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## Improved Animal-Like Maintenance of Homeostatic Goals via Flexible Latching<sup>\*</sup>

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#### Abstract

Controlling cognitive systems like domestic robots or intelligent assistive environments requires striking an appropriate balance between responsiveness and persistence. Basic goal arbitration is an essential element of low-level *action selection* for cognitive systems, necessarily preceding even deliberate control in the direction of attention. In

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natural intelligence, chemically-regulated motivation systems focus an agent's behavioural attention on one problem at a time. Such simple durative decision state can improve the efficiency of artificial action selection by avoiding dithering, but taken to extremes such systems can be inefficient and produce cognitively-implausible results. This article describes and demonstrates an easy-to-implement, general-purpose latching method that allows for a balance between persistence and flex-ibility in the presence of interruptions. This appraisal-based system facilitates automatic reassessment of the current focus of attention by existing action-selection mechanisms. We propose a mechanism, *flexible latching*, and demonstrate that it drastically improves efficiency in handling multiple competing goals at the cost of a surprisingly small amount of extra code (or cognitive) complexity. We briefly discuss implications of these results to understanding natural cognitive systems.

**Keywords**: Action selection; drives; modularity; cognitive architectures

## 1 Introduction

The term *action selection* might seem to imply cognition, but this is merely due to anthropomorphic labelling. If we take *cognition* to be a process requiring time (probably a form of on-line search; [42]), and *action selection* to be any mechanism for determining the present course of action [11], then

much of action selection is really non-cognitive. Action choices in animals 6 are limited both by evolution and individual skill learning; for adult animals 7 many actions may be essentially reflexive [5, 7]. Such limiting is necessary if 8 action selection is to be achieved in a timely manner [37, 15, 21]. However, 9 there is no question that animals (including humans) do engage in cognition 10 in some contexts. This article examines one such context: the arbitration 11 between different goals. Even here, basic arbitration must necessarily be 12 automatic. However, functional and efficient behaviour requires that the 13 automated system can in some situations be interrupted and controlled cog-14 nitively [39]. Here we present a way to efficiently facilitate this capacity in 15 artificial cognitive systems. 16

Budgeting time and pursuing multiple conflicting goals is a key aspect 17 of any cognitive system [17, 22]. In the simulation of real-time animal-like 18 intelligence considered in this paper, artificial agents must carry out a set 19 of tasks, essential to their survival, while also interacting with dynamic sur-20 roundings, including other agents. Other-agent interactions in particular 21 may include activities that are potentially essential to the species as a whole 22 but not necessarily in the interest of the performing individual's viability. 23 This characterisation might suggest rather dramatic activities, e.g. fending 24 off attack, but it can also apply to ordinary duties. In some sense, the tasks 25 that the system was originally designed to carry out (e.g. mating in nature, 26 or perhaps tea making for an office robot) are of lower immediate priority 27 than making certain that the system maintains working order, since working 28

order (e.g. the ability to move and manipulate) is a precondition of any 29 other activity. Nevertheless, it is clear that we require an agent to devote 30 considerable time to the goals that motivated its construction. Such critical 31 but non-urgent goals are common amongst animals, such as maintaining a 32 social network, reproducing or keeping clean. All these behaviours require 33 both time and energy, and it follows that agents possessing more efficient be-34 haviour management should, in general, fare better than other agents with 35 less efficient behaviour selection. 36

In this article, we demonstrate our goal-arbitration system using a simple 37 artificial life task environment. Our agents must ensure they have the ability 38 to store excess energy in order to pursue auxiliary behaviours. We discov-39 ered the need for an improved arbitration mechanism during the course of 40 research on the evolution of primate social structures, so our examples de-41 rive from these models. The immediately urgent goals concern feeding, while 42 the ultimately-important goals are social networking and exploration. Note 43 that in nature such goals could also be considered survival-oriented, since 44 socialising promotes long-term survival by facilitating group living [17, 25]. 45 However, their payoff is more diffuse — it is seldom knowable when addi-46 tional goodwill or information gathered may become critical, in contrast to 47 starvation which has clear endogenous indicators. Thus we place essential 48 behaviours at a high priority, but design an action-selection mechanism to 40 ensure they are executed as efficiently as possible. 50

51

In this article we present a comparative study of three variants of a simple

action-selection mechanism designed to improve the agent's capacity for goal 52 arbitration. Our primary motivation is a potential inefficiency that may 53 occur when an agent attempts to acquire a buffer of excess satisfaction before 54 pursuing its next goal. We propose that if an agent is interrupted at any stage 55 during this period, a choice needs to be made concerning whether to continue 56 with the current goal or whether to attend to other, possibly more relevant 57 behaviours. Persistence avoids the inefficiency of *dithering* between multiple 58 goals. Dithering is inefficient because there is typically a significant start-59 up cost to pursuing new goals before consummatory actions can take place. 60 However, some degree of flexibility avoids the inefficiency of pursuing a goal 61 which is no longer urgent and has locally become excessively costly. 62

We look to biological motivation systems for inspiration because these 63 have presumably evolved to manage this trade off. However, here we do not 64 attempt a perfect or neurological model nature. Rather, our emphasis in this 65 article is engineering. We present and evaluate a simple control mechanism 66 that achieves the requisite level of flexibility at minimal cost. In fact, two 67 types of costs are kept minimal: both the advance, coding-time costs for 68 the agent's designers and the real-time, cognitive-processing costs for the 69 agents. We use a basic latching system augmented with the ability to detect 70 potentially relevant interruptions. This threshold-based addition triggers a 71 reevaluation of priorities already present in the agents' overall action-selection 72 system. 73

