



**LITERATURE REVIEW: A systematic review of the associations between effortful control, repetitive negative thinking and depression in adolescence.**

**EMPIRICAL PAPER: Investigating associations between repetitive negative thinking, stress, and effortful control, and the development and maintenance of depression in adolescence: A follow-up study.**

Submitted by **Erika Claire Baker**, to the University of Exeter  
as a thesis for the degree of **Doctor of Clinical Psychology**, May 2018

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### **Author's Declaration**

The author completed the literature review independently. For the empirical work, participants in sample 1 were recruited at three time-points between March 2017 and July 2017, independently by the author. This study is part of a collaborative project with fellow trainee Clinical Psychologist, Claire Stephens. Her project entitled *“Exploring the relationships between executive functioning, repetitive negative thinking, stress and depression in adolescence: A brief longitudinal study”* recruited sample 2 between October 2016 and January 2017. Her study measured two follow-up waves (Baseline and Pre-exam). The author followed sample 2 up Post-exam to measure emotional recovery. Claire Stephens study also measured executive function tasks, which are not included in the current study. Data will also be shared for journal submissions to investigate temperament, cognition and depression in young people. As a result, the selection of questionnaires and assessments was collaborative and ethical approval was sought jointly for both parts of this project. The author completed all other components of the study including data entry, analysis and write-up independently.

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**SCHOOL OF PSYCHOLOGY**  
**DOCTORATE IN CLINICAL PSYCHOLOGY**

**LITERATURE REVIEW**

**A systematic review of the associations between effortful control,  
repetitive negative thinking and depression in adolescence.**

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## **Abstract**

*Background:* Repetitive negative thinking (RNT) and the self-regulatory temperament, effortful control (EC), have been found to be important risk factors for the development of depressive symptoms. Furthermore, adolescence has been found to be a period of increased risk for developing depressive symptoms. The relationships between these risk pathways are not well understood during this period of development.

*Objective:* This systematic review aimed to evaluate the literature exploring the relationships RNT and EC have in accounting for depressive symptoms in adolescents. In particular, whether RNT and EC are associated with depressive symptoms, and whether EC moderates the effects of RNT on depressive symptoms.

*Methods:* Three databases and key journals were searched for studies measuring EC, RNT and depressive symptoms in 10-20 year olds. Study selection was undertaken by applying inclusion and exclusion criteria. The methodological quality of the included studies was assessed using a validated checklist. Inter-rater reliability was calculated for a random subsample of the search.

*Results:* Thirteen studies were selected for inclusion. There was evidence indicating that RNT was correlated with depressive symptoms both concurrently and prospectively. The evidence for a relationship between lower EC and higher levels of depressive symptoms was mixed. High quality studies concluded that EC and depressive symptoms are associated concurrently, but not over time. There is evidence that lower EC predicts RNT over time, and even spanning over childhood.



Some evidence was found for EC as a moderator between RNT and depressive symptoms and this was also found when the relationship was prospective.

*Conclusions:* Whilst the reviewed literature had many strengths, there were large differences in how EC in particular, was measured. This resulted in a challenge synthesising the results and making clear conclusions. Future research would benefit from considering self-report and behavioural measures, and recognising the potential impact of stressful life events.

## **Introduction**

This review will look at two vulnerability factors implicated in the development and maintenance of depressive symptoms in young people. Depressive disorders are a significant mental health problems during adolescence (Twenge & Nolen-Hoeksema, 2002). By early adulthood, over a quarter of individuals will have experienced at least one episode of depression (Verstraeten, Vasey, Raes, & Bijttebier, 2009). Adolescence and early adulthood is a developmental stage associated with the formation of cognitive styles and functions (Hankin & Abramson, 2001), potentially representing a significant period in the development of psychopathology (Mezulis, Simonson, McCauley & Vander Stoep, 2011). Adolescence is a critical time for heightened vulnerability to depression. A longitudinal study by Hankin and colleagues found that between 15 to 18 years of age individuals show a peak in depressive symptoms (Hankin et al., 1998). It is therefore an important stage to focus on to identify and treat vulnerability factors.

A process that has been widely evidenced as a risk factor for the development and maintenance of emotional disorders, across the lifespan, is repetitive negative thinking (RNT) (see Harvey, Watkins, Mansell, & Shafran, 2004 and Watkins, 2008 for reviews). Repetitive negative thought is defined “by the three process characteristics that are common to all definitions, namely as repetitive thinking about one or more negative topics that is experienced as difficult to control” (Ehring & Watkins, 2008, p.193), the two main forms of which are rumination and worry. A ruminative style of responding has been found to contribute to the onset, the severity and the persistence of depressive symptoms (Nolen-Heoksema & Morrow, 1991;

see reviews by Nolen-Hoeksema, Wisco, & Lyubomirsky, 2008; Nolen-Hoeksema & Watkins, 2011).

Rumination increases from late childhood through adolescence (Hampel & Petermann, 2005), and is predictive of concurrent depression in older children and adolescents (Abela, Parkinson, Stolorow & Starrs, 2009), with higher levels of rumination predictive of future depressive symptoms in adolescence (Abela & Hankin, 2011; Broderick & Korteland, 2004; Michl, McLaughlin, Sheperd & Nolen-Hoeksema, 2013; Young, 2015). A range of terminology is used in the literature, including rumination, worry and RNT, leading to difficulty synthesising results. Furthermore, it is argued that both rumination and worry involve similar processes, but focus on different content (Ehring & Watkins, 2008). RNT reflects processes involved in both rumination and worry, and so for consistency RNT will be referred to throughout.

Ruminative response style is associated with temperamental style (Nolen-Hoeksema, 1991), with reactive aspects of temperament, such as positive affectivity (PA) and negative affectivity (NA) being predominantly the focus of research. However, of interest in the current review is the influence of self-regulatory temperament, effortful control (EC).

EC describes a self-regulatory capacity of an individual to gain active control over their behaviour and emotional responses (Rothbart, 1989). It emerges in toddlerhood, developing across early life (Rothbart, 1989). It includes behavioural regulation, such as the ability to inhibit behaviour effortfully as appropriate (inhibitory control), or the ability to activate behaviour when needed (activation control), as well

as attentional control, such as the ability to voluntarily focus or shift attention when needed (attentional control) (Posner & Rothbart, 2000; Kochanska, & Knaack, 2003; Rothbart, 1989; Rothbart & Ahadi, 1994; Rothbart & Bates, 1998).

EC appears to be a central construct in the emergence of psychopathology (e.g. Rothbart, 1989; Verstraeten et al., 2009). EC reflects an individual's ability to act purposefully in modulating thoughts, emotions and behaviour, and therefore, has broad-reaching implications for young people's adjustment. Evidence shows that EC is a critical predictor of a range of indicators of children's adjustment (e.g. Rothbart, 1996).

Strategies to modulate emotional reactivity, comprising inhibitory, as well as attentional processes (Rothbart, Ahadi, Hershey, & Fisher, 2001) are likely to be involved in RNT, as individuals with low EC are susceptible to having difficulty inhibiting negative thoughts or paying attention to other cues (Rothbart et al., 2001). These processes are likely to contribute to the development of RNT (Rothbart et al., 2001). Given this, it is important to understand how EC may be associated with RNT, a cognitive component implicated in the development of depression.

Furthermore, EC has been found to moderate relationships between reactive temperament, negative affect, and internalising symptoms in children (Muris, van Brakel, Arntz, & Schouten, 2007; Oldehinkel, Hartman, Ferdinand, Verhulst, & Ormel, 2007). It is theorised that EC may also moderate the relationship between RNT and depressive symptoms, as individuals with low EC may not be able to inhibit negative thoughts, resulting in ongoing RNT (Verstraeten et al., 2009). This inability

to disengage from negative thoughts may result in a vulnerability to the onset, maintenance and/or recurrence of depressive symptoms (Nolen-Hoeksema, 2004).

Whilst the definition of EC is generally accepted, the measurement of EC is not. In early to mid-childhood, EC is typically measured using a battery of cognitive performance measures supported with parent and/or teacher reports of the child's behaviour. Post-childhood, self-report questionnaires are commonly used to measure EC. Questionnaire measures either combine all subcomponents of EC or focus on specific subcomponents. Behavioural measures are also used to assess executive attention, a core process theorised to underlie EC (Rothbart & Bates, 2006), however, currently there are no behavioural measures to our knowledge that are used to assess other components of EC. The range of measurements used results in difficulty synthesising the results, as results may reflect subcomponents of EC.

EC and RNT are both indicated as vulnerability (or resilience) factors that are associated with the maintenance of depression (Ho, 2004; Verstraeten et al., 2009; Hoorelbeke, Koster, Vanderhasselt, Callewaert, & Demeyer, 2015). The purpose of this review is to systematically identify all studies that have investigated EC and RNT in young people with depressive symptoms, to determine whether EC and RNT are implicated in an individual's vulnerability to developing depressive symptoms. Clinically, this study will begin to better understand the roles of EC and RNT in the development of depressive symptoms in young people, which may allow us a better understanding of interventions for young people and begin to understand trajectories of depression into adulthood.

The aim of this review is to examine the relationships RNT and EC have on depressive symptoms. Specifically, whether RNT and EC are associated with depressive symptoms, and whether EC moderates the effects of RNT on depressive symptoms.

Review questions: Are EC or RNT each associated with depressive symptoms? What is the evidence that EC acts as a moderator on the relationship between RNT and depressive symptoms?

## **Methods**

This systematic review aims to summarise the evidence relating to the research question using a systematic and transparent approach to identify, select and critically evaluate relevant literature (CRC Guidance, 2009; Liberati et al., 2009). This review followed the Preferred Reporting Items for Systematic Review and Meta-analysis Protocol (PRISMA-P) to guide identification, screening, eligibility and synthesis of studies (Moher, Liberati, Tetzlaff, Altman, & the PRISMA Group, 2009; Moher et al., 2015).

### **Inclusion Criteria**

Studies including both EC and RNT and a measure of depressive symptoms, in young people, were selected.

### **Participants**

Males and females aged 10-20 years old (The World Health Organisation definition of adolescence, 1965; 1977; however, a recent study extended

adolescence to 24 years of age, Sawyer, Azzopardi, Wickremarathne, & Patton, 2018; period of significant neurobiological development, Ahmed, Bittencourt-Hewitt, & Sebastian, 2015). The age range and/or the mean of the sample must fall within this range.

### **Study Design**

Prospective, cross-sectional, experimental and correlational designs were included.

### **Measures**

Measures used focused on the temperament EC (as a broad measure of EC, or a measure of any of the subcomponents: attentional control, inhibitory control and activational control). These may have included self-report, parent or teacher report, or behavioural measures.

EC is operationalized with a number of self-report questionnaires. Two of the measures, the Adult Temperament Questionnaire (ATQ; Evans & Rothbart, 2007; 16-years onwards) and the Early Adolescent Temperament Questionnaire (EATQ-R; Capaldi & Rothbart, 1992; 9-15 year olds) were developed in the same laboratory, focusing on the three subcomponents of EC; activational, attentional and inhibitory control. The Effortful Control Scale (ECS; Lonigan & Phillips 2001; 9-18 year olds) is comprised of Persistence/Low Distractibility and a second related but distinguishable construct of Impulsivity. The Attentional Control Scale (ACS; Derryberry & Reed, 2002; 18-years onwards) is a measure of attentional control (AC) only and is formed of two subscales; The focusing subscale assesses the trait ability to concentrate on

goal-relevant tasks and inhibit distractions, whereas the shifting subscale assesses the trait ability to multi-task and easily switch from one task to another (Derryberry & Reed, 2002).

Many of the functions and core components of EC share similarities with the definition of Executive Functions, which involve a set of subcomponents and processes that exert control over one's attention, cognition, and behavioural tendencies (Blair, Zelazo, & Greenberg, 2005). Despite this, different fields use the terms as distinct separate processes, or even interchangeably (Zhou, Chen, & Main, 2012). In order to systematically assess the role of temperamental EC, any behavioural tasks must measure specific subcomponents of EC.

Behavioural measures include batteries of attention tasks which are predominantly used from early childhood, such as The Preschool Lab-Tab (Gagne, Van Hulle, Aksan, Essex, & Goldsmith, 2011; 3-5 years old) and the TEA-Ch (Manly, Robertson, Anderson, & Nimmo-Smith, 1999; 6-16 years old), both mimic everyday attention tasks (Planalp, Hulle, Gagne, & Goldsmith, 2017), and include tasks on dividing, focusing and shifting attention. The Preschool LAB-TAB is designed to measure dimensions of temperament, whilst TEA-Ch is described as a measure of attentional capacities. Other measures used in the literature focus on attention focusing, shifting and switching. The rationale for including studies focusing on behavioural measures of AC, was due to: a) study 4 labelled the measures as temperamental measures of EC, and so in order to remain consistent, attention measures were included under this assumption; b) regardless of the terminology, the tasks measured the construct of AC, a subcomponent of EC.



Measures of RNT must have focused on repetitive negative thoughts. These included rumination, brooding and worry, as these terms are more commonly used historically in the literature. The measures may have included self-report, parent or teacher report. Trait and state RNT may have been included, with experimental measures also included (e.g. RNT induction).

A widely used self-report measure is the Children's Response Styles Questionnaire (CRSQ; Abela, Rochon, & Vanderbilt, 2000). This is formed of two-factors; rumination factor and distraction/problem-solving and assesses how frequently the individual responds to a sad mood with rumination. The Rumination Response Scale (RRS; Nolen-Hoeksema & Morrow, 1991) measures two-factors of rumination; brooding and reflective pondering, reporting responses to feeling upset. Brooding involves 'a passive comparison of one's current situation with some unachieved standard', whereas reflection refers to 'purposeful turning inward to engage in cognitive problem solving to alleviate one's depressive symptoms' (Treynor, Gonzalez, & Nolen-Hoeksema, 2003, p 256). The Perseverative Thinking Questionnaire (PTQ; Ehring et al., 2011) is a measure of RNT which focusses on thoughts being: repetitive, intrusive and difficult to disengage from. The Perseverative Attention to Negative Events questionnaire (PANE; Mezulis, Abramson, & Hyde, 2002) assesses ruminative responses to negative events.

Measures that include a focus on depressive symptoms were evaluated. These measures may also have included a measurement of other psychopathology (e.g. anxiety), however the discussion focuses on depressive symptoms. This may have been measured using self-report, parent or teacher report or interview. The

most commonly reported measure of depressive symptoms is the Children's Depression Inventory (CDI; Kovacs; 2003) which measures depressive symptoms during the past two weeks for seven to 17 year-olds. Beck Depression Inventory (BDI-II; Beck, Steer, & Brown 1996) is used for 13-years and older and reports on a range of depressive symptoms. The Mood and Feelings Questionnaire (MFQ; Costello & Angold, 1988) is based on diagnostic criteria for depressive disorders for eight to 18 year-olds.

### **Exclusion Criteria**

Papers that were not in English (due to a lack of translating resources), results from unpublished papers or papers from non-peer reviewed journals, or duplicated results from other papers.

### **Search Strategy**

The first step was searching known key papers by complete reference and identifying subject headings and key words, these then informed the search terms (see Table 1). As an additional measure, all key words were mapped to subject headings and entered in the search. The following databases were systematically searched: PsychINFO, MEDLINE (1946 to present Epub Ahead of Print) and Web of Science. No restrictions were placed on the search. See Appendix A for the full search strategy of one database.

Table 1.

*Search terms for systematic review*

Subject of focus	Combinations
Effortful Control	effortful control, EC, attentional control, inhibitory control, activational control, self-regulation
RNT	repetitive negative thought/thinking, RNT, rumination, worry, anxiety
Depression	depressive symptoms, depression, internalising
Adolescence	adolescent, teen, youth, young

Papers were first screened on titles and abstracts, and then on full texts. To make sure no relevant study was overlooked, reference lists of the selected articles were checked and key journals were hand searched to ensure efficiency of search strategy. Finally, searches were repeated on internet databases (ScienceDirect and Google Scholar) to ensure that no paper had been missed.

To enhance reliability and rigour, an independent rater blindly reviewed a random 25% of the total sample of all titles and abstracts obtained. Inter-rater reliability of 98.5% with the primary rater was found, and only one disagreement. Furthermore, a random 25% of all full-text reports retrieved were rated with 100% agreement with the primary rater. Data were then extracted (see Appendix B for data extraction form).

## **Quality evaluation**

The methodological quality of the studies was assessed using the National Institute for Health and Care Excellence (NICE; 2012) quality appraisal checklist for studies reporting correlations and associations (see Appendix C). This checklist had four sections covering population, selection of variables, outcomes, and analysis. Three questions concerning the selection of a comparison group and the benefits and harms of an intervention were removed as these study design elements were not relevant for any of the studies reviewed. Each checklist item was rated according to how well it had been designed or conducted to reduce bias. These item ratings were then used to inform the selection of one of three possible overall quality ratings for each study (see Table 2). Studies were not excluded based on the basis of quality but judgments were used to weigh up the evidential quality provided by the studies within the synthesis.

Table 2.

*Overall quality ratings from the NICE (2012) quality appraisal checklist for studies reporting correlations and associations*

Rating	Criteria
++	All or most of the checklist items have been fulfilled. Where they have not been fulfilled the conclusions are unlikely to alter
+	Some of the checklist items have been fulfilled, where they have not been fulfilled or not adequately described, the conclusions are unlikely to alter
-	Few or no checklist items have been fulfilled and the conclusions are likely to alter

To enhance reliability and rigour, an independent rater blindly reviewed a random 25% of the included studies assessing for quality, achieving 100% agreement with the primary rater.

## **Results**

Initial searches revealed 386 potentially relevant articles. After applying inclusion and exclusion criteria, 269 articles remained. Following a review of the abstracts, a further 111 were eliminated as they did not meet the inclusion criteria. The majority of papers removed at this stage did not specifically focus on any aspect of EC, RNT or the sample did not fit our criteria. Thirty-eight full text articles were examined, with

13 articles accepted for review (see Table 3 for included papers, and Appendix D for excluded papers).

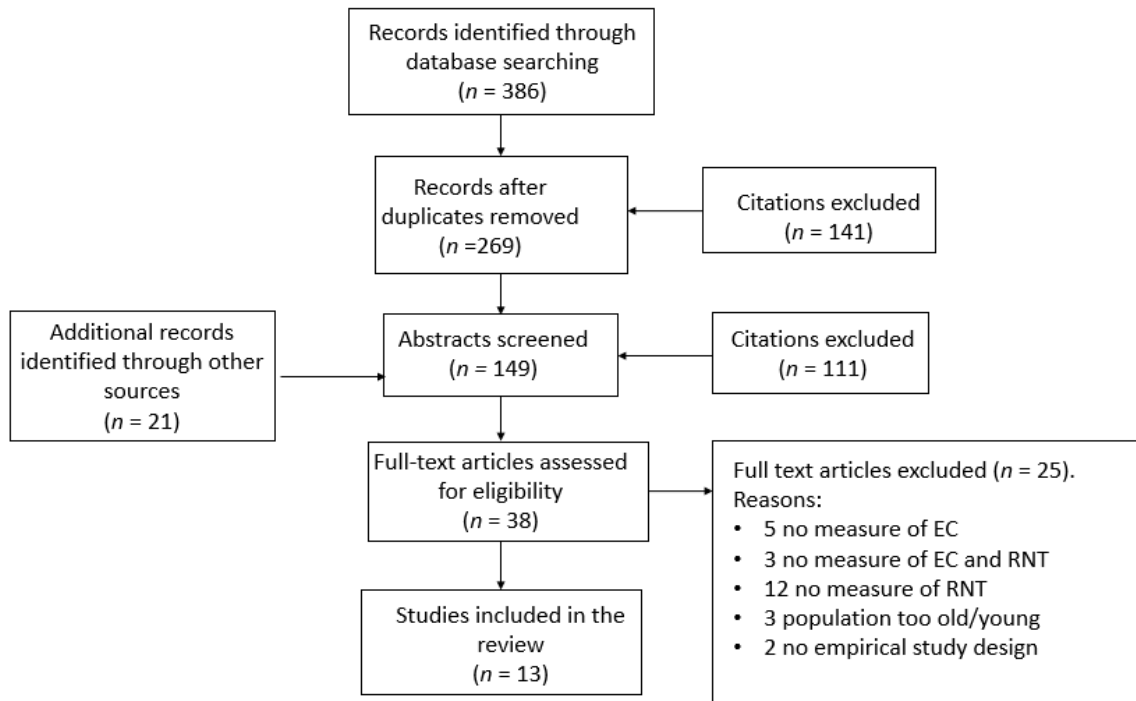


Figure 1. Flow-diagram of study selection process based on the guidelines for preferred reporting items for systematic reviews and meta-analyses (PRISMA: Moher et al., 2009).

