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SHOULDER 3D RANGE OF MOTION AND HUMERUS ROTATION IN TWO VOLLEYBALL SPIKE TECHNIQUES: INJURY PREVENTION AND PERFORMANCE

Elena Seminati^{1,2}, Alessandra Marzari³, Oreste Vacondio⁴, Alberto E. Minetti¹

¹Department of Pathophysiology and Transplantation – Division of Physiology – Università degli Studi di Milano, Milano, Italy.

²Department for Health, University of Bath, Bath, UK.

³Niguarda Ca'Granda Hospital, Milano, Italy,

⁴Federazione Italiana Pallavolo, Milano, Italy.

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Correspondence: Elena Seminati, Applied Biomechanics Suite, Department for Health, University of Bath, Claverton Down Road, Bath, North East Somerset BA2 7AY, UK.

email: elenaseminati@yahoo.com or elena.seminati@unimi.it

Headings: Preventive volleyball spike techniques

1 **ABSTRACT:**

2 Repetitive stresses and movements on the shoulder in the volleyball spike expose this joint to
3 overuse injuries, bringing athletes to a career threatening injury.

4 Assuming specific spike techniques play an important role in injury risk, we compared the
5 kinematic of the traditional (TT) and the alternative (AT) techniques in 21 elite athletes,
6 evaluating their safety with respect to performance. Glenohumeral joint was set as the centre
7 of an imaginary sphere, intersected by the distal end of the humerus at different angles.
8 Shoulder range of motion and angular velocities were calculated and compared to the joint
9 limits. Ball speed and jump height were also assessed. Results showed the trajectory of the
10 humerus to be different for the TT, with maximal flexion of the shoulder reduced by 10
11 degrees, and horizontal abduction 15 degrees higher. No difference was found for external
12 rotation angles, while axial rotation velocities were significantly higher in AT, with a 5%
13 higher ball speed. Results suggest AT as potential preventive solution to shoulder chronic
14 pathologies, reducing shoulder flexion during spiking. The proposed method allows
15 visualisation of risks associated with different overhead manoeuvres, by depicting humerus
16 angles and velocities with respect to joint limits in the same 3D space.

17 **Word count:** 200

18 **Keywords:** shoulder overuse injuries, spike styles, biomechanics, 3D kinematics.

19 INTRODUCTION

20 Volleyball is a very popular and complex sport discipline with high technical and athletic
21 demands for players. Frequent sprints and dives, together with repeated maximal vertical
22 jumps and overhead movements of the upper extremities make this activity a common cause
23 of sport-related injuries (Chan, Yuan, Li, Chien, & Tsang, 1993; Kujala et al., 1995).
24 Shoulder injuries (combination of acute and chronic) account for 8-20% of all volleyball
25 injuries (Briner & Kacmar, 1997; Augustsson, Augustsson, Tomeeé, & Svantesson, 2006),
26 representing the second most common overuse condition (Kugler, Krüger-Franke, Reininger,
27 Trouillier, & Rosemeyer, 1996; Reeser, Verhagen, Briner, Askeland, & Bahr, 2006). Chronic
28 injuries have low incidence rates compared to acute/traumatic events (about 0.6/1000 hours
29 played) and symptoms appear gradually (Aagaard & Jorgensen, 1996; Verhagen, Van der
30 Beek, Bouter, Bahr, & Van Mechelen, 2004). However, the majority of the shoulder injuries
31 are usually overuse injuries. They account for approximately for 19% of all volleyball injuries
32 (Seminati & Minetti, 2013), and result in the greatest time lost from training and competition
33 (Verhagen et al. 2004). Repeated external rotation and elevation shoulder movements, are
34 common manoeuvres in volleyball and in other disciplines classified as ‘overhead sports’.
35 They are known to cause supra-scapular neuropathy, instability and rotator cuff pathologies
36 such as impingement (Page, 2011). When spiking and serving, volleyball players place their
37 arm in an extremely stressful position, abducting their glenohumeral joint to 150°, with the
38 simultaneous eccentric contraction of the infraspinatus to decelerate the upper limb after ball
39 contact (Ferretti, Cerullo, & Russo, 1987; Rokito, Jobe, Pink, Perry, & Brault, 1998; Reeser,
40 Fleisig, Cools, Yount, & Magnes, 2013). This eccentric overload together with the repetitive
41 stresses on the tendons of the shoulder rotator cuff muscles and capsule are believed to be the
42 main causes of shoulder overuse injuries (Wang & Cochrane, 2001) and result in pain,
43 weakness, and, a reduced range of motion. This can jeopardize an athlete’s career, causing

44 long periods of absence from the game. In 2004, Verhagen and collaborators reported a mean
45 value of 6.2 ± 9.4 (SD) weeks' absence for shoulder overuse injuries.

46 Treating sports injuries is often difficult, expensive and time consuming. A successful injury
47 surveillance and prevention program requires effective pre and post-intervention strategies,
48 including rest periods combined with rehabilitation programs, diagnostic screening and pre-
49 participation physical examination to identify injuries (Wu, Wang, Wang, Chen, & Wang,
50 2010) and stretching and warm up exercises prior to activity (Wang, Macfarlane, & Cochrane,
51 2000; Wang & Cochrane, 2001). In addition to these recommendations many authors promote
52 collaboration between athletes and coaches in order to develop spike or serve techniques that
53 could minimize stress on the shoulder (Schafle, 1993; Seminati & Minetti, 2013).

54 Different spike techniques are associated with different kinematics and different risk damage
55 of the shoulder joint. This leads to different probabilities of certain injuries depending on an
56 athlete's selected technique. Here we analysed two of the most common spike techniques in
57 volleyball: the Traditional Technique (TT), also known as *Elevation Style* and the Alternative
58 Technique (AT), also called *Backswing Style*. Oka introduced these two different styles in
59 1976 (Oka & Okamoto, 1976), and although other authors (Coleman, Benham, & Northcott,
60 1993) have described them since, no quantitative analysis of these movements has ever been
61 conducted.

62 The main aim of this study was to assess whether the TT or AT spiking technique presented
63 advantages from an injury prevention perspective, while maintaining athlete performance. We
64 hypothesised that the two spiking techniques are associated with different shoulder ranges of
65 motion, placing athletes at risk of different injuries of the shoulder joint. We decided to
66 analyse female and male athletes separately, due to the different physical characteristics in
67 terms of laxity, mobility and stiffness of the glenohumeral joint (Borsa, Sauers & Herling,
68 2000). In the present study the kinematics of the upper limb during the two techniques will be

69 compared with an experimental protocol based on three different levels of investigation, both
70 inside the laboratory and on a real volleyball court in order to record an ecologically correct
71 movement and account for performance in terms of ball speed and maximal hand height at the
72 spike impact.

73

74 **Spike techniques**

75 Two different types of spike techniques were compared. The Traditional Technique (TT) is
76 characterised by a loading phase of the spike in which the arms swing forward in the sagittal
77 plane (shoulder flexion, phase 1-2 in Figure 1a) and during the flight phase, the forward-
78 upward movement of the shoulders, initiated at take off, is continued over 90° until full
79 flexion is reached (phase 3-4 in Figure 1a, video 1 in supporting information). In contrast,
80 when performing an Alternative Technique (AT), the loading phase starts with a semi-
81 circumduction of both upper limbs (phase 1-2 in Figure 1b) and during the flight phase the
82 right shoulder do not reach the full flexion, but stops at approximately 90°, while completing
83 the full horizontal abduction (phase 3-4 in Figure 1b). In this kind of spike the two segments
84 of the upper limb move with a more pronounced whip-like pattern before hitting the ball
85 (Figure 1b, video 2 in supporting information).

