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Brood desertion in a polyandrous shorebird: A role

of prolactin and corticosterone?

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20 ABSTRACT

21 One of the fundamental principles in life-history theory is that parents have to balance their 22 resources carefully between current and future offspring. Deserting the dependent young is a 23 dramatic life-history decision that saves resources for future reproduction, however, may 24 cause failure of the current brood. Despite its importance for sexual conflict theory and 25 breeding system evolution, the underlying mechanisms of brood desertion are largely 26 unknown. We investigated two candidate hormones that may influence brood desertion: 27 prolactin ('parental hormone') and corticosterone ('stress hormone') in the Kentish plover 28 Charadrius alexandrinus. In this small polyandrous shorebird brood desertion occurs 29 naturally; after hatching of the precocial chicks either sex (more often the female) may desert 30 the brood and mate with a new partner shortly after desertion. We measured hormone levels 31 of parents at hatching using the standard capture and restraint protocol, and subsequently 32 followed the broods to determine whether a parent deserted the chicks. We investigated 33 whether hormone levels were different between adult males and females, and between 34 deserting and caring parents. We found no evidence that either baseline or stress-induced 35 prolactin levels predicted brood desertion. Stress-induced corticosterone levels were generally 36 higher in females, however, the individual hormone levels did not explain the probability of 37 brood desertion. We propose that in species where frequent brood desertion is part of the 38 natural breeding system, desertion is a rapid dynamic process that is mediated not only by 39 hormone levels, but also by swiftly changing social environment, i.e. by number and quality 40 of available mates for remating.

41

42 Keywords: social behavior, neuroendocrine system, parental care, brood desertion,

43 corticosterone, prolactin, capture and restraint, shorebird, Kentish plover

44 INTRODUCTION

45 Brood desertion, i.e. when a parent stops caring and abandons its dependent young, is a 46 dramatic resolution of the life-history trade-off between current and future reproduction. 47 Desertion may entail both costs (e.g. reduced offspring survival) and benefits (e.g. increased 48 survival or remating and successive reproduction of the parent, Houston et al., 2005; Székely 49 et al., 1996). Understanding brood desertion is important because it has implications on 50 population parameters (such as the operational sex ratio, OSR) and life-history evolution, and 51 because it is a key idea in sexual conflict resolution in a parental care context (Clutton-Brock, 52 1991; Lessels, 1999; Székely et al., 2000; Székely et al., 2007). However, the physiological 53 mechanisms that trigger brood desertion remain poorly understood. 54 Circulating hormones are thought to be the primary physiological mediators of life-55 history trade-offs (Flatt and Heyland, 2011; Ketterson and Nolan Jr, 1999; Sinervo and 56 Svensson, 1998). For example, when individual survival prospects are compromised due to 57 threats by predators, pathogens, food shortage, inclement weather etc. (commonly referred to 58 as stressors), vertebrates respond by the activation of the hypothalamus-pituitary-adrenal 59 cortex (HPA) axis, which elevates the circulating levels of glucocorticoids (reviewed by 60 Wingfield and Sapolsky, 2003). The glucocorticoids in turn promote behaviours that help the 61 immediate survival and concurrently repress those that do not, most notably reproduction. 62 Because of these antagonistic effects, it has been hypothesised that corticosterone, the avian 63 glucocorticoid mediates the survival-reproduction trade-off in birds (Ricklefs and Wikelski, 64 2002). Recent studies have supported the predictions of this hypothesis by showing that 65 corticosterone levels are modulated according to the relative importance of the current reproduction compared with survival (Bókony et al., 2009; Heidinger et al., 2006; Lendvai 66

67 and Chastel, 2008; Lendvai *et al.*, 2007).

