263 inter-relationships. Morgan (1998) and Phillips
 264 *et al.* (2014) argued that one of the advantages of

Table 1 Qualitative-driven mixed method designs

CORE supplementary	Features
QUAL + qual	Qualitative core and qualitative supplementary components of the research are conducted simultaneously
QUAL → qual	Qualitative core and qualitative supplementary components of the research are conducted sequentially
QUAL + quan	Qualitative core and quantitative supplementary components of the research are conducted simultaneously
QUAL → qual	Qualitative core and quantitative supplementary components of the research are conducted sequentially

Source: Adapted from Morse and Niehaus (2009: 25)

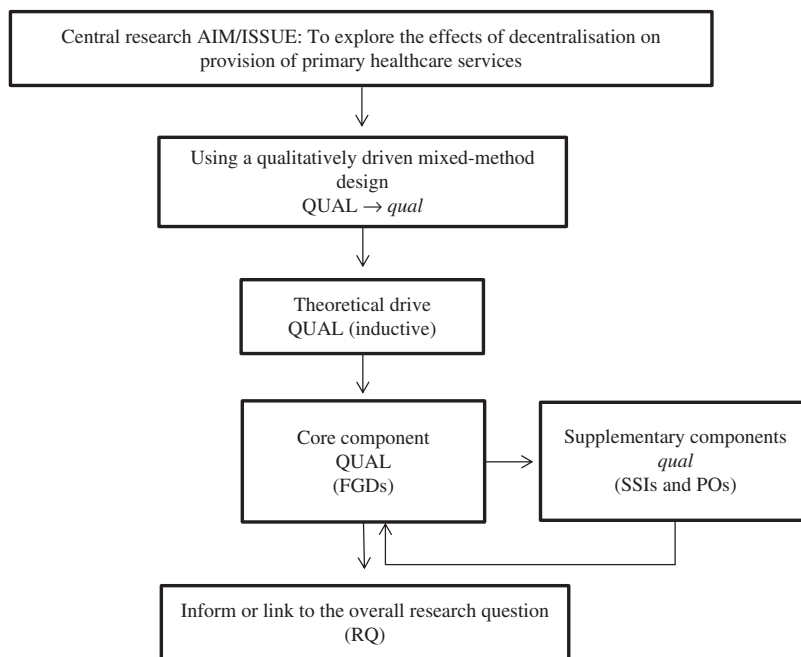


Figure 1 Research design. FGDs = focus group discussions; SSIs = semi-structured interviews; POs = participant observations

265 using multiple methods with multiple groups is
 266 that it allows a comparison of similarities. Addi-
 267 tionally, according to Morse and Niehaus, ‘each
 268 qualitative method has particular questions that
 269 it may answer better than other qualitative
 270 methods’ (2009: 111).

271 In sum, as set out above, this research was
 272 mainly focussed on the collection of qualitative
 273 information, adopting an exploratory and inter-
 274 pretative approach to investigate a particular
 275 phenomenon, related to the decentralisation of
 276 health services in Nepal. The data were collected
 277 through FGDs, SSIs and POs, engaging myself in
 278 the research via an iterative process (Chambers,
 279 1997).

280 **Issues of sampling**

281 The quality of research is often determined by
 282 the use of appropriate methodology, field instru-
 283 ments and suitability of the sampling strategy
 284 (Cohen *et al.*, 2011). This research utilised a
 285 purposive sampling method. As Teddlie and Yu
 286 (2007) and Bowling (2009) discuss, a purposive
 287 sample is one of the non-random methods which is
 288 often used to obtain samples from a group of
 289 people, or a setting to be able to achieve repre-
 290 sentativeness, focussing on specific and unique
 291 issues or cases as well as generating a theory
 292 though collecting data from different sources. In
 293 this study, the process of recruitment (sampling)
 294 stopped when data saturation occurred and all
 295 concepts were generated (Ritchie and Lewis, 2003;
 296 Bowling and Ebrahim, 2005).