86

87 **Participants**

88 Twenty-one healthy volleyball players, eleven male and ten female, from high-level National
89 categories (1st and 2nd Italian Indoor Volleyball League - *Vero Volley Consortium - Monza*),
90 took part in the experimental protocol. They were free from any musculoskeletal shoulder
91 injury and their anthropometric characteristics are shown in Table I. All athletes were right-
92 hand dominant attackers, with an experience of 10.4 ± 6.5 years (14.0 ± 1.9 hours of
93 training/match per week). They were highly skilled in performing volleyball attack

94 movements. Six men and five women preferentially used the traditional technique and the
95 other five men and five women the alternative one. However, all athletes were skilled in
96 executing both techniques. The institutional ethics committee of the University of Milan had
97 approved all methods and procedures, and the athletes gave their written informed consent
98 prior to the start of testing.

99 Based on data taken from the literature (Wagner et al., 2012; Mitchinson et al., 2013),
100 regarding shoulder kinematics (range of motion) and performance (ball speed), we fixed the
101 sample size, with the goal to detect changes corresponding, to 5% and 10% of the mean
102 respectively. The minimum number of participants for our study was evaluated starting from
103 the mean and the Standard Deviation of shoulder flexion and ball velocity measured by
104 Mitchinson and collaborator in 2013 on a group of 12 participants. Statistical power analysis
105 (GPower 3.1, <http://www.gpower.hhu.de/>), reported a probability of finding true significance
106 (1 - type II error (β)) between 0.7 and 0.8 for α (type I error) equal to 0.05 and 0.1
107 respectively.

108

109 **Study design and Experimental Protocol**

110 This was a cross sectional study in which two groups of participants (male and female)
111 performed repetitions of spike trials adopting two different techniques. The study consisted of
112 three different tests performed on two separate days (see details in Table II). On the first day,
113 experimental sessions took place inside the laboratory without using the ball. Subjects were
114 asked to perform a minimum of three successful alternative and three traditional spike actions
115 without jumping (first test, without the approaching phase) and with jumping (second test,
116 with the approaching phase before the spike movement). On the second day, two weeks later,
117 athletes completed at least three successful spike actions for each of the investigated
118 techniques, by jumping and hitting a ball set by an experienced player as in a game scenario

119 (third test) in an indoor volleyball competition court.

120 Participants performed a self-directed warm up before each of the three measurements
121 conditions. In addition, in order to kinematically record the articular limits (range of motion)
122 of the dominant shoulder of each athlete, starting from an anatomical standing position all the
123 athletes performed a complete circumduction of the shoulder to determinate maximal
124 shoulder flexion and horizontal abduction and successively a maximal internal and external
125 rotation movement with the shoulder 90° abducted in the frontal plane and elbow 90° flexed.

126 The same biomechanical model was used to assess this as during the spike movements.

127 Data were collected during the National volleyball season period, to ensure all athletes were
128 match fit. Athletes were asked to perform spike movements to competition standard.

129

130 **Data collection and processing**

131 3D positions of 16 reflective markers were recorded with a 6-camera optoelectronic system
132 (Vicon MX13, Oxford, UK). Sampling frequency was 250 Hz and markers were positioned
133 according to the Vicon Upper limb model on the right upper limb of the athletes (Table III
134 and Figure 2 – left panel). In addition to the kinematic recording in the third condition, ball
135 speed was measured with a high-frequency camera (CASIO Exilim, 210Hz) for each spike
136 executed on the competition court. The camera was oriented perpendicular to the plane of
137 motion (sagittal) at a distance of 10 m and participant were asked to hit the ball straight on, in
138 a corridor of 1 meter wide. Horizontal and vertical scaling was performed prior the test by
139 videoing a 3 m side length calibration square, with reflective markers placed on the corners.

140 Raw data collected with the optoelectronic system were filtered with a quintic spline filter
141 (Mean Square Error = 10) (Woltring, 1986; Woltring, 1992) and joints positions were
142 calculated according to the upper limb model proposed by Murray (Murray, 1999; Murray &
143 Johnson, 2004).

144 To facilitate the description of glenohumeral joint motion, two sets of coordinate systems
 145 were defined (see Table IV) (An, Browne, Korinek, Tanaka, & Morrey, 1991) and as shown
 146 in the right panel of Figure 2, the glenohumeral joint was set as the centre of an imaginary
 147 sphere intersected by the distal end of the humerus, with a radius of 200 mm. In order to
 148 obtain intersection angles (*shoulder flexion* (θ): latitude and *horizontal abduction* (ϕ):
 149 longitude) independently from the overall movements of the body, the sphere has been
 150 considered as firmly attached to the trunk. The intersection point I (x_I, y_I, z_I) between the
 151 sphere and the humerus was computed, starting from the joint centres positions expressed in
 152 local coordinates of the moving system in order to obtain the intersection angles:

$$153 \quad \theta = \arcsin \frac{y_I}{\sqrt{x_I^2 + y_I^2 + z_I^2}} + \frac{\pi}{2} \quad (1)$$

$$154 \quad \text{if } x_I \geq 0, \phi = \arccos \frac{z_I}{\sqrt{x_I^2 + z_I^2}} - \frac{\pi}{2} \quad (2)$$

$$155 \quad \text{if } x_I < 0, \phi = \arccos \frac{-z_I}{\sqrt{x_I^2 + z_I^2}} + \frac{\pi}{2} \quad (3)$$

156 The time course of humerus rotation about its longitudinal axis (internal-external rotation)
 157 was calculated with appropriate coordinate transformations built on the Eulerian angles
 158 system based on a YZ'Y'' rotation sequence: the first rotation (*shoulder flexion*: ϕ) about the
 159 Y axis fixed on the scapula defined the horizontal abduction angle, the second rotation
 160 (*horizontal abduction*: θ) about the Z'-axis corresponded to the flexion of the shoulder and
 161 the third rotation (ψ) about the Y'' axis corresponded to humeral axial *Rotation*. The initial
 162 resting or reference position and angular rotation was defined with the humeral shaft held at
 163 right angle to the trunk segment ($\theta = 90^\circ$). When the shoulder was 90° abducted in the frontal
 164 plane, with the elbow 90° horizontally flexed, *horizontal abduction* (ϕ) and axial *Rotation* (ψ)
 165 angles assumed a value of 0° . Starting from this position *horizontal abduction* had

166 positive and negative values respectively when the shoulder moved horizontally forward
167 (*horizontal adduction*) and backward, while positive values were assigned for internal axial
168 *Rotation* and negative values for external axial *Rotation*.

169 The location of the glenohumeral joint centre, as measured, is not truly representative of the
170 joint behaviour since it does not account for the scapular motion. The 3D reference
171 framework, despite the many markers adopted, should be regarded as a first attempt to
172 describe the complex motion of the shoulder joint in this context. Protraction/retraction,
173 elevation/depression and upward/downward rotation of the scapula have been evaluated in
174 volleyball players (Ribeiro & Pascoal, 2013). In addition, in vivo measurements have shown
175 that glenoid contact between scapula and humerus shifts superiorly during shoulder abduction
176 and the contact area between the glenoid fossa and the humerus does not change significantly
177 during abduction movements of the shoulder over 90° (Omori et al., 2014). However
178 abnormal conditions (either because of extreme positions and force) could cause the
179 displacement of the glenohumeral joint centre, and further studies will be necessary to assess
180 whether the movements of the scapula during the volleyball spike are small enough not to
181 affect the present conclusions.

