

1 **Tropical Climate Variability: Interactions across the Pacific, Indian, and Atlantic Oceans**

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14

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30 **Abstract**

31 Complex interactions manifest between modes of tropical climate variability across the Pacific, Indian, and  
32 Atlantic Oceans. For example, the El Niño-Southern Oscillation (ENSO) extends its influence on modes of  
33 variability in the tropical Indian and Atlantic Oceans, which in turn feed back onto ENSO. Interactions  
34 between pairs of modes can alter their strength, periodicity, seasonality, and ultimately their predictability,  
35 yet little is known about the role that a third mode plays. Here we examine the interactions and relative  
36 influences between pairs of climate modes using ensembles of 100-year partially coupled experiments in an  
37 otherwise fully coupled general circulation model. In these experiments, the air-sea interaction over each  
38 tropical ocean basin, as well as pairs of ocean basins, is suppressed in turn. We find that Indian Ocean  
39 variability has a net damping effect on ENSO and Atlantic Ocean variability, and conversely they each  
40 promote Indian Ocean variability. The connection between the Pacific and the Atlantic is most clearly  
41 revealed in the absence of Indian Ocean variability, and our model runs suggest a weak damping influence  
42 by Atlantic variability on ENSO, and an enhancing influence by ENSO on Atlantic variability.

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59 **1. Introduction**

60 Modes of tropical climate variability, such as the El Niño-Southern Oscillation (ENSO), the Indian Ocean  
61 Basinwide Mode (IOBM), the Indian Ocean Dipole (IOD), and the Atlantic Equatorial Mode (sometimes  
62 referred to as the Atlantic Zonal Mode, or Atlantic Niño) interact most readily via the atmosphere. Sea  
63 surface temperature anomalies (SSTAs) in the tropics drive changes in the Walker Circulation, which in turn  
64 influence SSTAs in remote regions, hence forming a teleconnection (e.g., Lau and Nath 1996; Klein et al.  
65 1999; Alexander et al. 2002). Through this atmospheric teleconnection mechanism, modes in one ocean  
66 basin can be damped, enhanced, or even entirely generated by a mode in another ocean basin. Oceanic  
67 pathways also provide the means for inter-basin interactions, although at lag times typically beyond several  
68 months. Whilst the literature is rich on the interactions between ENSO and each of the tropical modes in the  
69 Indian and Atlantic Oceans, few studies have examined the possible interactions between the Indian and  
70 Atlantic modes, apart from the Atlantic influence on the Indian monsoon (e.g. Kucharski et al. 2008, Losada  
71 et al. 2010). Furthermore, if all three tropical basins are strongly coupled, then interactions between any two  
72 basins can be influenced or modulated by the third ocean basin. This aspect, which has previously been  
73 neglected in the literature, will be considered here. A better understanding of these highly complex inter-  
74 basin interactions is relevant for improving climate prediction, especially for ENSO (e.g. Izumo et al. 2010,  
75 Keenlyside et al. 2013).

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77 ENSO is a manifestation of complex internal dynamics within the Pacific Ocean, but it is now widely  
78 recognised that modes of variability in the Indian and Atlantic oceans also influence the air-sea feedback  
79 processes that govern ENSO characteristics (e.g., Dommenget et al. 2006; Izumo et al. 2010; Ding et al.  
80 2012; Santoso et al. 2012; McGregor et al. 2014; Polo et al. 2014; Terray et al. 2015; Kucharski et al. 2016).  
81 It is not possible to determine the interaction dynamics from observations and standard climate models alone  
82 due to the coupled nature of these modes of variability. Instead, the problem needs to be studied in model  
83 experiments whereby individual modes are nullified. This can be achieved by eliminating air-sea interactions  
84 over a region of variability, so that the atmosphere does not respond to the SSTAs associated with these  
85 remote modes. Such earlier ‘partial coupling’ studies concluded that Indian Ocean variability tends to  
86 enhance ENSO (Barsugli and Battisti 1998; Yu et al. 2002; Wu and Kirtman 2004). However, many of these  
87 studies were based on a single experiment, with short run-time (approximately 50 years), or using simplified

88 GCMs. The robustness of this conclusion was put into doubt with higher resolution models and longer  
89 experiments (Dommenges et al. 2006; Santoso et al. 2012; Terray et al. 2015). While the IOD may play a  
90 role in initiating ENSO events (e.g., Luo et al. 2010; Izumo et al. 2010), Santoso et al. (2012) showed that  
91 Indian Ocean variability as a whole exerts a net damping influence on ENSO via the IOBM.

92  
93 The climatic connections between the Pacific and Indian Oceans are further complicated by the presence of  
94 the Indonesian Throughflow (ITF). The ITF typically transports a large volume of water (Potemra 1999;  
95 Gordon 2005; Wijffels et al. 2008) and heat (Vranes et al. 2002; England and Huang 2005) from the Pacific  
96 to the Indian Ocean, but it exhibits interannual variability which is linked to ENSO and the Indian Ocean  
97 modes (Meyers 1996; England and Huang 2005; van Sebille et al. 2014; Sprintall and Revelard 2014). Its  
98 significance in the global context is exhibited by model experiments with a blocked ITF, where the mean  
99 climate and modes of variability are greatly altered (Song et al. 2010; Santoso et al. 2011). It has been  
100 recently suggested that Indian Ocean variability can influence ENSO via Kelvin wave propagation through  
101 the ITF (Yuan et al. 2013) at longer time lags. However, a recent study by Izumo et al. (2015) argued that the  
102 atmospheric bridge mechanism is more dominant for Indo-Pacific interactions. The robustness of the  
103 atmospheric bridge is attested by the fact that the Indo-Pacific feedback interactions persist even in the  
104 absence of the ITF (Kajtar et al. 2015).

105  
106 On interannual time scales, ENSO and variability in the tropical Atlantic basin interact via the atmospheric  
107 bridge. The Atlantic Equatorial Mode (AEM), which is the dominant mode of variability in the tropical  
108 Atlantic Ocean (Zebiak 1983), displays ENSO-like characteristics (Keenlyside and Latif 2007; Jansen et al.  
109 2009), with SSTAs across the central to eastern equatorial Atlantic Ocean. The relationship between ENSO  
110 and the AEM is complex, and predicting the state of the Atlantic Ocean based on the precedence of an ENSO  
111 event is not reliable (Saravanan and Chang 2000; Chang et al. 2006; Rodrigues et al. 2011; Lübbecke and  
112 McPhaden 2012; Taschetto et al. 2015). In contrast, knowledge of the Atlantic Ocean state can improve  
113 ENSO prediction (Frauen and Dommenges 2012; Keenlyside et al. 2013). Frauen and Dommenges (2012)  
114 used a GCM, albeit with a simplified ocean model, to demonstrate that the Atlantic Ocean has no net  
115 discernible influence on ENSO characteristics, but does influence the state of the Pacific Ocean that is  
116 relevant for ENSO prediction. Other studies have shown that an Atlantic Niño (the warm phase of the AEM)

117 tends to favour the development of a La Niña in the Pacific (Ding et al. 2012; Polo et al. 2014). Furthermore,  
118 it appears that this relationship has strengthened in recent decades (Rodríguez-Fonseca et al. 2009) and is  
119 likely associated with multi-decadal variability (Latif 2001; Martín-Rey et al. 2014; McGregor et al. 2014).

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121 Despite the extensive literature on the Indian and Atlantic Ocean influence on ENSO, few studies (e.g.  
122 Dommenget et al. 2006; Frauen and Dommenget 2012; Terray et al. 2015) have examined the role of each  
123 within the same modelling framework. These studies agree that Indian Ocean variability damps ENSO, but  
124 Terray et al. (2015) point to a weak damping influence by the Atlantic on ENSO, whereas the other studies  
125 found none. Terray et al. (2015) also show that decoupling either basin tends to shift ENSO to longer  
126 periods. It is also important to note, however, that many coupled models suffer from strong SST biases in the  
127 equatorial Atlantic (Ritcher et al. 2014), and hence any comparison between coupled and partially coupled  
128 experiments may be compromised by internal model biases.

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130 In this study we will examine, for the first time, the interactions of tropical models of variability between  
131 each of the Pacific, Atlantic, and Indian Oceans, all within the same coupled GCM. In particular we expand  
132 on very small pool of literature on the Indo-Atlantic coupling (e.g. Kucharski et al. 2008, McGregor et al.  
133 2014), which may play a role in modulating the interactions between ENSO and other modes of variability.  
134 We ran sets of five-member, 100-year, partially coupled experiment ensembles in an otherwise fully coupled  
135 GCM. In addition to sequentially nullifying the air-sea interactions over each tropical ocean basin  
136 individually, we ran further experiments with pairs of ocean basins decoupled. The rationale behind  
137 decoupling pairs of ocean basins is to eliminate the influence that a third ocean may play on interactions  
138 between modes in the first two, thus helping to infer the role of the third ocean basin. In essence, this study  
139 aims to build a global picture of interactions between climate modes across the tropics with potential  
140 implications for their predictability. We focus on the dominant modes in the tropics, namely ENSO, the IOD,  
141 the IOBM, and the AEM, since they readily interact via induced changes to the Walker Circulation. We  
142 focus our analysis on changes to the strength and period of the modes by examining the monthly standard  
143 deviations and power spectral densities of the relevant SST indices (Section 3). We then demonstrate the  
144 zonal wind stress influences by which the modes interact (Section 4).

145

## 146 **2. The climate simulations**

### 147 **2.1 Model description and experimental setup**

148 The simulations were performed with version 1.2 of the Commonwealth Scientific and Industrial Research  
149 Organisation (CSIRO) Mk3L general circulation model (GCM; Phipps 2010; Phipps et al. 2013). The  
150 atmospheric GCM (AGCM) has a resolution of  $\sim 5.6^\circ$  longitude  $\times \sim 3.2^\circ$  latitude, with 18 levels in the hybrid  
151 vertical coordinate. The oceanic GCM (OGCM) has resolution  $\sim 2.8^\circ$  longitude  $\times \sim 1.6^\circ$  latitude, and 21  
152 levels in the vertical z-coordinate. The OGCM was first spun up for 7000 years, and then the ocean surface  
153 state was used to spin up the AGCM for 100 years. To maintain a more realistic climatology and minimise  
154 drifts, the AGCM and OGCM were then coupled with constant, but seasonally varying, flux adjustment  
155 terms applied to the surface heat flux, surface salinity tendency, and surface momentum fluxes. The terms  
156 are derived at the end of the spin-up phase, and not restored towards observations during the coupled run.

157  
158 Following the initial spin-up, the coupled model was integrated for 1550 years, with CO<sub>2</sub> fixed at the  
159 preindustrial level of 280 ppm, since here we are focussing on the dynamics without anthropogenic forcing.  
160 The last 300 years of this run is referred to as the control simulation (CTRL). This 300-year run was split  
161 into five 100-year ensemble members, with 50-year intervals for the starting year of each set, i.e. the first set  
162 starts at year 1, the second at year 51, and so on, until year 201 for the fifth set. The 100-year partial coupling  
163 experiments were then initialized with the climate state at each of these epochs. In these runs, the air-sea  
164 interaction over a single or pair of ocean basins was suppressed by fixing SST to the climatological seasonal  
165 mean field from the first 200 years of the model control run (as per the methodology of Baquero-Bernal et al.  
166 2002; Behera et al. 2005; Dommenges et al. 2006; Santoso et al. 2012; Kajtar et al. 2015). In this way, the  
167 atmosphere responds only to the seasonally varying climatological SST over the decoupled region, and hence  
168 any modes of variability in that region are nullified.