Table 3

*Papers included for review*

Author/s and study number	Sample size/ age/ study design	Study Aims	Measures of: 1) RNT 2) depression 3) EC	Results	Effect sizes	Evaluation	Quality score
1. Arger, Sánchez, Simonson & Mezulis (2012)	315 undergraduate students aged 19 to 26 years ( $M_{age} = 20$ ; $SD = 1.2$ ). 72% female.  Cross-sectional design.	1. Investigating vulnerability factors (cognitive style, brooding, stress-reactive rumination) that mediate the relationship between NE and DS. 2. Investigating protective influence of EC	1) RRS (brooding questions only) 2) CES-D 3) ATQ	1. Brooding mediated between NE and DS 2. EC did not moderate between NE and cognitive style. 3. NE & EC did not predict brooding	EC & brooding $r = -.25^*$ EC & DS $r = -.45^*$ Brooding & DS $r = .44^*$  Medium to large	Strengths: 1. Moderate sample size. 2. Multiple vulnerability factors investigated.  Limitations: 1. Only measured brooding, which is derived from five questions from the RRS. 2. Did not include EC as a vulnerability factor in the model 3. Limited information in results write up. 4. No prospective measures 5. EC was not a main focus of the study.	+
2. Bijttebier et al., (2017)	701 adolescents from public Flemish schools.	1. Investigating reliability and validity of PTQ-C	1) PTQ-C 2) CDI 3) ECS	1. RNT positively associated with concurrent and prospective depression.	RNT & DS $r = .49^*$ & $r = .46^*$  RNT & EC	Strengths: 1. Large sample size. 2. Analysis procedure detailed and thorough.	++

	<p>9 to 14 years old (<math>M_{age} = 11.81</math>; <math>SD = 1.13</math>). 53% female.</p> <p>Follow up study, two waves over three months.</p>	<p>2. Explore whether RNT predicts DS, including whether RNT moderates stress and DS.</p> <p>3. Explore whether NA, PA EC predict RNT, whether RNT mediates temperament and DS.</p>		<p>2. RNT moderated the positive association of stress with DS.</p> <p>3. Lower levels of EC predicted increases in DS through heightened levels of RNT 3 months later.</p> <p>4. Higher RNT predicted by lower EC.</p> <p>5. Higher DS were predicted by higher levels of RNT.</p> <p>6. EC did not predict DS 3 months later.</p>	<p><math>r = -.28^*</math> &amp; <math>r = -.31^*</math></p> <p>EC &amp; DS <math>r = -.53^*</math> &amp; <math>r = -.46^*</math></p> <p>Medium to large effects</p>	<p>Limitations:</p> <ol style="list-style-type: none"> <li>1. Stress, a key variable, was measured using self report focussing only on the past 3 months.</li> <li>2. Short duration between measurement waves.</li> <li>3. No theoretical reason given for 3 month follow up.</li> <li>4. No demographics or measurement of clinical/non-clinical.</li> <li>5. EC not included in analysis predicting DS.</li> </ol>	
<p>3. Connolly et al., (2014)</p>	<p>200 adolescents 12 or 13 years old (<math>M_{age} = 12.41</math>; <math>SD = .63</math>)</p> <p>Longitudinal study on the emergence of depression in adolescence (Adolescent Cognition and Emotion; ACE) in the USA.</p>	<p>1. Explore whether rumination and DS would predict AC</p>	<p>1) CRSQ 2) CDI 3) TEA-Ch (attentional control)</p>	<p>1. DS positively correlated with RNT concurrently</p> <p>2. DS predicted RNT at T2.</p> <p>2. Baseline RNT was correlated with lower selective attention, attentional switching</p>	<p>DS &amp; RNT <math>r = .47^{**}</math> &amp; <math>r = .38^{**}</math></p> <p>RNT &amp; AC <math>r = -.02 - r = .06</math></p> <p>DS &amp; AC <math>r = -.05 - r = -.07</math></p> <p>AC small effect sizes.</p>	<p>Strengths:</p> <ol style="list-style-type: none"> <li>1. Longitudinal design over a year</li> <li>2. Moderate sample size.</li> <li>3. Thorough analysis write-up</li> </ol> <p>Limitations:</p> <ol style="list-style-type: none"> <li>1. Appears to include participants based on racial group (African</li> </ol>	<p>+</p>



	Two time points, 1 year apart.			<p>concurrently, one year later.</p> <p>3. Not all AC tasks correlated with one another.</p> <p>4. DS at baseline did not predict change in AC a year later.</p> <p>5. DS not correlated with AC at either time point.</p> <p>6. Lower levels of AC did not predict increased RNT a year later.</p> <p>8. Lower levels of AC did not predict increased DS a year later.</p>		<p>American, Caucasian, or Biracial only)</p> <p>2. Measures AC only.</p>	
4. Hilt, Armstrong & Essex (2012)	<p>337 adolescents 13 and 15 years old (<math>M_{age} = NR</math>; <math>SD = NR</math>). 51% female.</p> <p>Longitudinal study following families from pregnancy.</p> <p>Follow up two time points at 13 and 15 years old.</p>	<p>1. Investigating the impact of early family risk factors on later RNT.</p> <p>2. Investigating the impact of temperaments negative effect and EC on the development of RNT in adolescence.</p>	<p>1) RRS</p> <p>2) MHBQ</p> <p>3)Preschool Laboratory Temperament Assessment Battery (attentional control)</p>	<p>1. Low levels of EC in childhood were associated with high levels of RNT in adolescence.</p> <p>2. EC moderated the positive relationship between over-controlling parenting and RNT in adolescence.</p>	<p>EC &amp; RNT <math>r = -.11^*</math></p> <p>No other data available to report or calculate effect sizes.</p>	<p>Strengths:</p> <p>1. The longitudinal design allowed for modelling the development of risk factors for rumination in adolescence.</p> <p>2. Large sample size.</p> <p>Limitations:</p> <p>1. EC only measured as AC.</p> <p>2. EC only measured in early childhood.</p>	+

				<p>4. For children high in EC no association of negative affect with RNT.          5. Children high in NA also low in ECat greater risk for adolescent RNT.</p>		<p>3. Limited data on age of assessments          4. Data combined at age 13 and 15 to make a composite score, limiting our knowledge of development.          5. Although DS was measured, it was not a key component of the analysis.          6. Descriptive data and correlations not reported.</p>	
<p>5. Hilt, Leitzke &amp; Pollak (2017)</p>	<p>92, 9- 14 year olds (<math>M_{age} = 11.34</math>; <math>SD = 1.46</math>). USA population. 72% female.           Assessed during one laboratory visit.</p>	<p>1. Investigating whether RNT is associated with AC          2. Whether DS associated with AC.</p>	<p>1) CRSQ          2) CDI          3) Dot-probe task (attentional control)</p>	<p>1. RNT predicted longer time attending to stimuli.          2. RNT associated with AC but only with emotional stimuli.          3. No gender effects</p>	<p>AC &amp; RNT <math>r = .00 - r = .21^*</math>           DS &amp; RNT <math>r = .45^{**}</math>           AC &amp; DS not reported           Small to medium effect sizes</p>	<p>Strengths:          1. Findings give a different/unique perspective on the area.           Limitations:          1. EC only measured as AC.          2. Only one task used to measure AC.          3. Limited range of analysis          4. Depression was measured but not used as a main variable in analysis.          5. Small sample size.</p>	+
<p>6. Mezulis &amp; Rudolph (2012)</p>	<p>110 adolescents aged 14.08 to 19.33</p>	<p>1. Prospectively investigate</p>	<p>1) PANE (trait)</p>	<p>1. Event-specific RNT predicted</p>	<p>RNT &amp; DS <math>r = .34^{**}</math></p>	<p>Strengths:</p>	+

	<p>years old (<math>M_{age} = 16.40</math>; <math>SD = 1.33</math>). 73% female.</p> <p>Recruited from three private high schools in USA.</p> <p>Follow up study using diary entries to record stress over 8 weeks.</p>	<p>relationships between NA, cognitive responses to stress, and depressive symptoms</p>	<p>EA-PANE (event-specific RNT) 2) CDI-S 3) EATQ-R</p>	<p>increase in DS the following week. 2. Event-specific RNT mediated the effect of NA on DS. 3. EC did not prospectively predict DS. 4. EC did not moderate the relationship between NA and depression.</p>	<p>RNT &amp; EC <math>r = .03</math>  EC &amp; DS <math>r = -.22^*</math>  Small to medium effect sizes</p>	<p>1. Analysis procedure detailed and thorough.</p> <p>Limitations: 1. The brief follow-up duration limits the predictive validity 2. The stress measure was limited. Participants were asked to identify 2 stressful episodes that week, which is subjective. 3. EATQ-R age limit is 15 years old, no explanation for using this measure outside of limits.</p>	
<p>7. Mezulis, Simonson, McCauley &amp; Stoep (2011)</p>	<p>423 adolescents. At baseline (<math>M_{age} = 12.0</math>; <math>SD = NR</math>), at T2 (<math>M_{age} = 14.1</math>; <math>SD = NR</math>), at T3 (<math>M_{age} = 15.1</math>).</p> <p>3 assessments over 3 years.</p> <p>Longitudinal study investigating the development of depression. Recruited from</p>	<p>1. Investigating temperament, RNT and DS 2. Differentiating brooding from reflection as mediators between temperament and DS 3. Investigating EC as moderators of mediating models.</p>	<p>1. RRS 2. MFQ 3. EATQ-R</p>	<p>1. RNT (brooding) mediates the relationship between NE and DS. 2. EC did not moderate the association between DS and brooding. 3. EC did not moderate the association between NE and brooding.</p>	<p>RNT &amp; DS <math>r = .18^{**} - r = .35^{**}</math>  DS &amp; EC <math>r = -.05</math>  EC &amp; RNT <math>r = -.07</math>  Small to medium effect sizes.</p>	<p>Strengths: 1. Analysis procedure detailed and thorough. 2. Large sample.</p> <p>Weaknesses: 1. All measures completed at different ages. No concurrent data. May be developmental differences. .. 2. Only measured brooding, which is derived from five</p>	<p>++</p>

	public schools in USA.					questions from the RRS.	
8. Mills et al., (2016)	394 undergraduates from a University in USA, ( $M_{age} = 19.1$ ; $SD = 2.61$ ). 73% female.  Follow up study with two time points, 4.5 weeks apart.	1. Examine effects of AC on prospective relationships between RNT and symptoms, including RNT and DS	1.RRS 2.CES-D 3.ACS	1. T1 Focusing significantly positively affected the relationships between T1 RNT and DS T2. 2. T1 Focusing significantly positively affected T1 DS and RNT T2. 3. Total ACS did not affect T1 RNT and T2 DS 4. The shifting subscale of AC did not significantly affect relationships.	Indirect effect with T1 focussing:  RNT $K^2 = .05$  DS $K^2 = .05$  Medium effect	Strengths: 1. Negative life events screen was used. 2. Large sample size.  Limitations: 1. Duration of follow up 4.5 weeks, reasons for this is unknown. 2. Limited detail in results and procedures sections. 3. Only one aspect of EC explored.	+
9. Snyder & Hankin (2016) Study 1.	360 children/adolescents aged 8 to 16 years old at first assessment ( $M_{age} = 12.06$ ; $SD = 2.35$ ). 57.2% female.  Follow up four times over three years: T1 = baseline; T2 (3-15 months into study) = combined scores of	1. Testing a new process model linking poor cognitive control to DS/anxiety symptoms. 2. Investigating stressful life events 3. Investigating RNT	1. CRSQ 2. CDI 3. EATQ-R	1. Lower EC (T1) predicts later DS (T4) 2. This effect is mediated by increased dependent stress (T2) and subsequent RNT (T3). 3. EC predicted dependent stress at T2, with lower	EC & DS $r = -.09$  EC & RNT $r = -.05$  RNT & DS $r = .38^*$  Small to medium effect sizes	Strengths: 1. Included both self report and interview measures of stress. 2. This study was followed up with executive function tasks (Study 2, below). 3. Thorough exploration of the aims of the study,  Limitations:	++

	stress at 3-, 6-, 9-, 12-, 15- months; T3 = 18month; T4 = combined scores of DS at 21-, 24-, 27-, 30-, 33-, 36 month.			EC predicting more stressful life events. 2. RNT did not mediate low EC and DS. 4. RNT at T3 predicted an increase in DS at T4.		1. Although measured longitudinally, DS scores between 21-36 months were combined. 2. Although measured longitudinally, stress scores between 3-15 months were combined.	
<b>10.</b> Snyder & Hankin (2016).  Study 2.	148 11 to 20 year olds ( $M_{age} = 16.29$ ; $SD = 2.46$ ) (from Study 1). 56.8% female.  Participants were invited to take part in an additional study after Study 1 ended.  One laboratory visit.	1. Testing whether effects found in Study 1 extended to similar findings using behavioural measures. 2. Conceptual replication of Study 1.	1) CRSQ 2) CDI 3) Attention and working memory tasks (stroop, category switch, keep track working memory updating, spatial span forward/backward)	1. Lower EC was associated with higher DS. 2. This effect increased with age. 3. Age did not mediate the relationship between EC and DS. 4. Lower EC reported more dependent stress, which increased with age. 5. Higher levels of dependent stress was associated with higher RNT. 6. Higher levels of dependent stress and higher levels of RNT had	EC & RNT $r = -.24^*$  RNT & DS $r = .42^*$  EC & DS $r = -.14$  Small to medium effects.	Strengths: 1. Supports Study 1 exploring task related EC. 2. A number of EF tasks were used, with confirmatory factor analysis demonstrating adequate model fit.  Limitations: 1. Tasks not completed at same time as questionnaires. 2. No data were used from Study 1 i.e. DS/RNT to measure prospective relationships.	++

				higher levels of DS. 7. RNT did not mediate EC and DS.			
11. Stange et al., (2016)	<p>285 adolescents (<math>M_{age} = 12.41</math>; <math>SD = .63</math>). 53.2% female.</p> <p>Participants were part of the Adolescent Cognition and Emotion (ACE) longitudinal study in USA.</p> <p>Followed up twice over 4.75 years.</p>	<p>1. Evaluating attentional shifting and RNT as prospective predictors of depression onset.</p> <p>2. Explore sex differences in these relationships.</p>	<p>1) CRSQ 2) CDI 3) TEA-Ch (AC)</p>	<p>1. No gender differences in levels of RNT or AC.</p> <p>2. Girls more likely to experience onset of depression during study.</p> <p>3. RNT and AC did not correlate.</p> <p>4. RNT predicted a shorter time until first onset of MDD.</p> <p>5. This was significant for males, not females.</p> <p>6. Lower AC predicted a shorter time until the first onset of MDD.</p> <p>7. AC did not moderate the relationship between RNT and time until first onset MDD.</p>	No data available to report or calculate effect sizes.	<p>Strengths:</p> <p>1. Depression screening was used to include adolescents without previous depression episode.</p> <p>2. Moderate sample size.</p> <p>Limitations:</p> <p>1. AC was measured using attentional shifting task only.</p> <p>2. Limited detail in analysis section.</p>	+

				8. AC did not moderate RNT and sex predicting time until MDD onset.			
<b>12.</b> Verstraeten, Bijttebier, Vasey & Raes (2011)	138 9-13 year olds ( $M_{age} = 10.8$ ; $SD = .69$ ). 52.9% female.  Cross-sectional design.  Recruited from 3 Belgian primary schools.	1. Investigate associations between brooding, reflection and worry. 2. Their associations with depression and anxiety. 3. Examine NA, PA & EC and RNT with anxiety and depression. 4. Investigate different measures of EC	1. CRSQ rumination subscale RRS reflection items (3 items each) 2. CDI 3. ACS & ECS	1. RNT brooding was significantly associated with EC, but reflection was not. 2. EC did not predict RNT. 2. Higher levels of brooding (not reflection) was associated with higher levels of DS. 3. DS were predicted by lower EC. $r = -.22$ (study 6) to $r = -.53$ (study 2). 4. Activation control and inhibitory control predicted DS. AC predicted anxiety symptoms. 6. Girls reported more DS than boys.	ECS/ACS & RNT brooding $r = -.24^{**}$ & $r = -.29^{**}$  ECS/ACS & RNT reflection $r = -.14$ & $r = -.17$  ECS/ACS & DS $r = -.47^{**}$ & $r = -.35^{**}$  Brooding/reflection & DS $r = .56^{**}$ & $r = .40^{**}$  Small to medium	Strengths: 1. Good description of method 2. Detailed analysis 3. Investigating different subtypes of RNT and EC  Limitations: 1. Relatively small sample 2. Cross-sectional designs do not allow investigation of the direction of effects. 3. EC not included in some analysis. 4. ACS adult measure (18+) with no reason given for using it with younger sample	++
<b>13.</b> Verstraeten, Vasey, Raes & Bijttebier (2009)	304, 11-17 years old ( $M_{age} = 14.26$ ; $SD = 1.23$ ). 58.8% female.	1. Investigate the role of temperament;	1. CRSQ 2. BDI-II 3. ECS	1. Lower EC associated with higher DS concurrently.	EC & RNT $r = -.22^{***}$	Strengths: 1. Large sample 2. Detailed analysis	++

	<p>Community sample recruited from schools in Belgium.</p> <p>T2= 249</p> <p>Longitudinal design (1 year follow up).</p>	<p>NA, PA &amp; EC in DS.</p> <p>2. Investigate whether EC moderates associations of NA &amp; PA with DS.</p> <p>3 .Investigate whether RNT mediates the association between NA and DS, with this indirect path being moderated by EC.</p>		<p>2. Higher NA was associated with DS only at lower levels of EC.</p> <p>3. EC did not predict DS at T2</p> <p>4. Significant association between RNT and DS.</p> <p>5. RNT mediated the association between high NA and DS.</p> <p>6. The previous effect was moderated by EC finding stronger at low levels of EC, than at high levels of EC.</p> <p>7. Cross-sectional data (T1) EC moderated the association between NA and RNT.</p> <p>8. Longitudinally EC moderated the association between RNT and DS at T2.</p> <p>9. Lower PA associated with</p>	<p>EC &amp; DS <math>r = -.39^{***}</math> &amp; <math>r = -.30^{***}</math></p> <p>RNT &amp; DS <math>r = .62^{**}</math> &amp; <math>r = .35^{**}</math></p> <p>Small to medium effect sizes.</p>	<p>Limitations:</p> <p>1. One broad measure of EC, not investigating different subtypes.</p>	
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				DS only at lower levels of EC. 10. Girls reported higher DS and RNT levels			
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AC = Attentional Control; ACS = Attentional Control Scale, Focusing and Shifting Subscales; ATQ = Adult Temperament Questionnaire; BDI-II = Beck Depression Inventory; CDI = Children’s Depression Inventory; CDI-S = Children’s Depression Inventory \_ Short Form; CES-D = Center for Epidemiological Studies – Depression Scale; CRSQ = Children’s Response Styles Questionnaire; DS = Depressive Symptoms; EA-PANE = Event Anchored Perseverative Attention to Negative Events scale; EATQ-R = Early Adolescent Temperament Questionnaire \_ Revised; EC = Effortful Control; ECS = Effortful Control Scale; MDD = Major Depressive Disorder; MFQ = The Mood and Feelings Questionnaire; MHBQ = MacArthur Health and Behaviour Questionnaire; NA = Negative Affectivity; NE = Negative Emotionality; NR = Not Recorded; PA = Positive Affectivity; PANE = Perseverative Attention to Negative Events scale; Preschool Laboratory Temperament Assessment Battery; PTQ-C = Perseverative Thinking Questionnaire-Child Version; \*RNT = Repetitive Negative Thinking; RRS = Ruminative Responses Scale; The Test of Everyday Attention for Children (TEA-Ch)

\*RNT is also used to describe rumination. RNT is reported for consistency.