297 Sample frames were used to recruit service
 298 users, service providers and members of the man-
 299 agement committee. Bowling (2009) notes that a
 300 sampling frame is a complete list of people or
 301 members from which the sample has been drawn.
 302 In this study, I utilised three registers, that is,
 303 patients, staff register and management commit-
 304 tee, while recruiting those respondents pur-
 305 posively in order to represent the full range of
 306 demographical variables, for example, age,
 307 gender, professional (doctor, nurse). Mason (2002:
 308 121) argues that while conducting qualitative
 309 research, researchers are perhaps ‘not interested
 310 in the census view, or trying to conduct a broad
 311 sweep of everything, so much as focusing in one
 312 specific issue, process, phenomenon, and so on’, as
 313 qualitative research is all about the ‘depth, nuance

and complexity, and understanding how these
 work in reality’. As Newell (1996) argues, the
 selection of an appropriate sample frame also
 increases reliability, because the samples will be
 more likely to reflect the defined population
 accurately if selected again by using the same
 method.

Data collection

Focus groups

Hennink (2007) and Silverman (2010) argued
 that the purpose of having group discussions is to
 capitalise on communication between the group
 members to generate data. Focus groups explicitly
 use group interaction to provide insights to the
 subject matter (Campbell and Holland, 1999;
 Hennink, 2007). Questions covered in the focus
 groups included the effect of decentralisation on
 health services, and how specific groups perceived
 the decentralisation of health service imple-
 mentation and management in their area. To
 gather information, I conducted seven FGDs: four
 with health service providers (HSPs) and three
 with district health service management commit-
 tees (comprising individuals with political invol-
 vement, local leaders and representatives from
 excluded and marginalised communities). Each
 focus group contained four to six individuals who
 were selected purposively.

Interviews

I conducted SSIs, employing interview guides
 derived from both theories and drew upon pre-
 vious research studies about the topic (Bossert,
 2000; Bossert and Beauvais, 2002; Collins and
 Omar, 2003; Omar *et al.*, 2007). To ensure cross-
 case comparability, a SSI protocol was deemed
 more convenient than an unstructured one. The
 broader issue of decentralisation was divided into
 the issues representing the health system and
 quality of health services; for instance, on the issue
 of decision-making, questions were asked as to
 how decisions about health services were taken,
 who made the decisions, who was involved, and
 how they communicated with other health service
 users (HSUs). This breakdown was intended to
 simplify the issue to make respondents feel com-
 comfortable in responding.

360 From a selection of 20 respondents, approxi-
 361 mately five service users per study site from four
 362 PHC facilities were selected purposively, using the
 363 following general criteria to gain the widest
 364 representation:

- 365 • Geographical location of service users
- 366 • Caste and ethnic origin
- 367 • Wealth (these categories were developed with
 368 the help of health professionals and committee
 369 members of health service management)
- 370 • Sex (both male and female)

371 All interviews were tape-recorded after getting
 372 the respondents' approval. Participants' anonym-
 373 ity and confidentiality were protected throughout
 374 the study.

375 **Field visits and POs**

376 Mason (2002) argues that observation helps to
 377 generate data through the immersion of the
 378 researcher into the research context. I had ample
 379 opportunities to observe and participate in local
 380 events during my stay in the field, which helped me
 381 to understand local realities, behavioural patterns,
 382 culture and values. I took notes of each event, such
 383 as: what went well and why; what did not go well
 384 and why not? These data helped me to cross-check
 385 my research. In this study, I used more than one
 386 method of data collection (triangulation of the
 387 data) using FGDs and SSIs, field observation and
 388 reflective notes, involving different stakeholders to
 389 produce rich and detailed contextual findings.
 390 Such findings have not only explained the richer
 391 understanding of the same phenomenon – decen-
 392 tralisation of PHC – better, but also increase the
 393 validity and trustworthiness of the information by
 394 cross-checking different stakeholders' viewpoints
 395 (Denzin, 1978; O'Cathain *et al.*, 2008; Green and
 396 Thorogood, 2014). Tylor and Bogdan (1998)
 397 discussed that in PO, the researcher needs to go
 398 deeper into the sociocultural setting of the
 399 community for an extended period, and make
 400 regular observations of behaviour and the pattern
 401 of decision-making in social areas, such as partici-
 402 pation, decision-making, culture, norms and
 403 values. During the field research, I had some
 404 opportunities to live within the community so as to
 405 interact with its residents, asking open-ended
 406 questions based on the situational context to get
 407 respondents' unique views towards the local health

services (Gray, 2004). In the community, I also
 took part in meetings and discussions about local
 concerns, contributing ideas and sharing my own
 experience and knowledge about particular issues
 with other members. I recorded my observations
 and reflections regarding these meetings in a field
 notebook.