169  
170 We note that one may also choose to nudge SST toward observed climatology. However, as shown by Terray  
171 et al. (2015), the decoupling effect on tropical climate variability in a given ocean basin will also contain  
172 changes resulting from an altered mean state within that basin. In the case of Terray et al. (2015), nudging  
173 the Indian or Atlantic toward their respective observed SST climatology results in a more realistic Pacific  
174 climatology. At the same time, the ENSO response becomes stronger, but still exhibits similar tendencies as

175 in the case of nudging toward model climatology. To isolate the effects of only the remote forcing, we chose  
176 to perform the decoupling by nudging toward model SST climatology. The flux adjustments in our model  
177 assist with maintaining a more realistic climatology, and are applied globally and consistently throughout all  
178 experiments, thus ensuring that any of the diagnosed changes are not due to flux adjustments, but to the  
179 decoupling of remote SSTAs.

180

181 The decoupled regions in our experiments are bounded by 30°S and 30°N, and by the coast to the east and  
182 west in each ocean basin. As discussed by Santoso et al. (2012), choosing a particular boundary between the  
183 Pacific and Indian Oceans may affect the conclusions reached. Nevertheless, in this study we follow their  
184 approach in which the western side of the Maritime Continent is considered part of the eastern Indian Ocean,  
185 and the eastern side as part of the western Pacific Ocean. The decoupled Pacific, Indian, and Atlantic Ocean  
186 experiments are denoted DCPL<sub>PO</sub>, DCPL<sub>IO</sub>, and DCPL<sub>AO</sub> respectively. The experiments where pairs of ocean  
187 basins were decoupled follow a similar nomenclature, i.e. DCPL<sub>PO+AO</sub>, DCPL<sub>PO+IO</sub>, and DCPL<sub>AO+IO</sub>. Note that  
188 throughout the text, “decoupling” will refer to the suppression of SST variability over a particular ocean  
189 basin. We ran 100-year experiments so that the significance of the interactions between low-frequency  
190 modes could be statistically assessed. The mean climate drift over this period in the Mk3L model is  
191 negligible.

192

## 193 **2.2 Model validation**

194 Mk3L performs relatively well in capturing the mean climatology and tropical modes of variability, albeit  
195 with some notable biases. As with many GCMs, Mk3L suffers from the “cold tongue” bias, with overly  
196 strong trade winds and lower than observed rainfall, associated with anomalously cold SST extending  
197 westward from the eastern equatorial region of each ocean basin (Fig. 1). The dry bias appears to be  
198 exacerbated over the Maritime Continent by a shallower than observed thermocline depth in the eastern  
199 Indian Ocean which causes overly cold SST in that region (Santoso et al. 2012). Somewhat expected given  
200 the overly strong easterly winds in the Pacific, the mean Indonesian Throughflow rate in the model  
201 (approximately 21 Sv with a standard deviation of 1.3 Sv; see also Santoso et al. 2011) is substantially larger  
202 than the observed estimate of 15 Sv (Sprintall et al. 2014). This is also partly attributed to the coarse model  
203 resolution, likely through the joint effect of baroclinicity and relief (JEBAR; England et al. 1992; Santoso et

204 al. 2011).

205

206 In order to evaluate the Mk3L model performance in simulating the relevant modes of tropical climate  
207 variability, we compare against observations (HadISST) and a set of the historical experiments, over the  
208 period 1900-1999, from the Coupled Model Intercomparison Project, phase 5 models (CMIP5; bcc-csm1-1,  
209 CanESM2, CCSM4, CNRM-CM5, FGOALS-g2, FGOALS-s2, GFDL-CM3, GFDL-ESM2G, GFDL-  
210 ESM2M, HadCM3, HadGEM2-CC, HadGEM2-ES, IPSL-CM5A-LR, IPSL-CM5A-MR, IPSL-CM5B-LR,  
211 MIROC4h, MIROC5, MIROC-ESM-CHEM, MPI-ESM-LR, MPI-ESM-MR, MPI-ESM-P, MRI-CGCM3,  
212 NorESM1-M, and NorESM1-ME). The modes and their associated characteristic SST indices are given in  
213 Table 1. The Mk3L ENSO SST variability has weaker magnitude and peaks 2-3 months earlier than the  
214 observed, although it falls well within the overall CMIP5 model range (Fig. 2a). Its period in Mk3L is also  
215 slightly longer, with the strongest signal in the 5-7 year band (Fig. 2b). The Atlantic Equatorial Mode (AEM)  
216 is also weaker than observed, but has a similar seasonal cycle to the observed, with peak variability around  
217 May to August (Fig. 2c). This seasonal cycle is not captured by the CMIP5 multi-model mean, and may be  
218 attributed to either strong model biases in the tropical Atlantic (Ritcher et al. 2014) or large model diversity,  
219 or both. The observed Atl-3 index shows variability at a range of time-scales with an increasing tendency  
220 toward interdecadal timescales; a tendency also seen in the CMIP5 ensemble and Mk3L. Spectral peaks  
221 common to those of ENSO are seen in the observed and Mk3L, although in Mk3L the signal is clearly  
222 occurring in the 5-7 year band (Fig. 2d). Higher-frequency variability is muted in Mk3L, and is captured by  
223 only a few of the CMIP5 models.

224

225 Over the Indian Ocean, the IOD in Mk3L is stronger than observed, as with many GCMs (Fig. 2e), which is  
226 associated with the shallower than observed thermocline in the eastern Indian Ocean (Cai and Cowan 2013).  
227 Also associated with a shallower thermocline are overly persistent cool SSTAs in a limited region of the  
228 eastern Indian Ocean during a positive IOD, thus slightly affecting the representation of the warm phase of  
229 the IOBM, and vice versa for the negative IOD (see Fig. 2 of Santoso et al. 2012). Nevertheless, the  
230 variability and seasonality of the model IOBM agrees well with observations (Fig. 2g). Like observations,  
231 the IOD and IOBM in Mk3L exhibit variability that coincides with ENSO time-scales, but again in Mk3L  
232 this is in the 5-7 year band (Figs. 2f,h). This correspondence is not clear in the CMIP5 ensemble due to the



233 large model diversity. The common periodicity signal across the indices, apparent in the observations and  
234 Mk3L, indicates a coupling across the modes. These interactions will be disentangled and studied using our  
235 partial coupling experiments.

236

237 We now focus further on evaluating the model's performance in simulating the tropical Atlantic climate,  
238 since the performance in the Indo-Pacific region has been previously documented in detail (Santoso et al.  
239 2011; 2012). Firstly, note that the SST bias across the tropical Atlantic is slightly different to most other  
240 coupled models. The CMIP3 and CMIP5 models tend to show a temperature gradient across the basin that is  
241 warmer in the east, opposite to what is observed (see Fig. 1 of Richter et al. 2014). Yet the Mk3L model  
242 correctly captures the sign of this gradient (apparent in Fig. 1a, b). The spatial pattern of the model's first  
243 empirical orthogonal function (EOF) of June to August SST (Fig. 3a), i.e., the AEM peak season, shows  
244 some agreement with the observed (Fig. 3b). The southeastern branch is absent in the model, but it largely  
245 captures the zonal mode structure along the equator. Many CMIP5 models either do not exhibit the AEM as  
246 the first EOF, or at all (Richter et al. 2014). The first EOF explains a similar percentage of the total variance  
247 in the model (32%; 24% for EOF-2) and in the observed (47%; 25% for EOF-2). The correlation between the  
248 Atl-3 index and the SST field, both averaged over June to August, reveals a good agreement overall in the  
249 variability pattern between the model and observed (Fig. 3c,d), except for a negative correlation at  $\sim 10^{\circ}\text{N}$   
250 that is not seen in the reanalysis fields. Despite the shortcomings of the Mk3L model, it broadly captures the  
251 tropical climate modes, at least within the expected range of state-of-the-art CMIP5 model performance.  
252 Finally, we note that its coarse resolution makes it suitable for running large ensembles of century-scale  
253 experiments, such as those undertaken here.

254

### 255 **3. Changes in amplitude and periodicity of the modes**

256 We begin by examining the changes to the amplitude, and then later the periodicity, of the dominant tropical  
257 modes in the partial coupling experiments. The modes are each characterised by area-averaged SST indices  
258 that display distinct seasonality (outlined in Table 1), and so we examine changes to the monthly standard  
259 deviation of the SST indices.

260

261 Suppressing tropical Indian Ocean SST variability in the DCPL<sub>10</sub> experiments increases the monthly

262 standard deviation of the Niño-3.4 index relative to CTRL (Fig. 4a), indicating stronger ENSO in DCPL<sub>IO</sub>.  
263 The increase is statistically significant throughout most of the calendar year (October to July), as indicated  
264 by the separation between the confidence intervals associated with the CTRL and DCPL<sub>IO</sub> experiments. This  
265 change indicates that the presence of SST variability over the tropical Indian Ocean acts to damp ENSO  
266 variability, in agreement with earlier studies (Dommenget et al. 2006; Terray et al. 2015), and with Santoso  
267 et al. (2012) who used a larger ensemble in the same MK3L model. The mechanisms by which the Indian  
268 Ocean climate modes influence ENSO evolution are described in detail in Section 4.

269

270 The influence of the Atlantic Ocean on ENSO is less clear. The confidence interval of Niño-3.4 in the  
271 DCPL<sub>AO</sub> experiments overlaps that of CTRL in each calendar month (Fig. 4a). Closer inspection of the  
272 individual ensemble members reveals that the variability is weaker relative to CTRL in two members, but  
273 stronger in the remaining three (figure not shown). This is in contrast to DCPL<sub>IO</sub>, which exhibits stronger  
274 ENSO variability across all ensemble members. The inconsistent changes underscore the importance of  
275 ensemble experiments. Terray et al. (2015) found a weak enhancement of ENSO variability, although they  
276 perform twin partial coupling experiments, nudged towards either observed or model climatological SST (an  
277 issue not assessed here). Dommenget et al. (2006) found no clear overall change in ENSO variance when the  
278 Atlantic is decoupled in their single 500-year experiment, but they did not examine the change in shorter  
279 sub-periods. Nevertheless, it is important to keep in mind that this inconsistent response does not mean that  
280 the Atlantic Ocean exerts no influence on ENSO. Frauen and Dommenget (2012), for instance, concluded  
281 that the Atlantic Ocean plays a role in the predictability of ENSO, despite having no clear impact on its  
282 dynamics. The inconsistency can also arise due to multi-decadal variability in Atlantic-Pacific connection  
283 (Latif 2001; Rodríguez-Fonseca et al. 2009; Martín-Rey et al. 2014; McGregor et al. 2014).

284

285 Care should be taken when inferring the actual impact of the Atlantic Ocean on ENSO based on decoupling  
286 the Atlantic alone, without considering changes that could occur in the Indian Ocean resulting from a  
287 decoupled Atlantic. The same can be said about decoupling the Indian Ocean to diagnose its isolated effect  
288 on ENSO when Atlantic variability is still present in DCPL<sub>IO</sub>. Further insights into this interplay can be  
289 garnered when both the Atlantic and Indian Oceans are decoupled (DCPL<sub>AO+IO</sub>). As shown in Fig. 4a,  
290 decoupling both the Indian and Atlantic Oceans enhances the variability of ENSO more strongly than

291 decoupling the Atlantic or Indian Ocean alone, which is consistent with Dommenges et al. (2006) and Frauen  
292 and Dommenges (2012). Interestingly, the enhanced variability seen in  $DCPL_{AO+IO}$  relative to  $DCPL_{IO}$  is  
293 strongest during May to August, coinciding with the peak season of AEM variability. This could imply that  
294 Atlantic variability exerts a more consistent effect on ENSO growth than what is inferred from  $DCPL_{AO}$  in  
295 which Indian Ocean variability is present. It is also possible that the impact of the Indian Ocean on ENSO is  
296 in actuality greater without the presence of Atlantic variability. In other words, in  $DCPL_{IO}$ , the Atlantic  
297 variability may be altered upon decoupling the Indian Ocean in such a way that it limits the enhancement of  
298 ENSO amplitude due to the removal of Indian Ocean variability. Concurrent removal of Atlantic variability  
299 then leads to more amplified ENSO in  $DCPL_{AO+IO}$  compared to  $DCPL_{IO}$ . This would imply that the Atlantic  
300 variability has a net damping effect on ENSO in our model – different to what is inferred from the  $DCPL_{AO}$   
301 runs alone. A damping influence on ENSO by the Atlantic, but smaller than the damping influence by  
302 Indian Ocean variability, is consistent with Terray et al. (2015) based on their individual basin decoupling  
303 experiments. In any case, our  $DCPL_{AO+IO}$  result compared with  $DCPL_{IO}$  and  $DCPL_{AO}$  suggests that there are  
304 potential interactions occurring between the Atlantic and Indian Ocean variability. This will become clearer  
305 below.

