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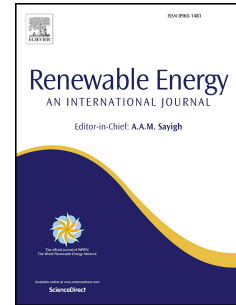
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Monitoring the condition of Marine Renewable Energy Devices through underwater Acoustic Emissions: Case study of a Wave Energy Converter in Falmouth Bay, UK

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1 **Monitoring the condition of Marine Renewable Energy Devices through underwater Acoustic** 2 **Emissions: Case study of a Wave Energy Converter in Falmouth Bay, UK**

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9 **Abstract**

10 Maintaining the engineering health of Marine Renewable Energy Devices (MREDS) is one of the
11 main limits to their economic viability, because of the requirement for costly marine interventions in
12 challenging conditions. Acoustic Emission (AE) condition monitoring is routinely and successfully
13 used for land-based devices, and this paper shows how it can be used underwater. We review the
14 acoustic signatures expected from operation and likely failure modes of MREDS, providing a basis for
15 a generic classification system. This is illustrated with a Wave Energy Converter tested at Falmouth
16 Bay (UK), monitored for 2 years. Underwater noise levels have been measured between 10 Hz and 32
17 kHz throughout this time, covering operational and inactive periods. Broadband MRED contributions
18 to ambient noise are generally negligible. Time-frequency analyses are used to detect acoustic
19 signatures (60 Hz – 5 kHz) of specific operational activities, such as the active Power Take Off, and
20 relate them to engineering and environmental conditions. These first results demonstrate the
21 feasibility of using underwater Acoustic Emissions to monitor the health and performance of MREDS.

22 **Keywords**

23 Underwater Acoustics; Acoustic Emission; Condition Monitoring; Health Monitoring; Marine
24 Renewable Energy; Wave Energy Converter.

25 **1. Introduction**

26 Marine Renewable Energy Devices (MREDS) are potential future contributors to the global
27 energy mix and associated reductions in greenhouse gas emissions, as acknowledged in the UK [1]
28 and through international policies (e.g. [2,3]). Latest UK reports show for example that 20% of the
29 UK's current electricity demand could be met using tidal stream devices and Wave Energy Converters
30 (WECs) [4]. Their contributions to energy production are expected to grow annually by 15.2% on
31 average until 2030 [5]. However, their use is limited by technological obstacles and the high costs
32 associated with Operation & Maintenance (O&M) activities.

33 Tidal stream devices and WECs have not yet converged to unified designs, unlike for example the
34 three-bladed horizontal-axis turbine design of the wind industry. For WECs alone, 1,000+ patents
35 have been allocated across North America, Japan and Europe [6], covering 9 main categories [7] and
36 making a standardised approach to O&M more problematic. MREDS are expected to work in harsh
37 oceanic environments, in which extreme weather may damage or cause the failure of devices [8]
38 (improving the survivability of devices is another area of current development within the WEC
39 industry). Also, typical weather conditions make marine intervention more difficult or impossible [9]
40 (WECs are for example located in the areas where large waves are expected for long periods of time).
41 This is compounded by the high costs associated with O&M, using specialised ships and highly
42 skilled labour which might not always be readily available, potentially increasing any downtime.
43 MREDS must therefore be reliable, robust and maintained effectively to reduce the likelihood of
44 unexpected downtime and maintenance. These economies can then translate into more energy
45 generated over longer periods, at lower costs.

46 Reactive O&M involves operating a device until failure occurs, resulting in unscheduled
47 downtime and requiring prompt reaction. It was adopted in the early years of the wind industry,
48 increasing O&M costs to 25% of the total incomes generated by offshore wind turbines [10].
49 Analyses of 750 onshore turbines in 1989-2005 showed for example that 75% of the annual downtime
50 was caused by just 15% of the failures [11]. These figures are expected to be more severe for offshore
51 wind turbines, because of their harsh marine environments, with longer downtimes due to the
52 difficulties of access. For this same reason, MREDs are also likely to encounter severe downtime
53 statistics. Preventive maintenance, with regular inspections and systematic part replacements, can
54 reduce these costs, but it still requires regular downtime and potentially unwarranted replacements of
55 expensive components [12]. Condition-based maintenance is a more efficient and cost-effective
56 approach, scheduling O&M activities based on the actual system health [12]. It traditionally includes
57 *in situ* tools such as vibration and oil temperature monitoring, and Acoustic Emissions (AE) from the
58 entire devices, or areas of interest [13].

59 This article investigates the use of AE to remotely monitor an actual WEC device, in this case
60 Fred. Olsen's "*Bolt-2 Lifesaver*" during its two-year deployment in Falmouth Bay, UK. It should be
61 noted that the entire long-term monitoring data set has been analysed in two publications that focus on
62 the environmental impacts [14,15]. The purpose of the paper is to explore whether engineering
63 features can be detected within that data set. As such, the scope of the paper is intentionally limited to
64 the detection of engineering features.

65 The structure of the paper is as follows. Section 2 will review expected AE sources in offshore
66 devices, focusing on WECs but adaptable to tidal stream turbines and other MREDs. Section 0 will
67 present the WEC device under consideration, the supporting data (acoustics, environmental and
68 engineering) and the general methodology. Section 4 will show the general contribution of this WEC
69 to the ambient noise levels over its period of activity, comparing operational and non-operational
70 periods, and identifying specific AE from parts of the WEC, in this case the Power Take-Off (PTO).
71 Section 5 will discuss these results, comparing with other published data, identifying the strengths and
72 limits of this approach and showing how it can be extended to other WEC designs. The use of
73 underwater AE, in specific frequency bands, is potentially capable of reducing O&M costs and
74 increasing WEC reliability, hence improving the viability of this industry as a significant contributor
75 to energy production.

