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# **Extreme Wave Run-up and Pressure on a Vertical Seawall**

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- 12 ABSTRACT:

The performance of coastal vertical seawalls in extreme weather events is studied numerically, aiming 13 to provide guidance in designing and reassessing coastal structures with vertical wall. The extreme wave 14 15 run-up and the pressure on the vertical seawall are investigated extensively. A time-domain higher-order boundary element method (HOBEM) is coupled with a mixed Eulerian-Lagrangian technique as a time 16 marching technique. Focused wave groups are generated by a piston wave-maker in the numerical wave 17 tank using a wave focusing technique for accurately reproducing extreme sea states. An acceleration-18 19 potential scheme is used to calculate the transient wave loads. Comparisons with experimental data show that the extended numerical model is able to accurately predict extreme wave run-ups and pressures on 20 a vertical seawall. The effects of the wave spectrum bandwidth, the wall position and the wave 21 nonlinearity on the wave run-up and the maximum wave load on the vertical seawall are investigated by 22 doing parametric studies. 23

Keywords: Extreme sea states; Wave pressure; Wave run-up; Fully nonlinear potential flow theory;
Coastal vertical seawall.

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# 27 1. INTRODUCTION

Extreme waves, which are also known as freak waves, rogue waves or killer waves, are relatively large and rare local water surface elevations that pose potential threats even to navigation vessels and offshore structures. The occurrence of extreme waves has been well documented and is believed to be responsible for many reported accidents. A list of eleven documented catastrophic ship collisions off the Indian Coast of South Africa was reported as a result of freak waves [1]. Lavrenov [2] found that the mechanism of

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wave concentration due to Agulhas counter-current may explain the formation of these freak waves. Sand 33 et al. [3] identified several freak waves on the Danish Continental Shelf, which were found to be 34 responsible for the platform damage at the Ekofish field in the Norwegian Sector of the North Sea. 35 Observations of freak waves in many areas of the World Ocean suggest that freak waves not only exist 36 in offshore deep water but also occur in coastal zones. Freak wave phenomena on-shore, which result in 37 sudden unexpected flooding of coastal areas and strong impacts on coastal structures, were described in 38 39 [4, 5]. There were 140 freak wave events being observed in the coastal zone of Taiwan from 1949 to 1999 [6]. It was found that six out of nine freak wave events in 2005 occurred nearshore [7]. 40

Extreme conditions must be considered in the design of coastal structures to ensure safety and stability 41 of these structures, given that over 80% of reported past freak wave events occurred in shallow waters 42 or coastal areas [3, 8, 9]. Vertical wall-type structures have been widely adopted as the coastal protection 43 structures, with the advantages that they are able to reflect incidental wave energy almost completely and 44 provide a calm zone for safe berthing of vessels. Additionally, it is found that the sloping walls lead to 45 an increase in the run-up by up to 55% [10] and experience larger wave loading and pressures [11] when 46 compared to those for the vertical seawalls. Thus, accurate prediction of the extreme wave loading on 47 vertical seawalls is important and forms a focus of this study. 48

In the existing design methods, extreme waves are usually simulated by periodic waves with the wave height and the wave period corresponding to identified extreme conditions. Extensive research has been carried out for investigating pressures on vertical walls due to regular waves, such as [12 - 14]. The Goda formula [12] is one of the most popular equations for the design of coastal structures, and has been adopted by Japan Standard for estimating wave forces on vertical walls [37]. Lin [15] carried out a series of experiments to measure pressures on vertical breakwaters in the presence of regular waves and found that the pressure distributions on vertical walls are different from those predicted by Goda's theory.

The random and broad-banded nature of ocean waves cannot be taken into account by using regular 56 waves. This often leads to inaccuracy in the estimation of fluid loading for practical applications. The 57 experimental study in [16] shown that the maximum pressure on the vertical wall due to irregular waves 58 59 is larger than that in regular waves near the still water level. Chiu et al. [17] found that the use of regular wave leads to an underestimation of wave forces acting on vertical breakwaters by comparing the results 60 of regular waves and irregular waves. They found that the Goda formula [12] would either under-estimate 61 or over-estimate the wave forces on the vertical wall. More studies on random wave impacting on vertical 62 63 walls can be found in [18 - 20].

64 Random wave simulation is very inefficient due to requirements of very long run-time in order to capture near-extreme events. Wave reflection due to finite sized tanks is another issue in long time-domain 65 simulations. An accurate description of the average shape of an extreme event, in which a single large 66 event formed by focusing all wave components tapers away either side of the large crest, provides a good 67 alternative to random waves. This type of extreme events is commonly referred to as a focused wave 68 group in which both the frequency spectrum and phase of the wave components are carefully controlled 69 70 so that the constructive interference occurs at one point in space and time. Tromans et al. [21] proposed a design formulation to describe the mean shape of an extreme event, and this formulation has 71 subsequently been validated by comparing with field measurements in [22]. Baldock et al. [23] presented 72 a series of physical experiments in which a large transient wave group was produced by focusing a large 73 number of wave components. The focused wave group technique has also been used for studying extreme 74 events from a given random sea-state of known spectral content [24 - 31]. 75