306  
307 As shown in Fig. 4b, the change in AEM variability is significantly different from CTRL due to removal of  
308 the Indian Ocean alone ( $DCPL_{IO}$ ), implying a potential damping role of Indian Ocean variability on AEM in  
309 CTRL. However, it is also possible that the AEM enhancement is due to the enhanced ENSO arising from a  
310 decoupled Indian Ocean (Fig. 4a). We argue that it is both, but the Indian Ocean damping is more dominant,  
311 for the following reasons. Decoupling the Pacific Ocean ( $DCPL_{PO}$ ) does not result in net significant changes  
312 to the AEM, except weakened Atl-3 index outside the boreal summer peak of the AEM (Fig. 4b). If the  
313 enhancing effect of ENSO were dominant, then we would expect the weakening of the AEM to be  
314 significant. The fact that it is not can be explained by a reduced damping effect of the Indian Ocean (in  
315  $DCPL_{PO}$ ), since Indian Ocean variability is weakened when the Pacific is decoupled (Fig. 4c,d). Removing  
316 Indian Ocean variability while the Pacific remains decoupled ( $DCPL_{PO+IO}$ ; i.e., no ENSO enhancing effect  
317 and no Indian Ocean damping) exhibits a slight increase in AEM amplitude, but the change is not  
318 statistically significant from either CTRL or  $DCPL_{IO}$  during the peak season. This confirms that the  
319 significant AEM enhancement seen in  $DCPL_{IO}$  is due to primarily an absence of Indian Ocean variability and

320 to a lesser extent the presence of a stronger ENSO. Thus, the Indian Ocean variability in our model has a net  
321 damping effect on AEM, while the ENSO tends to enhance AEM.

322

323 Decoupling the Pacific Ocean reduces the amplitude of the Indian Ocean Dipole, consistent with previous  
324 studies (Fischer et al. 2005; Behera et al. 2006). The reduction of DMI standard deviation in  $DCPL_{PO}$   
325 relative to CTRL is statistically significant for all months, but weakest during boreal autumn when IOD  
326 peaks (Fig. 4c). Decoupling both the Atlantic and the Pacific ( $DCPL_{PO+AO}$ ) shows similar effect as  $DCPL_{PO}$ ,  
327 indicating that the Atlantic has little influence on IOD amplitude. This is confirmed by  $DCPL_{AO}$ , which  
328 shows a weak change to the DMI standard deviation. Thus, in our model the Pacific Ocean partly drives  
329 IOD variability, but there is still substantial Pacific-independent component. This supports the notion that  
330 while ENSO can generate IOD, the IOD itself is intrinsically a mode internal to the Indian Ocean.

331

332 The enhancing influence of Pacific Ocean variability on the IOBM is more pronounced than its influence on  
333 the IOD, since the variability of the BWI is reduced during most months, but most importantly throughout its  
334 peak season when the Pacific is decoupled ( $DCPL_{PO}$  and  $DCPL_{PO+AO}$ ). There is also a noticeable change in  
335 the seasonality of the BWI, which can be seen by comparing  $DCPL_{PO}$  and  $DCPL_{PO+AO}$  relative to CTRL (Fig.  
336 4d). While this at a first glance supports the suggestion that the IOBM is largely a response to ENSO (Klein  
337 et al. 1999; Lau and Nath 2003; Du et al. 2009), the fact that decoupling the Pacific does not entirely remove  
338 the IOBM also suggests that the IOBM can occur without ENSO. There is also an indication of an Atlantic  
339 influence. Decoupling the Atlantic while the Pacific remains decoupled further reduces the IOBM  
340 amplitude, although the associated changes occur outside the peak season of the IOBM. This is supported by  
341  $DCPL_{AO}$  result showing reduced variability in those months, thus revealing that Atlantic variability enhances  
342 the IOBM in CTRL.

343

344 The weak change in seasonality of all modes under each partial coupling experiment (Fig. 4), suggests they  
345 are, to a varying extent, internally generated modes in their respective basins. This demonstrates a certain  
346 degree of independence between the modes. However, the weak change in seasonality may also be a result of  
347 replacing the SSTAs with the model SST climatology. It is important to note that the response may be  
348 different if using observed SST climatology for the partial coupling. For example, Terray et al. (2015) find

349 that the seasonality of ENSO is more pronounced when nudged toward the observed SST climatology.

350

351 The changes described above involve shifts in the periodicity of each mode. This is clearly shown in the  
352 power spectral densities (PSDs; Fig. 5). The most striking feature is the dominant variability in the 5-7 year  
353 band in each index in the control experiments, which is also the dominant ENSO frequency in Mk3L. This  
354 common periodicity across the three basins suggests the timescale at which the inter-basin coupling occurs.  
355 The partial coupling experiments reveal the collapse of variability over this 5-7 year frequency band,  
356 resulting in variability tending to be skewed towards longer periodicity. The shift in ENSO variability  
357 toward longer periods in the partial coupling experiments relative to CTRL (Fig. 5a) is in agreement with  
358 previous studies (e.g., Dommenges et al. 2006; Santoso et al. 2012; Terray et al. 2015). Decoupling the  
359 Indian Ocean alone enhances variability in the 7-9 year band, thus accounting for the stronger ENSO  
360 amplitude as seen in the monthly standard deviation of the Niño-3.4 index (Fig. 5a). The Niño-3.4 PSD  
361 reddens in DCPL<sub>AO</sub> without exhibiting any characteristic frequency, while DCPL<sub>AO+IO</sub> exhibits a peak in the  
362 9-15 year band. These results suggest that the ENSO evolution in Mk3L could be more sluggish without  
363 Indian and Atlantic variability. Both the Indian and Atlantic Ocean variability appear to play a role in setting  
364 that 5-7 year ENSO periodicity. Shift toward longer periodicity is also seen in the AEM in the absence of  
365 Indian and Pacific variability (Fig. 5b), while it is not as apparent for the IOD and IOBM, which involve  
366 primarily a collapse in variability, particularly at the 5-7 year timescales (Fig. 5c,d).

367

368 Our findings can be summarised as follows:

- 369 • There are interactions between modes of variability across the three tropical oceans.
- 370 • Indian and Atlantic variability has a net damping effect on ENSO magnitude and increases the  
371 rapidity of ENSO evolution.
- 372 • Indian Ocean variability has a net damping effect on the AEM, while ENSO tends to enhance the  
373 AEM.
- 374 • IOD variability is enhanced by ENSO, but there is little influence by Atlantic variability.
- 375 • IOBM variability is enhanced by ENSO and to a weaker extent by Atlantic variability.
- 376 • Decoupling any ocean basin collapses variability in the 5-7 year primary ENSO frequency band and  
377 tends to shift modes toward longer periodicity.

378

#### 379 **4. Interbasin feedback interactions via atmospheric bridge**

380 As has been shown in many previous studies (e.g., Lau and Nath 1996; Klein et al. 1999; Alexander et al.  
381 2002; Dayan et al. 2015; Kajtar et al. 2015), interactions between modes of variability across different basins  
382 readily occur via the atmospheric bridge. SSTAs across the equatorial seas associated with these modes of  
383 variability drive anomalies in the Walker Circulation. These atmospheric disturbances generate wind stress  
384 anomalies over remote seas, which impinge on the oceanic dynamics by, for example, forcing Kelvin waves.  
385 In this section we examine the composite evolution of the SST anomalies (Fig. 6) and the equatorial zonal  
386 wind stress anomalies (Fig. 7, 8) in each experiment to show how the changes in each of the modes of  
387 variability under partial coupling can be explained via alterations to the Walker Circulation that connects the  
388 climate in the three tropical basins. The composites in Fig. 6-8 are produced by first constructing annual  
389 time-series of each SST index, averaged over the corresponding peak seasons in each ensemble and  
390 experiment (Table 1), and then selecting the years that exceed one standard deviation of that time-series. For  
391 example, El Niño or La Niña events are defined as when the September-December (SOND) average of Niño-  
392 3.4 is greater or less than one standard deviation. In cases where the threshold is exceeded in two or more  
393 consecutive years, only the year with strongest anomaly is included in the composite, to avoid the inclusion  
394 of double events. Note that this compositing approach is valid since there are no significant changes to the  
395 seasonality of the modes under the different partial coupling experiments (Fig. 4).

396

397 Analysis of the correlations between pairs of modes in the control simulation is also necessary to provide  
398 information on the typical interactions, helping to interpret the decoupling experiment results. For example,  
399 if the warm phase of the IOBM acts to damp El Niño, but the cool phase is equally likely to co-occur with El  
400 Niño (thus rendering a weak correlation between IOBM and ENSO), then the net influence of the decoupled  
401 Indian Ocean on ENSO would be expected to be minimal. Hence in the following analysis we show the  
402 correlation coefficients between a range of the characteristic SST indices, alongside the observed and CMIP5  
403 values for comparison (Fig. 9). As noted below, some aspects of the CMIP5 results in Fig. 9 clearly  
404 illustrate the need for partial coupling experiments in diagnosing inter-basin interactions, which is not  
405 otherwise possible from the multi-model statistics.

406

#### 407 4.1 El Niño-Southern Oscillation

408 The Niño-3.4 composite evolution for El Niño and La Niña (Fig. 6a,b; solid lines) reinforces the changes  
409 seen in the monthly standard deviation (Fig. 4a). Focussing firstly on the peak season of variability (i.e.  
410 Sep(0)-Dec(0), yellow shaded region in Fig. 6a,b), the SSTAs are enhanced in both phases in DCPL<sub>IO</sub> and  
411 DCPL<sub>AO+IO</sub> relative to CTRL (Fig. 6a,b; red and orange solid lines compared to black), but not in DCPL<sub>AO</sub>  
412 (green solid line compared to black). After the peak ENSO season, the enhancement of DCPL<sub>IO</sub> relative to  
413 CTRL (Fig. 6a,b) reflects the strongest shift in the monthly standard deviation, occurring during the boreal  
414 winter and spring (Fig. 4a). Other changes are seen outside of the peak season in all partial coupling  
415 experiments, most notably in DCPL<sub>AO+IO</sub>, but these are consistent with lengthening of the periodicity (Fig.  
416 5a).