182 Time derivative function was exploited in order to evaluate linear and angular velocities,
183 starting from the trajectories of the markers and the 3D angular values respectively. The right
184 finger marker (see Table III) was chosen to evaluate maximal linear velocity and height of the
185 spiking hand.

186

187 **Parameters analysed and statistics**

188 Kinematic data were normalised according to time to a spike cycle from 0 to 100%, from one
189 frame before the humerus intersected the upper half of the sphere (shoulder flexion > 90°) to
190 the exit from it at the end of the spike event (shoulder flexion < 90°). Data could then be

191 averaged across each subject's trials and presented graphically for each condition both for
192 angles values and angular velocities. Range of motion of the shoulder was evaluated in terms
193 of maximal shoulder flexion, maximal horizontal abduction, and maximal axial rotation
194 (internal and external) with the respective angular velocities. The trajectory of the humerus
195 intersection point on the sphere was represented on the imaginary sphere in the 3D space as a
196 function of both angular velocity (internal and external), and angular axial rotation (internal
197 and external). To assess performance, maximal height of the hand, hand linear velocity and
198 ball speed have been evaluated, as well.

199 The following variables were analysed: maximal shoulder flexion, maximal horizontal
200 abduction, and maximal axial rotations with the respective angular velocities. Paired T tests
201 were performed in order to detect differences between techniques for each of the three
202 conditions, while T tests for independent variables were used to detect possible differences
203 between male and females for each condition and technique.

204 In order to check differences between the three experimental conditions, our analysis was
205 completed by performing a one-way ANOVA for repeated measures, both for the traditional
206 and the alternative technique (for maximal shoulder flexion, maximal horizontal abduction,
207 maximal axial rotation and the relative angular velocities, maximal hand height and hand
208 velocity). Pearson's correlation coefficient was computed to compare the angle traces
209 (represented in Figure 3) in the three different experimental conditions. For each condition,
210 the average curve of the participants was calculated (both for male and female) and utilised
211 for the correlation analysis. Statistical significance was accepted when $p < 0.05$.

212

213 **RESULTS**

214 Humerus trajectory of the two techniques (TT and AT) travelled along two different paths on
215 the imaginary sphere (Figure 5a-d). In Figure 3, angular time course data are presented for the

216 three different conditions, averaged and normalised according to the spike cycle described
217 above. Averaged durations of the normalised cycles were 0.62 ± 0.01 , 0.53 ± 0.02 and $0.56 \pm$
218 0.04 seconds, for the three experimental conditions respectively.

219 In each of these conditions maximal shoulder flexion was significantly reduced in AT both
220 for female and male athletes (on average by 10 degrees), while horizontal abduction angular
221 amplitude was significantly higher (on average 15 degrees). No significant difference between
222 TT and AT was found for external rotation angular values in the three different conditions
223 (online supporting information, Table A).

224 Angular velocity time courses are presented in Figure 4, averaged and normalised as
225 previously described. In Table B (on line supporting information) the maximal angular
226 positive and negative velocity values are reported for each condition, both for male and
227 female athletes.

228 We found no significant differences between angular velocities in the spike techniques,
229 neither for horizontal ab/adduction, nor for shoulder flexion/extension. In contrast, internal
230 and external angular velocity was found to be higher in most cases for the AT (Table B, on
231 line supporting information). This technique was also characterized by higher spike-hand
232 velocities compared to the traditional one in all the experimental sessions and higher ball
233 speeds in the third experimental condition, while no differences were found for maximal hand
234 height between the two techniques (Table V).

235 Females displayed a greater range of motion than males (Table A, on line supporting
236 information) especially during field-based experiments (Figure 3c), while male subjects
237 achieved higher values for internal rotation angular velocity. Parameters reflecting athletes'
238 performances also showed significant differences between genders; as expected, males could
239 perform higher jumps, reach higher hand velocities and obtain higher ball speeds (Table V).

240 In addition, maximal hand height and hand velocity presented significantly different values in

241 the three experimental conditions with the highest values obtained during the third condition
242 (indoor volleyball competition court). The pattern of the three measured angles, normalised in
243 time was maintained in the three different experimental conditions, both for TT and AT.
244 Pearson correlation coefficients, together with the related *p*-value are reported in Table C (on
245 line supporting information), showing high significant correlation values for all pairs of
246 variables. While the trajectory of the three shoulder angles is verified in the three
247 experimental conditions, female athletes exhibited significantly higher maximal external
248 rotation values when spiking on the competition court. Also, angular velocities showed
249 significant differences when performing the spike in the different experimental situations.
250 Significantly higher values were recorded when jump-spiking in the laboratory both for male
251 and female athletes for shoulder flexion, internal and external rotational angular velocities
252 (online supporting information Table B).

253

254 **DISCUSSION AND IMPLICATIONS**

255 The aim of this study was to compare two of the most used spike techniques in volleyball, not
256 only in terms of kinematics, but also taking into account performance parameters, in order to
257 promote preventive solutions for shoulder overuse injuries. For these reasons we analysed the
258 range of motion of the spiking shoulder not only simulating the movement in the laboratory,
259 but also performing the spike on a volleyball court, replicating real playing conditions. Our
260 studies intent is to help clinicians, coaches, biomechanists and athletes to better understand
261 risks associated with different spike manoeuvres. As hypothesized, the spiking arm of the
262 athletes moves within a different range of motion when adopting TT or AT (Figure 3a-c and
263 Figure 5a-d). Shoulder flexion was significantly reduced in AT both for female and male
264 athletes, maintaining the same pattern in the three experimental conditions, and suggesting
265 AT as a safer spiking style. Although horizontal abduction increased during the AT, it

266 exceeded the coronal/scapular plane for less than half of the spike cycle, reaching values
267 considered dangerous for impingement just for a few frames of the movement. It has been
268 shown that contact pressure at the glenohumeral joint increases proportionally when
269 horizontal abduction exceeds the coronal plane, with external rotation and 90° of shoulder
270 abduction (Mihata, McGarry, & Kinoshita, & Lee, 2010). However the most dangerous
271 manoeuvres during the volleyball attack are shoulder flexion during the elevation of the
272 spiking arm, together with maximal external (~~axial negative~~) rotation (Leonard & Hutchinson,
273 2010; Page, 2011). Subacromial and internal impingement occurs predominantly against the
274 anterior edge of the acromion and the coracoacromial ligament affecting the vasculature of
275 these structures (Neer, & Welsh, 1977; Rathbun, & Macnab, 1970, Page, 2011). When the
276 humerus is flexed over 90° degrees, the supraspinatus tendon and other structures involved in
277 the spiking movement (Rokito et al., 1998) are at highest risk for irritation and subsequent
278 injury. During elevation, structures such as the rotator cuff, biceps tendon long head, and
279 subacromial bursa become compressed and are at risk of inflammation under the
280 coracoacromial ligament, leading athletes' shoulders to suffer structural subacromial
281 impingement, due to the soft tissue inflammation and consequent decreased stability, due to
282 the tightness of the pectoralis major (Page, 2011). In addition during the spike the shoulder is
283 affected by a significant amount of stress: prior to ball contact, at the end of cocking (phase 5
284 in Figure 1a and 1b) and acceleration phases (phase 6-7 in Figure 1a and 1b), (as described by
285 Rokito and collaborators in 1998) a maximum internal rotation torque is placed on the
286 shoulder, while after the impact (phase 8-9 in Figure 1a and 1b) a shoulder adduction torque
287 is generated, and the glenohumeral compressive force reaches its maximum value. Based
288 upon kinematic analyses, Reeser and collaborators tried to estimate the forces acting on the
289 glenohumeral joint during the volleyball spike (Reeser, Fleisig, Bolt, and Ruan, 2010) and
290 further studies, based on finite element methods, electromyography or musculoskeletal

291 simulation, could estimate these forces and the effect of the single actuators in the
292 compression of the glenohumeral joint.