76 To date, the knowledge on wave pressures due to focused wave groups on vertical seawalls is still rather limited. Improved understanding of spectral and extreme characteristics of wave pressure on a vertical 77 seawall has the potential to lead to better and safe designs of coastal and offshore structures. In this paper, 78 79 the fully nonlinear numerical model developed to study the evolution of the focused wave group in [32] 80 is extended in this research. The present work is focused on the assessment of how the extended fully nonlinear numerical model performs when applied to investigate extreme wave loading on a vertical 81 82 seawall. The model solved the Laplace equation for describing the fluid motion based on the time-domain higher-order boundary element method (HOBEM). A new input boundary condition is proposed to 83 84 generate focused wave groups by imitating wave paddles in real wave tanks. The numerical results are compared with published experimental data, and favorable agreements are achieved. The variations of 85 wave pressure along the wall height are presented and the effect of wave spectra on the wave pressure 86 distribution is subsequently investigated. 87

88

# 89 2. Numerical method

The concerned problem can be described as an initial-boundary value problem mathematically and solved by a time-domain higher-order boundary element method (HOBEM) in which a mixed Eulerian-Lagrangian technique and a 4th - order Runge-Kuatta scheme are applied as a time marching technique [32]. The present model is an extension to the model developed in [32] where a fully nonlinear solution of Laplace equation was obtained with a set of addition constraints for describing the evolution and wave kinematic of focused wave groups. In the present model, new boundary conditions are added to extend the capacity of the numerical model in [32] in simulating the interaction between focused wave groups and vertical seawalls. The underlying equation and algorithm are summarized in this section.

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#### 99 2.1. Governing equation and boundary conditions

The simplified geometry of an extreme wave hitting on a vertical seawall is shown in Fig.1. A Cartesian coordinate system Oxz is introduced such that the origin O is in the plane of the undisturbed free surface, x = 0 at the left end of the domain, z positive upwards. It is assumed that the fluid is incompressible, inviscid and the flow irrotational so that a velocity potential  $\phi(x, z, t)$  exists and satisfies the Laplace equation inside the fluid domain  $\Omega$ ,

105  $\nabla^2 \phi = 0, \text{ in } \Omega \tag{1}$ 

106 The fluid domain  $\Omega$  is bounded by the instantaneous free surface  $\Gamma_{\rm f}$ , the flume bottom  $\Gamma_{\rm d}$  and the vertical 107 end-wall  $\Gamma_{\rm r}$  as well as the input boundary  $\Gamma_{\rm I}$  on which additional constraints are posed to ensure a unique 108 solution. That is, both the fully nonlinear kinematic and dynamic boundary conditions are satisfied on  $\Gamma_{\rm f}$ , 109 and on both  $\Gamma_{\rm d}$  and  $\Gamma_{\rm r}$ , the rigid and impermeable boundary condition is satisfied,

110  
$$\begin{cases} \frac{Dx_s}{Dt} = \nabla\phi, \frac{D\phi}{Dt} = -g\eta + \frac{1}{2}\nabla\phi\cdot\nabla\phi, \text{ on }\Gamma_{\rm f}\\ \frac{\partial\phi}{\partial n} = 0, \text{ on }\Gamma_{\rm d} \text{ and }\Gamma_{\rm r} \end{cases}$$
(2)

where *g* represents the acceleration due to gravity,  $x_s$  denotes the position vector of a fluid particle on the free surface,  $\eta$  is the instantaneous free surface elevation and *D/Dt* is the material derivative.

Additionally, rather than [32] in which focused wave groups were generated by specifying the velocities on the inlet boundary based on experimental measurements, incident waves here are generated by a piston-type wave-maker in which the motion of the wave-maker *S* and its velocity  $u_p$  are prescribed on  $\Gamma_1$ .

117  

$$\begin{cases}
S = S_a \sin \omega t \\
u_p = S_a \cos \omega t
\end{cases}, \begin{cases}
S = \sum_{i=1}^N S_{a,i} \sin(k_i x_p + \omega_i (t - t_p)) \\
u_p = \sum_{i=1}^N S_{a,i} \omega_i \cos(k_i x_p + \omega_i (t - t_p))
\end{cases}$$
(3)

The first of these expressions is for regular waves, the second is for focused wave groups, where  $s_a$  and  $\omega$  are the stroke and the angular frequency of the wave-maker, respectively. For focused wave groups, Nis the total number of wave components,  $k_i$  and  $\omega_i$  are the wave number and the angular frequency of the *i*th wave component satisfying the dispersion relation  $\omega_i^2 = gk_i \tanh k_i h$ .  $x_p$  and  $t_p$  denote the focal position and the focal time, respectively.