## Critical Analysis

The aim of this review was to examine the relationships between RNT and EC and depressive symptoms. Review questions: Are EC or RNT each associated with depressive symptoms? What is the evidence that EC acts as a moderator on the relationship between RNT and depressive symptoms?

### Examining RNT and depressive symptoms

The association between RNT and depressive symptoms is well established in the literature and was replicated in this review. Associations between RNT and depressive symptoms (reported in 10 studies; 1-3, 5-7, 9, 10, 12 & 13) were all significant and ranged from  $r = .18$  (study 7) to  $r = .56$  (study 12), with a mean of  $r = .41$ . RNT was found to predict depressive symptoms over time (from eight weeks, study 6; to over a year, study 3;  $n = 6$ ). One study reported reciprocal effects, with depressive symptoms and RNT predicting one another over four weeks (study 8). One study found that depressive symptoms predicted RNT but this effect was not reciprocated (study 3). Study 12 found a concurrent association, where the relationship with depressive symptoms was specific to brooding, but not reflection. These findings further support the relationship between RNT and depressive symptoms in adolescence.

### Examining EC and depressive symptoms

Significant associations between EC and depressive symptoms ( $n = 5$ ; studies 1, 2, 6, 12 & 13) ranged from  $r = -.22$  (study 6) to  $r = -.53$  (study 2), with a mean of  $r = -.41$ . A further four studies (3, 7, 9 & 10) reported non-significant associations

ranging from  $r = -.05$  (study 6) to  $r = -.14$  (study 2). All reported correlations were in the expected direction with lower EC associated with higher depressive symptoms.

No significant relationships were found over time between 3 months and a year later in studies 2, 3, 6 and 13. However, lower EC was associated with higher depressive symptoms concurrently (studies 10 & 11), and predicted first onset of depression, rather than symptoms, three years later (study 9).

The studies that did and did not find significant relationships both used a range of self-report and behavioural measures, with a range of samples and study designs. However, a number of high quality studies reported findings indicating that EC does not predict depressive symptoms over time. In addition, due to the high quality rating of studies 10 & 11, it appears that EC and depressive symptoms are associated concurrently. It may be possible that an individual's self-regulatory capabilities impact on current mood, but does not predict future mood. It may also mean that measures of EC and depressive symptoms are overlapping in what they are measuring.

Study 12 examined the individual subcomponents of EC (using the ECS and ACS), finding that activation and inhibitory control were more strongly associated with depressive symptoms, whereas AC predicted anxiety symptoms. Moriya and Tanno (2008) also found this link with young adults, and Lonigan and Vasey (2009) found this in younger children, where an attentional bias for threat cues is linked to low levels of AC, whereas activation control helps the individuals to overcome low levels of approach motivation associated with low PA. This is further supported, as two of the studies that did not find significant associations between EC and

depressive symptoms measured AC only, whereas none of the studies that found significant associations did. It is possible that AC is not associated with depressive symptoms.

### **EC and RNT**

Significant negative associations between EC and RNT ( $n = 7$ ; Studies 1, 2, 4, 5, 10, 12 & 13) ranged from  $r = -.11$  (study 4) to  $r = -.29$  (study 12), with a mean of  $r = -.20$ . A further four studies reported no significant association between EC and RNT (studies 3, 6, 7, 9). No significant effects were found concurrently (studies 1 & 12), or over a year (study 3). However, lower EC predicted higher levels of RNT over three months (study 2) and across childhood into adolescence (study 4).

Two of the three studies that did not find significant effects measured only brooding, whereas the studies that did find significant relationships used combined measures of RNT. It appears likely that whilst brooding and EC may be associated (as evidenced in the correlations), EC does not predict brooding. There is evidence from a high quality study that lower EC predicts RNT over time, and even spanning over childhood.

### **Differences between measures**

There were differences in the size of effects of EC found across the measures. Whilst the studies using the ATQ ( $n = 1$ ), ECS ( $n = 3$ ) and ACS ( $n = 1$ ) all found medium to large effects with RNT and depressive symptoms, the EATQ-R studies ( $n = 3$ ) reported small effect sizes. This is shown to be consistent across the studies, despite quality ratings or sample sizes. Furthermore, correlations between

EC and measures of RNT and depressive symptoms were consistently more likely to be significant in measures other than EATQ-R. The EATQ-R measures all subcomponents and has been found to have good psychometric properties (Muris & Meesters, 2008). One limitation of the EATQ-R is that many items were about completing homework. It is possible that the large age ranges across these studies (8-19 years old), may highlight differences in attitudes towards homework completion, limiting the ecological validity of the measure. It may also be that the EATQ-R does not measure EC as well as other measures. Or it may be that items on other measures of EC overlap with items on RNT and depressive symptoms measures, resulting in stronger associations. Caution must be taken as this is an observed pattern in a very small number of studies, and also reflects the corresponding measure, however it serves to highlight that different measures appear to yield different results.

The behavioural studies that reported adequate data ( $n = 3$ ), all reported small effect sizes for RNT and depressive symptoms. Furthermore, correlations between EC and measures of RNT and depressive symptoms were consistently more likely to be not significant. Again, caution is taken as this observation is based on very small numbers of studies, and also reflects the corresponding measure, however it serves to highlight that the effect sizes of self-reported EC appear to be higher on average.

Furthermore, only study 3 found no significant associations or predictions for RNT and depressive symptoms. This study measured AC with behavioural tasks from the TEA-Ch (Manly et al., 1999). None of the measures were inter-correlated

suggesting that they did not all measure a similar construct. These findings suggest this is not a suitable measure of AC component of EC.

### **EC as a moderator**

Few studies investigated the role of EC as a moderator between RNT and depressive symptoms, with the majority of studies focusing on other aspects of temperament as moderators, such as NA or PA. Several studies, of varying quality, that did investigate this model found conflicting results. Study 13 found EC to be a significant moderator between RNT predicting depressive symptoms over a year, such that RNT was a stronger predictor of subsequent depression at lower levels of EC. This study also found that RNT mediated the association between high NA and depressive symptoms, and that this effect was moderated by EC, finding a stronger relationship at lower levels of EC than at higher levels. This is a high quality study, supporting evidence that EC moderates the association between RNT and depressive symptoms.

Study 8 examined indirect effects of EC, finding that the focusing subcomponent of AC (but not shifting), positively affected the relationships between RNT and depressive symptoms (and vice versa). These findings may suggest that low ability to concentrate and inhibit distractions may be important risk factors rather than low attentional flexibility (shifting). This indicates that individuals low in focusing have difficulties resisting distraction, leading these individuals as more susceptible to experiencing RNT and/or other intrusive negative stimuli which could prolong RNT (Mills et al., 2016).

However, study 7 found that EC was not a significant moderator for the relationship between brooding and depressive symptoms. Earlier results also saw that whilst EC and brooding are correlated, EC does not predict brooding. It appears that they are related, but brooding is not the key aspect of RNT that is important in the relationship with EC. Brooding involves 'a passive comparison of one's current situation with some unachieved standard' (Treyner et al., 2003, p 256), which may not capture the 'effortful' aspect of EC. However, study 12 found that EC was also correlated with brooding, but not with reflection, which is 'purposeful turning inward to engage in cognitive problem solving to alleviate one's depressive symptoms' (Treyner et al., 2003, p 256). This may be due to reflection being a skill that only individuals high in EC would be able to engage in, and more difficult for those low in EC due to the level of attention and inhibition involved. Study 11 found that although lower AC predicted a shorter time until the first onset of depressive disorder, AC did not moderate the relationship between RNT and time until first onset of depressive disorder. However, onset of depression was measured, rather than depressive symptoms.

## **Discussion**

### **Measures of EC**

A weakness of the literature was the wide range of measures used to assess EC. For example, a number of constructs are used to describe EC, such as self-regulation and self-control, and overlaps exist with other terminology, such as executive functions. This leads to researchers focusing on different components of EC in their measures. Furthermore, due to measures being tested in different

populations, age ranges and using different descriptions of EC, the factor structure of EC is inconsistent (Sulik et al., 2009; Ho, 2004), with the majority of the exploration undertaken in early childhood.

The studies in this review used four different self-report measures, and four different behavioural tasks. Six of the studies measured the AC component of EC only, five of these used behavioural measurements (studies 3, 4, 5, 10, 11), with one using self-report (study 8). Self-report measures of EC are trait measures of temperamental cognitive control that explore behaviour in complex real-world situations, improving ecological validity of the reports. However, they are not without their limitations, as they introduce self-report biases (e.g. negativity bias), ignore contextual factors in the scenarios, and the behaviours being asked about often involve multiple cognitive processes (Snyder & Hankin, 2016). Behavioural tasks, which are commonly labelled as state measure executive function tasks (Connelly et al., 2014), often measure task performance, which reduces self-reported biases, but are limited in their generalisability as they may not reflect a range of everyday situations. One of the challenges of the literature is the interchangeable terminology and measures used. A strength of the self-report measures used in the literature is that they all report good reliability and good internal consistency.

In this review, only one paper (which includes studies 9 and 10) explored both self-report and behavioural measures of EC. Although the measures were not collected at the same time, and no data were compared across studies, a subsample of study 9 also took part in study 10. In both studies, a similar pathway linked lower EC (self-report and behavioural) to higher levels of stress, RNT and depressive



symptoms (over time in Study 9). The similar findings found for risk pathways using different ways of conceptualising and measuring EC provide support for including both measures in future research. Despite the differing terminology and measures, there are similarities in how they explore EC.

A small number of studies examined the individual subcomponents of EC rather than the more commonly used combined measure of EC. Whilst investigating subcomponents only may lead to difficulties in synthesising findings across studies, additionally including subcomponents analysis is helpful to begin to unpick the role of EC.

### **Measures of RNT**

RNT was measured using self-report questionnaires. A strength of the literature was that all of the measures of RNT used have reported good reliability and good internal consistency. A range of terminology was used in the literature, including rumination, brooding, and RNT. Whilst they are similar constructs, the terms were not always clearly defined or consistently applied across the studies reviewed resulting in variation in how RNT was conceptualised and measured.

### **Strengths**

A strength of the literature was the range of investigations considered to integrate affective and cognitive vulnerability models of depression using a good theoretical rationale drawn across research areas, such as developmental and cognitive neuropsychology. The designs of the studies were varied, but often

prospectively examined relationships among trait and state measures of temperament and cognitive vulnerabilities and their impact on depressive symptoms.

A strength of the studies was that the majority used longitudinal designs to investigate the relationship between temperament, cognition and depressive symptoms. This was particularly salient in this area, as the aims of the studies were largely to identify vulnerabilities and risk factors for depressive symptoms, from a developmental perspective. Longitudinal designs are often preferred to cross-sectional designs in developmental research because they allow age-related developmental changes to be mapped more reliably (Schmidt & Teti, 2005). Furthermore, cautious interpretations can also be made about the causal relationships between these variables (Schmidt & Teti, 2005). The length of the follow-up varied across studies from 4.5 weeks (study 11), to months, to over four years (study 8), and across childhood and adolescence (approximately 10 years; study 4). Unfortunately, no rationale was given for the time periods chosen so it is difficult to comment on the appropriateness of the chosen time frame. Despite many of the studies making predictions across time, caution is required as the duration between time points may not be sufficient to determine developmental effects of depressive symptoms.

Another strength of the literature was that a number of studies created thorough models to test relationships between variables. A number of studies included exploration into reciprocal relationships between RNT and depressive symptoms. This was found in a short follow up in Study 8 suggesting that RNT and depressive symptoms may form a maladaptive cycle with each other over time.

Previous research in adolescence (Nolen-Hoeksema, Stice, Wade, & Bohon, 2007) found that after a depressive episode, the tendency to ruminate is higher, suggesting that rumination is not only a vulnerability factor for depressive symptoms but it also increases as a consequence of depressed mood. Looking at bidirectional effects may lead to a more thorough understanding. Study 10 found a bidirectional pathway between depressive symptoms and EC, with dependent stress mediating this pathway, increasing depressive symptoms and decreasing EC. According to the scar model (Clark, Watson, & Mineka, 1994) the experience of a depressive episode changes personality. This could be especially pertinent in our age range, whilst aspects of cognition are still developing. It may also require a measure of previous depressive episodes to be controlled for in further studies.

Another strength of the literature was the majority of the studies had moderate to large sample sizes ( $M = 292.85$ ), with a range from 92 (study 5) to 701 (study 2). This resulted in the majority of the studies being adequately powered, strengthening the conclusions that can be made from the results.

### **Weaknesses**

The description of the study sample was generally poor across studies. A high proportion of studies did not report any inclusion or exclusion criteria, which is problematic when comparing studies as the age and composition of the sample was not always clear. A particular challenge in this review was the large age ranges of the samples. Whilst the inclusion criteria focused on sample means between 10-20 year olds, in order to capture adolescence (Sawyer et al., 2018), a number of the studies age ranges also included ages outside of this range. The aim was to focus

on results within the inclusion age range, however few studies reported age effects. This made examining the developmental trajectory very limiting in the conclusions that could be drawn. However, some findings are pertinent to discuss. Study 10 reported the effect of age, finding that the association between lower EC and higher levels of depressive symptoms strengthened with age (in a sample of 11 to 20 year olds). Furthermore, the relationship between low EC and stress increased with age. It is difficult to know whether these effects are the result of poor EC beginning to translate into behaviours, or whether environmental factors such as a reduction in parenting input and school pressure changes over time. One study measured EC in early childhood, predicting risk pathways into adolescence (study 4). Whilst the measurement was outside our age range, this fit our inclusion criteria. Furthermore, despite continuing development, EC has found to be a stable temperament across childhood and adolescence (Eisenberg et al., 2005; Goldsmith, Buss, & Lemery, 1997; Kochanska & Knaack, 2003). Interestingly, low levels of EC in childhood predicted high levels of RNT in adolescence, identifying EC as an early risk factor for later RNT.

The wide heterogeneity of the studies by measure and sample limited the ability to conduct a meta-analysis. Further research may wish to consider this in their review, if possible.

### **Conclusions**

This review supported further evidence for the well-established relationship between RNT and depressive symptoms, concurrently, and prospectively. Some evidence was also given for reciprocal relationships between

RNT and depressive symptoms, suggesting that a maladaptive cycle may form between RNT and psychopathology.

The evidence for a relationship between lower EC and higher levels of depressive symptoms was mixed. Whilst some studies found EC and depressive symptoms to be significantly associated, others did not. Conclusions were made from high quality studies, finding that EC and depressive symptoms are concurrently associated, however EC does not predict depressive symptoms over time. There is some evidence to suggest that activation and inhibitory control were more strongly associated with depressive symptoms (Study 12).

Associations between EC and RNT also found mixed results, with the majority of studies finding a significant association between low EC and higher levels of RNT. There is evidence from a high quality study that lower EC predicts RNT over time, and even spanning over childhood. It also appears likely that whilst brooding and EC may be associated, EC does not predict brooding.

Finally, very few studies investigated the moderating role of EC for the relationship between RNT and depressive symptoms and those that did found mixed results. Evidence from a high quality study (13), concludes that EC moderates RNT and depressive symptoms.

### **Strengths and weaknesses of this review**

Strengths of this review were that it focused on a specific developmental period where young people are at a heightened risk for the development of depressive symptoms (Merikangas et al., 2010). The age range was purposefully

large in order to capture potential patterns or peaks in risk for depressive symptoms over adolescence and into young adulthood. An objective quality assessment tool was used that is specific to studies conducting tests of association.

A limitation of the review was that although the search terms aimed to comprehensively capture the variety of definitions of EC, RNT and depressive symptoms, this was particularly challenging for the wide range of terms used across different research fields. It should also be acknowledged that there are many other temperamental risk factors which are thought to be important risk factors for the development of depressive symptoms during this time, which were beyond the scope of this review to adequately assess. This review, therefore, does not provide a full account of the temperamental factors important for the development of depressive symptoms in young people.

### **Future directions**

A small number of studies included a measure of dependent stress (stressful life events) as a control variable, as heightened stress may impact on the development of RNT or depressive symptoms (see Aldao, McLaughlin, Hatzenbuehler, & Sheridan, 2014). Study 10 examined whether individual differences in vulnerabilities related to depressive symptoms increased the risk for self-generated stressful life events (the stress generation model; Conway, Hammen, & Brennan, 2012), finding support for this theory. They found that poor EC can lead to stress generation, which in turn can lead to an increase in RNT as individuals dwell on these stressful life events (Conway et al., 2012). RNT may develop simply due to having more negative events to dwell on, or as a coping mechanism by

preparing for the worst (Borkovec, 1994). Furthermore, an individual with optimal levels of EC may have a number of processes available to them to cope with stress (Eisenberg, Smith, & Spinrad, 2011). In order to adequately explore RNT and EC, they should be measured under a stress induction, or stress should be accounted for in all analysis. Future studies should recognise the importance that stress may have on the individual's responding during assessment, but also on risk factors for coping with every day stress which may impact on the development of depressive symptoms.

Future studies should also select a meaningful and specific age group in order to assess effects of age, and the development of depressive symptoms (Hankin et al., 1998). Measures should incorporate all subcomponents of EC and RNT, in order to fully understand the cognitive components that may better explain the risk pathways (Ho, 2004).

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## **Appendices**

Appendix A - Full search strategy for one database

Appendix B - Data extraction form

Appendix C - Quality appraisal checklist

Appendix D - Table of excluded papers

Appendix E - Submission requirements for target journal

**Appendix A - Full search strategy for one database**

Subject of focus	Combinations
Effortful Control	1. <i>Self-Regulation</i> 2. “effortful control” or EC or “attentional control or “inhibitory control” or “activational control” or “self-regulation” 3. 1 OR 2 4. <i>Rumination (cognitive process)</i>
RNT	5. “Repetitive negative thought” or “repetitive negative thinking” or RNT or ruminat* or worry or anxi* 6. 3 OR 4
Depression	7. <i>Major Depression</i> 8. “Depressive adj5 symptom**” or “depression adj5 symptom**” or internalis* or internaliz* 9. 5 OR 6
Adolescence	10. Adolescenc* or teen* or youth* or young* 3 and 6 and 9 and 10

Italicised terms indicate key words mapped to subject headings.

## **Appendix B - Data extraction form**

Systematic review of the associations between effortful control and repetitive  
negative thinking on depression in young people

**Title**

**Author(s)**

**Source**

**Date: Vol.: Part: Pages:**

**Objective**

**Setting**

**Population**

**Study population – country, setting, location (urban, rural), population  
demographics**

**Sampling method**

**Power Calculation?**

**Entry and exclusion criteria**

**Representativeness of sample**

**Explanatory variables**

**What are the explanatory variables? How defined?**

**Selection of explanatory variables based on theoretical basis?**

**Confounding variables – what? How controlled?**

**Timing of measures**

**Instruments used**

**EC**

**RNT**

**DS**

**Were instruments validated?**

**Length of follow up**

**Outcome measures**

**Measures reliable?**

**Outcome measures complete?**

**Analysis**

**Statistical analysis**

**Were confidence intervals, p values or effect estimates given?**

**Conclusions**

**Author's conclusions**

**Reviewer's comments**

## **Appendix C – Quality appraisal checklist**

National Institute for Health and Care Excellence (2012) quality appraisal checklist  
items for studies reporting correlations and associations

### **Section 1: Population**

#### **1.1 Is the source population or source area well described?**

Was the country (e.g. developed or non-developed, type of health care system), setting (primary schools, community centres etc), location (urban, rural), population demographics etc adequately described?