## $_{74}$ 2 Methods

In this section we first describe the particular agent architectures we use 75 to test our new goal arbitration system. Although we use a single system 76 here, it is an example of a common type of action-selection system, and we 77 describe the augmentation in general terms so that it may be applied on 78 other systems as well. We then describe the specific goals to be manipu-79 lated in the experiments, and define the metrics of success in terms of these. 80 Next, we describe the various latching mechanisms we have implemented for 81 comparison. Finally, we describe the testing scenarios, including the agents' 82 operating environment, followed by the presentation and discussion of our 83 results. 84

#### **2.1** Basic Action Selection

The agents are specified using the behaviour-oriented design (BOD) methodology [12], a system that produces complete, complex agents consisting of (*a*) modules that specify details of their behaviour and (*b*) dynamic plans that specify agent-wide, cross-modular priorities. Actions are produced by the modules; action selection (where there is contention) is carried out using the Parallel-rooted, Ordered Slip-stack Hierarchical (POSH) dynamic plan system [10].

We chose BOD as a fairly simple example of an architectural consensus achieved in the late 1990s for real-time, situated systems: That AI is best

constructed using a combination of modularity, for providing intelligent prim-95 itives, and structured hierarchical plans, for encoding priorities [24, 26, 8]. 96 Even mainstream cognitive architectures such as Soar and ACT-R can be 97 described in this way [28, 38]. Such approaches have been somewhat ne-98 glected in the academic literature in the last decade due to an emphasis on 99 machine learning approaches to action selection. However, in applied human-100 like AI such as games programming and cognitive robotics, such modular, 101 hand-coded approaches are still very much the norm [23, 31]. 102

The details of the structured action-selection system are unimportant to the mechanism presented in this paper. All that is assumed is

# some mechanism for storing temporary values of long-term state (e.g. learning),

- some mechanism of expressing a variety of goals and their associated
   actions, and
- 109 110

• the notion of a trigger or precondition as part of the mechanism for choosing between goals and actions.

A single POSH plan was used to specify the priorities of all the agents tested here. That is, all the agents have the same priorities and therefore the same dynamic plan, though of course their expressed behaviour will vary due to their environment and their previous experience. What differs between conditions in the experiments described below are only the action-selection mechanisms and the testing environments.

The plan, shown in Figure 1, assumes four basic behaviours (drives):  $B_1$ 117 to  $B_4$ . In POSH, the top level of a plan hierarchy (the *drive collection*) is 118 checked on every cycle of the controller. Control is passed to the highest-119 priority drive element whose trigger (line-labels in Figure 1) is true. All 120 but behaviour  $B_4$  further contain a sub-plan, in POSH called a *competence*. 121 Competences also contain elements each with their own trigger, but these 122 are plans for the purpose of pursuing a single goal, and as such require less 123 sophisticated scheduling than the drive collection. Competences maintain 124 decision memory and control behaviour until they either terminate, pass 125 control to a child competence of their own, or the main drive collection takes 126 control back for a higher-priority problem. Their execution is similar to teleo-127 reactive plans [32] or indeed to the generalised plans created by STRIPS [18]. 128

The first two behaviours, which are of the highest (and equal) priority, 129 fulfil consumption-related needs, such as eating or drinking, the neglect of 130 which would cause the agent to die. Behaviours  $B_3$  and  $B_4$  are of lower 131 priority and are only considered for potential execution if  $B_1$  and  $B_2$  are 132 not triggered. It should be noted that these behaviours are of lower priority 133 simply because behaviours  $B_1$  and  $B_2$  are essential to the agent's immediate 134 survival. This does not imply, however, that lower-priority behaviours are 135 not important, they could be critical to the agent's mission. Since our experi-136 mental environment represents primate social behaviour, these behaviours in 137 fact relate to increasing the probability of longer life. As such, behaviour  $B_3$ 138 represents social networking through grooming, which requires two agents to 139

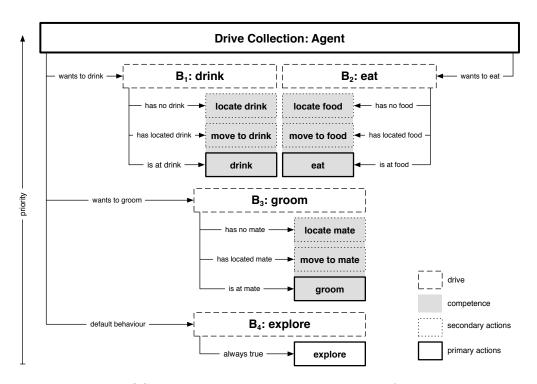


Figure 1: The POSH plan that determines priorities for the agents: the *drive collection* (SDC) is called at every time step and its elements checked in order:  $\{B_1=\text{eat}, B_2=\text{drink}\}, \{B_3=\text{groom}\}, \{B_4=\text{explore}\}$ . The highest-priority element whose trigger is true is executed. Equal priority elements (i.e.,  $B_1$  and  $B_2$ ) are checked in random order.

interact with one another. The final behaviour  $(B_4)$  is exploration, possibly to find new food sources. In a POSH plan, the lowest-priority goal serves as a default behaviour and should always be triggerable. Thus if an agent with this plan is efficiently arbitrating between goals, it should be able to spend most of its time exploring new space.

