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1

#### ABSTRACT

2 The Bath Breakfast Project is a series of randomised controlled trials exploring the effects of extended morning fasting on energy balance and health. These trials were categorically not 3 designed to answer whether or not breakfast is the most important meal of the day. However, this 4 review will philosophise about the meaning of that question and about what questions we should 5 6 be asking to better understand the *effects* of breakfast, before summarising how individual 7 components of energy balance and health respond to breakfast versus fasting in lean and obese adults. Current evidence does not support a clear effect of regularly consuming or skipping 8 9 breakfast on body mass/composition, metabolic rate or diet-induced thermogenesis. Findings 10 regarding energy intake are variable, although the balance of evidence indicates some degree of compensatory feeding later in the day such that overall energy intake is either unaffected or 11 slightly lower when breakfast is omitted from the diet. However, even if net energy intake is 12 reduced, extended morning fasting may not result in expected weight loss due to compensatory 13 adjustments in physical activity thermogenesis. Specifically, we report that both lean and obese 14 adults expended less energy during the morning when remaining in the fasted state than when 15 16 consuming a prescribed breakfast. Further research is required to examine whether particular health markers may be responsive to breakfast-induced responses of individual components of 17 energy balance irrespective of their net effect on energy balance and therefore body mass. 18

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#### 21 Keywords: Fasting, Energy Balance, Health, Thermogenesis.

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The broad field of nutrition and health is rife with myths, misconceptions and frequently 24 25 posed vet seemingly fundamental questions that we intuitively feel should have simple answers. Is a calorie a calorie? Is obesity due to eating too much or doing too little? Is breakfast the most 26 important meal of the day? Often there are simple answers, the first two being central to the 27 themes considered in this review and both absolutely ves (just as a second is a second, one 28 29 thermochemical calorie simply a unit of measurement equivalent to 4.18 joules). The third is not so easily answered and there can be no correct response until we refine that question; "If you 30 wish to converse with me" said Voltaire "define your terms". In this case, we must define both 31 what is meant by *breakfast* and what is meant by *important* (i.e. important for what?). 32

Framing our question in terms of whether breakfast is the most important meal of the day 33 34 also implies some inherent value in comparing breakfast with other daily eating occasions. Why 35 should the potential benefits of breakfast and therefore our decision about breakfast consumption depend on the relative importance of lunch or dinner? For example, breakfast consumption is 36 37 unlikely to be more *important* for our general health than physical exercise or not smoking but that does not discount that breakfast may be sufficiently important to form part of a wider healthy 38 lifestyle<sup>(1-4)</sup>. Indeed, markers of a healthy lifestyle are associated with frequent breakfast 39 consumption, which confounds interpretation of causal links between breakfast and good health. 40

The true question to be explored in this review therefore concerns our daily decision about when to interrupt an extended period of fasting (e.g. overnight). Whether what might then be defined as *breakfast* and has the potential to cause meaningful effects on various health markers across different populations and contexts can then be considered. While this approach is unlikely to fit the false dichotomy through which the media obsessively brand any given health strategy as universally "good" or "bad", the truth is understandably less extreme or consistent 48 What do we mean by "Breakfast"?

One issue contributing to the apparently conflicting findings in this area is that there is no 49 universally accepted definition of breakfast<sup>(5)</sup> – and why should there be? Without thinking about 50 this too hard, it might at first seem logical simply to define breakfast as the first meal of the day. 51 This is then consistent with the etymology to *break* the *fast* and may work for some as a general 52 description of breakfast but is logically flawed and not overly helpful as a scientific definition. 53 54 Consider an individual who breaks their fast shortly after waking by ingesting energy from 55 carbohydrate, protein and fat in the form of coffee with milk and sugar, then nothing else until early-afternoon when the same mixed-macronutrients (plus alcohol) are consumed but this time 56 57 in the form of spaghetti Bolognese and wine. Opinions may now be divided about whether this 58 person had breakfast at all and, if so, whether it was coffee and/or spaghetti and wine. Can we count a cup of coffee as a meal? Was the spaghetti consumed in the fasted-state (i.e. post-59 absorptive)? What if we learn that this person woke at midday? 60

These differences of opinion become problematic when scientific investigations have 61 62 surveyed breakfast habits or recommended breakfast consumption but allowed individual 63 interpretation regarding what constitutes *breakfast*. This can be informative from a sociological perspective but it is helpful when considering physiological health effects to employ a more 64 precise and consistent operational definition. Taking the above example, some studies have 65 66 included only solid foods as breakfast irrespective of the many highly calorific beverages available, yet (notwithstanding differences in gastric emptying rate and metabolic response to 67 different nutrients in solid versus liquid form<sup>(6)</sup>, our net energy balance does not discriminate 68

between absorbed nutrients or calories depending on whether they require chewing – *a calorie is a calorie*.

