



## Cetacean rapid assessment: an approach to fill knowledge gaps and target conservation across large data deficient areas

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4 **1 Cetacean Rapid Assessment: an approach to fill knowledge gaps and target**  
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6 **2 conservation across large data deficient areas**  
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14 **Abstract**

- 15 1. Many species and populations of marine megafauna are undergoing substantial  
16 declines, whilst many are also very poorly understood. Even basic information on  
17 species presence is unknown for tens of thousands of kilometres of coastline,  
18 particularly in the developing world, which is a major hurdle to their management  
19 and conservation.
- 20 2. Rapid ecological assessment is a valuable tool used to identify and prioritize areas  
21 for conservation, however, this approach has never been clearly applied to marine  
22 cetaceans. Here a rapid assessment protocol is outlined that will generate broad-  
23 scale, quantitative, baseline data on cetacean communities and potential threats, that  
24 can be conducted rapidly and cost-effectively across whole countries, or regions.
- 25 3. The rapid assessment was conducted in Tanzania, East Africa, and integrated  
26 collection of data on cetaceans from visual, acoustic, and interview surveys with  
27 existing information from multiple sources to provide low resolution data on  
28 cetacean community relative abundance, diversity, and threats. Four principal  
29 threats were evaluated and compared spatially using a qualitative scale: cetacean  
30 mortality in fishing gear (particularly gillnets); cetacean hunting, consumption or  
31 use by humans; shipping related collision risk and noise disturbance; and dynamite  
32 fishing.
- 33 4. A total of 91 groups of 11 species of marine mammal were detected during field  
34 surveys. Potentially the most important area for cetaceans was the Pemba Channel,  
35 a deep, high-current waterway between Pemba Island and mainland Africa, where

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5 36 by far the highest relative cetacean diversity and high relative abundance were  
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7 37 recorded, but which is also subject to threats from fishing.  
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9 38 5. A rapid assessment approach can be applied in data deficient areas to quickly  
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11 39 provide information on cetaceans that can be used by governments and managers  
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14 40 for marine spatial planning, management of developments, and to target research  
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16 41 activities into the most important locations.  
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21 43 Keywords: cetaceans; distribution; environmental impact assessment; management, marine  
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23 44 spatial planning; rapid assessment; Tanzania.  
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## 1. Introduction

Marine megafauna, such as elasmobranchs, marine mammals and sea turtles are some of the most iconic components of ocean biodiversity, yet many populations are undergoing large and unprecedented declines due to unsustainable direct exploitation or incidental mortality in fisheries (Heithaus, Frid, Wirsing, & Worm, 2008; Lewison, Crowder, Read, & Freeman, 2004). Beyond their flagship status, these species can be critical to maintain the structure and function of marine ecosystems and their loss can have large negative ecological consequences (Bowen, 1997; Ferretti, Worm, Britten, Heithaus, & Lotze, 2010). Conservation of cetaceans globally is seriously challenged because even basic information on species presence is lacking for tens of thousands of kilometres of coastline in many places, especially in the developing world (Kaschner, Quick, Jewell, Williams, & Harris, 2012). Recent modelling studies suggest that hotspots of cetacean diversity as well as many at-risk species are likely to occur in some of these data deficient areas (Davidson et al., 2011; Kaschner, Tittensor, Ready, Gerrodette, & Worm, 2011; Pompa, Ehrlich, & Ceballos, 2011).

In contrast to many other species groups, cetaceans are time consuming and expensive to survey and assess. This is because they generally occur at low densities, spend most of their time underwater, and range over wide areas far from land. Consequently, their study involves chartering expensive sea-worthy vessels or light aircraft, and surveys often need to last for many weeks or be repeated over multiple years to generate sufficient data for robust population assessments (Jewell et al., 2012). The result of a lack of basic information,

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5 68 combined with the perceived difficulty and expense of collecting dedicated data to fill these  
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7 69 data gaps, mean that cetaceans are often simply omitted, or are given only cursory attention  
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9 70 in environmental impact assessments, national marine conservation planning and coastal  
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11 71 zone management activities, or during identification of global or regional sensitive, priority  
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13 72 or marine protected areas. Realistically the funds and expertise are not available to enable  
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15 73 dedicated intensive studies to estimate abundance of cetaceans across the large, unevaluated  
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17 74 coastlines of the world. What would be invaluable is a quick and relatively cost-effective  
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19 75 way of generating robust baseline data on cetacean communities and threats from regions  
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21 76 and numerous countries in order to identify and prioritize species and locations where there  
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23 77 is the greatest need for, and greatest potential benefit from, conservation action.  
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30 79 In other environments, this is routinely accomplished using rapid ecological assessments  
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32 80 (Alonso, Deichmann, McKenna, Naskrecki, & Richards, 2011; Barbour, Gerritsen, Snyder,  
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34 81 & Stribling, 1999; Fennessy, Jacobs, & Kentula, 2007; Maragos & Cook, 1995; Maragos et  
35  
36 82 al., 2004). A protocol for rapid assessment of cetaceans has never been clearly described or  
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38 83 applied, but it would be an important tool in the effort to conserve cetaceans globally. Here  
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40 84 a framework for cetacean rapid assessment is outlined, that can be applied over a period of  
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42 85 less than one year across large data deficient areas to provide a quantitative snapshot of  
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44 86 cetacean species diversity, relative abundance, distribution and potential threats. The  
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46 87 objective is to fill extensive data gaps on cetacean distribution, and for the information  
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48 88 generated to provide basic information to government agencies for conservation planning,  
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50 89 prioritization and management.  
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5 91 To demonstrate the approach, a rapid assessment was conducted focused on the entire coast  
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7 92 of Tanzania, a little-known but potentially important area for cetaceans, with a range of  
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9 93 habitats and threats especially relating to fishing, shipping and exploration for oil and gas.  
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11 94 Prior to this study, 16 cetacean species had been recorded in Tanzanian waters, the majority  
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13 95 odontocetes that are expected to be largely resident, but also humpback whales (*Megaptera*  
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15 96 *novaeangliae*) which are present in Tanzanian waters only from June to November (Amir,  
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17 97 Berggren, & Jiddawi, 2012; Berggren, 2009). Previous cetacean research has concentrated  
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19 98 in south-western Unguja Island on resident coastal dolphins (Christiansen, Lusseau,  
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21 99 Stensland, & Berggren, 2010; Stensland & Berggren, 2007; Temple, Tregenza, Amir,  
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23 100 Jiddawi, & Berggren, 2016), but there is very little information available on cetaceans from  
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25 101 the 800km long coast of the Tanzanian mainland and several other outlying islands.  
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## 33 103 **2. Methods**

### 34 104 2.1. Study Area

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38 105 The Tanzanian coastline is dominated by the warm, nutrient-poor East African Coastal  
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40 106 Current and is subject to two seasonal monsoons, the NE from December to February and  
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42 107 the SE from June to September, these interspersed with calm, rainy periods. The study area  
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44 108 encompassed the entire coastal waters of Tanzania (4-10°S) out to approximately 50km  
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46 109 from the mainland coast, irrespective of depth (Figure 1). It included the Rufiji delta which  
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48 110 is one of the largest estuaries in eastern Africa, oceanic waters more than 1000 m deep in  
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50 111 the Pemba Channel and south of Kilwa, and the islands of Pemba, Unguja, Mafia and  
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52 112 Latham which have considerable fringing reefs and seagrass habitat (Figure 1). Due to  
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4 113 time and logistical constraints, the east coasts of Pemba and Zanzibar were not included in  
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7 114 the study area.  
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## 10 11 116 2.2. Approach

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14 117 One of the biggest challenges to a rapid cetacean assessment is balancing the need to keep  
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17 118 investment of time and resources low, with the use of robust, repeatable methods that  
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19 119 generate sufficient data to draw meaningful conclusions. The approach, detailed in Table 1,  
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21 120 integrates collection of data on cetaceans from visual and acoustic surveys with existing  
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23 121 information from multiple sources to provide low resolution, broad-scale data on cetacean  
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25 122 relative abundance and diversity. To evaluate threats, a largely qualitative assessment of  
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27 123 potential human impact on cetaceans (not absolute risk) was adopted, based on, and  
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29 124 adapted from, the ecological risk assessment framework described by Hobday et al. (2011),  
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31 125 which allows for a spatial comparison and prioritization of potential threats to cetaceans,  
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33 126 across the entire country.  
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43 128 To aid in spatial interpretation and comparison of the results in a way that is useful for  
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45 129 management, the study area was split into five 'Zones', each defined as "*an area with a*  
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47 130 *definable boundary within which the character of habitats, biological communities, and/or*  
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49 131 *management issues have more in common with each other than they do with those in*  
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51 132 *adjacent areas*" (Alliance for Zero Extinction, 2003). The zones, which align  
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53 133 approximately with the Tanzanian coastal provinces, are from north to south: Zone 1 –  
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55 134 Pemba Channel; Zone 2 – Zanzibar Channel; Zone 3 - Dar es Salaam; Zone 4 – Rufiji  
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4 135 Delta; and Zone 5 – Mtwara/Lindi (Figure 1). The entire study area was 37,100 km<sup>2</sup> in  
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6 136 size, with each zone as follows: 1 - 7425 km<sup>2</sup>; 2 - 5749 km<sup>2</sup>; 3 - 5201 km<sup>2</sup>; 4 - 9722 km<sup>2</sup>; 5  
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9 137 - 9003 km<sup>2</sup>.

