1	Microclima: an R package for modelling meso- and microclimate
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12	Running headline: modelling meso- and microclimate

### 13 Abstract

Climate is of fundamental importance to the ecology and evolution of all organisms.
 However, studies of climate–organism interactions usually rely on climate variables
 interpolated from widely-spaced measurements or modelled at coarse resolution,
 whereas the conditions experienced by many organisms vary over scales from
 millimetres to metres.

- To help bridge this mismatch in scale, we present models of the mechanistic processes
   that govern fine-scale variation in near-ground air temperature. The models are flexible
   (enabling application to a wide variety of locations and contexts), can be run using
   freely available data and are provided as an R package.
- We apply a mesoclimate to the Lizard Peninsula in Cornwall to provide hourly
   estimates of air temperature at resolution of 100m for the period Jan-Dec 2010. A
   microclimate model is then applied to a one km<sup>2</sup> region of the Lizard Peninsula,
   Caerthillean Valley (49.969 °N, 5.215 °W), to provide hourly estimates of near-ground
   air temperature at resolution of one m<sup>2</sup> during May 2010.

4. Our models reveal substantial spatial variation in near-ground temperatures, driven principally by variation in topography and, at the microscale, by vegetation structure.
At the meso-scale, hours of exposure to air temperatures at one m height in excess of 25 °C ranged from 23 to 158 hours, despite this temperature never being recorded by the weather station within the study area during the study period. At the micro-scale, steep south-facing slopes with minimal vegetation cover experienced temperatures in excess of 40 °C.

The microclima package is flexible and efficient and provides an accurate means of
 modelling fine-scale variation in temperature. We also provide functions that facilitate
 users to obtain and process a variety of freely available datasets needed to drive the
 model.

39 Key words: climate change, microclimate, microrefugia, species distributions, topoclimate,

40 vegetation structure

## 41 Introduction

42 Climate is of fundamental importance to the physiology and ecology of organisms, and climatic variability has a critical influence on the behaviour, evolution and conservation of many, if not 43 44 most, species (Clarke 2017). Predictive studies of climate-organism interactions usually rely on coarse-resolution climate variables derived from widely spaced point data or modelled at a 45 resolution over tens to hundreds of kilometres. In contrast, the conditions experienced by 46 many organisms vary over scales from millimetres to metres (Potter, Woods & Pincebourde 47 48 2013). This spatial mismatch is bridged implicitly in many models by assuming that grid-cell average climatic variables are statistically meaningful predictors of ecological responses 49 (Bennie et al 2014). Statistical associations between organism and coarse-gridded climate 50 data are therefore widely used, and have shown themselves be powerful predictive tools 51 52 (Guisan & Thuiller 2005). However, in order to investigate mechanistic links between climate 53 and physiology, the effects of short-term variability and the role of microclimates in buffering ecological change, fine-resolution data is required. Thus much ecological and evolutionary 54 research is still hampered by an inability to model climate at fine-resolution (Potter, Woods & 55 56 Pincebourde 2013; Suggitt et al. 2017).

57

Despite the tendency for ecologists to use coarse-resolution climate data, studies of microclimate have a long history and many of the processes were well understood more than 30 years ago (Geiger 1927; Hay 1979; Campbell 1986). However, many of these early studies drew on field measurements and studied aspects of microclimate at single locations. Ecologists often require data over larger spatial extents, and gridded climate data are particular useful (Hijmans et al. 2005). Recent advances in remote-sensing and the growing availability of very fine-resolution remotely-derived datasets create a timely opportunity to

present methods and models capable of generating gridded climate datasets at fine-resolution.

67

68 To date, several approaches to downscaling from coarse-gridded to fine-scale microclimate 69 data have been used by ecologists. Dynamical downscaling, through the use of regional 70 climate models that apply the full physics of global climate models at a fine-scale (Murphy 71 2000), have the advantage that they can generate internally consistent data for variables and 72 represent synoptic systems. However, due to high computing requirements they are rarely a 73 practical solution for producing data at resolutions below five km. Physically-based boundary-74 layer models of atmospheric processes at finer scales (for example down to one m resolution) 75 are usually limited in application to a small extent and highly simplified landscapes. Land 76 surface models (for example JULES, the UK land surface simulator) apply physical equations 77 to solve the energy and water balance at a point, or across a grid, and in doing so predict key ecological variables such as near-surface temperature and humidity. However, while land 78 79 surface models incorporate vertical processes such as radiative heating of the surface and 80 canopy shading, they do not incorporate meso-scale processes such as variation in wind 81 speed, elevational lapse rates or lake/ocean effects. While land surface models have been adapted for use in an ecological context (Bennie et al. 2010), most physically-based models 82 are primarily designed for meteorological or hydrological applications. A notable exception is 83 the NicheMapR package in R (Kearney & Porter 2017), which is explicitly designed to 84 mechanistically model the energy and mass budgets of organisms and their microclimate 85 (including soil and snow), and has been widely tested (see e.g. Kearney et al. 2014). Finally, 86 GIS-based statistical downscaling techniques apply empirical corrections (usually based on 87 slope, aspect and elevation) to map climatic variables, and have been used in several studies 88 to produce fine-resolution maps for species distribution modelling (e.g. Milling et al. 2018). 89