68 However, corticosterone may not be the only hormonal modifier of survival-69 reproduction trade-offs. The vertebrate stress response is complex and involves several 70 physiological agents. The circulating levels of prolactin also change during the stress 71 response; for example, standard handling stress induces a significant decrease in its plasma concentrations in several species (Angelier et al., 2007; Angelier et al., 2009a; Heidinger et 72 73 al., 2010; Riou et al., 2010). Since prolactin is actively involved in the regulation of parental 74 behaviour in birds and facilitates incubation and brooding behaviours (Adkins-Regan, 2005; 75 Adkins-Regan et al., 2010), it has been recently suggested to play a key role as the 76 physiological mediator of the trade-off between current parental care vs. future reproduction 77 (Angelier and Chastel, 2009; Chastel et al., 2005). Specifically, it has been proposed that the 78 magnitude of prolactin decrease in response to a standardised stress protocol may reflect the 79 willingness and/or ability to maintain parental care. Therefore the prolactin stress response 80 may be interpreted as a proximate signal of parental investment (Angelier and Chastel, 2009). 81 Our aim in this study was to investigate the underlying proximate mechanisms of 82 natural brood desertion. We investigated a small shorebird, the Kentish plover Charadrius 83 alexandrinus with well-known brood care behaviour including brood desertion (Amat et al., 84 1999; Kosztolányi et al., 2006; Lessells, 1984). After hatching of the precocial chicks, either 85 the male or the female parent may desert the brood, although the frequency of brood desertion 86 differs between sexes and populations (Araceli Arguelles Tico et al. unpubl data). This high 87 flexibility of parental behaviour and the amenability of this species for experimental 88 manipulations make the Kentish plover an ideal species to investigate the hormonal 89 background of brood desertion.

We investigated four hypotheses regarding the role of prolactin and corticosterone in
the regulation of brood desertion behaviour. In our study population brood desertion by
females is 11 times more frequent than desertion by males (Kosztolányi *et al.*, 2006).

93 Therefore we asked first, whether this sex difference in desertion may be a consequence of 94 lower prolactin levels in females than in males. In precocial species, concentrations of 95 circulating levels of prolactin either drops sharply after hatching of the chicks (Dittami, 1981; 96 Goldsmith, 1982; Goldsmith and Williams, 1980; Hall and Goldsmith, 1983; Wentworth et 97 al., 1983), or remains elevated after hatching and may decrease slowly until the chicks 98 become thermally independent (Boos et al., 2007; Gratto-Trevor et al., 1990; Oring et al., 99 1986; Oring et al., 1988). Since Kentish plovers spend a significant amount of time on 100 brooding their downy chicks (Székely and Cuthill, 1999), we expected that in this species the 101 prolactin levels remain high after hatching and decline gradually as chicks grow and need less 102 brooding. If females have already lower baseline prolactin levels at hatching of their chicks 103 than males do, gradual decrease in their circulating prolactin after hatching may reach a 104 threshold where the motivation for tending the chicks becomes insufficient and desertion 105 occurs. Therefore, we predicted that baseline prolactin levels are lower in females than in 106 males. Second, we tested whether individual desertion decisions can be predicted by the 107 prolactin stress response. If the magnitude of decrease in prolactin in response to a 108 standardised stressor can be regarded as a surrogate measure of parental investment (Angelier 109 and Chastel, 2009), we predicted that females deserting their brood would have a stronger 110 prolactin stress response (i.e. lower stress-induced prolactin levels) than females that do not 111 desert. Third, since the hormone corticosterone has been proposed as a mediator of life-112 history trade-off between reproduction and survival, we tested whether the corticosterone 113 levels differ between sexes. Finally, we asked whether stress-induced corticosterone levels 114 predict desertion decision in females. We predicted that females have higher baseline 115 corticosterone levels than males, and deserting females have higher stress-induced 116 corticosterone levels than non-deserting females.

117 METHODS

118 Study area and field methods

119 Fieldwork was carried out at Lake Tuzla (36°43' N, 35°03' E), southern Turkey in two years 120 (2009: 24 April –25 June, 2010: 29 April – 24 June; see details about the study site and field 121 methodology in Kosztolányi et al., 2006; Lendvai et al., 2004). Both parents were captured by 122 funnel traps either at the nest at hatching or with the chicks after hatching, whereas chicks 123 were captured either in the nest scrape immediately after hatching, or at the first encounter 124 (capture date of adults relative to hatching date of their clutch; 0.5 ± 0.21 days (mean \pm SE), 125 range: -2 - 6 days, n = 82 adults). Adults were ringed with a metal ring and an individual 126 combination of three colour rings. Chicks were ringed by two rings, one metal ring and a 127 colour ring (the same colour within a family). We measured the body mass, the left and right 128 tarsus length of each captured bird. From adults blood samples were taken for hormone assays 129 using the standard capture and restraint protocol (Wingfield, 1994): 1.4 ± 0.06 minutes (mean 130 \pm SE, range: 0.8 – 3.4, n = 82 adults) after capture about 150 µl (in 2009) or 210 µl (in 2010) 131 blood was collected from the brachial vein and the bird was then placed in a cloth bag. 132 Neither corticosterone nor prolactin levels at the first blood sampling were related to the time 133 elapsed from installation of the trap to blood sampling (Pearson correlations, corticosterone: r 134 = -0.07, n = 39, p = 0.676; prolactin: r = 0.03, n = 82, p = 0.785) or from capture to blood 135 sampling (corticosterone: r = 0.15, n = 39, p = 0.367; prolactin: r = 0.04, n = 82, p = 0.739), 136 therefore these samples are referred to as baseline. A second blood sample was collected 30.7 137 \pm 0.10 minutes (range: 29.7 – 35.8) after the first blood sample. Blood samples were 138 centrifuged and the plasma was stored at -20 °C until analysis. One female was sampled in 139 both years. For this female only the brood from 2010 was retained in the dataset. After 140 hatching, the broods were visited regularly and the sex and number of attending parents and 141 the number of chicks were recorded. We attempted to follow broods until the chicks perished

