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1 2	Lightning Sferics: Analysis of the Instantaneous Phase and Frequency Inferred from Complex Waveforms
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22	Key Points:
23 24	• A complex waveform bank and a spectral waveform bank has been produced from lightning sferics.
25 26	• Long-range lightning location systems achieve sub-sampling time accuracy using the instantaneous phase of complex lightning sferic waveform.
27	• The instantaneous frequencies at maximum amplitudes are distance dependent.
28	

29 Abstract

Analysis of VLF lightning waveforms, or radio sferics, can contribute to research into lower 30 ionosphere perturbations and the corresponding atmospheric chemistry. Lightning waveforms 31 can also be characterized on the basis of their propagation distance from receivers in order to 32 study radio wave propagation. A bank of average waveforms, i.e. the waveform bank, <1,000 33 km with a spatial resolution of 10 km has been produced, based on the lightning waveforms 34 recorded in Europe on 8th August 2014. These average lightning waveforms at different dis-35 tances exhibit a sequence of consecutive maxima resulting from ionospheric reflections, 36 named sky waves. The spectral waveform bank shows a sequence of consecutive modal max-37 ima at different frequencies depending on distance. The Hilbert transform is applied to pro-38 duce complex lightning waveforms, which provide additional information to the original real 39 waveforms alone, i.e., the instantaneous phase and frequency. The time differences calculated 40 from the instantaneous phases of complex lightning waveforms gives the minimum arrival 41 time difference error when compared to other analyzed signal processing methods. The de-42 rivative of the instantaneous phase, i.e. the instantaneous frequency, represents the amplitude-43 weighted average of frequency components at maximum amplitude according to theory and 44 45 numerical simulation. In real experiments, the instantaneous frequency can be understood as the median value of the real frequency distribution calculated at maximum amplitude. It is 46 found that the instantaneous frequencies at maximum amplitudes are distance dependent. 47 48 This finding might enable the development of a novel method to determine lightning distanc-49 es in the future.

50 **1 Introduction**

51 Lightning is the strongest natural electromagnetic radiation source in the earth's atmosphere and it emits electromagnetic waves in the frequency range from ~1 Hz to >300 MHz [e.g., 52 Rakov and Uman, 2003; Rison et al., 2016]. Ground-based lightning location systems are es-53 54 sentially based on the received electromagnetic waves and subsequent signal processing [e.g., Dowden et al., 2002; Holler et al., 2009; Bitzer et al., 2013; Rakov, 2013; Stock et al., 2014; 55 Lyu et al., 2014; Nag et al., 2015; Wang et al., 2016; Sun et al., 2016]. For example, the azi-56 muth of an incident radio wave from a lightning discharge is determined by calculating the 57 voltage ratio received by two magnetic loop antennas, named magnetic direction finding 58 (MDF) [e.g., Horner, 1954, 1957; Krider et al., 1976; Fullekrug et al., 2000]. In the most 59 commonly used lightning location method, arrival time differences (ATD) are extracted by 60 different signal processing techniques from the lightning waveforms recorded at different ra-61 dio receiver stations [e.g. Lee, 1986, 1990; Cummins et al., 1998, 2009]. The different signal 62 processing techniques result in slightly different arrival times with different corresponding 63 lightning locations [Liu et al., 2016]. Using a different time extraction point, e.g. the wave-64 form peak or rising edge, and different data pre-processing, e.g. wide or narrow bandwidth or 65 complex envelope, can all introduce slightly varying time differences. As a result, a better 66 understanding of the sferic, i.e. the received broadband lightning waveform, is a prerequisite 67 for improving lightning location accuracy. 68

Much research into ionosphere perturbations and atmospheric chemistry utilize broadband
VLF waveforms, in particular lightning waveforms [e.g. *Cheng et al.*, 2007; Shao et al.,

- 71 2013]. The sferic is a broadband electromagnetic impulse generated by a lightning discharge,
- vhich propagates through the earth-ionosphere waveguide. The perturbations in the D-region

caused by the variation of electron densities will change the received sferic in the time and frequency domain. A collection of average lightning waveforms at different distances, named waveform bank, was introduced by Said et al. [2010]. This method offers an opportunity to characterize sferics and to estimate arrival times. This idea has been adopted and it is extended in this work to produce a new type of waveform bank for further analysis.

