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New ANN Method for Multi-terminal HVDC Protection Relaying

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Abstract: This paper proposes a comprehensive novel multi-terminal HVDC protection scheme based on artificial neural network (ANN) and high frequency components detected from fault current signals only. The method is shown to accurately detect, classify and locate overhead line faults. Unlike existing traveling wave based methods which must capture the initial wavefront and require high sampling rates, the new approach is more robust since it gives accurate fault detection and fault location over a range of windowed post-fault signals. Furthermore, the proposed method is fault resistance independent meaning even a very high fault impedance has no effect on accurate fault location. A three-terminal VSC-HVDC system is modelled in PSCAD/EMTDC, which is used for obtaining the fault current data for transmission line terminals. The method is verified by studying different cases with a range of fault resistances in various fault locations, and in addition, external faults. The results show that the proposed method gives fast (<5 ms) and reliable (100%) fault detection and classification and accurate location (<1.16%) for DC line faults.

Keywords: Artificial neural network, fault current signal, fault detection, fault location, transmission line, VSC-HVDC system.

1. Introduction

Multi-terminal High Voltage Direct Current (MTDC) has often been proposed as the most promising technology for an inter-continental super-grid or collecting bulk offshore renewable energy sources [1-3]. Much renewable generation is available at locations remote from load centres, and thus must to be transported efficiently over long distances [4]. For example, to interconnect extensive offshore wind sites between Northern Europe and the UK, an offshore super-grid may be required in the future. Similarly an MTDC grid could transmit extensive solar power from the deserts of Northern Africa to the load centres of Europe.

27 Recent developments in DC circuit breakers and voltage source converters (VSC) make
28 MTDC a more technically and economically feasible technology [5]. However, there are
29 many technical challenges in evolving from point-to-point HVDC to MTDC, especially in
30 protection systems. In typical HVDC point-to-point links, the most common method of
31 isolation is using an AC circuit breaker to trip the entire HVDC system. Hence, the circuit
32 breakers reside on the AC side, and in the event of a fault, the entire link is de-energised [6].
33 In the multi-terminal case, however, it is more desirable to isolate only the faulted link rather
34 than trip the entire DC grid. DC circuit breakers, presently in the early stages of development,
35 are required to isolate the faulted line. DC breakers require appropriate protective relaying,
36 so the faults may be detected and isolated immediately. In addition, the overall protection
37 system may require online adjustment of zonal relay settings, the faulted line may require
38 maintenance, and the system will need restorative action, all necessitating an accurate and
39 fast fault-location algorithm [3].

40 Traveling wave based protection has been successfully applied in both AC and HVDC
41 systems by virtue of development of fast A/D conversion and numeric relay technology [7,
42 8]. The most common method of traveling wave based protection in multi-terminal systems,
43 as introduced in [3, 9], is detecting the initial wavefront which has a demonstrably fast and
44 accurate response. Due to its fast computational time and straightforward implementation,
45 the discrete wavelet transform (DWT) is preferred for detecting arrival of wavefronts [10]
46 over using pure frequency or time domain based methods [11]. However, limitations exist
47 with traveling wave protection [8, 12, 13], such as lack of mathematical tools to model the
48 traveling wave, difficulty in the detection of the wave-head, being easily influenced by noise,
49 inability to detect close-up faults, unequal traveling wave velocities in line and underground
50 cables, and requiring knowledge of the surge impedance and a high sampling rate.

51 K. De Kerf et al. proposed a DC fault detection algorithm for multi-terminal VSC-HVDC
52 systems using three independent fault criteria, including voltage wavelet analysis, current
53 wavelet analysis and voltage derivative and magnitude [14]. Even though the detection time
54 reaches 1 ms, the method is only proved for a fault resistance of 0.01 Ω . In practice the fault
55 impedance will vary, and the method presented in this new work is shown to be robust to this
56 variation. A non-unit protection is proposed by same authors [15] based on the reflection of

57 the traveling wave at an inductive termination, which uses both voltage and current signals
58 compared with a pre-selected threshold. However this method requires accurate and fast
59 current and voltage sensors, leading to higher overall system costs than a method that relies
60 on current signals only, such as that presented in this paper. Boundary protection is a
61 transient-based protection concept which has been proposed in [13]. In a DC system,
62 smoothing reactors and the capacitors installed at both ends of the DC transmission line and
63 the inherent bus bar capacitance represent a natural boundary that does not transmit high
64 frequency signals, and thus can be used to rapidly distinguish between internal and external
65 faults [8]. Another transient harmonic current protection method, based on the boundary
66 characteristic is introduced in [16]. However, this kind of transient based protection may mal-
67 operate because of non-fault transients caused by lightning. A low speed protection for
68 mechanical DC breakers is proposed in [17], where the total fault clearing time is in total 60
69 ms, **a prohibitively** long relaying time for most DC breakers. The backup protection proposed
70 in the paper requires fault current limiting equipment resulting in additional costs. In [18] a
71 data based primary fault detection method **using** current signals is proposed. However the
72 fault detection relies on information from several buses in the system, and **thus necessitates**
73 a fast communication link, unlike the method presented here, which **uses** single ended
74 measurements for fault detection and classification. The hybrid protection presented in [8]
75 can distinguish both non-fault lightning transients and detect close-up faults, which may be
76 missed by traveling wave methods. However, high sampling frequencies are required, which
77 demand more expensive hardware for sampling and calculation. Another method [12] is
78 based on the relation between parameters of the DC transmission line and the variation of
79 transient energy obtained from the voltage and current measurements at both terminals,
80 although the technique is not validated with a frequency dependent line model. The authors
81 in [16] present a method utilizing transient harmonic current based on the boundary
82 characteristic of DC transmission lines. However, it takes a maximum of 30 ms to identify
83 faults, which is not quick enough for protection purposes. In addition, the sensitivity of the
84 method will decrease with increasing fault resistance. A differential protection technique for
85 MTDC transmission lines has been proposed in [19], which depends on analysis of the high
86 frequency transients in current signals at each line terminal. As with any differential scheme,

87 this relies on communication between both terminals representing a single point of failure
88 and introducing inherent latency.

89 The time for the protection system to respond to a fault is particularly critical for MTDC.
90 The present generation of DC circuit breakers need to operate within a few ms [20]
91 (approximately 5 ms according to a manufacturer) [21, 22] to successfully interrupt the
92 rapidly increasing DC fault current. This is technically challenging but the main fault
93 detection proposed in this paper is always shown to robustly operate under 5 ms. With
94 ongoing improvements in breaker technology the authors believe this will be sufficiently fast
95 either for the current generation of breakers or in the very near future.