Data analysis

Data were collected from FGDs, SSIs and POs
 of different stakeholders in the study area. With
 the consent of the study respondents, events in
 relation to field studies were recorded in a field
 notebook. Answers from the interviews were
 recorded using a digital voice recorder and then
 transcribed/translated. This information entailed
 the aspects of service access, utilisation and deli-
 very, including the understanding and perceptions
 of respondents about decentralisation linked to
 health services performance.

The analysis of my qualitative interviews and
 discussions began at the start of the interview
 process. In this research, I decided to undertake a
 basic content analysis of the qualitative data
 (Denzin and Lincoln, 1998; Patton, 2002). A qua-
 litative content analysis method searched for
 underlying themes in the text material, which
 contained information contributing to the theme
 of the research (Bowling and Ebrahim, 2005). The
 analysis used transcripts of the FGDs and SSIs,
 identifying key concepts and allocating codes to
 them. Using NVivo10, codes and sentences were
 grouped and compared according to concepts and
 themes.

Issues of validity and reliability

Validity, reliability and generalisability are
 often linked with authenticity and robustness of
 any research or research findings (Regmi, 2013).
 The degree of accuracy of the description of the
 phenomenon depends upon the subject, and the
 context of the study reflects the meaning of validity
 (Bryman, 2001; Gray, 2004). To attain validity and
 reliability, I adopted Mays and Pope's (1996) cri-
 teria: first, I produced a thorough and compre-
 hensive account of the phenomenon under
 scrutiny; second, I carried out my field analysis
 in such a way that another researcher could, in
 theory, analyse the data and draw comparable

455 conclusions. As mentioned, I triangulated the data
 456 by utilising more than one method of data collec-
 457 tion (FGDs, SSIs and POs). In addition, I cross-
 458 validated the data by sending some transcribed
 459 versions of the transcripts back to the respondents
 460 to ask whether my interpretations were accurate
 461 (Robson, 1993). They agreed that the transcripts
 462 were a true reflection of records.

463 To further ensure the degree of validity and
 464 reliability, I followed a consistent approach in data
 465 collection, recording and documentation. First,
 466 I examined the stability of observations over time.
 467 I conducted FGDs and SSIs with different people
 468 in different times and places. Second, I employed
 469 inter-rater reliability (Denzin and Lincoln, 1994)
 470 via checks utilising two independent bilingual
 471 translators.

472 **Results**

473 Four FGDs with HSPs ($n = 20$), three FGDs with
 474 district stakeholders ($n = 15$), SSIs with HSUs
 475 ($n = 20$) and SSIs with national stakeholders
 476 ($n = 20$) were carried out. Respondents were aged
 477 between 16 and 64 years with the mean age 40
 478 years. Interviews took an average of 1.5 h and no
 479 one refused to be interviewed. The analysis
 480 allowed me to obtain 248 computer-generated
 NVivo10 nodes, which were related to the

different dimensions of decentralisation and its 481
 impact on district health services, as well as the 482
 aspects affecting the decentralisation process. Two 483
 data coders were involved in this study. From this 484
 analysis it was possible to obtain two broad cate- 485
 gories: positive and negative aspects of decen- 486
 tralisation related to access, quality, planning, 487
 supplies, coordination and supervision, and parti- 488
 cipation of PHC services at local levels (Figure 2). 489

Positive experiences 490

Planning and participation 491

It was clear that participants on the whole were 492
 involved in the planning and participation in the 493
 services their local health systems offered. Several 494
 respondents stated that they now accessed/utilised 495
 the local health services more than before in the 496
 community, and they also reported that local resi- 497
 dents were more aware about their health and 498
 well-being. This perspective was reflected by 499
 both national stakeholders (policy-planners 500
 and decision-makers) and recipients of services 501
 interviewed in the study. For example, a health 502
 policy-planner stated, 'There were some initiations 503
 of bottom-up health planning involving all stake- 504
 holders; people have now more developed their 505
 ownership' (50-year-old male, national stake- 506
 holder). A member of a health management