417  
418 The enhanced SSTAs are consistent with the absence of the IOBM damping effect (Santoso et al. 2012).  
419 The notably stronger Niño-3.4 anomalies in the months following the ENSO peak season (Fig. 6a,b; red solid  
420 line compared to black) coincide with IOBM peak occurrence (in a non-decoupled Indian Ocean). It is  
421 known that the IOBM induces zonal wind stress ( $\tau^x$ ) anomalies over the western Pacific that oppose the  
422 westerly or easterly anomalies associated with eastern Pacific El Niño warming or La Niña cooling (e.g.,  
423 Kug and Kang 2006; Santoso et al. 2012). The  $\tau^x$  signature of IOBM is apparent in the CTRL composites  
424 between 100°E-160°E during Jan(1) to May(1) (Fig. 7a,e). In response to these western Pacific wind  
425 anomalies, oceanic Kelvin waves also act to promote ENSO phase turnabout (Wang et al. 1999a).  
426 Consistently, in the absence of these negative feedback processes in DCPL<sub>IO</sub> and DCPL<sub>AO+IO</sub>, ENSO  
427 variability is enhanced and prolonged in those runs (see Section 3). Note that the western Pacific wind  
428 anomalies are not solely a remote response to IOBM but are also part of ENSO evolution that is internal to  
429 the equatorial Pacific (Watanabe and Jin 2002; Wang et al. 1999b). Thus, even when the IOBM is  
430 completely absent in DCPL<sub>IO</sub> and DCPL<sub>AO+IO</sub>, these western Pacific wind anomalies associated with ENSO  
431 still prevail. These western Pacific  $\tau^x$  anomalies are significantly weaker in the decoupled experiments than  
432 in CTRL, and this is particularly so in DCPL<sub>IO</sub> and DCPL<sub>AO+IO</sub> in which the IOBM is completely absent  
433 (Figs. 7b-d,f-h). The weakened  $\tau^x$  occurs despite ENSO anomalies being enhanced in those decoupled  
434 experiments (Fig. 4a), thus underscoring the impact of the missing IOBM in DCPL<sub>IO</sub> and DCPL<sub>AO+IO</sub>.

435

436 The effect of the IOBM on western Pacific  $\tau^x$  cannot be clearly inferred in BWI composites from DCPL<sub>PO</sub> or  
437 DCPL<sub>PO+AO</sub> (Fig. 8j,l,n,p) since its associated SSTAs, although present, become substantially weaker in the  
438 absence of ENSO (Fig. 6g,h). Nevertheless, the expected easterly  $\tau^x$  anomalies are still visible near the Indo-  
439 Pacific boundary for positive IOBM (Fig. 8l), and westerly  $\tau^x$  for negative IOBM (Fig. 8p), confirming the  
440 presence of a weak IOBM without ENSO.

441

442 The IOBM damping on ENSO is an intrinsic feature of the Indo-Pacific feedback interactions. This stems  
443 from the co-occurrence of El Niño with warm IOBM and La Niña with cool IOBM, which is underscored by  
444 the strong positive correlation between Niño-3.4 and BWI as seen in the observations, CMIP5 models and  
445 Mk3L (Fig. 9g,h). In this way the IOBM wind anomalies tend to consistently damp ENSO. The CMIP5  
446 model spread reveals that there is a tendency for stronger positive correlation between ENSO and IOBM  
447 with stronger ENSO and IOBM amplitude. Such tendency makes it challenging to diagnose the effect of  
448 IOBM on ENSO using statistical analysis alone, hence reinforcing the need for partially coupled model  
449 experiments.

450

451 The more severe weakening of western Pacific  $\tau^x$  anomalies in DCPL<sub>AO+IO</sub> than in DCPL<sub>IO</sub> and the lesser  
452 weakening in DCPL<sub>AO</sub> relative to CTRL indicates the potential role of Atlantic variability in enhancing the  
453 western Pacific  $\tau^x$  anomalies that have a damping effect on ENSO in CTRL. This result is consistent with the  
454 amplification of Niño-3.4 variability in DCPL<sub>AO+IO</sub> outside the ENSO peak season (Fig. 4a; Fig. 6a,b).

455 Albeit weak, the  $\tau^x$  response to Atlantic Niño is visible in the composite plot of Atl-3 with the Indian Ocean  
456 decoupled (Fig. 7j,l), with westerly anomalies in the equatorial Atlantic and easterly anomalies in the  
457 western Indian Ocean which appear to correspond with further anomalies in the western Pacific towards the  
458 end of the calendar year (at Dec(0) between 120°E and 160°E). These anomalies are of the opposite sign for  
459 Atlantic Niña (Fig. 7n,p). The western Pacific anomalies vary in strength, timing, and position across the  
460 ensemble members (figure not shown), and hence appear weak in the ensemble mean. These  $\tau^x$  signals are  
461 not clear with air-sea interactions occurring in the Indian Ocean (Fig. 7k, o), presumably due to interference  
462 with Indian Ocean internal variability. With the Atlantic decoupled, such wind anomalies are absent, thus  
463 tending to enhance ENSO growth, as seen in DCPL<sub>AO+IO</sub>.

464



465 Another way in which Atlantic variability might influence ENSO is via an alteration to Indian Ocean  
466 variability. As seen earlier, the Atlantic appears to enhance the IOBM (Fig. 4d), and the IOBM has  
467 correspondingly been shown to damp ENSO. Therefore, if the IOBM becomes weaker in the absence of  
468 Atlantic variability ( $DCPL_{AO}$ ), the ENSO variability is expected to increase, although it should not be  
469 stronger than when the IOBM is completely removed in  $DCPL_{AO+IO}$ . The composites of Atl-3 indeed show  
470 that in correspondence with Atlantic Niño, there is a warm IOBM response (Fig. 6c), and conversely for  
471 Atlantic Niña (Fig. 6d). This Atlantic influence on ENSO via the IOBM may explain the enhanced Niño-3.4  
472 anomalies in  $DCPL_{AO}$  after Jul(1) (Fig. 6a,b). Such Indian Ocean warming or cooling response can be  
473 achieved through Atlantic forced wind stress anomalies in the western tropical Indian Ocean (Fig. 7j,l,n,p)  
474 over which ocean advection and entrainment are the dominant factors that generate interannual surface  
475 temperature anomalies (Santoso et al. 2010). These easterly/westerly anomalies force a  
476 downwelling/upwelling signal in the western Indian Ocean that then propagates eastward as a Kelvin wave  
477 (figure not shown), thus promoting the occurrence of a basin-wide warming/cooling pattern. Decoupling the  
478 Atlantic alone does not remove the IOBM signal entirely as the IOBM warming/cooling is part of ENSO  
479 evolution (Fig. 6a,b, green line with dots), and so any enhancement of ENSO amplitude in  $DCPL_{AO}$  is  
480 expectedly weaker than when the Indian Ocean is also decoupled (i.e.,  $DCPL_{AO+IO}$ ; Fig. 4a, 6a,b). ENSO  
481 enhancement in  $DCPL_{IO}$  compared to  $DCPL_{AO+IO}$  is also limited because decoupling the Indian Ocean tends  
482 to enhance the AEM (Fig. 4b), which in turn has a damping effect on ENSO via its tendency to enhance  
483 western Pacific  $\tau^x$  anomalies.

484

485 The AEM effects on ENSO described above would be maximal when an El Niño condition co-occurs with a  
486 warm AEM, and likewise for co-occurring cool events. However, such a combination has a weak tendency  
487 of occurring in our model, and similarly across the CMIP5 models (Fig. 9a-d), unlike the robust ENSO-  
488 IOBM relationship (Fig. 9g,h). When we examine the correlation between the two indices with Atl-3 leading  
489 by 12 months (figure not shown), we find that the two ensemble members showing a statistically significant  
490 negative correlation exhibit a damped ENSO when the Atlantic is decoupled. For the remaining three  
491 members, where the correlation is weak or positive, the ENSO is enhanced when the Atlantic is decoupled.  
492 These inconsistent connections seem to be in line with recent studies that claim there is varying Atlantic-  
493 Pacific connection in observations due to decadal variability (Rodríguez-Fonseca et al. 2009; Martín-Rey et

494 al. 2014; Sasaki et al. 2014; McGregor et al. 2014).

495

496 Another factor that can contribute to the lack of consistency is asymmetry between the warm and cool phases  
497 of the modes. The SSTA and wind stress composites for Atl-3 (Fig. 6c,d; 7i,m) show that there is an  
498 asymmetry in CTRL, namely that Atlantic Niño tends to precede La Niña, but Atlantic Niña tends to follow  
499 a La Niña. Indian Ocean variability seems to be the source of this asymmetry, since both phases of the AEM  
500 tends to follow ENSO (warm/cool AEM follows El Niño/La Niña) when the Indian Ocean is decoupled. The  
501 correlation of Atl-3 averaged over June to August leading December to February Niño-3.4 is close to zero  
502 (Fig. 9c,d). This however appears to disagree with observations, which shows a statistically significant  
503 negative correlation. The CMIP5 models slightly favour a negative correlation, but many models also display  
504 a positive correlation (Kucharski et al. 2015), and the correlation does not appear to be related to the strength  
505 in variability of either index across the models.

506

507 The IOD generates only weak  $\tau^x$  anomalies over the Pacific Ocean in DCPL<sub>PO+AO</sub> (Fig. 8d,h). Santoso et al.  
508 (2012) noted that in this way the IOD is conducive for ENSO growth given the dominant IOBM damping  
509 effect. The significant positive correlation between Niño-3.4 and DMI at near zero lag is consistent with  
510 observations (Annamalai et al. 2005; Santoso et al. 2012), and the CMIP5 models (Fig. 9e,f). The inter-  
511 model relationships also show the tendency for stronger ENSO and IOD amplitude to reinforce this positive  
512 correlation. Again, analysis of partial coupling experiments suggests that care should be taken when inferring  
513 IOD impact on ENSO and vice versa.

514

#### 515 **4.2 Atlantic Equatorial Mode**

516 The model SST composites reveal that weak Atlantic Niño conditions coincide with the El Niño, and are  
517 followed by warm IOBM (Fig. 6a). Similarly, a weak Atlantic Niña coincides with La Niña, followed by  
518 cool IOBM (Fig. 6b). The Atlantic Niño is accompanied by westerly  $\tau^x$  anomalies over the central Atlantic  
519 Ocean during April to July (Fig. 7i), and easterly  $\tau^x$  anomalies with the Atlantic Niña (Fig. 7m). Wind stress  
520 anomalies opposing the Atlantic Niño are revealed in composites of El Niño, strongest at one-year lag (Fig.  
521 7a), and also in composites of positive IOBM in CTRL (Fig. 8i). The same holds for Atlantic Niña, and  
522 composites of La Niña (Fig. 7e) and negative IOBM (Fig. 8m).

523

524 The influence of the IOBM is evidenced by the more significant weakening of the  $\tau^x$  signal over the Atlantic  
525 Ocean in DCPL<sub>IO</sub> (Fig. 7b,f) compared to any of the other experiments (Fig. 7c,d,g,h). Although weak, these  
526  $\tau^x$  anomalies are still present even when the Indian Ocean is decoupled (DCPL<sub>IO</sub>), but are more prominent  
527 when the Atlantic is also decoupled (DCPL<sub>AO+IO</sub>) – which is expected given the now absent anomalies  
528 associated with the AEM. Note that these  $\tau^x$  anomalies occur during the growth phase, as well as the decay  
529 phase of ENSO in the model. As argued by Latif and Grötzner (2000), easterly wind anomalies over  
530 equatorial Atlantic during El Niño force downwelling that lead to the formation of an Atlantic Niño six  
531 months later. Similarly, westerly wind anomalies during La Niña promote an Atlantic Niña. Thus, here we  
532 are looking at both ENSO and IOBM processes that enhance and damp the AEM. Given the results in  
533 Section 3 (Fig. 4b), the IOBM damping is the more dominant factor. Interestingly, this Indian Ocean  
534 damping effect appears to be supported by the CMIP5 inter-model correlations: there is a negative  
535 correlation between the BWI leading Atl-3 correlation and the Atl-3 standard deviation (Fig. 9o). This shows  
536 the tendency for models that simulate more occurrences of warm IOBM with Atlantic Niño to have weaker  
537 AEM amplitude. This tendency is also supported by the relationship between the ENSO leading AEM  
538 correlation with the Atl-3 standard deviation (Fig. 9b), given that El Niño induces warm IOBM.  
539 Furthermore, since the IOBM is to a large extent a response to ENSO, it can also be inferred that ENSO  
540 damps the AEM indirectly via the IOBM. The effect of ENSO in enhancing the AEM may also be conveyed  
541 via the IOD. A positive IOD is associated with easterly  $\tau^x$  anomalies in the equatorial Atlantic during boreal  
542 winter (Fig. 8d), and the opposite for negative IOD (Fig. 8h). However, this is outside the peak season of the  
543 AEM, so the effect is expected to be weaker.