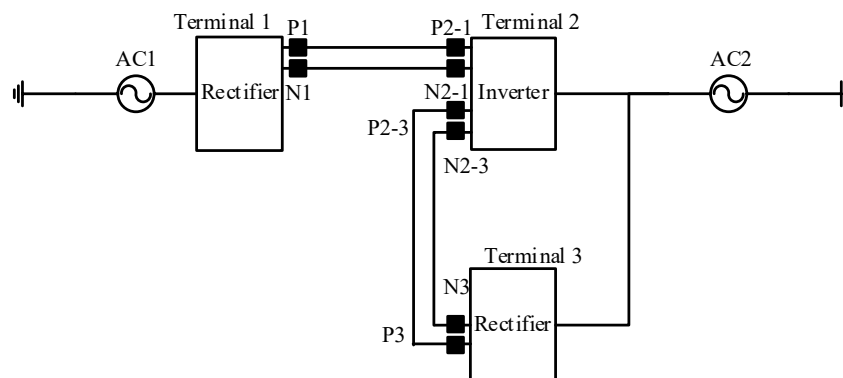
96 Artificial Intelligence (AI) is a powerful collection of computing concepts modelled on the
97 thought and behaviour of human beings and animals. Many AI techniques attempt to
98 automate rational decisions that would usually be made by a human expert, by including
99 missing data, adapting to evolving situations and improving performance over long time
100 horizons based on accumulated experience. Researchers have shown that ANNs can
101 successfully be applied to a wide array of applications, for example reservoir inflow
102 forecasting in hydrology [23-25]. As indicated in [26, 27], ANN based methods are effective
103 for fault detection and fault location on AC grids and HVDC systems due to accuracy,
104 robustness and speed. The ANN is often fed by a feature selection stage, such as the Wavelet
105 Transform (WT). Combined sequentially, these perform fault detection, classification or
106 location [28-30]. However, to the authors' best knowledge, there is no published work for
107 ANNs applied to MTDC transmission protection systems.

108 As proposed in [31], the frequency spectrum of voltage and current contains useful
109 information for protection purposes. The authors in [32] indicate that much of the frequency
110 spectrum combined with an artificial neural network may be used for fault detection and fault
111 location. Hence, the higher frequency components are selected and fed to suitably designed
112 ANNs in this work. This paper presents a comprehensive ANN-based transient protection
113 scheme that accurately and quickly detects, classifies and locates faults on MTDC overhead
114 lines. The scheme is shown to have improved performance over previously used protection
115 techniques, such as robustness to high impedance and close-up faults and excellent
116 discrimination of external faults.

117 This comprehensive protection scheme based on ANNs overcomes the drawbacks of
 118 previous work, and for the first time, successfully uses wide-band information from the
 119 frequency domain for HVDC protection, opening new research avenues. The proposed
 120 method uses a larger section of the signal for detection and thus reduces the uncertainty
 121 inherent in existing travelling wave methods that rely on detection of the initial wavefront.
 122 With ANNs, the computational time is far improved, not only for fault detection, but also for
 123 fault location. The accuracy of fault detection is shown to be 100% within 4.5 ms, and
 124 location accurate to 1.16% within 25 ms compared with 1.5 s in [33] and up to 36 s in [34].

125 2. Multi-terminal HVDC System Modelling

126 A three-terminal VSC based HVDC system was modelled using the PSCAD software,
 127 based on the CIGRE B4 DC grid test system [35]. A portion of the CIGRE B4 DC grid test
 128 system, shown in Fig.1, consists of three symmetrical monopole converters, DC link
 129 capacitors, passive filters, phase reactors, transformers, DC transmission lines and AC
 130 sources.



131

132

Fig. 1. The configuration of three-terminal HVDC system

133

2.1 Converter Station Modelling

134

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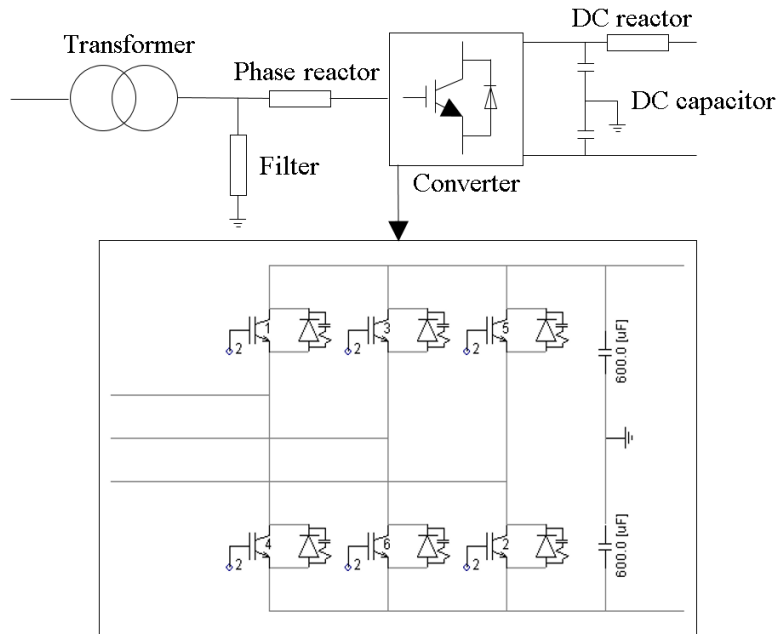
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In contrast to line-commutated, so-called current source converter (CSC) HVDC systems, VSC HVDC systems function as an ideal DC voltage source so the DC voltage polarity can remain constant when the power flow is reversed for a single VSC converter station. These capabilities make the VSC-HVDC suitable for constructing multi-terminal HVDC systems. In the simplest converter architecture, the AC voltage waveform is synthesized using a two-

139 level approach. The converter can be modelled as a controllable voltage source which
 140 connects to an AC network through a series reactor at the point of common coupling (PCC)
 141 [36, 37]. The basic configuration of the converter station, as well as the configuration of VSC
 142 converters of three-phase, two-level, and six-pulse bridges are presented in Fig. 2.