123 The relationship between the linear wave amplitude  $a_i$  and the wave-maker stroke  $S_{a,i}$  can be determined 124 as follows assuming linear focus behavior from the wave paddle [33],

 $S_{a,i} = a_i / Tr \tag{4}$ 

126 where  $Tr = 4\sinh^2(k_i h)/(2k_i h + \sinh(2k_i h))$  is the transfer function for piston-type wave-maker and *h* is the 127 static water depth.

128 A ramping function is applied to increase the motion of the wave-maker gradually,

129 
$$R_{m} = \begin{cases} \frac{1}{2} (1 - \cos(\frac{\pi t}{T_{m}})) & \text{if } t \leq T_{m} \\ 1 & \text{if } t > T_{m} \end{cases}$$
(5)

where  $T_{\rm m}$  is a short time duration during which the input wave is ramped. In this study, for regular waves  $T_m = 2T$ , and  $T_m = 2T_{\rm max}$  for focused wave groups in which  $T_{\rm max}$  is the largest wave period of wave components.

As the above boundary value problem is solved in the time domain, the calm initial water surfaceconditions are applied in this research.

135

#### 136 2.2. Solver and algorithm

Generally, the aforementioned governing equation together with a set of boundary conditions can be 137 transformed to a boundary integral equation by using second Green's theorem. Then the initial-boundary 138 value problem is solved with the HOBEM by arranging Rankine sources on all surfaces. The 4th - order 139 Runge-Kutta (RK4) scheme is applied to advance the boundary condition on the free surface in Eq. (2) 140 in time. Because of the motion of wave maker, the fluid domain is re-meshed at each time step to avoid 141 unrealistically large mesh deformation. Based on the horizontal coordinates of new nodes obtained from 142 the re-meshing process, the vertical position and the potential can be calculated by interpolation using 143 the quadratic shape function. The detail of the fully nonlinear wave flume used in this study can be found 144 145 in [32].

#### 146 2.3. Wave pressure on the vertical wall

After the velocity potential is solved, the transient wave pressure over the wetted surface of the vertical
wall can be calculated from the following Bernoulli's equation,

$$P = -\rho \frac{\partial \phi}{\partial t} - \frac{1}{2} \rho |\nabla \phi|^2 - \rho gz$$
(6)

where  $\rho$  is the density of water. One of challenges in fully nonlinear numerical simulations is the calculation for the time derivative of the velocity potential  $\phi_i$  in Eq. (6). There are several methods for calculating  $\phi_i$  among which the backward finite difference technique is the simplest and is widely applied. However, it is unstable in most cases, especially for the cases with objects moving or piercing through the free surface [34]. In the present study, the so-called acceleration potential method is applied.

In the acceleration potential method, as the velocity potential  $\phi$  the temporal derivative of the velocity potential  $\phi_t$  satisfies the Laplace equation in the fluid domain  $\Omega$  and the impermeable boundary condition on the flume bottom  $\Gamma_d$  as well as the vertical end-wall  $\Gamma_r$ . On the free surface  $\Gamma_f$ ,  $\phi_t$  satisfies the Bernoulli equation,

$$\frac{d\phi}{dt} = -g\eta + \frac{1}{2} |\nabla\phi|^2 . \tag{7}$$

160 The boundary integral equation to be solved for  $\phi_t$  is,

161 
$$C(p)\phi_t(p) = \int_{\Gamma} (\phi_t(p)\frac{\partial G(p,q)}{\partial n} - G(p,q)\frac{\partial \phi_t(p)}{\partial n})d\Gamma.$$
 (8)

162 Once  $\phi_t$  is obtained, the wave pressure can be calculated from Eq. (6). Then the wave loads can be 163 obtained from the integration of wave pressure along the wetted surface. More details in the numerical 164 schemes and formulations can be found in the references [35].

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## 166 3 Validation and Discussions

# 167 3.1. Comparisons with experimental data

In this section, the extended numerical model described above is used to reproduce the published benchmark experiments in [13, 40] on regular waves and focused wave groups impacting on a vertical wall, respectively. Comparisons with the experimental data and the analytical solutions are carried out to assess the performance of the present numerical model when applied to non-linear wave interactions with a vertical seawall for ranges of wave conditions.

In [13], a range of regular waves with varying wave steepness and wave frequencies were generated to

impact a model vertical seawall installed at the downstream end of the flume in laboratory. The water depth *d* was 0.315 m in all tests and four pressure transducers were installed at 0, 6.3, 12.6 and 18.9 cm below the still water level (SWL) to record the pressure distribution along the vertical seawall, *i.e.* z/d =0.0, -0.2, -0.4, and -0.6. Numerical results for two regular waves with wave period T = 0.55 s and 0.87 s are discussed in this paper. The wave amplitudes are 0.0064 m and 0.0241 m, respectively.