76 **2. Acoustic Emissions from Marine Renewable Energy Devices**

77 The release of energy within materials, associated for example to wear and tear of components or
78 to part failure, generates sound waves, propagating in solids and/or fluids. Their use forms the basis of
79 Acoustic Emission analyses, well documented for devices on land (e.g. British Standards [16]) and
80 mostly associated with frequencies between 1 kHz and 1 MHz (e.g. [17]). Their monitoring is
81 performed on the devices themselves or remotely, either in the near field or in the far field, although
82 the latter is limited by the strong attenuation of sound in air (14–4,000 dB/km in the best conditions)
83 [18]. Underwater environments are better suited to remote monitoring, with attenuations in seawater
84 of a few dB/km at the same frequencies and hence received levels will be mainly reduced through the
85 spreading losses caused by sound propagation [18]. This allows: locating sensors away from the
86 device under consideration, detecting AE from different parts, and, because MREDs are intended to
87 be deployed in large arrays, each sensor could in theory detect AE from multiple devices, as well as
88 monitor their environmental impacts. However, underwater ambient noise will be of a larger
89 consideration than in air, so limitations do exist to the practicalities of underwater AE.

90 Underwater noise generated by MREDs varies with device design, their mode of use and the
91 prevailing environmental conditions. It is also modulated by the local settings (bathymetry, seabed
92 composition and sound speed profile). Recent syntheses (e.g. [19]) showed that MRED noise extends
93 up to a few hundreds of kHz at most. Long-term noise sources during operation can include

94 components of the device itself, its mooring, movements of air or water (e.g. slapping waves on a
 95 hull), all of which might be offset by the surroundings, from weather-related noise (waves, wind and
 96 precipitation) to shipping or animal life.

97 Estimates of AE levels and frequencies expected from MREDs can be informed by work done in
 98 air (and sometimes in water) for their individual components. Early work on breaking wire ropes .
 99 Events (a number of counts associated with the same cause) increase with the size of different types of
 100 defects in bearings. Evidence of degradation within gearboxes produced similar acoustic results [20]
 101 showed for example that AE frequency ranges extend from 25 kHz to hundreds of kHz in some cases.
 102 Investigations of wire fracturing in air identified frequencies of 0-100 kHz [21], with narrow-band
 103 peaks for individual breaking events, of amplitudes varying with the extent of the damage to the
 104 wires. The breaking of epoxy-based composite fibres in air showed similar results [22], with sound
 105 levels reaching 40-100 dB re 20 μ Pa (broadband kHz range). Rolling element bearings can produce
 106 both impulsive and continuous emissions across a wide frequency range (up to 2 MHz), which can in
 107 turn be related to the geometry and speed of the bearing [23–25][26–28], with high frequency (up to 1
 108 MHz) impulsive and tonal AE components. Peak amplitude, root-mean-square Sound Pressure Levels
 109 (SPL_{RMS}) and ring down counts (the number of times a burst signal crosses the detection threshold) all
 110 increased with defect sizes [26–28], whereas SPL_{RMS} increased with the misalignment of gears [29].
 111 Moreover cavitation in air within a pump produces a continuous broadband spectrum (20 Hz – 20
 112 kHz) [30], and incipient cavitation increases SPL_{RMS} and peak amplitudes [30,31] and comparable
 113 results were found underwater for a wider frequency range (0.1 Hz – 100 kHz) [32].

Table I: Quality matrix of AE of components relevant to underwater AE techniques (from [33]).

Mechanical part	Fault details	Frequency range	Emission	General findings	References
Rolling Element Bearing (Ball bearing & cylindrical bearing)	Natural and seeded defects located in multiple locations of bearings	In air 100 kHz – 2 MHz	Impulsive and continuous components	Increase in ring down counts and energy with defect size. SPL_{RMS} and peak amplitude increased with defect size for rough, point and line defects. Ability to detect faults 0.3 m from bearing.	[23,24] [25,26] [34]
		In air 100 kHz – 1 MHz	Impulsive and continuous components	Increase in SPL_{RMS} with defect size and due to misalignment. Increase in (wideband) amplitude and Ring down counts with defect size.	[26,27] [27,28]
		In air 5 Hz – 20 kHz	Continuous	Minimum noise at best-efficiency point of the pump, due to minimal flow turbulence. Cavitation produces broadband acoustic spectrum. Increase in SPL_{RMS} and peak amplitude with cavitation onset.	[30,31] [30] [30,31]
Pump	Incipient and developed cavitation	Underwater 0.1 Hz – 100 kHz	Continuous	Frequencies < 8 kHz contained mechanical noise. Noise signal was a better parameter to sense the occurrence of cavitation (than traditional methods).	[32] [32]
		In air 100 kHz – 600 kHz	Impulsive	1-to-1 correlation between AE events and broken fibres/wires.	[21,22]
Rope	Fibre and wire rope fractures and breaks	In air 100 kHz – 600 kHz	Impulsive	1-to-1 correlation between AE events and broken fibres/wires.	[21,22]
	Wire rope breaks	In air <i>through</i> water 1 kHz – 200 kHz	Impulsive	Wire breaks detected remotely. No information at frequencies < 25 kHz due to non-propagation of shear waves in water.	[20] [20]

114 These results are summarised in Table I but they are intended as possible trends only: AE
 115 frequencies in air might not be the same once measured underwater, some studies used direct
 116 monitoring (e.g. with sensors upon gears or on the gearbox) and shear waves (when present) would
 117 not propagate underwater. Finally, some components like bearings and gearboxes, might be fixed
 118 above water in WECs, or be separated from direct water and therefore produce only airborne sound.
 119 In the case of remote sensing, frequency-dependent attenuation and the competition with other sound
 120 sources (from other MREDs, weather, shipping and animal life) might also affect the relevance of
 121 these results. The next section will therefore present field measurements from a full-scale WEC in a
 122 complex environment, based on a monitoring period of 2 years, to identify which AE elements are the
 123 most promising in real conditions.