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### 12 13 14 139 *2.3. Description of Cetacean habitat*

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16 140 The distribution of cetaceans over large-scales is influenced by environmental  
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18 141 characteristics driving the distribution of their prey: primarily depth, and also slope of the  
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20 142 sea bed, sea surface temperature, and chlorophyll-a concentration, although many other  
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22 143 factors might also influence fine scale distribution (Cañadas, Sagarminaga, & García-  
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24 144 Tiscar, 2002; Mannocci et al., 2014; Redfern et al., 2006). Chlorophyll-a and sea surface  
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26 145 temperature (SST) can be highly variable in space and time, whilst depth and slope are  
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28 146 fixed habitat characteristics that do not change from one survey to another. We describe  
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30 147 the available habitat along the coast of Tanzania in terms of depth and slope. Using  
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32 148 General Bathymetric Chart of the Oceans (GEBCO) 2014 Grid data at 30 arc-second  
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34 149 intervals (equivalent in Tanzania to approximately 920m square pixels) and software QGIS  
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36 150 (QGIS Development Team, 2016), the amount (m<sup>2</sup>) and proportion of habitat was  
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38 151 quantified, and presented in the following four depth classes: Very shallow: 0-20;  
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40 152 Shallow: 21-100m; Moderate: 101-800m; and Deep: 801m+, and three slope classes: Flat:  
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42 153 0-2%; Gentle Slope: 2.1-4%; Steep: 4.1-7% which correspond broadly to habitat  
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44 154 preferences of cetaceans known to occur in Tanzania.  
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### 53 54 156 *2.4. Rapid Assessment of Cetacean Communities*

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5 157 2.4.1. Vessel-Based Cetacean Survey

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7 158 A 50-foot catamaran was used as the survey platform. Although aerial surveys quickly  
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9 159 cover large areas they may have a higher species misidentification rate than boat surveys; in  
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11 160 Tanzania vessels are more easily available, are cheaper and safer to operate, allow for  
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13 161 collection of more types of data (e.g. photos, biopsies, behaviour, acoustics, etc.) and also  
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15 162 provide more opportunities for training. The survey was designed to maximize cetacean  
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17 163 detections, by 1) surveying in the calmest month of the year, 2) observing from a high  
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19 164 viewing platform so that the field of view was large, 3) combining a visual survey with a  
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21 165 concurrent acoustic survey, and 4) including experienced observers in the team, as well as  
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23 166 inexperienced researchers undergoing training.  
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29 167 The visual survey was conducted using standard line transect survey methods in closing  
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31 168 mode enabling the data to be used for abundance estimation in the future if additional data  
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33 169 become available (Buckland et al., 2001). Line transects that ran perpendicular to the depth  
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35 170 contours were laid out using the programme DISTANCE (Thomas et al., 2010) resulting in  
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37 171 36 transect lines, spaced 21 km apart, and a combined total of 2500 km of on effort survey  
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39 172 track (Figure 1). Three observers scanned continuously for cetaceans from a platform 4m  
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41 173 above the sea surface, using 7 x 50 Fujinon marine binoculars with an internal compass. A  
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43 174 central observer scanned 45 degrees either side of the trackline, and two observers scanned  
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45 175 from the beam to the track. Observers took 1 hour of rest for every 1.5 hrs of observations  
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47 176 to maintain concentration. Survey effort and sea conditions measured by the Beaufort scale  
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49 177 were logged at 30 minute intervals throughout the day, and when conditions changed.  
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51 178 Surveying was suspended when sea conditions rose above Beaufort 4. The vessel waited at  
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4 179 port for conditions to improve and attempted to cover every transect in good weather  
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7 180 conditions. When cetaceans were first sighted, the vessel's location was recorded using a  
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9 181 GPS, the distance to the group was determined by measuring the angle subtended between  
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11 182 the sighting and the horizon using the binocular's reticules, and the angle to the group  
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13 183 determined using the internal binocular compass. Cetaceans were approached and  
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16 184 photographed, the species identified, and group size recorded with a best, high and low  
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18 185 estimate of numbers.  
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23 187 Coincident to the visual survey, during daylight hours, passive acoustic monitoring (PAM)  
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25 188 using a towed hydrophone array was conducted to detect the echolocation clicks, whistles,  
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27 189 and other vocalizations of cetaceans. This was especially useful to detect elusive species  
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29 190 such as beaked whales (*Ziphiidae*) and other odontocetes that dive to great depths and have  
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31 191 a very short surface interval meaning they were likely to be missed by the visual survey. A  
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33 192 Vanishing Point (<http://vpmarine.co.uk/>) stereo towed hydrophone array was deployed on  
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35 193 100 m of Kevlar strengthened cable. This had a towing depth of 5-10 m depending on  
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37 194 vessel speed which varied from 10-12 km/hr. The array included a high frequency  
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39 195 hydrophone pair that consisted of two Magrec HPO3 hydrophone elements spaced 0.3 m  
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41 196 apart, each comprising a spherical hydrophone ceramic element coupled with a Magrec  
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43 197 HP02 preamplifier with 28 dB of gain and with a low-cut filter set to provide -3 dB at 2  
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45 198 kHz. The streamer section contained a pressure sensor to provide information on tow depth  
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47 199 and was filled with inert oil (Isopar M). A TASCAM DR680 recorder was used to make  
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49 200 continuous 2 channel, 192kHz, 24 bit recordings. A custom SoundTrap 202 High  
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5 201 Frequency self-contained archival acoustic recorder with low flow noise housing  
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7 202 (<http://www.oceaninstruments.co.nz/>) was towed simultaneously from the end of the array.  
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9 203 The device had a frequency range of 20Hz to 238kHz and sampled at 576 kHz so that the  
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11 204 data could be used to detect the high frequency clicks produced by *Kogia* spp. which would  
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14 205 be missed by the lower sample rate on the array. PAMGuard was the software used to  
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16 206 analyse the PAM data (Gillespie et al., 2008).  
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19 207 A multi-stage process was used to detect the echolocation clicks of sperm whales (*Physeter*  
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21 208 *macrocephalus*), beaked whales, and *Kogia* spp. The PAMGuard click detector was used  
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24 209 to extract all transient sounds within frequency bands matching the typical frequency range  
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26 210 for each species' echolocation clicks. Sperm whale clicks are broad band in nature, with  
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28 211 most energy concentrated between 2 and 22 kHz (Mellinger, Thode, & Martinez, 2002),  
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30 212 beaked whales have species-specific frequency modulated (FM) upswept echolocation  
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32 213 clicks with a peak frequency of between 16-70 kHz (Baumann-Pickering et al., 2013;  
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34 214 Johnson, Madsen, Zimmer, Aguilar de Soto, & Tyack, 2004) and *Kogia* spp. produce high  
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36 215 frequency narrow band clicks at frequencies between 100 and 150kHz (Madsen, Carder,  
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38 216 Bedholm, & Ridgway, 2005). Detected transients in each frequency band were then  
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41 217 classified as likely belonging to the target species using a combination of automated  
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43 218 algorithms and manual inspection, based primarily on click length, frequency modulation,  
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45 219 frequency range, and (where possible) directionality of a detected click train. Multiple  
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47 220 consistent transient sounds from a similar direction are more likely to be biological in  
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49 221 origin than random noise and this provides useful additional information during the  
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52 222 classification process. Computer machine learning algorithms are being developed to  
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4 223 automatically identify and classify cetacean whistles to species (Gillespie, Caillat, Gordon,  
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7 224 & White, 2013; Roch et al., 2011). Development of classification algorithms using the  
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9 225 whistles of delphinids from the western Indian Ocean is still in its infancy (Erbs, Elwen, &  
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11 226 Gridley, 2017; Gruden et al., 2016), therefore the process of developing a new classifier  
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13 227 was initiated. Whistles were detected using the PAMGuard Whistle and Moan detector  
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16 228 (Gillespie, et. al, 2013). Acoustic whistle detections that coincided with a visual sighting  
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18 229 with a positive species identification were then used to train the PAMGuard whistle  
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21 230 classifier so that it could be subsequently applied to acoustic detections that were not  
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23 231 accompanied by a visual sighting. Six species were included in the classifier: short-finned  
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25 232 pilot whale (*Globicephala macrorhynchus*), Fraser's dolphin (*Lagenodelphis hosei*), false  
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27 233 killer whale (*Pseudorca crassidens*), pantropical spotted dolphin (*Stenella attenuata*),  
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29 234 spinner dolphin (*Stenella longirostris*) and common bottlenose dolphin (*Tursiops*  
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31 235 *truncatus*). Indo-pacific bottlenose dolphin (*Tursiops aduncus*), Risso's dolphin (*Grampus*  
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33 236 *griseus*) and Indian Ocean humpback dolphin (*Sousa plumbea*) could not be included, even  
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35 237 though they were encountered many times, because they had low whistle rates in recordings  
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37 238 and there were insufficient data to train the classifier.  
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43 239 Cetacean encounter rate (cetacean group detections / 100 km of survey effort in sea  
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45 240 conditions of Beaufort 4 or less, termed 'good' conditions), individual encounter rate  
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47 241 (cetacean individuals detected/ 100km of good survey effort), and relative species richness  
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49 242 (cetacean species / 100 km of survey effort in good survey conditions) were determined for  
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51 243 the entire study area and for each zone using all on-effort visual and acoustic detections  
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53 244 divided by the total amount of good survey effort. All acoustic detections were included in  
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4 245 the calculation of group encounter rate, but as only acoustically detected beaked whales  
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6 246 were identified to species with confidence only these were included in the calculation of  
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9 247 relative species diversity. Encounter rate variance and coefficient of variation (CV) was  
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11 248 determined as described by Buckland et al. (2001).  
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#### 17 250 2.4.2. Existing information and opportunistic data on cetaceans