71 While in the future it might become possible to justify a rationale for defining meals 72 based on a certain mixture of nutrients, a logical starting point to define the essential conditions of breakfast per se would be based on the quantity and timing of energy consumed. We propose 73 74 that a quantity of 50 kcal represents an appropriate arbitrary threshold to exclude common ingestive behaviours that would neither be recognised as a 'meal' by the majority of people nor 75 meaningfully shift our physiology towards the fed-state, a marker of which could be a detectable 76 perturbation in exogenous and/or endogenous substrate utilisation (thus one standard tea/coffee 77 would be unlikely to meet this criterion). 78

79 The issue of timing is more complex and can be considered relative to time of day, time 80 of waking and/or the intervals that distinguish separate eating occasions. A universal definition of breakfast as morning feeding based purely on light/dark cycles (i.e. clock time) independent of 81 sleep/wakes cycles (or vice versa) is complicated by variance in these very cycles due to 82 83 geographical/seasonal differences in daylight hours or cultural/vocational differences in sleeping patterns (e.g. night-shift workers). A nominal period of 2 hours after waking is also often applied 84 to the definition of the breakfast meal, with separate 'meals' in turn having been distinguished 85 from 'snacks' by a cut-off quantity of  $\approx 260$  kcal and distinct eating occasions isolated on the 86 basis of a 45 min interval<sup>(7)</sup>. On balance, it therefore seems reasonable for a working definition of 87 breakfast to represent the first 'meal' consumed within 2 hours after the longest sleep in any 24 h 88 period, thus normally also reflecting the longest daily duration spent in the fasted-state and the 89 only time most of us are genuinely post-absorptive $^{(8)}$ . 90

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According to the above rationale, our research involved  $\approx 70$  lean and obese adults, of 91 92 whom none worked night-shifts and approximately one-third habitually consumed <50 kcal 93 within 2 h of waking on most days, so might be classified as 'breakfast skippers'. These individuals kindly participated in a series of experiments known as the *Bath Breakfast Project*, in 94 95 which we allocated the habitual breakfast consumers and skippers equally into groups who for 6 weeks either: extended their overnight fast (0 kcal) until midday everyday; consumed 350 kcal 96 within 2 h of waking and at least 700 kcal before 1100 h everyday; or maintained their usual 97 lifestyles for 6 weeks $^{(9)}$ . 98

In contrast to the wealth of evidence contrasting different types or amounts of breakfast 99 foods, this is the first randomised controlled trial to compare a treatment involving breakfast with 100 the complete absence of morning feeding in relation to all components of energy balance. Whilst 101 the project therefore ostensibly concerns breakfast (indeed, you may only be reading this article 102 due to a shared interest in that meal), our 'intervention' from a basic science perspective is in fact 103 104 the fasting treatment, with morning feeding serving as a control (Bath Extended Morning Fasting *Project* didn't seem so catchy). On that basis, the precise composition of breakfast prescribed was 105 less important at this stage than simply ensuring that whatever was ingested differed sufficiently 106 107 from fasting that meaningful effects would be detectable should they exist. The added practical benefits of this initial approach are that any significant effects could be generalised more broadly 108 as responses to fasting as opposed to the presence or absence of specific foods consumed at 109 breakfast; whereas none could argue that these treatments fail to polarise the contrast and meet all 110 but the most extreme and unusual definitions of breakfast. 111

112

#### 113 *What do we mean by "Important"?*

If you are hungry upon waking and personally prefer to promptly satiate your hunger, 114 115 then breakfast is undoubtedly the most important (i.e. only) meal suited to that purpose. 116 Similarly, if your morning will involve physical exercise with performance on that day a priority, then consuming a carbohydrate-rich breakfast is the most important meal to achieve your 117 immediate goals<sup>(10)</sup>. However, if we place importance on long-term health outcomes, these 118 generally do not respond acutely to a single food or meal but instead require sustained exposure 119 to a consistent dietary pattern. In this case, we are asking whether regular daily breakfast has a 120 chronic effect on energy balance and associated health outcomes. 121

This review will sequentially consider the effects of breakfast versus extended morning 122 fasting on the various individual components of energy balance and health. For each outcome, we 123 124 will first summarise the state of evidence linking breakfast to energy balance prior to our recent randomised controlled trial. That is the evidence upon which the pervasive societal beliefs about 125 breakfast rested<sup>(11)</sup>, despite being almost entirely cross-sectional in nature. The vast and diverse 126 127 populations surveyed are a legitimate strength of these epidemiological studies but are also responsible for misconceptions amongst a public (and media) ill-equipped to evaluate research 128 design, measurement error or controls, so who are inclined only to believe the findings (or 129 130 headlines) from studies perceived to be 'large' (again, define your terms). Conversely, other studies are too often discounted for being 'small' irrespective of accuracy and precision in 131 measurement (for a primer see 'How big does my sample need to be?'<sup>(12)</sup>), which means we 132 sometimes miss the opportunity to complement epidemiology with causal evidence from focused, 133 tightly-controlled and properly powered *experiments* (i.e. research where interventions and 134 controls are directly manipulated). We will therefore set-out here how our understanding of 135

causality specific to each outcome has been advanced by our recent series of randomisedcontrolled trials - the *Bath Breakfast Project*.