143

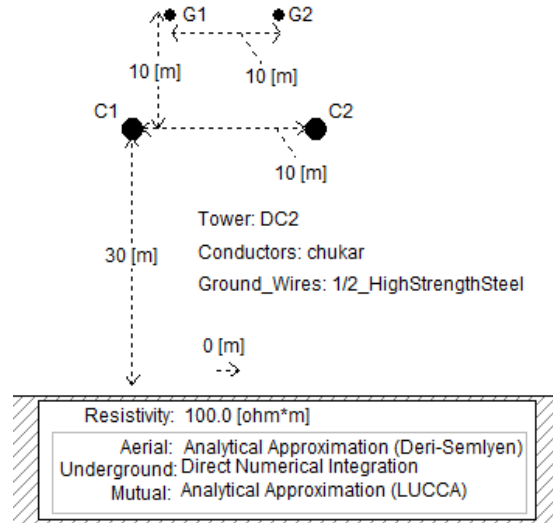
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Fig. 2. Configuration of VSC converter station

145 Although more modern multi-level converter architectures exist, the system chosen here is
 146 the well-proven two level converter for its simplicity. On the AC side, phase reactors are
 147 applied between transformers and converters for limiting both the active and the reactive
 148 power flow by regulating currents through them. The phase reactors also function as AC
 149 filters to reduce the AC current harmonic content caused by pulse width modulated (PWM)
 150 switching of the converter station. In the well-established two-level converter stations, a low-
 151 pass LC-filter is included on the AC side which will suppress high frequency harmonic
 152 components. Capacitors on the DC side provide energy storage reducing the DC voltage
 153 ripple [38]. In addition, DC reactors are connected after DC capacitors to reduce the rate of
 154 rise of DC fault current and mitigate harmonic currents.

155 2.2 Transmission line Model

156 Since the transmission line is in reality made up of distributed parameters that are
157 frequency dependent, this scheme has been developed with such a line model to accurately
158 capture the high frequency transient response. The branches are exclusively overhead lines,
159 where each line is 200 km long with the geometric conductor layout illustrated in Fig.3. Each
160 line is a symmetrically grounded monopole.



161

162 Fig. 3. The configuration of overhead transmission line model

163 For analysis of steady state conditions, the transmission line can be modelled using lumped
164 parameters, however, distributed parameters should be used to accurately reflect transient
165 behaviour [39]. The transmission line or cable can be represented by series impedances and
166 shunt admittances per unit length. The series impedance is inherently frequency dependant
167 due to its imaginary reactance and also due to the skin effect in the conductor and earth wires.
168 Based on these parameters, the characteristic parameters of the line, the surge impedance and
169 propagation constant can be derived, which determine the propagation behaviour of traveling
170 waves on the line [40].

171 2.3 Controller Model

172 Since this scheme relies on electromagnetic transients, it is important to model the
173 converter stations in appropriate detail, including their control systems. The coordinated

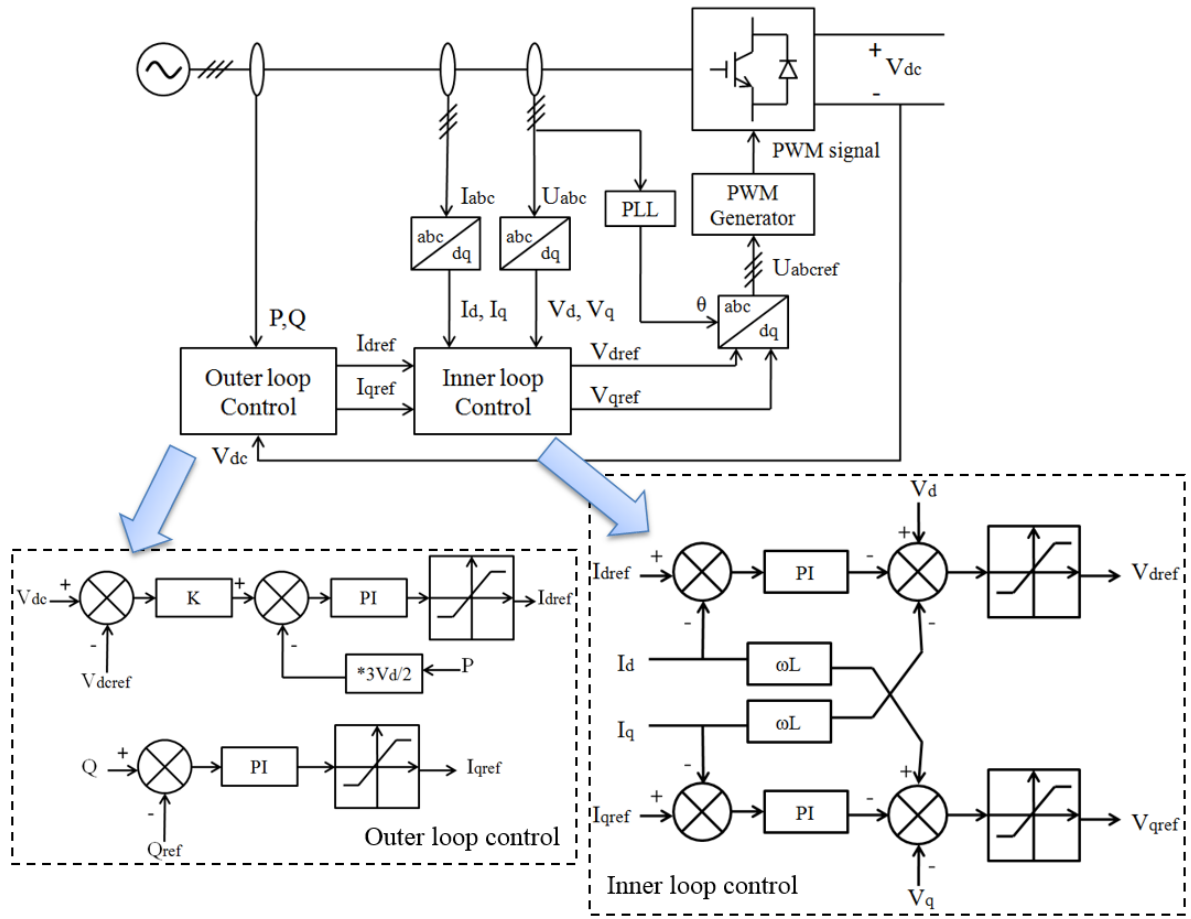
174 control of MTDC systems is technically challenging because the power flow and voltage
 175 must be carefully controlled at each bus by the switching of the converters. There are various
 176 competing methods of achieving this in the literature [37, 41-44]. In this paper, voltage droop
 177 control, based on system droop characteristics as discussed in [44], was chosen for the system
 178 control. This was chosen for relative simplicity and robustness since voltage droop control
 179 allows multiple converters to regulate the voltage at the same time [42]. Hence, if the control
 180 system in one terminal is disconnected, the other terminals can still regulate the voltage levels
 181 in the DC system.