78 The time-dependent sferic signal is treated as an analytic signal, or complex trace, to process 79 the received signal to determine the instantaneous phase and frequency at each sample in the time series [e.g. Taner et al., 1979]. It is more common to use the Fast Fourier Transform 80 81 (FFT), or a Short-Time Fourier Transform (STFT), to calculate phases from the spectral coefficients at the harmonic frequencies $f_k = k/T$, where k is the harmonic number and T is the 82 length of the time interval used for the FFT or STFT. As a result, the phases inferred from the 83 FFT or STFT represent average values over the time interval $T = N\Delta t$, where N is the number 84 of samples in that time interval and Δt is the sampling time interval. Given that the phase of a 85 lightning sferic is constantly changing (Fullekrug et al., 2016, Fig 2, right), an averaged phase 86 can include unwanted information that is introduced, for example, by the phase change dur-87 ing the time interval T, or by unwanted interference during that time interval. It is therefore 88 interesting to investigate how useful the instantaneous phase of a lightning sferic is which is 89 determined for each individual sample and which should have the smallest possible bias in-90 troduced by unwanted information. Therefore, this method will be applied here to a new 91 lightning waveform bank (<1000 km) produced from data collected by a long-range lightning 92 location system described below. The timing accuracy of the instantaneous phase is com-93 pared with other methods by using the speed of light as a reference. The derivative of the in-94 stantaneous phase is the instantaneous frequency, which is used to study the relationship with 95 the real frequency of the sferic determined by its spectrum. The observed instantaneous fre-96 97 quency changes in the complex waveform bank are discussed and related to the radio wave propagation with distance. 98

99 2 The Complex Waveform Bank

100 **2.1 The waveform bank**

Previous research associated with sferic waveform characteristics, including polarity estima-101 tion, cycle errors and peak current, has shown that received lightning waveforms that origi-102 nate from a certain storm cluster exhibit similar features [Said et al., 2010, Figure 1]. Thus, a 103 small deviation of lightning waveform shape indicates a propagation over similar distances. 104 A representative waveform is calculated by averaging each of the lightning waveforms in one 105 distance bin to reduce the noise in each average waveform and to acquire a more pure light-106 ning waveform that includes subtle propagation effects. Comparing the representative wave-107 108 forms at different distances is an effective method to study the propagation effects on lightning sferics. 109

Lightning locations are reported by the French lightning detection network Meteorage, which covers south-western Europe and the western Mediterranean Sea. This network distinguishes cloud to ground lightning and intra-cloud lightning based on a linear discriminant analysis (LDA) of different waveform parameters, and the classification accuracy reaches 95% for negative cloud to ground lightning in France [*Kohlmann et al., 2017*]. The electromagnetic waveforms of the lightning discharges were recorded from ~21:00 UT 8th to ~03:00 UT on 9th August 2014 with four wideband digital low-frequency radio receivers located in Bath (BTH), Orleans (ORL), Lannemezan (LMZ), and Rustrel (RST) [*Liu et al.*, 2016]. The radio
receiver records the electric field from a capacitive probe with a sampling frequency of 1
MHz, an effective bandwidth from ~4 Hz to ~400 kHz and a timing accuracy of ~12 ns provided by a GPS disciplined frequency standard [*e.g. Fullekrug*, 2010,; *Fullekrug et al.* 2006, 2014, 2015; *Mezentsev et al.*, 2013; *Soula et al.*, 2014].

During the 7 hour long recording, more than 150,000 cloud to ground (CG) lightning stroke 122 123 waveforms from a mesoscale convective system over central France were recorded by the four sensors with peak currents ranging from -4 kA to -40 kA, which were located 0-1000 km 124 away from each radio receiver [Liu et al., 2016]. The lightning waveforms ranging from -1 125 ms to +4 ms around the occurrence of the lightning discharges were extracted from the digital 126 recordings based on the lightning locations and occurrence times reported by Meteorage. The 127 time t=0 of the lightning waveforms is referenced to the propagation time at the speed of light 128 calculated from the great circle distance between the source and the receiver. This referencing 129 procedure enables the calculation of one average waveform for each distance bin with a width 130 of 10 km. Each distance bin consists of at least one hundred lightning waveforms. The result-131 ing 100 average waveforms form the lightning waveform bank form the basis for the subse-132 quent data analysis (Figure 1, left). The difference between an average lightning source cur-133 rent and the interfering signals from the local noise environment, man-made noise and radio 134 transmissions are thereby minimized. The ionospheric conditions for the propagation of these 135 lightning waveforms are similar, because all of the lightning sferic waveforms propagated 136 during nighttime from the source to the receiver. 137