138

### 139 Body Mass/Composition

As recently reviewed, although the extent to which the mere association between 140 breakfast omission and obesity has been verified can be described as gratuitous, confirmatory 141 studies continue to emerge even today despite the stated relationship confirmed by meta-analysis 142 at a confidence level of p=0.001 almost 20 years ago (rising to  $p<10^{-42}$  at the most recent cut-off 143 in 2011)<sup>(11)</sup>. There can be little doubt, therefore, that individuals who more frequently consume 144 145 breakfast tend to be leaner and that this pattern hardly varies across a diverse range of human populations. However, no matter how strong these correlations may be, they cannot be used to 146 draw a causal inference and so cannot inform evidence-based recommendations either 147 148 encouraging or discouraging breakfast for the purposes of weight-management.

149 The Bath Breakfast Project was designed primarily to examine individual components of energy balance as opposed to long-term weight-change, as evident in the fact that the intervention 150 was applied for only 6 weeks with direct prescription and adherence to the treatments (i.e. a 151 completers-only analysis)<sup>(9)</sup>. In this sense, our examination of body mass changes as an indication 152 of net energy (im)balance better reflects an efficacy trial and nicely complements the results of a 153 154 concurrent effectiveness trial which reported no significant difference in weight-loss over 16weeks with a recommendation to eat or skip breakfast (i.e. an intention-to-treat analysis)<sup>(13)</sup>. Our 155 data are consistent with this conclusion in that there was no significant difference in total body 156 mass change between breakfast versus fasting amongst individuals who were either lean<sup>(14)</sup> or 157

obese<sup>(15)</sup>, although it is interesting to contrast the pattern of changes in DEXA-derived body
composition between groups across both levels of adiposity (Figure 1).



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Figure 1. Changes in DEXA-derived body composition amongst lean<sup>(14)</sup> and obese<sup>(15)</sup> adults over 6 weeks with either ingestion of  $\geq$ 700 kcal before 1100 h daily (Breakfast Group), abstinence from all energy-providing nutrients until at least 1200 h daily (Fasting Group) or lifestyle maintenance (Control). Data are means with standard error bars and \* denotes a significant within group change from baseline (*p*<0.05).

As can be seen, despite the absence of differences between groups according to the breakfast intervention, there were significant within group changes from baseline but with the pattern reversed according to adiposity and treatment group. Specifically, working from left to right across Figure 1, lean individuals in the fasting group did not compensate for the energy 'missed' at breakfast, hence there is a significant reduction in body mass (mostly from fat loss); whereas lean individuals in the breakfast group certainly do not gain weight despite the relatively large prescription of at least 700 kcal by 1100 every day for 6 weeks<sup>(14)</sup>. In contrast, it was the 173 fasting group in the obese population who exhibited the greatest compensation, with avoidance of 174 weight-loss despite consuming not a single calorie until midday everyday for 6 weeks; whereas 175 the obese individuals in the breakfast group clearly did not compensate by expending the 176 prescribed energy intake (or reducing subsequent energy intake sufficiently) and so increased 177 energy storage in the form of adipose tissue<sup>(15)</sup>.

The net effect of the above pattern is that, whether fed or fasted in the mornings, lean individuals may favour a *more* negative energy balance and obese individuals a *more* positive energy balance. This could mean that an individual's natural propensity to compensate is what determines the extent of adiposity and/or could equally mean that the extent of adiposity determines compensation. Whichever is the case, we begin to question both whether breakfast recommendations should vary according to adiposity and what mechanisms are involved in compensation (i.e. which components of energy balance are responsible)?

185

#### **186** Components of Energy Balance

187 1 - Energy Intake

#### 188 Cross-Sectional Observations

Omission of breakfast results in an energy intake deficit at the beginning of the day relative to breakfast consumption. Whether this deficit is maintained will depend on the existence/magnitude of compensatory feeding throughout the remainder of the day. Crosssectional evidence predominantly suggests lower energy intake in those that skip breakfast<sup>(16-19)</sup>, with a recent within person analysis from NHANES showing that energy intake is 247 kcal (95% 194 CI: 121, 373) lower for men and 187 kcal (95% CI: 121, 253) lower for women on days when 195 breakfast was omitted (both p<0.001)<sup>(20)</sup>. However, this observation has not been consistent 196 across all studies<sup>(3)</sup>, with work categorising individuals by graded breakfast frequency reporting 197 no difference despite varying category definitions<sup>(2, 4, 21)</sup>.