544

### 545 **4.3 Indian Ocean Modes**

546 The positive IOD is associated with easterly  $\tau^x$  anomalies across the Indian Ocean basin (Fig. 8a), and the  
547 negative IOD with westerly  $\tau^x$  anomalies (Fig. 8e). Some of these  $\tau^x$  anomalies can be induced by ENSO, as  
548 is clear in the decoupled Indian Ocean runs (Fig. 7b,d). In DCPL<sub>AO+IO</sub> (Fig. 7d,h), the  $\tau^x$  anomalies of the  
549 opposite sign near the Indo-Pacific boundary are stronger than in DCPL<sub>IO</sub> (Fig. 7b,f). Nevertheless, ENSO  
550 drives  $\tau^x$  anomalies over the western side of the Indian Ocean that promote the IOD (Annamalai et al. 2003;  
551 Fischer et al. 2005). The ENSO-IOD relationship is highlighted by a positive correlation in observations and

552 across models (Fig. 9e,f). The CMIP5 inter-model correlations also suggest a tendency for stronger ENSO-  
553 IOD correlation with stronger ENSO or stronger IOD. The AEM also drives  $\tau^x$  anomalies that are favourable  
554 for the IOD (Fig. 7l,p), but the inter-model correlation is weak for Atl-3 leading DMI (Fig. 9i,j). Given the  
555 Atl-3 and Niño-3.4 correlation is also not strong, the AEM appears to be secondary in the influence on the  
556 IOD compared to ENSO.

557

558 As mentioned above, the western Indian Ocean  $\tau^x$  anomalies associated with the IOD, which are enhanced by  
559 ENSO and the AEM, can facilitate the formation of IOBM. Such dynamical association is highlighted by the  
560 strong positive correlation between the BWI and DMI (Fig. 9q,r), implying that with enhanced IOD events,  
561 stronger IOBM will ensue.

562

## 563 **5. Summary**

564 This study investigated the interactions between the dominant modes of climate variability across the tropics,  
565 namely ENSO, the AEM, the IOD and the IOBM. Using a series of coupled and partially coupled GCM  
566 experiments we inferred the impact between modes on their strength, period, and seasonality. In agreement  
567 with earlier studies, we found that Indian Ocean variability acts to damp ENSO via the IOBM (Santoso et al.  
568 2012). Conversely the Pacific enhances both the IOD and IOBM (e.g., Behera et al. 2006). We have  
569 highlighted other findings that have not previously been explored in great depth, for instance, the connection  
570 between tropical Indian Ocean and Atlantic variability. We found that Atlantic Ocean variability has little  
571 influence on the IOD, but enhances the IOBM amplitude. Conversely, Indian Ocean variability has a net  
572 damping effect on the AEM. As suggested by the wide range of conflicting literature, the connection  
573 between the Pacific and Atlantic Niños and Niñas is complex. Our study has shown that the coupling to the  
574 tropical Indian Ocean is a factor that needs to be considered in inferring the Pacific-Atlantic interactive  
575 feedbacks. After accounting for the effect of the Indian Ocean, our model experiments reveal that the AEM  
576 has a net damping effect on ENSO magnitude in our model, whilst ENSO tends to enhance the AEM.

577

578 The dominant ENSO period in the Mk3L model is in the 5-7 year band. We found that decoupling either or  
579 both of the Indian and Atlantic Ocean basins shifts the ENSO to longer periods, implying that variability in  
580 each plays a role in the faster switching between ENSO phases. The 5-7 year signal is also dominant in the

581 power spectral densities of the other SST indices. The signal vanishes or is reddened in the absence of ENSO  
582 variability, thus demonstrating the coupling between ENSO and each of the tropical modes. Nevertheless,  
583 our results also show that each mode persists when variability in other basins, in turn and in combination, is  
584 removed. Furthermore, apart from slight changes to the IOBM, the overall seasonality of these modes is  
585 unchanged. This suggests that ENSO, the IOD, the AEM, and to a lesser extent the IOBM, are largely  
586 internally generated modes, despite the fact that the coupling between them influences their overall  
587 behaviour.

588

589 Although the Atlantic Ocean appears to have a weak damping effect on ENSO amplitude, individual  
590 ensemble runs showed varying results. Earlier studies present conflicting reports on this matter. Dommenges  
591 et al. (2006) showed that Atlantic variability damps ENSO, Frauen and Dommenges (2012) showed no net  
592 influence, and Sasaki et al. (2014) showed that ENSO amplitude is reduced when the equatorial Atlantic is  
593 decoupled. We see both ENSO damping and enhancement in different 100-year runs when the Atlantic alone  
594 is decoupled. It is likely that the interaction may be related to multi-decadal variations in the Atlantic-Pacific  
595 connection (Rodríguez-Fonseca et al. 2009; McGregor et al. 2014; Sasaki et al. 2014; Kucharski et al. 2016).  
596 The inconsistency in the Atlantic-Pacific Niño/Niña relationship is also exhibited in the large CMIP5 multi-  
597 model spread clustering around zero (Fig. 9c,d). This spread highlights the need for multiple ensemble  
598 experiments and, in light of model biases, the necessity to repeat experiments with several different models.

599

600 Since coupled models tend to suffer from the pervasive Indo-Pacific cold tongue bias and strong  
601 climatological biases in the tropical Atlantic (Ritcher et al. 2014), alternative decoupling techniques may be  
602 necessary to further explore these connections. For example, one could nudge SST over a decoupled region  
603 toward observed SST, as conducted by Terray et al. (2015). In this way, biases would be eliminated,  
604 however the associated analyses would be confounded by additional mean-state changes, which introduce  
605 further complexity. Adding to the complexity is that climatological biases also translate to biases in the  
606 modes of variability. One clear example is the shallow thermocline bias in the eastern Indian Ocean that  
607 tends to make simulated IOD events notably stronger than observed. However, an error-compensating effect  
608 may also occur. Namely, as air-sea coupling tends to be more active in the strong convective region of the  
609 Indo-Pacific warm pool, the cold bias may underestimate the remote effect of the IOD, but this should be to a

610 certain extent compensated by the overly large IOD amplitude (Santoso et al. 2012). Thus, while the result  
611 may not be greatly affected by this particular bias due to such an error-compensating tendency, a multi-  
612 model approach with less biased models seems to be the way forward. The Mk3L model used here exhibits  
613 reasonable skill in simulating the tropical modes of climate variability, especially relative to the CMIP5  
614 models that are of higher resolution (Fig. 2). The ensemble spread of index correlations also lies within the  
615 CMIP5 multi-model spread in each case (Fig. 9). Therefore, combined with its coarser resolution, the model  
616 we employed is particularly useful for studying these types of problems over centennial and millennial  
617 timescales. However, it should be further noted that in our case flux adjustments have been employed in  
618 order to maintain a more realistic climatology.

619

620 Our study highlights the coupling across tropical modes of variability, linked by the atmospheric Walker  
621 Circulation. This carries an important implication in that understanding, predicting, and projecting each  
622 mode of variability would require a careful consideration of other remote modes of variability. Such  
623 coupling also implies that diagnosing interactive feedback, relying on statistical inferences alone, is  
624 challenging. We illustrated this challenge by utilising an analysis of CMIP5 models (Fig. 9). For instance,  
625 there is a tendency for stronger ENSO and IOBM amplitude to be associated with higher ENSO-IOBM  
626 coherence across the models (Fig. 9g,h). At best, this relationship would suggest the IOBM is a mere slave  
627 to ENSO. However, with the aid of decoupling experiments in this study and others, the IOBM has been  
628 shown to have a damping effect on ENSO. This study provides a basis for understanding the interactions  
629 between the dominant modes of variability in the tropics. We note that weaker modes in the tropical domain,  
630 for example, the Madden-Julian Oscillation or the Atlantic Meridional Mode, may also influence the  
631 interactions that impact on strengths, periods, or predictability of these modes. Furthermore, modes outside  
632 of the tropical domain, such as the Southern Annular Mode or the North Atlantic Oscillation, may also have  
633 an influence. How these other modes impact on the tropical interactions is a complex topic of investigation  
634 that should be explored in future studies.

635

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1 **Tropical Climate Variability: Interactions across the Pacific, Indian, and Atlantic Oceans**

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9

10 **Tables and Figures**

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29 TABLE 1. Summary of the tropical modes of variability considered in this study.

<i>Mode</i>	<i>Ocean</i>	<i>Characteristic SST Index</i>	<i>SST Averaging Area</i>	<i>Peak Season</i>
El Niño-Southern Oscillation (ENSO)	Pacific	Niño-3.4	5°S-5°N, 170°-120°W	September to December (SOND)
Atlantic Equatorial Mode (AEM)	Atlantic	Atl-3	5°S-5°N, 20°W-0°	June to August (JJA)
Indian Ocean Dipole (IOD)	Indian	Dipole Mode Index (DMI)	west (10°S-10°N, 50°-70°E) minus east (10°S-0°, 90°-110°E)	August to November (ASON)
Indian Ocean Basinwide Mode (IOBM)	Indian	Basinwide Index (BWI)	20°S-20°N, 40°-100°E	January to April (JFMA)

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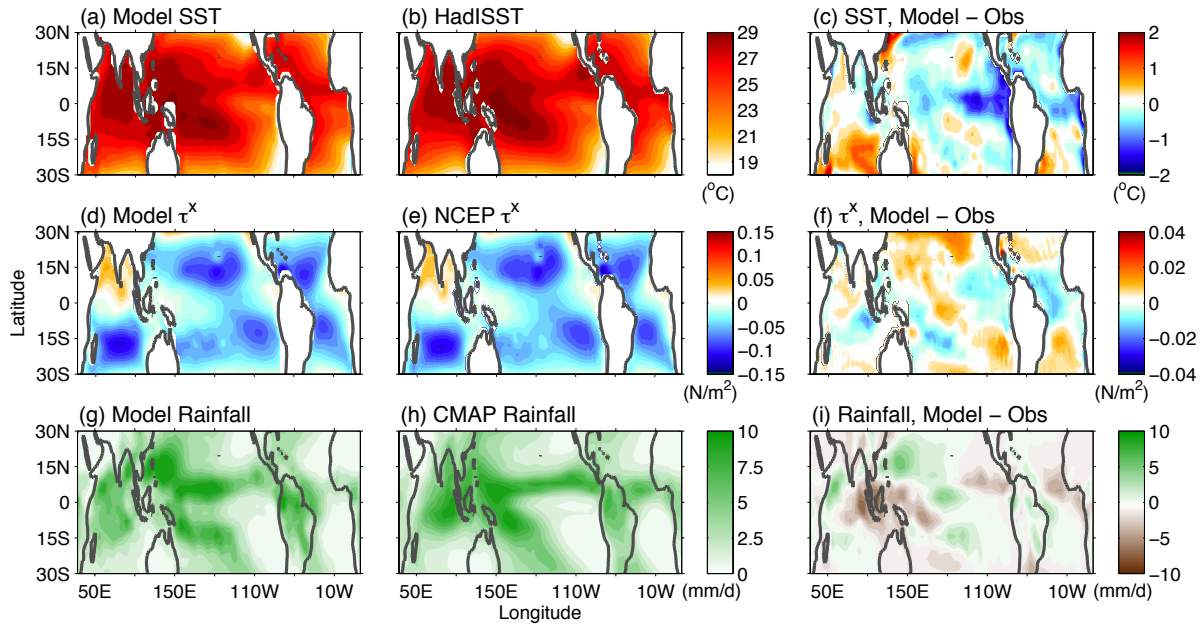
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46 **Fig. 1** Comparison of the Mk3L model SST, winds, and rainfall with observed data. (a) Model SST, (b)  
 47 HadISST (averaged over 1900-2010), and (c) difference between model and observed SST. (d) Model zonal  
 48 wind stress ( $\tau^x$ ), (e) NCEP-NCAR reanalysis  $\tau^x$  (averaged over 1948-2010), and (f) difference between model  
 49 and observed  $\tau^x$ . (g) Model rainfall, (h) Climate Prediction Center Merged Analysis of Precipitation (CMAP)  
 50 reanalysis rainfall (averaged over 1979-2010), and (i) difference between model and observed rainfall. The  
 51 model climatology is averaged over the entire 300 years of the original CTRL run.