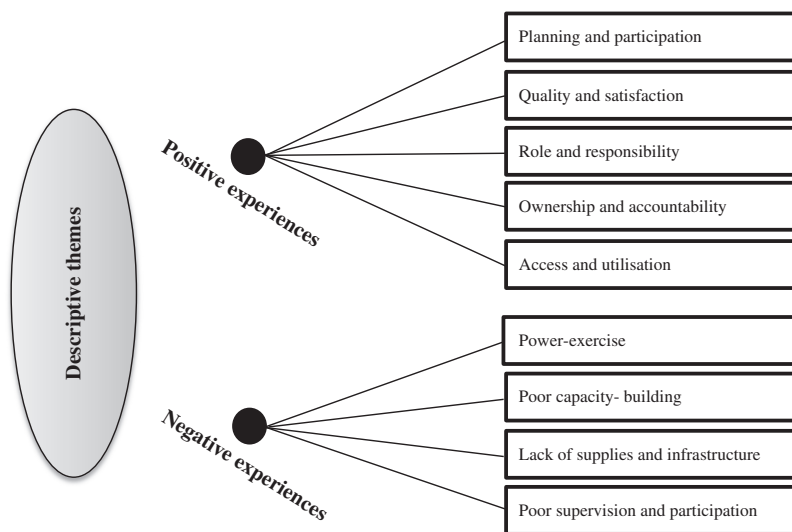


Figure 2 Final lists of descriptive themes

507 committee said, ‘Services are delivered from the
508 village level, as if you develop the village-based
509 programme, they will have more knowledge about
510 their problems and concerns so that it would be
511 much easier to solve them. [A b]ottom-up
512 approach – will help to assess and identify local
513 problems’ (45-year-old male, district stakeholder).
514 On the same topic, another respondent stated his
515 view:

516 Yes, I have been involved in planning and
517 conducting of outreach clinic (ORC) clinics
518 in the village several times as [a] community
519 health volunteer. People recognise us well,
520 giving more value so I feel more honour.
521 (37-year-old female, HSP)

522 *Quality and satisfaction*

523 With reference to the quality of and satisfaction
524 with the services they received, several respon-
525 dents provided positive feedback. A female
526 patient described her positive experience while
527 visiting local health services:

528 I got the service on the same day that I asked
529 for. Health professionals are very appro-
530 priate to resolve most of my own and family
531 problems, and they are very friendly – easily
532 approachable. (45-year-old female, HSU)

533 A male patient highlighted that the healthcare
534 service he got was very good and very memorable,
535 as he described he was there almost two weeks ago
536 with the problem of snake bite. When he reached
537 the PHC, the health professionals put his leg in
538 *colour water* (potassium permanganate) for 12 h.
539 Initially he thought that he would die, but in fact he
540 got fantastic care from them as they were like his
541 god (16-year-old male, HSU).

542 Yet, another female patient stated:

543 Offered very [good] quality services and
544 health workers often requested follow-up
545 visits; very good indeed as compared to 5–7
546 years ago. Always full numbers of health
547 workers delivered health services from
548 newly-constructed buildings; there were five
549 beds for the in-patients, free services, [and
550 an] ambulance for the referral/emergency
551 cases. Good investigation and treatment
552 facilities with friendly care; I liked it.
553 (25-year-old female, HSU)

Participants on the whole noted the improve- 554
ment of services from years past, which con- 555
tributed to their satisfaction level. 556

Role and responsibility clarity 557

Several respondents noted that because they 558
had more clarity about the roles and responsi- 559
bilities of central and local governments in terms of 560
accountability and resource allocations, local 561
health plans could be developed and implemented 562
more inclusively. Local health policies and proce- 563
dures were now in place and, therefore, systems 564
were more proactive in being guided by the needs 565
and experience of local people. One district 566
stakeholder, for example, reported: 567