In order to reproduce the experiments, a 2-D numerical wave tank is setup. The length of the numerical 179 wave tank is set as  $10\lambda$  in which  $\lambda$  is the wavelength. Convergence tests are carried out to determine the 180 optimal time steps and the spatial steps, which are  $\Delta t = T/50$  and  $\Delta x = \lambda/15$  in this study, respectively. 181 The distribution of dynamic pressures P along the height of the vertical seawall due to regular waves is 182 shown in Fig. 2 for two selected wave conditions. The pressure is normalized by  $\gamma H$  in which  $\gamma = \rho g$ ,  $\rho$ 183 184 is the water density, g the acceleration due to gravity and H the wave height. Both numerical results and experimental data are included as well as the solutions of linear theory. For cases with small incoming 185 wave amplitude as shown in Fig. 2(a) (*i.e.*, kA = 0.084, kd = 4.33), noises might be picked up in the 186 187 experimental measurements. The small deviations of both linear and nonlinear solutions from the measurements are expected. The agreements with the experimental data are satisfactory for both the fully 188 nonlinear potential flow theory and linear theory. While for a relative large wave (*i.e.*, kA = 0.135, kd = 0189 1.77) shown in Fig.2 (b), the linear theory over-predicts the pressure due to strong wave nonlinearity and 190 full reflection from the rigid wall. From Bernoulli equation (Eq. (6)), the wave dynamic pressure on the 191 vertical wall is the function of the wave height. Full reflection assumption in the linear theory results in 192 larger wave crest of reflected waves, thus, larger dynamic wave pressure. Additionally, the total wave 193 dynamic pressure consists of nonlinear wave pressure components at frequencies both lower and higher 194 than the incoming waves in the framework of non-linear wave theory. There is phase difference among 195 wave pressure components which could lead to reductions in dynamic wave pressure on the vertical wall. 196 As seen in Fig. 10, the 2<sup>nd</sup> order difference term can be 180° out of phase with the linear component. As 197 anticipated, favorable agreement between the fully non-linear numerical results and the experimental 198 199 data is achieved even for the case where nonlinearity dominates. This confirms the capability of the present numerical model in predicting non-linear regular wave interactions with a vertical seawall in 200 201 coastal areas.

To further validate the present numerical model, a series of experiment on focused wave groups acting on a vertical wall was performed in a flume (50 m  $\times$  3 m  $\times$  1m) at Dalian University of Technology. The static water depth for the tests was 0.5 m. The incident focused waves were generated by a hydraulically driven piston-type wave-maker at upstream end of the flume, and were supposed to be focused at *x* = 14.16 m, which is the stagnation point of the model vertical wall. The dimensions of the model vertical wall were  $0.5 \text{ m} \times 3 \text{ m} \times 0.85 \text{ m}$  (length in longitudinal direction  $\times$  width  $\times$  height in vertical direction). 15 pressure sensors were used to measure the pressure distribution along the surface of the model vertical wall as well as the time histories at certain points. In the experiments, a constant-steepness wave spectrum was applied, so the amplitude of *i*th wave component  $a_i$  can be calculated as follows,

211 
$$a_i = \frac{A}{k_i \mathop{\otimes}\limits_{i=1}^{N} 1/k_i}$$
(7)

where A is the linear crest value of incident focused wave groups,  $k_i$  the wave number of *i*th wave 212 component, and the total number of wave components N was selected as 29 in the experiment. In the 213 numerical simulations, the target focal position was  $x_p = 15$  m and the target focal time was defined as  $x_p/t_p$ 214  $= \lambda_{min}/2T_{min}$  in which  $\lambda_{min}$  and  $T_{min}$  are the shortest wavelength and the smallest wave period of wave 215 216 components, respectively. But there was some shift in this focusing because of the nonlinear dispersion 217 of the focused wave groups. The present numerical model is carefully calibrated to ensure all focused 218 wave groups are approximately focused at the upstream stagnation point of the vertical wall. In the numerical simulations, the spatial and the time steps are selected as  $\Delta x = \lambda_{\min}/15$  and  $\Delta t = T_{\min}/50$ , 219 respectively according to the convergence tests. 220

Fig.3 shows both the numerical and measured time histories of the free surface elevation at focused point 221 without the presence of the vertical seawall. Here, the linear crest value of the focused wave group A =222 0.076 m, and the wave frequency f = (0.65 Hz, 1.35 Hz) and (0.6 Hz, 1.5 Hz), respectively. In this study, 223 uniform increment in frequency between adjacent wave components is applied, *i.e.*  $f_{step} = f_{i+1} - f_i$  is the 224 same for all wave components. That is, take f = (0.65 Hz, 1.35 Hz) as example, the upper and lower 225 frequencies are 0.65 and 1.35 Hz, respectively, and  $f_{\text{step}} = (1.35-0.65)/29 = 0.024$  Hz. The difference 226 between the upper and lower frequencies is defined as the wave spectrum bandwidth, *i.e.* the wave 227 spectrum bandwidths in Fig. 3 (a) and (b) are 0.7 and 0.9 Hz, respectively. From the figures, it can be 228 seen that there is good agreement between the experimental data and numerical results, with similar 229 values and phases. The peak wave crests increase to 0.089 m and 0.11 m for broad-banded and narrow-230 231 banded cases, respectively, due to nonlinear evolution in waves.