The waveform bank exhibits an initial pulse from the ground wave and a sequence of subse-138 quent maxima from the ionospheric reflections or multi-hop sky waves. The first arrival sky 139 wave can be observed after ~100 km propagation and additional sky wave hops can be ob-140 served for longer distance propagation. The energy of the lightning signals propagated along 141 the ground path attenuate with distance due to ground conductivity, while the energy of light-142 ning signals from the ionospheric reflections are attenuated by longer propagation distances 143 and the ionospheric D-layer conductivity. The waveform bank shows distance-dependent ar-144 rival times of the ground wave and ionospheric reflections, which can be explained by ray 145 theory [e.g. Schonland et al., 1940; Carvalho et al., 2017; Qin et al., 2017]. The waveform 146 bank shows the ground wave arrival at 0 ms and the sky wave arrivals at increasing time de-147 lays for shorter distances, which is in agreement with theoretical calculations that use a flat-148 earth model or a spherical earth model [Schonland et al., 1940]. 149

A spectral waveform bank can be calculated by averaging the complex spectra of all wave-150 forms at the same distance bin or from the spectra of the average lightning waveforms at each 151 distance bin. Both averaging procedures produce exactly the same result (Figure 1, right). 152 The spectral waveform bank exhibits a sequence of consecutive maxima in the frequency 153 range up to 100 kHz. These consecutive relative maxima result from the constructive super-154 position of numerous wave propagation modes, named modal maxima in the following text. 155 The modal maxima are separated from each other by distinct spectral minima that are charac-156 teristic for the distance between the lightning discharges and the radio receivers. These fea-157 tures of the spectral waveform bank result mainly from the lightning sferics and propagation 158 effects because averaging the waveforms eliminates most of the interfering noise such that 159 the best possible average lightning sferic waveform is obtined. The spectral waveform bank 160

is useful for understanding the propagation effects with distance and it can be used for theoretical modeling of radio wave propagation.

163 **2.2 Complex Waveforms**

The time dependent radio signal can be treated as an analytic signal or complex trace. This 164 allows the extraction of the envelope and instantaneous phase for each sample [e.g. Taner et 165 al., 1979; Liu et al., 2016]. The complex trace can be obtained from the real valued record-166 ings using the Hilbert transform. In practice, the complex waveform can be calculated from 167 the real signal by doubling the positive frequency and by eliminating the negative frequency. 168 This complex waveform is subsequently down converted by multiplying with a frequency 169 shift operator $e^{-j\Delta\omega t}$ that centers the spectrum at zero frequency. The shift frequency $\Delta\omega$ is 170 normally set as the harmonic frequency which contains most of the energy of the target sig-171 nal. For example, the shift frequency of a radio transmission is normally the center frequency 172 of its modulation. The shift frequency of lightning can be set as 10 kHz as the return stroke 173 deposits most of its energy around this frequency [Fullekrug et al., 2013]. The final complex 174 waveform can be determined after applying a low-pass filter to the down converted signal in 175 176 order to increase the signal to noise ratio

$$F(t) = \left(f(t) + jH(f(t))\right)e^{-j\Delta\omega t} = A(t)e^{j\varphi(t)}$$
(1)

where, A(t) is the time dependent amplitude envelope and $\varphi(t)$ is the time dependent instantaneous phase.

In this way, the complex waveform bank can be calculated from a real waveform bank by use 179 of the Hilbert transform. The complex waveform from 2-18 kHz of an average lightning sig-180 nal at 300 km is shown in figure 2. This three-dimensional trace of a lightning waveform il-181 lustrates the amplitude envelope and instantaneous phase variation over time. In the begin-182 ning, the phase of the complex trace is chaotic and the amplitude is low when there is no 183 lightning signal. The arrival of ground wave and sky waves lead to large signals, which are 184 well above the noise. These large signals result from a strong lightning discharge, so that the 185 amplitude is increased and the phase is moved towards a specific value. In this example, the 186 complex waveform rotates anticlockwise, which means that the instantaneous phase is de-187 creasing during the pulse arrival. The signal returns to chaotic behavior after the lightning 188 event. 189