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19 251 Existing information on cetaceans was collated by: examining museum collections;  
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21 252 identifying cetacean skeletal remains displayed in hotels, scuba-diving centres, and in  
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23 253 coastal communities; searching libraries for published and unpublished information;  
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25 254 gathering cetacean sighting reports from dive centres, sport fishers, tourists, sailors, etc.;  
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27 255 collating sightings from Marine Mammal Observers (MMOs) on seismic survey vessels  
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29 256 and from unpublished coastal dolphin surveys. Records were entered in a database  
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31 257 provided there were good quality supporting photographs to allow verification of the  
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33 258 species. Bottlenose dolphin sightings that could not be identified to species were retained  
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35 259 as *Tursiops* spp. All other records for which the species could not be identified, that did not  
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37 260 have a location of origin or that were outside the study area were excluded. The number of  
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39 261 species present, and the number of records of each species were determined for each zone.  
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#### 46 262 2.5. Rapid Assessment of Threats to Cetaceans

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49 263 The assessment evaluated the threats to cetaceans that are most ubiquitous; temporary or  
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51 264 localized threats (e.g. dolphin tourism, seismic surveys, point sources of pollution) were not  
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53 265 considered. Four primary threats were the focus of the evaluation: 1. cetacean mortality in  
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55 266 fishing gear, which comprised two factors: the bycatch rate and the size of the fleet; 2.  
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4 267 cetacean hunting, consumption or use by humans; 3. shipping related collision risk and  
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6 268 noise disturbance; and 4. dynamite fishing. Data on each potential threat were either  
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8 269 generated for this study (bycatch, dynamite fishing, consumption, hunting) or were  
9  
10 270 compiled using existing information that could act as a proxy (shipping and size of fishing  
11  
12 271 fleet) (Table 1). Each threat was evaluated as described in the sections below.

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17 272 Each of the threats was normalized on a scale of 0-100 based on rates (e.g., boats per km)  
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19 273 with the zone with the highest rate set to 100 and other zones scaled accordingly. An  
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21 274 overall potential threat score for each zone was the sum of these values with higher scores  
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23 275 representing a greater potential for human impact on cetaceans and a lower score indicating  
24  
25 276 lower threat levels. The objective was to assess relative potential risk to cetaceans in  
26  
27 277 relation to two metrics: relative cetacean abundance and relative species richness (number  
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29 278 of species recorded) for each zone sampled. Although the evaluated threats are unlikely to  
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31 279 have equal potential impact and some are likely to interact, in the absence of any  
32  
33 280 information on which to base a weighted or cumulative impact score, we consider them to  
34  
35 281 be of equal potential impact, while acknowledging that this is simplified and may omit  
36  
37 282 differences in the severity of threats.

#### 38 39 40 41 42 43 44 45 284 2.5.1. Cetacean bycatch, hunting and consumption

46  
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48 285 Fisher interviews were conducted to collect information on marine mammal bycatch,  
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50 286 hunting, consumption and use. The rapid bycatch assessment questionnaire developed by  
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52 287 Moore et al. (2010) was used, and interviews were conducted in Swahili, one-on-one with  
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54 288 fishermen at fish landing sites. Gillnet fishers were the primary target of the interviews  
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5 289 because this gear type has by far the highest bycatch rates for marine megafauna globally  
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7 290 and in the Western Indian Ocean (Kiszka et al., 2009). However long-line, purse-seine and  
8  
9 291 hook and line fisheries also kill cetaceans and as a secondary priority smaller numbers of  
10  
11 292 fishers that used these gears were also interviewed. Time and budget allowed for  
12  
13 293 approximately 5% of the mainland fishing fleet to be interviewed (Ministry of Livestock  
14  
15 294 Development and Fisheries, 2010). The target was to collect 15 interviews from each  
16  
17 295 village and two villages in each district. It was not possible to select villages randomly  
18  
19 296 because in many there were no gillnetters. As recommended by Moore et al. (2010) the  
20  
21 297 most experienced fishermen and captains were targeted as they were likely to have most  
22  
23 298 knowledge. Only one fisher per vessel was interviewed, and it was assumed that this  
24  
25 299 provided an estimate of per-boat catch. Illustration cards were shown to help fishers  
26  
27 300 identify species. Marine mammals are legally protected in Tanzania so interviewees were  
28  
29 301 assured anonymity, and questions regarding hunting, catch, use, consumption and sale  
30  
31 302 included questions about how others in near-by communities use marine mammals to  
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33 303 increase the chances of receiving reliable responses.  
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#### 42 305 2.5.2. Dynamite fishing

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45 306 The cetacean acoustic survey described above also recorded 318 blast fishing explosions  
46  
47 307 during a total of 231 hours of recording along the entire Tanzanian coast (see Braulik,  
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49 308 Wittich, et al., 2015 for details of analysis). The blast data were analysed to calculate the  
50  
51 309 number of blasts per hour in each zone.  
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5 311 2.5.3. Shipping related threats  
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7 312 As a broad-scale approximation of the potential for shipping related threats to impact  
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9 313 cetaceans, the total amount of goods brought by ship into ports located within each zone  
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11 314 was used as a proxy (Tanzania Ports Authority, 2015).  
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15 315 3. Results  
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17 316 3.1. *Cetacean Habitat*  
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19  
20 317 The southern portion of the Tanzanian coastline (Zone 5) between Kilwa and Mtwara has a  
21  
22 318 very narrow continental shelf, the depth drops off quickly down to more than 2000m less  
23  
24 319 than 30km from the coast. This zone has the deepest depths and the largest amount of slope  
25  
26 320 habitat; approximately 60% of the area is greater than 800m deep and has a sloping seabed  
27  
28 321 of more than 5° (Figure 1 & 2). Similarly, Zone 3 around Dar es Salaam has a narrow  
29  
30 322 continental shelf, but the majority of the habitat includes intermediate depth primarily  
31  
32 323 ranging from 100-800m. In contrast, the Zanzibar channel (Zone 2) is almost exclusively  
33  
34 324 shallow water, with 94% of the habitat less than 100m deep with a relatively flat bottom.  
35  
36 325 In the Rufiji Delta and Mafia (Zone 4) approximately 70% of the habitat is less than 100m  
37  
38 326 deep, but there are also some deeper zones east and south of Mafia Island. Finally, the  
39  
40 327 Pemba Channel (Zone 1) is intermediate in terms of depth and slope habitat; approximately  
41  
42 328 30% of the area is less than 100m deep and the remaining 70% is between 100-800m.  
43  
44 329 Thirty percent of this zone including slopes >5°. A notable feature of the Pemba Channel is  
45  
46 330 that it has a rapid consistent northward flowing current and is shaped like a trough,  
47  
48 331 somewhat similar to a submarine canyon with steep drop offs from 50-700m on either side  
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50 332 separated by approximately 40km (Figure 1 & 2).  
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4 333 3.2. *Rapid Assessment of Cetacean Communities*

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7 334 3.2.1. Vessel-based cetacean survey

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10 335 Over 34 days in March and April 2015, 2616 km of visual boat-based survey effort was  
11  
12 336 conducted. Weather was acceptable for the majority of the survey; 90.5% (2368 km) was in  
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14 337 sea conditions of Beaufort 4 or less, and 75.5% (1974km) in Beaufort 3 or less. Sighting  
15  
16 338 rates in Beaufort 4 (1.5 groups/ 100km) were less than half those in Beaufort 1 (3.7 groups/  
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18 339 100km). The towed acoustic array was deployed during 32 survey days collecting 216h of  
19  
20 340 recordings, and the SoundTrap data totalled 237h of recordings.