90

91 The models and R package described here are not intended to replace physically-based 92 regional climate models, land surface schemes or mechanistic approaches to the energy

93 budget of organisms and their environment such as NicheMapR. Of note, however, many of required to model near-ground temperature are similar to those required for modelling the heat 94 budget organisms, and the functions in microclima may be of use in so doing. However, our 95 96 primary intention is to bridge the gap between the landscape and local-scale processes that 97 cause spatial variation in temperature and can be modelled using fine-resolution Digital 98 Terrain Models (DTMs) and point-based models to determine the energy balance (Table 1). 99 We develop a flexible hybrid physically- and empirically- based approach in which the spatial 100 patterns of physical factors directly influencing the near-ground temperatures at a point are 101 calculated, and the relative influence of these factors within a given landscape or region can 102 be fitted to data by empirically-derived parameters. This hybrid approach to mapping climatic 103 variables at a fine scale is suitable for many ecological applications, avoiding the complexity 104 and computational costs of attempting to fully resolve the physics of atmospheric processes 105 at high resolution, but retaining much of the generality of a physically-based model. The models are designed to be flexible, enabling application in a wide variety of circumstances, 106 though their modular design is such that easy development of improvements for application in 107 specific circumstances is also possible. The models can also be easily applied using freely 108 109 available data. While computing constraints remain a challenge, the models could, in theory, be applied over large spatial extents. The R package can be installed from 110 https://github.com/ilyamaclean/microclima. The help documentation associated with the R 111 package is included here (Appendix S3 and 4). 112

113

## 114 Materials and methods

Two nested models are presented: a mesoclimate model for estimating local variation in ambient air temperature and a microclimate model for estimating finer-scale variation in nearground temperatures. The microclimate model derives very fine-resolution (<5m) near-surface temperatures from weather station data or from the outputs of the mesoclimate model. The model is applied over one km<sup>2</sup> of coastal Cornwall (Caerthillean Valley, 49.971 °N, 5.214 °W; Fig 1a) to provide hourly temperature estimates for May 2010. The mesoclimate model derives

- moderate fine-resolution (~100m) air temperatures at one m above the ground from coarse gridded climate data. The model is applied to the Lizard Peninsula in Cornwall (50.0 °N, 5.2
   °W; Fig 1b) to provide hourly temperature estimates for the whole of 2010.
- 124

125 Microclimate model

126 *Temperature* 

From Bennie et al (2008), the difference between near-surface temperature ( $T_0$ ) and reference air temperature (T), i.e. that derived from a weather station or the mesoclimate model, is given by:

130

131 
$$T_0 - T = \frac{r_{HR}}{\rho c_n} (R_{net} - L - G)$$
 (1)

132

where  $R_{net}$  is the net radiation flux, L is the latent heat flux, G is the heat flux into the soil,  $\rho$  is 133 134 the density of air,  $c_p$  is the specific heat of air at constant pressure and  $r_{HR}$  is a resistance for 135 the loss of sensible heat. For efficient modelling of hourly surface temperature it is assumed that the most important energy fluxes determining near-surface temperature are those due to 136 radiation and sensible heat flux that occur at the surface-atmosphere boundary. Heat fluxes 137 into the soil and latent heat exchange are considered to be small and proportional to net 138 139 radiation, and the heat capacity of the vegetation is considered to be relatively small so that, 140 compared to the hourly time scale of the model, surface temperatures rapidly reach equilibrium. The difference between the near-ground temperature and the ambient 141 temperature is thus a linear function of R<sub>net</sub>, the gradient of which is a measure of the thermal 142 143 coupling of the surface to the atmosphere. If this relationship is applied to vegetation, assuming the canopy to act like a surface, while both  $\rho$  and  $c_{\rm p}$  are constant,  $r_{\rm HR}$  varies as a 144 function of both the structure of the vegetation and wind speed and can be fitted to field 145 146 calibration data using function microfit (see also equation 7).

147

148 Radiation

The net radiation flux is determined by the balance of incoming shortwave radiation and emitted longwave radiation, with the former portioned between direct ( $R_{dir}$ ) and diffuse ( $R_{dif}$ ) components. Shortwave radiation is modified by topography and vegetation cover and downscaled using function shortwaveveg. Topography determines whether a given location is shaded and also the angle at which the sunlight strikes the surface. Vegetation attenuates radiation as it passes though the canopy.

155

156 From Bennie et al. (2008), the direct radiation flux on an inclined surface is given by:

157

158 
$$R_{dir} = R_{beam} (\cos Z \cos S + \sin Z \sin S \cos(\Omega_s - \Omega)) \qquad \text{if } A \ge H$$
  
159 
$$R_{dir} = 0 \qquad \text{if } A < H$$

160

161 where  $R_{beam}$  is the direct beam radiation flux on a surface perpendicular to the beam, *Z* is the 162 solar zenith, *S* is the angle of the slope of the surface,  $\Omega_S$  is the solar azimuth,  $\Omega$  is the slope 163 aspect, *A* is the solar altitude and *H* is the local horizon angle in the direction of the sun. *Z*, *A* 164 and  $\Omega_S$  can be readily determined for a given time and geographic position and the slope and 165 aspect of a surface and local horizon angles from digital elevation data.