#### **1.2 Is the eligible population or area representative of the course population or area?**

Was the recruitment of individuals, clusters or areas well defined (e.g. advertisement, birth register)?

Was the eligible population representative of the source? Were important groups underrepresented?

#### **1.3 Do the selected participants or areas represent the eligible population or area?**

Was the method of selection of participants from the eligible population well described?

What % of selected individuals or clusters agreed to participate? Were there any sources of bias?



- Were the inclusion or exclusion criteria explicit or appropriate?

## **Section 2: Method of selection of exposure group**

### **2.1 Was the selection of explanatory variables based on a sound theoretical basis?**

- How sound was the theoretical basis for selecting the explanatory variables?

### **2.2 How well were likely confounding factors identified and controlled?**

- Were there likely to be other confounding factors not considered or appropriately adjusted for?
- Was this sufficient to cause important bias?

### **2.3 Is the setting applicable to the UK?**

- Did the setting differ significantly from the UK?

## **Section 3: Outcomes**

### **3.1 Were the outcome measures and procedures reliable?**

- Were outcome measures subjective or objective (e.g. biochemically validated nicotine levels ++ vs self-reported smoking -)?
- How reliable were outcome measures (e.g. inter- or intra-rater reliability scores)?
- Was there any indication that measures had been validated (e.g. validated

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against a gold standard measure or assessed for content **validity**)?

### **3.2 Were the outcome measures complete?**

- Were all or most of the study participants who met the defined study outcome definitions likely to have been identified?

### **3.3 Were all the important outcomes assessed?**

- Were all the important benefits and harms assessed?
- Was it possible to determine the overall balance of benefits and harms of the intervention versus comparison? ***Non-applicable***

### **3.4 Was there a similar follow-up time in exposure and comparison groups?**

- If groups are followed up for different lengths of time, then more events are likely to occur in the group followed-up for longer distorting the comparison.
- Analyses can be adjusted to allow for differences in length of follow-up (e.g. using person-years).

### **3.5 Was follow-up time meaningful?**

- Was follow-up long enough to assess long-term benefits and harms?
- Was it too long, e.g. participants lost to follow-up?

## **Section 4: Analyses**

### **4.1 Was the study sufficiently powered to detect an intervention effect (if one exists)?**

A power of 0.8 (i.e. it is likely to see an effect of a given size if one exists, 80% of the time) is the conventionally accepted standard.

Is a power calculation presented? If not, what is the expected effect size? Is the sample size adequate?

#### **4.2 Were multiple explanatory variables considered in the analysis?**

Were there sufficient explanatory variables considered in the analysis?

#### **4.3 Were the analytical methods appropriate?**

Were important differences in follow-up time and likely confounders adjusted for?

#### **4.4 Was the precision of association given or calculable? Is association meaningful?**

Were confidence intervals or p values for effect estimates given or possible to calculate?

Were CIs wide or were they sufficiently precise to aid decision-making? If precision is lacking, is this because the study is under-powered?

### Appendix D – Table of excluded papers

Author	Population	Outcome 1)Rumination/ RNT 2)Depression/ anxious symptoms 3)Effortful Control	Reason for Exclusion
1. Burke et al., (2018)	590 adolescents ( $M_{age} = 19.14$ ; $SD = 1.41$ ) taking part in a longitudinal study investigating vulnerability for bipolar spectrum disorder onset.	1) RRS 2) BDI 3)No measure	<b>No measure of EC. Measured “cognitive affective regulation strategies” (ruminative reflection and brooding), rather than self regulation.</b>
2. Chen et al., (2013)	1387 pairs of adolescent twins ( $M_{age} = 13.52$ ; $SD = 2.67$ ) taking part in a longitudinal study investigating the environment and gene interplay in the etiology of emotional and behavioural problems in China.	1) Not reported 2)Not reported 3)Not reported	<b>Study protocol only, no results available</b>
3. Crockett et al., (2013)	1025 adolescents ( $M_{age} = 11.03$ ; $SD = .17$ ) taking part in a longitudinal study investigating early child care and youth development in the USA.	1) No measure 2) CDI 3) No measure	<b>No measure of EC. No measure of RNT.</b>
4. Dinovo & Vasey (2011)	477 undergraduate students ( $M_{age} = 19.2$ ; $SD = 2.1$ ). USA population.	1)No measure 2) MASQ 3) ECS-P/LD	<b>No measure of RNT</b>
5. Gulley, Hankin & Young (2016)	576 parents of children and adolescents ( $M_{age} = 12$ ; $SD = 2.4$ ).	1)No measure 2)CDI 3)EATQ-R -P	<b>No measure of RNT</b>
6. Hankin et al., (2016)	Theoretical review paper.	Not applicable	<b>Not an empirical study.</b>
7. Hankin et al., (2017)	571 children and adolescents ( $M_{age} = 13.6$ ; $SD = 2.37$ ) including parent reports.	1)No measure 2) CDI/ MASC/CBCL 3)EATQ-R	<b>No measure of RNT</b>
8. Helzer et al., (2009)	121 middle school children ( $M_{age} = 11.36$ ; $SD = NR$ ). USA population.	1) No measure 2)EATQ-R 3)EATQ-R, AC subscale	<b>No measure of RNT</b>
9.Hopkins et al., (2013)	796 young children ( $M_{age} = 4.44$ ).	1)No measure 2) DISC-YC 3) CBQ	<b>Population too young. No measure of RNT.</b>
10.Hsu et al., 2015	51 adult ( $M_{age} = 32.78$ ; $SD = 14.02$ ) inpatients	1)RRS 2)CESD-10	<b>Population too old.</b>

	receiving psychological treatment.	3)ACS	
11.Hudson, Harding & Mezulis, (2015)	333 undergraduate students ( $M_{age} = 19.09$ ; $SD = 2.10$ )	1)EA-RRS 2)CES-D 3)No measure	No measure of EC.
12.Jones et al., (2009)	121 undergraduate students ( $M_{age} = 18.7$ ; $SD = 1.1$ ).	1)RRQ 2)BDI 3)No measure	No measure of EC.
13.Koster, Lissnyder & De Raedt (2013)	27 undergraduate students ( $M_{age} = 20.63$ ; $SD = 1.78$ ).	1)RRS 2)BDI-II 3)No measure	No measure of EC.
14. Lonigan & Vasey (2009)	104 children ( $M_{age} = 14.34$ ; $SD = 2.13$ ).	1)No measure 2)No measure 3)ECS	No measure of RNT. Measures anxiety, not DS.
15. Moriya & Tanno (2008)	167 undergraduate students ( $M_{age} = 18.9$ ; $SD = .83$ ).	1)No measure 2)SDS 3)ECS	No measure of RNT
16. Muris, Meesters & Rompelberg (2006)	145 children/adolescents ( $M_{age} = 10.9$ ; $SD = 0.9$ ). Parent data collected.	1)No measure 2)RCADS 3)ACS	No measure of RNT
17.Oldehinkel et al., (2007)	1922 adolescents ( $M_{age} = 13.56$ ; $SD = 0.53$ ).	1)No measure 2)CBCL 3) EATQ-R	No measure of RNT
18. Papadakis et al., (2006)	223 female adolescents ( $M_{age} = 14.50$ ; $SD = 1.44$ ).	1)RSQ 2)CDI 3)No measure	No measure of EC.
19. Rudolph & Davis (2017)	636 children/adolescents ( $M_{age} = 11.96$ ; $SD = .37$ ).	1)No measure 2)SMFQ 3)BRIEF	No measure of RNT.
20. Simonson et al., (2011)	87 undergraduate students ( $M_{age} = 20.58$ ; $SD = 1.35$ ).	1)PANE 2)No measure 3)No measure	No measure of EC or DS.
21.Sportel et al., (2013)	1161 adolescents ( $M_{age} = 15.60$ ; $SD = .71$ ) participating in a longitudinal early intervention study.	1)No measure 2)RCADS 3)ATQ	No measure of RNT
22. van Oort et al., (2011)	2188 adolescents aged 10-15 years old, taking part in a longitudinal study assessing the development of mental and physical health.	1)No measure 2)RCADS 3)EATQ-R	No measure of RNT
23. Vasey et al., (2013)	332 children/adolescents ( $M_{age} = 12.0$ ; $SD = 2.4$ )	1)No measure 2)BDI-II/RCADS 3)ECS	No measure of RNT
24. Vervoort et al., (2011)	74 adolescents ( $M_{age} = 14.49$ ; $SD = 1.64$ )	1)No measure 2)CBCL 3)EATQ-R	No measure of RNT
25. Waszczuk et al., (2015)	61 children ( $M_{age} = 9.23$ ; $SD = .57$ )	1)No measure 2)SMFQ 3)Attention tasks	No measure of DS Sample too young

ACS=Attentional Control Scale (Derryberry & Reed, 2002); ATQ=The Adult Temperament Questionnaire (Rothbart, Ahadi & Evans, 2000); BDI=Beck Depression Inventory; BRIEF=Behavior Rating Inventory of Executive Function (Gioia et al., 2000); CBCL=Child Behavior Checklist (Achenbach & Edelbrock, 1981); CBQ=The Children's Behavior Questionnaire (Rothbart et al., 2001); CDI=Children's Depression Inventory (Kovacs, 1992); CES-D= Center for Epidemiologic Studies Depression Scale (Radloff, 1977), CESD-10=Center for the Epidemiological Studies of Depression-10 (Andresen et al., 1994); CRSQ=Children's Response Style Questionnaire (Abela, Brozina & Haigh, 2002); CSI= The Child Symptom Inventory (Gadow & Sprafkin, 2000); DISC-YC=Diagnostic Interview Schedule for Children-Parent Scale -Young child version (Fisher & Lucas, 2006); EA-RRS= Event-Anchored Rumination Response Scale (Treyner et al., 2003); EATQ-R=The Early Adolescent Temperament Questionnaire – Revised Parent Report (Ellis & Rothbart, 2001); ECS=Effortful Control Scale (Lonigan & Phillips, 2002); ECS-P/LD= Effortful Control Scale – Persistence/Low Distractibility Subscale (Lonigan, 1998); MASC=Manifest Anxiety Scale for Children (March et al.,1997); MASQ=Mood and Anxiety Symptom Questionnaire (Watson & Clark, 1991); PANE=Perseverative Attention to Negative Events (Mezulis et al., 2002); RCADS=Revised Child Anxiety and Depression Scale (Chorpita, Yim, Moffitt, Umemoto, & Francis, 2000); RRS=The Ruminative Response Scale (Treyner et al., 2003); RRQ=Rumination/Reflection Questionnaire (RRQ) (Trapnell & Campbell, 1999); RSQ=Response Styles Questionnaire (Nolen-Hoeksema & Morrow, 1991); SDS=Self-rating depression scale (Zung, 1965); SMFQ=Short Mood and Feelings Questionnaire (Angold, Costello, Messer, & Pickles, 1995);

## **Appendix E – Submission requirements for target journal**

### Submission Requirements and Instructions for the British Journal of Clinical Psychology

#### Author Guidelines

The British Journal of Clinical Psychology publishes original contributions to scientific knowledge in clinical psychology. This includes descriptive comparisons, as well as studies of the assessment, aetiology and treatment of people with a wide range of psychological problems in all age groups and settings. The level of analysis of studies ranges from biological influences on individual behaviour through to studies of psychological interventions and treatments on individuals, dyads, families and groups, to investigations of the relationships between explicitly social and psychological levels of analysis.

All papers published in The British Journal of Clinical Psychology are eligible for Panel A: Psychology, Psychiatry and Neuroscience in the Research Excellence Framework (REF).

The following types of paper are invited:

- Papers reporting original empirical investigations
- Theoretical papers, provided that these are sufficiently related to the empirical data
- Review articles which need not be exhaustive but which should give an interpretation of the state of the research in a given field and, where appropriate, identify its clinical implications
- Brief reports and comments



**SCHOOL OF PSYCHOLOGY**  
**DOCTORATE IN CLINICAL PSYCHOLOGY**

**EMPIRICAL PAPER**

**Investigating associations between repetitive negative thinking, stress, and  
effortful control, and the development and maintenance of depression in  
adolescence: A brief follow up study.**

Trainee Name: **Erika Baker**

Primary Research Supervisor: **Professor Ed Watkins**

Professor of Experimental and Applied Clinical  
Psychology/ Director of Research for Professional  
Doctorates.

Secondary Research Supervisor: **Dr Anna Adlam**

Senior Lecturer, Deputy Director of Research for  
Professional Doctorates

Target Journal: **Clinical Psychological Science**

Word Count: **7976 words (excluding abstract, table of contents,  
list of tables, list of figures, acknowledgements,  
appendices, footnotes, and references.)**

**Submitted in partial fulfilment of requirements for the Doctorate Degree in  
Clinical Psychology, University of Exeter**



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## **Abstract**

*Background:* Adolescence is a period of increased vulnerability for depressive symptoms (Twenge & Nolen-Hoeksema, 2002). Given the impact of emotional disorders on an individual, it is important to understand risk factors, and conversely, protective factors to inform effective interventions. Repetitive negative thinking (RNT) and the self-regulatory temperament, effortful control (EC), have been found to be important risk factors for the development of depressive symptoms and require further exploration in adolescence.

*Objective:* This study investigated whether RNT predicted changes in later depressive symptoms, and if so, whether this change was moderated by EC. The study examined these associations during emotional reactivity to a stressor (exams), and emotional recovery following the stressor.

*Methods:* Two samples with similar designs and measures were combined in this study. Two-hundred-and-fifty-five females completed Baseline questionnaires measuring life events, RNT, EC, and depressive symptoms. One-hundred-and-ninety-nine participants were followed up prior to their exams (Pre-exam), and 115 participants were followed up after their exams (Post-exam).

*Results:* The study first examined emotional reactivity to stress, finding that contrary to the literature, RNT did not predict depressive symptoms in response to stress, when controlling for Baseline depressive symptoms. EC did not significantly interact with RNT in predicting depressive symptoms. However, RNT was associated with emotional recovery from stress: RNT predicted levels of depressive symptoms following exams, when controlling for Pre-exam depressive symptoms. Furthermore,

EC moderated this relationship, however contrary to the literature and predictions, this was not in the expected direction, with high levels of EC associated with high levels of depressive symptoms.

*Conclusions:* These findings suggest that despite the strengths of the study design, including a large sample at Baseline and follow up over a period of stress, hypothesized associations were not found during emotional reactivity to stress, but hypothesized associations were found during emotional recovery from stress. Recommendations are made for future studies, including recruiting sufficient number of males to the study.

## **Introduction**

By age 18 years-old, between 11% -15% of adolescents will have experienced depression (Avenevoli, Swendsen, Burstein, & Merikangas, 2014; Merikangas et al., 2010, respectively), making it one of the most prevalent mental health problems in young people (Twenge & Nolen-Hoeksema, 2002). A longitudinal study by Hankin and colleagues found that individuals show a peak in depressive symptoms between 15 to 18 years of age (Hankin et al., 1998). Furthermore, adolescent depression is associated with higher rates of depression in adulthood (Rutter, Kim-Cohen, & Maughan, 2006), higher relapse rates and impaired psychosocial functioning (Naicker et al., 2013). Given the impact of emotional disorders on an individual, it is important to understand risk factors, and conversely, protective factors to inform effective interventions.

There is little consistency in when adolescence occurs and adulthood begins. A general definition was the developmental period ranging from 14 to 24 years of age (Hall, 1904); alternatively the World Health Organisation (WHO) defines adolescence as 10 to 20 years of age (WHO, 1965; 1977). Research indicates that although brain volume and cortical folding are largely developed by mid-childhood (Armstrong, Schleicher, Omran, Curtis & Zilles, 1995; Giedd, Blumenthal & Jeffries, 1999; Reiss, Abrams, Singer, Ross & Denckla, 1996), areas that support integration of executive functions and emotion systems are not mature until the mid-20s (Simmonds, Hallquist, Asato, & Luna, 2014). Most recently, adolescence has been defined as 10-24 years old, to correspond to current adolescent growth and understandings of this life phase (Sawyer et al., 2018). This definition will be adopted in the current study.

A process that has been widely evidenced as a risk factor for the development and maintenance of emotional disorders is repetitive negative thinking (RNT) (see Harvey Watkins, Mansell, & Shafran, 2004; and Watkins, 2008 for reviews). RNT is defined “as repetitive thinking about one or more negative topics that is experienced as difficult to control” (Ehring & Watkins, 2008, p.193), the two main forms of which are rumination and worry. Depressive rumination involves ‘repetitively focussing on the fact one is depressed; on one’s symptoms of depression; and on the causes, meanings and consequences of depressive symptoms’ (Nolen-Hoeksema, 1991, p. 291), whilst worry refers to a series of relatively uncontrollable negative thoughts and images, focussing on uncertainty, risks and negative outcomes in the future (Borkovec, Robinson, Pruzinsky, & DePree, 1983). It is argued that both rumination and worry involve similar processes, but focus on different content (Ehring & Watkins, 2008). As the umbrella term for all these forms of thinking, RNT will be referred to throughout this paper. It is accepted by most that the tendency to engage in RNT is a relatively stable trait and individual differences can be found in RNT (Nolen-Hoeksema & Morrow, 1993).

### **Stress and RNT**

One factor that may increase an individual’s engagement in RNT is exposure to stress (Monroe, 2008). There is substantial evidence that stressful life events, including trauma, early life adversity and chronic life stress is associated with the development of emotional disorders (see Aldao, McLaughlin, Hatzenbuehler, & Sheridan, 2014). Avenevoli and colleagues (2014) found that childhood adversity (e.g. abuse, parental mental illness, family violence) accounted for 32.2% of

emotional disorders. Conceptual models exploring the aetiology of RNT argue that the experience of stressful life events might lead to RNT not only about those events, but also about many areas of an individual's life (Nolen-Hoeksema, 1991; Nolen-Hoeksema, Larson, & Grayson, 1999). RNT may develop simply due to having more negative events to dwell on, or as a coping mechanism by preparing for the worst (Borkovec, 1994). Engaging in RNT can lead to stress generation, increasing the risk for self-generated (i.e., dependent) stressful life events (e.g., achievement, failures), as individuals dwell on these stressful life events (Conway, Hammen, & Brennan, 2012). Theorists have speculated that RNT increases maladaptive behaviours such as withdrawal, inactivity, and avoidance that, in turn, exacerbate depression (Wisco & Nolen-Hoeksema, 2008), resulting in RNT as a risk factor for depression during emotional reactivity to stress. Therefore, stressful life events should be taken into account when investigating the development of RNT within psychopathology.

Adolescence is a period of development during which significant cognitive changes take place, but also transitional life events (Hankin, 2015). There is some evidence to suggest that developmental outcomes may be more strongly associated to daily stressors than to major life events (Valiente, Lemery-Chalfant, & Swanson, 2009), therefore an understanding of coping with daily stressors is critical. One such stressor that has been found to play a key role in stress in adolescence, is school and exam stress. Statistics from the National Society for the Prevention of Cruelty to Children (NSPCC) highlight that for the first time, exams emerged as a top stressor for adolescents, with a 200% increase in contact to their counselling service about exam stress specifically (NSPCC, 2015), increasing year on year (NSPCC, 2017).

This study will investigate RNT across examinations to better understand the influence stress has on depressive symptoms and RNT.