124 3. Case study of a WEC in Falmouth Bay (UK)

125 3.1 The Wave Energy Converter and its environment

126 Falmouth Bay (Cornwall, UK) is a large and deep natural harbour at the western entrance to the
 127 English Channel. It is close to busy shipping lanes and also welcomes considerable local commercial
 128 shipping and recreational boating activity, whose noise contributions were presented in [35]. The
 129 Falmouth Bay test facility (*FaBTest*: www.fabtest.com) is a 2.8-km² test area supported by the
 130 University of Exeter. It is situated within Falmouth harbour, 3-5 km offshore. By being in the lee of
 131 the Lizard Peninsula, it is sheltered from the prevailing SW wind and swell, and exposed to long-fetch
 132 waves from the E-SE. This moderate wave climate, with peak tidal surface currents of ~ 0.8 m/s,
 133 make it an ideal “nursery” site to test MREDs and in particular WECs [36].

134 In March 2012, Fred. Olsen (FO) Ltd. deployed and trialed an electro-mechanical WEC at the
 135 *FaBTest* site [37] to gain operational experience of the device and investigate its performance over a
 136 total period of more than 2 years. This WEC, named ‘*Bolt-2 Lifesaver*’, is a doughnut-shaped floating
 137 device (Figure 1). The flotation platform has a 10-m inner diameter, 16-m outer diameter and 1-m
 138 height with a mass of 55 tons. The flotation platform has the capacity to install five Power Take-Off
 139 (PTO) systems, but only three were installed during the trials, as shown in Figure 1. During operation,
 140 the PTOs were moored to the seabed and a five-point secondary mooring system was attached to the
 141 device. The WEC was redeployed to Hawaii in March 2015.



142
 143 Figure 1: *Lifesaver* on site at *FaBTest*, Falmouth, UK. Credit: Duncan Paul, *Falmouth Harbour Commissioners*,
 144 2013

145 3.2. Acoustic monitoring

146 Passive acoustic monitoring of the WEC and its environment has been continuous during all
 147 stages of installation and operational activities of the WEC [14,15]. Autonomous Multichannel
 148 Acoustic Recorders (AMAR Generation 2, from Jasco Applied Sciences) were used, due to their high
 149 storage capacity (1 TB) [38], suitable for long periods of recording, and for their ease of deployment.
 150 Two AMARs were used in turn: when one was recovered and uploading data, the other was deployed

151 in its place, ensuring continuous monitoring during successive 90-day deployments between 13 June
 152 2012 and 4 November 2013 (the data between 9 April 2013 and 4 June 2013 was however lost during
 153 recovery). The AMARs were placed approximately 200 m from the WEC [14,15] ~ 10 m above the
 154 seabed at water depths of 25-45 m. For a detailed representation of the AMAR deployment, please
 155 refer to Garrett [14]. They measured ambient sound levels for the first 30 minutes of every hour,
 156 sampling at 64 kHz (and therefore accessing a frequency range of 10 Hz to 32 kHz). Each AMAR was
 157 based around an omnidirectional hydrophone (GeoSpectrum M8E), with nominal sensitivity of $-165 \pm$
 158 5 dB re $1 \text{ V}/\mu\text{Pa}$ and 24-bit dynamic resolution. Each hydrophone was calibrated by the manufacturer
 159 before deployment (2012) and upon return for servicing (2014), and after the last deployment with a
 160 pistonphone (GRAS type 42AC). Accuracies were ± 1.32 dB and ± 0.70 dB respectively, very close
 161 to the ± 1 dB operational accuracy expected in typical conditions and fully in line with good practice
 162 recommendations from [39].

163 Falmouth Harbour is a busy commercial port, with more than 1,000 ship arrivals in 2012 and
 164 substantial recreational boating [15], both of which contribute to high levels of background noise [35].
 165 The distance from the WEC to the hydrophone (~ 200 m) is considerably larger than distances
 166 between sensors and components typically monitored in AE studies (Section 2). It is therefore logical
 167 to question whether AE from the different components of the WEC can be reliably detected at these
 168 ranges. Spherical spreading loss is calculated as:

$$169 \quad RL = SL - 20\log R \quad \text{Eq.} \\ 170 \quad (1)$$

171 where RL is the received level in dB, SL is the source level in dB and R is the distance of the
 172 receiver from the source in m [40]. Boundaries, such as the sea surface and seabed in shallow water,
 173 act as reflective surfaces and reduce the spreading loss. Where this occurs, cylindrical spreading is
 174 calculated as:

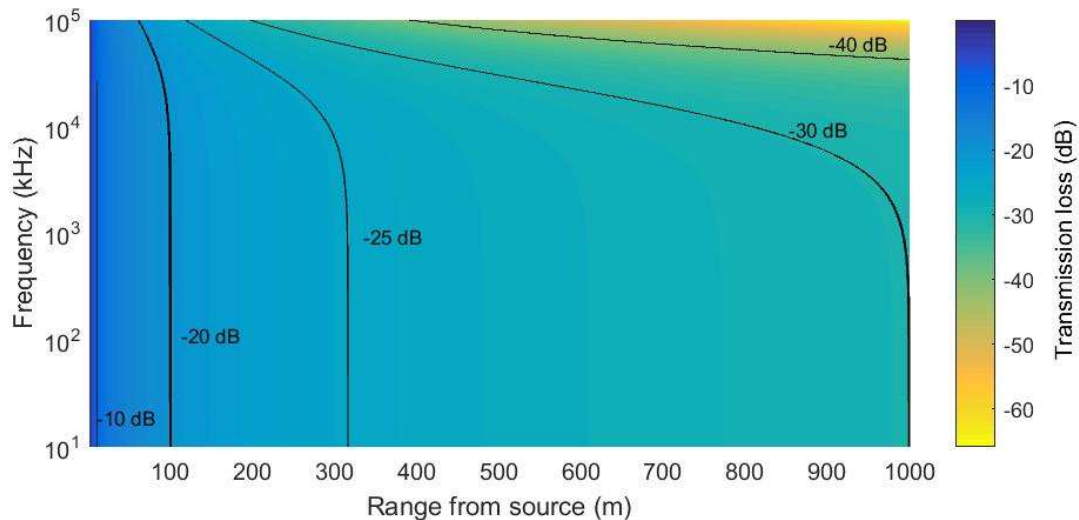
$$175 \quad RL = SL - 10\log R \quad \text{Eq.} \\ 176 \quad (2)$$

177 where RL is the received level (dB), SL is the source level (dB) and R is the distance from the
 178 source (m) (Richardson et al. 1995). Absorption loss also occurs which increases with frequency:

$$179 \quad a = 0.036 f^{1.5} \quad \text{Eq. (3)}$$

180 where a is the absorption coefficient (dB km^{-1}) and f is the frequency (kHz) [41]. Transmission loss
 181 resulting from cylindrical spreading (as expected in shallow water) and absorption loss is given in Fig.
 182 2. There is between -20 and -25 dB transmission loss at 200 m at all frequencies presented (10 Hz –
 183 100 kHz). Therefore, AE signals from a WEC 200 m away at expected source levels are considered
 184 likely to be detected over background noise and suitable for condition monitoring purposes.

185 Figure 2 also shows a wave buoy, at which wave heights were measured. This Seawatch Mini II
 186 directional wave buoy [42] was deployed approximately 150 m from the AMAR location [43]. Its
 187 measurements were sampled at a frequency of 2 Hz for 1024 s (17 min 4 s) every 30 minutes and used
 188 for assessment of environmental contributions to noise and for comparison with WEC operational
 189 activity [14,15,44].



190
191 Figure 2: Transmission loss at ranges 1 m - 1,000 m from the source, assuming cylindrical spreading (Eq. 2) and
192 standard absorption (Eq. 3), at frequencies 10 Hz – 100 kHz

193 3.3 Data analysis

194 The data has been analysed from two different perspectives: (1) average increases in noise which
195 can be attributed to the WEC; (2) extraction of acoustic features which can be related to AE from the
196 WEC. The former averages the data to understand the overall effect that the WEC has upon the local
197 soundscape, whereas the latter requires analyses of both short time series and detailed frequency
198 contents.

199 Average noise increases were analysed for each 30-minute recorded file, which was assigned
200 either operational or non-operational activity. Operational activity was considered to occur when one
201 or more PTO systems were active and producing power as recorded by the device developer [14].
202 Each file was processed in 1-minute samples. The raw data was processed to calibrate the data with
203 the frequency dependent hydrophone sensitivity per 1 Hz, interpolated from values provided by the
204 manufacturer. The processing used Fast Fourier Transforms (FFT) of 1-second windows, Hann
205 window filter and 50% overlap, in line with good practice recommendations [39]. This processing
206 yielded median Power Spectral Density (PSD) levels per 1 Hz for each 30-minute recorded period.

207 AE signals are non-stationary and often comprise overlapping transient waves, with distinct
208 frequency contents varying with time. Short-Time Fourier Transforms (STFT) were used to produce
209 spectrograms (like the one shown in Figure 7). Time is represented along the horizontal axis,
210 frequency along the vertical axis, and STFT-derived PSD are colour-coded. STFT windows will show
211 different features according to their sizes: large windows provide good frequency resolution but poor
212 time resolution, whereas small windows provide the opposite. Multiple window sizes were tried
213 during these analyses to best identify and characterise acoustic features related to AE from the WEC.