#### <sup>145</sup> 2.2 Metrics of Efficient Behaviour

The primary focus of our investigations then is on behaviours  $B_3$  and  $B_4$ . 146 Lower priority behaviours may only be executed if all higher priority be-147 haviours are managed efficiently and for artificial agents, the 'lower' be-148 haviours are typically the ones that define and justify the agent's mission. 149 Despite their significance these behaviours are necessarily of lower priority 150 than those that facilitate the survival of the agent so it can perform these 151 tasks. It is therefore paramount that these higher-level behaviours are man-152 aged efficiently enough to allow agents to pursue other behaviours as well. 153

Each behaviour is composed of numerous elements, some of which may be 154 classified as *secondary actions*. In the case of feeding, the secondary actions 155 would be 'locating food source' and 'move towards food source'. The primary 156 action would correspond to 'eat'. For all behaviours, executing the primary 157 action with a high frequency relative to the secondary actions determines 158 the degree of efficiency with which the behaviour is executed. *Dithering*, the 159 rapid switching between goals, results in secondary actions being performed 160 excessively in proportion ton primary ones. In our example, each behaviour 161  $B_i$  has one such primary action which will be denoted as  $B_i^{\alpha}$ . The frequency 162 at which primary actions are executed determines the degree to which all 163 behaviours may be executed and thus defines the metric of success at the 164 centre of our investigation. 165

#### <sup>166</sup> 2.3 Agents and State

Each behaviour  $B_i$  is associated a single-valued internal state  $E_i$ . Here, for 167 the sake of clarity and without loss of generality, we use the concept of energy 168 to denote the internal state of the agent: each behaviour  $B_i$  has a current 169 level of energy  $E_i$ . The agents live in a toroidal, discrete-time world with 170 dimensions of  $600 \times 600$  pixels. Time is considered to be discrete and at 171 every time step, all agents in the environment are updated simultaneously. 172 In particular, at every time-step, all energy states  $E_i$  are decreased by  $e_i^-$ . 173 If a given behaviour is vital to the agent's survival, death is imminent once 174  $E_i \leq 0$ . For each behaviour, we define a threshold  $\delta_i$  such that  $B_i$  is trig-175 gered once  $E_i < \delta_i$ . Once  $B_i$  is triggered, the agent will execute the actions 176 associated with that particular behaviour. The behaviours  $B_1$  and  $B_2$  in our 177 example correspond to sustenance activities (eating or drinking): The agent 178 first locates an energy source, moves towards the energy source (at a speed 179 of 2 pixels/time step) and consumes the source once in close proximity. This 180 consumption raises the agent's internal state by  $e_i^+$ . Clearly we must ensure 181 that  $e_i^+ \gg e_i^-$ ,  $\forall_i$  as otherwise an agent would never be able to satisfy a 182 need (and in the case of essential behaviours, the agent would eventually 183 die). Here we have chosen the same values for all behaviours:  $e^+ = 1.1$ 184 and  $e^- = 0.1$  and hence drop the behaviour-dependent subscript *i* from here 185 on. Since we are interested in the execution of lower-priority behaviours, an 186 individual choice of energy gain/loss across the different behaviours would 187 require the adjustment of the individual thresholds (which are tightly related 188

to the net energy gain), unnecessarily complicating the model. Overall, this gives a net energy gain of  $e^{\pm} = 1$  for any primary action.

Lower-priority behaviours (i.e.  $B_3$  and  $B_4$ ) may only be executed if  $B_1$ and  $B_2$  are satisfied. What it means for a behaviour to be 'satisfied' depends upon the implementation of the agents' action selection — the basis of this article which we describe next.

### <sup>195</sup> 2.4 Conditions

We use three different action selection mechanisms and evaluate their impact
on the efficiency of the agent: unlatched, strict latch and flexible latch.

#### $_{198}$ 2.4.1 Unlatched

As mentioned in the previous section, a behaviour  $B_i$  is triggered if  $E_i < \delta_i$ . 199 In the basic unlatched model, the drive terminates as soon as  $E_i \geq \delta_i$  and the 200 time spent at the energy source is expected to be relatively short (although 201 this depends strictly on  $\delta_i - E_i$  which may vary depending on the number of 202 equal-priority behaviours). Furthermore, no excess energy is stored and the 203 behaviour is triggered again very shortly after it is satisfied<sup>1</sup>. When there 204 are multiple such behaviours, the agent will continue to oscillate between 205 them (dithering). Even if there is only a single top-priority behaviour, the 206 agent will spend its entire time in close proximity to the energy source as the 207

<sup>&</sup>lt;sup>1</sup>The theoretical maximum possible excess energy in this case given the values of  $e^+$  and  $e^-$  is 0.9 which will last for 9 time steps.

<sup>208</sup> acquired energy is always insufficient to pursue anything else.

#### 209 2.4.2 Strict latch

In the latched models, the agent only terminates the drive once  $E_i \geq \phi_i$ 210 where  $\phi_i \geq \delta_i$ . Now the agent has an energy reserve of  $(\phi_i - \delta_i)/e^-$  time 211 steps before the behaviour is triggered again. If all high-priority drives are 212 latched in this way and the latch is sufficiently large (see next section), the 213 agent is able to eventually follow lower-priority drives. This form of latching 214 is very inefficient, however, if the agent inhabits a world where unexpected 215 interruptions may occur. If an agent is almost finished with one activity but 216 gets interrupted, the agent will continue to pursue this activity independent 217 of other, lower-or-same priority needs. For example, an agent that is groom-218 ing and whose partner has left, might pursue another partner for five minutes 219 when only another five seconds of grooming would have satiated it. This is 220 true even if  $E_i = \phi_i - \epsilon$  where  $\epsilon \ll \phi_i - \delta_i$  and hence this form of latching is 221 referred to as strict. 222