The time series of the wave pressure on the vertical wall at z = 0.05 m above the mean water level are shown in Fig.4 for both narrow- and broad- banded cases. Characteristic 'one-peak' profiles are observed in both numerical and experimental results for both cases, with short duration time. There is a favorable agreement for pressure maxima and it is noted that the rise time, which is defined as the time needed to 236 rise the pressure from zero to its maximum value, is larger in numerical results. The rise time predicted by the present numerical model is approximately  $0.3 \sim 0.4$  s, while is almost zero in model tests resulting 237 in very sharp impacts. This difference could be due to several reasons, including the accuracy of the 238 239 pressure sensor in measuring such short duration loads and the possible trapped air pocket. An empirical formula  $P_{\text{max}} = at^b$  was proposed by Weggel and Maxwell [38] to determine the relationship between 240 maximum impact pressure and the rise time. a and b are non-dimensional empirical coefficients which 241 242 are advised to be 232 and -1 in [38], respectively. It is found that the numerical results follow the empirical formula with  $P_{\text{max}} \sim 0.53$  kPa. The total impact duration is about 0.27 s for both cases which is 243 shorter than the forming time of the focused wave crest (i.e., the temporal interval between two 244 neighboring equilibrium positions of the focused wave crest, about 0.40 s), as shown in Fig.3. 245 Additionally, the total impact duration and the rise time for both cases with different bandwidth are 246 almost the same, indicating that the effect of bandwidth on the total impact duration and the rise time is 247 248 small at least for the studied wave conditions.

Fig. 5 shows distributions of the normalized wave pressure along the vertical seawall.  $A^*$  is the actual 249 crest value of the focused wave group, *i.e.* the undisturbed nonlinear crest of the focused wave group at 250 actual focal point without the presence of the vertical seawall. As with the regular wave conditions, the 251 agreements between the predicted and measured dynamic pressure on the vertical seawall due to focused 252 wave groups are generally good, with similar values and profiles. This indicates that the present 253 numerical model is able to capture main physics that involved in the process of focused wave groups 254 interaction with a vertical seawall in shallow water regions. For the wave and geometry conditions 255 256 investigated in this study, the maximum pressure is observed to occur at the mean water level in both numerical simulations and the experiments. This is consistent with what has been observed in [39] in 257 which the locations of both the maximum quasi-static loads and impact loads are in the vicinity of the 258 mean water level. 259

In the sections to follow, the validated numerical model is used to investigate the effects of the wave spectrum bandwidths, the wall positions and the wave nonlinearity on the wave run-up and the maximum wave pressure on the vertical seawall.

263

264 3.2. The effect of the vertical seawall on the wave run-up and the pressure

Fig. 6 shows time series of the free surface elevation at focal point for cases with and without the vertical seawall in place. The linear crest value of the focused wave group is A = 0.06 m with f = (0.65 Hz, 1.35 Hz). The results for the case with the vertical wall in place are shown in the left column and the results for the case without the vertical wall are shown in the right column. It can be seen that the peak free surface elevation in the case with the vertical wall in place is approximately 2.6 times of that without the seawall. This increase in the free surface elevation is even larger than that resulting from a perfect reflective vertical wall (which is approximately twice the height of the incident wave group). The possible reason for the enhanced response is the nonlinear interaction of the incoming wave groups with the vertical wall.

Time series of the free surface elevations at the focal point for the incident wave groups with the linear 274 crest value A = 0.01 m and 0.06 m are shown in Fig. 7 (a) and Fig. 7 (b), respectively. The results for the 275 cases with and without the vertical wall are included. The free surface elevations for the cases in absence 276 and presence of the vertical wall are normalized by A and 2A, respectively. It can be seen that for the 277 small wave amplitude, the full reflection due to the vertical wall gives a combined wave with wave height 278 of approximately twice the wave height of the incident wave group. And for the large wave amplitude 279 with the vertical wall, the reflected wave amplitude is about  $1.3 \times 2A$  as shown in Fig. 7 (b). The 280 corresponding semi-log plots of the power spectra for the free surface elevation (shown in Fig. 7) are 281 shown in Fig. 8. The frequency in horizontal axes is normalized by the central frequency  $f_c$ . It is clearly 282 seen from the power spectra that sub- and high- harmonics components exist for the case with large wave 283 284 amplitude. This is attributed to the nonlinearity in waves and interactions between waves and structures.

