

Citation for published version: Kotecha, A, Turner, S, Vasilakis, C, Utley, M, Fulop, N, Azuara-Blanco, A & Foster, PJ 2014, 'Improving care and increasing efficiency: Challenges in the care of chronic eye diseases', Eye, vol. 28, no. 7, pp. 779-783. https://doi.org/10.1038/eye.2014.135

DOI: 10.1038/eye.2014.135

Publication date: 2014

Document Version Early version, also known as pre-print

Link to publication

University of Bath

General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Editorial/ commentary

Improving care and increasing efficiency – challenges in the care of chronic eye diseases Aachal Kotecha¹ Simon Turner² Christos Vasilakis³ Martin Utley⁴ Naomi Fulop² Augusto Azuara-Blanco⁵ Paul J Foster¹

1. NIHR Biomedical Research Centre, Moorfields Eye Hospital and UCL Institute of Ophthalmology, London

2. Department of Applied Health Research, University College London, London

3. IDO Group, School of Management, University of Bath

4. Clinical Operational Research Unit, University College London, London

5. Institute of Clinical Sciences, School of Medicine, Dentistry and Biomedical Sciences, Queen's University Belfast

Address for correspondence: Professor Paul Foster, Professor of Ophthalmic Epidemiology & Glaucoma Studies, NIHR Biomedical Research Centre for Ophthalmology, UCL Institute of Ophthalmology,11-43 Bath Street, London EC1V9EL

Financial disclosure:

The authors have no financial disclosures to declare.

Acknowledgments:

Funding: Special Trustees of Moorfields Eye Hospital, the NIHR Biomedical Research Centre at Moorfields Eye Hospital and UCL Institute of Ophthalmology. The authors wish to thank Benjamin White, Performance Manager at Moorfields Eye Hospital for providing the outpatient data statistics used in this paper, Dr Baris Yalabik, University of Bath for work on the spreadsheet model, and Mr. Simon Longstaff, Consultant ophthalmologist at the Royal Hallamshire Hospital for information regarding his stable monitoring service

Word count: 1559

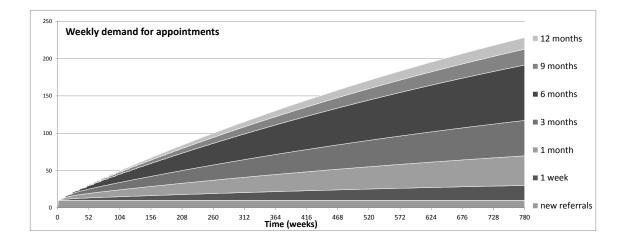
In March 2010, the government announced its Quality, Innovation, Productivity and Prevention (QIPP) initiative for England, which aimed to make £20 billion of efficiency savings in the NHS by 2015. [1] The scheme calls for reduction in hospital-based care through an increase in care closer to home, efficiency through new technology and innovation through medical research. [2]

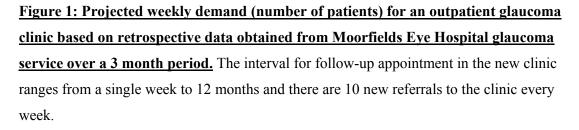
As with most industrialised nations, the UK population is living longer; in 2010, there were 19 million individuals over the age of 60 years and this number is predicted to increase to 28 million by 2035. [3] Whilst evidence suggests that most people are enjoying more healthy older age now than ever before, older people are still at a greater risk of developing disease and remain disproportionate users of healthcare services. [4] Within ophthalmology, there is an increase in prevalence of age-related macular degeneration (AMD), diabetic retinopathy (DR) and glaucoma, all of which are potentially blinding conditions that frequently require lifelong monitoring, and often treatment, to prevent irreversible visual loss. [5-8]