#### 223 2.4.3 Flexible latch

If the agent is able to detect interruptions, the interruption could trigger a decision that determines it subsequent activities. Such a decision might be conscious, but here we simply relax the latching by using yet another threshold,  $\psi_i$ , that is situated in-between the previously two established ones,  $\delta_i \leq \psi_i \leq \phi_i$ . This gives rise to two different scenarios. If the interruption 229 occurs when:

230 1.  $\delta_i < E_i < \psi_i$ , the drive remains 'unsatisfied'

231 2.  $\psi_i < E_i < \phi_i$ , then the drive is considered 'satisfied'

Note that for  $\delta_i < E_i < \phi_i$  the status of any latch is path or history dependent — if  $E_i$  was more recently below  $\delta$  the drive is now unsatisfied, if it was more recently satiated (about  $\phi$ ) than it is not. What is new for the flexible latch is that if an interruption occurs in the third scenario, where  $E_i$  had been below  $\delta$  but has now been raised above  $\psi_i$ , this path dependency is dismissed.

#### 237 2.5 Threshold Selection

The previous section has discussed different thresholds that require initialisation and the choice of parameters is crucial to the outcome of the simulation. First, it should be noted that the flexible latch is simply a generalisation of the strict latch, which in turn is a generalisation of the unlatched technique:

Flexible latch	$\delta \leq \psi \leq \phi$
Strict latch	$\delta \leq \psi = \phi$
Unlatched	$\delta=\psi=\phi$

In this investigation, we have two primary points of interest, which are closely related: Survival and efficiency. The survival of the agent crucially depends on the choice of  $\delta$ . Efficiency, on the other hand, refers to the agent's ability to pursue all its behaviours, not just high-priority ones, and depends on the choice of  $\phi$  and  $\psi$ . In order for an agent to survive, any vital behaviour must be triggered such that the agent has enough energy to approach the energy source (locating an energy source can be done in a single time-step and is subsequently excluded from the following discussion):

$$\delta_i \ge \mathbb{E}_i^r \tag{1}$$

where  $\mathbb{E}_{i}^{r}$  is the energy required to reach the source:  $(d_{max}/d_{mov}) \times e^{-}$ , where  $d_{mov}$  is the distance an agent can move in a single time step and  $d_{max}$  is the maximum possible distance an agent can travel<sup>2</sup>. If there are *n* equally vital behaviours,  $\delta_{i}$  has to be adjusted accordingly:

$$\delta_i \ge \sum_{j=1}^{n-1} \left( \mathbb{E}_j^r + \mathbb{E}_j^c \right) + \mathbb{E}_j^r \tag{2}$$

where  $\mathbb{E}_{i}^{c}$  is the energy required to raise the energy level to the appropriate level:

$$\mathbb{E}_{i}^{c} = \frac{\delta_{i} - E_{i}}{e^{\pm}} \tag{3}$$

<sup>&</sup>lt;sup>2</sup>The theoretical maximum in this case is simply  $\sqrt{(width/2)^2 + (height/2)^2} \approx 424$ and it would take the agent a maximum of 424/2=212 time steps to reach the target, consuming  $212 \times 0.1 = 21.2$  units of energy.

The value of  $\phi$ , on the other hand, has to be set such that enough energy is stored to pursue all vital needs:

$$\phi_i \ge \delta_i + \sum_{j=1}^n \left( \mathbb{E}_j^r + \mathbb{E}_j^c \right) \tag{4}$$

Any excess energy is subsequently devoted to the other, lower-priority behaviours. This choice of  $\phi_i$  necessarily affects  $\mathbb{E}_c$  as now more time is spent at the energy source (a difference of  $\phi_i - \delta_i$ ). Interruptions drastically alter  $\mathbb{E}_c$ and the energy required to satisfy a latched behaviour given *m* interruptions is simply:

$$\mathbb{E}_{i}^{c} = \sum_{j=1}^{m} \left( \mathbb{E}_{ij}^{r} + \mathbb{E}_{ij}^{c} \right)$$
(5)

At each interruption, the agent should, in theory, decide whether it is worth pursuing the currently executed behaviour (i.e. if there is a positive or negative energy ratio). Usually there is insufficient knowledge available to make an informed decision due of the complexity or indeterminacy of the environment. Consequently, heuristic values must be used. Nature selects for agents with appropriate or at least adequate thresholds; here we test a range of values for  $\psi$  to find which is appropriate for our particular simulations.

#### 270 2.6 Experiment and Simulation Details

Our experiments are organised into two sets. The first set uses sim1, a very well defined setup that allows a great degree of control over all aspects in-

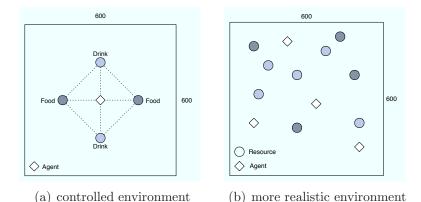


Figure 2: The two simulation environments used to test the overall efficiency of the agents: a completely controlled scenario (a) where energy sources are

of the agents: a completely controlled scenario (a) where energy sources are maximum distance apart, all agents are initially grouped at the centre and interruptions are externally induced, and a more realistic scenario (b) where agents and energy sources are placed randomly.

vestigated, particularly the frequency of interruption (see Figure 2(a)). The second set use *sim2* (Figure 2(b)), a more realistic simulator where interruptions are caused by the dynamics of the environment itself. For our experiments we consider two types of interrupts. The first type occurs when the source of satisfaction is depleted or otherwise removed (e.g., an agent looses his current grooming partner). The second type of interrupt is caused by higher priority drives that are triggered.