285 The harmonic structure of the free surface run-up on the vertical seawall can be extracted by a phasebased harmonic separation method presented in [23, 24, 28]. In the phase-based separation method (i.e. 286 the 'phase-inversion' method), the Stokes wave expansion in regular waves is extended to focused wave 287 groups by assuming the existence of a Stokes-like harmonic series in both wave steepness and frequency 288 289 for the free surface elevation of focused wave groups. The application of the 'phase-inversion' method requires free surface elevation time series for a focused wave group and the same wave group inverted, 290 which are out of phase with each other. The total free surface elevation is then separated into odd and 291 even harmonics by doing subtraction and addition to the free surface elevation time series. This makes 292 the adjacent harmonics within both spectra for odd and even terms much further apart in frequency so as 293 to allow a clean separation of the focused wave groups into its fundamental components by digital 294 filtering. 295

Fig. 9 shows the extracted harmonic structures of the free surface elevation at the focal point for cases with and without the vertical seawall in place. The linear crest value of the focused wave group is A =0.06 m with f = (0.75 Hz, 1.25 Hz). From the top to bottom are the long wave, linear and 2<sup>nd</sup> harmonics, and the left and right column show the results for the cases with and without the vertical wall in place, respectively. The harmonics of the free surface elevation are enveloped to display the energy distribution in time. The envelope of the fundamental component is obtained by applying the Hilbert transform and the envelope of each harmonic above  $2^{nd}$  order is derived from the envelope of the linear component, as described in [23] among others.

It can be seen from Fig. 9 that the applied phase-inversion separation method works well, and the 304 extracted harmonics and the estimated envelopes agree well with each other. The focusing time of the 305 incoming wave groups is about ~17s for both cases with and without the vertical seawall in place. It is 306 also found that the existence of the vertical seawall leads to an increase in the free surface elevation for 307 all harmonics, but the amount of the increase decreases from long wave to the second-order harmonics. 308 The peak values in the free surface elevation from long wave to the second-order harmonics for the cases 309 with the vertical seawall in place are about 2, 1.33 and 0.33 times larger than their counterparts for the 310 cases without the vertical wall in place. In addition, there is a phase difference of  $\pi$  in long waves from 311 set-down to set-up, which indicates that the wave-induced perturbation of the mean water level is 312 different because of the existence of the vertical seawall. 313

Additionally, it can be seen that contributions of higher order free surface elevation above the first-order are about 14% and 20% of the total free surface elevation for the cases without and with the vertical wall in place, respectively. This indicates that the linear potential flow theory is applicable for small waves. However, for large waves, where wave nonlinearity dominates, the use of the linear potential flow theory is inadequate and would lead to a loss of a considerable percentage of energy.

319 The same analysis is performed for the wave loading on the vertical seawall and the results are shown in Fig. 10. The wave conditions are the same as those in Fig. 9. For the cases without the vertical seawall 320 in place, the undisturbed pressures at location of the vertical seawall in the absence of the vertical seawall 321 are recorded and integrated, *i.e.* an artificial vertical seawall is placed. Similar phenomena in Fig. 9 have 322 been observed, and compared with the free surface run-up, the non-linear effect on the wave loading is 323 less significant, with only 77% increase in the linear wave loading. Similar conclusion has been presented 324 in [28] in which focused wave group interactions with a vertical cylinder was investigated using viscous 325 flow theory. Additionally, a phase difference of 180 degrees was observed between the second-order 326 327 difference terms of the free surface elevation and wave loading.

The effect of the vertical seawall is further studied by varying the wall position. Fig.11 shows time series of the wave run-ups on a vertical seawall that is located at three different positions,  $L_1=x_f-2\lambda_{\min}$ ,  $L_2=x_f$ and  $L_3=x_f+2\lambda_{\min}$ . It means that the vertical seawall is placed right at the focal position for  $L_2$ , and is 331 moved  $2\lambda_{\min}$  forward and backward for  $L_1$  and  $L_3$ , respectively. The wave conditions are the same as those in Figs. 7 and 8. It can be seen that the most violent wave-structure interaction occurs when the 332 focal position of the focused wave group is at the stagnation point of the vertical seawall, *i.e.* the vertical 333 seawall is placed right at the focal position  $L_2$ . For small waves that can be treated as linear waves, as 334 shown in Fig. 11 (a), the wave shape for wave run-up on the vertical seawall at location of  $L_2$  is 335 symmetrical about the focusing event, while asymmetric shapes are observed in the wave run-up when 336 337 the vertical seawall is placed at  $L_1$  or  $L_3$ . This process is close to the evolution of a focused wave group in a pure wave tank without structures in place, as presented in [36]. With the increase of wave 338 nonlinearity, the asymmetry in the surface profile becomes increasingly significant as shown in Fig. 11 339 (b). The asymmetry occurs even for the wave run-up on the vertical seawall at location of  $L_2$  as wave 340 nonlinearity increases. 341

Fig.12 shows the distribution of normalized peak pressures along the height of the vertical seawall at three different locations. The wave conditions and the wall positions are the same as those shown in Fig. 11. It can be seen from the figures that the maximum peak pressure occurs when the vertical seawall is placed at the focal point  $L_2$ . At the adjacent locations ( $L_1$  and  $L_3$ ), the peak pressures are reduced, but they close to each other up to the area near the mean water level.