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23  
24 341 In total, 75 marine mammal groups of 11 species were sighted (Table 2). Most acoustic  
25  
26 342 detections coincided with visual encounters. However, 11 groups of delphinids and five  
27  
28 343 groups of ziphiids identified in the acoustic data had no associated visual sighting. This  
29  
30 344 takes the total combined number of cetacean groups detected during the survey using both  
31  
32 345 visual and acoustic methods to 91 (Table 2). The cetacean community was mostly  
33  
34 346 composed of delphinids, but also included several large odontocetes, including beaked  
35  
36 347 whales (Ziphiidae) and the short-finned pilot whale (*Globicephala macrorhynchus*). The  
37  
38 348 most frequently encountered species was the spinner dolphin, followed by Risso's dolphin,  
39  
40 349 Indo-Pacific bottlenose and common bottlenose dolphins. Indian Ocean humpback dolphins  
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42 350 were sighted in shallow near-shore waters less than 30m deep close to Kilwa and along the  
43  
44 351 mainland coast of the Zanzibar Channel. One mixed species group of short-finned pilot  
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46 352 whales with Fraser's dolphins (*Lagenodelphis hosei*), and several of Indo-Pacific bottlenose  
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48 353 dolphins with Indian Ocean humpback dolphins were observed (Table 2). A single sighting  
49  
50 354 of two dugongs (*Dugong dugon*) was made north of Mafia Island.  
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5 355 Of the 75 visually detected groups, 12 were made when the acoustic array was not  
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7 356 deployed. Of the remaining 63 sightings, all but four groups were also detected  
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9 357 acoustically. A total of 19 groups consisting primarily of Risso's dolphins, Indian Ocean  
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11 358 bottlenose dolphins, and unidentified species, were detected acoustically based only on  
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13  
14 359 clicks, with no whistles recorded. There were five acoustic detections of beaked whales  
15  
16 360 which did not have an associated visual sighting. The peak click frequency for each was  
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18  
19 361 between 31 and 35 kHz which is consistent with identification as Blainville's beaked whale  
20  
21 362 (Johnson, Madsen, Zimmer, de Soto, & Tyack, 2006). One detection had a secondary peak  
22  
23 363 at 40kHz, therefore this was identified as a probable Blainville's beaked whale but it is  
24  
25 364 possible it was a Cuvier's beaked whale (*Ziphius cavirostris*) (Baumann-Pickering et al.,  
26  
27 365 2013), or another species whose vocalizations have yet to be characterized (Table 2).  
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31 366 Restricting data to sea conditions of Beaufort 4 or less resulted in 2368 km of effort and the  
32  
33 367 inclusion of 77 sightings (63 visual, and 14 acoustic). The proportion of survey effort  
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35 368 conducted in different sea conditions was similar in all zones, but weather was slightly  
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37 369 better than average in Zones 2 and 3, and slightly poorer in Zone 5 (Figure 2). By far the  
38  
39 370 highest number of species (nine), and relative species diversity were recorded in Zone 1 –  
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41 371 the Pemba Channel, and this area also had high group encounter rate and the highest  
42  
43 372 individual encounter rate (Table 3; Figure 3). Low species encounter rates, number of  
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45 373 species and relative abundance were recorded in Zone 2, the Zanzibar Channel, where only  
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47 374 small groups of Indo-Pacific bottlenose and Indian Ocean humpback dolphins were  
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49 375 recorded. Very few cetaceans were encountered in Zone 4 – Mafia / Rufiji. Zone 5 –  
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51 376 Mtwara/Lindi had the highest group encounter rate of any zone, but relatively low diversity  
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4 377 indices with sightings dominated by spinner and Risso's dolphins. Differences between  
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6 378 zones are accentuated when individual encounter rates are compared, because the two  
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9 379 zones with the highest group encounter rates (1 & 5) also had large numbers of spinner  
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11 380 dolphins that occur in large groups (Table 3). The two most commonly encountered  
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14 381 cetacean species were different in every zone (Table 3). To investigate whether changing  
15  
16 382 our definition of good survey conditions would have changed these results, we examined  
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18 383 encounter rates by zone over a range of sea states (Figure 4). Irrespective of sea conditions,  
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21 384 the same two zone (1 and 5) had the highest encounter rates, and the same two zones (3 and  
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23 385 4) had comparatively lower encounter rates.

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26 386 The mean classification rate of the whistle classifier was 60%. This is lower than some other  
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28 387 comparable studies e.g. (Erbs et al., 2017), likely driven by the low sample sizes in the training  
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30 388 datasets. However, for initial assessment purposes it was acceptable. Classification accuracy was  
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33 389 highest for false killer whales (87.5%) and spinner dolphins (69.2%). It performed poorly  
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35 390 for pilot whales (38.0%) and pantropical spotted dolphins (36.8%) and intermediate for the  
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37  
38 391 remaining two species. Insufficient whistles were recorded from any of the unidentified  
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40 392 visual sightings to enable their input into the whistle classifier. There were 11 acoustic  
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42 393 detections that had no accompanying visual sighting; of these, eight were classified as  
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44 394 spinner dolphins, and the remaining three were classified as two species or as one of the  
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46 395 species for which the classifier performed poorly. Because of the low certainty of the  
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48 396 resulting species classifications, all acoustic only delphinid whistle detections were  
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50 397 included in analysis as unidentified species.

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55 398 3.2.2. Existing information and opportunistic data on cetaceans  
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4 399 In total, 406 records of marine mammal sightings, strandings, and skeletal material were  
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6 400 compiled, comprising 20 stranded animals, 43 skeletal remains and 339 live sightings.  
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9 401 Fourteen species were represented in the data, but 80% of the records were of five species:  
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11 402 • Spinner dolphin (n=126; 31% of all records)  
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13 403 • Humpback whale (n=61; 15%)  
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15 404 • Indo-Pacific bottlenose dolphin (n=56; 14%)  
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17 405 • Indian Ocean humpback dolphin (n=41; 10%);  
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19 406 • Risso's dolphin (n=35; 9%).  
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23  
24 407 Three species in the qualitative data had not been seen during the vessel-based survey.  
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26 408 These were common dolphin (*Delphinus delphis*) and dwarf sperm whale (*Kogia sima*)  
27  
28 409 both recorded in the Pemba Channel. Humpback whales were absent from Tanzania at the  
29  
30 410 time of the boat survey but were documented from every zone in the qualitative data. This  
31  
32 411 takes the total number of documented species in the entire assessment to twelve. Once  
33  
34 412 humpback whales are removed from the data, the species with the largest number of  
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36 413 qualitative records in each zone, is the same as the species most frequently encountered  
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38 414 during the boat-based survey (Table 3).  
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### 46 3.3. Rapid assessment of threats to cetaceans

#### 47 3.3.1. Cetacean bycatch

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52 418 In total, 573 interviews were conducted, comprising 296 interviews from 31 villages in all  
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54 419 four regions of the Tanzanian mainland coast and 277 interviews from 12 villages in Pemba  
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56 420 and Unguja (Figure 1). By zone the number of interviews were as follows: 1 - 270; 2 - 147;  
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4 421 3 - 22; 4 - 78; 5 - 56. The average age of respondents was 43 years (SD=13), and was  
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6 422 similar (ranging between 37 and 45 years) in all regions. A total of 71% (n=407) of  
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8 423 interviews were with fishermen who used gillnets as their primary gear type and another  
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10 424 10% used gillnets as their secondary gear type. Remaining interviews were with hook and  
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12 425 line (11%, n=66), purse-seine (8%, n=47), longline (5%, n=27), trap (4%, n=21) and  
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14 426 octopus spear fishers (1%, n=5). Of those interviewed, 95% were full-time fishers, and just  
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16 427 over half also had another source of income with agriculture the most common (37%). Boat  
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18 428 captains constituted 63% of respondents, while the remaining 37% were crew. Outboard  
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20 429 motors were present on 29% of the boats used by interviewees, and the remaining 71%  
21  
22 430 were oar or sail powered.

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24 431 Close to two-thirds of fishers (59%) believed that there was only one type of dolphin in  
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26 432 Tanzania. Due to uncertainty in species identification by fishermen an overall cetacean  
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28 433 bycatch rate is provided rather than species specific rates.

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30 434 A total of 17.4% of gillnetters reported that they had caught dolphins in the last calendar  
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32 435 year. Based on this an estimated national bycatch rate of 0.17 dolphins / gillnet boat / year  
33  
34 436 was calculated. The zone with the highest reported bycatch rate was Zone 1 - Pemba  
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36 437 Channel, with 0.24 dolphins / gillnet boat / year, almost five times higher than the lowest  
37  
38 438 reported rates in Zones 3 and 4, Dar es Salaam and Mafia/Rufiji, which were 0.05 and 0.04  
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40 439 dolphins / boat / year, respectively. In general, the bycatch rate on the islands of Pemba  
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42 440 and Unguja, collectively 0.24 dolphins / gillnet boat / year, was two and half times greater  
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44 441 than from the mainland Tanzania coast (0.10 dolphins / gillnet boat / year). Because few  
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46 442 interviews were conducted with fishers that use gear other than gillnets we note only that  
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4 443 these limited data suggest that cetacean bycatch rates in purse-seines, longlines and with  
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7 444 hook and line were much lower than gillnets.  
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### 10 445 3.3.2. Cetacean hunting, consumption and use

11  
12 446 Fishers were asked during interviews the fate of dolphins that were caught in a fishing net.  
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14 447 Perhaps reflecting reluctance at admitting knowledge about an illegal activity, 50% of  
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16  
17 448 respondents did not answer the question. Of the remainder who did answer, 43% said the  
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19  
20 449 animals were either released alive or discarded dead, 37% said that dolphins were eaten,  
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22 450 14% that they were used as bait for sharks in the longline fishery, and 4% that the flesh was  
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25 451 rotted and the oil then used as a wood preservative for boats. The proportion of fishers that  
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27 452 reported eating dolphins was highest on Pemba (46%) and in Zone 4 Mafia/Rufiji (50%).  
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29 453 Of a total of 55 fish vendors interviewed, only one, who was from Ziwani on Pemba,  
30  
31 454 asserted that he had sold dolphin meat in the market recently. The meat was only rarely  
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34 455 available and was sold for the comparatively small sum of \$7.5-\$10 / whole dolphin. No  
35  
36 456 definitive evidence that dolphins were directly hunted was obtained.  
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### 39 457 3.3.3. Dynamite Fishing

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42 458 Zone 3 – Dar es Salaam had an average blast fishing rate of 5.3 explosions / hour, which is  
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45 459 approximately seven times higher than anywhere else along the Tanzanian coast. With an  
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47 460 average of 1.4 blasts / hour, Zone 2 – Zanzibar Channel was the second most greatly  
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49  
50 461 impacted zone, and all other areas blast rate was relatively low (Braulik, Wittich, et al.,  
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52 462 2015).  
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### 54 55 463 3.3.4. Shipping