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# Acute Laboratory Studies

Experimental research has examined energy intake in both tightly-controlled acute 200 201 settings in the laboratory and with chronic exposure to different morning feeding interventions under free-living conditions (i.e. people studied in their usual environment). The nature of 202 203 laboratory investigations allows precise control and measurement of actual intake, yet it is that same tight control and elimination of external influences that presents a limitation when 204 generalising to "real world" behaviours<sup>(22)</sup>. However, laboratory investigations allow 205 206 measurement of other relevant variables such as concurrent metabolic measurements, subjective responses and appetite regulatory hormones, which can provide valuable mechanistic insight<sup>(23)</sup>. 207 208 The majority of appetite regulatory hormones previously measured are related to satiety and 209 satiation (e.g. Peptide Tyrosine-Tyrosine, GLP-1, Leptin) but ghrelin acts as an appetite stimulant<sup>(24)</sup>. As would be expected, there are clear differences between morning fasting and 210 211 breakfast consumption during the morning, with a postprandial reduction in ghrelin and increased PYY in response to breakfast consumption <sup>(25, 26)</sup>, thus reflecting an anorexigenic response 212 evidenced by subjective measures of appetite, as recently reviewed in this journal<sup>(27)</sup>. 213

Lunchtime feeding also elicits a PYY response that persists throughout the afternoon<sup>(25, 26)</sup>, suggesting that this hormone reflects total cumulative intake as opposed to the energy content

of the most recent meal. In contrast, both Clayton et al. (2015)<sup>(28)</sup> and our recent studies in lean<sup>(25)</sup> 216 and obese<sup>(26)</sup> individuals suggest that, paradoxically, acylated ghrelin remains elevated during the 217 afternoon in those that have consumed a carbohydrate-rich breakfast and lunch. This may be 218 related to the reduced insulinaemic response to the lunchtime meal due to the second-meal 219 effect<sup>(29)</sup>. While these findings for hormonal appetite regulatory mechanisms and results of 220 subjective appetite assessments are informative, it is important to acknowledge that numerous 221 factors contribute to appetite regulation<sup>(30)</sup>. We have also shown in obese individuals that the 222 pattern of appetite regulatory hormones and subjective appetite ratings does not necessarily 223 predict ad libitum intake<sup>(26)</sup>. 224

225 Studies investigating acute appetite regulation following breakfast omission fall into two 226 main categories: those that have examined subsequent *ad libitum* energy intake following an unbroken overnight fast; and those where prior to lunch a pre-lunch snack (i.e. preload) was 227 provided in both breakfast consumption/omission conditions such that lunch was always 228 229 consumed in a fed state. In studies of lean individuals where lunch was consumed *ad libitum*, most but not all<sup>(31, 32)</sup> indicate energy intake is increased at the lunch meal, both when fasted<sup>(25, 28)</sup> 230  $^{31)}$  and after a morning preload<sup>(33)</sup>. Of these studies, Astbury and colleagues report the energy 231 232 deficit from breakfast was abolished by the increase in energy intake at lunch. This was not the case in our work in lean individuals<sup>(25)</sup>, for whom total intake was greater in the breakfast 233 condition. Notably, the breakfast provided by Astbury and colleagues was relatively small (~250 234 kcal) in comparison with those provided in most other investigations (typically >400 kcal). With 235 this in mind, it is a logical suggestion that the energy content of larger breakfasts are less likely to 236 be fully compensated in the next meal alone. Studies that have examined energy intake at both 237 lunch and then dinner<sup>(28)</sup> or meals plus snacks<sup>(31)</sup> have not revealed increased intake after morning 238

fasting, refuting the possibility that further compensation occurs throughout the day. This view is also supported by findings of similar energy intake during evening snacks and meals when comparing morning feeding *versus* fasting followed by a standardised lunch<sup>(34)</sup>.

242 The balance of evidence from controlled studies therefore suggests that breakfast 243 omission results in some compensation at the next meal in lean individuals but that this next-meal 244 effect is relatively transient with little evidence of more sustained compensatory feeding mechanisms. Interestingly, our work in obese individuals indicated similar energy intake at lunch 245 independent of morning fasting or breakfast consumption<sup>(26)</sup>. To our knowledge, this is the first 246 report of ad libitum intake amongst obese adults after breakfast omission and subsequent 247 investigations should attempt to determine if dietary compensation occurs at later feeding 248 249 occasions in this population.

250

#### 251 *Intervention Studies*

252 Intervention studies attempting to quantify the response to chronic breakfast consumption or omission do not provide such clear evidence as laboratory investigations for the effect of 253 breakfast omission upon energy intake. Early work in which feeding frequency was regimented 254 throughout the day suggested that breakfast omission leads to greater energy intake than breakfast 255 consumption<sup>(35)</sup>. Two recent studies from the same research group using similar cross-over 256 designs of 1-week duration provide further data in this regard. In the first investigation, Halsey 257 and colleagues (2011) reported no difference in energy intake when participants either fasted or 258 consumed an ad libitum high-carbohydrate breakfast under supervised laboratory conditions <sup>(36)</sup>. 259 260 In a subsequent investigation, participants were asked to consume a freely chosen breakfast within one hour of waking for one week, relative to fasting until midday; omission of breakfast reduced daily energy intake by 160 kcal relative to a mean energy intake of ~400-500 kcal prior to midday when breakfast was consumed<sup>(37)</sup>.