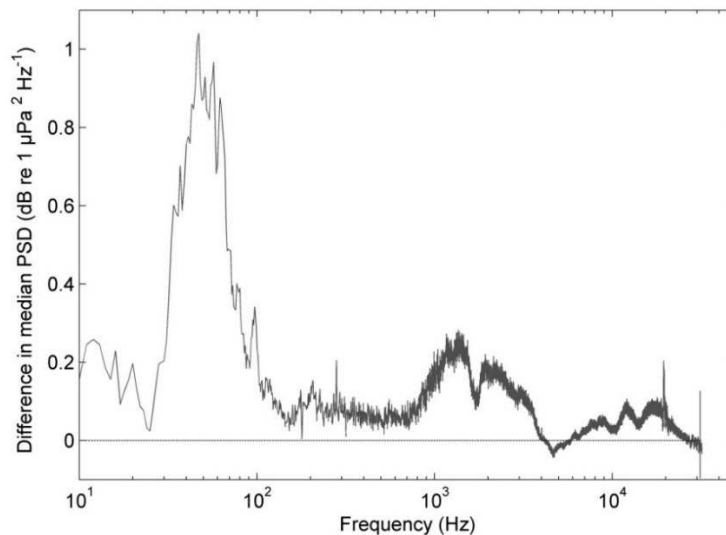
214 4. Results

215 4.1 Average noise contributions from the WEC

216 AMAR recordings cover the time span two weeks before the WEC installation and can be
217 compared to earlier studies of background noise levels, e.g. from shipping, in the exact same area
218 [35]. The highest sound levels in this study were recorded during installation activities, with a median
219 PSD difference of 8.5 dB re $1 \mu\text{Pa}^2 \text{Hz}^{-1}$ in the frequency range 10 Hz – 5 kHz [14]. Noise from local
220 shipping was predominant [14] and often masked the sounds from the WEC, whose operational
221 activity could still be detected in the absence of shipping. “Effective” source SPL_{RMS} , back-
222 propagated to a distance of 1 m from the WEC [14], were found to be to 155 dB re $1 \mu\text{Pa}^2 \text{Hz}^{-1}$. The
223 calculated mean difference between operational and non-operational median PSD was 0.04 dB re 1

224 $\mu\text{Pa}^2 \text{Hz}^{-1}$ in the frequency range 10 Hz – 32 kHz, meaning that average sounds from the WEC are
 225 undetectable above background noise, at least at the 200-m range [14]. While the WEC does produce
 226 distinct sound signatures, the overall PSD between operational and non-operational states when
 227 considering long-term averages (as typically performed in environmental assessments) are often
 228 masked by other sources.

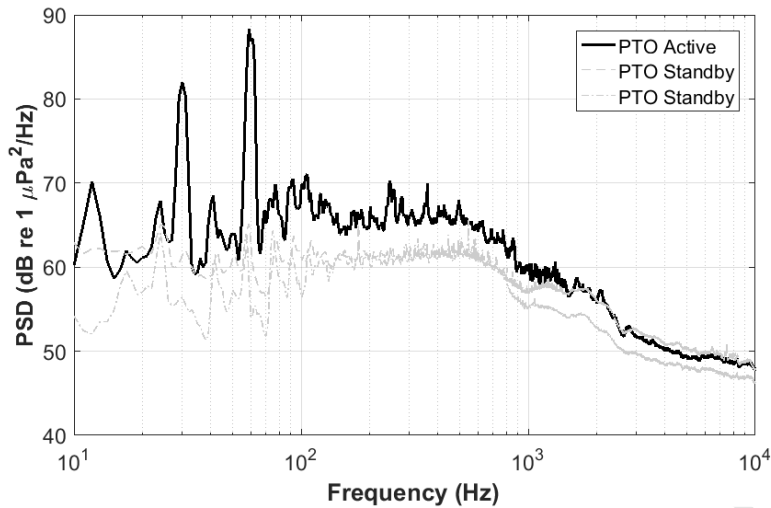
229 Comparison of operational and non-operational sound levels (Figure 3) however shows more
 230 important differences in the frequency range 30-100 Hz, peaking at 47 Hz (although the peak
 231 frequency varied slightly for each deployment). These differences appear small overall (less than 1
 232 dB) but further analyses reveal more significant differences.



233
 234 Figure 3: Difference in the overall median sound levels (June 2012 – November 2013) between the operational
 235 and non-operational activity periods of the WEC. Positive values indicate louder median sound levels during
 236 operational activity at that frequency.

237 4.2 AE-related acoustic features

238 The operational status from the device developer was matched to 30-minute acoustic segments
 239 (Section 3.3) and tonal noises were regularly identified at multiple frequencies (Figure 4). The
 240 spectrum shows high-amplitude tones at 30 Hz and 60 Hz, respectively 18 dB and 25 dB above the
 241 spectrum for conditions where the device was not operational. A marked difference can be observed
 242 in comparison to Figure 3. This is due to the large difference in shown time period. Figure 3 displays
 243 18 months of averaged data, whilst Figure 4 shows the operational characteristics of the WEC for a 30
 244 min time period.

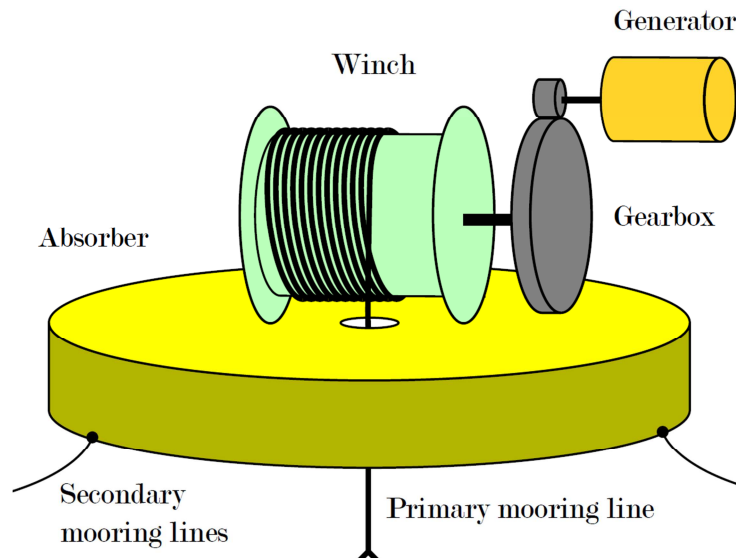


245
246 Figure 4: Power spectral density (1 Hz frequency resolution) for a typical 30-minutes acoustic segment when the
247 WEC was operational and the Power Take Off (PTO) system was active and on standby (device not active).

248 The authors have been given access to the detailed operational log book from Fred Olsen
249 Renewables for a period of time where both acoustic and environmental data were available. This
250 allowed the exclusion of data where maintenance vessels were on site, as well as verification of the
251 operational conditions after the acoustic data analysis. A list of relevant segments of 30 minute
252 observations is presented in Table II.