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4 464 Dar es Salaam is by far the biggest port in Tanzania; in 2014, it was visited by just over  
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6 465 1000 ships, and handled 93% of the country's ocean cargo traffic: approximately 14.3  
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8 466 million tons (Tanzania Ports Authority, 2015). Ships typically approach from the wider  
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10 467 Indian Ocean and do not travel extensively along the Tanzanian coast, therefore Zone 3 -  
11  
12 468 Dar es Salaam is likely to be most extensively affected by shipping related noise and  
13  
14 469 disturbance. Much smaller ports in Tanga and Mtwara each handle about 0.36 Million tons  
15  
16 470 per year (~2.4% each of the national total) and Zanzibar 0.15 Million tons per year  
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18 471 (Zanzibar Ports Corporation). One of the busiest high-speed ferry routes in East Africa runs  
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20 472 between Zanzibar and Dar es Salaam, therefore disturbance, underwater noise and the  
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22 473 potential for marine mammal - ship strikes is moderate in Zone 2.  
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#### 28 474 3.3.5. Overall threat evaluation

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31 475 Information on dolphin hunting and consumption was equivocal and so this potential threat  
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33 476 was not included in the overall score. The zone with the highest overall potential threats to  
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35 477 cetaceans was assessed to be Zone 3 – Dar es Salaam which is influenced by the major port  
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37 478 as well by the very high prevalence of blast fishing (Table 4; Figure 5). By contrast  
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39 479 cetaceans in Zone 1, the Pemba Channel are also evaluated as being under higher potential  
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41 480 threat than other areas, but here they are subject to fisheries related impacts with higher  
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43 481 estimated dolphin bycatch rates and total number of gillnets.  
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## 48 482 4. Discussion

### 49 483 4.1. Conservation Priorities for Cetaceans in Tanzania

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53 484 This assessment demonstrated considerable cetacean diversity in Tanzania as well as  
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55 485 substantial variation in cetacean relative abundance and diversity along the coast. Three  
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4 486 new mammal records; Blainville's beaked whale, dwarf sperm whale and common dolphin  
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7 487 (seen in the wild by GTB & MK and verified with good quality photographs) were  
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9 488 documented taking the total number of cetaceans confirmed in Tanzania from 16 (Amir et  
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11 489 al., 2012) to 19. Cetacean abundance indices were highest in the two deepest parts of the  
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13 490 coast (Zone 1 - Pemba Channel and Zone 5 - Mtwara), almost double those of the shallower  
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15 491 areas (Zone 2 and 4). Higher diversity and abundance of cetaceans in areas with a greater  
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17 492 variety of depth and slope habitat is typical and is related to increased mixing of nutrient  
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19 493 rich waters which increases productivity and prey availability (Cañadas et al., 2002;  
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21 494 Hooker, Whitehead, & Gowans, 1999).

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26 495 Based on this study, with high relative cetacean abundance and diversity, we consider the  
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28 496 Pemba Channel (Zone 1) in the north of Tanzania to be the most important area for  
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30 497 cetaceans nationally. A total of 16 of the 19 cetacean species known to occur in Tanzania  
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32 498 have been documented from this location, including the endangered Indian Ocean  
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34 499 humpback dolphin. The channel between the Tanzanian mainland and Pemba island is only  
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36 500 50km wide but it is 1000m deep, and has bathymetric features similar to submarine  
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38 501 canyons which are well known as important areas for cetaceans (Moors-Murphy, 2014).  
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41 502 There is a fast (0.5-3m/s) north-flowing current, and the turbulence and vertical mixing that  
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43 503 occurs along the margins of the channel create nutrient-rich conditions (Barlow et al., 2011;  
44  
45 504 Mahongo & Shaghude, 2014). This type of mixing, which is common adjacent to tropical  
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47 505 islands, can provide oases of biodiversity in otherwise nutrient-poor tropical oceans  
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49 506 (Kiszka, Ersts, & Ridoux, 2010). The Pemba Channel was recently identified as an  
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51 507 Ecologically and Biologically Significant Area (EBSA) by the Convention on Biological  
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4 508 Diversity (2013) and it is renowned for catches of large pelagic fish (Hemphill, 1995). Even  
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7 509 though there are marine protected areas (MPAs) along the Tanga and Pemba coastlines, our  
8  
9 510 assessment suggests that the Pemba Channel is also subject to relatively high levels of  
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11 511 potential threat, from bycatch in fishing gear and dynamite fishing. Therefore, from the  
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13 512 perspective of cetaceans we conclude that this location is the priority for future research  
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15 513 and conservation (Figure 5).  
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19 514 The Rufiji delta (Zone 4) is one of the largest estuaries and mangrove stands on the east  
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21 515 coast of Africa, and harbours the only remaining population of dugong in Tanzania (Muir,  
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23 516 Sallema, Abdallah, De Luca, & Davenport, 2003), whale sharks (*Rhincodon typus*) (Cagua  
24  
25 517 et al., 2015) and large numbers of nesting sea turtles (Bourjea, Nel, Jiddawi, Koonjul, &  
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27 518 Bianchi, 2008). The same issues, principally fisheries bycatch, threaten all these  
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29 519 endangered species and conservation actions on behalf of one are likely to benefit all.  
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33  
34 520 Mtwara/Lindi (Zone 5) in southern Tanzania is the least developed part of the country's  
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36 521 coastline. It was evaluated as the area with the lowest relative potential threat to cetaceans,  
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38 522 and the area of highest cetacean relative abundance. Cetacean communities recorded were  
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40 523 dominated by spinner and Risso's dolphins, both species that preferentially occur on the  
41  
42 524 margins of the continental shelf (Jefferson et al., 2014; Perrin, 2009). This area is a focus  
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44 525 of exploration and extraction of oil and gas and, given the high relative abundance of  
45  
46 526 cetaceans and the presence of species that are known to be sensitive to anthropogenic  
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48 527 sound, such as beaked whales and also humpback whales, it is important that potential  
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50 528 impacts of these activities be carefully evaluated and mitigated (Cerchio, Strindberg,  
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52 529 Collins, Bennett, & Rosenbaum, 2014; Southall et al., 2009).  
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4 530 It is important to note that the east coast of Pemba and Zanzibar, and offshore waters  
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7 531 beyond 50km from the mainland coast, were not included in the study area and it is  
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9 532 probable that additional areas within Tanzanian waters that were not surveyed may be  
10  
11 533 important for marine mammals. Two areas that are high priority to investigate because of  
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13 534 potential small-scale upwelling are the east coast of Pemba and the sea mount located due  
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15 535 east of Pemba in 2000m of water (Mahongo & Shaghude, 2014).  
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19 536 Indian Ocean humpback and Indo-pacific bottlenose dolphins occur predominantly in  
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21 537 shallow coastal areas. This near-shore distribution places them in the marine waters most  
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23 538 heavily utilized by humans (Keith, Atkins, Johnson, & Karczmarski, 2013; Stensland,  
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25 539 Carlen, Sarnblad, Bignert, & Berggren, 2006). Throughout their range, both species are  
26  
27 540 threatened by bycatch in fishing gear, coastal development and pollution, and in Tanzania  
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29 541 they are also exposed to the noise and physical threat of dynamite fishing. The Indian  
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31 542 Ocean humpback dolphin which has the most near-shore distribution of the two, is thought  
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33 543 to be the most threatened cetacean in the region (Braulik, Findlay, Cerchio, & Baldwin,  
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35 544 2015). Indian Ocean humpback dolphins appear to have a discontinuous distribution along  
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37 545 the Tanzanian coast with concentrations in large shallow areas, including on both sides of  
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39 546 the Zanzibar and Pemba channels (Zone 1 and 2), and in the Rufiji Delta (Zone 4).  
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41 547 Although they may occur along the 200km stretch of coast between Kilwa and Mtwara, no  
42  
43 548 evidence of their presence was found during surveys and the available shallow habitat  
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45 549 along that exposed coastline is extremely limited. As one of the most threatened marine  
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47 550 megafauna species regionally, conservation of Indian Ocean humpback dolphins should be  
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49 551 a national priority. Humpback whales are present in Tanzania in considerable numbers  
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4 552 from June to November, but they are also regularly entangled in drift gillnets (Amir et al.,  
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7 553 2012). All three of these cetacean species are potentially under pressure from fisheries  
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9 554 bycatch and habitat degradation, and it is important to generate information on areas of  
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11 555 concentration, residency, movement and connectivity, as well as abundance, in order that  
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13 556 key areas may be identified and protected. It is important to note that there can be  
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16 557 considerable population structure within cetaceans, and potentially other more pelagic  
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18 558 species in Tanzania may well also be under threat. For example, in Hawaii, which is not  
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21 559 dissimilar tropical habitat to Tanzania, long-term research showed that there were small,  
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23 560 demographically isolated, island associated populations of false killer whales that were  
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25 561 declining rapidly due to bycatch in the longline fishery (Reeves, Leatherwood, & Baird,  
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27 562 2009).  
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30 563  
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33 564 Fishing is the single largest threat to cetaceans worldwide with around 300,000 estimated  
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35 565 cetacean mortalities per year in fishing nets (Read, 2008). Fisheries interactions are also  
36  
37 566 likely to be the largest threat to cetaceans in Tanzania, with negative impacts arising from  
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39 567 direct entanglement, hooking on longlines, as well as potential disturbance and injury from  
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41 568 fishing with explosives (Kiszka et al., 2009). Marine fisheries operating in the study area in  
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43 569 Tanzania are artisanal and near-shore. They use a variety of gears to target multiple species  
44  
45 570 and the distinction between target and bycatch species is vague, especially as captured  
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47 571 dolphins can be utilized in many ways including as food, bait and oil. Cetaceans are legally  
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49 572 protected in Tanzania although this is rarely enforced and fines seldom imposed. Some  
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51 573 fishers did appear to express reluctance in discussing use of cetaceans, which may mean  
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53 574 that our calculated bycatch level has been underestimated. However, if biases are uniform  
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4 575 across the country, the comparative levels of bycatch threat by zone should still be valid.  
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7 576 The interview-based bycatch rates reported here were similar to those reported from  
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9 577 Zanzibar in 1999 (0.46 dolphins/boat/year) (Amir, Berggren, & Jiddawi, 2002). The  
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11 578 dolphin capture rates per boat are not high, however, there are estimated to be over 16,000  
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13 579 fishing vessels in the country and gillnets constitute about 35% of documented fishing gear  
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15 580 (Ministry of Livestock Development and Fisheries, 2010; Zanzibar Ministry of Livestock  
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17 581 and Fisheries, 2010), so the total number of dolphins captured in Tanzania is likely to be  
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19 582 considerable each year. As would be expected there is a loose correlation between the  
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21 583 recorded cetacean relative abundance in each zone and bycatch rate, with higher bycatch  
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23 584 rates recorded in areas with higher cetacean relative abundance. To know if these rates are  
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25 585 causing cetacean population declines it is necessary to understand the size of populations;  
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27 586 this information is currently lacking from most places in east Africa. However because  
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29 587 dolphins reproduce slowly, populations generally cannot sustain mortality rates greater than  
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31 588 a few percent of population size, and especially for small, coastal cetacean populations in  
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33 589 heavily fished areas mortality rates are frequently unsustainable (Read, 2008). This was  
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35 590 demonstrated during on-board observer programmes in Zanzibar, which estimated that  
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37 591 9.6% of the estimated population of Indo-Pacific bottlenose dolphins and 6.3% of Indian  
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39 592 Ocean humpback dolphins were taken as bycatch annually, rates which were unsustainable  
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41 593 based on abundance estimates for both species (Amir, 2010). Further investigation of  
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43 594 bycatch is a priority, focusing on understanding the effects of gear type and habitat on  
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45 595 capture levels, placing bycatch rates in context by estimating abundance of the most  
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47 596 frequently caught cetacean species and ultimately developing, implementing and  
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49 597 monitoring mitigation strategies.  
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599 Many uses of accidentally captured dolphins in Tanzania were identified, including  
600 consumption, but little commercial sale of the meat. Cetacean consumption is increasing  
601 worldwide, and can quickly shift from occasional consumption of accidentally entangled  
602 animals to intentional targeting and hunting (Cosentino & Fisher, 2016). Pemba is the  
603 main location where dolphins are known to be sold and regularly consumed and monitoring  
604 of fish markets may reveal that consumption and sale is more common than suggested by  
605 our interviews.