or were 25 days old when they were considered as fledged. If a parent was not present at the brood at two consecutive visits we considered the parent as deserted. As desertion by males was rare (see results), we analysed desertion decision only in females. Ten broods fledged at least one chick, whereas all chicks died in four broods at 0.8 ± 0.48 (mean \pm SE) days of age. Twenty-six broods of which five had been deserted by the female were followed only until 12.4 ± 1.25 days either because the fieldwork ended or because we were not able to locate the family.

Hormone concentrations were determined in duplicate aliquots from 50 µl plasma sample by

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150 Hormone assays

radioimmunoassay at Centre d'Études Biologiques de Chizé (CEBC), France. Prolactin 152 153 measurements were available for n = 41 pairs (in 2009: 21 pairs, in 2010: 20 pairs). Plasma 154 concentrations of prolactin were determined by a heterologous radioimmunoassay at the 155 CEBC as detailed in Cherel et al. (1994). Pooled plasma samples of Kentish plovers produced 156 a dose-response curve that paralleled chicken prolactin standard curves ('AFP 4444B', 157 source: Dr Parlow, NHPP Harbor-UCLA Medical Center, Torrance, CA, USA). Therefore, 158 the cross-reactivity of the chicken prolactin antibody with prolactin was equivalent in both 159 species and this heterologous assay could be used to assess relative concentrations of Kentish 160 plover prolactin. The detection limit of the assay was 19.26 ng/ml and the lowest 161 measurement was 194.142 ng/ml. The samples were run in two assays (intra-assay 162 coefficient: 13%, inter-assay coefficient: 24%). 163 Blood for corticosterone assay was collected from n = 20 pairs in 2010, however, the 164 plasma was not enough to run the assay in case of one male. Total corticosterone

165 concentrations were measured in one assay as described in Lendvai et al. (2011). The intra-

assay coefficient of variation was 7.07% for 10 duplicates. The minimum detectable level of
corticosterone was 0.28 ng/ml (lowest measurement: 7.78 ng/ml).

168

169 Statistical analyses

170 All data processing and statistical analyses were performed in the R computing environment 171 (version: 2.1.4.0, R Development Core Team, 2011). First we checked the possible effect of 172 potential confounding variables: season (measured as days since 1 March), brood age, 173 parental sex and size and time of the day. Second, we used mixed-effects models including 174 stress (first/second bleeding) and sex as factors, the confounding variables having a 175 significant effect in the preliminary analyses and all second order interactions as fixed effects. 176 The repeated measurements of an individual were controlled for by including ring ID as 177 random structure in the models (Pinheiro and Bates, 2000). We carried out model selection 178 using AIC values (Venables and Ripley, 2002). As several broods were not followed until 179 fledging (see above), the effect of hormone levels on desertion was analysed using Cox 180 regression. In these models desertion was the terminal event and non-deserted broods were 181 censored cases. Neither baseline, nor stress-induced prolactin levels differed between years (t-182 tests, baseline: $t_{80} = 0.997$, p = 0.322, stress-induced: $t_{80} = 0.527$, p = 0.600), therefore we pooled and analysed prolactin data from both years. One male showed an unusual response on 183 184 capture stress in corticosterone levels (see white symbol on Fig. 3), therefore we repeated all 185 analyses excluding the hormone measurements of this male from the dataset. However, 186 removal did not change our conclusions and we only present the results of the analysis of the 187 full data set.