Research investigating autonomic nervous system responses to stressful events found that some adolescents who engaged in higher levels of RNT did not have higher levels of physiological reactivity during the stressor, but they did take significantly longer to recover after the stressor (Aldao, et al., 2014). Similar results were found with Hypothalamic Pituitary Adrenal-axis reactivity during stress in adolescents, highlighting that individuals high in trait rumination showed a delayed post-stressor recovery, whereas high trait distraction and problem-solving was associated with more rapid recovery (Stewart, Mazurka, Bond, Wynne-Edwards, & Harkness, 2013). This suggests that RNT maintains and prolongs the stress response to an event by keeping it mentally active. Therefore, an individual's recovery from the stressor will also be examined in this study.

### **Effortful control**

Temperament has been widely proposed as a potential risk factor for later psychopathology, as extreme levels of reactivity and regulation of emotions can be dysfunctional (Rothbart & Bates, 2006). Of particular interest in the literature examining RNT, is the self-regulatory temperamental dimension, Effortful Control (EC). EC is defined as “the efficiency of executive attention—including the ability to inhibit a dominant response and/or to activate a subdominant response, to plan, and to detect errors” (Rothbart & Bates, 2006, p. 129). EC is multidimensional and consists of the ability to inhibit behaviour effortfully as appropriate (inhibitory control), the ability to activate behaviour when needed (activation control), and the ability to

voluntarily focus or shift attention when needed (attentional control) (Posner & Rothbart, 2000; Kochanska, & Knaack, 2003; Rothbart, 1989; Rothbart & Ahadi, 1994; Rothbart & Bates, 1998).

EC is associated with the “anterior attentional system” located in the midprefrontal cortex (Posner & Rothbart, 1992), which is viewed as an executive system involved in higher-level attentional control (Derryberry & Rothbart, 1997). It is theorised that this system performs dual functions, where it remains active in situations that require executive control, but is also able to regulate more reactive motivational functions when necessary (Derryberry & Rothbart, 1997).

### **EC and RNT**

Strategies to modulate emotional reactivity (Rothbart et al., 2001) are likely to be involved in RNT, as individuals with low EC are susceptible to having difficulty inhibiting negative thoughts or paying attention to other cues. These processes are likely to contribute to the development of RNT. A study by Bijttebier and colleagues (2017) found that low levels of EC predicted higher levels of RNT over three months. Furthermore, a study by Hilt, Armstrong and Essex (2012) found that low EC in early childhood predicted a greater risk for adolescent rumination. This study highlights that EC may play an important role in the development of RNT, where limited temperamental processes to inhibit or attend to cognitive processes may lead to the development of RNT. The current study aims to contribute to the empirical evidence by investigating the role of EC and RNT in response and recovery to stress, to better understand how EC may impact on RNT and depressive symptoms when EC and RNT processes are likely to be utilised to cope with the stress.



Furthermore, EC is not only implicated in the development of RNT, but has also been found to moderate the relationship between RNT and depression. One study found that attentional control positively affected the relationship between RNT and depressive symptoms (Mills et al., 2016). This indicates that individuals low in attentional control have difficulties resisting distraction, resulting in a susceptibility to experiencing RNT and/or other intrusive negative stimuli, which could prolong RNT (Mills et al., 2016). A study by Verstraeten and colleagues (2009) found that lower levels of EC moderated the association between rumination and depressive symptoms one year later. The authors of this study discussed that during high levels of stress, whilst individuals with high EC may engage in some level of RNT, they may have the capacity to be able to cope with them in the long term, therefore protecting them from developing depressive symptoms. This study used a broad measure of EC, which does not incorporate all subcomponents. Furthermore, rumination was not included in the main analysis as a predictor for depression. Therefore, the current study aims to address these issues.

### **Current Study**

The present study is interested in identifying whether engaging in RNT predicts levels of depressive symptoms as stress increases. Higher levels of EC may allow reactively vulnerable adolescents to limit the extent that they attend to, and dwell on, negative thoughts, which may reduce RNT and depressive symptoms. Conversely, lower levels of the ability to shift attention effortfully from negative thoughts may lead an individual to become susceptible to RNT and vulnerable to depressive symptoms, especially during a time of stress. Furthermore, individuals

with high levels of RNT may continue to experience depressive symptoms whilst recovering from the stress as negative thoughts persist.

There is evidence suggesting that multiple variables may contribute to vulnerability for developing depression in adolescence. However, despite the identification of these potential vulnerability factors, research into the aetiology of depression is limited because it has tended to (a) study temperamental risk factors in younger children; (b) examine EC using inconsistent measures, often with a broad measure; (c) fail to induce stress regardless of the impact of stress on RNT and depression; (d) measure rumination and worry separately, or exclusively. Therefore, it is not known how these variables may interact with each other, or play a more significant role in the aetiology of depression.

## **Aims**

Participants will be tested at three time points; Baseline, a neutral period; Pre-exam, a time of naturally induced stress for adolescents; and Post-exam, a time of proposed 'recovery'.

**Aims:** This research aims to investigate whether Baseline RNT predicts later depressive symptoms, and if so, whether this change is moderated by EC.

**Research question:** Does RNT predict later depressive symptoms? Does EC moderate the effects of RNT on subsequent depression symptoms during emotional reactivity to a real-life stress and emotional recovery from the stressor?

## Hypotheses

### *Emotional reactivity pre-exam (Baseline to Pre-exam)*

Hypothesis 1 (H1): Baseline RNT will predict depressive symptoms in response to stress (Pre-exam), controlling for Baseline depressive symptoms, negative life events and sample.

Hypothesis 2 (H2): EC at Baseline will interact with Baseline RNT in predicting variance in subsequent depression symptoms in response to stress (Pre-exam), controlling for Baseline depression symptoms, sample and negative life events. Higher levels of EC will decrease the emotional response to a subsequent stressor and, therefore, high levels of EC will reduce the effects of RNT predicting Pre-exam depression.

### *Emotional recovery post-exam (Pre-exam to Post-exam)*

Hypothesis 3 (H3): Baseline RNT will predict depressive symptoms in recovery from stress (Post-exam), controlling for Pre-exam depressive symptoms, negative life events and sample.

Hypothesis 4 (H4): EC at Baseline will interact with Baseline RNT in predicting variance in subsequent depression symptoms in response to stress recovery Post-exam, controlling for Pre-exam depression symptoms, sample and negative life events. Higher levels of EC will improve the emotional recovery from a subsequent stressor and, therefore, high levels of EC at Baseline will reduce the effects of Baseline RNT at predicting ongoing depression Post-exam.

## **Method**

### **Design**

The prospective design follows the participants over a period of stress, namely, school examinations. Data at Baseline was collected between two and a half and three months before examinations (Sample 1: March 2017; Sample 2: October 2016) with Pre-exam data collected between one and two weeks before examinations (Sample 1: May/June 2017; Sample 2: January 2017). Post-exam data were collected between three and five weeks after examinations (Sample 1: July 2017; Sample 2: February 2017), prior to students receiving their examination results.

### **Participants**

The study recruited two samples using similar design and measures. Recruitment source was a factor in all analyses.

#### **Sample 1:**

Two-hundred-and-seven participants were recruited into the study. All participants were state school students in Year 11 or 13, completing GCSEs or A Levels examinations, respectively. Year 10 and 12 were not included as the consultation phase and participating schools indicated that students would not be completing formal examinations at this time. Over 30 schools were approached, with two schools participating and 600 potentially eligible pupils given access to the questionnaires at Baseline. Individual's self-selected to participate in the study.

Participants were included if they were planning to sit their formal examinations in May/June 2016, and were fluent in English. Participants were excluded if they reported having a learning disability, head injury ( $n = 1$ ), or who have a current mental health diagnosis ( $n = 2$ ). Six participants were excluded as they completed the consent form, but did not fill out any of the Baseline questionnaire.

An opt-out procedure was used to invite parents or guardians who did not wish for their child to participate in the study to return a signed form ( $n = 14$ ). Questionnaires were not distributed to the individuals who opted out. For those who did not opt out, to ensure confidentiality, all adolescents were invited to complete questionnaires, however they were informed of exclusion criteria and were told their data would not be included if they met exclusion criteria. Participants were able to opt out of the study, prior to, or on the day of data collection.

Only twenty-three males were recruited (11%). Historically, and currently there is often a reporting bias in research, where findings based on the study of one sex (or predominantly one sex) is then generalised and applied to both (Hinton, Zweifach, Oishi, Tang, & Unutzer, 2006). The vast discrepancy in males and females mean that males were not included in the analysis (see Appendix A for male descriptive data).

The demographics of the participants' parents reported that 85% of parents were married, and had completed college or further training (mothers = 28%; fathers = 25.2%), or University education (mothers = 60%; fathers = 61.1%), higher than the national average (27%, Office for National Statistics; ONS, 2011). The majority were

employed for wages, including homemaker (mothers = 90.5%; fathers = 93.7%), which is higher than the national average (75.2%, ONS, 2017).

### **Sample 2:**

One-hundred-and-eight self-selected participants were recruited into the study using printed and online advertisements within the University of Exeter. All participants were first year undergraduate psychology students and were included if they were sitting their formal University examinations in January 2016. Participants were excluded if they reported having a learning disability, head injury ( $n = 2$ ), or who have a current mental health diagnosis ( $n = 3$ ). Additionally, participants were excluded for this thesis who were over 20 years of age ( $n = 2$ ). Participants were able to opt out of the study on the day of data collection.

As in Sample 1, a disproportionate majority of the sample was female, with only twenty one males recruited (19.44%), and as such, males were not included in the analysis.

### **Measures**

***Demographic questionnaires.*** Self-report questionnaires gathered key demographic information including dates of birth, gender and socioeconomic status (Appendix B).

***Perseverative Thinking Questionnaire (PTQ; Ehring et al., 2011).*** The PTQ, typically used with adults is a measure of trait RNT. Questions assess features of RNT including thinking that is repetitive, intrusive and unproductive, capturing

individuals' mental capacity (see Appendix C). Communication with Thomas Ehring (Ludwig Maximilian University, Munich) indicated that the task was appropriate to use with our sample (see Appendix D). Participants were asked to rate 15 statements (based on RNT process characteristics) on a 4-point scale from '0' (*never*) to '4' (*almost always*). Internal consistency for the measure was excellent (total score:  $\alpha = .95$ ; subscales of core characteristics of RNT:  $\alpha = .92-.94$ ; Ehring et al., 2011). Test-retest reliability over a 4-week interval for total scores were good (total score:  $r = .69$ ; subscales:  $.66-.69$ ; Ehring et al., 2011).

The PTQ was selected for this study as the focus is on RNT, rather than separate measures assessing rumination and worry. The measure is free to use which makes it widely accessible and commonly used in the research field.

***Child and Adolescent Survey of Experience: child version (CASE; Allen, Rapee & Sandberg, 2012).*** A 38-item checklist of life events with additional space to add life events by respondents (Appendix E). Respondents indicated whether each event had occurred within the previous 12 months, rating each event on a six-point scale from positive to negative in relation to how it made them feel where '1 = *really good*' and '6 = *really bad*' with no neutral point. The CASE has demonstrated good test-retest reliability, with estimates comparable to other checklists. As the number of life events and impact of life events correlated so highly ( $r = .96, p < .01$ ), impact of negative life events was used as the predictor in the model for analyses.

This measure was selected to assess life stressors, as it assesses the individual's perceived impact of life events, rather than the frequency of events which

is subjective. This measure is free to use and so it has the possibility to be widely used. Administered at each stage of the study.

**Perceived stress check.** To assess the ecological validity of examinations as a period of elevated stress (Treuba, Smith, Auchus, & Ritz, 2013), four items measured perceived stress on a 10-point scale from '0' (*no stress*) to '10' (*highest levels of stress*; Appendix F), at each stage of the study. Internal consistency was good at Baseline ( $\alpha=.80$ ), Pre-exam ( $\alpha=.77$ ) and Post-exam ( $\alpha=.74$ ).

**Revised Child Anxiety and Depression Scale (RCADS; Chorpita, Yim, Moffitt, Umemoto, & Francis, 2000).** A self-report measure including 47 items (see Appendix G) assessing symptoms of anxiety and depression in adolescents (8-to-18 years). Items are scored using a four-point scale from '0' (*Never*) to '3' (*Always*). Internal consistency for the subscales are good (MDD:  $\alpha=.87$ ; anxiety subscales:  $\alpha=.78-.84$ ). For the purposes of this study, the subcomponent depression was used in analysis. Two-week test-retest reliability coefficients were found to be favourable ( $r=.65-.86$ ; Ebesutani et al., 2011). The RCADS was administered at each stage of the study.

This measure was selected for the current study as it gives a measure of levels of symptoms, rather than focussing on clinical diagnosis. It is free to use, resulting in its wide use in the research field, and child and adolescent service use.

**Adult Temperament Questionnaire (ATQ; Evans & Rothbart, 2007).** The ATQ is valid for 16-85 years old. Correspondence with the Author's team confirmed that the ATQ is appropriate to use with the age range, more so than the Early Adolescent Temperament Questionnaire (EATQ-R; Capaldi & Rothbart, 1992) (see



Appendix H). It includes multiple constructs of temperament, including EC. In this study, only the EC subscale was used, which is comprised of 35 questions (activation control 12 items, attention control 12 items, and inhibitory control 11 items; see Appendix I). There is good internal consistency for Total EC ( $\alpha=.78$ ), and for each subscale: inhibitory control ( $\alpha=.60$ ); activation control ( $\alpha=.69$ ); attentional control ( $\alpha=.73$ ). The ATQ was collected at Baseline.

The ATQ includes measures from The Effortful Control Scale (ECS; Lonigan, 1998), which measures inhibitory and attentional control, and the Attention Control Scale (ACS; Derryberry & Reed 2002). Neither the ECS or the ACS measure all aspects of EC and so are often combined, however there is a lot of overlap. The measure is free to use when authorisation is granted.

## **Procedure**

The University of Exeter Ethics Review Board approved the study (Appendix J). All participants were entered into a prize draw at each time point for a chance to win £60 Amazon vouchers. Sample 2 also received course credits for their participation at each time point.

For Sample 1, the author of this thesis supported the staff with recruitment and was on hand to assist with any queries. Ongoing communication was maintained with schools to enhance motivation to continue participation. Participants' names were collected on the questionnaires to ensure the data were correctly linked across time points. Names were requested in order to monitor potential safeguarding concerns that may arise from responses. Responses were screened for potential safeguarding concerns on the day of data collection. No safeguarding concerns were

raised in the study. Once the data were collected by the researcher, names were be removed and codes were allocated. Participant names were securely stored and only accessible to the research team.

Questionnaires were available in paper-form or online sent via a secure link (Lime survey). Baseline questionnaires were distributed in paper-form and data were entered manually (Sample 1 and 2). Pre-exam measures were completed either in paper-form or online (online only for Sample 2), depending on the preference of the school and individual. Post-exam data were collected online only (both samples), as students had broken up from school for Summer holidays (Sample 1). Debriefing information was offered to participants.

## **Analysis**

The sample size was calculated a priori using G-power for both a correlational analysis and a multiple regression. Assuming a Type I error rate of .05 and Type II error rate of .20, the desired power is .80, in order to find a small-medium effect. The target sample size for the study is  $n=146$  (see Appendix K).

After conducting preliminary analysis to ensure all relevant assumptions have been met, descriptive statistics and correlations were conducted for all data. All hypotheses will be explored using hierarchal linear regressions (see Appendix L).

## **Results**

Three-hundred-and-fifteen students were recruited to the study, with 255 students participating at Baseline, 199 participants completed Pre-Exam, with a 21.96% attrition rate from Baseline. 115 participants completed Post-Exams, with a

42.21% attrition rate from Pre-Exam, and an overall 54.90% attrition rate between Baseline and Post-Exam. (see Figure 1 for participation and attrition for sample 1 and 2). Sample 1 were aged 15 to 19 years old ( $M=16.89$ ,  $SD=1.05$ ), sample 2 were aged 17 to 20 years old ( $M=18.88$ ,  $SD=.53$ ). The combined study sample were 15-20 year old females ( $M=17.52$ ,  $SD=1.30$ ).

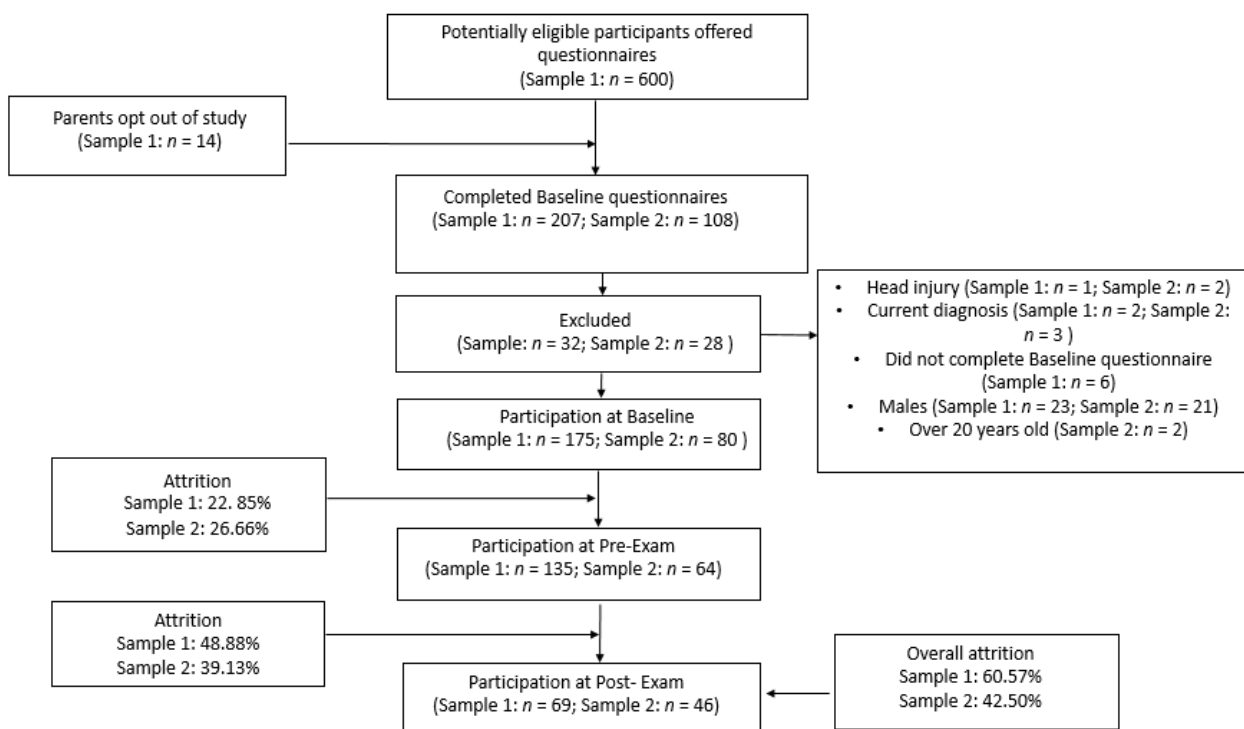


Figure 1. Diagram presenting recruitment and study participation for sample 1 and 2.

### Outliers and influential statistics.

To explore the impact of the high attrition rate throughout the study, a dummy variable was created to assess the randomness of missing data. Participants who completed Baseline and Pre-exam assessments ( $n=199$ ) had higher RNT scores ( $t(253)=-2.05$ ;  $p=.041$ ;  $M=29.41$ ;  $SD=10.48$ ) than those who did not ( $n=56$ ;  $M=26.21$ ;

$SD=9.59$ ). Furthermore, participants who completed all stages of the study ( $n=115$ ) had higher inhibitory control scores ( $t(253)=-2.70$ ;  $p=.01$ ;  $M=4.43$ ;  $SD=.78$ ) than those who did not ( $n=140$ ;  $M=4.15$ ;  $SD=.91$ ).