[There] is now better coordination between 568
[the] District Development Committee and 569
District Health Office in terms of planning 570
and resource-sharing (funds); as a result 571
there [are] some improvements on patients’ 572
attendance. (64-year-old male, district 573
stakeholder) 574

Ownership and accountability 575

Several service providers noted that decen- 576
tralisation would bring developed community 577
ownership. The local medical director/healthcare 578
in-charge, for example, described his positive 579
experience and feeling about the community 580
ownership and accountability: 581

Decentralisation has provided some space 582
to health workers for making healthcare 583
decisions. Because the local authority is an 584
independent entity, we are now able to devolve 585
or generate some revenues at [a] local level. As 586
a result, local people, including political parties, 587
are more accountable to health programmes, 588
which was never the case in the past. (40-year- 589
old male, HSP; 32-year-old male, HSP; 590
36-year-old female, HSP) 591

Developing and implementing health services 592
based on local needs fostered more accountability 593
on the part of the consumer. 594

Access and utilisation 595

Some respondents noted that local health 596
policies or programmes were made based on their 597
(users’) needs and experience (people-centred 598
599

599 health services), and essential services were avail-
600 able at the local level. A female patient said:

601 Easy to come and get it and most of the ser-
602 vices [are] completely free. Poor people who
603 can't afford private clinic can access these
604 services without any costs. We are very
605 happy. Medicines are available throughout
606 the year. (34-year-old female, HSU)

607 A male patient stated the increased avail-
608 ability of basic medicines throughout the year. He
609 added:

610 And they are much cheaper even if we
611 required purchasing. Even x-rays and lab
612 facilities exist in the village that made our
613 life much easier, both cost- and time-wise.
614 (28-year-old male, HSU)

615 **Negative experiences**

616 *Power-exercise*

617 Despite the aforementioned positive experi-
618 ences, there were several concerns about decen-
619 tralisation raised by study participants. One such
620 concern involved collaboration power-sharing.
621 One national stakeholder, for example, forcefully
622 pointed out that though decentralisation is con-
623 sidered to be a fairer governance system, 'political
624 representatives often reflected their parties' vested
625 interests at a local level; as a result they often make
626 decisions based on their interests. Sectoral opera-
627 tional working/service plans, particularly the
628 monitoring and auditing, were not clearly defined'
629 (48-year-old male, national stakeholder). It is
630 important that in decentralisation, collaboration is
631 crucial between central and local governments,
632 and even at the central Ministry of Health and
633 Ministry of Local Development levels, and that
634 needs to be clearly laid out. There are still, how-
635 ever, some issues which appear with regard to the
636 role and responsibilities – who does what and who
637 has what at the central and local health levels.
638 Power-exercise was mostly used at central levels.
639 The same sentiments were also shared by other
640 study participants, that power-sharing has jeo-
641 pardised role identification and clarification, both
642 at the strategic and operational levels, in terms of
643 planning and execution of healthcare at the local
644 levels.

Poor capacity-building

645 Respondents noted concerns about the strategic
646 decisions on location, governance structure, and
647 capacity development, which was the case more
648 often with national-level health stakeholders.
649 According to one health policy-planner:
650

[The] focal point of health sector decen-
651 tralisation [is] not identified, for example,
652 whether the National Planning Commission
653 (a national apex body) or the Ministry of
654 Health. There was also limited provision
655 of capacity development at national and
656 local levels. Also [there was] not clearly
657 defined governance and political structure,
658 and their role in the public sector [was
659 not defined]. (56-year-old male, national
660 stakeholder)
661

662 On the same topic, a health worker respondent
663 stated:

Some policies exist only in papers, but [there
664 are] not clearly defined roles of local health
665 authorities. As a result there is always con-
666 flict [concerning] who does what, who has
667 what, and who gains and loses as a result.
668 There are always poor/inadequate provisions
669 of healthcare monitoring and auditing in
670 place. Similarly, there is a lack of local-level
671 health and wellbeing plans. (39-year-old
672 female, HSP)
673

674 A similar concern was raised by one service user:

There were poor financial mechanisms,
675 mainly fund flow systems from the central
676 government to local level to local health
677 facilities. As a result, several needs-based
678 health plans were not implemented, nor did
679 they reflect poor people's needs and interests
680 in the programme planning and management
681 cycle. (32-year-old female, HSU)
682

683 HSUs and HSPs alike noted concerns related to
684 capacity-building brought about as a result of
685 decentralisation.