182 The phase voltage, including the amplitude, the angle and the angular frequency can be
 183 actuated by the VSC control system. With respect to the AC or DC grid, each VSC station
 184 can be controlled in a number of different ways. Due to the decoupled current control, the
 185 active and reactive power can be dispatched independently since the two orthogonal dq-
 186 current components can be changed independently. In the modelled network shown in Fig.
 187 1, terminal 2 is set as a slack bus to balance the system by supplying active power. Such an
 188 approach does not require communication between the terminals, because two terminals are
 189 responsible for DC voltage regulation and the remaining terminals are responsible for
 190 providing active power under both steady state and transient conditions.

191 To generate the reference values in the abc frame, dq control is used. The outer controller
 192 sets the droop and applies power and DC voltage limits, as shown in Fig. 4 and in equation
 193 (1).

$$194 \quad (V_{dcref} - V_{dc})K - \frac{2}{3V_d}(P_{ref} - P) = 0 \quad (1)$$

195 Where: V_{dcref} is the reference DC voltage, K is the proportional gain, V_d is the reference
 196 AC voltage in the dq0 reference frame and is desired to have constant value and P_{ref} is the
 197 reference active power.



198

199

Fig. 4. The outer controller and inner controller

200 Using appropriately tuned PI controllers, the outer controller calculates the reference
 201 currents, I_{dref} and I_{qref} , which are the inputs to the inner control. Both active power control,
 202 P , and dc voltage control, V_{dc} , are achieved by controlling the reference to the active current
 203 controller. The I_{dref} signal is obtained by combining these two types of controllers. The
 204 reactive power, Q , controls the reactive current, I_{qref} , via a PI block as shown in Fig. 4. The
 205 droop is set by equation (2) which determines the proportional gain, K .

206

$$K = \frac{2P_{rated}}{3\delta_{dc}V_dV_{dcrated}} \quad (2)$$

207

208

Where: δ_{dc} is the voltage droop, P_{rated} is the rated active power, $V_{dcrated}$ is the rated DC voltage.

209 From a *systems* perspective, the DC bus voltage and current droop relation is directly linked
210 to the voltage dynamics in the DC system. The current and voltage are transformed into the
211 rotating direct-quadrature frame and the signals are passed to the inner-current PI controllers
212 (shown in Fig. 4) that must be carefully tuned for stability. In the inner-current loop, control
213 limits the current to protect the valves. PWM directly controls the inverter circuit switches,
214 producing a series of pulses which have equal amplitude in the output but varying duration.
215 The gate pulses from the PWM give the valve specific configurations to synthesise the AC
216 waveform. Controlling the PWM can vary both the magnitude and frequency of the output
217 voltage of the converter circuit [45].

218 *2.4 System Transient Response*

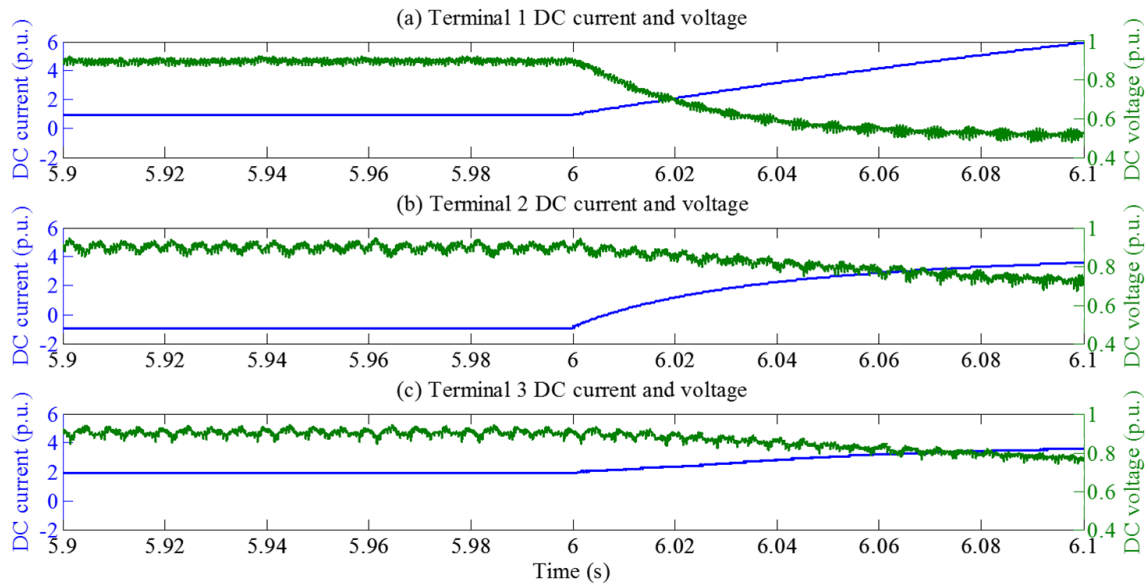
219 Because of their widespread distribution over an array of complex terrains and their
220 exposure to the environment, transmission lines experience the highest occurrence of faults
221 of any part of the power system, and therefore correct operation of their protection systems
222 is crucial.

223 The most common overhead line short circuit faults, namely pole-to-pole faults and pole-
224 to-ground faults are analysed in the simulated network. After the occurrence of a fault, low
225 frequency steady state components and high frequency transient components due to traveling
226 waves will be generated on the transmission line.

227 On the system depicted in Fig. 1, an example pole-to-pole fault on section 1 between
228 terminal 1 and terminal 2 is applied to show the transient response of the system. The fault
229 is at 30% of the line length from terminal 1 with 0.01 Ω fault resistance. Fig. 5 depicts the
230 DC currents and voltages on different terminals clearly have a far more significant response
231 to the fault on the faulted line compared to the healthy line.