166

From Hay & McKay (1985), the diffuse radiation flux can be partitioned into that which is isotropically distributed ( $R^*_{dif}$ ), that which exhibits anistropic properties ( $R'_{dif}$ ) and that which is reflected back from surrounding surfaces ( $R^s_{dif}$ ):

170

171 
$$R_{dif}^* = 0.5 s R_{dif} (1 + \cos S)(1 - k)$$

172 
$$R'_{dif} = R_{dif}k(\cos Z \cos S + \sin Z \sin S \cos(\Omega_s - \Omega))$$
 if  $A \ge H$ 

173  $R'_{dif} = 0$  if A < H

174  $R_{dif}^{S} = 0.5 R_{dif} \alpha_{S} (1 - \cos(S + S^{*}))$ 

where  $\alpha_s$  is the mean albedo of the surrounding surface and  $S^*$  is the mean slope of the adjacent surfaces. The relative partitioning of radiation depends on an "anisotropy index" (*k*) given by:

$$179 \qquad k = \frac{R_{beam}}{R_0}$$

180 where  $R_0$  is the extraterrestrial radiation flux (~4.87 MJ m<sup>-2</sup> h<sup>-1</sup>) and *s* is a correction for the 181 proportion of sky, calculated using function skyviewtopo, as follows:

182

183 
$$s = 0.5\cos(2\overline{H}) + 0.5$$
 (2)

184

185 where  $\overline{H}$  is the mean horizon angle.

186

187 The transmission of radiation by vegetation is described using an equation similar to Beer's188 Law (Campbell 1986):

189

190 
$$R_{veg} = (1 - a_g) [(R_{dir} + R'_{dif}) \exp(-K' L_{AI}) + (R^*_{dif} + R^S_{dif}) \exp(-K^* L_{AI}) s_{veg}]$$

191

where  $R_{veg}$  is the flux density of radiation absorbed by the ground below leaf area index ( $L_{Al}$ ),  $\alpha_g$  is the albedo of the ground below the canopy, K' and  $K^*$  are the isotropic and anisotropic coefficients of the canopy and  $s_{veg}$  is an adjustment applied if the sky view above the canopy is partially obscured (see later). K' is a function of solar inclination and leaf distribution character of the canopy. From Campbell (1986), a broad range of leaf types can be represented by an ellipsoidal distribution, and the extinction coefficient can thus be expressed as follows:

200 
$$K' = \frac{\sqrt{x^2 + 1/\tan^2 A}}{x + 1.774(x + 1.182)^{-0.733}}$$
(3)

Here *x* is determined by canopy architecture and is the ratio of vertical to horizontal projections of a representative volume of foliage, and in our model is estimated allometrically from vegetation height using function  $leaf_geometry$  (Appendix S2). The extinction coefficient for isotropic component of radiation (*K*\*) can be obtained by integrating over the portion of the hemisphere in view. For computational efficiency, the integral can be closely approximated by equation 3, with *A* (in degrees) substituted by a parameter A\* which, for a given values of *x*, can be derived from  $L_{Al}$  as follows:

216

217 
$$A^* = p_1 L_{AI}^{1/3} + p_2$$
  
218

where  $p_1$  and  $p_2$  are coefficients unique to each *x* (Table S3). If the sky view above the canopy is partially obscured, then the integral is between the limits determined by  $\overline{H}$  and the sky view correction factor ( $s_{veg}$ ) is applied. In function skyviewveg, this integral is approximated by equation 2, with  $\overline{H}$  replaced by  $H^*$ :

223

224 
$$H^* = 90 \frac{H^c}{90^c}$$
, where:  $c = p_3 L_{AI}^{p_4} + 0.564$  (4)

225

Here,  $p_3$  and  $p_4$  are parameters unique to each value of *x* (Table S4).

227

Following Konzelmann et al. (1994), and assuming that differences in  $R_{lw}$  caused by difference between *T* and  $T_0$  are small, the net flux of longwave radiation under vegetated canopies ( $R_{lw}$ ), calculated using function longwaveveg, can be approximated as follows:

232 
$$R_{lw} = s_{veg} \left( \sigma T^4 - R_{lwg} + R_{lwe} + R_{lwc} \right)$$

where  $\sigma$  is the Stefan-Boltzmann constant (2.043 x 10<sup>-10</sup> MJ m<sup>-2</sup> hour<sup>-1</sup>),  $R_{Iwg}$  is radiation emitted back from the atmospheric that passes through gaps the canopy, Rive is radiation scattered downwards from leaves, R<sub>lwc</sub> is radiation emitted by the canopy and T is temperature in Kelvin. The flux of radiation that passes through gaps in the canopy is given by:  $R_{lwa} = \exp(-K^* L_{AI}) R_{lskv}$  $R_{lsky}$  is radiation scattered back from the atmosphere which, assuming that differences in  $R_{lw}$ caused by differences between T and  $T_0$  are small, can be calculated as follows:  $R_{lskv} = \varepsilon \sigma T^4$ Here  $\varepsilon$  is the emissivity of the atmosphere, which can be determined as follows (Klok & Oerlemans 2002):  $\varepsilon = \left(0.23 + 0.433 \left(\frac{e_a}{T}\right)^{1/8}\right) (1 - n^2) + 0.976n^2$ where *n* is fractional cloud cover and  $e_a$  is vapour pressure in kPa. From Zhao & Qualls (2006) the flux of radiation scattered downward through leaf reflection is given by:  $R_{lwl} = (1 - \alpha_c)(1 - r)[1 - \exp(-K^* L_{Al})]R_{lsky}$ 