Our recent investigations did not impose any dietary limitations on the participants in 264 either group other than maintaining the morning fast until noon or consuming  $\geq$ 700 kcal by 1100 265 h, with at least half of this consumed within two hours of waking<sup>(9)</sup>. In lean individuals we found 266 evidence for limited dietary compensation, with the breakfast group consuming 539 kcal/d (95% 267 CI: 157, 920) more than those in the fasting group<sup>(14)</sup>. However, in the obese cohort energy intake 268 was not significantly different between the breakfast and fasting groups, with those assigned 269 breakfast intake consuming 338 kcal/d more (95% CI: -313, 988)<sup>(15)</sup>. This finding in obese 270 individuals is consistent with the findings of Reeves et al (2014), where the difference between 271 breakfast and fasting groups was a pooled effect of lean (~265 kcal higher) and obese individuals 272 (~60 kcal higher), suggestive that obese individuals may compensate more for a morning calorie 273 deficit than lean individuals under free-living conditions<sup>(37)</sup>. Interestingly, in our experiments the 274 275 same obese individuals undertook both the acute investigation described earlier (where there was 276 no compensation observed at lunch) and the free-living assessments (where there was no difference in daily intake between groups)<sup>(15, 26)</sup>. This is in contrast to the equivalent lean 277 individuals who displayed limited compensation for breakfast omission both inside and outside 278 the laboratory<sup>(14, 25)</sup>. The discord between these two groups of individuals suggests either that lean 279 and obese people respond differently to the study designs employed or that energy intake may be 280 more strongly influenced by environmental factors with increasing adiposity<sup>(38)</sup>. For example, the 281 energy intake compensation evident in the obese cohort may be due to food choices and 282 frequency, as opposed to the quantity consumed at single homogenous meals provided in an 283

As might be expected, the data from free-living investigations are inherently more varied 285 286 than controlled laboratory investigations and the limitations of self-reported energy intake have recently been detailed elsewhere<sup>(39)</sup>. While these factors contribute towards systematic and 287 random error and so impact both validity and reliability, there is little reason to believe that 288 289 comparisons between experimental groups would be systematically biased by such limitations<sup>(7)</sup>. 290 Nonetheless, methods to assess diet remain challenging under free-living conditions and there are currently no viable alternatives to dietary records in some form if specific nutrient profiles and/or 291 feeding patterns are of interest. However, from a pure energy balance perspective, it is possible to 292 estimate total energy intake with relative accuracy using the intake-balance method<sup>(40, 41)</sup>, which 293 exploits the energy balance equation to derive energy entering the system as the sum of the 294 change in energy storage and objectively measured energy expenditure<sup>(42)</sup>. The latter may itself 295 be responsive to altered feeding patterns and the following sections will address this possibility 296 297 with specific reference to each individual component of energy expenditure.

298

#### 299 2 - Resting Metabolic Rate

Resting metabolic rate (RMR) is for a large proportion of individuals the greatest contributor to energy expenditure<sup>(43)</sup>. Decreases in mass adjusted RMR have been demonstrated in both starvation and hypocaloric dieting<sup>(44-46)</sup> but evidence for a modifying effect of chronic morning feeding pattern upon RMR is not apparent. Three past studies have measured changes in RMR in response to a sustained morning feeding intervention<sup>(35, 47, 48)</sup>. Of these, Schlundt and colleagues (1992) demonstrated that weight loss induced by caloric restriction in obese women resulted in similar reductions in RMR whether consuming breakfast or fasting during the morning<sup>(47)</sup>. In accordance, the two-week cross-over intervention of Farshchi and associates (2005) found no difference in RMR (or weight/body composition) following breakfast consumption or skipping regimens in lean women<sup>(35)</sup>. In a crossover study design involving groups of lean and overweight individuals, one week of breakfast consumption or fasting until noon also had no effect upon RMR<sup>(48)</sup>.

The results of our 6-week interventions in both lean<sup>(14)</sup> and obese<sup>(15)</sup> individuals over 6 weeks of daily breakfast or morning fasting indicated that RMR was unaffected by morning feeding pattern (all groups stable within 15 kcal/d). Therefore, the evidence uniformly shows that consistently extending the overnight fast does not directly affect RMR beyond the predicted change associated with possible changes in body mass/composition.

# 317 3 - Diet-Induced Thermogenesis

Diet-induced thermogenesis (DIT) is the smallest component of energy expenditure under most circumstances and reflects the obligatory energy expended for the processing and digestion of food. Different macronutrients induce varying levels of thermogenesis<sup>(49, 50)</sup> but DIT is only ever a fraction of the energy content of the foods ingested and typically only  $\approx 10\%$  of intake when consuming a normal mixed diet<sup>(51)</sup>. Only one intervention study has examined the effect of a sustained morning feeding intervention on DIT, with no effect on the thermic effect of a mixed macronutrient test drink after breakfast skipping or consumption for 2 weeks<sup>(35)</sup>.