232 The control scheme must respond quickly to preserve system stability after the faulted
233 section is de-energised, and this necessitates detailed modelling of the control to faithfully
234 reproduce the system's transient response. Due to PWM switching action in VSC-HVDC,
235 the current flowing to the DC side of a converter contains harmonics, which will result in a
236 ripple on the DC side voltage. During disturbances in the AC system (i.e. faults and switching

237 actions) large power oscillations may occur between the AC and the DC side. This in turn
 238 will lead to oscillations in the DC voltage and DC overvoltage that may stress the valves.
 239 The DC side capacitor (shown in Fig. 2) can mitigate this problem by providing faster
 240 converter response and energy storage to be able to control the power flow. The relatively
 241 small time constant allows fast control of active and reactive power.



242

243 Fig. 5. The configuration of overhead transmission line

244 **3.Signal Chain**

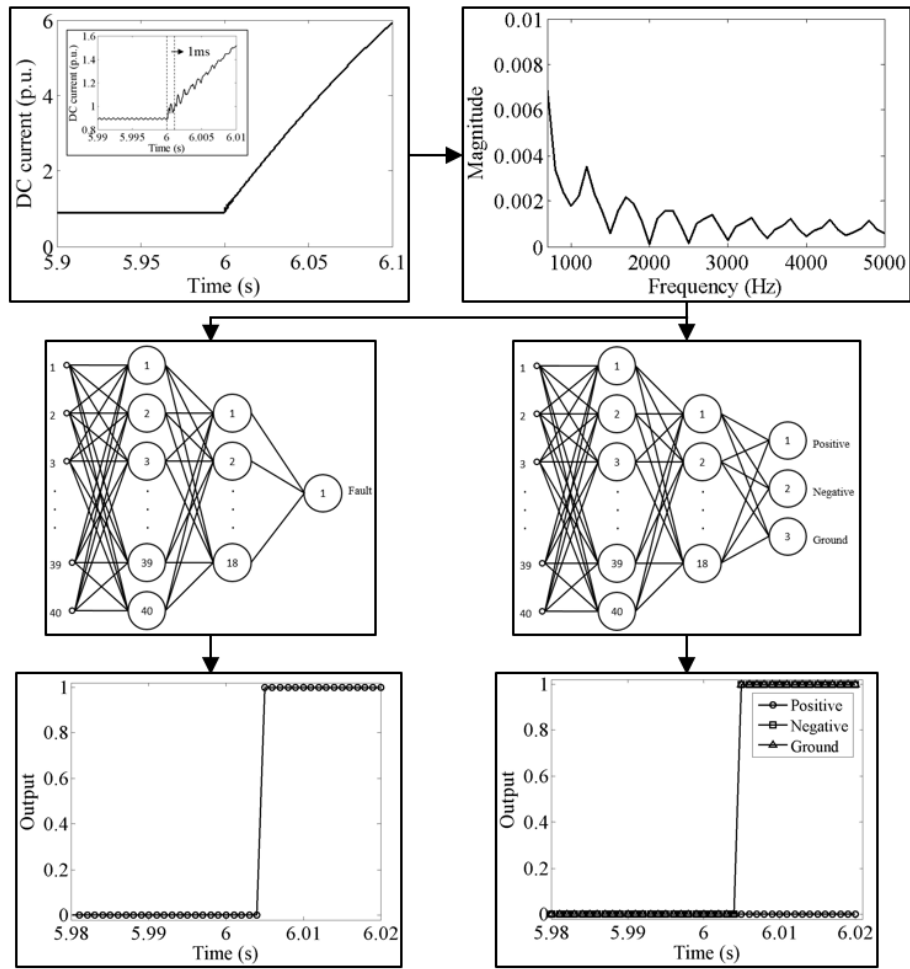
245 *3.1 Overview of signal chain*

246 An overview of the signal chain is presented in Fig. 6, for fault detection and fault
 247 classification, and Fig. 7 for fault location. Firstly, a time series is generated by the digitally
 248 sampled current transducer signal. A fast Fourier transform (FFT) is applied on the windowed
 249 signal to create the input data for the ANN. A counter is then applied to the ANN to generate
 250 the diagnostic signal. Each stage is discussed in detail in forthcoming sub-sections.

251 *3.2 Signal Processing*

252 With the high frequency component method, the detected characteristic frequency will not
 253 propagate onto the adjacent line due to the DC shunt capacitors in the current path. Therefore

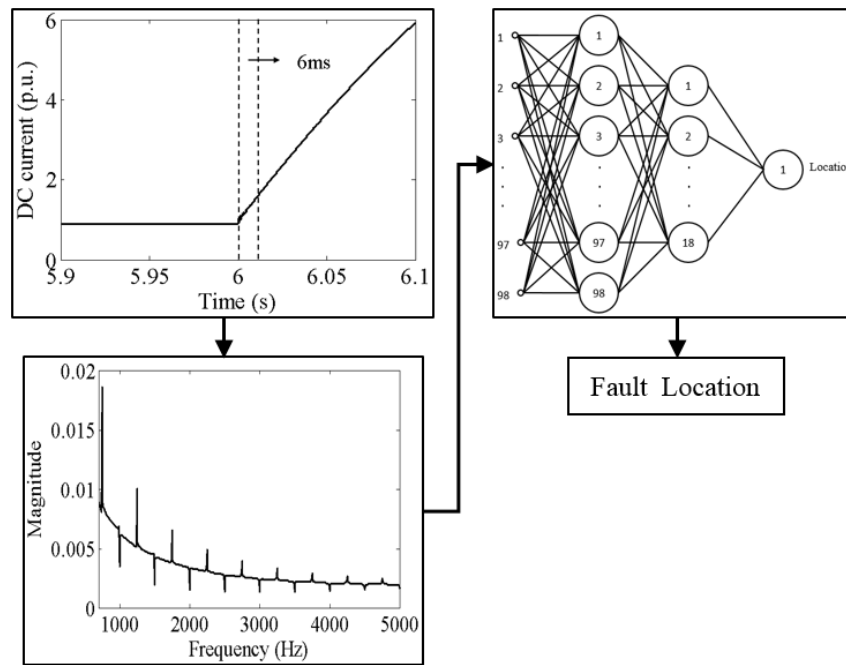
254 even though the DC current and voltage will be influenced during a fault on the other line,
 255 the characteristic frequency will only exist on the faulted line.