The missing data for those who did take part was low across the study (ATQ=3.39%; RCADS=1.21 - 2.04%; CASE=0.1% - 4.14%; PTQ=3.47%). This was partly due to the online questionnaires being set to require a response. The missing data were less than 5% across the time points, which is considered to be inconsequential (Schafer, 1999) and unlikely to bias the data (Bennett, 2001). Responses on all items were needed to score the data. The normality of the distributions were assessed prior to imputation to reduce bias, with all variables normally distributed (Sterne et al., 2009). Multiple imputation was used to address the missing data. No imputation was conducted for participants who did not complete a time point, as attrition rates were high.

Sensitivity analysis was run following data imputation, comparing the results pre and post imputation, finding no significant differences in the results confirming the missing data did not have much impact.

Z-scores and boxplots were used to check for outliers. No outliers were found across the data. Cook's distance was used to check for the influence of single cases ( $<1$ ) in the model as part of the regression analyses (Cook & Weisberg, 1982). Mahalanobis distances were used to measure leverage using recommended cut-off  $<15.6$  (Barnet & Lewis, 1978) and DFBeta to measure the influence of a single case on regression parameter ( $< +/-0.33$ ; Field, 2005). No influential cases were identified

following outlier analyses. All variables were standardised to reduce the impact of differing scales, and all predictors were mean-centred.

### **Parametric assumptions**

Residuals of the regression model were approximately normally distributed. Standardised residuals were plotted against range of predicted values of the outcomes in the regression to examine linearity and homogeneity of variances. A nonlinear/curvilinear pattern was not observed (linearity assumption met) and no change in dispersion of the residuals at different predicted values of the outcome (no heteroscedasticity). Partial plots were linear and homoscedastic (plotting predictors against outcome following partialling out for the other predictors). Correlations between predictor variables did not exceed  $>.7$  suggesting low levels of collinearity and allowing determination of individual predictors (Field, 2005).

### **Examining the stressor**

The stressor was measured using questions asked at each time point, to measure how stressful the examination period was perceived to be. A repeated measures ANOVA found an overall increase in stress from Baseline to Pre-exam assessment  $F(1, 197)=37.49, p<.001$ , which did not differ between the samples  $F(1, 197)=.10, p=.75$ . There was an overall decrease in stress from Pre-exam assessment to Post-exam assessment  $F(1, 106)=75.32, p<.001$ , which did not differ between samples  $F(1, 106)=3.02, p=.09$ .

### **Descriptive Statistics**

All demographic statistics for the samples are presented in Table 1.

Participants reported a number of significant negative life events at Baseline (e.g., losing a family member, failing an important exam) with 85 participants (42.71%) reporting events occurring between Baseline and Pre-exam assessment, and 52 (45.22%) participants reporting events between Pre-exam and Post-exam assessments.

### **Relationships among measures**

To examine the hypotheses and explore correlations among variables, a series of Pearson's  $r$  correlations were conducted (see Table 2). Findings indicated that the subcomponents of EC moderately correlated with one another suggesting they are related but independent measures of EC. All measures of EC negatively correlated with depressive symptoms at Baseline and Pre-exam assessments; however only activational control correlated with depressive symptoms at Post-exam assessment. In support of the hypotheses, all measures of EC negatively correlated with RNT.

Supporting H1 and H3, RNT correlated with depressive symptoms at each time point. Depressive symptoms correlated across each time point.

Perceived exam stress at Baseline negatively correlated with inhibitory control and total EC, however no significant correlations were found prospectively with exam stress at Pre-exam or Post-exam assessments. Baseline exam stress also correlated with RNT at Baseline and Pre-exam assessments, but not at Post-exam assessments. Exam stress correlated with depressive symptoms at all time points.

Negative life events at Baseline correlated with depressive symptoms at Baseline and Pre-exam assessments, and with RNT.

Table 1.

*Descriptive statistics at Baseline, Pre-exams and Post-exams for sample 1, sample 2 and the combined study sample.*

	<b>Sample 1</b>		<b>Sample 2</b>		<b>Combined sample</b>	
	<b><u>M</u></b>	<b><u>SD</u></b>	<b><u>M</u></b>	<b><u>SD</u></b>	<b><u>M</u></b>	<b><u>SD</u></b>
<b>Demographics:</b>						
Age	16.54	2.74	19.11	.95	17.35	2.62
Negative life events						
Baseline	14.60	7.90	4.45	2.64	11.42	6.22
Pre-exam	.47	.98	.39	.66	.45	.89
Post-exam	.35	.80	1.20	1.93	.68	1.42
<b>EC:</b>						
Inhibitory control	4.24	.80	4.36	.98	4.28	.86
Activational control	4.19	.99	3.46	.88	3.96	1.01
Attentional control	3.75	.97	3.96	.73	3.82	.90
Total EC	4.06	.78	3.97	.65	4.03	.74
<b>RNT</b>	28.91	10.64	28.26	9.75	28.71	10.35
<b>DS</b>						
Baseline	9.91	5.98	8.33	5.07	9.41	5.74
Pre-exam	11.88	6.98	11.00	8.01	11.60	7.32
Post-exam	8.94	5.33	10.88	8.14	9.72	6.64
<b>Perceived stress:</b>						
Baseline	6.73	2.23	5.52	2.18	6.35	2.28
Pre-exam	7.69	1.57	7.86	1.21	7.75	1.46
Post-exam	4.66	3.07	5.37	2.43	4.94	2.84

*Note. DS = Depressive Symptoms; EC = Effortful Control; M = Mean; RNT = Repetitive Negative Thinking; SD = Standard Deviation*



Table 2.

*Correlations between all study variables.*

Variable	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1 Age	-	-.02	-.00	.26**	.17**	-.03	.18**	.14*	-.05	-.09	.04	.17	-.10	.12	.21*
2 CASE T1		-	.00	-.05	.05	.06	-.00	.04	.18**	.20**	.24**	-.00	.09	.02	.14
3 CASE T2			-	.26**	-.18*	-.10	-.09	-.15*	.09	.14	.03	.07	-.02	.08	.22*
4 CASE T3				-	-.10	-.12	-.03	-.10	-.19*	-.00	-.02	.14	-.04	.13	.14
5 EC: InC					-	.49**	.48*	.81**	-.31**	-.29**	-.14*	-.07	-.13*	-.08	.06
6 EC: ActC						-	.45**	.80**	-.30**	-.34**	-.20**	-.34**	-.02	-.12	.08
7 EC: AttC							-	.80**	-.38**	-.31**	-.14*	-.01	-.18**	-.01	.14
8 EC Total								-	-.41**	-.39**	-.20**	-.17	-.14*	-.08	.12
9 RNT									-	.69**	.42**	.36**	.38**	.26**	.12
10 DS T1										-	.62**	.61**	.38**	.28**	.28**
11 DS T2											-	.43**	.36**	.32**	.26**
12 DS T3												-	.23*	.22*	.26**
13 Stress T1													-	.35**	.24**
14 Stress T2														-	.23*
15 Stress T3															-

Note. CASE = Child and Adolescent Survey of Experience (negative life events subscale); DS = depressive symptoms from Revised Children's Depression Subscale; EC subscales: InC= inhibitory control, ActC= activational control, AttC= attentional control; RNT = PTQ Perseverative Thinking Questionnaire; Stress = Stress Validity Check exam question; Times; T1 = Baseline, T2 = Pre-exam, T3 = Post-exam

\*\* $p < .01$ ; \* $p < .05$

## **Emotional response pre-exam**

A hierarchical linear regression (Table 3) was conducted to explore H1 and H2, controlling for sample, depressive symptoms at Baseline and negative life events at Baseline. Depressive symptoms Pre-exam were not predicted by sample or negative life events. The step 2 model was significant, where sample and Baseline depressive symptoms predicted pre-exam depression  $F(2, 197)=57.70, p<.001$ , with an  $R^2$  of .37. Depressive symptoms at Baseline predicted depressive symptoms at Pre-exam assessment at every step of the model. RNT was entered in step 4, and did not predict changes in depressive symptoms at any step of the model. Therefore, H1 was not supported.

In the subsequent steps of the regression (Table 3), H2 was investigated. EC as a moderator was explored, entering an interaction variable in step 6. EC did not interact with RNT in predicting depressive symptoms, finding no moderation, therefore H2 was not supported.

Finally, sample was entered as an interaction with the main variables, to assess whether sample interacted with the model's coefficients. No significant interactions were found.

Table 3.

*Hierarchical Regression Analyses for Hypotheses 1 & 2.*

	<b>B</b>	<b>SE B</b>	<b>β</b>	<b>p</b>	<b>Variance Explained</b>
<b>Depression symptoms Pre-exam</b>					
<b>Step 1</b>					
Sample	.03	.04	.05	.52	$R^2_{adjusted} = -.01, p = .52$
<b>Step 2</b>					
Sample	-.05	.03	-.09	.13	$R^2_{Change} = .37, R^2_{adjusted} = .37, p = .001$
DS Baseline	.57	.05	.62	<b>.001</b>	
<b>Step 3</b>					
Sample	-.05	.04	-.09	.22	$R^2_{Change} = .00, R^2_{adjusted} = .36, p = .93$
DS Baseline	.57	.05	.62	<b>.001</b>	
Neg events Baseline	-.01	.06	-.01	.93	
<b>Step 4</b>					
Sample	-.05	.04	-.09	.20	$R^2_{Change} = .00, R^2_{adjusted} = .36, p = .54$
DS Baseline	.60	.07	.66	<b>.001</b>	
Neg events Baseline	-.01	.06	-.01	.93	
RNT	-.04	.06	-.05	.54	
<b>Step 5</b>					
Sample	-.06	.04	-.10	.17	$R^2_{Change} = .00, R^2_{adjusted} = .36, p = .48$
DS Baseline	.61	.08	.67	<b>.001</b>	
Neg events Baseline	.01	.06	.01	.99	
RNT	-.03	.06	-.04	.64	
EC	.05	.07	.05	.48	
<b>Step 6</b>					
Sample	-.06	.04	-.10	.15	$R^2_{Change} = .00, R^2_{adjusted} = .36, p = .48$
DS Baseline	.60	.08	.66	<b>.001</b>	
Neg events Baseline	.01	.06	.01	.98	
RNT	-.02	.06	-.03	.71	
EC	.04	.07	.04	.54	

EC X RNT	-.01	.01	-.05	.43	<i>R<sup>2</sup>Change =</i> <i>.00, R<sup>2</sup><sub>adjusted</sub> =</i> <i>.36, p = .43</i>
<b>Step 7</b>					
Sample	-.07	.04	-.11	.12	
DS Baseline	.98	.32	1.08	<b>.002</b>	
Neg events Baseline	-.01	.06	-.01	.87	
RNT	-.28	.22	-.36	.21	
EC	-.28	.27	-.27	.31	
EC X RNT	-.01	.01	-.06	.32	
Sample X DS	-.06	.05	-.43	.22	
Sample X RNT	.06	.05	.36	.23	
Sample X EC	.05	.04	.32	.23	<i>R<sup>2</sup>Change =</i> <i>.01, R<sup>2</sup><sub>adjusted</sub> =</i> <i>.36, p = .29</i>
<b>Step 8</b>					
Sample	-.06	.04	-.10	.18	
DS Baseline	.96	.32	1.06	<b>.003</b>	
Neg events Baseline	-.01	.06	-.01	.92	
RNT	-.28	.22	-.37	.21	
EC	-.34	.28	-.33	.23	
EC X RNT	-.07	.08	-.35	.34	
Sample X DS	-.06	.05	-.41	.24	
Sample X RNT	.06	.05	.36	.23	
Sample X EC	.05	.04	.38	.17	
Sample X EC X RNT	.03	.04	.30	.42	<i>R<sup>2</sup>Change =</i> <i>.002,</i> <i>R<sup>2</sup><sub>adjusted</sub> =</i> <i>.36, p = .42</i>

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Standardised coefficients reported

### Emotional recovery post-exam

A hierarchical linear regression (Table 4) was conducted to explore H3 and H4, controlling for sample, depressive symptoms at Pre-exam assessment and negative life events at Pre-exam assessment. Depressive symptoms Post-exam were not predicted by sample or negative life events. The step 2 model was significant, where sample and Pre-exam depressive symptoms predicted

Post-exam depression  $F(2, 107)=13.68$ ,  $p<.001$ , with an  $R^2$  of .19. Depressive symptoms at Pre-exam assessment predicted depressive symptoms at Post-exam assessment at every step of the model.

The step 4 model with sample, depressive symptoms Pre-exam and RNT is a significant model  $F(2, 107)=8.81$ ,  $p<.001$ , with an  $R^2$  of .23, showing that RNT predicts additional variance above and beyond depressive symptoms, supporting H3.

In the subsequent steps of the regression (Table 4), H4 was investigated. In step 6, EC as a moderator was explored, finding no significant interactions. The step 7 model was significant  $F(9,107)=7.53$ ,  $p=.001$ , with an  $R^2$  of .36, which included all previous predictors, the interaction between EC and RNT, and interactions between sample the and predictor variables to control for the effect of sample. EC was found to moderate the relationship between RNT and depressive symptoms Post-exam. The pattern of results (Figure 2; ModGraph-I; Jose, 2013) suggests that individuals with higher EC have higher levels of depressive symptoms, which is in the opposite direction to H4.

A further two interactions were found to be significant. In the first, sample moderated the effect of RNT. Figure 3 (ModGraph-I; Jose, 2013) presents the pattern of the interaction, indicating that there is a greater association between RNT and depressive symptoms in recovery from stress, when RNT is high, in Sample 1, compared to Sample 2. Secondly, sample moderated the effect of depressive symptoms. Figure 4 (ModGraph-I; Jose, 2013) presents the pattern of the interaction, indicating that there is a greater association between higher

levels of depressive symptoms Pre-exam and in recovery from stress, in Sample 1, compared to Sample 2.

Table 4.

*Hierarchical Regression Analyses for Hypotheses 3 & 4*

	<b>B</b>	<b>SE B</b>	<b>β</b>	<b>p</b>	<b>Variance Explained</b>
<b>Depression symptoms Post-exam</b>					
<b>Step 1</b>					
Sample	-.03	.05	-.06	.52	$R^2_{adjusted} = -.00, p = .53$
<b>Step 2</b>					
Sample	-.07	.05	-.13	.15	$R^2_{Change} = .21, R^2_{adjusted} = .19, p < .001$
DS Pre-exam	.44	.08	.46	<b>.001</b>	
<b>Step 3</b>					
Sample	-.07	.05	-.13	.15	$R^2_{Change} = .00, R^2_{adjusted} = .19, p = .68$
DS Pre-exam	.45	.09	.47	<b>.001</b>	
Neg events Pre-exam	-.08	.20	-.04	.68	
<b>Step 4</b>					
Sample	-.08	.05	-.16	<b>.04</b>	$R^2_{Change} = .04, R^2_{adjusted} = .23, p = .02$
DS Pre-exam	.38	.09	.40	<b>.001</b>	
Neg events Pre-exam	-.12	.20	-.065	.56	
RNT	.17	.07	.22	<b>.02</b>	
<b>Step 5</b>					
Sample	-.08	.05	-.15	.10	$R^2_{Change} = .01, R^2_{adjusted} = .23, p = .33$
DS Pre-exam	.37	.09	.39	<b>.001</b>	
Neg events Pre-exam	-.15	.20	-.07	.47	
RNT	.16	.07	.21	<b>.03</b>	
EC	-.10	.10	-.09	.33	
<b>Step 6</b>					
Sample	-.07	.05	-.13	.16	
DS Pre-exam	.36	.09	.37	<b>.001</b>	
Neg events Pre-exam	-.10	.20	-.04	.61	

RNT	.13	.07	.17	<b>.04</b>	
EC	-.09	.10	-.08	.34	
EC X RNT	.05	.03	.16	.07	
					R <sup>2</sup> Change =
					.02, R <sup>2</sup> adjusted =
					.25, p =.07
<b>Step 7</b>					
Sample	-.06	.04	-.11	.17	
DS Pre-exam	.24	.09	.24	<b>.02</b>	
Neg events Pre-exam	-.17	.19	-.08	.37	
RNT	.55	.21	.72	<b>.01</b>	
EC	.36	.36	.33	.32	
EC X RNT	.06	.03	.21	<b>.02</b>	
Sample X DS	.08	.02	.50	<b>.04</b>	
Sample X RNT	-.14	.05	-.87	<b>.01</b>	
Sample X EC	-.07	.05	-.45	.18	
					R <sup>2</sup> Change =
					.12, R <sup>2</sup> adjusted =
					.36, <b>p =.001</b>
<b>Step 8</b>					
Sample	-.08	.04	-.14	.09	
DS Pre-exam	.24	.09	.26	<b>.01</b>	
Neg events Pre-exam	-.18	.19	-.08	.34	
RNT	.50	.21	.65	<b>.02</b>	
EC	.55	.38	.51	.16	
EC X RNT	.20	.10	.65	<b>.04</b>	
Sample X DS	.08	.02	.48	.05	
Sample X RNT	-.13	.05	-.78	<b>.01</b>	
Sample X EC	-.09	.05	-.59	.09	
Sample X EC X RNT	-.08	.06	-.45	.17	
					R <sup>2</sup> Change =
					.11,
					R <sup>2</sup> adjusted =
					.36, <b>p = .001</b>

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Standardised coefficients reported

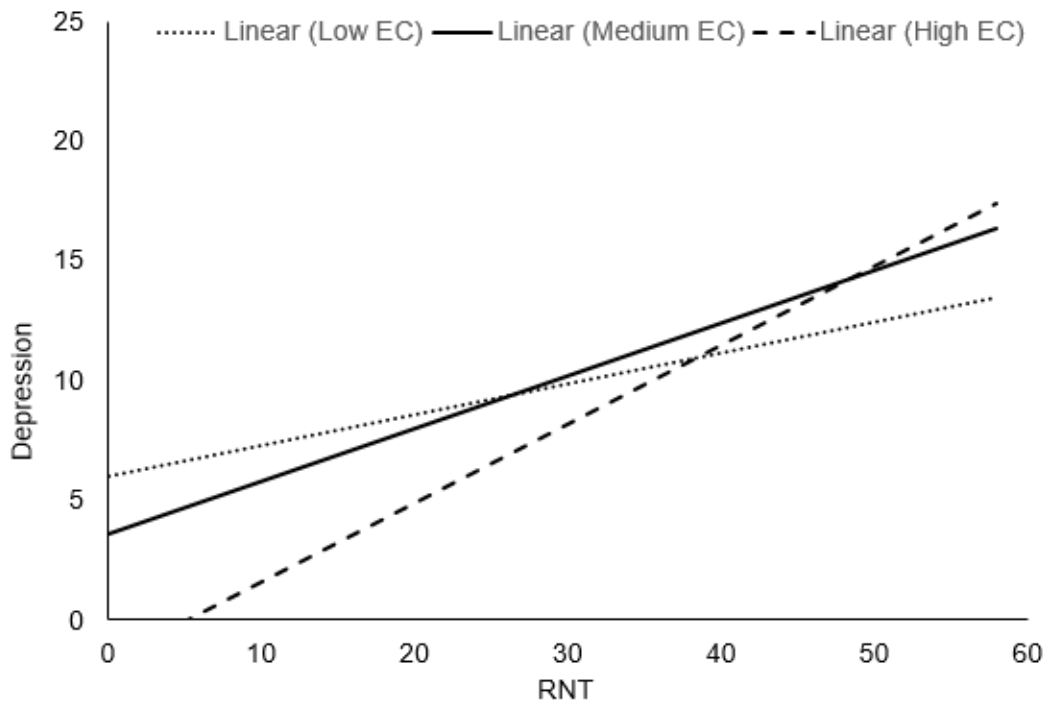


Figure 2. The interaction between EC, RNT and depressive symptoms post-exam.