In both simulations, there are 5 identical agents. Furthermore, *sim1* positions the energy sources such that they are maximum distance from one another<sup>3</sup>. In this simulation, we exactly control the number of interruptions an agent is exposed to throughout the execution of a single behaviour. Once

<sup>&</sup>lt;sup>3</sup>The simulation is toroidal and agents are able to move, for example, from the far left to the far right in one move.

an agent is interrupted, it is forced to consider an alternative energy source 284 (it is not allowed to remain at the current one). The second simulation is 285 somewhat more realistic and is used to verify the results obtained from the 286 first set of experiments. In sim2, energy sources are scattered randomly 287 across the world. Each energy source has a certain load that depletes as an 288 agent consumes it. Once depleted, the energy source vanishes, but, at the 289 same time, a new energy source appears elsewhere in the world. The load 290 of any energy source has a maximum of 50 units and depletes by 2 units if 291 consumed. All energy sources gain 1 unit per time step. 292

The experiments are executed over 15 distinct trials. Each trial executes 293 the simulation for 5000 time steps. All internal states are initialised such that 294  $E_i = \delta_i$ , thus all behaviours are triggered immediately once the simulation 295 begins. At each time step, the agent may execute a single action. The 296 results are simply the number of times each primary action has been executed, 297 averaged over all agents and trials. In all cases, a two-tailed t-test is used to 298 test for significance with a confidence of 0.995. We chose the same threshold 299 settings across all behaviours and again, we drop the subscripts from here 300 on. Furthermore, we set  $\delta = 200$  in all experiments, giving an agent sufficient 301 energy for  $200/e^- = 2000$  time steps before E falls to zero after a behaviour 302 has been triggered. 303

18

	no latch latched sign				ignifica	nce	
action	$\phi = \delta$	10	50	100	0-10	10-50	50 - 100
$B_1^{\alpha}$	443	452	478	494	*	*	*
$B_2^{\alpha}$	443	452	479	498	*	*	*
$B_3^{\alpha}$	0	0	454	468		*	
$B_4^{\alpha}$	0	0	1414	2037		*	*
total	886	903	2824	3498			

Table 1: Comparing latched and unlatched behaviours. The latches are chosen to be  $\phi - \delta \in \{0, 10, 50, 100\}$ .

## 304 **3** Results

#### 305 3.1 Controlled Environment: Sim1

The first experiment compares the unlatched version with the strictly latched 306 The results are shown in Table 1. The data confirms that in the one. 307 unlatched case, dithering prevents the agent from pursuing any of the lower 308 priority behaviours. The latch effectively solves this problem, although only 309 if the latch is sufficiently large. A latch of size 10 does increase the activity of 310 the primary actions for behaviours  $B_1$  and  $B_2$  but still does not allow for the 311 lower-priority behaviours  $B_3$  and  $B_4$  to be executed. Once the latch increases 312 sufficiently in size, so does the activity of the lower-priority behaviours. This 313 result is not surprising. Note though that too large a latch might also lead to 314 neglect of lower-priority behaviours, since the highest-level goals might never 315 be satisfied. 316

The next experiment investigates the efficiency of strict latching once an agent is confronted with interruptions. The data for this experiment

	10			50			100			significance				
action	1	3	5	1	3	5	1	3	5	0-1	0-3	0-5	1-3	3-5
$B_1^{\alpha}$	458	442	420	478	481	462	519	504	508	*		*	*	
$B_2^{\alpha}$	454	441	429	474	481	455	521	512	519	*		*		
$B_3^{\alpha}$	0	0	0	277	1	0	468	421	1		*	*	*	*
$B_4^{\alpha}$	0	0	0	95	0	0	1119	57	0	*	*	*	*	*
total	912	882	850	1324	962	917	2627	1493	1028					

Table 2: The performance of the agents given  $\phi - \delta \in \{10, 50, 100\}$  and 1, 3 or 5 interruptions. Significance is checked for  $\phi = 100$ . Cases without interruptions (0) are taken from the results shown in table 1 (not shown in this table).

is summarised in Table 2. Even in the case of a single interruption, the frequency of primary actions executed drops significantly. The right-most column in the table compares the performance of a latch of size 100 with 0, 1, 3 and 5 interruptions and the differences for the lower-priority actions are almost always significant.

The final experiment using sim1 determines the performance of the flexi-324 ble latch using the same settings as in the experiment before. Here, different 325 values for the intermediate threshold  $\psi$  are tested. The value of  $\psi$  is denoted 326 as the percentage of the latch itself. If, for example,  $\delta = 100$  and  $\phi = 120$ , a 327 value of 25% would indicate that  $\psi = 105$ . The results are shown in Table 3 328 and a setting of  $\psi = \delta$  seems most successful. However, as shown in Table 4, 329 the differences are usually not significant. In the absence of significant differ-330 ence, the zero setting is still to be preferred as it also allows us to simplify the 331 action-selection mechanism. We can effectively eliminate  $\psi$  altogether but 332 always reconsider priorities when interrupted. Comparing the flexible latch 333

	1				3				5				
action	0%	25%	50%	75%	0%	25%	50%	75%	0%	25%	50%	75%	
$B_1^{\alpha}$	499	491	489	501	490	491	496	496	482	487	482	495	
$B_2^{\alpha}$	492	490	496	503	483	487	491	496	488	485	493	497	
$B_3^{\alpha}$	481	476	479	481	475	479	469	455	474	470	462	437	
$B_4^{\alpha}$	1723	1689	1528	1312	1458	1342	1059	651	1222	1150	880	495	
total	3195	3146	2991	2797	2906	2799	2516	2098	2666	2592	2318	1923	

Table 3: The performance of the agents with flexible latching.  $\psi = \delta + p(\phi - \delta)$  where  $p \in \{0, 0.25, 0.5, 0.75\}, \delta = 200, \phi = 300$  and frequency of interruptions equal to 1, 3 and 5. Significance of results shown in table 4.