There is some evidence that DIT is greater in the morning than later in the day<sup>(52, 53)</sup> and the thermogenic effect of breakfast is necessarily greater than morning fasting. Indeed, when consuming breakfast and an *ad libitum* lunch, both lean and obese participants expend greater energy through DIT during the morning and afternoon than when omitting breakfast ( $66 \pm 33$ 

kcal versus 49  $\pm$  29 kcal in lean and 68  $\pm$  30 kcal versus 40  $\pm$  23 kcal in obese; unpublished 329 observations). In studies where a fixed lunch meal has been provided following morning 330 fasting/feeding, DIT during the afternoon was greater following breakfast<sup>(34)</sup> or not different 331 relative to fasting when measured 1 and 4 hours after lunch<sup>(28)</sup>. Where energy intake has been 332 333 matched across 24 hours following breakfast omission by increasing intake at subsequent meals, no difference in 24-h energy expenditure was observed<sup>(54)</sup>. This suggests little modifying effect of 334 morning feeding pattern on DIT. Future studies should determine the effect of chronic breakfast 335 336 omission upon DIT in response to feeding (i.e. a chronic adaptation in the acute response). However, any potential effect of breakfast consumption per se on overall DIT will be 337 quantitatively small and inexorably outweighed by the energy intake required to elicit that DIT. 338

#### 339 4 - Physical Activity Thermogenesis

Of the components contributing to total energy expenditure, physical activity 340 341 thermogenesis is undoubtedly the most modifiable component yet has received surprisingly little 342 attention in the literature regarding breakfast. Higher physical activity levels assessed by questionnaire are cross-sectionally associated with regular breakfast consumption<sup>(1-3, 21, 55-57)</sup>. 343 344 However, this relationship has not been explained by casual data from experimental studies, with the few that are available having employed a wide variety of methodologies of varied sensitivity 345 and specificity. Several studies have investigated the effect of varying feeding frequencies upon 346 overall energy expenditure measured using a whole body calorimeter<sup>(58-60)</sup>, which understandably 347 places severe restrictions upon natural physical activity patterns that might be responsive to 348 349 breakfast outside the laboratory.

350 Other past studies have attempted to quantify aspects of physical activity behaviour in response to breakfast in particular or altered daily meal frequency in general using a variety of 351 approaches. Physical movements have been estimated using hip-worn monitors, pedometers or 352 353 accelerometers but have failed to detect any difference in step counts during one week of either breakfast or fasting<sup>(36, 48)</sup> or any difference in accelerometer counts when comparing a three-meal 354 feeding pattern with a single evening-meal for 8 weeks<sup>(61)</sup>. However, natural adjustments in 355 356 overall activity may have been masked in the latter study because participants were "encouraged to maintain their normal exercise throughout the day". In addition, such measurement tools may 357 also lack both reliability and sensitivity when applied to subtle changes across all aspects of 358 physical activity thermogenesis<sup>(62)</sup>. While these issues of reliability and sensitivity have been 359 overcome using doubly-labelled water to verify no difference in total energy expenditure between 360 a two- *versus* seven-meal daily feeding pattern<sup>(63)</sup>, that finding is not specific to breakfast or 361

362 physical activity thermogenesis *per se*, nor does the technique reveal temporal patterns of363 activity.

364 We employed combined heart-rate accelerometry as a validated tool to quantify physical activity thermogenesis on a minute-by-minute basis under free-living conditions in response to 365 our daily breakfast versus fasting intervention. This instrument is particularly sensitive to the 366 367 low-to-moderate intensity, spontaneous lifestyle activities that we hypothesized might be most responsive to breakfast<sup>(9, 62)</sup>. Our investigation in lean individuals demonstrated that daily 368 physical activity thermogenesis was substantially greater amongst those consuming breakfast 369 than those fasting (442 kcal/d; 95% CI: 34, 851 kcal/d), with a particular difference between 370 groups apparent for the morning period and for light intensity activities <sup>(14)</sup>. The obese individuals 371 372 subsequently studied were less active overall and did not display such a difference between groups in total daily physical activity thermogenesis (272 kcal/d; 95% CI: -313, 988 kcal/d) 373 although, like their lean counterparts, an effect on morning energy expenditure was apparent (188 374 kcal/d; 95% CI: 40, 335 kcal/d)<sup>(15)</sup>. This suggests that modifying feeding patterns can affect 375 376 physical activity, with the most pronounced response during the time period of energy restriction/breakfast consumption. The reasons for this are not immediately clear but might be 377 378 related to perceptions of lethargy, expectations relating to physical activity readiness or that reduced availability of exogenous substrate and/or systemic metabolites may limit engagement in 379 non-essential physical exertion. 380

Taken collectively, these observations that physical activity levels are lower in response to fasting begin to explain why a resolution to start skipping breakfast may not predict the degree of weight loss one might expect. The shaping of our genome prior to the agricultural revolution ensured that humans evolved mechanisms to protect against energy deficit during natural fedfasted cycles on a daily basis (i.e. when almost every meal required initial 'investment' of energy). In this sense, it might be better to express the energy balance equation not as Balance=Intake-Expenditure but instead Balance=-Expenditure+Intake. The net result is unchanged but this serves as a reminder that, in terms of survival, our investment of energy comes first and is inevitable, whereas food availability/procurement is uncertain and may be zero.