3 Instantaneous Phase

Different information can be extracted from the complex waveform than from the real-valued 191 signal, including the envelope of the complex trace and the instantaneous phase. The time 192 differences calculated from the instantaneous phase between two lightning waveform peaks 193 194 can be extracted by use of the transfer function calculated from the ratio between the two complex values at the peak of the waveform. Normally, the time difference between two 195 lightning waveforms is constrained by the sampling frequency. In order to achieve the sub-196 sample time difference $\delta t = \delta \phi / \omega$, the two waveforms are time shifted first in order to su-197 perpose the amplitude peaks. The instantaneous phase difference $\delta \phi$ can then be extracted 198 from the transfer function at the peak samples of the two waveforms $\delta \phi = \phi_{max1} - \phi_{max2}$. 199 This time difference inferred from the instantaneous phase achieves sub-sampling accuracy 200 after taking into account cycle ambiguities, if any. 201

The propagation time delay relative to the speed of light using different signal processing 202 methods can be compared by using the lightning waveform bank. The average lightning 203 waveforms from 310 km to 600 km are compared with the average waveform at 300 km by 204 using four different time extraction methods (Figure 3). The time offset δt is the measured 205 time difference Δt minus the nominal time difference calculated for a propagation velocity at 206 speed of light $\Delta t = \Delta d/c$, where Δd is the distance difference with respect to the lightning 207 sferic waveform at 300 km distance, i.e., $\Delta d = d$ -300 km with d ranging from 310 km to 600 208 km. All these methods determine the time offset that is measured with reference to the propa-209 gation time between the source and the receiver at the speed of light. The first three methods 210 extract the occurrence times by waveform cross correlation, from the peak of the filtered data 211 (5-15 kHz), and from the peak envelope of the complex trace. The average values of the ab-212 solute time offsets over all distance differences from 10-300 km with respect to the speed of 213 light by using these three methods are $\sim 2.33 \ \mu s$, $\sim 2.63 \ \mu s$ and $\sim 4.23 \ \mu s$, respectively, with 214 corresponding ranges of [-3, 6] µs, [-4, 5] µs and [-8, 11] µs. The average value of the abso-215 lute time offset by measuring time differences with the instantaneous phase is only $\sim 2.08 \ \mu s$ 216 with a corresponding range [-2.78, 5.62] µs (Figure 3). In the case of a lightning location sys-217 tem using a propagation velocity at the speed of light, the time accuracy is therefore slightly 218 improved by calculating the instantaneous phase from the complex waveform. The time off-219 sets at different distances are neither constant nor distance dependent, which is suggested to 220 be investigated in future analyses. One possible reason is the inappropriate presupposed wave 221 propagation velocity in comparison, i.e. the speed of light, because it is found that the light-222 ning electromagnetic wave may propagate at a varying phase propagation velocity [Liu et al., 223 2016]. 224

225 4 Instantaneous Frequency

The different rotation direction of complex waveforms may indicate a different elevation an-226 gle of the incident lightning sferic [Fullekrug et al., 2016, Figure 2, right]. The rotation direc-227 tion is the polarity of the derivative of the instantaneous phase in a complex waveform. This 228 time dependent derivative $f_i = d\varphi/dt$, is called the instantaneous frequency [Taner et al., 229 1979]. The instantaneous frequency indicates the phase change for each sample with refer-230 ence to the center frequency of the signal. The rotation direction is anticlockwise when the 231 instantaneous frequency is smaller than the center frequency and it is clockwise when the in-232 stantaneous frequency is larger than the center frequency. The benefit of using instantaneous 233 234 frequency for range estimation is the high time resolution and also the frequency resolution. For example, the frequency resolution of the result from the STFT of a 1ms long time interval 235 is only 1 kHz, without zero padding, while the instantaneous phase has a temporal resolution 236 with the sampling time interval $\Delta t = 1 \mu s$. The calculated result has no constraints on the fre-237 quency resolution within the passband of the low-pass filter applied to the down converted 238 signal. A convenient way of computing the instantaneous frequency f_i from the complex trace 239 *C* is to compute the derivative of the arctangent function 240

$$f_{i} = \frac{1}{2\pi} \frac{d}{dt} \arctan\left(\frac{Im(C)}{Re(C)}\right),$$
(2)

241 which results in

$$f_{i} = \frac{Re(C)\frac{d(Im(C))}{dt} - Im(C)\frac{d(Re(C))}{dt}}{2\pi(Re(C)^{2} + Im(C)^{2})}.$$
 (3)

A signal that consists of two frequency components, f_1 and f_2 , is analysed in order to explore the relationship between the instantaneous frequency and the two frequency components. Assuming C_1 and C_2 are two single sinusoid complex signals, the instantaneous frequency of the superposed signal is

$$f_i = \frac{1}{2\pi} \frac{d}{dt} \arctan\left(\frac{Im(\mathcal{C}_1) + Im(\mathcal{C}_2)}{Re(\mathcal{C}_1) + Re(\mathcal{C}_2)}\right),\tag{4}$$