where  $\alpha_c$  is the albedo of the canopy and *r* is the fraction of downward radiation scattered upwards, estimated as:

262

263 
$$\log_e\left(\frac{r}{1-r}\right) = \frac{2}{3}\log_e(x+1)$$

264

265  $R_{lwc}$  is given by:

266

267 
$$R_{lwc} = 0.51(1 - \alpha_c)[1 - \exp(-K^*L_{AI})]\sigma T^4$$

268

269 Wind speed

Wind speeds are affected by local terrain, and to account for this, function windheight implements the logarithmic wind-height profile assumed by Allen et al. (1998), and function windcoef applies the shelter coefficient described by Ryan (1977), as follows:

273

274 
$$u_1 = 0.635 u_{10} \left( 1 - \frac{\arctan(0.17H_w)}{1.65} \right)$$
 (5)

275

where  $u_1$  is local wind speed at one m above the ground,  $u_{10}$  is the wind speed at 10 m height and  $H_w$  is the tangent of the horizon angle upwind at one m above the ground.

278

## 279 Mesoclimate model

The mesoclimate model provides estimates of air temperature and ignores the effects of radiation transmissions though canopies and variation in ground surface albedo, as these are accounted for in the microclimate model. Differences between local temperatures ( $T_1$ ) and reference air temperature (T) are derived as a function of coastal, cold air drainage and elevation effects and also the effects of meso-scale topography on the radiation flux, as in equation 1:

287 
$$T_1 - T - \Delta T_E - \Delta T_C - \Delta T_K = \frac{r_{HR}}{\rho c_p} (R_{net} - L - G)$$

288

here  $\Delta T_E$  is the difference in temperature due to elevation,  $\Delta T_C$  is the difference in temperature due to coastal effects and  $\Delta T_K$  is the difference in temperature due to cold-air drainage.

291

292 Elevation

293 Differences in temperature due to elevation are calculated as follows:

294

295 
$$\Delta T_E = \Delta z \Gamma_w$$

296

where  $\Delta z$  is the difference in elevation (m) between the locations of T and  $T_1$  and  $\Gamma_m$  is the lapse rate, calculated using function lapserate, as follows (Hess 1959):

299

300 
$$\Gamma_m = g \left( 1 + \frac{L_v r_v}{QT} \right) \left( c_{pd} + \frac{0.622 L_v^2 r_v}{QT^2} \right)^{-1}$$

301

where *g* is gravitational acceleration (9.8076 ms<sup>-1</sup>),  $L_v$  is the latent heat of vaporisation (2,501,000 Jkg<sup>-1</sup>), *Q* is the gas constant for dry air (287 Jkg<sup>-1</sup>K<sup>-1</sup>),  $c_{pd}$  is the specific heat of dry air at constant pressure (1003.5 Jkg<sup>-1</sup>K<sup>-1</sup>), *T* is the reference temperature and  $r_v$  is the mixing ratio of water vapour given by:

306

$$307 \qquad r_v = \frac{0.622e_a}{P - e_a}$$

308

309 where *P* is atmospheric pressure (Pa).

### 311 Coastal effects

Coastal effects are derived using function coastalTps, which uses thin-plate spline interpolation with three covariates to derive finer resolution temperature estimates for each time step from coarse-gridded reference temperature data. The three covariates are: differences between sea and reference land temperature, coastal exposure in an upwind direction and coastal exposure irrespective of direction. Upwind exposure is calculated as the inverse-distance<sup>2</sup> weighted proportion of sea upwind of each location and general exposure by numerically integrating this ratio at fixed intervals over the full 360°.

319

320 Cold-air drainage

321  $\Delta T_{\kappa}$  is modelled as follows:

322

$$323 \qquad \Delta T_K = -I_C \Gamma_m \Delta z_m \log F \tag{6}$$

324

where  $I_c$  is a binary variable, conditional on time of day, wind speed and emissivity, as cold air drainage typically occurs at night or shortly after, and in calm, still conditions (Barr & Orgill 1989). The function cadconditions, used for calculating  $I_c$  allows the user to specify these conditions.  $\Delta z_m$  is the elevation difference in metres of a given location and the highest point of a drainage basin, and *F* is accumulated flow expressed as a proportion of the maximum in each basin, and calculated using function flowacc. Quantification of *F* and  $\Delta z_m$  requires drainage basins to be delineated, using function basindelin.