293 With our novel analysis framework we have observed different techniques performed by the
294 same player to give origin to two distinctive 3D curves on the imaginary sphere (Figure 5a-d).
295 Because of the high effort and physical demand during the spike motion, both TT and AT
296 bring the shoulder near and beyond its articular limits (previously evaluated in term of range
297 of motion), but AT trajectory travels along a safer path. Figures 5a-d demonstrate both static
298 and dynamics features of the two different techniques. Shoulder flexion was always greater in
299 the TT. Additionally at the position of the spiking action, when the stresses are thought to
300 peak, we observed the sudden transition from negative to positive angular velocity of the
301 spiking shoulder occurring on a more dangerous level (closer to the articular limits) for the
302 TT compared to the AT (Figure 5b and 5d). In the same way, ball impact (where hand
303 velocity is supposed to decrease suddenly after its maximal value), occurs at a lower shoulder
304 flexion degree for the AT compared to the TT (Figure 5c and 5d). We can state that, spikes
305 performed with the AT maintained the spiking arm of the players far from their articular
306 limits in term of shoulder flexion. This is because the shoulder in the AT starts its motion
307 with internal rotation and the sudden transition from negative to positive angular velocity
308 occurs only in the last phase of the trajectory, very far from its articular limits.

309 Values of internal and external rotation angles and angular velocities were similar to those
310 reported by Wagner in 2012 (Wagner et al., 2012) for other typical overhead sports activities.
311 We found higher internal rotation velocities values for AT, particularly in male athletes.
312 Differently, females, especially when jumping, exploited a greater range of motion probably
313 due to ligament laxity and lower stiffness of the shoulder joint. Range of motion and angular
314 velocity time courses maintained the same pattern in the three experimental conditions,
315 although during field experiments the differences between techniques decreased. This is

316 probably because athletes, when required to hit the ball, prefer a successful spike to a
317 completely correct technique. Angular velocities increased when spiking while jumping,
318 though we noticed significantly decreased values, particularly of the internal rotational
319 component, during on-court experiments, likely due to ball impact.

320 In terms of performance, values of ball speed, hand height and velocities matched values
321 reported by other studies on high-level professional player spiking analyses (Coleman, 1993;
322 Wagner et al., 2012; Mitchinson, Campbell, Oldmeadow, Gibson, & Hoppe, 2013). While
323 maximal hand height in jumping remained constant, hand velocity before impact had greater
324 values for the AT in each of the three considered conditions. Higher values were also
325 recorded for ball speed in the field experimental sessions when athletes performed the AT.

326 The current study did not analyse the cause-effect relationship between techniques and injury
327 rates. We can therefore speculate that the decreased shoulder flexion during AT may be
328 associated decreased risk for certain injuries. However, future studies are needed to confirm
329 this theory. In addition some limitations have to be mentioned: i) in this study different
330 comparisons have been assessed (different T test have been performed within the different
331 conditions of spike). Type I error rate inflation could occur when a set of hypotheses is tested
332 simultaneously if each hypothesis test is compared to α . This increases the probability of
333 making at least one Type I error (accidentally judging as significant a difference that is not),
334 when multiple and independent null hypotheses are true; ii) marker based motion capture
335 system might introduce significant amount of errors because of skin motion, with consequent
336 possible errors in the estimation of the humerus intersection; iii) The location of the
337 glenohumeral joint centre, as measured, is not truly representative of the joint behaviour since
338 it does not account for the scapular motion and the other structures of the shoulder such as
339 muscles and ligaments.

340 Despite these limitations our method suggested to be effective in visualising the risks

341 associated with different spike manoeuvres ~~not only~~ for biomechanists, ~~but also~~ clinicians,
342 athletes, coaches and athletic trainers, showing information regarding both humerus range of
343 motion, its speed and axial angular velocities in the same 3D space. This allows simultaneous
344 evaluation of the single or combined changes in trajectory and speed, with respect to articular
345 angular and torsional limits. Other shoulder movements could benefit from the proposed
346 framework in future research: skills in sports as tennis and handball, which also strongly rely
347 on overhead shoulder movements, could similarly be compared to the physiological
348 constraints of the relevant joint, giving musculoskeletal specialists the chance to inspect
349 results and associate them with the potential cause of articular diseases.

350

351 **CONCLUSION**

352 Sport movements usually bring athletes close to the limits of their musculoskeletal system and
353 the volleyball spike is a good example of this. Although the shoulder is a complex structure
354 and the risk factors for shoulder injury during spiking can be different (e.g. forces acting on
355 the joint, velocity of the arm, range of motion, previous history of injury, number of spikes in
356 a season), the use of the alternative technique can potentially reduce shoulder overuse
357 injuries. The structures of the shoulder are exposed to high stresses regardless of technique,
358 but we suggested that the alternative technique could potentially be a safer solution to the
359 chronic pathologies of the shoulder. By allowing the rotator cuff to function within a range of
360 motion which is considered less dangerous, the AT technique may reduce shoulder
361 impingement risk, while retaining, or even enhancing performance, not only in elite athletes,
362 but also in recreational and school/collegiate players (both male and female). In addition to
363 pre- or post-injury interventions, the encouragement of a newly validated spike technique,
364 such as the one here called AT, could be a prevention strategy in the field of shoulder overuse
365 injuries, especially if taught to the young athletes, where a specific spike technique has not

366 already been fully established as the individual's chosen technique.

367

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375

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Table I: Anthropometric characteristics of the athletes participating the study

	Age (years)	Stature (m)	Body Mass (kg)
Male	22.1 ± 5.8	1.94 ± 0.04	84.83 ± 8.63
Female	22.8 ± 7.5	1.81 ± 0.04	72.875 ± 5.42
Total	22.4 ± 6.5	1.88 ± 0.07	79.108 ± 9.44

Table II: Description of the different conditions analysed, included in the experimental protocol: Test 1 was performed to verify the differences in term of range of motion between the two spike techniques. Successively players performed test 2 and 3, in order to confirm the kinematical differences also during a movement increasingly ‘ecologically correct’, and to assess the *performance* in term of jump height, arm velocity and ball speed.

	Test	Actions	Details
Laboratory (1 st day)	1	3 successful alternative style spikes 3 successful traditional style spikes	Without jumping and without ball.
	2	3 successful alternative style spikes 3 successful traditional style spikes	Jumping, without ball.
Indoor volleyball competition court (2 nd day)	3	3 successful alternative style spikes 3 successful traditional style spikes	Jumping and hitting the ball positioned over the net by an experienced setter player.

Table III: Description of the Marker Position represented in Figure 2: * indicates that the marker was utilised only for static acquisition; the medial and lateral elbow epicondyle positions were calculated during the static trial, such that their position could be replicated virtually during dynamic trials.