Table II: Selected acoustic recordings, comparing with the PTO status [37] and measured wave parameters [40]: H_{m0} – Average wave height; H_{max} – Maximum wave height; T_p – Spectral peak period.

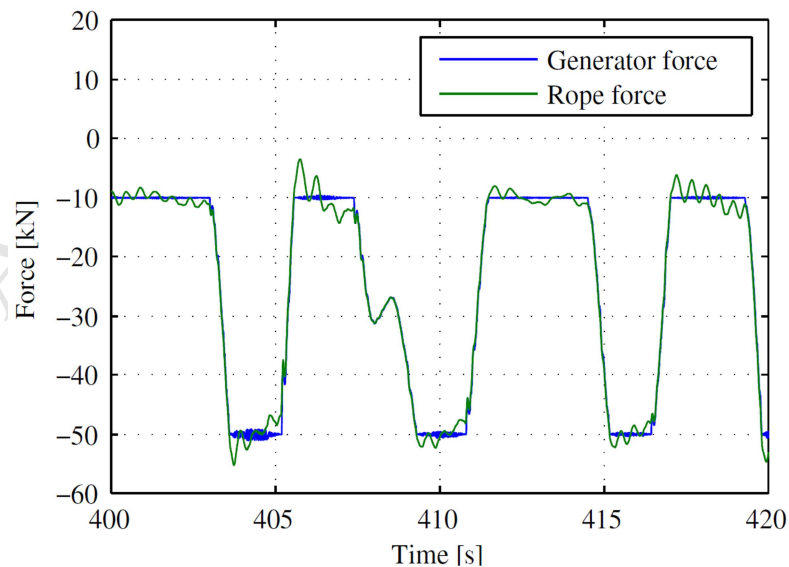
Acoustic recording Date/Time	PTO status	Wave parameters (representative of 30 minute period)			Observations
		H_{m0} (m)	H_{max} (m)	T_p (s)	
2012-08-11 19-00-00	Active	1.02	1.56	5.96	Active PTO signature Tonal: 60, 80 & 100 Hz
2012-08-11 20-00-00	Active	0.94	1.41	7.32	Active PTO signature Tonal: 100 Hz
2012-08-11 21-00-00	Active	0.94	1.25	5.37	Active PTO signature Tonal: 60 & 100 Hz
2012-08-11 22-00-00	Active	0.86	1.41	5.57	Active PTO signature Tonal: 60, 80 & 100 Hz High ship noise
2012-08-12 00-00-00	Active	0.63	0.94	5.66	No PTO signature Tonal: 60 & 100 Hz
2012-08-12 01-00-00	Standby	0.63	0.94	5.47	No PTO signature No Tonal noise
2012-08-12 02-00-00	Standby	0.54	0.94	5.37	No PTO signature No Tonal noise
2012-08-12 03-00-00	Standby	0.55	0.94	5.57	No PTO signature No Tonal noise
2012-08-12 04-00-00	In-Active	0.55	0.78	5.37	No PTO signature No Tonal noise
2012-08-12 05-00-00	In-Active	0.55	0.94	5.57	No PTO signature No Tonal noise



253
254 Figure 5: Schematic for Power Take-Off (PTO) system and primary mooring line [37]. Reproduced with
255 permission from the author.

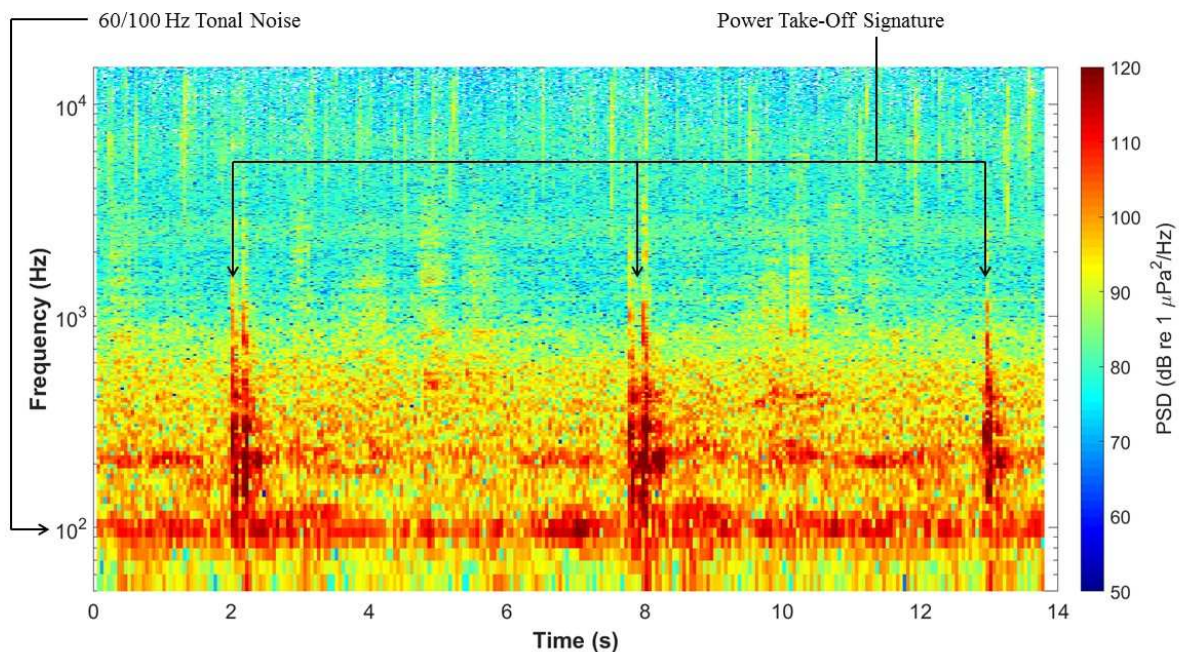
256 The observations are related to the status of the Power Take-Off (PTO) system, the main
257 component of the WEC (Section 3.1), and to the wave parameters. The PTO's working principle is
258 described in [37]: it basically consists of a winch and rope system (Figure 5), with a primary and a
259 secondary mooring line. Samples of the spectrograms and the individual sound files outlined in Table
260 II are available as supplementary data to this paper. A combination of gear-boxes and a pulley system
261 converts linear motion into rotational motion and finally into electrical power through a generator.
262 They are thought to be the causes of the tonal noises seen in the AE measurements (Figure 5, Table
263 II).