Lack of supplies and infrastructure

686 Challenges related to supplies was a stated
687 theme. Some healthcare providers described that
688 in healthcare services there were insufficient
689

690 medicines throughout the year, so people cannot
691 provide better services to poor people.

692 Because poor people cannot afford to purchase
693 some medicines from [the] health centre as they
694 don't have any budgets at the local level, they
695 cannot provide every service, so we failed to
696 address the needs of poor people. (41-year-old
697 male, HSP; 38-year-old female, HSP)

698 They further highlighted that though they have
699 decided in the management committee to open up
700 24-h 'obs and gynae delivery' services, because of
701 the lack of infrastructure and financial support,
702 they could not manage this. The chair of the health
703 service management committee described his
704 struggles with health infrastructure: 'We didn't
705 [even] have any extra room for the patients'. Fear
706 of lack of regular supplies, mainly essential medi-
707 cines, was a recurrent explanation for poor-quality
708 services (51-year-old male, district stakeholder).

709 *Supervision and participation*

710 Concerns about the supervision of, monitoring
711 of and participation in local health services were
712 also noted. One respondent described that:

713 There is a poor supervision and support
714 mechanism between the district [District health
715 office] and primary healthcare centre; there-
716 fore, it is difficult for me being an in-charge
717 centre to assure the community that they will
718 get what they demand. In fact I often felt
719 reluctant to talk [to] the local people about their
720 health needs. (37-year-old female, HSP)

721 Participation, on the whole, appeared relatively
722 nominal. While some people were involved in the
723 planning and management levels, the people who
724 were poor and marginalised were often left out. A
725 medical doctor, for example, lamented:

726 I would like to [be] involved [by] shar[ing] my
727 voice in the health centre as I [am] never ever
728 invited for the general meeting. (48-year-old
729 male, HSP)

730 Similarly, an elderly patient shared:

731 I am a member of *Kisan Samuha* (farmers'
732 group). I am a member of *Adibasi* (indi-
733 genous) women's group, and promoting
734 vegetables and nursery gardens [is] the major

[job] of the group. I would like to engage
myself in the community health works. I am
also a member of one women's group and my
sister-in-law is a community health volunteer,
for tuberculosis. I want to work with these
health workers, especially in the sector of
water, health and sanitation, and environ-
mental health. No, I don't know how to join in
as I was never invited to become a community
health member. (43-year-old male, HSU)

Discussion

In this study, I found that the idea and practice of
decentralisation indicates that the body of locally
elected officials who represent the local govern-
ment or local political unit would be a viable
institution to which power and authority can be
devolved. This notion holds some important
implications, based on the findings of the study
that local political authorities are close to local
communities and can therefore best represent
their interests. Local community involvement
ultimately increases the effectiveness, efficiency
and responsiveness of interventions (see Cheema
and Rondinelli, 1983; Regmi *et al.*, 2010).

Similar to previous studies (see Bossert, 2000;
Bossert and Beauvais, 2002; Bossert *et al.*, 2003;
Collins and Omar, 2003; Omar *et al.*, 2007; Sreer-
amareddy and Sathyanaraya, 2013; Mohammed
et al., 2015), the findings of this study have sup-
ported the claims that decentralisation of PHC
services through devolved power and authority are
seen as beneficial. In particular, local health facil-
ities are gaining some degree of freedom from the
central government. Local officials are being held
accountable to people's needs and interests,
recognising consumers' voices and choices by
health systems, and engaging in participatory ser-
vice planning and management, as well as health
service performance. Additionally, poor and
excluded members of the community have clearly
recognised the benefits of decentralisation. Simi-
larly, sharing the study findings to the community
involving the local HSPs, civil societies and policy-
planners, and decision-makers would allow an
opportunity to hear what the community have to
say, and this dialogue would give HSPs at both
ends of the spectrum an opportunity to evaluate
their own thinking in service delivery.