The effect of the location of vertical seawall is considered in details in Fig. 13 in which variations of the 347 348 crest wave run-up and the maximum wave loading on the vertical seawall with the locations of the vertical seawall are shown. The actual focal point is  $x_f$  and the vertical seawall is moved from  $-4\lambda_{\min}$  to 349  $+4\lambda_{\min}$  away from the focal point. The sign negative and positive mean that the vertical seawall is moved 350 backwards and forwards, respectively. It can be seen that the wave run-up and the wave loading on the 351 vertical seawall at the focal point are the largest compared with the results for the cases that the vertical 352 seawall is located away from the focal point, consistent with what is observed from Figs. 11-12. It is 353 354 clear that the direction of the wall movement has relatively weak effects on the run-up and the wave loading as the variations of the run-up and the wave loading are approximately symmetrical about the 355 focal point. Additionally, differences in the wave run-up and the wave loading on the vertical seawall 356 because of the movement of the vertical seawall is larger for incoming focused wave groups with larger 357 358 linear crest amplitude due to stronger wave nonlinearity. As anticipated, differences in the wave run-up is more obvious than that in wave loading, which indicates that the wave nonlinearity in wave loading is 359 360 less significant as observed in Figs. 9-10.

The evolution of the focused wave group in the presence of vertical seawall is considered in Fig. 14. Two linear incoming wave amplitudes are considered (A = 0.01 m and 0.06 m) for the spectrum f = (0.65Hz, 1.35Hz).  $t_f$  is the actual focal time and the time increment dt = 0.0148 s. Fig. 14 shows the convergence of wave energy at the focal time and the rapid development of the maximum crest run-up on the vertical seawall.

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### 367 3.2. The effect of wave parameters on the wave run-up and the pressure

In this section, the validated numerical model is used to study the dependence of the maximum wave 368 run-up and the pressures on surrounding sea states. Spectrum bandwidth is one of the most important 369 parameters that affect the characteristics of the incoming focused wave groups. Fig. 15 considers the 370 surface elevations resulting from wave groups with three different spectrum bandwidths. (*i.e.*, 0.75 Hz  $\leq$ 371  $f \le 1.25$  Hz, 0.65 Hz  $\le f \le 1.35$  Hz, 0.55 Hz  $\le f \le 1.45$  Hz). Two incident linear wave amplitudes are 372 considered (A = 0.01 m and 0.06 m). The linear focal positions  $x_p$  are selected as 12 m, 15 m and 18 m 373 for spectrum bandwidth BW =  $(f_{\text{max}} - f_{\text{min}})$  of 0.5 Hz, 0.7 Hz and 0.9 Hz, respectively. The corresponding 374 focal times are defined according to the formula  $x_p/t_p = \lambda_{min}/2T_{min}$ . With a small input amplitude (Fig. 15) 375 (a)), there is a good agreement between the numerical results for the extreme crest, all equal to twice 376 incident amplitude A and the envelopes are symmetric about the focal time. In this case, the amplitude 377 378 of the individual wave components is less than 1 mm (calculated by Eqn. (13)), and, consequently, the non-linear wave-wave interactions are negligible. However, for a larger incident wave A = 0.06 m in 379 Fig.15 (b), the increase in wave amplitude leads to a divergence from the linear solution. The maximum 380 crest run-up on the vertical seawall reaches 0.185 m for BW = 0.5 Hz, about 1.5 times the linear solution 381 due to strong wave nonlinearity. The wave crest at the focal position becomes large, and the adjacent 382 wave troughs become deeper for narrow-banded spectrum. In addition, it can be seen that the wave crest 383 384 decreases with increasing spectrum bandwidth for both considered input wave amplitude, though, it is more obvious for larger input wave amplitude due to stronger non-linearity. Larger spectrum bandwidth 385 leads to larger incident wave energy and more violent energy transfer between adjacent harmonics [40]. 386 The phase difference between harmonics could lead to the reduction in the total surface elevation, as 387 shown in Fig. 2 and Fig. 9. The mutual effect of the increasing incident wave energy and larger harmonics 388 at frequencies lower and higher than the linear component results in smaller wave crest for cases with a 389 390 broader bandwidth.

Fig.16 shows the distribution of the peak wave pressure along the height of the vertical seawall at focal time for wave groups with three different spectrum bandwidths. The wave conditions are the same as those in Fig. 15. It can be seen from Fig.16 that the peak pressure on and below the still water level increases with the spectrum bandwidth, which is opposite to what has been observed in Fig. 15. This may due to the fact that there are phase differences between the linear surface elevation and the linear wave pressure as well as the corresponding sub- and higher-harmonics. Similar to the wave run-up, the differences in peak pressure resulting from the differences in spectrum bandwidth are more obvious in the cases with larger input wave amplitude.

The effect of input linear wave amplitude *A* on the maximum crest elevation and the maximum wave loading is investigated in Fig. 17. Three spectrum bandwidths are considered (BW = 0.5 Hz, 0.7 Hz and 0.9 Hz). From the figure, it is found that the increased wave amplitude produces a rapid divergence from the linear solution especially when A > 0.03 m. And the maximum wave run-up in the narrow-banded case is larger than that in the broad-banded case with the same input linear wave amplitude. Opposite trend is observed in the maximum wave loading. This trend is more obvious for the cases with larger input amplitude *A*, as observed in Figs. 15-16.