	1			3				5	vs. strict			
	0-25	25 - 50	50-75	0-25	25 - 50	50 - 75	0-25	25 - 50	50-75	1-1	3-3	5-5
$B_1^{\alpha}$										*		*
$B_2^{\alpha}$										*	*	*
$B_3^{\alpha}$											*	*
$B_4^{\alpha}$			*		*	*		*	*	*	*	*

Table 4: Significance results for table 3. Increasing p has the most impact on the lowest-priority behaviour. The right-most column compares the strictly and flexibly latched implementation for the different frequencies of interruptions.

to the strict latch shows a significant improvement in at least one behaviour's primary action for any number of interruptions tested (compare Table 2 with Table 3; significance is indicated in the right-most column of Table 4).

Figure 3 shows graphically how the ability to detect interruptions improves the agent's overall efficiency. The graph plots the number of time steps spent executing the actions of interest given different frequencies of interruption. Furthermore, as a reference value, the unlatched and uninterrupted latched cases are also shown. It is evident that the performance of the strict latch degrades very quickly while the flexible latch substantially

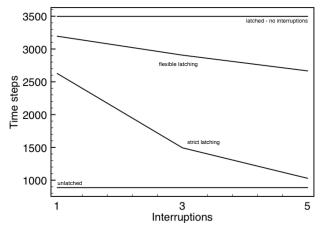


Figure 3: A graphical comparison of strict and flexible latching  $(\sum_{i=1}^{4} B_i^{\alpha})$ . The top and bottom lines are shown for reference, indicating the latched but uninterrupted and unlatched cases. For uninterrupted latches, the strict and flexible cases are indistinguishable.

<sup>343</sup> reduces the impact of interruptions.

#### 344 3.1.1 Death Rates

In the previous experiments, efficiency was judged by the capacity to devote time to all behaviours. For these experiments, the value of  $\delta$  has been set such that agents would always survive. In nature, such a threshold would evolve in species like primates that invest a great deal in individual survival and life histories. Nevertheless, exceptionally extreme environments or other unusual circumstances may cause a threshold setting to become (temporarily) insufficient.

In the present experiment, we set  $\delta$  such that survival in an uncertain environment is no longer guaranteed ( $\delta = 40$ ). We then compare death

		st	rict lat	ch	flez	cible la	significance			
action	0	1	2	3	1	2	3	1-1	2-2	3-3
$B_1^a$	478	423	34	34	475	387	360		*	*
$B_2^a$	477	415	37	32	475	390	360	*	*	*
$B_3^a$	460	256	0	0	444	324	255	*	*	*
$B_4^a$	1402	90	0	0	750	295	140	*	*	*
Total	2816	1185	71	66	2144	1397	1115			
dead	0	601	4551	4551	0	861	1143	*	*	*

Table 5: A comparison of death rates for agents with lower values of  $\delta$  than are entirely sustainable in the environmental context. Tests are run with strict or flexible latching and with from 0–3 interruptions. Note again that without interruptions, whether the latch is flexible is irrelevant.

rates between strict and flexible latches. The latch is also set at a relatively low level of  $\phi = 45$ . The results are shown in Table 5. The flexible latch shows a significantly reduced death rate in all three relevant conditions (as determined by the number of interruptions). Furthermore, it is interesting to note that now, even with the smaller latch, the flexible implementation performs significantly better in almost all cases when compared to the strictly latched version.

Finally, it is possible to reduce the death rate even further. In another scenario we utilise the agents' ability to deal with interruptions: Equal-priority behaviours are allowed to interrupt one another if they reach a critical threshold  $\psi$ . We set  $\psi = 20$ , as per the calculations described in Section 2.5 above. This critical threshold essentially corresponds to the minimum energy required to satisfy a single need. The addition of the threshold changes the death rates from 0, 861, 1143 to 60, 417, 472. Interestingly, the death rate is actually slightly higher in the first case but noticeable lower in the other two cases. The differences are relatively weakly significant for this N, with a confidence of p < 0.05 for both the two- and three-interrupt conditions.

#### 371 3.2 Random Environment: Sim2

The previous results showed that in *sim1*, latching is necessary to allow an agent to execute lower-priority behaviours, and that it is best to abort a latched behaviour immediately upon interruption. We now examine these results in a system with a more "natural" setup using *sim2*, where the timing and frequency of interruption depends on the dynamics of the environment itself.