188 **RESULTS**

189 Parental care and prolactin

190 We observed 12 desertions in 41 broods. In 11 cases, the female deserted the family, and in 191 one case the male. Median time of female desertion was day 9 post hatching (range: 2 - 24192 days). Large females deserted the brood more often than small ones (Cox regressions, n = 40, 193 tarsus length: b = 1.079, p = 0.009), and the probability of desertion tended to decrease over 194 the breeding season (hatching date: b = -0.063, p = 0.071). 195 Baseline prolactin levels did not differ between the sexes (t-test, $t_{80} = 0.029$, p =196 (0.977), and was not influenced by size of parents (Pearson correlations, sexes combined, n =197 82, tarsus length: r = -0.12, p = 0.289; body mass: r = -0.01, p = 0.954). Baseline prolactin 198 levels decreased with the age of the chicks, however, neither hatching date nor time of the day 199 influenced baseline prolactin levels (Pearson correlations, sexes combined, n = 82, brood age: 200 r = -0.28, p = 0.012; hatching date: r = 0.17, p = 0.122; time of the day: r = 0.16, p = 0.159). 201 As expected, circulating prolactin levels decreased in response to the capture restraint 202 stress in both sexes, however, the sexes did not differ in their stress-induced prolactin level 203 (Fig. 1, t-tests, stress: $t_{162} = 14.605$, p < 0.001; sex : $t_{80} = 0.616$, p = 0.540). The mixed-effects 204 model analysis gave consistent results with t-tests (Table 1). 205 The stress-induced prolactin levels of caring females were higher than those of

deserting females (Fig. 1, $t_{38} = 2.064$, p = 0.046), however, the caring females group also included females of broods where the chicks died or where the brood was not followed until fledging of the chicks. Survival analyses showed that stress-induced prolactin levels did not influence the probability of desertion in females (Cox regression controlling for female size, n= 40, tarsus: b = 1.117, p = 0.009; stress-induced prolactin: b = 0.001, p = 0.712). The plot of observed caring history of broods against female stress-induced prolactin levels revealed that although we did not observe desertion among females with the highest stress-induced

prolactin levels, several long-caring females had relatively low stress-induced prolactin levels(Fig. 2).

215

216 Corticosterone

217 Baseline corticosterone levels did not differ between adult males and females (t-test, t_{37} = 218 1.147, p = 0.259). Corticosterone levels did not change with parental size, age of the brood, 219 hatching date or capture time (Pearson correlations, sexes combined, n = 39, all $p \ge 0.173$). 220 Capture stress induced a significant increase in corticosterone levels (Fig. 3, Table 1, t-test, t_{76} 221 = 11.758, p < 0.001), and females had higher stress-induced corticosterone levels than males 222 $(t_{37} = 2.239, p = 0.031).$ 223 Stress-induced corticosterone levels did not predict desertion behaviour (Cox 224 regression controlling for female size, n = 19, tarsus: b = 1.320, p = 0.036; stress-induced

225 corticosterone: b = -0.003, p = 0.892).

226 **DISCUSSION**

227 Prolactin levels are associated with parental care in many species including birds, mammals 228 and fish (reviewed by Adkins-Regan et al., 2010; Angelier and Chastel, 2009; Rall et al., 229 2004; Ziegler *et al.*, 2009), although in our study, prolactin levels at (or near) hatching of the 230 eggs did not predict brood desertion in Kentish plovers. Prolactin may be unrelated to the 231 deserting decision, and this behaviour could be regulated by alternative pathways. 232 Conversely, it is possible that prolactin does influence the probability of desertion behaviour, 233 although we failed to find this relationship because the decrease in prolactin levels does not 234 take place at hatching but happens only shortly before desertion which occurs at varying chick 235 ages.

236 Brood desertion is an adaptive strategy if the benefits associated with desertion 237 outweigh the costs (Clutton-Brock, 1991; McGraw et al., 2010; Székely et al., 1996). Such 238 benefits may be either the increased survival or the possibility to rapidly remate and increase 239 reproductive success. Although prolactin has been documented to play a role in clutch 240 abandonment or brood desertion (Chastel and Lormée, 2002; Groscolas et al., 2008; Spee et 241 al., 2010), and in temporary egg neglect (Angelier et al., 2007), desertion in these species 242 occurs exclusively at severe energy deficit, so probably operates as part of an emergency 243 reaction and serves increased survival. In one study, clutch desertion was related to reduced 244 prolactin levels in response to partial clutch loss, however, here desertion decision was also 245 triggered by cues of nest predation (Hall, 1987). In contrast, brood desertion in the Kentish 246 plover (and in its close relative, the snowy plover *Charadrius nivosus*) is part of the natural 247 breeding system, and deserting parents often remate and lay new clutches (Székely and 248 Williams, 1995; Warriner et al., 1986). Therefore, it is possible that these two types of 249 desertion are controlled by different hormonal pathways, and that the decision of desertion is 250 made independently of prolactin levels in plover. 251 Elevated prolactin levels are known to induce parental behaviours, especially for