Notwithstanding the above, this study has also indicated that decentralisation may generate a series of micro-level problems in achieving the objectives of devolution. Omar *et al.* (2007) supported this view by recognising that decentralisation policy in Nepal is coupled with a faulty transfer system and differing levels of efficiency and capacity, which might also hamper the pursuit of regional and local equity in health service delivery and management, as linking the devolution of authority and power to locally elected government authorities is not a sufficient condition to ensure the participation of civil societies and groups in decision-making processes.

Decentralisation at its best has not been fully reflected in practice in Nepal. This study noted that political representatives were still at the centre of health services plans, and they often reflected their parties' vested interests rather than people's needs and aspirations. In addition, this study highlighted that central government is still in control of all financial aspects, including staff hiring and firing. Roles and responsibilities have not been clearly demarcated between central and local government; and external development partners' (donors') roles have not been made clear in terms of developing and implementing local health programmes and policies. These tendencies run against the grain of decentralisation. Furthermore, some service users felt that there were inadequate reflections of poor people's healthcare needs and interests in programme planning and management due to discrimination by practitioners.

Nepal is still in a transitional phase due to political turmoil and instability. As a result, the local government is not operating within the principles of local governance systems. Nevertheless, recently the Government of Nepal has successfully promulgated the new constitution of 2015. In accordance with law, article 35 has fundamentally recognised that 'each person shall have equal access to healthcare', especially targeting the *dalit communities* (ie, poor and marginalised people) (Government of Nepal, 2015).

Strengths and limitations

This study has not only explored some insights into the benefits and disadvantages of decentralisation from the wider stakeholders' perspectives in this

particular country, but also offers lessons learned to provide researchers or policy-makers fodder for further research in the devolution of the healthcare sector. Imbued in this study were three limitations: first, the central purpose of decentralisation was to increase the coverage, efficiency, equity, effectiveness and quality of health services, thereby improving the health status of the population (Bossert, 1996). However, this study focussed on exploring and examining the effects of decentralisation on provision of PHC services and health service performance from the viewpoints of HSUs and HSPs only.

Second, this study adopted a qualitative-driven mixed method design (QUAL → *qual*), where the qualitative core component was the FGDs, which in theory used 'inductive theoretical drive' with the sequential qualitative supplementary component (SSIs and Os). In theory, a mixed method design would strengthen the research study, but in practice it is not always easy to do (Morse and Niehaus, 2009).

Finally, this study employed the purposive method for sampling. Although the researcher captured a diversity of participants in terms of ethnic source, age, sex, location, services category and role in the community, the sample precluded the identification of those who had no access to or utilisation of the health services.

Conclusion

In spite of the methodological limitations, the results from this study do make a valuable contribution to our knowledge in terms of understanding and examining healthcare through qualitative-driven mixed methods design using a QUAL → *qual* approach. Qualitative methods are often criticised as a 'second-class science' (Morse, 2006: 315) because findings are related to a specific context; therefore, knowledge obtained from this approach would be difficult to transfer to another context. This study has, however, recognised the effectiveness of qualitative designs in terms of enacting an in-depth understanding of a problem (decentralisation in a third-world country) and exploring possible options within that given context. The findings from the study would be an invaluable source of information that would directly benefit the marginalised community that it seeks to assist.

880 For these reasons, I believe that the approach has
 881 merit for pursuing additional research (i) to examine
 882 and understand the impact of decentralisation on
 883 output and outcome objectives – improving equity
 884 (access and coverage), efficiency, quality and
 885 improving health outcomes, and (ii) to translate its
 886 implications across a wider scale involving more
 887 PHC services to improve the quality of services,
 888 considering the marginalised or excluded groups
 889 (women, children, poor religious, cultural and ethnic
 890 groups) is now the priority (see Bossert, 1996).

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898 Conflicts of Interest

899 None.

900 Ethical Standards

901 The authors assert that all procedures con-
 902 tributing to this work comply with the ethical
 903 standards of relevant national and institutional
 904 guidelines and with the Helsinki Declaration of
 905 1975, as revised in 2008. The study was approved
 906 by the NHRC and UWE ethics committees.

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