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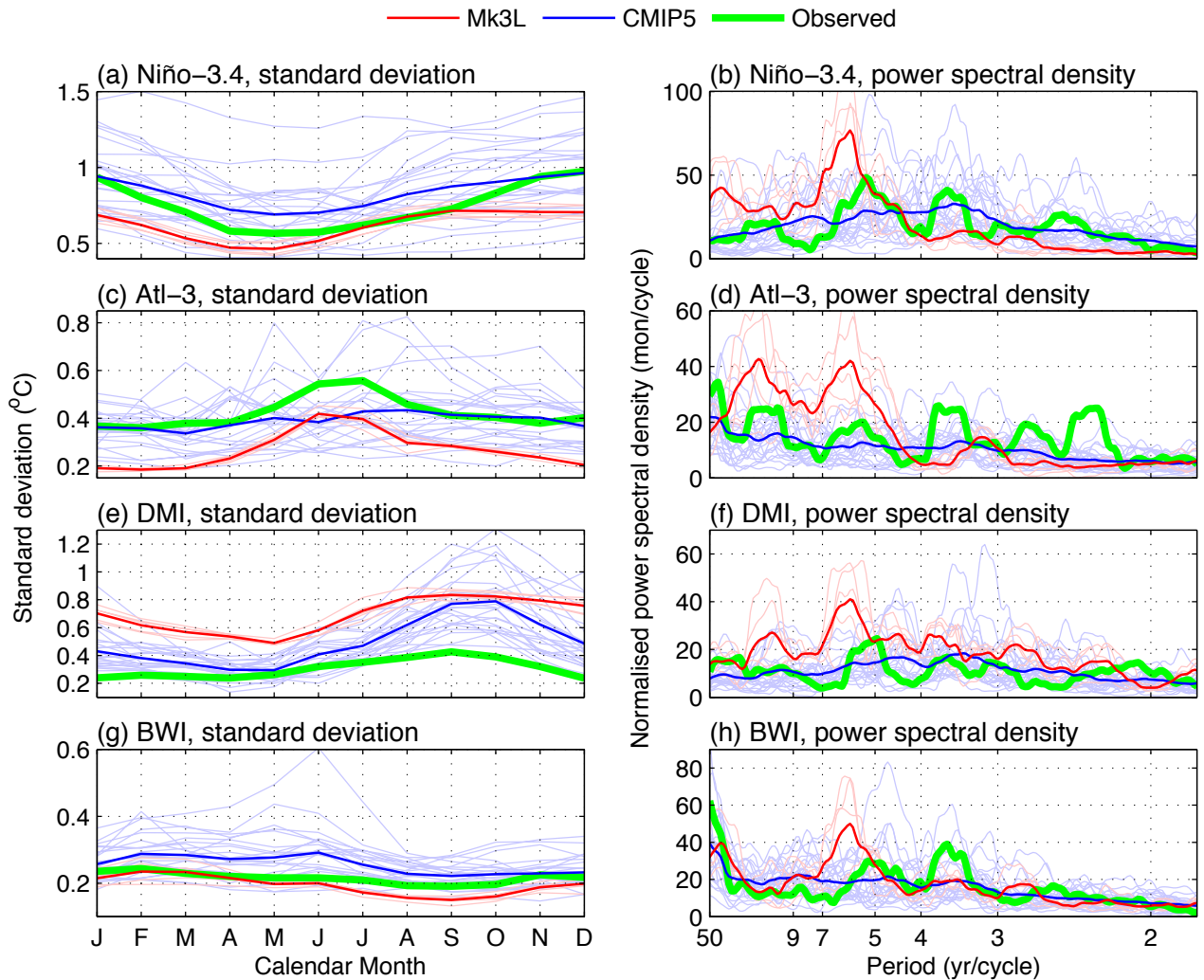
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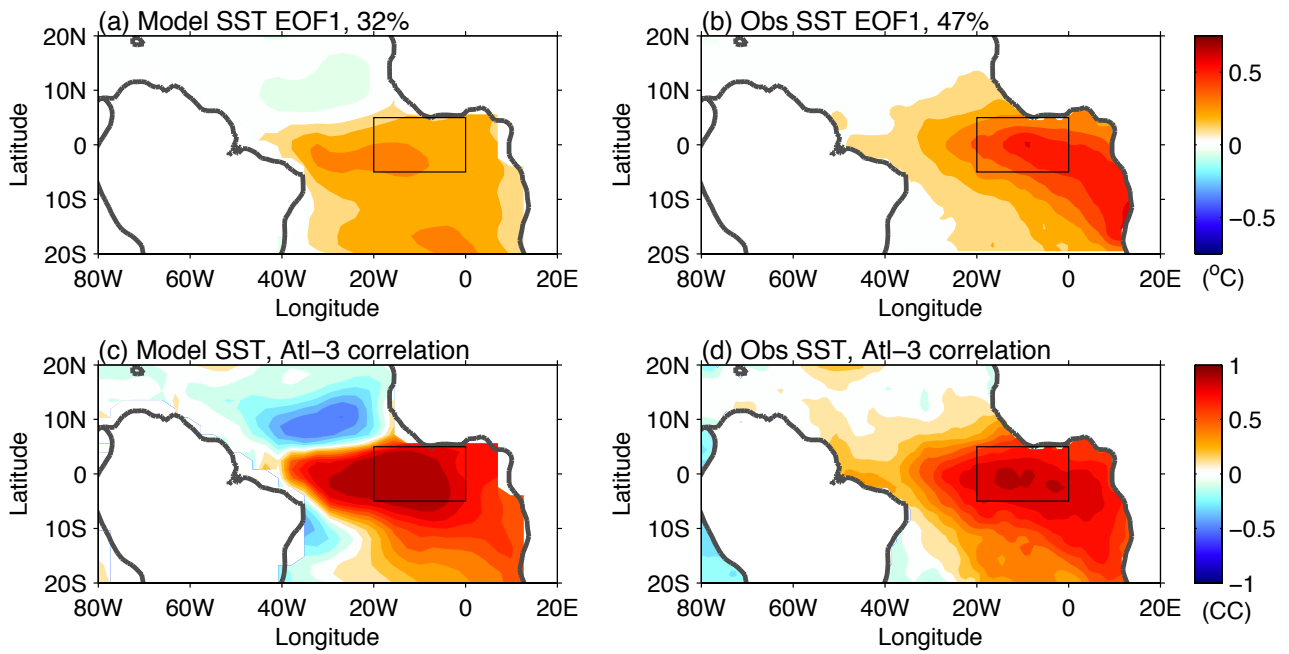
64 **Fig. 2** Monthly standard deviation and power spectral densities (PSDs) of the SST indices characterizing the  
 65 dominant modes of tropical climate variability. (a,b) Niño-3.4, which characterizes the El Niño-Southern  
 66 Oscillation. (c,d) Atl-3, for the Atlantic Equatorial Mode. (e,f) DMI, for the Indian Ocean Dipole. (g,h) BWI,  
 67 for the Indian Ocean Basinwide Mode. The faint red curves denote the five ensemble members of the CTRL  
 68 experiment in Mk3L, with the bold red curve denoting the ensemble mean. The faint blue curves denote  
 69 individual historical CMIP5 model runs (Section 2.2), with the bold blue curve denoting the CMIP5 mean.  
 70 The green curve denotes the observed from the HadISST set. For easier comparison, the time-series are  
 71 normalised to unity variance before computing the PSDs. Note that the individual CMIP5 models are not  
 72 distinguished, since they are presented purely to indicate the multi-model spread.

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77 **Fig. 3** First empirical orthogonal function (EOF) of June to August Atlantic SST presented as a regression  
 78 map, showing the pattern for the Atlantic equatorial mode for (a) the model and (b) HadISST. The variances  
 79 explained by the first EOFs are given in the panel titles. (c,d) Correlation coefficients of Atl-3 index with  
 80 SST field, using June to August mean in each case. The EOF analysis is for the entire 300 years of the  
 81 original model CTRL run, and over 1900 to 2010 for HadISST.

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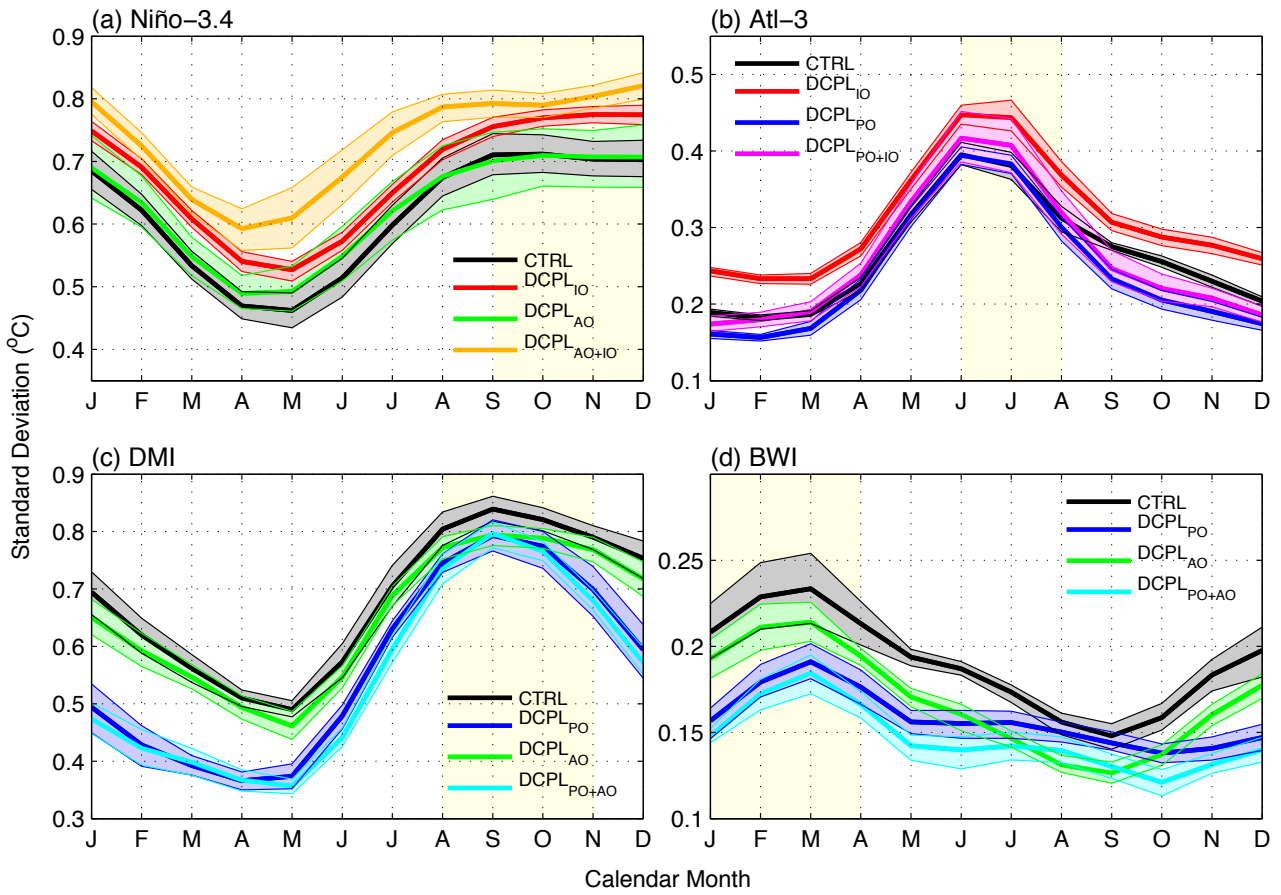
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90 **Fig. 4** Monthly standard deviation of SST indices representing the dominant modes of tropical climate  
 91 variability: (a) Niño-3.4 for the Pacific Ocean, (b) Atl-3 for the Atlantic Ocean, (c) Dipole Mode Index, and  
 92 (d) Basinwide Index for the Indian Ocean. The results for the CTRL and the various partial coupling  
 93 experiments are shown. A low-pass filter with a 4-month cut-off was applied to smooth each time-series  
 94 before computing the monthly standard deviations. The color-shaded areas indicate the 95% confidence  
 95 intervals, which were estimated based on 1000 bootstrapped means from the five 100-year ensemble  
 96 members. The borders of the shaded regions are outlined for clarity. The months shaded in yellow indicate  
 97 the peak season of variability of each mode, upon which further analysis in the text is focused.