Elevated protactin levels are known to induce parental behaviours, especially for
persistent incubation and brooding (Adkins-Regan *et al.*, 2010; Angelier and Chastel, 2009;
Buntin, 1996); therefore it would require specific adaptations if birds were to leave their
brood and initiate a new clutch with elevated prolactin levels, especially, as prolactin have
also antagonist effects on luteinizing hormone (LH) and gonadal steroids, which are necessary
for rebreeding (Sharp *et al.*, 1998).

If, on the other hand, prolactin plays a role in the regulation of brood desertion, it must
be temporarily decoupled from the hormone levels we measured close to hatching.
Consistently with the idea that prolactin reflects the amount of active parental care, we found
that baseline prolactin decreased after the hatching and as the chicks became more

261 independent, similarly to other polygamous shorebirds (e.g. Wilson's phalaropes Phalaropus 262 tricolor, Oring et al., 1988; red-necked phalaropes Phalaropus lobatus, Gratto-Trevor et al., 263 1990). Nevertheless, female Kentish plovers did not have lower baseline prolactin levels than 264 males, therefore the different desertion patterns between the sexes cannot be the consequence 265 of females having already lower prolactin levels at hatching. Furthermore, the slope of the 266 decrease in prolactin after hatching was similar in males and in females (results not shown), 267 which suggests that if plummeting prolactin levels are responsible for the sex-biased desertion 268 pattern, than the fall of prolactin levels should happen later, potentially only shortly before 269 desertion.

270 For example, in Eurasian penduline tits *Remiz pendulinus*, another bird species where 271 desertion by either sex is part of the natural breeding system, desertion is a rapid process, and 272 the behaviour of the parents shortly before they leave does not predict their decision (van Dijk 273 et al., 2007). It is plausible that in species such as the penduline tit or the Kentish plover, 274 where there is an intensive sexual conflict over parental care and both sexes may potentially 275 desert (Székely et al., 2006), selection should favour physiological mechanisms that allow for 276 rapid shifts in behaviour. On the contrary, in species, where desertion occurs as a response to 277 an energetic crisis, clutch or brood abandonment seems to be slow. For instance, an 278 association of low prolactin levels, progressive decrease in nest attendance and transitory 279 neglecting of the eggs preceding definitive desertion or clutch failure have been observed in 280 king penguins Aptenodytes patagonicus (Groscolas et al., 2008), red-footed boobies Sula sula 281 (Chastel and Lormée, 2002), snow petrels (Angelier et al., 2007) and black-legged kittiwakes 282 (Angelier *et al.*, 2009b).

The rapid transition between care and desertion may explain why the results did not support our second prediction, namely that deserting females will respond more strongly to a standardised stress than females that continue to provide care. By measuring the prolactin

stress response, we may have measured the parental investment of the parents at the day of sampling (i.e. the end of the incubation or the beginning of chick rearing) which may not have been different for females that later decided to desert or to care.

289 Corticosterone is considered as an important mediator of the life-history between reproduction and survival, and therefore the levels of this hormone may affect fitness (Blas et 290 291 al., 2007; Bonier et al., 2009; Ricklefs and Wikelski, 2002; Wingfield et al., 1995). The 292 biological effect of baseline and stress-induced levels are different, with baseline levels 293 having essentially metabolic effects, whereas at the higher stress-induced levels corticosterone 294 may trigger significant shifts in behaviour in concert with other hormones (Angelier et al., 295 2009b; Hau et al., 2010; Wingfield and Sapolsky, 2003). Here, we found that baseline 296 corticosterone levels did not differ between the sexes but stress-induced corticosterone levels 297 were higher in females than males. The fact that baseline levels were similar in the two sexes 298 corroborates that female desertion in Kentish plovers is not driven by an energy deficit but 299 reflects a reproductive strategy. On the other hand, higher stress-induced corticosterone levels 300 in females compared with males indicate that the value of a given reproduction is lower for 301 the potentially multibrooded polyandrous females than for the males. However, individual 302 corticosterone levels in females were not related to desertion probability. Recent studies have 303 shown that natural variation in corticosterone levels are negatively related to post-stress 304 parental care (Lendvai and Chastel, 2010; Miller et al., 2009). Here we found an eightfold 305 variation in baseline and a threefold variation in stress-induced corticosterone levels, but this 306 extensive variation had very little power explaining the probability of desertion in individual 307 females.