332

#### 333 Data

To calibrate and run the models, the following high spatial, low temporal resolution datasets are needed (summarised in Appendix S5). (1) Digital elevation data. Such data are widely available at very fine-resolution for specific regions of the world, or globally at 30m from the Shuttle Radar Topographic Mission (Farr et al. 2007). (2) Estimates of leaf area and albedo. 338 Both measures can be readily derived from multi-spectral aerial or satellite imagery. (3) Estimates of the leaf distribution character of vegetation. This can be approximated using 339 airborne LiDAR data (Appendix S2) or potentially by performing image classification to identify 340 specific vegetation types. In addition, the following high temporal, low spatial resolution 341 342 datasets are needed. (1) Surface pressure, wind speed and direction, humidity and temperature. These variables are routinely recorded by weather stations and also available 343 as global datasets (e.g. Kalnay et al. 1996). (2) Direct and diffuse radiation and cloud cover. 344 345 These datasets are freely available for most of the globe (Posselt et al. 2012). Additionally 346 sea-surface temperature data are required, though coarse spatial and temporal data are 347 adequate (see e.g. Rayner et al. 1996 for a global dataset). We used the following datasets.

348

*Digital Elevation Data.* A Digital Surface Model (DSM), representing the elevation of the top of vegetated surfaces, and a DTM, representing the elevation of the underlying ground were obtained from the Tellus SW Project (CEH, Wallingford). Both are provided at a grid resolution of one m. We used the DTM layer for calculating slope, aspect and topographic shading and the DSM layer for calculating wind shelter, and both to calculate vegetation height. For the mesoclimate model, data were coarsened by computing mean values within each 100 m grid cell.

356

Vegetation characteristics. Following e.g. Carlson & Ripley (1997), we estimated leaf-area index from the normalized difference vegetation index (NDVI), using visual and colour-infrared aerial imagery obtained from Bluesky International Ltd (Coalville, UK; imagery captured 11<sup>th</sup> Sep 2009; Fig. S4a). We estimated the leaf distribution character of vegetation from vegetation height (Appendix S2 and function lai).

362

Albedo. We derived albedo from the same visual and colour-infrared aerial imagery, adjusting
 values for brightness and contrast using MODIS data obtained from USGS Land Processes
 Distributed Archive Centre (Appendix S2 and functions albedo and albedo\_adjust).

367 Cloud cover and shortwave radiation. We used 0.05° gridded satellite-derived estimates of 368 cloud cover, and direct and diffuse radiation (Posselt et al. 2012). Radiation data are available 369 hourly, though missing values and those within an hour either side of sunrise and sunset, for 370 which satellite estimates are unreliable (Posselt et al. 2012), were imputed (Appendix S2). 371 Cloud cover is available at ~15 minute intervals and each grid cell is assigned a value of 'full', 372 'partial' or 'unobscured'. Fractional cloud cover was calculated by calculating the mean in each 373 hour, making the assumption that partial cloud cover equates to fractional value of 0.5.

374

375 *Surface pressure and wind data.* We obtained six-hourly surface pressure and wind data from 376 the National Center for Environmental Prediction (Kalnay et al. 1996). These data are available 377 at a grid cell resolution of 2.5°, and the values for the grid cell corresponding to our study area 378 were extracted. Values were then interpolated to hourly data using a cubic-spline.

379

Humidity and temperature. Daily specific humidity data, mean daily near-surface air temperature, and daily temperature ranges, available at a one km grid resolution, were obtained from the Centre for Ecology and Hydrology Climate (Robinson et al. 2015). Hourly specific humidity data were derived by interpolation using a cubic-spline. To derive hourly temperature data, we implemented a more complex interpolation algorithm, whereby diurnal patterns and variation in cloud cover and radiation are accounted for (Appendix S2 and function hourlytemp).

387

Sea-surface temperature. We obtained one degree gridded datasets of monthly sea ice and sea surface temperatures, available as a global dataset from the Met Office Hadley Centre (Rayner et al. 1996), and extracted data for the grid cell corresponding to our study area. We obtained hourly values using cubic-spline interpolation, assuming that the mean value for each month corresponded to the mid-point of that month. Due to the high volume and specific heat

capacity of water, sea surface temperatures undergo only minor high frequency fluctuations,so simple interpolation was deemed reasonable.

395

# 396 Model fitting

Prior to fitting the mesoclimate model we accounted for cold-air drainage, elevation and
coastal effects. To calculate elevation effects, we first removed the fixed lapse-rate applied to
the temperature data and then applied our variable one.