256

257

Fig. 6. Signal chain for fault detection and classification



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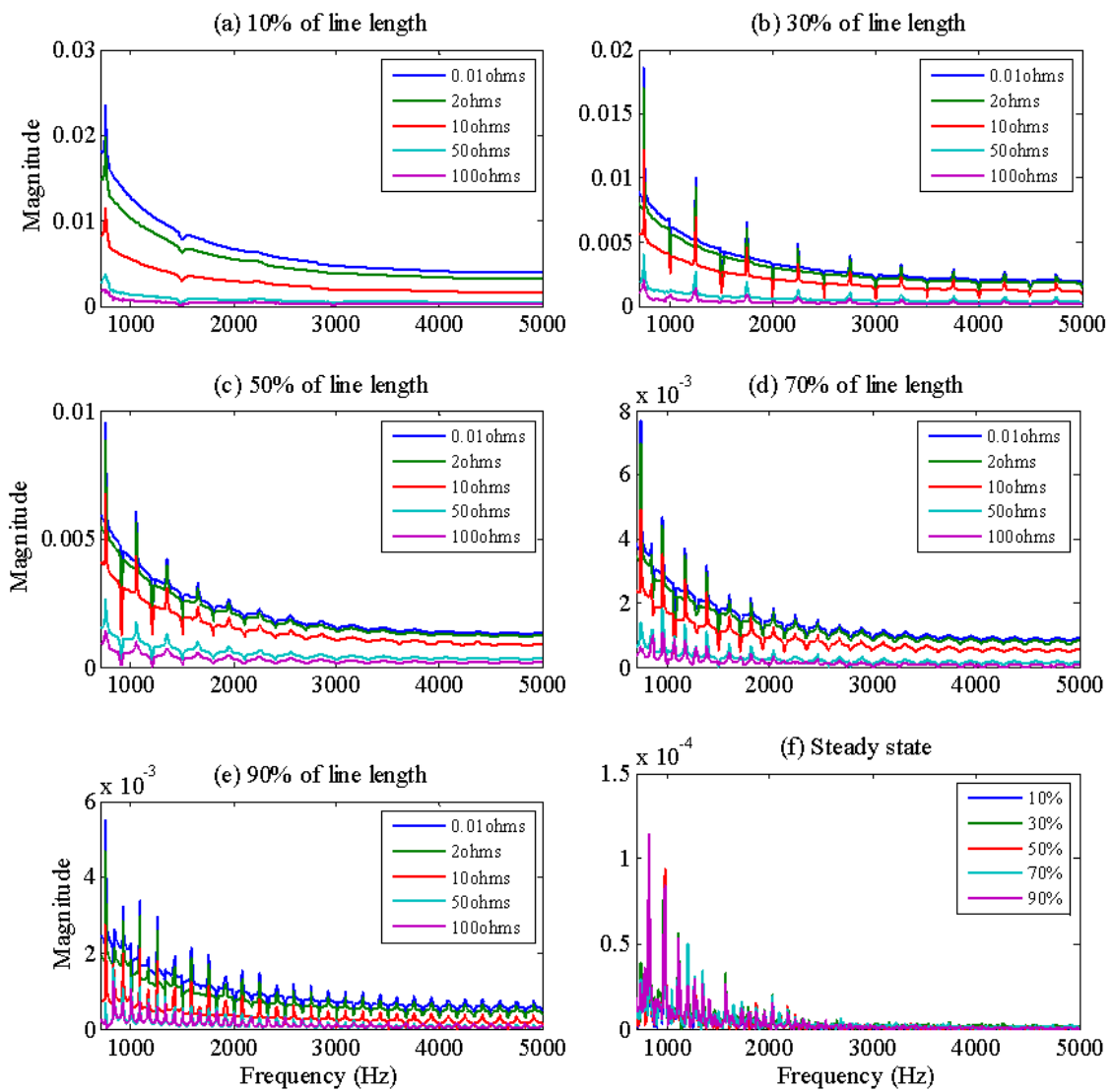
Fig. 7. Signal chain for fault location

260 The windowed discrete Fourier transform (DFT), implemented using the computationally
 261 efficient FFT algorithm, is a well-established method for isolating frequency components of
 262 a signal, and thus used in a variety of real time control and protection applications [46].
 263 Extensive studies have shown that an adequate representation of frequency domain
 264 information can be obtained by an FFT with a very short window length [47]. This leaves
 265 more computational time for the rest of the relaying scheme so that the DC circuit breakers
 266 can operate within the overall protection time budget.

267 Following the windowed FFT on signal $f(t)$, the complex amplitude is represented by
 268 $F(\omega)$ which gives the signal's spectral components. The real parts of $F(\omega)$ are then used to
 269 indicate the magnitude at different frequencies in the windowed signal to produce a frequency
 270 spectrum. The phase information from the imaginary part of the FFT is discarded.

271 The technical computing environment MATLAB was used for signal processing and neural
 272 network design. The sampling frequency of 10 kHz, which translates to 200 samples per 50
 273 Hz AC cycle, was found to be a sufficient compromise between fault location accuracy and
 274 to provide enough time for the overall relaying speed of the scheme.

275 The frequency spectrum generated from signal processing is shown in Fig. 8. Here, the
 276 current signal detected at terminal 1 in the system depicted in Fig. 1, is shown for the positive
 277 pole when a ground to pole fault under various conditions is simulated. The signal is
 278 windowed from the start of the fault and the window length is 6 ms. Fig. 8 (a) to (e) clearly
 279 show that only spectral features change with fault location whereas the magnitude of the
 280 spectrum changes with fault resistance. In particular, the position of the features, namely
 281 valleys and peaks, do not change with varying fault resistance, only the background level of the
 282 frequency spectrum. In contrast, the position of the features *do* vary with the location of
 283 the fault. Therefore these peaks are an important input feature to the fault location ANN.



284

285 Fig. 8. Frequency spectra for different fault locations from the terminal 1, varied from 10% (a) to 90% (e)
286 and steady state condition (f).

287 The high frequency components shown in Fig.8 occur because of the traveling waves that
288 emanate from the fault location due to the sudden step change in circuit conditions. The
289 arrival time of successive traveling waves, both original and reflected, is determined by the
290 fault location and therefore the fault location has a direct relationship with the position of
291 features in the frequency spectrum. The post fault frequency spectrum is largely time-
292 independent. This increases the robustness of this frequency domain approach because
293 regardless of the window's location on the post fault time series, it will yield a very similar
294 frequency spectrum for some time after the fault.

295 Fig. 8 (f) shows the frequency spectra generated in steady state conditions for comparison.
296 The features arise largely due to the switching of the converter stations but the magnitude of
297 these features and the overall spectral energy under steady state conditions is negligible
298 compared with the fault conditions.