Definition	Marker Anatomical Position
1 7 th cervical vertebra	On the spinous process of the 7 th cervical vertebra.
2 Right back	Over the right scapula.
3 10 th thoracic vertebra	On the spinous process of the 10 th thoracic vertebra.
4 Clavicle	On the jugular notch where the clavicles meet the sternum
5 Sternum	On the xiphoid process of the sternum.
6 Right shoulder	On the right acromio-clavicular joint.
7 Left shoulder	On the left acromio-clavicular joint.
8 Right upper arm A	On the lateral upper right arm (technical reference frame).
9 Right upper arm B	On the lateral upper right arm (technical reference frame).
10 Right upper arm C	On the lateral upper right arm (technical reference frame).
11 Right elbow	On the lateral epicondyle approximating the elbow joint axis.
12 Right medial epicondyle	On the humerus medial epicondyle*
13 Right forearm	Right Forearm.
14 Right wrist marker A	Right wrist marker A. At the thumb side of the right radial styloid attached symmetrically with a wristband on the posterior of the right wrist, as close to the wrist joint centre as possible.
15 Right wrist marker B	Right wrist marker B. At the little finger side of the right ulnar styloid attached symmetrically with a wristband on the posterior of the right wrist, as close to the wrist joint centre as possible.
16 Right finger	Right finger just below the right third metacarpus.

Table IV: Definition of the coordinate systems for Trunk and Upper arm segment: technical and anatomical frames are described starting from the marker (Mk) positions indicated in Figure 2 and Table III.

	Trunk	Upper Arm
Technical frame (Origin and Axis):	Origin: $Mk [5]$ z-axis: $\vec{ZT} = Mk[4] - Mk[5]$ Inter: $\vec{i} = Mk[5] - Mk[1]$ x-axis: $\vec{XT} = \vec{ZT} \times \vec{i}$ y-axis: $\vec{YT} = \vec{ZT} \times \vec{XT}$	Origin: $Mk [11]$ x-axis: $\vec{xt} = Mk[8] - Mk[11]$ Inter: $\vec{i} = Mk[9] - Mk[11]$ y-axis: $\vec{yt} = \vec{xt} \times \vec{i}$ z-axis: $\vec{zt} = \vec{xt} \times \vec{yt}$
Anatomical frame (Origin and Axis):	Origin: RGH y-axis: $\vec{Y} = Mk[A] - Mk[B]$ Inter: $\vec{i} = Mk[5] - Mk[3]$ x-axis: $\vec{X} = \vec{Y} \times \vec{i}$ z-axis: $\vec{Z} = \vec{X} \times \vec{Y}$	Origin: RGH y-axis: $\vec{y} = RGH - REJC$ Inter: $\vec{i} = RWJC - REJC$ x-axis: $\vec{x} = \vec{y} \times \vec{i}$ z-axis: $\vec{z} = \vec{x} \times \vec{y}$

Table V: Maximal Hand Height, Hand Velocity and Ball speed for the three different analysed conditions. Significantly higher values for the AT compared to the TT are indicated with ** ($p < 0.01$) and * ($p < 0.05$). ^{###} indicates significant differences ($p < 0.01$) between male (M) and female (F) athletes. (††) indicates significant differences between the three experimental sessions ($p < 0.01$).

		Max Hand Height ^(††) (m)	Hand Velocity ^(††) (m/s)	Ball Speed (m/s)
1 st condition	M (TT)	2.29 ± 0.07 ^{###}	16.19 ± 2.55 ^{###}	-
	M (AT)	2.30 ± 0.07 ^{###}	17.07 ± 2.34 [#]	-
	F (TT)	2.11 ± 0.08	13.99 ± 1.24	-
	F (AT)	2.10 ± 0.06	14.88 ± 1.59 ^{**}	-
2 nd condition	M (TT)	2.89 ± 0.14 ^{###}	18.95 ± 2.19 ^{###}	-
	M (AT)	2.90 ± 0.10 ^{###}	20.44 ± 2.12 ^{###*}	-
	F (TT)	2.54 ± 0.07	16.11 ± 1.81	-
	F (AT)	2.55 ± 0.06	17.27 ± 1.56 ^{**}	-
3 rd condition	M (TT)	3.04 ± 0.09 ^{###}	20.66 ± 1.32 ^{###}	25.56 ± 3.35 ^{###}
	M (AT)	3.05 ± 0.10 ^{###}	21.90 ± 2.03 ^{###}	26.25 ± 2.04 ^{###}
	F (TT)	2.72 ± 0.06	17.86 ± 1.98	20.41 ± 3.68
	F (AT)	2.73 ± 0.06	18.65 ± 1.40	22.19 ± 2.54

Figure Legends

Figure 1: A) Traditional Spike Technique (TT), also called *Elevations style*, B) Alternative Spike Technique (AT), also called *Backswing style*. Movies regarding real movements filmed with a high frequency camera are available in the online supporting information (Video 1 and Video 2). See details in the paragraph ‘Techniques’.

Figure 2: Left panel: Marker set utilized on participants. The relative description of markers’ numbers is reported in Table III. Points A and B represent the middle points respectively between markers 1 – 4 and markers 3 – 5. RGH, REJC and RWJC represent the rotation centre, respectively for Right Glenohumeral joint, Right Elbow Joint and Right Wrist Joint. Right panel: In order to describe the range of motion of the shoulder in term of *Flexion* (θ), *Horizontal Abduction* (ϕ) and *Axial Rotation* (ψ) angles, two sets of coordinate systems are represented: the reference system XYZ (Trunk anatomical frame), fixed to the trunk together with the imaginary sphere (radius = 200mm) centred on the humerus head (RGH), and the moving system xyz (Upper Arm anatomical frame). Both the reference system XYZ and the moving coordinate system xyz were fixed and centred on the glenoid RGH and their respective axes are described in Table IV.

Figure 3: Horizontal Abduction, Flexion and axial Rotation angles, normalised on a spike cycle: 0% = first frame in which Flexion angle goes over 90°, 100% = last frame in which goes under 90°. A) without jumping, B) jumping without hitting the ball, C) jumping and hitting the ball. Dashed curves represent females, while continuous lines represent males (AT in black and TT in grey).

Figure 4: Horizontal Abduction, Flexion and axial Rotation angular velocities, normalised on a spike cycle: 0% = first frame in which Flexion angle goes over 90°, 100% = last frame in which goes under 90°. A) without jumping, B) jumping without hitting the ball, C) jumping and hitting the ball. Dashed curves represent females, while continuous lines represent male (AT in black and TT in grey).

Figure 5: Examples of typical 3D trajectories of humerus intersection on the imaginary sphere for the Alternative Technique (AT, labelled 1) and for the Traditional Technique (TT, labelled 2). Panels A and B show trajectories recorded during the second experimental condition (with jumping in the laboratory), while panels C and D refer to the third experimental condition (with jumping and hitting the ball). The trajectory obtained during Maximal Circumduction (MC) indicates the articular limits of the subjects' shoulder and is represented on the sphere in black.

A and C: Trajectory color intensity reflects the corresponding internal (blue) and external (red) angular value of humerus axial rotation.

B and D: Trajectory color intensity reflects positive (blue) and negative (red) angular velocity of humerus axial rotation. * indicates the impact with the ball, occurring on average 0.5 seconds after the humerus intersects the upper half of the sphere.

Table A: Max Horizontal Abduction, Maximal Flexion and External axial rotation angle values for the three different analysed conditions. Significant differences between TT and AT are indicated with ** ($p < 0.01$) and * ($p < 0.05$). ## indicates significantly differences ($p < 0.01$) between male (M) and female (F) athletes. †† indicates significantly differences ($p < 0.01$) with the 1st condition. °° indicates significantly differences ($p < 0.01$) with the 2nd condition.