246 which results in

$$f_{i} = \frac{1}{2\pi} \frac{d}{dt} \arctan\left(\frac{A_{1} \sin \alpha + A_{2} \sin \beta}{A_{1} \cos \alpha + A_{2} \cos \beta}\right), \quad (5)$$

where A_1 and A_2 are the amplitudes of the two sinusoid signals and α and β are the phases of the sinusoids C_1 and C_2 . The derivatives of α and β are f_1 and f_2 . The explicit calculation of the derivative in equation 5 yields

$$f_{i} = \frac{(A_{1}\cos\alpha + A_{2}\cos\beta)(A_{1}f_{1}\cos\alpha + A_{2}f_{2}\cos\beta) + (A_{1}f_{1}\sin\alpha + A_{2}f_{2}\sin\beta)(A_{1}\sin\alpha + A_{2}\sin\beta)}{(A_{1}\cos\alpha + A_{2}\cos\beta)^{2} + (A_{1}\sin\alpha + A_{2}\sin\beta)^{2}}$$

(6)

250

In order to simplify this result, we assume that $\alpha = \beta$ when the amplitude of the superposed signal is maximal. In this case, it follows that

$$f_i = \frac{A_1 f_1 + A_2 f_2}{A_1 + A_2}.$$
 (7)

Similarly, we assume that $\alpha = \pi + \beta$ when the amplitude of the superposed signal is minimal. In this case, it follows that

$$f_i = \frac{A_1 f_1 - A_2 f_2}{A_1 - A_2}.$$
 (8)

255 In the case where the instantaneous frequency of a signal consists of *N* frequency components

$$f_{i} = \frac{1}{2\pi} \frac{d}{dt} \arctan\left(\frac{\sum_{j}^{N} A_{j} \sin \alpha_{j}}{\sum_{j}^{N} A_{j} \cos \alpha_{j}}\right), \quad (9)$$

256 which results in

$$f_i = \frac{\left(\sum_{j=1}^{N} A_j f_j \cos \alpha_j\right) \left(\sum_{j=1}^{N} A_j \cos \alpha_j\right) + \left(\sum_{j=1}^{N} A_j \sin \alpha_j\right) \left(\sum_{j=1}^{N} A_j f_j \sin \alpha_j\right)}{2\pi \left(\left(\sum_{j=1}^{N} A_j \cos \alpha_j\right)^2 + \left(\sum_{j=1}^{N} A_j \sin \alpha_j\right)^2\right)}, \quad (10)$$

257 Where A_i are the amplitudes of the sinusoid signals and α_i are the phases of these sinusoids.

The derivatives of α_j are the instantaneous frequencies f_j of the sinusoids. If the phases of all sinusoids are the same, i.e., $\alpha_j = \alpha \forall j$, then

$$f_i = \frac{\sum_j^N A_j f_j}{\sum_i^N A_j}.$$
 (11)

These results show that the instantaneous frequency is equal to the amplitude-weighted frequency in the frequency domain when the amplitude of the complex signal is maximal. The instantaneous frequency may be singular when the denominator with the sum of amplitudes is zero.

264 The instantaneous frequency of two frequency components is confirmed by simulating a superposed signal $y = sin(2\pi * 100t) + 2sin(2\pi * 120t)$ (Figure 4). The signal is down con-265 verted by 110 Hz to compute the complex waveform. The instantaneous frequency is calcu-266 lated directly from the derivative of the arctangent function (equation 3). The instantaneous 267 frequency of a single sinusoid signal is a real constant frequency. The instantaneous frequen-268 cy of the superposed signal varies depending on the amplitude of the complex waveform. The 269 instantaneous frequency is equal to the amplitude-weighted average value calculated by equa-270 tion 7 when the amplitude is maximal. The instantaneous frequency is not within the range of 271 the two frequency components and equal to the result calculated by equation 8 when the am-272 plitude is minimal. This simulation result confirms that the instantaneous frequency repre-273 sents the amplitude-weighted average of the true frequencies in each sample when the ampli-274 tude is maximal. The instantaneous frequency provides no meaningful information about ei-275 ther of the two frequency components when the amplitude is minimal. Therefore, for a real 276 wideband signal, such as lightning, the instantaneous frequency is only a reliable indicator of 277 the true frequency spectrum when the amplitude is maximal. 278