606 Tanzania is the only country in the Western Indian Ocean where fishing with explosives  
607 has been widely practised for more than 50 years (Wells, 2009). The sound from a single  
608 blast can travel up to 50km from the source. With more than 70 blasts/day in some areas,  
609 this represents considerable additional noise in the ocean (Braulik, Wittich, et al., 2015).  
610 The majority of blast fishing occurs in coastal waters in the habitat of Indian Ocean  
611 humpback and Indo-Pacific bottlenose dolphins and these species will be impacted to the  
612 greatest extent, with effects ranging from abandoning heavily dynamited habitats, lost  
613 feeding, socializing or resting opportunities, as well as the potential for physical injury  
614 (including impaired hearing) and death at short range (McGregor, Horn, Leonard, &  
615 Thomsen, 2013). Blast fishing negatively impacts many aspects of the marine  
616 environment; it is complex to combat, but a high priority to prevent.

617 4.2. Advantages and Disadvantages of Cetacean Rapid Assessment

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4 618 Marine mammals are much less well understood than their terrestrial mammal counter-  
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7 619 parts, whilst the level of threat they face is believed to be just as high (Schipper et al.,  
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9 620 2008). The number of species threatened with extinction far outstrips available  
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11 621 conservation resources, which places a premium on prioritization and the importance of  
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13 622 identifying and protecting 'biodiversity hotspots' (Myers, Mittermeier, Mittermeier, da  
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16 623 Fonseca, & Kent, 2000). This rapid assessment is a useful and flexible approach to quickly  
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18 624 evaluate large areas of coastline and draw general conclusions about cetacean communities,  
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21 625 habitat and threats. This type of broad-scale, level-one rapid investigation which generates  
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23 626 community rather than species-specific information is appropriate because, from a  
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26 627 management perspective, while there are nuances in species-specific vulnerability,  
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28 628 essentially the same threats (e.g. fishing, underwater noise, habitat degradation, etc.) impact  
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31 629 all cetaceans to some degree, and therefore effective mitigation actions are likely to be very  
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33 630 similar for all species. In addition, using cetacean community encounter rates is a  
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36 631 quantitative metric that requires a much lower input of survey effort than generation of  
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38 632 species specific abundance estimates.

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42 634 Using simple cetacean community encounter rates without accounting for variation in  
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45 635 detection probabilities among species in different surveying conditions, even when poor  
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47 636 weather is excluded, could introduce bias. Generally speaking, such encounter rates will be  
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50 637 dominated by species that are more visible, occur in larger groups and spend longer at the  
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52 638 surface. In addition, the impact on detection probability of the combined effects of wind  
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55 639 and swell are more apparent further from shore and in deep water. Thus, surveys in zones  
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57 640 with different habitats may be affected differentially by variable survey conditions.

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5 641 However, our results were consistent regardless of sea conditions (Figure 4). The  
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7 642 combination of a visual survey with an acoustic survey likely contributed to this  
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9 643 consistency, and it is important that future rapid assessments consider using acoustic  
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11 644 technology as well as visual surveys to minimise the impact of variable sea conditions on  
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13 645 cetacean community encounter rates, as well as comprehensively exploring the impact of  
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15 646 survey conditions on the conclusions.  
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21 648 In this survey, we were fortunate that cetacean encounter rates were relatively high  
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23 649 generating sufficient data to draw broad conclusions. The ratio of distance surveyed in good  
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25 650 conditions to area was between 0.06-0.07 in every zone. This level of effort relative to area  
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27 651 worked well in the current assessment and could be used as a starting point when planning  
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29 652 future rapid assessments. However, in areas with lower encounter rates, or consistently  
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31 653 poor weather, a rapid assessment of cetaceans would be more challenging and may not  
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33 654 generate enough information to draw any meaningful conclusions without expending  
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35 655 considerably more survey effort.  
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42 657 A rapid assessment, as with almost all surveys, can confirm species presence, but it cannot  
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44 658 confirm species absence. A single survey will not capture temporal shifts in species  
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46 659 distribution and migratory species not present would also not be detected (e.g. in this  
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48 660 instance humpback whales but also possibly other seasonal species). Some rare and  
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50 661 uncommon species, arguably amongst the most important from a conservation perspective,  
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52 662 will also not have been documented. For example, humpback dolphins were not seen in  
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54 663 Zone 1 – Pemba, during this rapid assessment but were frequently observed during more  
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4 664 intensive coastal surveys along both the Tanga and Pemba coasts. The use of a large  
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6 665 seaworthy catamaran enabled the survey to safely navigate offshore waters which was  
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9 666 important, but because of its draft we were restricted for safety reasons in our ability to  
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11 667 survey shallow areas <20m deep which is where the majority of humpback dolphins are  
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13 668 found (Braulik, Findlay, et al., 2015). In areas with extensive shallows future rapid  
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15 669 assessments could deploy two different survey platforms, a larger seaworthy vessel for  
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17 670 offshore areas and a smaller shallower draft vessel for near-shore areas.

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21 671 Care must be taken in the designation of zones for presenting the data. In this instance, it  
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23 672 worked well to split the study area into five zones based on depth, which also  
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25 673 coincidentally broadly matched the provincial boundaries, providing a biological and a  
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27 674 political rationale for presenting the information. In other applications, it will be equally  
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29 675 important to select zones based on habitat because this will influence the cetacean  
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31 676 community that is present.

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38 678 The evaluation of threats in a rapid assessment is necessarily relatively superficial but to  
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40 679 evaluate threats comprehensively is complex. For example, Crain, Kroeker, & Halpern  
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42 680 (2008) found that of the cumulative effects of multiple stressors in the marine environment,  
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44 681 26% were additive, 36% synergistic, and 38% antagonistic. The evaluation of potential  
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46 682 threats conducted here using coarse scale qualitative data provided a general indication of  
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48 683 the important issues, and their relative intensity. To develop effective mitigation of threats,  
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50 684 which can be complex and interacting, will require more in-depth studies.  
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5 685 Whether completing a cetacean rapid assessment in one year is sufficiently quick for it to  
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7 686 be accurately termed 'rapid' depends upon your perspective. In comparison to some of the  
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9 687 targeted terrestrial or coral reef rapid assessments that may be completed in a matter of  
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11 688 months, this is slow, but given the large geographic scope and compared to the majority of  
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13 689 other marine mammal studies it can be considered rapid.