		Max Horizontal Abduction (°)	Max Flexion (°)	Max Ext. rot (°)
1 st condition	M (TT)	-10.1 ± 21.5	142.0 ± 12.6	-121.8 ± 57.4
	M (AT)	-28.8 ± 16.1**	128.7 ± 11.5**	-139.4 ± 17.8
	F (TT)	-16.8 ± 15.2	146.9 ± 9.8	-141.5 ± 16.2
	F (AT)	-33.4 ± 19.3**	134.5 ± 11.1**	-141.6 ± 14.1
2 nd condition	M (TT)	-14.6 ± 18.43	146.6 ± 14.2	-131.3 ± 38.6
	M (AT)	-35.3 ± 12.5**	137.8 ± 13.8**	-141.0 ± 18.2
	F (TT)	-26.0 ± 19.0	146.3 ± 7.5	-141.4 ± 24.9
	F (AT)	-45.7 ± 20.1**	139.6 ± 8.9*	-137.0 ± 14.3
3 rd condition	M (TT)	-18.7 ± 21.3	139.9 ± 9.5	-141.8 ± 23.7##
	M (AT)	-33.7 ± 16.2###*	133.1 ± 10.5*	-143.5 ± 20.92#
	F (TT)	-41.5 ± 20.8†	145.1 ± 11.4	-162.8 ± 13.2††°°
	F (AT)	-45.0 ± 20.6*	138.8 ± 12.0*	-160.83 ± 15.8††°°

Table B: Maximal values for Horizontal Ab/Ad-duction, Flexion/Extension and Internal-External rotation angular velocities for the three different analysed conditions. Significant differences between TT and AT are indicated with ** ($p < 0.01$) and * ($p < 0.05$). ## indicates significantly differences ($p < 0.01$) between male (M) and female (F) athletes. †† indicates significantly differences ($p < 0.01$) with the 1st condition.

		Max Ang Vel Abduction (rad/s)	Max Ang Vel Extension (rad/s)	Max Int Rot Ang Vel (rad/s)
1 st condition	M (TT)	-9.06 ± 3.52	-14.82 ± 3.97	56.93 ± 27.54 ^{##}
	M (AT)	-10.06 ± 3.96	-13.48 ± 4.29	66.21 ± 31.42 [#]
	F (TT)	-12.13 ± 8.08	-13.40 ± 2.46	26.44 ± 8.67
	F (AT)	-7.60 ± 3.68	-13.40 ± 2.07	37.33 ± 7.46*
2 nd condition	M (TT)	-15.18 ± 5.32 [†]	-18.49 ± 2.72 [#]	66.39 ± 36.51
	M (AT)	-12.71 ± 2.76	-18.57 ± 2.96	77.47 ± 35.92*
	F (TT)	-15.60 ± 4.80	-16.85 ± 2.58	41.64 ± 18.71
	F (AT)	-11.53 ± 5.01	-17.99 ± 2.56 ^{††}	55.83 ± 15.65* ^{††}
3 rd condition	M (TT)	-11.41 ± 4.16	-14.81 ± 3.23	43.07 ± 11.6
	M (AT)	-13.63 ± 2.47	-16.11 ± 3.35	66.25 ± 22.53 ^{##*}
	F (TT)	-11.69 ± 3.77	-13.00 ± 3.65	32.47 ± 5.11
	F (AT)	-11.97 ± 4.17	-14.06 ± 1.59 ^{††}	32.59 ± 8.87 ^{††}
		Max Ang Vel Adduction (rad/s)	Max Ang Vel Flexion (rad/s)	Max Ext. Rot. Ang Vel (rad/s)
1 st condition	M (TT)	14.70 ± 4.81	7.27 ± 1.73	-6.24 ± 9.14
	M (AT)	15.58 ± 4.50	6.86 ± 1.76	-15.30 ± 6.02*
	F (TT)	14.24 ± 5.27	7.51 ± 2.81	-10.83 ± 7.03
	F (AT)	15.09 ± 6.61	6.37 ± 1.98	-9.85 ± 9.33
2 nd condition	M (TT)	21.95 ± 12.03	10.43 ± 1.56	-16.87 ± 6.39 ^{††}
	M (AT)	19.04 ± 4.38	11.82 ± 3.75 ^{††}	-24.43 ± 5.45*
	F (TT)	16.50 ± 3.17	10.18 ± 2.45	-13.71 ± 3.36
	F (AT)	16.75 ± 4.72	9.90 ± 1.90 ^{††}	-19.39 ± 6.81*

3 rd condition	M (TT)	17.67 ± 7.02	$11.50 \pm 3.13^{\dagger\dagger}$	$-20.61 \pm 6.13^{\dagger\dagger}$
	M (AT)	21.88 ± 7.81	$13.88 \pm 5.18^{\dagger\dagger}$	-37.97 ± 34.33
	F (TT)	19.47 ± 3.06	9.74 ± 2.00	$-18.51 \pm 3.49^{\dagger}$
	F (AT)	19.98 ± 3.38	$9.60 \pm 1.92^{\dagger\dagger}$	$-21.65 \pm 5.05^{\dagger}$