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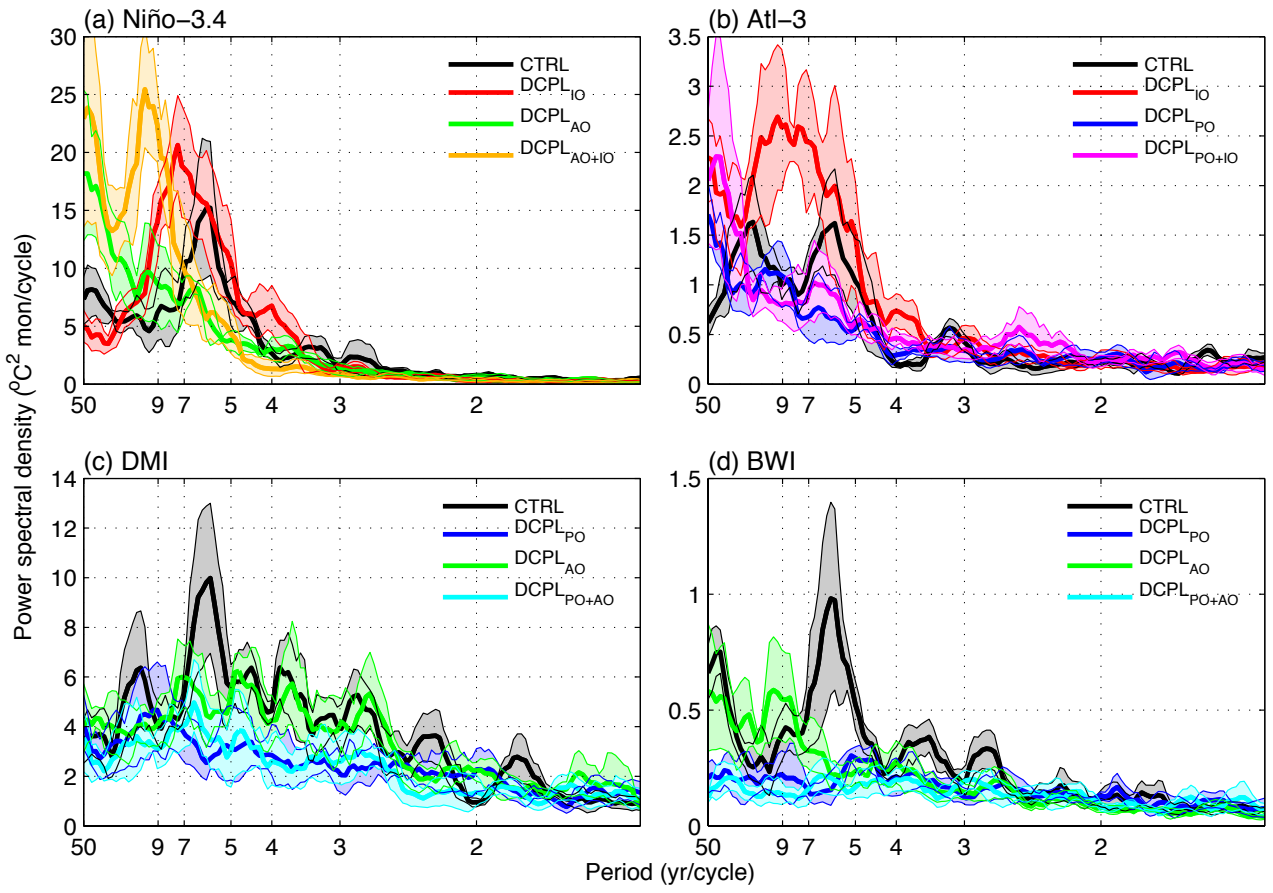
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105 **Fig. 5** Power spectral densities of the same SST indices shown in Fig. 4.

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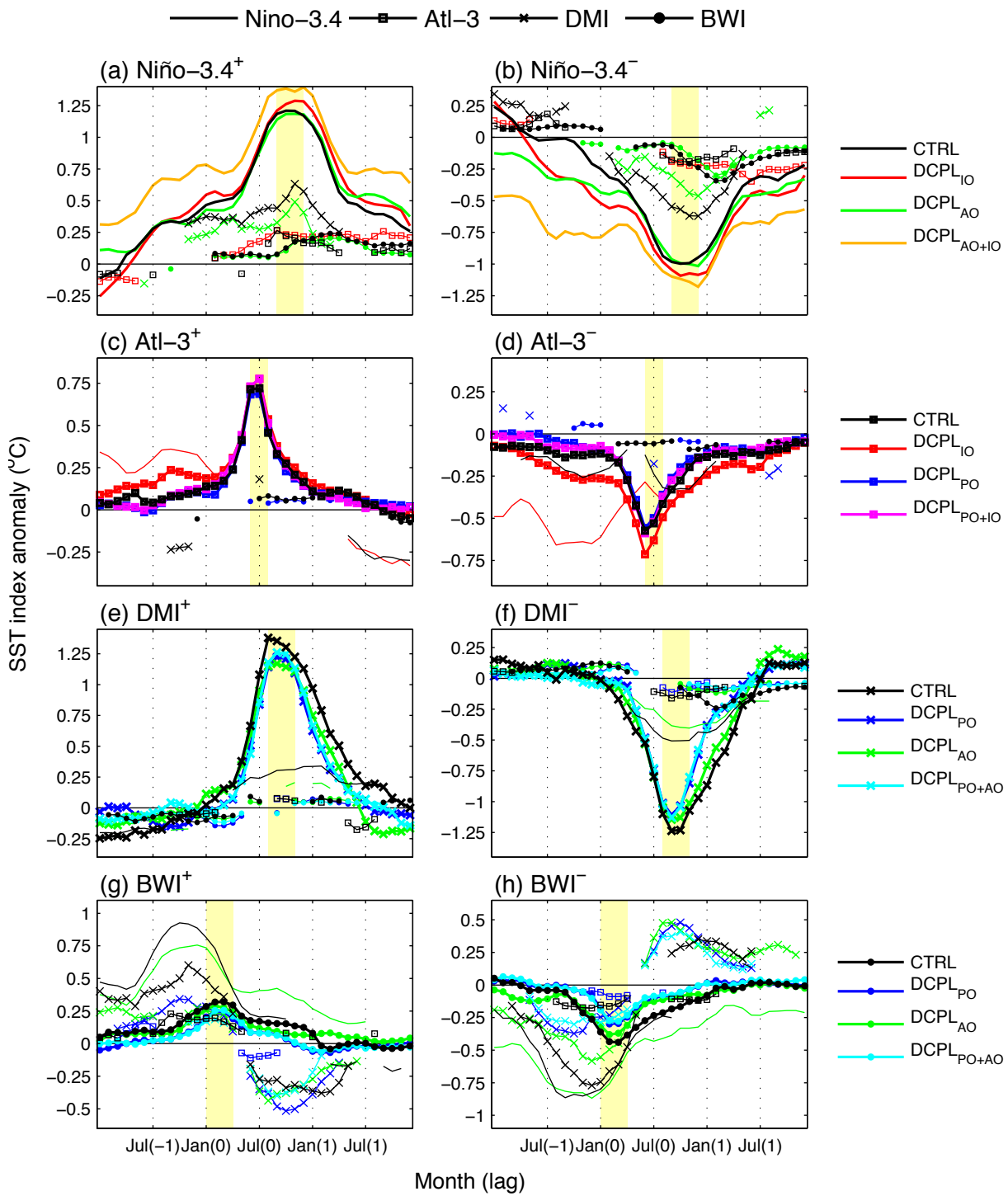
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120 **Fig. 6** Composite evolution of tropical climate mode events for each SST index over a 36-month period.

121 Events are composited as described in Section 4. The different lines styles denote the different indices

122 throughout the figure: solid lines for Niño-3.4, lines with squares for Atl-3, lines with crosses for DMI, and

123 lines with circles for BWI. The colours denote the different experiments. (a) Composite evolution of the

124 Niño-3.4 index for El Niño events (thick, solid lines), in CTRL (black) and each of the partially coupled

125 experiments (DCPL<sub>IO</sub>: red, DCPL<sub>AO</sub>: green, DCPL<sub>AO+IO</sub>: orange). Alongside is the co-evolution of each of

126 the other indices (thin lines, and again, line styles and colors indicate the different indices and experiments).  
127 (b) Composite evolution of the Niño-3.4 index for La Niña events. (c,d) Composite evolution of Atl-3 for  
128 warm and cool AEM events, respectively. (e,f) Composite evolution of DMI for positive and negative IOD  
129 events, respectively. (g,h) Composite evolution of BWI for warm and cool IOBM events, respectively. Apart  
130 from the evolutions of main index in each panel (thick lines), which are plotted for the entire 36-month span,  
131 only those periods for which the other indices are significantly different from zero at the 95% confidence  
132 level under a *t*-test are shown (thin lines). Jul(0) denotes July in the year of the event, and -1 or 1 denotes the  
133 year prior or ahead. Year 0 is relative to the peak of each mode. The months shaded in yellow indicate the  
134 peak seasons of variability for the main index in each panel (Table 1).