Taken together, we found that sex differences in stress-induced corticosterone, but not
prolactin levels, were consistent with the higher frequency of brood desertion in females.
However, individual variation in either the 'stress hormone' corticosterone, or the 'parental

311 hormone' prolactin measured near hatching of the young was not related to brood desertion 312 probability. These results show that brood desertion by female Kentish plovers is not the 313 consequence of their low overall parental commitment. We propose that desertion is a rapid 314 process in this species which may be triggered by sudden increase in mating opportunities 315 rather than a general reduction in commitment to care. Desertion may then be orchestrated by 316 corresponding changes in hormone levels. The decisive test for this hypothesis would require 317 manipulating prolactin secretion or its binding to receptors, or inducing desertion behaviour 318 by manipulating mating opportunities in natural habitats of plovers.

319

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489 **FIGURE LEGENDS**

490 Fig. 1. Individual baseline and stress-induced prolactin levels and boxplots of hormone levels
491 in the Kentish plover. For each box, the central line represents the median, and the bottom and
492 the top of the box are the lower and upper quartiles, respectively. The whiskers extend to the

493 lowest and highest observations, respectively. The open circles denote a male with unusual

494 change in corticosterone levels (see Methods).

495

496 Fig. 2. Stress-induced prolactin levels in females of Kentish plover broods and the observed

497 caring history of these broods (40 biparental or female deserted broods). Each brood is

498 represented by a horizontal line (or dot if observed only on one day); solid line indicates

499 biparental, whereas broken line indicates male-only care.

500

501 Fig. 3. Individual baseline and stress-induced corticosterone levels and boxplots of hormone

502 levels in the Kentish plover. Boxplots as in Fig. 1. The open circles denote a male with

503 unusual corticosterone levels (see Methods).

504

506 **Table 1.** Parameter estimates from the minimal mixed-effects models for prolactin (ng/ml)

507 and corticosterone (ng/ml) plasma levels in the Kentish plover after AIC-based model

508 selection.

		Prolactin					Corticosterone				
	value	se	df	t	р	value	se	df	t	р	
Brood age	-32.53	9.81	80	3.32	0.001						
Sex						-8.96	4.05	37	2.21	0.033	
Stress	-438.84	17.80	81	24.65	< 0.001	44.72	3.29	38	13.59	<0.001	

- 509
- 510 The initial model for prolactin contained brood age, sex and stress and all second order

511 interactions, and for corticosterone sex, stress and sex \times stress interaction.

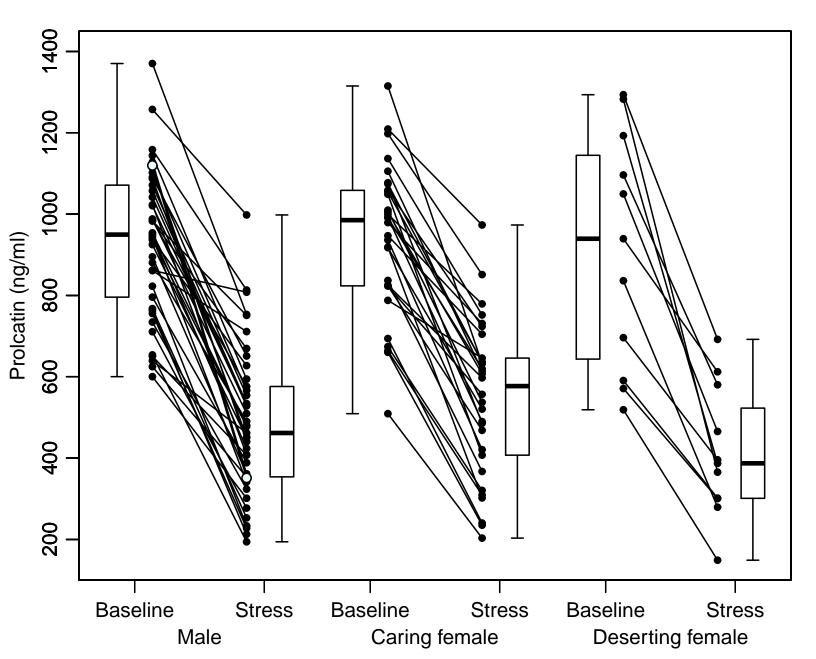


Fig. 1