264 Engineering assessments of the PTO showed it operated successfully during the 2-year
265 deployment, although some oscillations were initiated at production saturation level [37]. At high sea
266 states, the PTO winch and floater underwater produced rapid movement. When active, the PTO was
267 tightly moored to the seabed: the floater and primary mooring system exerted forces in opposite
268 directions. When waves were high, the belt-winch hit the end stop, leading the tightly moored belt and
269 floater to produce rapid vibrations (Figure 6). This is believed to be caused by the dynamic response
270 of the primary mooring, resulting in an aggregate system response [37].



271
272 Figure 6: Oscillations encountered in primary moorings due to system dynamics [37]. Reproduced with
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274 Spectrograms of individual events further show their acoustic signatures (Figure 7). Figure 7 was
 275 created with a window size of 2048 data points, corresponding to a frequency resolution of 31.25 Hz.
 276 High amplitude events (up to 90 dB re 1 μPa) last for approximately 0.5 second, spanning frequencies
 277 between 100 Hz and 1 kHz. These events occur regularly, with a period of approximately 6 seconds
 278 matching the periods of oscillations in the primary moorings (Figure 6). The regular, small variations
 279 in force (Figure 6) are directly visible as distinct AE signatures (Figure 7). They are attributed to the
 280 belt-winch hitting the end stop of the WEC at high sea states. Full analysis (Table II) shows this PTO
 281 signature is only detected when averaged measured wave heights reach above 0.9 m, as this is the
 282 ‘cut-in’ wave height of the device. Spectrograms such as Figure 7 also show tonal components
 283 centred on 100 Hz and intermittently between 200 – 300 Hz. This acoustic behaviour has been
 284 observed throughout the data recordings (Table II) and is understood to be acoustic signature of the
 285 PTO generator.



286
 287 Figure 7: Typical acoustic signature identified due to the Power Take Off of *Lifesaver*. The STFT plot (31.25 Hz
 288 frequency bandwidth, 50% overlap, flat shading) shows variations in frequencies with time, and the colour
 289 coding details the relative magnitude of the power spectrum.

290 5. Discussion

291 The inability to distinguish WEC sound levels from background noise – and hence non-
 292 operational and operational modes- has been noted by a number of other studies [19,45]. The 1/7th
 293 scale *SeaRay* WEC was unable to estimate the source level of the device due to local shipping [46].
 294 This could be subject to change when arrays of devices are deployed, as the noise from multiple
 295 devices in an array would combine, as discussed by Tougaard in [45].

296 Both methods of analysis in this paper were able to identify tonal elements to the WEC signal. In
 297 Figure 3, the difference between operational and non-operational median PSD show contributions
 298 from frequencies 30 – 100 Hz up to 1 dB re 1 $\mu\text{Pa}^2 \text{Hz}^{-1}$. However when considering just 30 minutes
 299 of recordings, Figure 4 captures individual tonal elements within the same frequency range
 300 contributing up to 90 dB. This is believed to be associated with the WEC generator. This is not the
 301 first case of relatively low frequency noise elements being detected from WEC engineering
 302 components [19]. Tougaard [45] reported a 150 Hz tonal noise at 121-125 dB during the start and stop
 303 of the converter caused by the hydraulic pump of *Wavestar* WEC, although data was collected for the

304 short time period of one day. In case of the *SeaRay* WEC increased spectral levels below 1 kHz were
305 noted, that are consistent with the WEC torque and shaft speed in the fore generator [43].

306 Time-frequency analysis revealed AE signatures of the active PTO system up to 90 dB at 200m in
307 the frequency range 100 Hz – 1 kHz that could be related to the fine scale dynamics of the PTO
308 system and sea state. This gives a direct link into the engineering health of the device through its
309 acoustics. In half of the studies of WECs, a link is drawn between the acoustics produced and
310 converter operation (e.g. [44–46]). Lepper and Robinson found a number of “events” related to the
311 acoustic emissions of the Pelamis device (rattles, bangs, clanking etc.) but did not draw any
312 correlation to the mechanics of the device itself [47]. In retrospect it was possible to link the acoustics
313 detected with the incorrect assembly of a WEC as part of the Lysekil project [45]. Unfortunately, the
314 received level for these impulsive signals cannot be confirmed due to the sensors (located 20-m from
315 the device) being overloaded. The authors did not connect the detected acoustic emission with the
316 possibility of condition monitoring. The underwater acoustic emission of tidal devices has also been
317 found to provide crucial information in retrospect. *Verdant Power* deployed 6 tidal turbines that when
318 recorded were generating more noise than expected, believed to be related to the blades on one of the
319 turbines being broken, and another failing [46].