400

401 To fit our mesoclimate model, 56 iButton thermachrons were deployed across the Lizard Peninsula between 1<sup>st</sup> March and 31<sup>st</sup> Dec 2010, and set to record temperatures at hourly 402 403 intervals. Loggers were placed to capture the full spatial gradients in the main determinants of 404 climate in order to minimise extrapolation errors, and provided 137,218 measurements of 405 temperature. Loggers were attached to a wooden pole one m above the ground. To fit the microclimate model, 55 iButton thermachrons were deployed in Caerthillean Valley on the 406 Lizard Peninsula (49.9687 °S, 5.2142 °W), from 10<sup>th</sup>-31<sup>st</sup> May 2010. Loggers were set to record 407 temperatures at 10 minute intervals, and the mean temperature in each hour used to calibrate 408 409 the model. 27,530 hourly temperature measurements were obtained. The valley is a coastal grassland with complex topography, enabling temperatures to be recorded across a wide 410 range of slopes and aspects and in vegetation of varying height. Loggers were attached to a 411 short wooden stake 5 cm above the ground. In both instances, loggers were orientated north, 412 and shielded from direct sunlight using a white plastic screen. Data from half the loggers was 413 used for calibration and from the rest for testing. 414

415

Temperature anomalies ( $T_0 - T$ ) were modelled using standard linear regression as a function of the following sets of terms:

418

419 
$$T_0 - T = \beta_1 + \beta_1 R_{net} + \beta_1 u_f + \beta_1 R_{net} u_f + \beta_1 \Delta T_K + \varepsilon_i$$
(7)

421 Here  $u_f$  is a factor that allows the relationship with net radiation to vary with wind speed, set at 0 when wind speeds at one m are below 3.66 ms<sup>-1</sup>, and one when above ( $\beta_4$  is assumed to be 422 negative), with this threshold established by iteratively trying out different thresholds, and 423 selecting that which yielded the best fit. The terms  $\beta_{1...5}$  are coefficients estimated by linear 424 425 regression and  $\varepsilon$  the error associated with each term *i*. Other terms have already been defined. 426 The terms are listed in anticipated descending order of importance. We first assessed whether including each set of terms improved model performance by computing the Akaike Information 427 428 Criterion (AIC) and then estimated coefficients associated with each term using standard linear 429 regression. To reduce the effects of temporal autocorrelation we randomly selected 2000 of 430 the temperature measurements and repeated the analyses 9999 times, computing AICs and 431 coefficient estimates for each model run. Median model coefficient estimates were then used to drive our model. The microclimate model was fitted in the same way, except that here ( $T_0$ 432 433 -T) is the difference in near ground temperatures at the output of the mesoclimate model and the value of  $u_f$  that yielded the best fit was 0.398 ms<sup>-1</sup>. The function fitmicro implements 434 the method described above, though also includes the option to use all data for fitting. 435

436

## 437 Running and testing the model

438 Both models can be run using function runmicro and fully executable examples are provided 439 in the associated help file. We ran the models in hourly time steps for the period 1<sup>st</sup> January to 31<sup>st</sup> December 2010 (mesoclimate model) and 1<sup>st</sup> – 31<sup>st</sup> May 2010 (microclimate model), 440 deriving temperature estimates for each grid cell of our study areas. The model was then 441 tested by comparing model predictions with the observed data obtained from 56 loggers 442 443 placed at separate locations within the study site over the same period. The model was 444 relatively computationally efficient. On a standard desktop, the model fitting procedure took 445 29 seconds. The time taken to run the model on a 1000 x 1000 pixel dataset took 0.25 seconds 446 for one time-step, equating to just 36 minutes for a year (though additional time is required to 447 write model outputs to disk).

448

#### 449 **Results**

In all 9999 model simulations both sets of models performed best when all terms were included. This mesoclimate model explained 90.8% of the variation in local temperature anomalies and 96.2% of the variation in total temperature, with a Mean Absolute Error (MAE) of 0.97 °C and Root Mean Square Error (RMSE) of 1.23 °C (Figs. 2a,c). The microclimate model explained 78.7% of the variation in local temperature anomalies and 90.9% of the variation in total temperature, with a MAE of 1.25 °C and RMSE of 1.61 °C (Figs. 2a,c). Model coefficients for both the meso- and microclimate model are shown in Table 2.

457

458 At the meso-scale, there was relatively little spatial variation in mean temperature, which in 459 2010 ranged from 8.6 to 10.0 °C (Fig. 3a). The warmest temperatures were in sheltered lowlying coastal valleys, particularly on south-facing slopes. Minimum temperatures ranged from 460 461 -6.7 to -5.3 °C, being coldest at higher elevations and inland (Fig. 3b). Maximum temperatures ranged from 27.2 to 31.2 °C, and were highest on low-lying south-facing slopes (Fig. 3c). There 462 were larger differences in bioclimate variables. Accumulated-degree hours varied from 8,446 463 to 16,008 (Fig. 3d), hours of exposure to temperatures below 0 °C from 391 to 669 (Fig. 3e), 464 465 and hours of exposure to temperatures in excess of 25 °C from 23 to 158 (Fig. 3f).