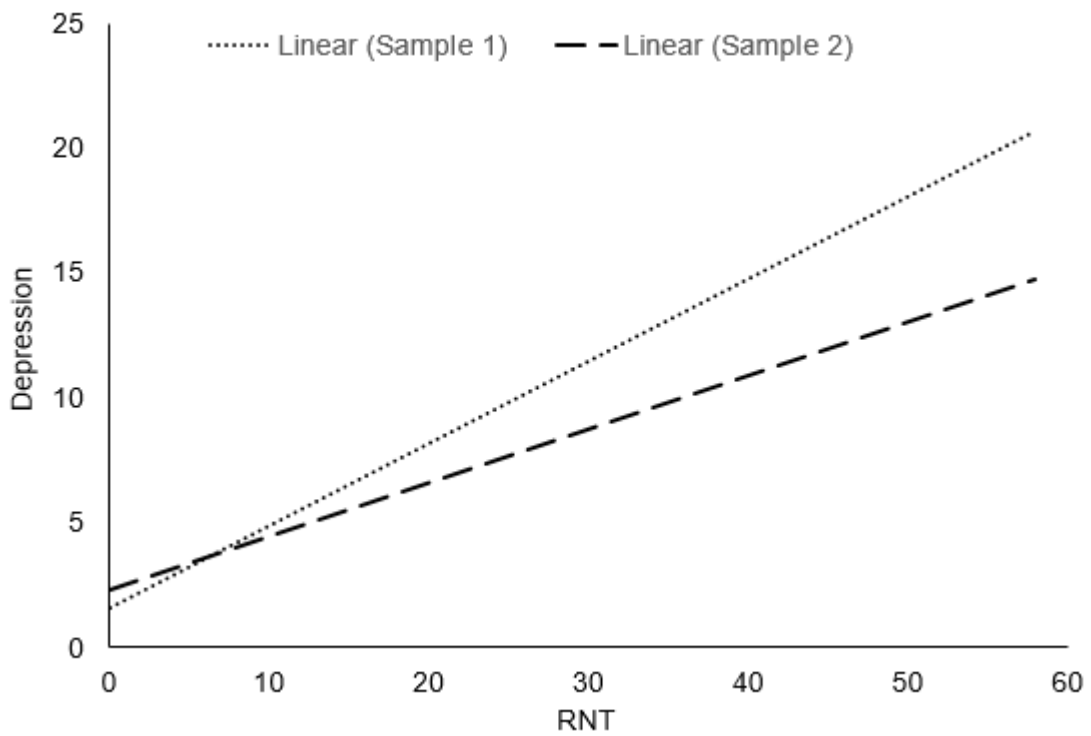


Figure 3. The interaction between RNT and depressive symptoms Post-exam for Samples 1 and 2.



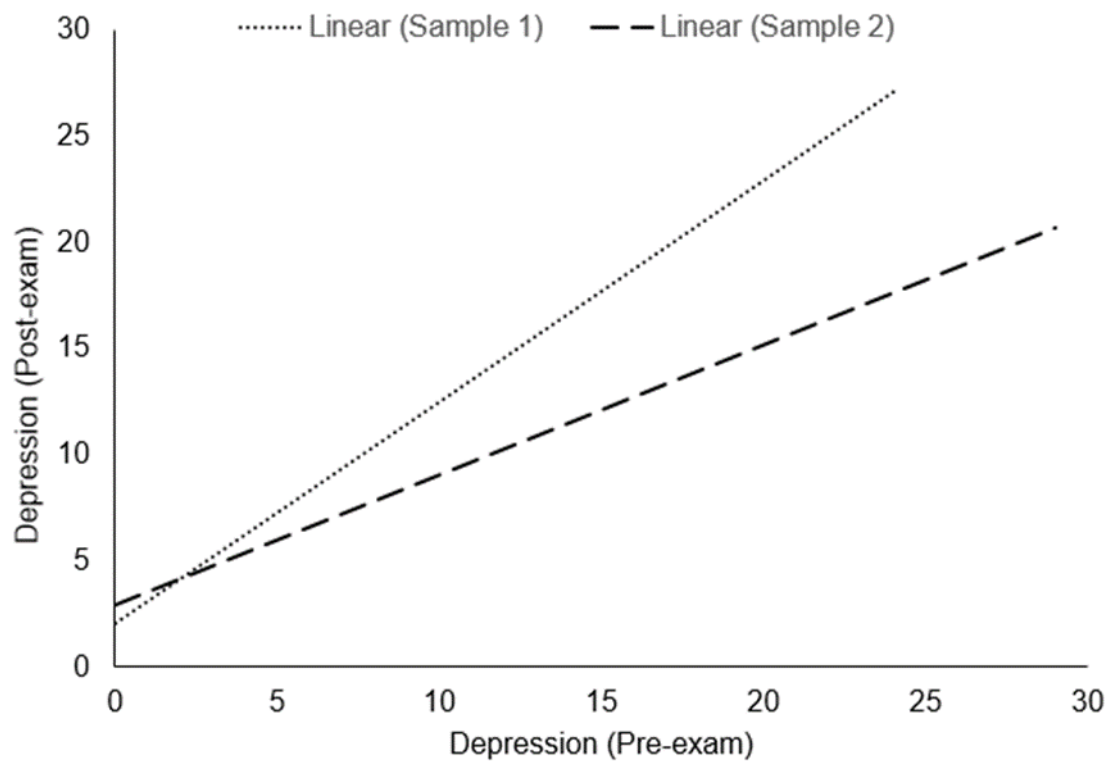


Figure 4. The interaction between depressive symptoms Pre-exam and Post-exam for Samples 1 and 2.

## Discussion

This study investigated associations between RNT, EC and depressive symptoms over a period of natural stress, at three time points (Baseline, Pre-exam and Post-exam) in a non-clinical sample of adolescent females. The study was designed to explore these constructs in reactivity to, and recovery from stress. The study also explored whether EC moderated the relationship between RNT and depressive symptoms during reactivity to, and in recovery from stress.

The study first examined emotional reactivity to stress. Hypothesis 1, that Baseline RNT would predict subsequent depression at the Pre-exam assessment was not confirmed, once baseline depressive symptoms were controlled for. This was unexpected as there is a large evidence base indicating how those with higher tendency to ruminate are more likely to experience higher levels of depressive symptoms (e.g., Abela et al., 2002; Ehring & Watkins, 2008; Nolen-Hoeksema, Stice, Wade, & Bohon, 2007). Other studies have found support for this hypothesis in adolescents, across three months (Bijttebier et al., 2017) and seven months (Snyder & Hankin, 2016). Another study that found this significant relationship only found the brooding subtype predicted depressive symptoms, not reflection (Verstraeten, Bijttebier, Vasey, & Raes, 2011). It is possible that a measure weighted towards brooding items was required to find this association. Our study used a measure of trait RNT, rather than a measure of rumination (such as the RRS; Treynor et al., 2003) which explore responses to sad mood. The measure used in the current study (PTQ) was unable to further explore potential subcomponents of rumination as part of

RNT, which may or may not have predicted subsequent changes in depressive/anxious symptoms.

As there was no significant relationship in the model between RNT and depressive symptoms Pre-exam, EC did not significantly interact with RNT in predicting depressive symptoms. Therefore, H2 investigating EC as a moderator, was not supported. There have been mixed findings in the literature. One study found EC to be a significant moderator between RNT predicting depressive symptoms over a year, such that RNT was a stronger predictor of subsequent depression at lower levels of EC (Verstraeten, Vasey, Raes, & Bijttebier, 2009). However, another study found that EC was not a significant moderator for the relationship between brooding and depressive symptoms (Mezulis, Simonson, McCauley, & Stoep, 2011).

Next, the study investigated emotional recovery from stress. Hypothesis 3, that Baseline RNT would predict subsequent depression at the Post-exam assessment was confirmed, once baseline depressive symptoms were controlled for. Baseline RNT was significantly associated with depressive symptoms Post-exam, and predicted levels of depressive symptoms when controlling for Pre-exam depressive symptoms. The significant relationship found for RNT and depressive symptoms for recovery and not reactivity to stress, is an interesting finding. One study investigating autonomic nervous system responses to stressful events in adolescents, found that some adolescents who engaged in higher levels of RNT did not have higher levels of physiological reactivity during the stressor, but they did take significantly longer to recover after the stressor (Aldao, et al., 2014). It is possible that individuals

high in RNT show a delayed post-stressor recovery, indicating that RNT maintains and prolongs the stress response to an event by keeping it mentally active. Another possibility is that the follow up period was not long enough between Baseline and Pre-exam for RNT to predict depressive symptoms.

Finally, Hypothesis 4 predicted that EC at Baseline will interact with Baseline RNT in predicting variance in subsequent depression symptoms in response to stress recovery Post-exam. The findings show that high levels of EC interacted with high levels of RNT to predict more depressive symptoms. This is an unexpected result, and is a finding opposite to our prediction. This finding is inconsistent with the wider literature, and so conclusions that can be drawn are limited. One possible explanation is that the items on the ATQ are capturing other aspects of temperament, such as conscientiousness and perfectionism, both of which have been linked to rumination and depressive symptoms (Flett, Nepon, & Hewitt, 2015; Klein, Kotov, & Bufferd, 2011). Future studies may wish to use an alternative measure of EC, or include questions to measure participants motivation or perceived importance of the exams. Further research is needed to explore this effect, with a larger sample needed to improve the power.

Whilst some hypotheses were supported, the majority were not. One explanation is that exam rumination may be a specific stressor that is difficult to predict and was not captured in the general measure of trait RNT. The data indicated that the study had good ecological validity, as shown by the significant increases in the levels of stress leading up to exams, and significant reduction

following the exams, however effects may have been larger with a clinical sample, as their responses to stress would be predicted to be greater.

The inclusion of control interactions to examine whether effects are different between the samples, found a positive interaction during emotional recovery from stressor. A second interaction found that there is a general effect of pre-exam depressive symptoms predicting recovery from the stressor and this effect is stronger in the younger sample (Sample 1). One explanation is that is that younger people are more sensitive to exam results, as they are less familiar with them, and may perceive them to be more important. This interaction may indicate an effect of age rather than sample (Snyder & Hankin, 2016).

### **Strengths and limitations**

A limitation of this study was that significantly more females were recruited to the study. In both samples, identical recruitment procedures were used to invite males and females to participate, and whilst some males participated across the follow-up waves, this was only a small percentage in comparison to females. The vastly uneven number of males participating meant their data were excluded from analysis, in order to reduce reporting bias, where findings based predominantly on one population are generalised and applied to both (Holtcroft, 2007).

The inclusion of females only has significant limitations on the conclusions that can be drawn from the results. A longitudinal study by Hankin and colleagues (1998) found that between 15 to 18 years of age female rates of

depression rises to double the prevalence rate for males (Hankin et al., 1998).

This suggests that depressive symptoms may be overrepresented in this sample of females only, and the inclusion of males may have reduced the overall effects of associations with depressive symptoms.

A number of reasons are proposed for the difficulty in recruiting males into our study. In discussions with the schools, they reported that the males were less likely to show an interest in the research around their peers. Furthermore, they observed that males were less interested in the emotion aspect of the research. Whilst this is an anecdotal observation, the societal norm for males to be less emotionally open compared to women, also termed 'normative male alexithymia' (Levant, Hall, Williams, & Hasan, 2009) may explain the barriers to recruitment. One study that also reported difficulties recruiting males suggested that discussing depressive symptoms was associated with stigma in males and so were less likely to participate (Hinton, Zweifach, Oishi, Tang, & Unutzer, 2006). Other studies have also found that males with a mental health difficulty were less likely to seek psychological services due to stigma (Andrews, Issakidis, & Carter, 2001). In line with this, an important consideration when measuring depressive symptoms is that males may underreport on self-report and interviews compared to females, as females may be more open to reporting their emotions (Hankin et al., 1998), thus skewing our perception of gender differences.

Another limitation was that ethnicity was not reported in the study. However, the demographics of the recruitment areas for both samples was predominantly White British. Assuming these results are primarily based on

white British females, this limits the generalisability of the results and limits what we can learn about the development of depressive symptoms outside of this sample. Some differences have been found between prevalence rates of depressions in adolescents based on ethnicity (e.g. Hayward, Gotlib, Schraedley, & Litt, 1999; Roberts & Sobhan, 1992), however there is a paucity of information on the prevalence of mental disorders among minority groups especially in adolescence. This is an important consideration for future studies.

The power calculation conducted prior to recruiting participants indicated that in order for the study to meet adequate power to detect small to medium effect sizes using correlational analysis and multiple regression 146 participants would need to be recruited, accounting for a 20% attrition rate at each time point. The study was able to recruit above the sufficient number at Baseline ( $n=255$ ), and despite attrition levels of 21.96% between the first two time points, power was achieved at Pre-exam ( $n=199$ ). However, a high level of attrition after the exams (42.21%) resulted in having an underpowered sample Post-exam ( $n=115$ ). The reasons for the high attrition rate (54.90% between Baseline and Post-exam) was likely due to multiple factors. Increased stress, and academic priorities prior to exams were likely to impact on participant's voluntarily giving their time to research. Secondly, due to the nature of the project, it was not possible to reimburse participants for their time (although a prize draw was used to thank participants), which may reduce motivation at an already busy time. Secondly, the majority of follow-up data were collected via an online link sent to the participants email address, which many of them opted to give their school email address. Post-exam data collection fell at the end of

term with reminders sent around the start of the Summer holidays and so it is assumed that many participants did not receive the follow-up email, or may have been away. Future studies using online links should ensure they have additional follow-up details. So whilst the majority of data analysis is sufficiently powered, analysis including the Post-exam wave, or all three time points (due to pairwise deletion) are underpowered. This high rate of attrition resulted in the study only having power to detect large effect sizes Post-exam rather than the more realistic moderate effects that would be expected for a study of this kind (Cohen, 1992). Consequently, the null effects reported by the study may have occurred as a result of a lack of power rather than indicating a lack of association between variables and significantly limiting the generalisability of findings. Future research should plan for higher rates of attrition when investigating stress.

The collaborative nature of this project (incorporating sample 2) meant that data were collected across a wider sample, increasing our exploration across later adolescence. Future research may choose to focus their exploration of RNT, depressive symptoms using multiple measures of depression, as well as subtypes of RNT and EC, to explore the mechanisms involved in potential relationships.

### **Clinical Implications**

This sample is a non-clinical sample of females, and so the clinical implications drawn from these findings are tentative. However, the study highlights a number of potential areas that may be of interest to researchers in the areas of preventative strategies and early interventions for adolescents.



The measures in this study indicate that during exam period, levels of RNT and depressive symptoms increase significantly for adolescents. This is a known period of elevated stress for adolescents, and as such schools should recognise this to be able to put strategies in place to support students.

Whilst the results also show that there is a reduction in stress following the exams, findings also show that high levels of RNT continue to predict high rates of depressive symptoms following the stressor. Further research is needed to explore the moderating role of EC to RNT and depressive symptoms in order to understand the clinical implications of this relationship.

Future research that is concerned with populations vulnerable to developing depressive symptoms may wish to consider students during exam time, as results from this study indicate this is a significant period of naturally elevated stress. This time may be advantageous for developing strategies to manage stress, RNT and increase effortful processes.

## **Conclusion**

This study explored the prospective associations between RNT, EC, and depressive symptoms in a sample of students following a period of naturally induced stress. The study first examined emotional reactivity to stress, finding that contrary to the literature, RNT did not predict depressive symptoms in response to stress, when controlling for Baseline depressive symptoms. Subsequently, EC did not significantly interact with RNT in predicting depressive symptoms. The study examined emotional recovery from stress, finding that RNT predicted levels of depressive symptoms following exams,

when controlling for Pre-exam depressive symptoms. It was found that EC moderated, however contrary to the literature and our predictions, this was not in the expected direction, with individuals high in EC having high levels of depressive symptoms. Further research is needed to explore and understand this finding within clinical populations, including males and females.

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## **Appendices**

Appendix A - Descriptive data for males recruited from samples 1 and 2.

Appendix B - Demographic Questionnaire

Appendix C - Perseverative Thinking Questionnaire (PTQ)

Appendix D - Email Conversations with Professor Ehring

Appendix E - Child and Adolescent Survey of Experiences (CASE)

Appendix F - Perceived Stress Check

Appendix G - Revised Children's Anxiety and Depression Scale (RCADS)

Appendix H- Email correspondence with the EATQ-R research team

Appendix I – Adult Temperament Questionnaire

Appendix J- University ethics approval

Appendix K- Power analysis

Appendix L- Analysis Plan

Appendix M- Submission requirements for target journal

**Appendix A- Descriptive data for males recruited from samples 1 and 2.**

	<b>Male sample</b>		<b>Female sample</b>	
<b>Demographics:</b>	<b><u>M</u></b>	<b><u>SD</u></b>	<b><u>M</u></b>	<b><u>SD</u></b>
Age	17.03	2.48	17.35	2.62
Negative life events				
Baseline	4.62	2.36	11.42	6.22
Pre-exam	.38	.92	.45	.89
Post-exam	.10	.44	.68	1.42
<b>EC:</b>				
Inhibitory control	4.82	.77	4.28	.86
Activational control	4.06	1.21	3.96	1.01
Attentional control	4.21	.75	3.82	.90
Total EC	4.39	.67	4.03	.74
<b>RNT</b>	24.00	10.24	28.71	10.35
<b>DS</b>				
Baseline	7.19	4.03	9.41	5.74
Pre-exam	9.33	10.62	11.60	7.32
Post-exam	6.10	6.24	9.72	6.64
<b>Perceived stress:</b>				
Baseline	3.62	2.58	6.35	2.28

Pre-exam	6.24	2.83	7.75	1.46
Post-exam	1.33	2.17	4.94	2.84

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## Appendix B- Demographic Questionnaire

*Please tick boxes where appropriate*

Below are 4 questions (a-d) that ask whether you are able to take part in the study. It will say below the questions whether you are able to take part.

- a) Do you have a diagnosed mental health difficulty (e.g. depression, anxiety. Diagnosis given by a trained professional, e.g. CAMHS, your GP)?

Yes  No

*If you answered yes to this question, you may still take part although we may not be able to include your information in the study.*

- b) Do you have a learning difficulty? (not including dyslexia)

Yes  No

*If you answered yes to this question, you may still take part although we may not be able to include your information in the study.*

- c) Have you had a head injury (in sports, or a car crash etc.) that made you dizzy and confused, or left you knocked out?

Dazed/confused  Knocked out

*If you answered yes to this question, you may still take part although we may not be able to include your information in the study.*

- d) Are you fluent in English?

Yes  No

*If you answered no to this question, you may still take part although we may not be able to include your information in the study.*

If any of your answers suggest that we might not be able to use your data, you can stop taking part in the study now. However, if you would still like to continue taking part for any reason, please do, but please be aware that your information may not be included in the final study.

If your answers suggest you can take part in the study, please continue answering our questions below.

1) Date of birth (dd/mm/yy):

.....

2) Sex:

Female

Male

3) Year of school:

.....

4) Marital status- Mother

Single

Married

Separated

Divorced

Remarried

Widowed

Other (please state)

.....

5) Marital status- Father

Single

Married

Separated

Divorced

Remarried

Widowed

Other (please state)

.....

6) Number of people living in your home:

.....

Other (Please state)

.....

11) Current employment status- Father:

Self-employed

Homemaker

Student

Retired

Unable to work

Employed for wages

Unemployed

Volunteer

Other (Please state)

.....

12) Current occupation- Mother (only if employed):

.....

13) Current occupation- Father (only if employed):

.....

7) People living in your home (e.g. brother, sister etc.):

.....  
.....  
.....  
.....

8) Highest level of education completed- Mother:

Primary school       Secondary school       College (16-18)   
Further training       Undergraduate degree       Postgraduate degree   
(E.g. NVQ)  
Other (Please state)

.....

9) Highest level of education completed- Father:

Primary school       Secondary school       College (16-18)   
Further training       Undergraduate degree       Postgraduate degree   
(E.g. NVQ)  
Other (Please state)

.....