279 5 Instantaneous Frequencies of Lightning Waveforms

The instantaneous frequency of the average lightning waveform at a distance of 300 km is 280 calculated for different frequency bandwidths (Figure 5). At the beginning, the instantaneous 281 frequency is chaotic due to the noise and large sensitivity of the instantaneous phase, and it is 282 only relatively stable during the lightning pulses' arrival, which is confirmed by the simula-283 tion result in the previous section. In order to emphasize the results during maximum ampli-284 tude, the amplitude weighted average instantaneous frequency has been calculated by averag-285 ing n=20 samples of the dot product between the amplitudes and their instantaneous frequen-286 cies divided by the sum of all amplitudes. This amplitude weighted average of the instantane-287 ous frequency with empirically selected n essentially is a moving average to avoid the fre-288 quency variation due to the sudden phase jump. While this moving average has tiny effect 289 during lightning pulses, because the instantaneous frequency is stable at that period. This am-290 plitude weighted average instantaneous frequency is likely to be of benefit for a detailed 291 monitoring of the frequency distribution during the lightning period. 292

The instantaneous frequency of the average lightning waveform propagated over 300 km calculated from 2-18 kHz (Figure 2) shows that the instantaneous frequency is smaller than the center frequency of 10 kHz when the amplitude is maximum (Figure 5, upper left). This explains why the rotation direction of the complex waveform during lightning period is anticlockwise. The result means that the median value of the amplitude-weighted instantaneous frequency from 2-18 kHz during the lightning period is below 10 kHz according to the theoretical analysis explained in the previous section. However, there are several modal maxima

in the spectra within this frequency range (Figure 1, right). Each peak in the spectrum may 300 vary differently during the lightning period. As a result, it is better to concentrate on one 301 modal maximum in the spectrum, so that the small variation of each peak during the lightning 302 period can be observed individually. The instantaneous frequency calculated from a narrow-303 band frequency range around one peak in the spectrum is much less variable (Figure 5, lower 304 left). The instantaneous frequency around the lightning pulse is almost constant, indicating 305 that the frequency distribution during the lightning period is stable. It is noted that the stabil-306 ity of instantaneous frequency is more important than the value of instantaneous frequency, 307 because the averaging value of the frequency distribution may be more close to the center 308 frequency when there is no strong signal input. 309

310 As a result, the instantaneous frequency at the maximum amplitude is selected to represent the median frequency during the lightning period. The instantaneous frequencies at the max-311 imum amplitudes of the lightning waveforms from similar distances are compared to deter-312 mine the differences between individual events (Figure 5, right). As discussed above, a nar-313 row frequency bandwidth of 4 kHz is chosen with a varying center frequency, in order to 314 constrain the target frequency range around the same modal maximum in the spectrum. These 315 varying center frequencies are selected from the maxima of the second modal peak, which 316 always fall between 10-20 kHz in the spectrum, for example at 300 km, 350 km and 400 km 317 distance. The instantaneous frequencies at the maximum amplitudes are calculated for all the 318 waveforms recorded by one station, and the distribution of the instantaneous frequencies at 319 the same distance bin is a clearly peaked distribution (Figure 5, right). This indicates that the 320 instantaneous frequencies at one distance bin are range limited. The center frequencies asso-321 ciated with the main peaks of the distributions are clearly distance dependent as a result of the 322 radio wave propagation. In other words, the instantaneous frequency inferred from the aver-323 age lightning waveform can be used to represent the source receiver distance. 324

This idea can be tested by extending the analysis with the average lightning waveforms from 300-600 km at each distance bin separated by 10 km in the frequency range 10-20 kHz (Figure 6). The calculated instantaneous frequencies are obviously distance dependent and follow the second modal maximum in the spectra well. This excellent result strongly suggests that the instantaneous frequency has a promising potential application to determine the lightning distance from a single radio receiver.

331 6 Discussion and conclusion

The lightning waveform bank produced for distances up to 1000 km with a spatial resolution 332 of 10 km is well suited for applications to study long range lightning location systems and 333 electromagnetic wave propagation. For most lightning location systems, the baseline is 334 smaller than 1000 km so that we can simulate and examine a new location algorithm or new 335 site deployments. For wave propagation and ionospheric research, this waveform bank is val-336 uable as a reference for modeling [e.g. Pasko and Fullekrug, 2011]. In particular, spectra 337 have been calculated across a lightning waveform bank, that reveal a sequence of consecutive 338 modal maxima depending on distance and frequency. This waveform bank is generated from 339 lightning recordings of a thunderstorm in Europe, which may not be identical to waveform 340 banks calculated for other geographical areas. The geometry of the experiment limits the 341 waveform bank to propagation distances with less than 1000 km. However, the general meth-342 343 od of producing the waveform bank and the spectral waveform bank is applicable to other locations and longer distance than 1000 km in the future. In addition, this method is also applicable to studies of other types of lightning, such as intra-cloud (IC) lightning discharges.