390 Strategies designed to improve human health by targeting energy balance must therefore integrate an appreciation of how compensatory feedback mechanisms can operate to defend 391 against energy deficit. Conserving energy via reduced physical activity can be effective in the 392 short-term but may not favour survival during a sustained food shortage, in which case more 393 394 sedentary behaviours might be selected-out relative to the more proactive approach of competing 395 for what limited resources are available early in the post-absorptive period. It therefore remains a possibility that more extreme or sustained exposure to extended daily fasting resulting in a 396 chronically hypocaloric diet could stimulate *increased* spontaneous physical activities, similar to 397 the starvation-induced hyperactivity noted in rodents and patients with anorexia<sup>(64)</sup>. Of course, 398 these elegantly evolved compensatory mechanisms have become somewhat obsolete (for most) in 399 400 modern societies where food procurement is largely independent of any up-front investment of energy <sup>(65)</sup>. An effective intervention today will therefore need to target both sides of the energy 401 balance equation (e.g. diet and physical activity), hence the following section will consider the 402 arguably more natural scenario in which fasting is superimposed against a background of 403 physical activity and/or exercise. 404

405

#### 406 **Exercise-Fasting Interactions**

An important distinction should be made between physical activity thermogenesis and exercise-induced thermogenesis. Whilst both have an end result of increasing energy expenditure, the distinguishing factor is that the latter is defined by having a purpose. Accordingly, if structured exercise was already planned for as part of an individual's morning, then this is likely to prohibit the effect of breakfast consumption on physical activity thermogenesis, since energy expenditure is prescribed. The question then arises, what are the effects of breakfast consumption

413 on metabolism for the morning exerciser?

414 The acute responses of exercise metabolism to prior feeding are well characterised. Total energy expenditure is almost entirely determined by the duration and intensity of the exercise 415 bout but substrate selection can be drastically shifted by nutritional status. Consumption of a 416 417 mixed-macronutrient breakfast increases carbohydrate oxidation and suppresses fat oxidation during exercise<sup>(32, 66)</sup>, which is largely driven by the type and quantity of carbohydrate in the 418 meal<sup>(67)</sup>. This is predominantly due to the insulin-induced suppression of plasma non-esterified 419 420 fatty acid availability; insulin concentrations after a mixed-macronutrient carbohydrate-rich breakfast remain elevated sufficient to all but maximally suppress palmitate appearance<sup>(68)</sup>. 421 Interestingly, the breakfast-induced suppression of fatty acid availability during exercise is not 422 423 due to a reduction in lipolysis (at least in the subcutaneous adipose tissue depot) but rather to an increase in re-esterification <sup>(69)</sup>. In addition, if the breakfast has a particularly high glycaemic 424 index, then an elevated pre-exercise muscle glycogen concentration<sup>(70)</sup> can also contribute to a 425 further suppression of fat oxidation in both  $men^{(71)}$  and  $women^{(72)}$ . 426

427 The omission of breakfast prior to exercise (or delaying breakfast consumption until after

exercise), also appear to have unique consequences for acute whole-body substrate balance. 428 429 Physical exercise does not invoke the same acute energy intake response to breakfast omission/delay presented earlier (i.e. energy intake at lunch and dinner is largely either 430 unaltered<sup>(32, 73, 74)</sup> or does not fully compensate for breakfast omission<sup>(28)</sup>). Instead, the increase in 431 432 energy expenditure due to exercise, combined with the shift in substrate utilisation towards greater lipid oxidation with breakfast omission, results in a less positive (more negative) fat 433 balance in both lean<sup>(32)</sup> and overweight men<sup>(74)</sup>. This has also been observed over a full 24-h 434 period with room calorimetry and fixed energy intake<sup>(75)</sup>. Given the importance of endogenous 435 carbohydrate stores for exercise tolerance<sup>(76-78)</sup>, the preservation of whole-body carbohydrate 436 balance in the presence of a negative fat balance<sup>(32, 74)</sup> could be an attractive metabolic milieu for 437 the regular exerciser. 438

The chronic effect of breakfast-exercise interactions is much less clear. An emerging 439 theme in exercise physiology is the augmentation of endurance-type training adaptations through 440 manipulation of substrate availability. Methods such as multiple bouts of exercise<sup>(79, 80)</sup>, 441 reductions in dietary carbohydrate intake and timing of dietary carbohydrate intake <sup>(81, 82)</sup> all serve 442 to reduce endogenous or exogenous carbohydrate availability, consequently elevating fatty acid 443 availability. Whilst (to the authors knowledge) no studies are available on the effect of breakfast 444 on endurance training adaptation *per se*, there is evidence to suggest that consumption of a 445 carbohydrate-rich breakfast *prior to* training, in addition to carbohydrate intake *during* every 446 exercise training session can impair some endurance-type training adaptations. Specifically, 447 compared to extending the overnight fast until after exercise, carbohydrate consumption before 448 and during exercise can attenuate and/or abolish the increases in VO<sub>2</sub>max<sup>(83)</sup> glucose tolerance, 449 insulin sensitivity, resting muscle glycogen concentrations and GLUT4 content <sup>(84)</sup>. It should be 450

451 noted however, that these effects are not consistent across all studies of fasted-state exercise
452 training<sup>(85)</sup>.