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16 690 A strength of this approach is that it can be adapted to the local situation, particularly  
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18 691 regarding the use of different types of opportunistic data. In this assessment, very little  
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20 692 historical information was found in the literature, but a large quantity of data were  
21  
22 693 compiled from the dive and sport fishing operators. In other countries, the most useful  
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24 694 sources of historical or opportunistic data will vary, but it is important that all possible  
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26 695 avenues are explored. A rapid assessment is an initial investigation and it is important that  
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28 696 it is not seen as the end point or its results over interpreted. It is hoped that initial studies  
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30 697 such as these will act as a catalyst for more intensive targeted work that generates more  
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32 698 detailed species-specific information to capture temporal changes in distribution and  
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34 699 estimate abundance.

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37 700 Despite the many caveats noted above, there is a place for rapid assessment of cetaceans as  
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39 701 a tool to provide important initial information about the marine environment and the threats  
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41 702 to species. This is a useful approach to quickly provide broad-scale information on relative  
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43 703 occurrence of common cetacean species across large data deficient areas that can be used to  
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45 704 target research needs and guide the development of management priorities.

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48 705 Generating robust baseline data on marine mammal communities and threats at a wide  
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50 706 spatial scale is a critical first step to identifying and prioritizing species and locations that

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4 707 require urgent conservation action. This kind of information is vital to enable cetaceans to  
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7 708 be included in global and regional initiatives to identify important biodiversity areas, such  
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9 709 as EBSAs, Important Marine Mammal Areas (IMMAs), Key Biodiversity Areas (KBAs)  
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11 710 and MPAs and, similarly, to feed into the environmental impact assessment (EIA) process.  
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14 711 It can also be a useful first activity for researchers entering a new, unknown area, to  
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16 712 identify where to focus future intensive research. The baseline marine ecological  
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18 713 information generated is increasingly required by governments as they seek to meet the  
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20 714 target to protect 10% of their waters by 2020, and to manage and reduce the impact of  
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22 715 burgeoning development, disturbance and use of the oceans.  
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49 727 WCS.  
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## 54 55 728 6. References

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932 Table 1 - Summary of methods used to generate information on cetacean community  
 933 structure and threats in Tanzania

Objective	Methods or Approach	Metric
Describe Cetacean Communities	1. <u>Cetacean Survey</u> – a single boat-based visual and acoustic cetacean survey conducted during optimum weather window using line transect methods. 2. <u>Collation of existing/historical information</u> – from fishers, experts, published and unpublished information, museum records, citizen science etc.	Species presence  Index of relative abundance  Index of diversity  Presence of threatened species
Evaluate potential threats	<u>General Approach</u> 1. Identify potential threats based on existing knowledge 2. Gather semi-quantitative information to illustrate potential for key threats to impact cetaceans throughout the study area (for specific details, see below) 3. Evaluate each risk spatially, rank and assign a score between 0 and 100 according to relative risk (see Table 4). 4. Assess the spatial overlap between cetaceans and potential threats to identify priority areas for conservation (see Figure 5).	
	<u>Evaluation of potential threats by zone</u>	

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5	1. Determine cetacean bycatch rates using	Number of dolphins killed /
6	fisher questionnaire surveys	year / per gillnet boat
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9	2. Document total number of gillnetters	Total number of gillnets
10	recorded in national fisheries surveys	
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14	3. Evaluate relative levels of port and ship	Number of tons of goods
15	related noise, disturbance, pollution,	brought to each port by ship /
16	and potential for ship strikes from port	year
17	authority records	
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21	4. Investigate presence of dolphin hunting,	Proportion of fishers
22	consumption and use of cetaceans	interviewed that claim that
23	through fisher interviews	dolphins are hunted, eaten or
24		sold in the market.
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33	5. Analyse acoustic survey to quantify	Mean number of blasts / hr
34	incidence of blast fishing	
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936 Table 2 - Species and number of groups of marine mammals detected visually and  
 937 acoustically during a March-April 2015 survey of the Tanzania coast

Rank	Species	No. of Groups detected (Visual + only Acoustic)	Red List Status (IUCN, 2015)	Mean Depth m (min-max)
1	Spinner dolphin ( <i>Stenella longirostris</i> )	17	DD	457 (71-1100)
2	Risso's dolphin ( <i>Grampus griseus</i> )	14	LC	955 (370-2600)
3	Indo-Pacific bottlenose dolphin ( <i>Tursiops aduncus</i> )	14	DD	37 (10-73)
4	Blainville's beaked whale ( <i>Mesoplodon densirostris</i> )	1+5 <sup>a</sup>	DD	597 (400-1050)
5	Common bottlenose dolphin ( <i>Tursiops truncatus</i> )	5	LC	464 (318-439)
6	Pantropical spotted dolphin ( <i>Stenella attenuata</i> )	4	LC	1650 (700-2600)
7	Indian Ocean humpback dolphin ( <i>Sousa</i> )	4	EN	18

	<i>plumbea</i> )			(5-40)
8	Short-finned pilot whale ( <i>Globicephala</i>	2	DD	700
	<i>macrorhynchus</i> )			
9	Fraser's dolphin ( <i>Lagenodelphis hosei</i> )	1	LC	700
10	False killer whale ( <i>Pseudorca crassidens</i> )	1	DD	400
11	Dugong ( <i>Dugong dugon</i> )	1	VU	4
	Unidentified	11+11 <sup>b</sup>		-
	<b>Total</b>	<b>91</b>		

938 <sup>a</sup> One acoustic beaked whale detection included here may have been Blainville's or

939 Cuvier's beaked whale

940 <sup>b</sup> Eight of the acoustic detections included here as unidentified were assigned to spinner

941 dolphins by the whistle classifier which has a ~70% likelihood of being the correct

942 identification.

943 Table 3 - Summary of marine mammals recorded during visual and acoustic survey of the coast of Tanzania

Zone	Survey effort in good <sup>a</sup> survey conditions (km)	Number of marine mammal species recorded	Marine Mammal Relative Diversity (no. of species / 100km of good <sup>a</sup> survey conditions)	Marine Mammal Group Visual and Acoustic Encounter Rate (groups / 100km of good <sup>a</sup> survey conditions)	Marine Mammal Individual Encounter Rate (individuals / 100km of good <sup>a</sup> survey conditions)	Two most frequently sighted species	Species Visual Encounter Rate (groups / 100km)
1. Greater Pemba Channel	449	9	1.78	4.01 (CV=24.6%)	573 (CV=44.0%)	1. Spinner dolphin ( <i>Stenella longirostris</i> )	1.11
						2. Common bottlenose dolphin ( <i>Tursiops truncatus</i> )	0.67
2. Zanzibar Channel	376	2	0.53	2.92 (CV=49.5%)	31 (CV=95.0%)	1. Indo-Pacific bottlenose dolphin ( <i>Tursiops aduncus</i> )	2.13
						2. Indian Ocean humpback dolphin	0.53

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						<i>(Sousa plumbea)</i>	
3. Dar es Salaam	322	4	1.24	3.11 (CV=23.3%)	169 (CV=48.4%)	No species most frequent	-
4. Mafia, Kilwa Rufiji	660	6	0.91	2.12 (CV=43.6%)	148 (CV=57.4%)	1. Indo-pacific bottlenose dolphin <i>(Tursiops aduncus)</i>	0.76
						2. Spinner dolphin <i>(Stenella longirostris)</i>	0.30
5. Mtwara & Lindi	560	4	0.71	4.28 (CV=36.5%)	340 (CV=41.2%)	1. Risso's dolphin <i>(Grampus griseus)</i>	1.61
						2. Spinner dolphin <i>(Stenella longirostris)</i>	1.07

944 a – Good conditions defined as Beaufort 4 or less

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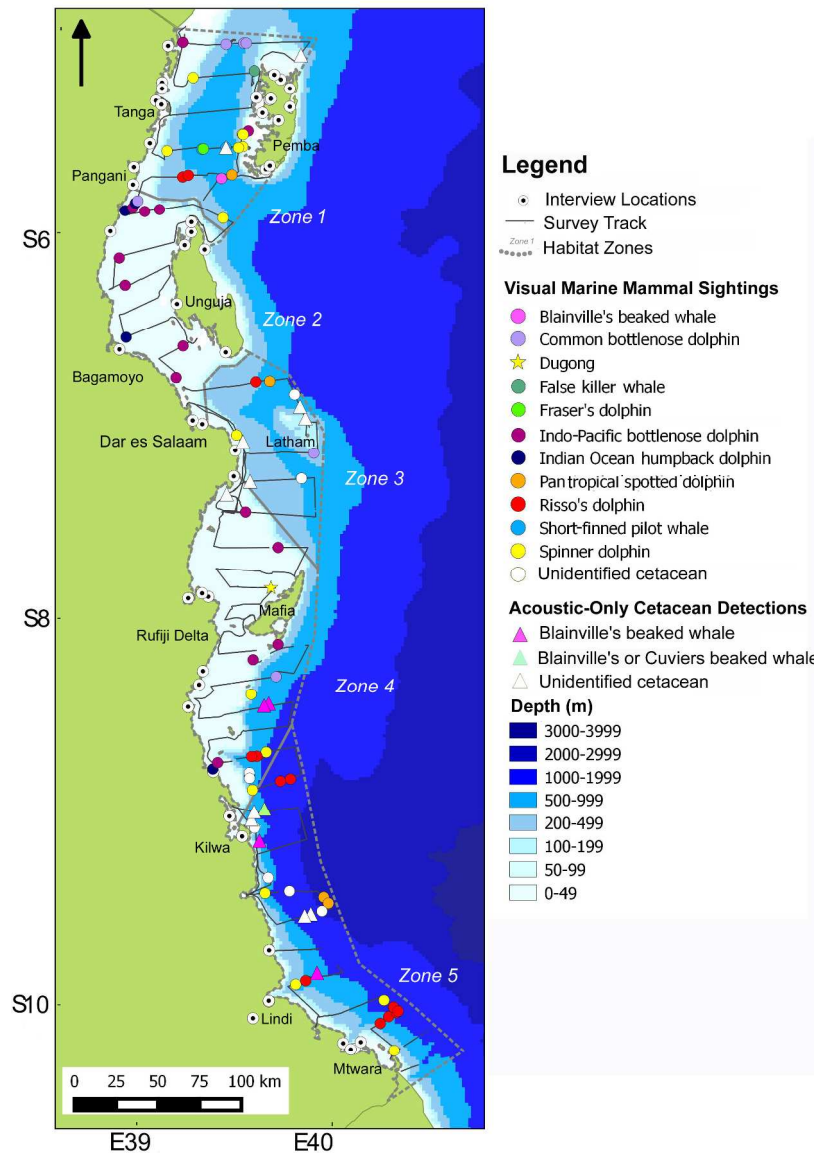
946 Table 4 – Hierarchical scores and value of rapidly assessed potential threats to cetaceans in  
 947 Tanzania