320 No studies regarding the operational noise of WECs have analysed the data in view of
321 engineering features towards exploring AE as a condition monitoring technique. This application was
322 briefly mentioned in a very small number of reports as a future development possibility [19,47] and
323 has been recently trialled for a tidal energy deployment [48].

324 AE offers a number of advantages over other methods of condition based monitoring that could
325 theoretically be developed for the underwater environment to complement existing techniques. Firstly,
326 sound does not attenuate as rapidly in water as it does in air. Acoustic signals can be detected at a
327 substantial distance from a device as demonstrated through results presented in section 4.2, where
328 acoustic equipment was located 200 m from the device of interest. This could allow multiple devices
329 or components to be monitored simultaneously.

330 Another advantage is that this monitoring technique does not necessarily require the development
331 of new equipment, as specialist hydrophones such as the AMAR used in the case study are
332 commercially available. However, it is noted that for continual and real time monitoring, collection
333 and re-deployment of sensors would not be suitable; real time data transfer would be preferred.

334 The development of such condition monitoring will also be of benefit to environmental impact
335 assessments, allowing the identification of device components that are particularly noisy or faults that
336 produce elevated noise levels than typical operations.

337 However, there are currently a number of limitations to this new method of condition monitoring
338 for MRE devices to be considered. The novelty of this method means that it is still being developed
339 and tested. The identification of appropriate components to monitor needs to occur through specific
340 component testing, and the feasibility of this system in practise and in the field needs to be explored.
341 Yet, the results presented in this paper give initial confidence that this method is feasible. Another
342 practical challenge is the amount of acoustic data recorded, meaning that efficient data acquisition,
343 signal processing techniques and the storage/transmission of data will be vital to the success of a
344 remote and continual monitoring system.

345 In this study, another limitation was the use of only one hydrophone. The use of multiple
346 hydrophones would have allowed the identification of the direction (bearing) of the sound source
347 locations through time-of-arrival triangulation. This would be of particular interest when considering
348 device arrays, to detect a device among many. One concern regarding commercially available
349 airborne AE systems is the “false alarm” rate [49]. The use of multiple sensors would allow for a
350 more accurate decision as to the reality of a signal by comparing multiple recording of the same
351 acoustic signature.

352 This method of condition monitoring is not confined to just the *Lifesaver* WEC, as shown by the
 353 numerous examples of acoustic signatures discovered in other studies (e.g. [19,42,45]). Acoustic
 354 signatures will be dependent upon device design and components. There is a large variety of device
 355 designs in the industry that include different moving elements, mooring and anchoring systems and
 356 locations within the water column. However, this can be overcome with bespoke signal processing
 357 looking for abnormalities in a received signal, and through individual testing for the more commonly
 358 used components. Hence, this could also be transferable to tidal stream devices and other offshore
 359 developments.

360 6. Conclusion

361 In conclusion, systematic analyses of these long-term acoustic measurements near the Lifesaver
 362 WEC in Falmouth Bay show that:

- 363 – The ambient levels exhibited negligible average difference between operational and non-
 364 operational periods, although there were regular differences in the 30-100 Hz range.
- 365 – Detailed time-frequency analyses show the AE signature of the active PTO system during
 366 WEC operation (0.5-second bursts up to 90 dB re $1 \mu\text{Pa}^2 \text{Hz}^{-1}$, mostly between 100 Hz
 367 and 1 kHz). The three peaks in this signal correspond to vibrations in the primary
 368 mooring system induced by high sea states. Tonal components at 30, 60, 80 and 100 Hz,
 369 reaching 90 dB re $1 \mu\text{Pa}^2 \text{Hz}^{-1}$ were also attributed to the device generator.
- 370 – Although most AE measurements to date have focused on sensors close to the
 371 devices/components of interest, in underwater environments, it is possible to detect AE
 372 signatures 200 m away from this WEC at its deployment site.

373 In order to improve the viability of MRE the cost of operation and maintenance activities must be
 374 reduced. Condition based maintenance has proved successful in other renewable energy sectors and
 375 the underwater environment in which MRE devices reside provides an opportunity to develop
 376 underwater Acoustic Emission as a remote condition monitoring tool. Acoustic data from a 2-year
 377 deployment of the Fred. Olsen *Lifesaver* WEC at *FaBTest* in Falmouth Bay (UK) has been processed
 378 using detailed time series and frequency analysis. While the contribution of the WEC was found to be
 379 insignificant overall in an active port, results show bursts of sound, 0.5 s in duration and up to 90 dB
 380 re $1 \mu\text{Pa}^2 \text{Hz}^{-1}$, that were related to the PTO of the device. It was possible to connect this acoustic
 381 signature to both the system dynamics and the changing environmental conditions. This is the first
 382 step towards the implementation of this novel method of underwater AE condition monitoring for
 383 MRE devices and components. In order to fully analyse the two year data set, we are currently
 384 developing automated data processing algorithms which are based on the acoustic signature profiles
 385 presented. As such, a complete statistical analysis and evaluation of the full data set will be the subject
 386 of a subsequent paper.

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393 Appendix A

394 Supplementary data for acoustic signatures.

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- 518

Highlights

This article presents a detailed analysis of underwater Acoustic Emissions for a Wave Energy Converter to identify acoustic signatures for Acoustic Emission Health Monitoring.

It uses 2 years of broadband acoustic measurements and ancillary data from the Fred. Olsen *Bolt-2 Lifesaver* deployed in Falmouth Bay, UK.

Time-frequency analyses detect acoustic signatures of active Power Take Off and other components, in the frequency band 60 Hz – 5 kHz, monitored from 200 m away.

These first results demonstrate the feasibility of remote monitoring of the health and performance of Marine Renewable Energy Devices using their Acoustic Emissions.