466

At the micro-scale, there was greater temperature variation, with mean temperatures in May 467 2010 varying from 12.0 to 16.7 °C (Fig. 4a). The warmest temperatures were on south-facing 468 slopes with short vegetation. Minimum temperatures ranged from 3.4 to 5.7 °C and were 469 470 primarily affected by vegetation cover, being coldest in sparsely vegetated areas with a clear 471 horizon (Fig. 4b). Maximum temperatures varied from 25.2 to 41.8 °C, with the highest 472 temperatures recorded on dark, sparsely-vegetated, south-facing rock faces (Fig. 4c). There 473 were also large differences in bioclimate variables. Growing-degree hours varied from 1,644 to 4,223 (Fig. 4d), mean diurnal temperature variation from 11.1 to 21.3 °C (Fig. 4e) and hours 474 of exposure to temperatures in excess of 30 °C from 0 to 53 (Fig. 54). 475

476

#### 477 Discussion

The main aim of this study is to present general methods for modelling micro- and mesoclimate 478 that can be readily applied to determine the range in near-ground air temperatures 479 experienced by organisms across any landscape or region. While the models accurately 480 481 predict temperatures at locations other than those used for model calibration, their transferability to different sites altogether has yet to be tested and, although calibration and 482 testing were performed under a wide range of climatic conditions, there may be errors 483 484 associated with extrapolating the model beyond the conditions used for calibration. However, 485 an important characteristic of our models is that the spatial patterns of variables are based on 486 the underlying physics of heat budgets and airflow rather than on spatial interpolation, and 487 while recalibration or the incorporation of other macro- to micro-scale processes may be 488 necessary at some locations, the physical laws governing these processes are universal.

489

Overall, the predictive power of our models compare well with other more location-specific 490 491 models (Pike, Pepin & Schaefer 2013; Aalto et al., 2017), and build on previous methods by presenting a method for capturing the effects of vegetation structure on microclimate (cf. 492 493 Bennie et al 2008) or by incorporating mesoclimatic processes (cf. Kearney et al. 2017). Nonetheless, some aspects of the model remain poorly developed, in part due to the limited 494 extent over which it has been tested, and hence, the range of conditions that influence climatic 495 processes within our study area. Key among these is the effects of latent heat flux on 496 temperatures, which can be particularly important in cold environments, where snow freeze-497 thaw is frequent (Weller & Holmgren 1974), or under drought conditions when soil 498 temperatures may heat up by more than predicted (Hunt et al. 2002). In contrast to other 499 models (e.g. Kearney et al. 2017), heat exchange between the soil and near-ground air layer 500 and heat storage in the soil are also unaccounted for, and may result in delayed effects of 501 radiation on near-ground temperatures. Environmental lapse-rates are also rather crudely 502 handled by our model; for our study area this does not cause large errors due to the limited 503 504 elevation range, but further development and testing may be necessary for applications in

505 mountainous regions. A further limitation is that our model does not presently account for seasonal variation in albedo, which in temperate regions can be significant due to leaf-loss in 506 winter, and in Arctic regions may be influenced strongly by snow cover (Weller & Holmgren 507 1974; Aalto et al. 2017). Vegetation structure is also rather simplistically determined from 508 509 aerial imagery. Better three-dimensional assessment of seasonal variation in vegetation 510 structure, made possible through full-waveform laser-scanning for example (Wagner et al. 2008), represents one of the best opportunities for further development. These limitations 511 512 aside, our models provide accurate physically based predictions of the effects of topography 513 and vegetation on local scale climate at the landscape scale.

514

515 At both micro- and meso-scales, slope and aspect are the principal determinants of spatial variation in maximum temperatures, with the warmest temperatures on steep south-facing 516 517 slopes. However, at the micro-scale, where surface albedo and vegetation structure are also accounted for, these also exert a strong influence, with temperatures highest on dark surfaces 518 519 with sparse vegetation cover. This is to be expected given the overriding importance of net 520 solar radiation on temperature (Geiger 1927). At the meso-scale, elevation and coastal effects dominate spatial variation in minimum temperatures, though variation is small, reflecting the 521 522 limited elevational range and maritime nature of our study area. At the micro-scale, vegetation cover exerts the greatest influence on minimum temperature, though the degree of 523 topographic sheltering has opposing influences, decreasing temperatures due to low wind 524 525 speeds, but increasing them by influencing the degree of longwave radiation that is reflected from adjacent surfaces. During May, the coldest temperatures were recorded on a calm night 526 in relatively exposed areas with short vegetation, where temperatures were up to two °C cooler 527 528 than in vegetated areas. Dense vegetation thus serves to buffer microclimates, with mean 529 daily temperature ranges approximately 10 °C greater in sparsely vegetated areas than in 530 areas with dense vegetation.

531

532 Longer-term temperature records from Culdrose weather station on the Lizard Peninsula reveal that 2010 was a particularly cold year, with mean annual temperatures approximately 533 0.8 °C cooler than the 1977-2016 baseline (Maclean et al. 2017). This is largely due to the 534 particularly cold winter that affected much of north and north-western Europe, caused by 535 536 record persistence of the negative phase of the North-Atlantic Oscillation (Cattiaux et al. 2010). The total number of frost-hours (<0 °C) recorded at Culdrose was the greatest on record, more 537 than eight times higher than the 1977-2016 median. This is reflected in spatial patterns of frost 538 539 exposure across the study region, which even in sheltered valleys is relatively high, despite 540 being frost frost-free in many years (Maclean et al. 2017). Rather uncharacteristically, the maximum recorded temperature in 2010, 22.3 °C, was recorded at 16:00 hours on the 25<sup>th</sup> of 541 542 May, whereas in most other years maximum temperatures are recorded in July (Maclean et al. 2017). The range in maximum temperatures predicted across the study area relative to that 543 544 recorded at the weather station serves to illustrate an important point: maximum temperatures at or close to the ground are almost universally much warmer than those recorded by weather 545 546 stations inside a Stevenson Screen. At the meso-scale, hours of exposure to temperatures in excess of 25° C ranged from 23 to 158 hours, despite this temperature never being recorded 547 548 by the weather station within the study area. At the micro-scale, all areas except sheltered gullies in cliffs experienced some exposure to temperatures in excess of 30 °C, and maximum 549 temperatures on steep south-facing cliffs with little vegetation cover exceeded 40 °C. In 550 contrast, minimum temperatures were only marginally cooler than those recorded at the 551 weather station (-5.9 °C in 2010, 3.6 °C in May 2010). 552