Table 6 compares all three implementation on sim2. The overall results 378 are similar to before although there are some striking differences. Now, a 379 latch of size 10 is sufficient to generate at least some frequency of execution 380 for behaviours  $B_3$  and  $B_4$  whether or not it is flexible and indeed the flexi-381 bility makes no significant difference at this size latch. The change is due to 382 the random environment providing more opportunities, which either imple-383 mentation is able to exploit. Once the size of the latch increases, flexibility 384 creates a noticeable (as well as significant) difference for behaviour  $B_4$ , but 385 no difference for  $B_3$ . This indicates  $B_3$ 's primary action is already executed 386 sufficiently even without the flexibility in the latch — the flexibility in the 387 environment provides sufficient opportunities for it to satiate at the threshold 388 levels we've specified. Nevertheless, the massive increase of opportunity for 389

	unlatched	strict latched			flexi	ble late	ched	significance			
action	0	10	50	100	10	50	100	10-10	50 - 50	100-100	
$B_1^{\alpha}$	451	454	470	500	454	466	468			*	
$B_2^{\alpha}$	452	454	475	490	455	466	469		*	*	
$B_3^{\alpha}$	0	178	365	452	154	423	471				
$B_4^{\alpha}$	0	71	264	689	22	704	1289		*	*	
total	903	1156	1574	2131	1084	2058	2697				
dead	0	0	0	0	0	0	0				

Table 6: Comparing the unlatched, strictly and flexibly latched implementations in sim2 using latch sizes of  $\phi - \delta \in \{10, 50, 100\}$  and  $\psi = \phi$ . All cases have frequent interruptions (see main text).

<sup>390</sup> expressing the exploratory behaviour shows the power of flexible latching.

## 391 4 Discussion

We have considered three variants of a simple threshold-based action selection mechanisms. The completely unlatched condition may seem unrealistic, but several well-known reactive architectures have added latching only as an afterthought, handled with rather inelegant exception mechanisms [35, 16]. Others assume latching can be handled by intelligent planning [6, 39]. This, however, requires a high cognitive load and in general, reasoning about time and distant rewards is difficult even for cognitive, symbolic systems [1].

The basic latched approach is inspired by theories of affect and action selection, as well as basic control theory. LeDoux [29] for example promotes the theory that emotions place the brain in a cognitive context appropriate for a particular course of action. Neuroscience tells us that interrupting such emotional responses is a cognitive capacity requiring frontal-lobe inhibition

of the emotional response [14]. Of course, the frontal-lobe inhibition system 404 must itself be a fairly automatic gating mechanism. But this mechanism 405 provides an opportunity for an alternative plan to become most salient [34]. 406 Our system for determining appropriate thresholds for the flexible latches 407 is also inspired by animal mechanisms through ethology. In particular, Dun-408 bar's time-budget theory [17, 25] suggests that animal drives have evolved 409 to ensure individuals are likely to spend the appropriate amount of time in 410 behaviours, where *appropriate* is determined by what is adaptive. Our work 411 here can be seen both as support for this theory and possibly as an elabora-412 tion, to the extent that our mechanism helps connect the time budget to the 413 underlying neuroscience others have proposed (e.g. [34].) 414

In AI in contrast, there have been surprisingly few recent attempts to pro-415 pose general-purpose architectural features for homeostatic control. Those 416 that exist tend to create detailed biomimetic representations of hormone lev-417 els [41, 27]. Gadanho [20] has a similar perspective to our work, using emo-418 tions to control the temporal expression of behaviour. However, she focuses 410 on modelling specific emotions and their impact on reinforcement learning 420 systems, rather than focusing directly on control mechanisms. In contrast, 421 our flexible latch is simple to implement and incorporate into any standard 422 module-based agent architecture. Also, she uses rising levels of emotions as 423 the *source* of interruptions, rather than dealing with inefficiencies caused by 424 interruptions generated by the external environment. 425

426

Interestingly, several established models of consciousness are similar to

our new model of flexibly-latched drives. Norman and Shallice [33] describe 427 consciousness as a higher-cost attentional system which is brought on line 428 whenever the more basic, reliable, low-cost action-sequencing mechanism is 429 unable to proceed. Our system of flexible latching also operates by recogniz-430 ing interruptions. It would be plausible in a system with modules capable 431 of deliberation to have interruptions trigger these rather than the simple re-432 assessment of existing goals demonstrated above. More recently, Shanahan 433 [36] proposes a model of mutually-inhibiting motives in a global workspace. 434 We do not agree with Shanahan that such models can account for all of 435 action selection. Tyrrell [40] provides provides an extensive critique of a 436 very similar spreading-activation architecture, The Adaptive Neural Archi-437 tecture [30] (more commonly referred to as Maes' Nets [19]), explaining why 438 spreading-activation models cannot scale to a full action-selection mecha-439 nism. The problem is simple combinatorics — a problem that architectures 440 like ACT-R and IDA address by focussing on just one plan subset of the full 441 network [19, 2]. This focussing makes these architectures functionally simi-442 lar to script-based dynamic-planning systems, although their actual action-443 selection mechanisms are far more complex. However, as this paper makes 444 clear, we do think that a system like Shanahan's or Maes' could well account 445 for high-level goal arbitration. 446

IDA is a cognitive architecture specifically designed to implement a theory of consciousness [3]. IDA is not only a model, but also a working AI architecture which has been used to create recommender systems for the US <sup>450</sup> Navy. Its newest version, LIDA provides the functionality of flexible latches <sup>451</sup> through "timekeeper codelets" [4, p. 30] which keep a proposed action salient <sup>452</sup> long enough for a variety of options to be debated. This system could well <sup>453</sup> be effective, and is certainly more conducive to human-like meta cognition <sup>454</sup> than the system proposed here. However, our flexible latches are simpler and <sup>455</sup> probably sufficient for most autonomous AI applications.

The problems Tyrrell identified with spreading activation models are to some extent addressed by [22], who recommend generating a system of attractors in the networks. This achieves an effect similar to the latching shown here. However, again the mechanism and architecture presented here are much simpler than spreading activation, even without the attractor system [9].