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#### 407 4. Conclusions

A time-domain numerical model based fully nonlinear potential flow theory is extended and applied in 408 409 this study to investigate the performance of coastal structures with vertical wall in extreme events. The fully nonlinear wave motion is captured using a mixed Eularian-Lagrangian higher-order boundary 410 411 element method, and it is advanced in time by applying 4th-order Runge-Kutta technique to the fully nonlinear kinematic and dynamic free surface boundary conditions. New boundary conditions are added 412 to extend capabilities of the numerical model in generating focused wave groups so as to allow an 413 investigation of focused wave group interactions with a vertical seawall. The numerical model has been 414 415 validated by comparing with the published benchmark experiments on both non-linear regular waves and focused wave groups impacting on a vertical seawall. 416

The characteristics of maximum wave run-up and consequent maximum wave pressure on the vertical 417 seawall are investigated in depth using the validated numerical model. It is found that not only the 418 reflection from the vertical seawall but also the wave nonlinearity contributes to the increase of the 419 maximum crest wave elevation and wave pressure. The maximum wave run-up on the vertical seawall 420 can be 2.6 times the height of the incident wave group with larger incident wave amplitude. Also, the 421 wave nonlinearity is found to increase with deceasing bandwidth. This indicates that the increased wave 422 amplitude and the decreased bandwidth would produce a rapid divergence from the linear solution. In 423 424 the cases where wave nonlinearity dominates, the use of linear theory is not adequate and leads to an 425 underestimation in the maximum wave run-up and overestimation in the maximum pressure on the vertical seawall, respectively. That is, the application of the fully nonlinear potential flow theory is necessary and important for practical applications where wave nonlinearity is significant. The proposed numerical model is also appropriate for other applications such as green waters and dynamic responses of floating structures.

Further investigation by extracting the harmonic structures of the maximum wave elevation and wave loading on the vertical seawall found that the existence of the vertical seawall relates to the generation of both sub- and higher harmonics, and their contributions can be large, up to 20%. Additionally, compared with the free surface elevation, the nonlinear effect on wave pressure and wave loading on the vertical seawall is less significant, with a smaller increase for the same wave condition.

Furthermore, most violent wave-structure interactions are observed when the focal point is at the vertical seawall, *i.e.* the vertical seawall is placed right at the focal point. Moving the structure either forwards or backwards reduces both the maximum crest elevation and pressure on the vertical seawall. The effect of the direction of movement is negligible in the present study.

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Fig.1 Definition sketch of the wave flume





Fig.2 The distribution of normalized pressures along the height of the vertical seawall due to regular waves.



Fig.3 Numerical and measured time series of the free surface elevation at the focused point.





Fig.4 Time series of wave pressures on the vertical wall at z = 0.05 m.



Fig. 5 Distribution of wave pressure along the vertical wall





(b) without the vertical seawall in place

Fig.6 Time series of the free surface elevation at focal point with and without the vertical seawall in place. The linear crest value of the focused wave group is A = 0.06 m with f = (0.65 Hz, 1.35 Hz). Left: with the vertical seawall in place, Right: without the vertical seawall in place.



Fig.7 Time series of the free surface elevation at focal point with and without the vertical wall in place for the spectrum with f = (0.65 Hz, 1.35 Hz).



Fig.8 Power spectra for the free surface elevation time series shown in Fig. 7.



Fig.9 The harmonic structures of the free surface elevation at focal point and envelopes for cases with and without the vertical seawall in place. The linear crest value of the focused wave group is A = 0.06 m with f = (0.75 Hz, 1.25 Hz). Left: with the vertical seawall in place; Right: without the vertical seawall in place.



Fig.10 The harmonic structures of the wave loading on the vertical seawall and their envelopes for cases with and without the vertical seawall in place. The linear crest value of the focused wave group is A = 0.06 m with f = (0.75 Hz, 1.25 Hz). Left: with the vertical seawall in place; Right: without the vertical seawall in place.



Fig.11 Time series of the wave run-up on the vertical seawall at three different wall positions with f = (0.65 Hz, 1.35 Hz)



Fig.12 The distribution of normalized peak pressures along the height of the vertical seawall at three different wall positions with f = (0.65Hz, 1.35Hz).



Fig.13 Variations of the crest wave elevation and the maximum wave loading on the vertical seawall with the locations of the vertical seawall for f = (0.65Hz, 1.35Hz).



Fig.14 Evolution of the focused wave groups with the spectrum f = (0.65Hz, 1.35Hz) and the time increment dt = 0.0148 s.



Fig.15 Time series of the wave run-up on vertical seawall for focused wave groups with various bandwidths.



Fig.16 The distribution of the peak wave pressure along the height of the vertical seawall for focused wave groups with various bandwidths.



Fig.17. Variations of the maximum crest wave elevation and the maximum wave loading on the vertical seawall with input linear wave amplitude.