299 *3.3 Artificial Neural Network*

300 Numerous ANN-based applications have been shown to give improved performance in
301 power system protection for tasks like fault location and phase selection. This is because of
302 the ANN's ability to discern classes in complex problem spaces, such as between transients
303 caused by faults and those under healthy conditions [48]. To develop formal relaying
304 schemes, precise mathematical models of each system fault condition must be constructed.
305 However, compared with conventional formal approaches, ANNs can be trained to recognise
306 non-linear relationships between input and output data without requiring knowledge of their
307 internal processes. As such, using its innate ability to recognise patterns, generalise and
308 interpolate within the parameter space, the ANN only requires simulated training data rather
309 than extensive formal and deterministic fault and system models [49]. Importantly, after
310 training, ANN operation time is extremely fast because it only consists of a number of simple,
311 interconnected processing units [49].

312 The Fourier transform extracts a feature vector from the current waveform and feeds it to
313 a purposefully pre-designed neural network. Since the frequency spectrum contains sufficient

314 information about the fault, only current signals from one terminal are required for fault
315 detection and classification. As mentioned earlier, the frequency spectra captured for each
316 fault case express unique features at each fault location. However due to the complexity of
317 the mapping between spectral features and fault information, a trained ANN is an integral
318 part of the relaying scheme. Firstly one neural network performs fault detection, namely
319 whether the feature vector belongs to a healthy or fault condition. If a fault condition is
320 detected, two further ANNs are trained to perform classification and fault location tasks
321 respectively. In all cases the ANNs are multi-Layer perceptron (MLP) architectures, with the
322 specific arrangements for each ANN shown Figs. 6 and 7 with the hyperbolic tangent sigmoid
323 transfer function used in the hidden layers.

324 A window of length 1 ms is applied to capture DC current signals for fault detection and
325 classification, whilst a 6 ms window is used for fault location. After comprehensive analysis
326 these **window lengths** were empirically determined to give the best compromise between
327 speed and accuracy for each task. Inputs are taken from standard DC current transducers and
328 frequencies over 700 Hz of the current waveform are utilized. In the proposed fault detector
329 and classifier, 20 frequency bands from each signal in the range 2800 Hz to 4700 Hz, each
330 approximately 95 Hz in width, show the best performance used as ANN input. (Thus, in total,
331 each ANN has an input layer of 40 neurons of DC current, 20 from the positive line and 20
332 from the negative line). The fault detection and fault classification ANN receives the same
333 input vector with 40 inputs but they work independently from each other. For the fault
334 location ANN, the faulty line current from both ends of the line are required with 6 ms
335 window length. Thus in total, fault location uses 98 frequency bands between 3600 Hz and
336 4400 Hz, approximately 16 Hz in width, from two terminals (49 input bands from each
337 terminal). The hidden layer consists of 18 neurons. The training data was split into 70%
338 training samples, 15% validation samples and another 15% testing samples. For fault
339 detection, the number of epochs was 247, for fault classification, 279, and for fault location,
340 189.

341 In order to train all three ANNs, a full range of fault scenarios were considered. Varying
342 fault types, location, and fault resistance, for many simulation runs produced a
343 comprehensive training set. In addition, the full range of possible healthy operational

344 conditions of the MTDC system, including steady state conditions, external faults, such as
 345 external DC line fault condition were simulated. Using the simulation of the system in Fig.1
 346 and with the variables shown in Table 1, a total of 73 fault scenarios were considered, (55
 347 DC line fault cases and 18 external fault cases). Of the fault scenarios, the training contained
 348 10 healthy signal windows and 20 post fault input windows. In the healthy scenarios the
 349 training contained 30 consecutive windows. Hence, since each window yielded an input
 350 vector, training was completed on 2190 input vectors.

351 TABLE I Variables

Variable	Details
Fault location	1%, 10%, 20%, 30%, 40%, 50%, 60%, 70%, 80%, 90%, 99%
Fault resistance	0.01 Ω , 2 Ω , 10 Ω , 50 Ω , 100 Ω
External fault	AC side fault at terminal 1, 2 and 3 (single phase, double phase and three phase)

352 The input vectors were normalised between 0 and 1 using the maximum and minimum
 353 values encountered in the training data for each frequency band, such that 1 is the maximum
 354 and 0 is the minimum. The ANN was trained using backpropagation to produce an output
 355 vector of 1 or 0 depending on the type of fault, where ‘0’ represents a healthy signal and ‘1’
 356 represents the fault signal. The transitional case where the window captures part healthy
 357 and part faulted conditions was not used because of the ambiguity this presents in how the
 358 neural network should be trained.

359 At the end of the signal chain, a counter, which prevents false trips on account of noise or
 360 transitional conditions, is applied for fault detection and classification to give a stable
 361 response. Specifically a trip signal 1 is generated after 10 consecutive ANN outputs higher
 362 than the threshold of 0.72. The counter is reset if the output falls below the threshold.

363 **4. Test Results**

364 Using the proposed method illustrated in the flowchart shown in Fig. 6 and Fig. 7, the fault
 365 detection, classification and location results are shown in Table 2. The accuracy of the fault
 366 location method has been evaluated with (3) to calculate the relative error:

367

$$e = \left| \frac{D_{act} - D_{det}}{L} \right| \quad (3)$$

368 Where: D_{act} is actual fault location from the measuring terminal, D_{det} is the detected fault
 369 location and L is the total length of the transmission line.

370

TABLE II Fault Location Result

Case	Internal /External	Section	Fault type	Fault resistance Ω	Fault detection	Positive	Negative	Ground	Fault location	Measured location	Relative error	Detection time (ms)	Classification time (ms)	Location time (ms)
1	In	S1	PG	0.25	1	1	0	1	35%	35.27%	0.27%	3.6	4.5	15.4
2	In	S1	PG	9	1	1	0	1	67%	65.98%	1.02%	3.7	4.7	20.5
3	In	S1	NG	69	1	0	1	1	89%	88.65%	0.35%	3.0	4.6	23.5
4	In	S1	NG	17	1	0	1	1	12%	12.87%	0.87%	3.9	4.8	23.6
5	In	S1	PN	32	1	1	1	0	34%	34.12%	1.12%	4.5	4.9	23.8
6	In	S1	PN	1.9	1	1	1	0	53%	52.77%	0.23%	4.1	4.9	20.4
7	In	S2	PG	0.33	1	1	0	1	78%	77.96%	0.04%	3.9	4.7	17.5
8	In	S2	PG	0.7	1	1	0	1	58%	58.47%	0.47%	3.6	4.8	17.8
9	In	S2	NG	8	1	0	1	1	24%	22.84%	1.16%	4.3	4.9	19.5
10	In	S2	NG	0.3	1	0	1	1	46%	45.68%	0.32%	3.9	4.9	21.5
11	In	S2	PN	1.2	1	1	1	0	59%	58.79%	0.21%	4.2	4.7	23.4
12	In	S2	PN	6	1	1	1	0	72%	71.13%	0.87%	4.1	4.5	25.8
13	Ex	T1	ABC	0.6	0	NA	NA	NA	NA	NA	NA	3.9	NA	NA
14	Ex	T2	AG	1.6	0	NA	NA	NA	NA	NA	NA	4.1	NA	NA
15	Ex	T3	BC	1.2	0	NA	NA	NA	NA	NA	NA	4.2	NA	NA