553

Biological responses to climate change within our study region are influenced strongly by finescale spatial and temporal variation (Maclean et al. 2015). Consequently, predictions of the responses of species to climate change will need to account for the spatial variation in microclimate at resolution smaller than most available climate data, and the dynamics of microclimate at a temporal resolution smaller than long-term climatic means. More generally, the study of relationships between species and climate is currently hampered by the coarse

- resolution at which climate is currently modelled (Potter, Woods & Pincebourde 2013; Bramer et al 2018; Suggitt et al. 2018). This study is intended to demonstrate the importance of finescale variation in temperature and to show that this variation can be modelled.
- 563

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570

# 571 Authors' contributions

IMDM conceived and coded the model, obtained the majority of data, performed analyses and wrote the manuscript. JM assisted with coding the functions associated with cold-air drainage and commented on the manuscript. JB assisted with coding the functions associated with downscaling radiation, helped with placement of temperature loggers and edited the manuscript.

577

### 578 Data accessibility

579 The data used in this study are included with the R package, available at 580 http://doi.org/10.5281/zenodo.1411517.

581

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# 692 **Supporting information**

- 693 Appendix S1. List and definitions of model parameters
- 694 Appendix S2. Supplementary methods

- 695 Appendix S3. Microclima package vignette
- 696 Appendix S4. Microclima function help files
- 697 Appendix S5. Microclima data requirements and sources



**Fig. 1**. Study areas depicting the locations covered by the mesoclimate (a) and microclimate (b) models. Black squares indicate the locations of iButton temperature data loggers deployed across the Lizard Peninsula between March 2010 and December 2010 (a) and at Caerthillean Cove in May 2010 (b). The shaded relief maps were derived from a DTM obtained the Tellus South West Project.



**Fig. 2.** Observed and predicted temperatures. In (a) temperatures recorded by iButtons place one metre above the ground are compared to outputs obtained from the mesoclimate model, and in (b) temperatures recorded by iButtons five cm above ground level are compared to the outputs of the microclimate model. In (c) recorded (black squares), modelled (grey line) and reference (black line) mesoclimate temperatures on a south-facing slope in Kynance Valley (49.979 °N, 5.228 °W) during October 2010 are shown. In (d) recorded (black squares), modelled (grey line) and reference (black line) microclimate temperatures on a south-facing slope in Kynance Valley (49.979 °N, 5.228 °W) during October 2010 are shown. In (d) recorded (black squares), modelled (grey line) and reference (black line) microclimate temperatures on a south-facing slope in Caerthillean Valley (49.969 °N, 5.215 °W) during May 2010 are shown.



**Fig. 3.** Spatial variation in mesoclimate in 2010. (a) mean temperature (°C); (b) minimum temperature (°C); (c) maximum temperature (°C); (d) accumulated degree-hours (thousands, base 10 °C, ceiling 30 °C); (e) hours of exposure to frost (<0 °C); (f) hours of exposure to temperatures in excess of 25 °C.



**Fig. 4.** Spatial variation in microclimate in May 2010. (a) mean temperature (°C); (b) minimum temperature (°C); (c) maximum temperature (°C); (d) accumulated degree-hours (thousands, base 10°C, ceiling 30°C); (e) mean daily temperature range (°C); (f) hours of exposure to temperatures in excess of 30 °C.

**Table 1.** Summary of modelling approaches used for microclimate research.

	Regional climate models	Land surface schemes (eg. JULES)	NicheMapR	Empirical DTM-based models
Resolution	> five km	Point	Point	Usually >=1m
Vertical and/or horizontal fluxes considered	Both	Vertical	Vertical	None
Meso-scale processes represented	Yes	No	No	Yes
Computing requirements	High	Intermediate	Intermediate	Low
Physical basis	High	High	High	Low
Ecological relevance	Low	Intermediate	High	Intermediate

**Table 2.** Median, mean (± one standard deviation) model coefficients associated with mesoand microclimate model.

Variable	Mesoclimate model	Microclimate model
Intercept	0.210, 0.209 (0.05)	-0.989, -0.981 (0.105)
Radiation (MJ m <sup>-2</sup> hr <sup>-1</sup> )	2.53, 2.260 (0.09)	4.28, 4.31 (0.313)
Wind factor	0.447, 0.448 (0.101)	
(>3.66 meso; >0.398 micro)		0.639, 0.638 (0.104)
Radiation x wind	-1.25, -1.21 (0.254)	-1.99, -2.02 (0.327)