Use of hospital outpatient services for ophthalmology ranked second only to orthopaedics and trauma (6.3 versus 7.1 million outpatient appointments in 2011-12, respectively). Hospital eye care accounts for 8.6% of all outpatient activity in NHS England. For example, at Moorfields Eye Hospital NHS Foundation Trust, glaucoma and medical retina follow up appointments constituted 146,707 attendances over the 2011/12 period, accounting for 45% of all follow up attendances across the Trust. With the 2014/2015 National Tariff Payment System recommending prices for ophthalmology out-patient services at approximately £100 for new patient and approximately £85 for follow up consultant-led attendances, [9] these attendances represent a major and ever increasing cost burden. Total costs will only increase when we consider the implementation of the 2009 NICE guidelines which prompted a considerable increase in the number of glaucoma-suspect referrals, [10, 11] and the advent of new treatments (such as anti-VEGF injections) for AMD [12] and more recently DR [13] that require regular administration and patient monitoring by ophthalmologists.

The increasing prevalence of chronic eye diseases, increasingly widespread use of diagnostic technology by opticians, and the chronicity of these conditions have been taken into consideration by some hospital eye departments to predict capacity problems in meeting demand for ophthalmology out-patient services. [14-16] To illustrate this, we have developed a model based on appointment interval outcome data obtained from patients attending the Glaucoma Service at Moorfields Eye Hospital between April 1st and June 30th 2013. The

model starts from 0 patients and assumes a stable stream of 10 new referrals per week for one consultant's clinic. The case mix includes complex, unstable or surgical cases and stable patients. The data obtained suggests that about 30% of new referrals to the clinic and 8% of those on 12-month interval are discharged, with a much smaller discharge rate for those under the service for shorter follow-up periods. Figure 1 illustrates the predicted weekly demand for appointments in this new consultant's service over a 15 year period.





Secondary care providers are under increasing pressure to keep new to follow-up ratios at or less than 1:2.5, with penalties being imposed if targets are not met. [17] However, ophthalmology departments often have very different new to follow-up ratios [18] as patients with chronic eye disease cannot be discharged to a primary care setting. Guidelines that outline the recommended intervals for patient monitoring have been developed to ensure that patients are monitored at intervals appropriate to their risk of disease progression and visual loss. [19, 20] Bringing patients back too frequently increases demand for appointments and may result in overbooked clinics, which in turn may lead to inappropriate appointment rescheduling. Delays in appointments have implications for patient safety. [21, 22]

There are a number of approaches to meeting the increasing demand for services. One is to increase clinic capacity, [23] which, although may in the short-term lead to a reduction of waiting times, is not be a viable long-term solution (as Figure 1 demonstrates). Another is to implement community eye care schemes, whereby 'stable' patients may be discharged from secondary care to be followed up within the community, usually by suitably trained optometrists. Whilst there has been a drive towards this model of care, [24] the anecdotal evidence suggests that the success of such schemes is very much dependent on a high level of secondary care input and overall supervision. [25] Furthermore, there is a concern that moving care from secondary to primary settings may be at the expense of care quality and that costs for such services are often greater than expected. [26, 27] Whilst there are a number of successful community models of primary care ophthalmology that improve the quality of new referrals into secondary care [28-32], there is a scarcity of evidence concerning the viability of community monitoring services for people with stable eye diseases. Furthermore, there is evidence to suggest that non-attendances to nonophthalmologist-led community services are greater than those in NHS secondary care settings. [33]

Even with such community schemes, there will always be a number of patients who are not suitable for, or who do not want, community monitoring. These patients need to be managed efficiently within the acute NHS setting.

In the care of chronic ophthalmic disease, the patient journey time per outpatient appointment can be lengthy [34] and depends on the number of pre-consultation monitoring tests and the availability of tests/staff on the day. Recommended guidelines for frequency of testing are often not followed due to time constraints within busy outpatient settings, [35] which may be detrimental to the patient. Whilst regular patient monitoring is necessary, there is no doubt that a more efficient approach to patient care is required if the hospital eye service is to cope with increasing demand.