To the best of our knowledge, the complex waveform bank analysis of lightning is used for 346 the first time, and it provides an opportunity to extract the instantaneous phase and instanta-347 348 neous frequency. The distance discrimination with the instantaneous frequency is just one potential application of this complex waveform bank. The instantaneous frequency may also 349 be discriminated by different arrival azimuths, elevation angles or different times of day, giv-350 en more data. For example, it has been observed that a different incident elevation angle indi-351 cates a different rotation direction in the complex waveform of the lightning, i.e., a different 352 instantaneous frequency [Fullekrug et al., 2016, Figure 2, right]. By using the instantaneous 353 frequency for distance determination, the lightning signal can be first approximated within 354 <50 km, because the instantaneous frequency can vary, e.g. between 400 km and 450 km dis-355 tance (Figure 6). This uncertainty is very likely due to the lack of data at these distances, 356 which could be improved by collecting more data with longer recordings. On the other hand, 357 the instantaneous frequency is calculated from lightning waveforms that include interference 358 from the local radio noise environment of each station. It is shown that the distribution of ap-359 parent frequencies from lightning at similar distances is a clearly peaked distribution if they 360 are recorded at the same station (Figure 5, right). Between different stations, the distributions 361 may differ slightly, most probably because of varying local radio environments and/or differ-362 ent propagation paths. As a result, the distance determination by using instantaneous frequen-363 cy may be more accurate if the instantaneous frequencies are derived from each station sepa-364 rately. It is noted that the instantaneous frequency in maximum amplitude is equal to the am-365 plitude weighted average frequency, such that waveform spectra inferred from STFT's with 366 suitable parameters might result in similar distance dependencies. 367

368 In summary, this study has offered several results: (1) The average lightning sferic waveforms from different distances exhibit a sequence of consecutive maxima resulting from the 369 ionospheric reflections, which can be used for radio propagation studies, lightning modeling, 370 lightning detection simulation, etc. (2) In the spectral waveform bank, the sequence of con-371 secutive modal maxima is separated by distinct minima at different frequencies and distances. 372 (3) Long-range lightning location can achieve sub-sampling time accuracy by using the in-373 stantaneous phase of the complex lightning sferic waveform. (4) The instantaneous frequency 374 calculated from average lightning waveforms has been shown to be distance dependent, and it 375 therefore has the potential to be used for lightning distance determination. 376

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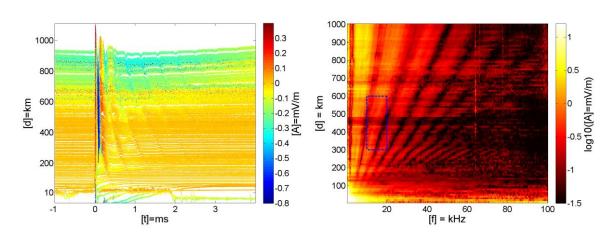
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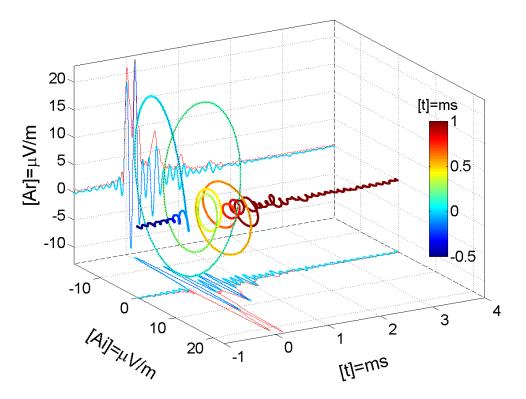
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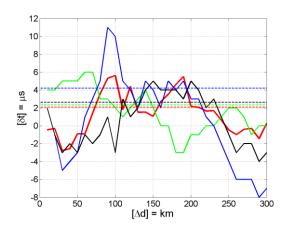
Figure 1. The average night-time waveforms and the spectra of the average waveforms of negative 508 lightning discharges at distances from 10-1000 km. (left) Each average waveform at a given distance 509 is calculated from more than one hundred events. The time axis is referenced to t=0 corresponding to 510 511 a propagation at the speed of light from the source to the receiver. A sequence of consecutive maxima resulting from the ionospheric reflections (or multi-hop sky waves) appear from ~100 km distance 512 onwards and the time differences between ground wave and sky waves are smaller for larger distances. 513 514 (right) The sequence of consecutive modal maxima (yellow and red) is separated by distinct minima (black) which are characteristic for the distance between the radio receiver and the lightning discharge. 515 516 The area of the blue square is used for the analysis of the instantaneous frequencies (compare to Figure 6). 517