371 The protection system was tested with cases not used in the training data, with 15 separate
 372 locations and different fault types, such as positive pole to ground (PG), negative pole to
 373 ground (NG) and pole to pole (PN). The fault resistance was randomly varied within the

374 limits of the training parameters but values used in training were avoided. The results show
375 that the proposed method can accurately detect, classify and locate these faults with the
376 largest location relative error, calculated by equation (3), being less than 1.16% which is
377 comparable to distance protection. In addition the results show that the algorithm performs
378 successfully for a range of fault resistances. As can be seen in Table 2, with the increase in
379 fault resistance, the percentage of error does not increase, hence, the method is not adversely
380 affected by changing fault resistance. The maximum time for fault detection is within 4.5 ms,
381 acceptable for DC breaker operation. The fault can be located within 26 ms which is
382 acceptable for the automated post-fault reconfiguration of wider system settings. To achieve
383 a fast fault location in this scheme, the error is 1%, but if a longer fault location time is
384 permitted, the accuracy can be improved.

385 The protection system must be able to discriminate external faults, occurring beyond the
386 protected zone, which in this case is the protected DC transmission line. The fault detection
387 algorithm was trained to recognise and ignore a number of external fault conditions: namely,
388 with reference to table 2, DC line faults, AC side faults at terminal 1, 2 and 3, (including
389 single phase to ground (AG), double phase (BC) and three phase faults (ABC)). Using
390 external fault cases not used in the training data showed the fault detection to be 100%
391 successful in avoiding false trips (see table 2).

392 High impedance faults are generally challenging for many protection strategies because of
393 the attenuation of the post fault characteristics and thus their resemblance to steady state pre-
394 fault conditions. For example, it is difficult to detect faults using an overcurrent characteristic
395 alone due to very low fault current from high impedance faults. Although absolute fault
396 current level is slight, the current signals in the frequency domain contain enough information
397 to indicate fault conditions. To study the sensitivity of the method proposed in this paper, the
398 training and testing set includes a range of simulated fault resistances from 0.01 Ω to 100 Ω .
399 As can be seen in the frequency spectrum of the fault current signal in Fig. 8, the frequency
400 features can still be obtained under high fault resistance conditions. Therefore despite the
401 difficulties that high impedance faults present to many existing protection techniques, the
402 technique presented here is robust to fault impedances up to 100 Ω . Compared with the
403 traveling wave based fault location method using a 192 kHz sampling frequency [13], the

404 proposed method in this paper uses a relatively low sampling frequency of 10 kHz. It is worth
405 noting that due to the wideband information that the scheme relies on, the method will work
406 for different sampling rates, provided the sampling is fast enough to reproduce the
407 frequencies required by the ANN stage. Furthermore by using the windowed FFT, ANN and
408 counter together, the scheme is more robust to noise since several frequency spectra act
409 together to smooth out anomalies. To test this, white noise with 50 dB SNR was added to the
410 DC current. Frequency spectra generated before and after addition of noise had exactly the
411 same performance response to the fault location ANN.

412 **5. Conclusion**

413 This paper described a comprehensive novel MTDC protection scheme based on artificial
414 neural networks. The high frequency components from fault current signals are input to
415 specially trained ANNs. A three-terminal VSC-based HVDC model based on CIGRE B4
416 programme was implemented in PSCAD/EMTDC with different types of faults simulated in
417 the DC transmission system. The general approach is valid for any MTDC system, or indeed,
418 HVDC link, provided the system can be first simulated to generate custom ANN training
419 data for calibration. Fault current signals were detected on all terminals in order to create
420 frequency spectra, giving insights into the characteristic frequency components contained
421 within the signal. Three separate neural networks for fault detection, fault classification and
422 fault location were designed. The fault detection can detect the internal fault for both line-to-
423 ground faults and line-to-line faults, the faulted section of the MTDC network, and
424 distinguish external faults from internal faults. Both detection and classification only rely on
425 single terminal measurements and so do not require a communication link, whilst the entire
426 scheme relies only on current signals and **thus** DC voltage transducers are **not** required. The
427 most important achievement presented is that the fault detection and classification can meet
428 the time requirement of DC circuit breakers, and the fault location is accurate and fast enough
429 for online, automation of wider system protection settings if desired. The proposed method
430 is demonstrably robust to high resistance faults and noise. Since the method is purposefully
431 designed for multi-terminal systems, it is robust to faults on other DC lines. **Although it is**
432 **sufficiently fast, the fault detection is not as fast as some existing methods, but importantly**

433 unlike the traveling-wave methods that only rely on the initial wavefront, more of the post-
434 fault signal is used, offering the 100% reliability demonstrated in the results.

435 With the rapid development of HVDC technology, MMC converters are now the state of
436 the art. However there are a wide range of competing MMC topologies available, from
437 different manufacturers, with no one option prevailing across the few installed systems across
438 the world. Thus for the sake of simplicity, and to demonstrate the approach on the most
439 developed technology, the well-established 2-level converter was used to validate the
440 presented method. In future work, this new method will be demonstrated on a wide range of
441 MMC converter systems. However, regardless of the nature of the converter station, the
442 authors are confident the method will be valid as long as training data is available for the
443 ANNs via simulation.

444 The training process is important for the robustness of the method. Since the simulation
445 work must prove the concept first on one system, the training data is limited to one system
446 in this paper. In order to reduce the uncertainties, more training data will be used to produce
447 a more generic system in later work. In order to achieve the best compromise between fault
448 location time and the accuracy, a comparison between different window lengths will be
449 conducted to investigate the best performance between speed and accuracy. More extensive
450 training data from different systems will be used to generalize the ANN responses.

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