Efficiency may sometimes be misinterpreted as a 100% utilisation of resources. [23, 36] This approach can lead to an increase in 'time wastage' whereby time is wasted triaging, prioritizing and managing patients rather than being used to diagnose and treat patient conditions. A more efficient use of resources would be to reorganise patient flow through the system. Patient flow describes the flow of patients between staff, departments and organisations through the care pathway. Poor patient flow increases the likelihood of harm to

patients and increases healthcare costs when 'unnecessary' processes waste precious resources. [37]

The issue of optimising patient flow through ophthalmology clinics is not new and is being addressed by NHS and independent sector providers. As an example, The Royal Hallamshire Hospital in Sheffield has for over 20 years run a virtual Glaucoma Monitoring Unit for stable glaucoma patients, staffed by technicians. The service removes the face-to-face ophthalmologist consultation and data is reviewed remotely by a consultant ophthalmologist (personal communication Mr. S Longstaff, January 15th 2014). The average patient journey time is 40 minutes, with a review/GP and patient information turnaround of 2 weeks. A similar model for glaucoma care is run by an independent sector provider, [38, 39] although this model utilises specialist trained optometrists for the face-to-face consultation, with consultant ophthalmologist remote review of data to ratify clinical decisions. Both services make use of the electronic patient record (EPR) to deliver their service. Whilst the "virtual" approach has been used to facilitate specialist ophthalmological consultation in remote areas, [40, 41] these examples support the possibility of removing some face-to-face doctor consultations as a more efficient way to manage some patients *within* the NHS. [42]

The NHS Operating Framework 2012/13 encourages Clinical Commissioning Groups to adopt innovation within their local reconfiguration plans, and cites removal of the face-to-face consultation as an efficient method to deliver care. [43] The use of this type of model remains contentious, may have unintended consequences, and needs to be assessed alongside, and relative to, other interventions to improve quality and efficiency. [44, 45]

Within the NHS, implementation of redesigned services may be inhibited by a lack of clinical engagement due to disagreement about their purpose, resistance to standardisation, and their perceived relevance to only some clinical groups. [46] There may be difficulties with aligning different managerial and clinical groups in the context of clinical service redesign, [47, 48] as well as changing inter-professional relationships. [49] A further barrier to the success of any new NHS care pathway is a lack of evidence on effectiveness, cost-effectiveness, viability, sustainability, safety and acceptability to patients and clinicians. The approach to such evaluations should combine the question 'what works, at what cost?' with a study of the development, implementation and sustainability of these models, including the views of the multiple stakeholders likely to be affected by the implementation. [50, 51] Ongoing evaluation of services, that may include non-participant observation or ethnographic methods,

6

[52] coupled with analysis of outcomes, costs and modelling should be used to identify aspects of the organisational context that influence the implementation of change and to support the iterative development of services that builds on such evidence.

In the current climate of increasing demand and limited clinic capacity, radical change in provision is needed, but without good quality evidence, NHS ophthalmology providers will remain divided in their approach to the care of chronic eye disease. Ophthalmology services are in critical need of robust evaluation to determine which clinical pathways best suit the increasing demand for services. Without evaluation, we run the risk of taking distinctly disparate approaches to care with little idea of what is best for the patient.