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Figure 2. Isometric diagram of an average complex waveform of lightning at a distance of 300 km. The 3D trace of the complex lightning signal from 2 kHz to 18 kHz exhibits large amplitudes when the ground wave and sky waves are arriving. The rotation direction of the complex trace is anticlockwise, which means the instantaneous frequency is smaller than centre frequency. The blue lines show

the real part (A_r) and imaginary part (A_i) of the complex lightning waveform. The thin red line is the amplitude envelope of the complex waveform.



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Figure 3. The time offsets between different propagation distance differences with respect to a speed 526 527 of light propagation. The average lightning waveforms from 310 km to 600 km are compared with the 528 average lightning waveform at 300 km distance. The time offset δt is the measured time difference Δt 529 minus the nominal time difference inferred from a wave propagation velocity at speed of light $\Delta d/c$, and the distance differences Δd is measured relative to the lightning waveform at 300 km distance, i.e., 530 $\Delta d = d-300$ km with d ranging from 310 km to 600 km. By comparing different signal processing 531 532 methods, the average value of the absolute time offset by using the instantaneous phase of the complex lightning waveform (red) is less than just using the amplitude envelope (blue) or using the ampli-533 534 tude of the real signal (black) or cross correlating lightning waveforms (green). The corresponding average values of the absolute time offset are shown by the dashed lines. 535

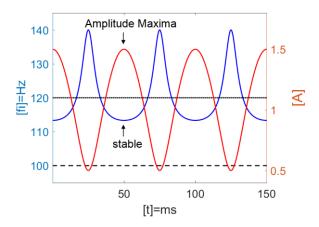
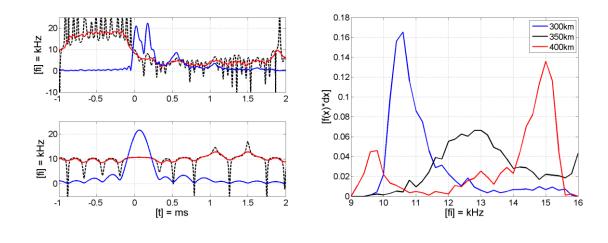


Figure 4. The instantaneous frequency of a simulated superposed signal that consists of two frequency
cy components at 100 Hz and 120 Hz (black solid and dashed lines). The calculated instantaneous
frequency of the averaged signal (blue line) is more stable and equal to the amplitude-weighted average
age value calculated by equation 7 when the amplitude is maximal (red line).

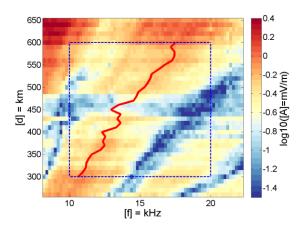


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543 Figure 5. Analyses of instantaneous frequencies inferred from lightning waveforms. (left) The instantaneous frequency (black dashed line) calculated from a lightning waveform with two frequency 544 bandwidths, 2-18 kHz (top) and 8.8-12.8 kHz (bottom), confirm the theoretical result that the instan-545 546 taneous frequency is more stable when the amplitude of the signal is maximal (blue line). The ampli-547 tude weighted average instantaneous frequency (red line) smoothens the original instantaneous frequency. The instantaneous frequency calculated from a 4 kHz bandwidth around one modal maximum 548 549 in the spectrum is less variable than using a bandwidth from 2-18 kHz that contains many modal max-550 ima. (right) The distributions of the instantaneous frequencies at maximum amplitudes inferred from all the lightning waveforms recorded by one station at several distance bins are clearly peaked distri-551 552 butions.

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554

- **Figure 6.** The instantaneous frequencies (red line) at maximum amplitudes of the average lightning
- waveforms at distances ranging from 300-600 km (blue square) follow the modal maximum in thespectra well.