The energy balance and body composition responses to regular exercise training with breakfast consumption/omission are currently unknown. It therefore remains to be seen whether the Nobel Laureate and Exercise Physiologist A.V. Hill had a firm rationale for running a mile every morning prior to having breakfast <sup>(86)</sup>.

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#### 458 Health Outcomes

459 Much of the work examining different morning feeding patterns as described in this review has focussed on components of energy balance. Considering the severity of the growing 460 issue of obesity<sup>(87)</sup> and the general preoccupation of the public/media with the effects of diet upon 461 462 weight, this is not surprising. However, it is important to keep in mind that the primary reason for the study of energy balance is not as an endpoint in itself, but because of our interest in the 463 potential impact of an individual's energy (im)balance upon factors that may then affect their 464 465 health. While chronic energy (im)balance is potentially an important contributor to negative health outcomes, specific components of energy balance such as physical activity can also impact 466 disease and mortality risk independent of net energy surplus/deficit or changes in adiposity<sup>(88, 89)</sup>. 467 Therefore, it is perfectly plausible that the omission/consumption of breakfast might affect 468 markers of health independent of energy balance. 469

While there is a wealth of evidence for increased disease risk in those that omit breakfast<sup>(1-4)</sup>, randomised controlled trials that have provided causal mechanisms to explain these observations remain very limited. In the two prior studies where health markers have been

measured, Stote and colleagues (2007) report increased lipoproteins relative to a 3-meal pattern 473 (total, HDL and LDL) when individuals adhered to a 1-meal a day regimen<sup>(61)</sup>. In a less extreme 474 model. Farshchi et al (2005) report when delaying morning intake until 1030 h each morning for 475 2 weeks that total and LDL cholesterol and insulin response to a test drink increased (although 476 477 other measures of insulin sensitivity remained unchanged), relative to a reduction when consuming breakfast daily<sup>(35)</sup>. Our recent studies have extended this evidence by measuring 478 several markers related to cardiovascular disease risk and metabolic control. In lean individuals, 479 only a modest increase in glucose variability in those fasting during the afternoon/evening was 480 detected<sup>(14)</sup>, with no effects for 24 hour glycaemic control detected in obese individuals<sup>(15)</sup>. 481 However, there was an interaction effect for insulinaemic response to an oral glucose tolerance 482 test in this population, with a reduction in those consuming breakfast relative to an increase in 483 those fasting. Across both groups, the majority of health markers were unaffected by either 484 regimen. Therefore, it appears that any effects of chronic morning fasting upon health in healthy 485 individuals are either non-existent or not detectable over the relatively short time period 486 examined. Evidence for a potential effect upon insulin sensitivity and glycaemic control is 487 evident in the work of our group and others<sup>(14, 15, 35)</sup>, and tallies somewhat with reports of 488 improved glycaemic control with greater breakfast quantity in type 2 diabetics<sup>(90, 91)</sup>. However, 489 considering that not all measures of metabolic control demonstrated a deterioration with extended 490 morning fasting in healthy individuals, it appears that any effects are subtle at best. Future studies 491 could provide further insight by employing interventions of longer durations, over which 492 potential effects upon markers of health might be more apparent. 493

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#### 495 **Conclusions**

The evidence reviewed suggests that breakfast omission affects some components of 496 energy balance much more than others. There is no evidence to suggest that breakfast 497 consumption per se affects RMR, or DIT of subsequent meals or over the day as a whole. 498 499 Evidence that breakfast affects energy intake is compelling for lab studies, with the majority of studies showing energetic compensation at the next meal, but not sufficient to eliminate the 500 deficit from morning fasting. In addition, designs where afternoon/evening feeding has been 501 allowed do not demonstrate sustained compensation for breakfast omission. Experiments outside 502 the laboratory understandably produce more varied results, with the balance of evidence 503 suggesting that energy intake is either lower or similar when omitting breakfast. Our work in lean 504 and obese groups would suggest that there are differences between groups in energy intake 505 responses based on adiposity. The body of evidence taken together supports the concept that, in 506 507 general, energy intake is reduced when breakfast is omitted, with limited support for the popular perception of greater overall energy intake after breakfast omission. 508

While much work has investigated energy intake in response to breakfast omission, there 509 is a severe lack of studies investigating the most modifiable component of energy expenditure-510 511 physical activity energy expenditure, with some studies limited by measurement issues. Our work in both lean and obese individuals suggests that breakfast omission may lower physical activity 512 energy expenditure, particularly during the morning, although this needs confirmation and the 513 potential reasons for this phenomenon remain to be established. The majority of studies 514 515 conducted to date have been of relatively short duration, but those that have examined the effect of breakfast omission upon body weight do not support the strongly established public 516 perceptions and correlational evidence that omission of breakfast is associated with weight-gain. 517

Future investigations should focus on concurrently measuring all aspects of energy balance, to provide a fuller understanding of the effects of breakfast omission upon individual components (and importantly the interaction of these components). Longer term studies are needed to conclusively establish the effects of breakfast omission upon health markers, with more studies required examining overweight and obese populations. Breakfast may or may not be the "most important" meal of the day, but it is certainly an important meal to investigate further.

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