Potential Threat	Zone 1	Zone 2	Zone 3	Zone 4	Zone 5
Bycatch Score (dolphins/boat/year)	100 (0.24)	83 (0.20)	21 (0.05)	17 (0.04)	42 (0.10)
Gillnet Fishing Fleet Score (Gillnets/km of coast) (Total gillnets) <sup>a</sup>	57 (40.3) (4029)	28 (19.4) (3022)	7 (4.9) (315)	100 (70.4) (15903)	11 (7.8) (1529)
Shipping Score (M Tons of goods / year)	3 (0.37)	1 (0.15)	100 (14.3)	0 (0.05)	2 (0.35)
Dynamite Fishing Score (blasts/hr)	14 (0.76)	27 (1.41)	100 (5.31)	11 (0.59)	13 (0.68)
Total	174	138	228	128	68

948 a – numbers derived from the most recent Tanzanian mainland (Ministry of Livestock Development  
 949 and Fisheries, 2010) and Zanzibar (Zanzibar Ministry of Livestock and Fisheries, 2010), frame  
 950 surveys.

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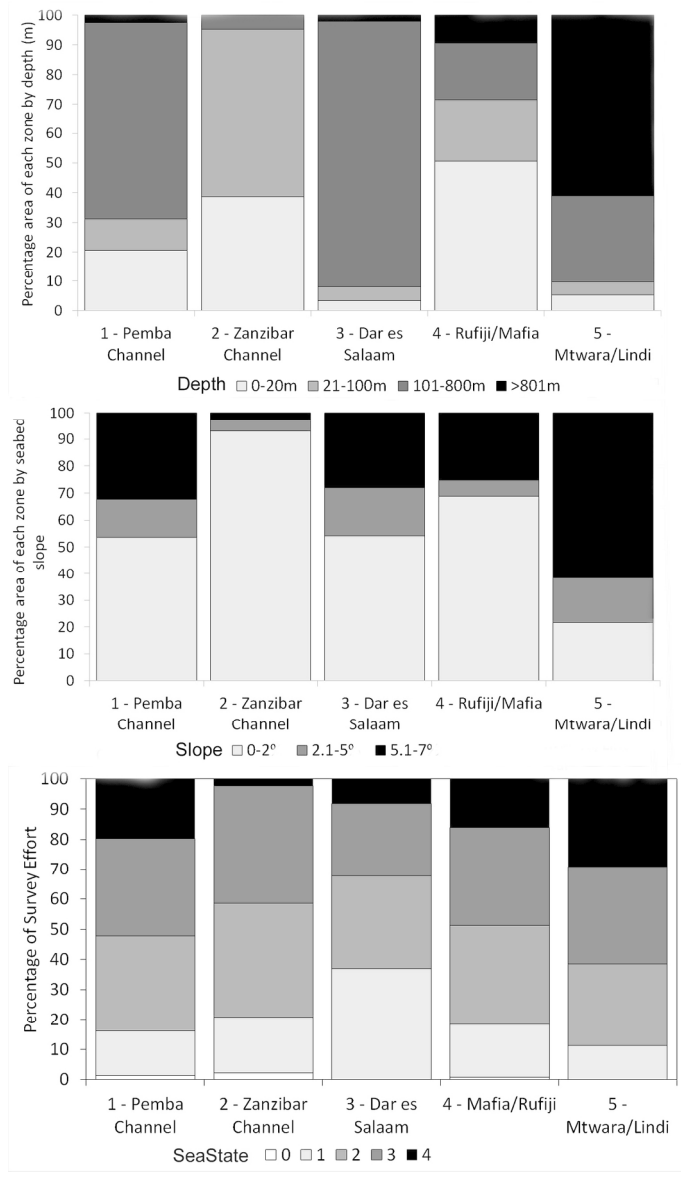


Boat survey track and the location of visual and acoustic marine mammal group detections made during the vessel-based cetacean survey of the entire coast of Tanzania conducted between March 4th and April 6th 2015.

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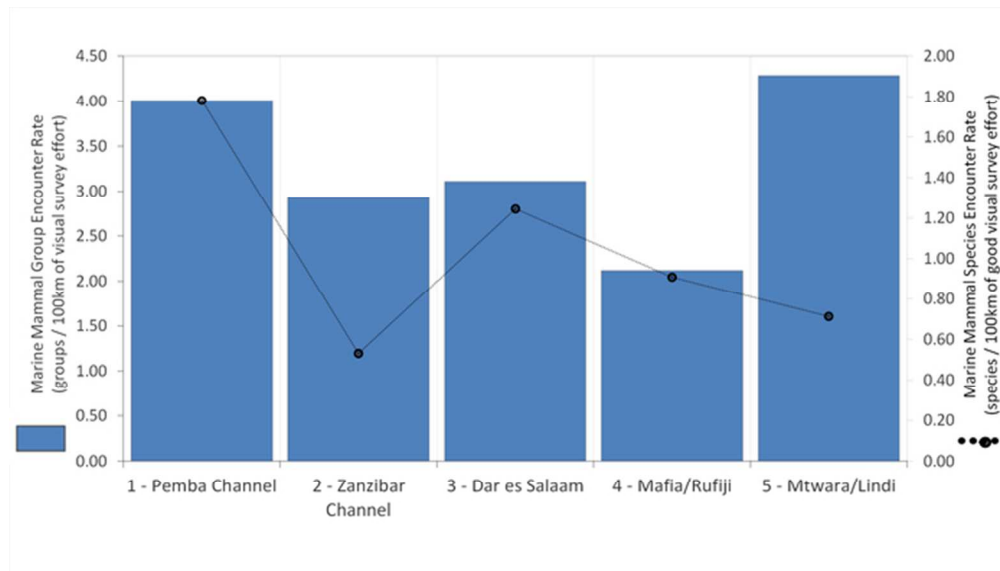


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Summary of depth and slope habitat, and survey effort by sea state in each zone

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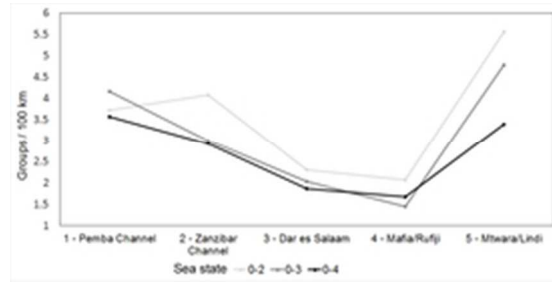
Marine mammal group and species encounter rates along the coast of Tanzania

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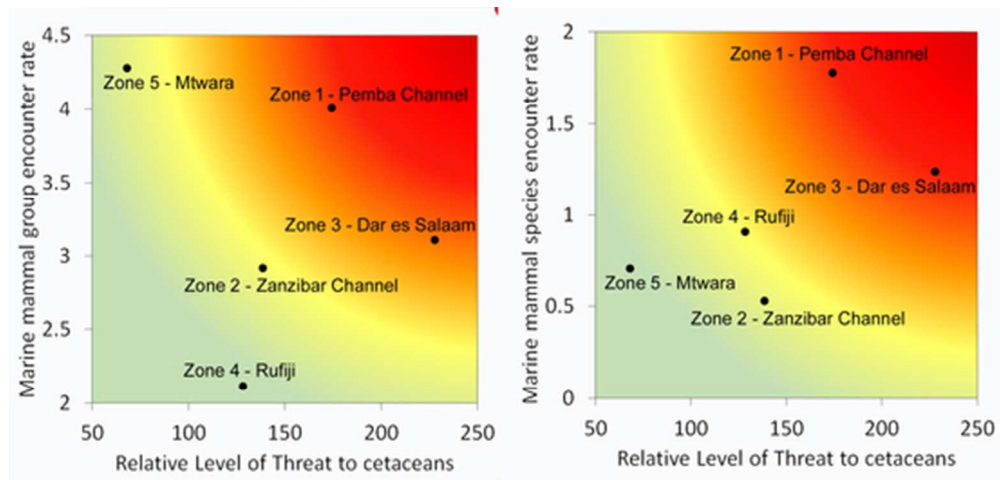
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Comparison of cetacean encounter rate in each zone by sea state

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Or Peer Review



Relative cetacean encounter rate and relative cetacean diversity plotted against relative level of threat to cetaceans due to human activities in different zones in Tanzania.

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Peer Review

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