References

- 1. *The NHS Quality, Innovation, Productivity and Prevention Challenge: an introduction for clinicians*, D.o. Health, Editor 2010.
- 2. Department of Health, *Making the NHS more efficient and less bureaucratic*, 25 March 2013.
- 3. Office for National Statistics, *National Population Projections, 2010-Based Statistical Bulletin.* 26 October 2011. http://www.ons.gov.uk/ons/dcp171778_235886.pdf
- 4. Rice, D.P. and N. Fineman, *Economic implications of increased longevity in the United States*. Annu Rev Public Health, 2004. **25**: p. 457-73.
- 5. Quigley, H.A. and A.T. Broman, *The number of people with glaucoma worldwide in 2010 and 2020.* Br J Ophthalmol, 2006. **90**(3): p. 262-267.
- 6. Ngai, L.Y., et al., *The prevalence and analysis of risk factors for age-related macular degeneration: 18-year follow-up data from the Speedwell eye study, United Kingdom.* Eye (Lond), 2011. **25**(6): p. 784-93.
- Minassian, D.C., et al., Modelling the prevalence of age-related macular degeneration (2010-2020) in the UK: expected impact of anti-vascular endothelial growth factor (VEGF) therapy. Br J Ophthalmol, 2011. 95(10): p. 1433-6.
- 8. Minassian, D.C., D.R. Owens, and A. Reidy, *Prevalence of diabetic macular oedema and related health and social care resource use in England*. Br J Ophthalmol, 2012. **96**(3): p. 345-9.
- 9. Monitor. 2014/15 National Tariff Payment System: Annex 5A National prices. Available from: http://www.monitor.gov.uk/NT.
- 10. Shah, S. and I.E. Murdoch, *NICE impact on glaucoma case detection*. Ophthalmic Physiol Opt, 2011. **31**(4): p. 339-42.
- de Silva, S.R., et al., *There is a trend for the diagnosis of glaucoma to be made at an earlier stage in 2010 compared to 2008 in Oxford, United Kingdom*. Ophthalmic Physiol Opt, 2013. 33(2): p. 179-82.
- 12. Lanzetta, P., et al., *Different antivascular endothelial growth factor treatments and regimens and their outcomes in neovascular age-related macular degeneration: a literature review.* Br J Ophthalmol, 2013.
- 13. Zhao, L.Q., et al., *A systematic review and meta-analysis of clinical outcomes of vitrectomy with or without intravitreal bevacizumab pretreatment for severe diabetic retinopathy.* Br J Ophthalmol, 2011. **95**(9): p. 1216-22.
- 14. Chalk, D. and M. Smith, *Guidelines on glaucoma and the demand for services*. British Journal of Healthcare Management, 2013. **19**(10): p. 476-481.
- 15. RNIB Saving money, losing sight. RNIB campaign report November 2013.
- 16. Smith, R. *Our ophthalmology service is "failing", please help!* Professional Standards Committee, 15 August 2013.
- 17. Committee, R.P.S., New to Followup ratios in ophthalmology outpatient services, 2011.
- 18. Sparrow, J.M., *How nice is NICE?* Br J Ophthalmol, 2013. 97(2): p. 116-7.
- 19. NICE, National Institute of Clinical Excellence (NICE) guidance CG85 Glaucoma: diagnosis and management of chronic open angle glaucoma and ocular hypertension, April 2009.
- 20. RCOphth, *The Royal College of Ophthalmologists Age-related Macular Degeneration: Guidelines for Management*, September 2013.
- 21. Tatham, A. and I. Murdoch, *The effect of appointment rescheduling on monitoring interval and patient attendance in the glaucoma outpatient clinic.* Eye (Lond), 2012. **26**(5): p. 729-33.
- 22. *Preventing delay to follow-up for patients with glaucoma*, 2009, National Patient Safety Agency: London.
- 23. Silvester, K., et al., *Reducing waiting times in the NHS: is lack of capacity the problem?* Clinician in Management, 2004. **12**(3): p. 105 -10.
- 24. Department of Health, *Our health, our care, our say: a new direction for community services,* 2006: London.