The difficulties in scaling spreading activation networks draw attention 462 to an important limit of our work. Although we have shown substantial 463 efficiency improvements, temporal costs still increase linearly with the num-464 ber of interruptions. Further, some forms of interruptions will necessarily 465 increase with the number of potential behaviours — in particular those that 466 are generated by the action-selection mechanism itself as higher priorities 467 trigger. What this implies is that agents should have a limited number of 468 high-level motivations which are contested this way. 469

What we present here is a cognitively-minimal mechanism which makes substantial improvements to an otherwise reactive action-selection system. Elsewhere, we explore in more detail the earlier suggestion that due to

LeDoux that the psychological entities called *drives* and *emotions* may be 473 seen as a chemically-based latching system, evolved to provide persistence 474 and coherence to the otherwise electrically-based action selection provided 475 by the central nervous system [13]. We hypothesise that in nature, each 476 drive or emotion — with its associated pattern of hormonal regulators and 477 species-typical actions — might be viewed as serving one such high-level goal 478 or need. We recommend that a system such as our flexible latch should simi-479 larly be used for each high-level goal an agent has that requires a time budget 480 in an artificial cognitive system. 481

## 482 5 Conclusions

In this paper we have presented a relatively simple way to introduce flexible 483 latching into an autonomous system and presented an analysis of how to de-484 termine appropriate thresholds that govern the execution of lower-priority be-485 haviours. The agents we considered have been specified using the behaviour-486 oriented design methodology: each agent consists of a set of modules that 487 specify specific behaviours as well as a dynamic plan that prioritises amongst 488 these behaviours. We take this as a fairly standard modular architecture us-489 ing scripted dynamic plans for action selection, and then demonstrate how 490 to extend that action selection to improve its efficiency. 491

We demonstrate our system using four behaviours derived from a tool for modelling primate social behaviour. Two behaviours — eating and drinking

- are essential to the immediate survival of the agent and are of highest (and 494 equal) priority. The third, grooming, represents a mission-critical behaviour 495 though it is not essential for immediate survival. This and the fourth, default 496 behaviour (exploring) can only be executed if the higher priority behaviours 497 are managed efficiently. Each behaviour is composed of a number of indi-498 vidual actions and we distinguish between *primary* and *secondary* actions. 499 Secondary actions are those required to perform the primary action; the pri-500 mary action is the core consumatory action of the behaviour and satisfies 501 the agent's need that triggers the behavioural module. Efficient execution 502 of behaviours requires the agents to (a) minimise the execution of secondary 503 actions, and (b) acquire sufficient satisfaction (energy in our case) to be able 504 to carry out lower-priority behaviours. 505

The behaviour- (or action-) selection mechanism we have introduced con-506 sists of three thresholds: A lower threshold  $\delta$  that triggers the behaviour 507 depending on the agent's internal state, an intermediate threshold,  $\psi$ , that 508 acts in case the agent is interrupted and an upper threshold,  $\phi$ , that causes 509 the behaviour to terminate. The addition of these thresholds does not al-510 ter the priorities of the behaviours (which are still governed by the dynamic 511 plan) but may delay (or not) the execution of lower-priority behaviours and 512 may have a significant impact on the ratio of secondary to primary actions 513 performed by the agent. We demonstrated their efficacy in two experimental 514 settings. Without latching (i.e., only a lower threshold), the agent dithers 515 between food sources, leaving no time to execute lower-priority behaviours. 516

Latching (i.e., lower and upper threshold) allows for *persistence* but may be hugely inefficient in the presence of interruptions. The persistent pursue of unsatisfied behaviours may lead to an unsustainable frequency of secondary task executions.

The experiments allowed us to determine the most useful setting for the 521 intermediate threshold, above which an interrupted agent may reconsider its 522 behaviour priorities. The results show that the utility of latching, as long 523 as the latch is sufficiently large, where there is a significant cost of switch-524 ing between goals. Flexible latching addresses a reduction in performance of 525 latches when there are interruptions. We found however that the interme-526 diate threshold is usually not required, or more precisely, can be set to be 527 equal to the lower threshold. In our experiments, it was optimal for agents 528 to reconsider priorities *whenever* interrupted. This result may not hold if 529 interuptions are more frequent and/or the size of the latch is smaller, since 530 either case would increase the probability that persistance is needed. Finally, 531 we also explored the case where the agent may die if essential behaviours are 532 carried out inefficiently. We found that latching significantly improves the 533 rate of survival of the agent. 534

We have discussed how this mechanism, despite its simplicity, or because of it, may be relevant to numerous existing artificial cognitive architectures, and we have drawn parallels to animal-like decision making processes. Although the validation presented here is admittedly limited, these results do match expectations derived from our observations in nature concerning the <sup>540</sup> life-history strategies for species that tend to be correlated with more cog-<sup>541</sup> nitive ability. At the same time, the work presented here also allows for <sup>542</sup> extremely simple implementations such as hand-coding heuristic indicators <sup>543</sup> of interruption.

There are numerous possible avenues to be explored in the near future. 544 In our experiments, we chose the same thresholds for all behaviours, allowing 545 a centralised approach that involves little overhead. However, it would be 546 interesting to highlight potential differences in the efficiency of an agent's 547 action selection when all behaviours have individual threshold settings. Fur-548 thermore, the thresholds may be adjusted dynamically over time (e.g., using 549 a simple feedback control loop) or in artificial life contexts might be individ-550 ually evolved. 551

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