10) Current employment status-Mother:

Self-employed       Homemaker       Student   
Retired       Unable to work       Employed for wages   
Unemployed       Volunteer

14) Does your Mother or Father have a diagnosed mental health difficulty? (e.g. depression)?

Yes

No

Thank you for completing this questionnaire. Please turn to the next one.

### **Appendix C - Perseverative Thinking Questionnaire (PTQ)**

Instruction: In this questionnaire, you will be asked to describe how you typically think about negative experiences or problems. Please read the following statements and rate the extent to which they apply to you when you think about negative experiences or problems.

		never	rarely	some- times	often	almost always
1.	The same thoughts keep going through my mind again and again.	0	1	2	3	4
2.	Thoughts intrude into my mind.	0	1	2	3	4
3.	I can't stop dwelling on them.	0	1	2	3	4
4.	I think about many problems without solving any of them.	0	1	2	3	4
5.	I can't do anything else while thinking about my problems.	0	1	2	3	4
6.	My thoughts repeat themselves.	0	1	2	3	4
7.	Thoughts come to my mind without me wanting them to.	0	1	2	3	4
8.	I get stuck on certain issues and can't move on.	0	1	2	3	4
9.	I keep asking myself questions without finding an answer.	0	1	2	3	4
10.	My thoughts prevent me from focusing on other things.	0	1	2	3	4
11.	I keep thinking about the same issue all the time.	0	1	2	3	4
12.	Thoughts just pop into my mind.	0	1	2	3	4
13.	I feel driven to continue dwelling on the same issue.	0	1	2	3	4
14.	My thoughts are not much help to me.	0	1	2	3	4
15.	My thoughts take up all my attention.	0	1	2	3	4

## Appendix D- Email Conversations with Professor Ehring

### Query regarding the PTQ-C

Report message · Block user



Erika Baker

Sep 27, 2015

Dear Professor Ehring,

Thank you very much for allowing me access to your paper assessing the PTQ in children. I am developing a research study at the University of Exeter, investigating RNT in adolescence and am interested in using the PTQ-C. Would it be possible to have authorisation to use this measure, and if so, a copy of the measure? I am aware you have investigated the use in adolescents up to 15 years old, could I check whether any work has been undertaken on older adolescents (to to 18 years?).

Many thanks and best wishes,

Erika Baker (PhD, Trainee Clinical Psychologist)



Thomas Ehring to you

Sep 28, 2015

Dear Erika

The child version was developed by Patricia Bijttebier at KU Leuven. If you are interested in using this measure, you can best approach her for a copy. When testing older adolescents, we have always used the original PTQ, which works perfectly fine for adolescents, too. I think that the PTQ-C is mainly useful for younger children.

Best,

Thomas





**Erika Baker**

Sep 29, 2015

Dear Professor Ehring,

Thank you very much, that's very helpful. We are testing older adolescents and so the original PTQ sounds like the most appropriate measure. We would be keen to use this in our study, would you be happy to authorise this, and if so, direct me to where I can find a copy of the PTQ?

Many thanks,

Erika



**Thomas Ehring to you**

Sep 30, 2015

Please find attached a copy of the questionnaire. You are of course very welcome to use it in your research.





**Appendix F- Perceived Stress Check**

**How are you currently feeling about your upcoming exams?**

**Question 1:** *How stressed have you been feeling over the last couple of days? (0= no stress, 10= highest levels of stress)*

\_\_\_\_\_ 0 1 2 3 4 5 6 7 8 9 10

**Question 2:** *How stressed are you feeling about your exams?*

*(0= no stress, 10= highest levels of stress)*

\_\_\_\_\_ 0 1 2 3 4 5 6 7 8 9 10

**Question 3:** *How stressed are you currently feeling about other aspects of your life (not exam related)?*

*(0= no stress, 10= highest levels of stress)*

\_\_\_\_\_ 0 1 2 3 4 5 6 7 8 9 10

**Question 4:** *How much time do you currently spend worrying/feeling stressed about your exams? (0= no time, 10= all of my time)*

\_\_\_\_\_ 0 1 2 3 4 5 6 7 8 9 10

## Appendix G- Revised Children's Anxiety and Depression Scale (RCADS)

Date: \_\_\_\_\_

### RCADS

Name/ID: \_\_\_\_\_

Please put a circle around the word that shows how often each of these things happen to you. There are no right or wrong answers.

1. I worry about things .....	Never	Sometimes	Often	Always
2. I feel sad or empty .....	Never	Sometimes	Often	Always
3. When I have a problem, I get a funny feeling in my stomach .....	Never	Sometimes	Often	Always
4. I worry when I think I have done poorly at something .....	Never	Sometimes	Often	Always
5. I would feel afraid of being on my own at home	Never	Sometimes	Often	Always
6. Nothing is much fun anymore .....	Never	Sometimes	Often	Always
7. I feel scared when I have to take a test .....	Never	Sometimes	Often	Always
8. I feel worried when I think someone is angry with me .....	Never	Sometimes	Often	Always
9. I worry about being away from my parents . . . .	Never	Sometimes	Often	Always
10. I get bothered by bad or silly thoughts or pictures in my mind .....	Never	Sometimes	Often	Always
11. I have trouble sleeping .....	Never	Sometimes	Often	Always
12. I worry that I will do badly at my school work . .	Never	Sometimes	Often	Always
13. I worry that something awful will happen to someone in my family .....	Never	Sometimes	Often	Always
14. I suddenly feel as if I can't breathe when there is no reason for this .....	Never	Sometimes	Often	Always
15. I have problems with my appetite .....	Never	Sometimes	Often	Always
16. I have to keep checking that I have done things right (like the switch is off, or the door is locked) . . . . .	Never	Sometimes	Often	Always
17. I feel scared if I have to sleep on my own. ....	Never	Sometimes	Often	Always
18. I have trouble going to school in the mornings because I feel nervous or afraid .....	Never	Sometimes	Often	Always
19. I have no energy for things .....	Never	Sometimes	Often	Always
20. I worry I might look foolish .....	Never	Sometimes	Often	Always
21. I am tired a lot .....	Never	Sometimes	Often	Always
22. I worry that bad things will happen to me .....	Never	Sometimes	Often	Always

23. I can't seem to get bad or silly thoughts out of my head. ....	Never	Sometimes	Often	Always
24. When I have a problem, my heart beats really fast .....	Never	Sometimes	Often	Always
25. I cannot think clearly .....	Never	Sometimes	Often	Always
26. I suddenly start to tremble or shake when there is no reason for this .....	Never	Sometimes	Often	Always
27. I worry that something bad will happen to me ..	Never	Sometimes	Often	Always
28. When I have a problem, I feel shaky .....	Never	Sometimes	Often	Always
29. I feel worthless .....	Never	Sometimes	Often	Always
30. I worry about making mistakes .....	Never	Sometimes	Often	Always
31. I have to think of special thoughts (like numbers or words) to stop bad things from happening...	Never	Sometimes	Often	Always
32. I worry what other people think of me .....	Never	Sometimes	Often	Always
33. I am afraid of being in crowded places (like shopping centers, the movies, buses, busy playgrounds) .....	Never	Sometimes	Often	Always
34. All of a sudden I feel really scared for no reason at all .....	Never	Sometimes	Often	Always
35. I worry about what is going to happen .....	Never	Sometimes	Often	Always
36. I suddenly become dizzy or faint when there is no reason for this .....	Never	Sometimes	Often	Always
37. I think about death .....	Never	Sometimes	Often	Always
38. I feel afraid if I have to talk in front of my class	Never	Sometimes	Often	Always
39. My heart suddenly starts to beat too quickly for no reason .....	Never	Sometimes	Often	Always
40. I feel like I don't want to move .....	Never	Sometimes	Often	Always
41. I worry that I will suddenly get a scared feeling when there is nothing to be afraid of .....	Never	Sometimes	Often	Always
42. I have to do some things over and over again (like washing my hands, cleaning or putting things in a certain order) .....	Never	Sometimes	Often	Always
43. I feel afraid that I will make a fool of myself in front of people .....	Never	Sometimes	Often	Always
44. I have to do some things in just the right way to stop bad things from happening .....	Never	Sometimes	Often	Always
45. I worry when I go to bed at night .....	Never	Sometimes	Often	Always
46. I would feel scared if I had to stay away from home overnight .....	Never	Sometimes	Often	Always
47. I feel restless .....	Never	Sometimes	Often	Always

## Appendix H- Email correspondence with the EATQ-R research team



Sat 19/09/2015 21:05

Baker, Erika

Query- EATQ-R

To lellis@westminstercollege.edu

Dear Lesa Ellis,

I am designing a research study investigating effortful control with the University of Exeter, in 15-18 year olds and am interested in using one your research group's self report measures. I notice the EATQ-R is validated for use with 9-15 years olds, and the ATQ is with 18+. Do you have any validated measures for 15-18 year olds, or research that supports the use of these measures for this age range?

Many thanks and best wishes,

Erika

Erika Baker  
Trainee Clinical Psychologist



Mon 21/09/2015 21:53

Lesla K. Ellis <lellis@westminstercollege.edu>

Re: Query- EATQ-R

To Baker, Erika

Action Items

+ Get more app

Hi Erika. I have used the EATQ-R with 16 and 17 year olds, and it worked well. It does have a lot of questions that are school related, however. The short version of the ATQ would probably work very well also. It is quite easy to understand. I don't know if anyone has published any studies using it in that age group, however.

I would probably look at both measures and pick the one that you feel would be most appropriate for your sample.

Lesla K. Ellis, Ph.D.

---

Professor and Chair of Interdisciplinary Program in Neuroscience  
Westminster College  
(801)832-2425

## Appendix I – Adult Temperament Questionnaire

(VERSION 1.3)

### Directions

On the following pages you will find a series of statements that individuals can use to describe themselves. There are no correct or incorrect responses. All people are unique and different, and it is these differences which we are trying to learn about. Please read each statement carefully and give your best estimate of how well it describes you. Circle the appropriate number below to indicate how well a given statement describes you.

<u>circle #:</u>	<u>if the statement is:</u>
1	extremely untrue of you
2	quite untrue of you
3	slightly untrue of you
4	neither true nor false of you
5	slightly true of you
6	quite true of you
7	extremely true of you

If one of the statements does not apply to you (for example, if it involves driving a car and you don't drive), then circle "X" (not applicable). Check to make sure that you have answered every item.



## **EFFORTFUL CONTROL**

### **Inhibitory Control**

13. If I want to, it is usually easy for me to keep a secret.
28. It is easy for me to hold back my laughter in a situation when laughter wouldn't be appropriate.
- 41R. When I see an attractive item in a store, it's usually very hard for me to resist buying it.
55. I can easily resist talking out of turn, even when I'm excited and want to express an idea.
64. When I decide to quit a habitual behavioral pattern that I believe to be undesirable, I am usually successful.
- 86R. When I'm excited about something, it's usually hard for me to resist jumping right into it before I've considered the possible consequences.
107. Even when I feel energized, I can usually sit still without much trouble if it's necessary.
- 128R. I often avoid taking care of responsibilities by indulging in pleasurable activities.
- 140R. At times, it seems the more I try to restrain a pleasurable impulse (e.g., eating candy), the more likely I am to act on it.
- 147R. I usually have trouble resisting my cravings for food drink, etc.
172. It is easy for me to inhibit fun behavior that would be inappropriate.

### **Activation Control**

32. I usually finish doing things before they are actually due (e.g., paying bills, finishing homework, etc.).

- 50R. I am often late for appointments.
- 62R. I often make plans that I do not follow through with.
84. As soon as I have decided upon a difficult plan of action, I begin to carry it out.
96. If I think of something that needs to be done, I usually get right to work on it.
109. I can make myself work on a difficult task even when I don't feel like trying.
- 117R. Even when I have enough time to complete an activity today, I often tell myself that I will do it tomorrow.
- 138R. If I notice I need to clean or wash something (e.g., car, apartment, laundry, etc.), I often put it off until tomorrow.
- 149R. I hardly ever finish things on time
153. I usually get my responsibilities taken care of as soon as possible.
- 156R. When I am afraid of how a situation might turn out, I usually avoid dealing with it.
177. I can keep performing a task even when I would rather not do it.

### **Attentional Control**

#### **Attentional Shifting from Punishment**

- 43R. When I am sad about something, it is hard for me to keep my attention focused on a task.
- 71R. When I am anxious about the outcome of something, I have a hard time keeping my attention focused on a task.
- 112R. It is very hard for me to focus my attention when I am distressed.

#### **Attentional Shifting from Reward**

15R. When I am happy and excited about an upcoming event, I have a hard time focusing my attention on tasks that require concentration.

89R. When I am especially happy, I sometimes have a hard time concentrating on tasks that require me to keep track of several things at once.

131R. When I hear good news, my ability to concentrate on taking care of my responsibilities goes out the window.

### **Attentional Focusing**

6R. When I am trying to focus my attention, I am easily distracted.

24R. When trying to focus my attention on something, I have difficulty blocking out distracting thoughts.

53R. When trying to study something, I have difficulty tuning out background noise and concentrating.

### **Attentional Shifting**

35. When interrupted or distracted, I usually can easily shift my attention back to whatever I was doing before.

68. I am usually pretty good at keeping track of several things that are happening around me.

175R. It's often hard for me to alternate between two different tasks.

## Appendix J- University ethics approval



apache@exeter.ac.uk on behalf of Ethics Approval System <D.M.Salway@exeter.ac.uk>

Wed 3/23/2016, 12:10 PM

Stephens, Claire

  Reply all

You forwarded this message on 4/30/2017 5:59 PM

 Action Items



### Ethical Approval system

Your application (2016/1166) entitled Exploring the relationship between executive functions, effortful control, repetitive negative thinking and depression in mid-late adolescence, during and following exam stress has been conditionally accepted

Please visit <http://www.exeter.ac.uk/staff/ethicalapproval/>

Please click on the link above and select the relevant application from the list. The conditions are as follows:

Please indicate where participants will provide contact details (phone number) and the tick box about being happy to be contacted on the basis of their RCADS score. Please confirm that participants who do the behavioural measures will be debriefed subsequently.

## Appendix K- Power analysis

The sample size was calculated a priori using G-power for both a correlational analysis and a multiple regression (fixed model,  $R^2$  increase). Assuming a Type I error rate ( $\alpha$ ) of .05 and Type II error rate ( $\beta$ ) of .20, the desired power is .80. Power was assessed for the maximum number of predictors in the model ( $N= 6$ ). Previous studies investigating relevant hypotheses have found a range of effect sizes in their results and most employ correlational designs. As a result, few studies utilise hierarchical linear regression to explore the relationships between RNT, EC, depression and stress in adolescents. Muris and colleagues found that self report measures of EC in 207 non-clinical older children (8-12 years olds) were negatively correlated with self report anxiety ( $r = -.62, p < .001$ ) and depression ( $r = -.57, p < .001$ ) (Muris, van der Pennen, Sigmond, & Mayer, 2008), which indicate large effect sizes (Cohen, 1992). A study by Verstraeten and colleagues found small to medium effect sizes with correlations of self report EC and self report depressive symptoms ( $r = -.39, p < .001$ ), and self report rumination ( $r = -.22, p < .001$ ) in 12-18 year olds (Verstraeten, Vasey, Raes, & Bijttebier, 2009). In a study investigating EC and depression and anxiety in 18 year olds, they found small to medium effect sizes (depression,  $r = -.16, p < .05$ ; anxiety,  $r = -.28, p < .001$ ). These findings highlight small to large effects, however as they are correlational and the current study is using a regression analysis, it is difficult to make inferences on the power required for the current study.

The study by Verstraeten and colleagues (2009) also investigated EC as a moderator of negative effect and depression, finding a small effect size ( $f^2 =$

.015, calculated by an  $R^2$  increase = .01 and  $R^2 = .34$ ). However, other predictors were also included in this step, and so not all variance is explained by EC. Hilt and colleagues (2012) investigated the role of EC on the development of rumination and depression in adolescence, with a longitudinal design. Using regression analysis, they found a small-medium effect ( $f^2 = .07$ , calculated by an  $R^2$  increase = .06 and  $R^2 = .16$ ), however again this is based on multiple interactions in one step. Based on the effect sizes in these studies, and our knowledge that interactions are often small-medium in size in psychology research (Ferguson, 2009), a small-medium effect size will be adopted in this study. There is a risk that due to other similar studies including multiple interactions at each step, and therefore our target variables not accounting for all of the variance in the step, that we will not achieve the power of .80. Due to the longitudinal design, a conservative estimate of attrition across the time points of 20% (based on consultation discussions) will be incorporated into the calculation, and the study will aim to over-recruit to increase power. The target sample size for the study is  $N = 146$ . This sample size has been achieved in previous DClinPsy research using a questionnaires design (personal communication with Dr Chan).

## **Appendix L- Analysis Plan**

*ANOVAs.* Repeated measures ANOVAs will be used to explore perceived stress over time.

*Correlations.* Pearson's rank correlations will be performed across time points to examine associations between variables and across time points. Correlations will also be performed to explore the associations between demographic factors and variables across time.

*Hierarchical Linear Regression.* Separate hierarchical linear regression analyses were run to test hypotheses for emotional reactivity to stress and emotional recovery from stress.

1. A hierarchal linear regression exploring whether levels of EC at T1 will interact with RNT at T1 to predict depression symptoms at T2 (criterion variable): T1 depressive symptoms, sample and adverse life events, RNT at T1, EC at T1, T1 EC moderation interaction with Trait RNT T1, control sample interactions.

2. A hierarchal linear regression exploring whether levels of EC at T1 will interact with RNT at T1 to predict depression symptoms at T3 (criterion variable): T2 depressive symptoms, sample and adverse life events, RNT at T1, EC at T1, T1 EC moderation interaction with RNT T1, control sample interactions.

*Moderation Analysis.* Moderation analysis exploring whether EC at Baseline will interact with Baseline RNT in predicting variance in subsequent depression symptoms, for emotional reactivity and recovery.



## **Appendix M- Submission requirements for target journal**

### Submission requirements for *Clinical Psychological Science*

*Clinical Psychological Science* publishes advances in clinical science and provides a venue for cutting-edge research across a wide range of conceptual views, approaches, and topics. This bimonthly journal encompasses many core domains that have defined clinical psychology, but also boundary-crossing advances that integrate and make contact with diverse disciplines and that may not easily be found in traditional clinical psychology journals. Among the key topics are research on the underlying mechanisms and etiologies of psychological health and dysfunction; basic and applied work on the diagnosis, assessment, treatment, and prevention of mental illness; service delivery; and promotion of well-being. Articles are published in OnlineFirst before they are assigned to an issue. This journal is a member of the Committee on Publication Ethics (COPE).

### Submission of Manuscripts and Journal Emphases

Before submitting a manuscript to *Clinical Psychological Science*, please read the journal's Aims and Scope, Alan E. Kazdin's January 2014 editorial, and Scott Lilienfeld's 2016 editorial, as well as the guidelines below.

Manuscripts must be submitted through *Clinical Psychological Science*'s submission website at <http://mc.manuscriptcentral.com/cpx>.

The journal does not require masked review, i.e., that the authors names be omitted from the submitted manuscript. Thus, authors' names and affiliations should be listed on the manuscript title page.

The manuscript will be evaluated in relation to the advancement of and contribution to clinical psychological science. To facilitate this evaluation, authors are asked to answer the following questions during the "Details & Comments" stage of the online submission process:

- What is the substantive, conceptual, or methodological contribution to knowledge that this work provides to the literature?
- How does this contribution and any conclusions or recommendations derived from it add to clinical psychological science?

To wit, authors should indicate precisely what in the submitted manuscript makes an important scientific contribution to clinical psychology and related fields (e.g., psychiatry, counseling psychology, social work, public health). The answers to these questions will help editorial staff and reviewers attend to the issues the authors see as the salient contribution. As importantly, the questions are designed to help authors to include the thrust of the question in the storyline of the manuscript itself and to decide whether the journal is the most appropriate outlet.