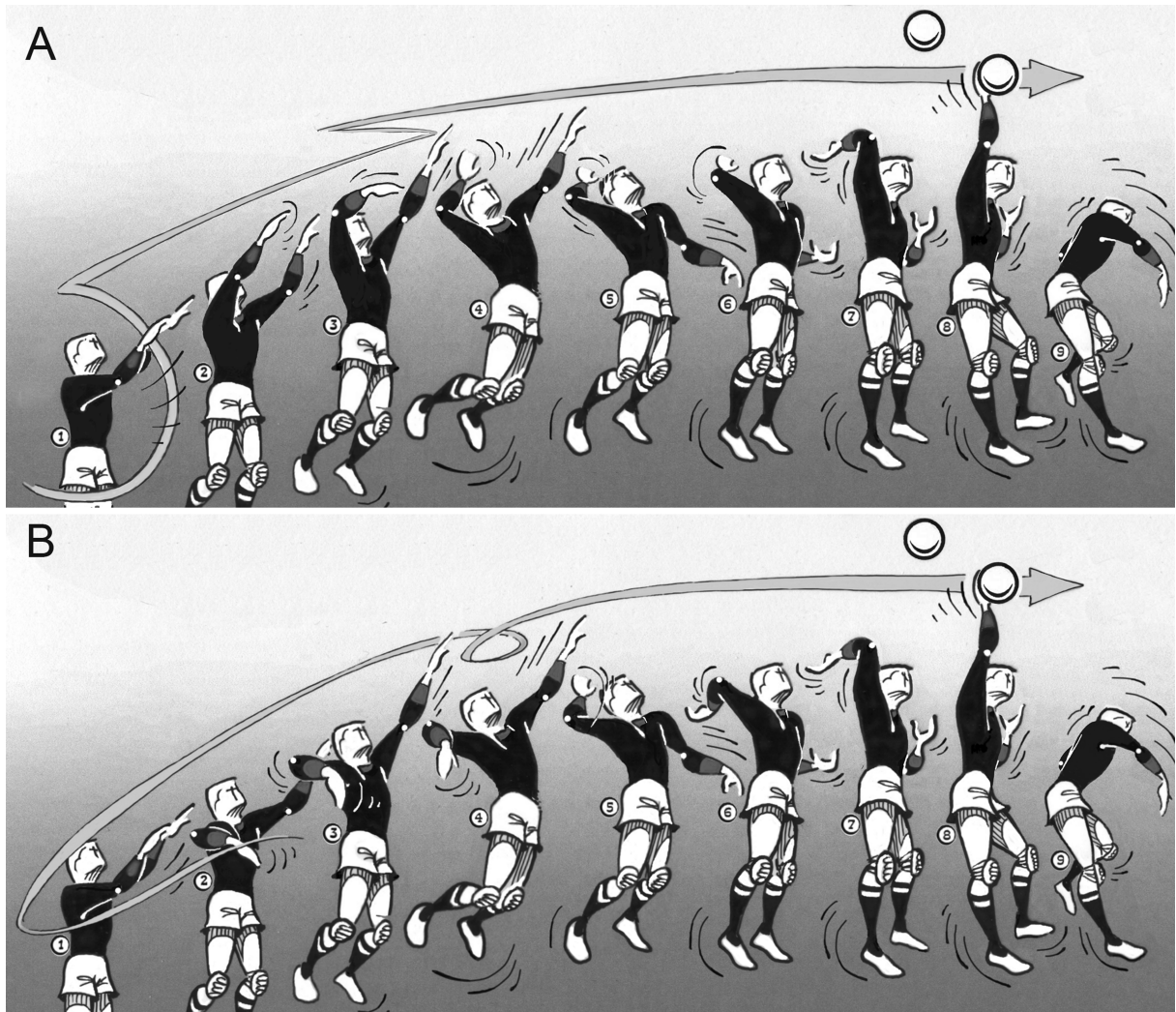


Figure 1

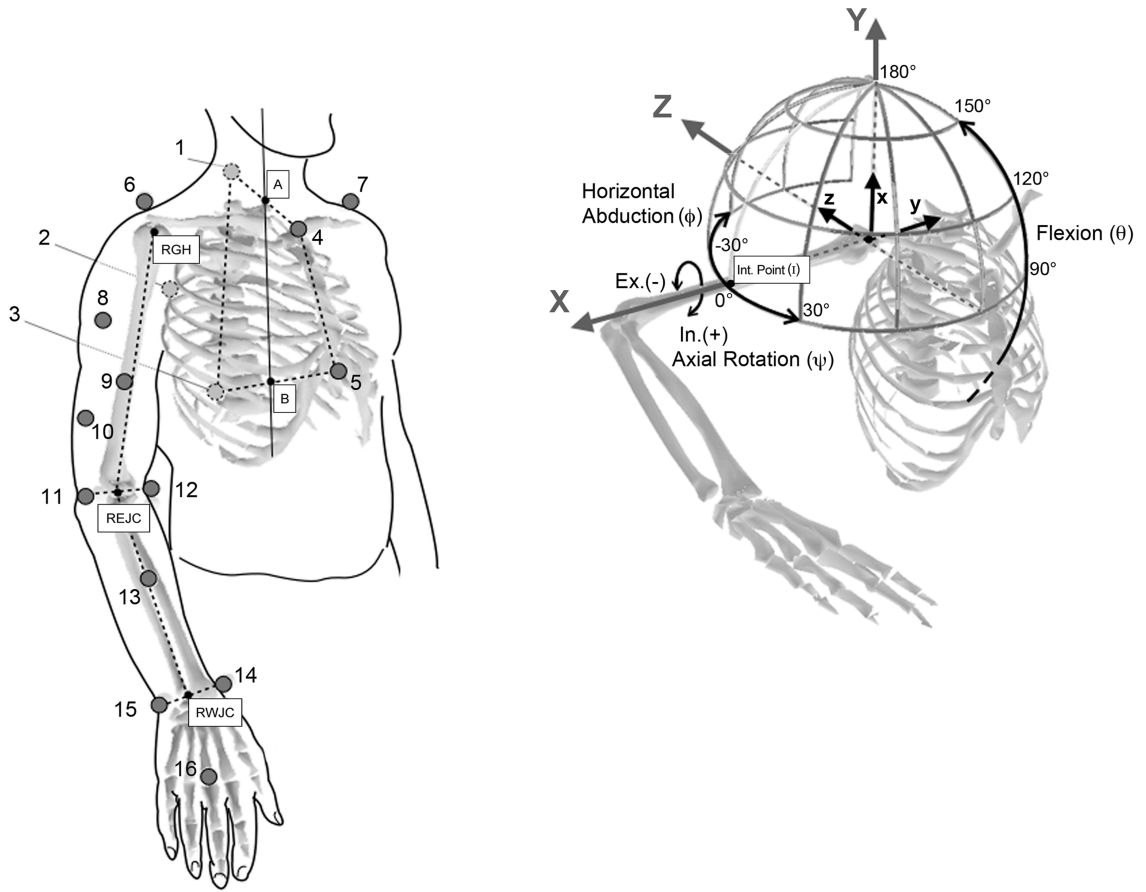


Figure 2

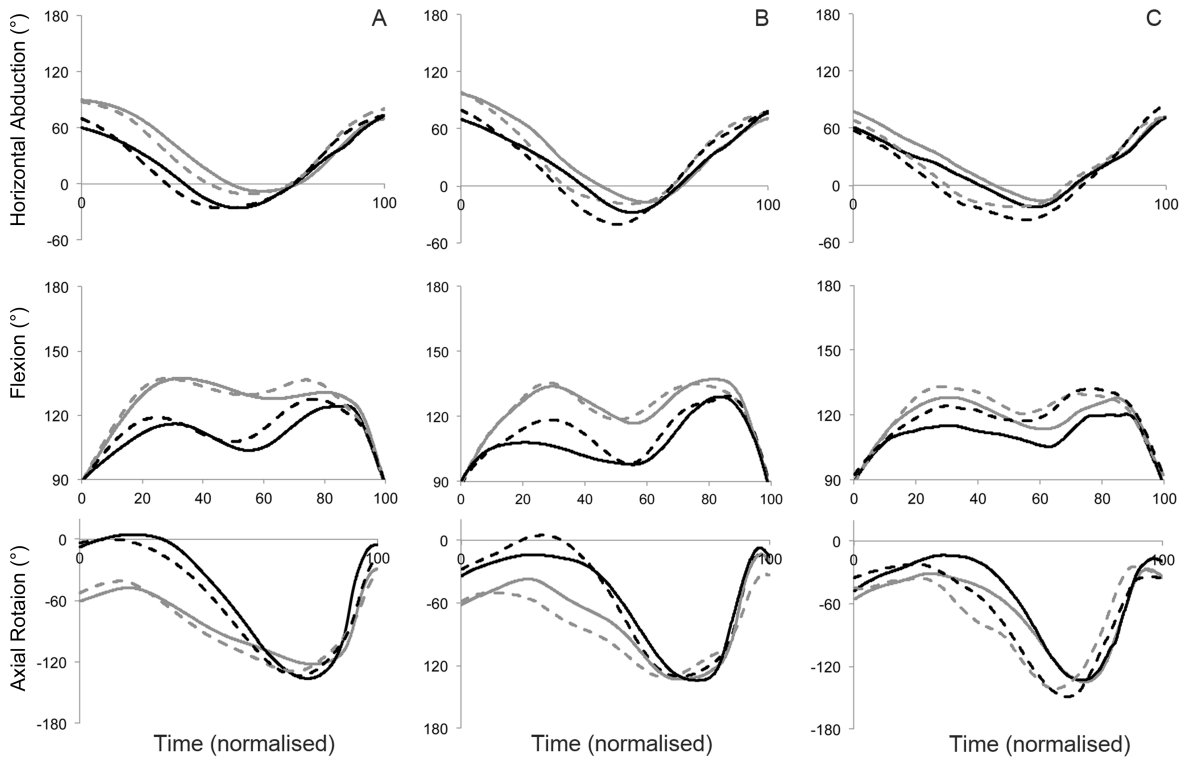