- 25. McLeod, H., et al., *Evaluation of the chronic eye care services programme: final report*, N.E. Pathways, Editor 2006.
- 26. Coast, J., et al., *Comparing costs of monitoring glaucoma patients: hospital ophthalmologists versus community optometrists.* J Health Serv Res Policy, 1997. **2**(1): p. 19-25.
- 27. Sibbald, B., R. McDonald, and M. Roland, *Shifting care from hospitals to the community: a review of the evidence on quality and efficiency.* J Health Serv Res Policy, 2007. **12**(2): p. 110-7.
- Ghazawy, S., M. Saldana, and M. McKibbin, Patient pathways for macular disease: what will the new optometrist with special interest achieve? Eye (Lond), 2007. 21(4): p. 553-4; author reply 552-3.
- 29. Parkins, D.J. and D.F. Edgar, *Comparison of the effectiveness of two enhanced glaucoma referral schemes*. Ophthalmic Physiol Opt, 2011. **31**(4): p. 343-52.
- 30. Trikha, S., et al., *The Portsmouth-based glaucoma refinement scheme: a role for virtual clinics in the future?* Eye (Lond), 2012. **26**(10): p. 1288-94.
- 31. Amoaku, W., et al., *Action on AMD. Optimising patient management: act now to ensure current and continual delivery of best possible patient care.* Eye (Lond), 2012. **26 Suppl 1**: p. S2-21.
- 32. Ratnarajan, G., et al., *The effectiveness of schemes that refine referrals between primary and secondary care--the UK experience with glaucoma referrals: the Health Innovation & amp; Education Cluster (HIEC) Glaucoma Pathways Project.* BMJ Open, 2013. **3**(7).
- Mandalos, A., et al., Shared care of patients with ocular hypertension in the Community and Hospital Allied Network Glaucoma Evaluation Scheme (CHANGES). Eye (Lond), 2012.
 26(4): p. 564-7.
- 34. Glen, F.C., H. Baker, and D.P. Crabb, *A qualitative investigation into patients' views on visual field testing for glaucoma monitoring*. BMJ Open, 2014. **4**(1): p. e003996.
- 35. Malik, R., et al., A survey of attitudes of glaucoma subspecialists in England and Wales to visual field test intervals in relation to NICE guidelines. BMJ Open, 2013. **3**(5).
- 36. Allder, S., P. Walley, and K. Silvester, *Is follow-up capacity the current NHS bottleneck?* Clin Med, 2011. **11**(1): p. 31-4.
- 37. Foundation, T.H., *Improving patient flow: Learning Report*, 2013: London.
- 38. Diamond, J., *Waiting times in glaucoma care*, in *Health Service Journal*28 May 2010.
- 39. Havard, J., *A mobile clinic for glaucoma patients*, in *Pulse Today*26 February 2013.
- 40. Hautala, N., et al., *A mobile eye unit for screening of diabetic retinopathy and follow-up of glaucoma in remote locations in northern Finland*. Acta Ophthalmol, 2009. **87**(8): p. 912-3.
- 41. Kassam, F., et al., *The use of teleglaucoma at the University of Alberta*. J Telemed Telecare, 2012. **18**(7): p. 367-73.
- 42. Tuulonen, A., *Challenges of glaucoma care -- high volume, high quality, low cost.* Acta Ophthalmol, 2013. **91**(1): p. 3-5.
- 43. Department of Health, *The Operating Framework for the NHS England 2012/13*.
- 44. Jacklin, P.B., et al., *Virtual outreach: economic evaluation of joint teleconsultations for patients referred by their general practitioner for a specialist opinion*. BMJ, 2003. **327**(7406): p. 84.
- 45. Gornall, J., Does telemedicine deserve the green light? BMJ, 2012. 345: p. e4622.
- 46. Evans-Lacko, S., et al., *Facilitators and barriers to implementing clinical care pathways*. BMC Health Serv Res, 2010. **10**: p. 182.
- 47. Wilson, T. and K. Sweeney, *Doctors and managers. "You just don't understand"*. BMJ, 2003. **326**(7390): p. 656.
- 48. Marshall, M.N., *Doctors, managers and the battle for quality.* J R Soc Med, 2008. **101**(7): p. 330-1.
- 49. Ingram, D.V. and L.E. Culham, *Ophthalmologists and optometrists--interesting times*? Br J Ophthalmol, 2001. **85**(7): p. 769-70.
- 50. Webster, A.j., *Health Technology and Society*. A Sociological Critique. 2007, Basingstoke: Palgrave Macmillan.

- 51. Fulop, N., et al., *Innovations in major system reconfiguration in England: a study of the effectiveness, acceptability and processes of implementation of two models of stroke care.* Implement Sci, 2013. **8**: p. 5.
- 52. Dixon-Woods, M., et al., *Explaining Michigan: developing an ex post theory of a quality improvement program.* Milbank Q, 2011. **89**(2): p. 167-205.