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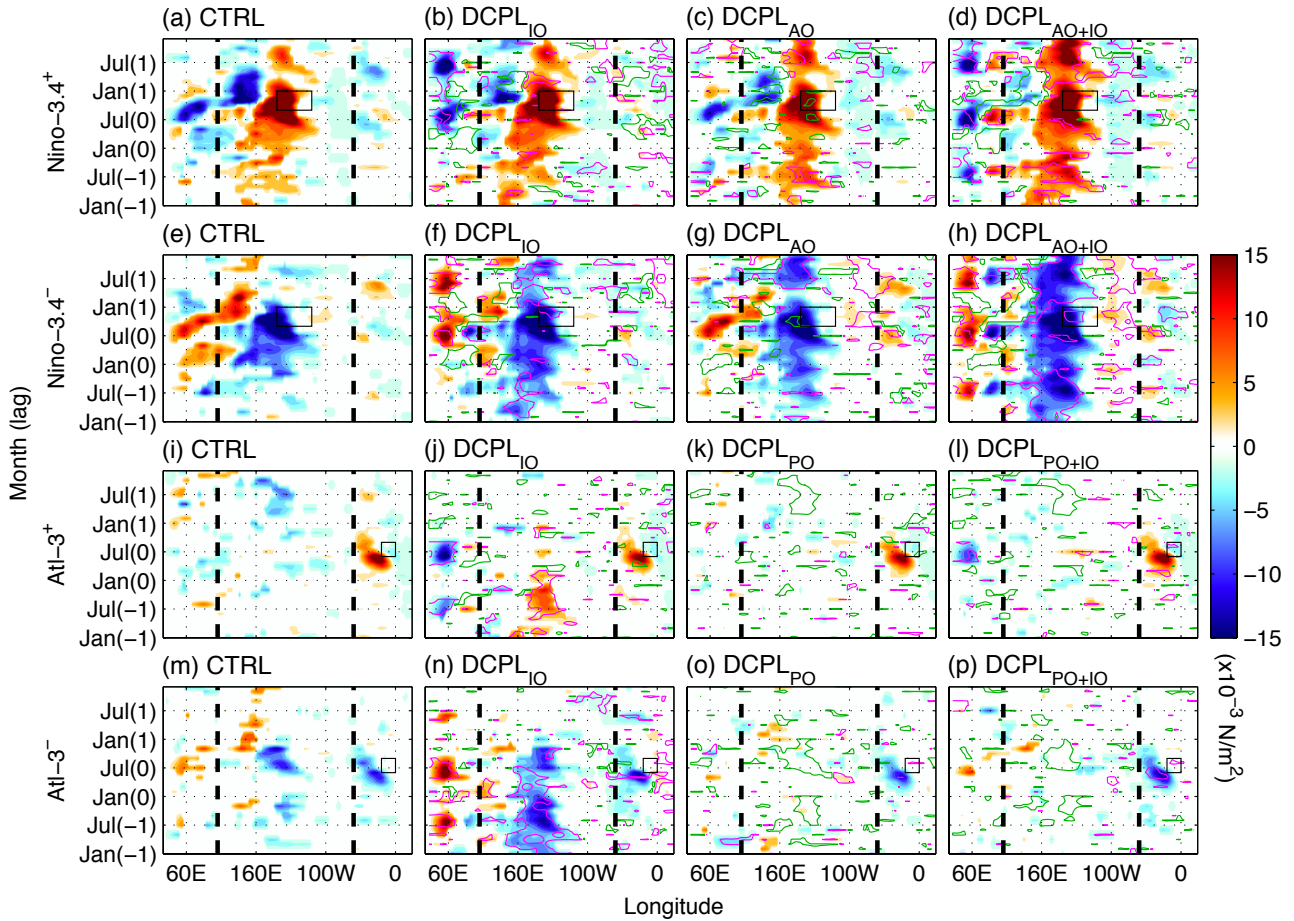
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155 **Fig. 7** Composites of equatorial zonal surface wind stress anomalies over a 36-month period associated with  
 156 ENSO and AEM events. (a) El Niño composites in CTRL. (b-d) El Niño composites in each of the relevant  
 157 decoupling experiments. (e-h) La Niña composites. (i-l) Atlantic Niño composites. (m-p) Atlantic Niña  
 158 composites. Events are composited as described in Section 4. Only the wind stresses that are significantly  
 159 different from zero at the 95% confidence level under a  $t$ -test are shaded. The zonal wind stress is averaged  
 160 over 5°S-5°N. The vertical dashed lines indicate the approximate boundaries between the ocean basins. The  
 161 box in each panel indicates the spatial and temporal extent of relevant composited index. On the DCPL  
 162 panels, the pink contours indicate where  $|\text{DCPL}| - |\text{CTRL}|$  is positive and significant above the 90% level.  
 163 The green contours indicate where  $|\text{DCPL}| - |\text{CTRL}|$  is negative and significant above the 90% level.

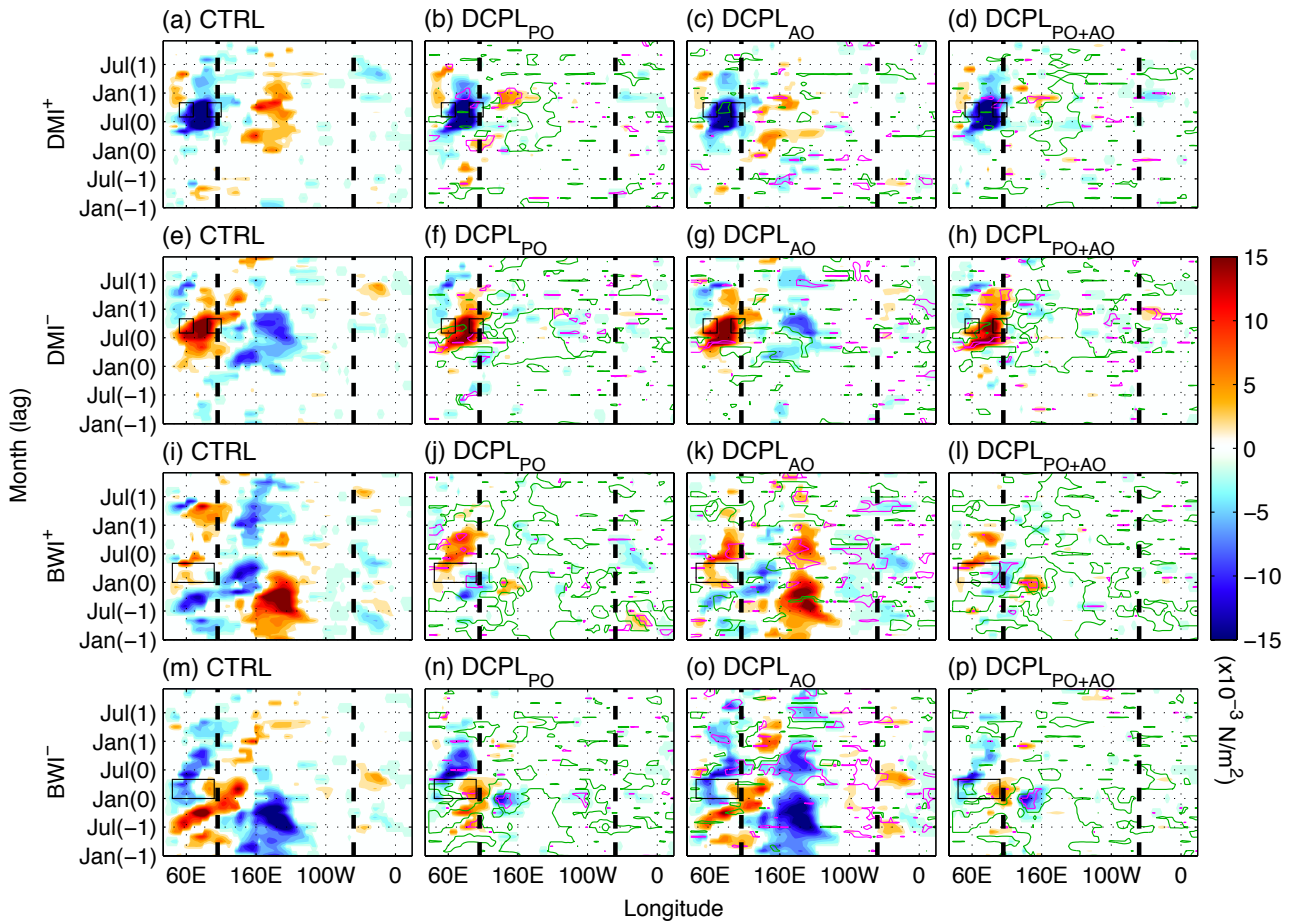
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170 **Fig. 8** As in Fig. 7 but for IOD and IOBM events. (a) Positive IOD composites in CTRL. (b-d) Positive IOD  
 171 composites in each of the relevant decoupling experiments. (e-h) Negative IOD composites. (i-l) Warm  
 172 IOBM composites. (m-p) Cool IOBM composites.

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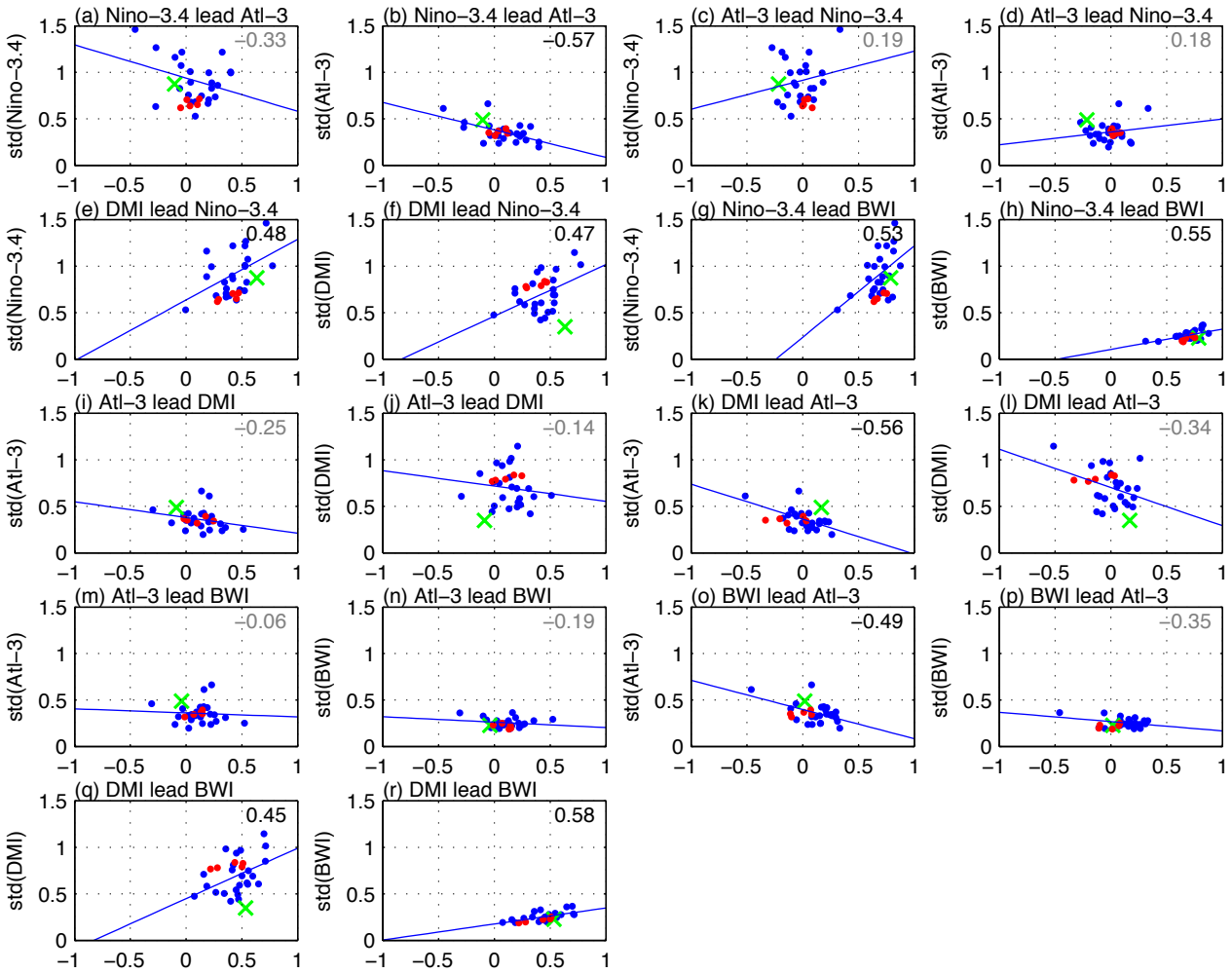
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185 **Fig. 9** Correlation coefficients between pairs of indices plotted against the standard deviation of each in the  
 186 pair. Annual averages are taken for each index over the following months: DJF for Niño-3.4, JJA for Atl-3,  
 187 SON for DMI, and JFM for BWI. The months here were chosen based on the observed peak season of  
 188 variability, rather than the peak seasons of the modes in the model. The blue dots denote individual CMIP5  
 189 historical runs (over the period 1900-1999) with blue lines of best-fit, the red dots denote the 100-year Mk3L  
 190 CTRL ensemble members, and the green crosses denote the HadISST observations (1900-1999). The CMIP5  
 191 inter-model correlation coefficient is given in each panel, where a black value indicates that the correlation is  
 192 significant at the 95% level, and grey is not significant.

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