Figure 3

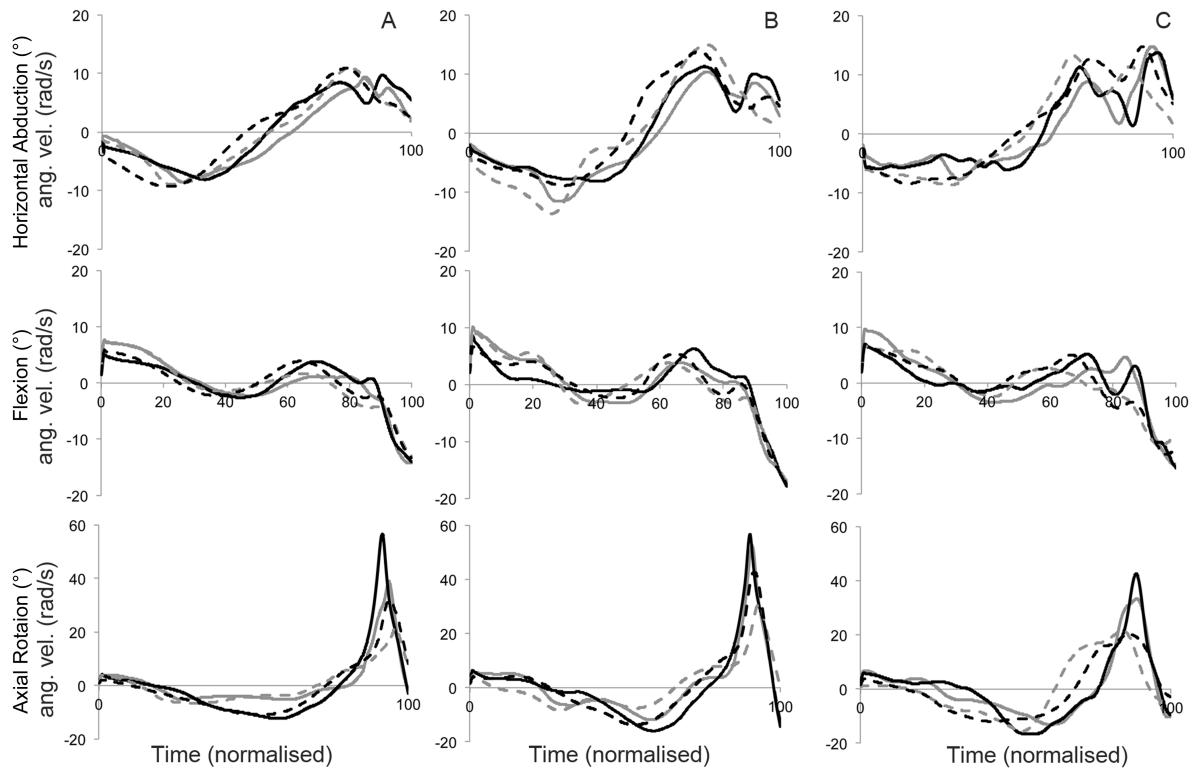


Figure 4

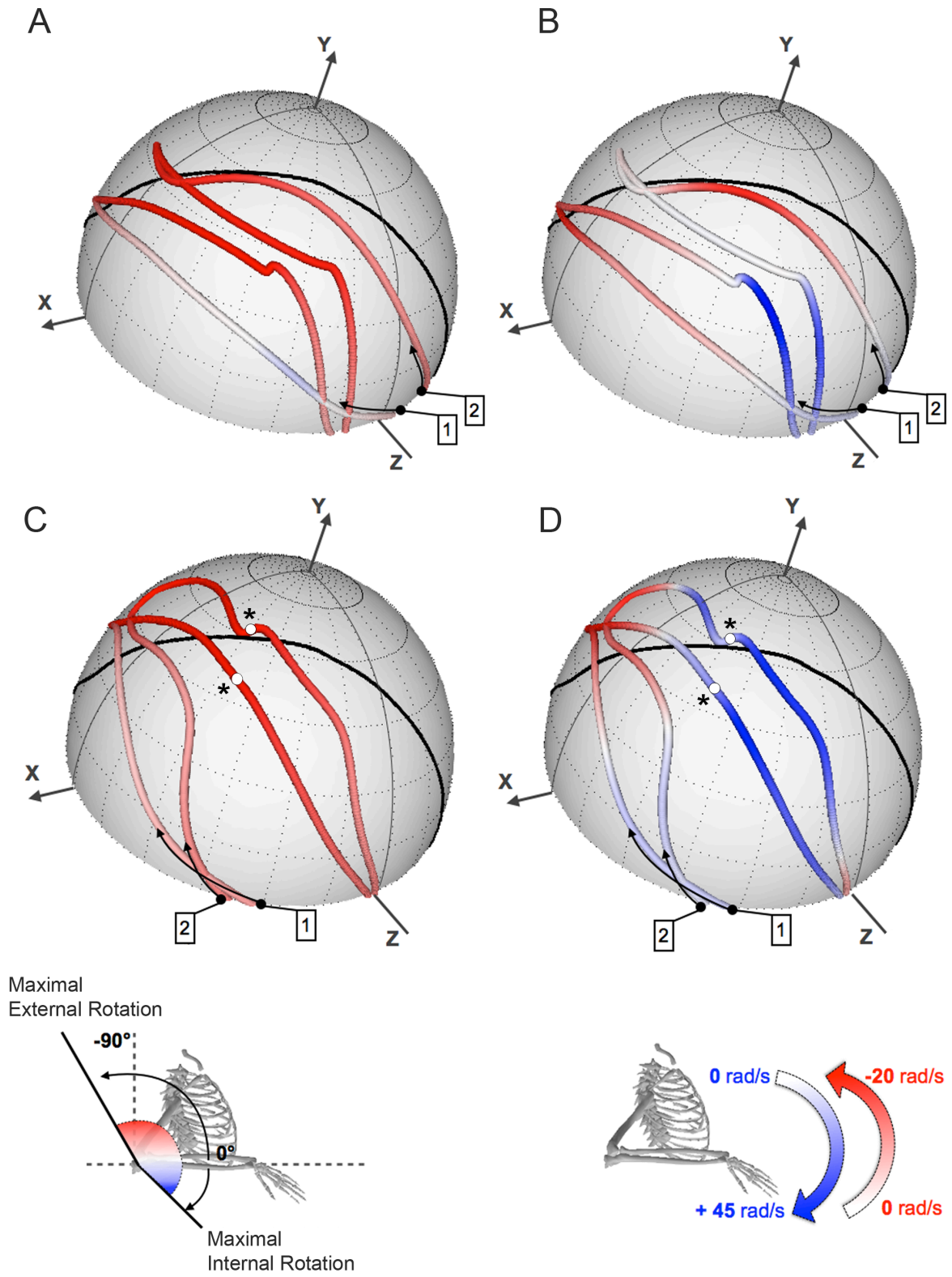


Figure 5