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# **A Random Forest-Cellular Automata modelling approach to explore future land use/cover change in Attica (Greece), under different socio-economic realities and scales**

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## **1. Introduction**

Land use and land cover (LULC) changes are considered to be the most prominent influence of humans on the environment. Technological and medical advancements have brought about unprecedented increases in the human population and, consequently, in the need for access to resources. This need has in turn caused substantial and growing transformations to the Earth's surface (Vitousek et al., 1997) with often undesirable impacts and magnitudes that vary from local to global scales. The dual role of humans to actively contribute to LULC changes and, at the same time, be on the receiving end of experiencing the consequences of these changes, emphasizes the need for a better understanding of the human-LULC change nexus.

A wide variety of LULC change models have been developed to meet the scientific community needs for understanding how and why LULC evolves (Schrojenstein Lantman et al. 2011). Generally, LULC models are widely used to analyze the complex structure of linkages and feedbacks between drivers of change, determine their relevance to particular changes and project how much land is used where and for what purpose, under different predefined attributes and conditions. This type of information is then adopted in a meaningful way in order to support policy decision making related

to land-use (Mallampalli et al. 2016). However, by definition, LULC models can not exactly replicate the complex interactions and nonlinear relations which are apparent in LULC systems. At a fundamental level, they are, rather, a process that provides a platform that, allows computer experiments to be undertaken (Brown et al. 2013). When the system in question is simple, the processes and interactions that characterize it can be easily determined and the results are somehow expected, while projections and other kinds of extrapolations are a straightforward task. When dealing, however, with inherently complex systems, as is the case with LULC changes, the models are able to represent and exemplify only a small fraction of the whole mechanism in order to highlight important processes.

The recent methodological and technological advancements have paved the way for more articulated LULC models which are able to answer more complex questions. Such questions could be in regard to what the possible outcomes would be if alternative pathways were followed, or which outcome is the most desirable from a list of alternatives, as well as a diverse range of other ‘what-if’ scenarios. Increasingly, scenario-based analysis is now being adopted by a range of disciplines pertaining to LULC change, as fruitful experiments for exploring the possible future trajectories of historical and current trends (Murray-Rust et al. 2013). Considering that the number of potential futures is actually infinite (Greeuw et al. 2000), scenarios are not used to predict the future in a precise manner, but to explore possible future directions and to consider a range of alternative pathways. To do so, the scenario-based analysis fully recognizes the infinity of potential futures and attempts to focus only to an understandable and manageable set of alternatives. This is achieved by delineating plausible, presumably coherent and internally consistent storylines of different socio-economic development trajectories (Rounsevell and Metzger, 2010).

When modelling LULC, the scale, the spatial resolution and the extent of the study area are important attributes of all spatially explicit models (Agarwal et al 2002). The term scale refers to the spatial, temporal, quantitative, or analytic dimension used to measure and study the processes that are modelled (Gibson et al., 2000). Scale also involves the terms extent and resolution: extent refers to the magnitude of a dimension used in measuring (e.g. study area boundaries on a map), whereas resolution refers to the precision used in this measurement (e.g. pixel size) (Gibson et al. 2000). Moreover, resolution refers not only to spatial resolution, but also to thematic, which is the level of precision in LULC categories. In addition, the term temporal resolution is used to refer to the time span and frequency of the analysis. Modelling LULC changes, therefore, requires a range of scales to be defined since it is a phenomenon that involves multiple processes that act over different scales. At each scale, different processes have a dominant influence on the outcome (Meentemeyer, 1989; Van Delden et al. 2011). Approaches that do not implement a multi-scale approach are prone to aggregation or oversimplification errors and thus fail to reproduce cross-scale interactions. This is due to the fact that features and processes that operate at local scales are not always observable when dealing with larger areas and coarser spatial resolution data (Verburg et al. 2004). On the other hand, studies that focus solely on the local level often fail to incorporate information about the general context which can only be derived from coarser spatial resolution data (Larondelle & Lauf, 2016). Given that all models are driven by their input data, studies focusing on specific LULC processes, considering only a single scale and using data that are particularly suitable only to a certain area, are not representative, transferable or reproducible to different scales. Therefore, such approaches are characterized by higher levels of uncertainty and depend on a number of critical assumptions (Kok and Veldkamp 2001, Van Delden et al. 2011; Veldkamp

et al., 2001, Verburg et al. 2006). Moreover, it is a common assumption that the modelling results are highly affected by the quality and the technical details, such as the pixel size of inputs and the bias they entail (Kocabas & Dragicevic, 2006; Van Delden et al. 2011).

Models designed to analyze LULC dynamics can be divided into categories according to their perspective, their domain, the methodological framework they apply, their spatial or non-spatial nature etc (literature reviews by Agarwal et al., 2002, Briassoulis 2000; Schrojenstein Lantman et al. 2011). However, LULC models that solely rely on statistical approaches often suffer from limitations such as sensitivity to outliers and noise, collinearity issues and factors compatibility (Dormann et al. 2013; Eastman et al. 2005). On the other hand, more recently, a variety of models pertaining to artificial intelligence, such as agent-based models, have been successfully applied for addressing the complex, non-linear behavior of human-nature interactions and decision making. This type of models, however, are suitable to capture processes at the individual, household or neighborhood levels and when it comes to agent behavior they can be very complex and are often parametrized with qualitative social survey data and other types of participatory approaches (Zagaria et al. 2017)

Cellular automata (CA) consist of a dynamic simulation framework where space is represented as a grid of cells and time is considered as discrete unit. The basic principle of this type of LULC modeling framework is that the state of a given pixel is determined by taking into account its previous state, the spatial interactions with the surroundings in a given neighborhood and a set of defined transition rules. These elements dictate the possible change of a cell and can be expert-based or calculated from statistical analysis of historical LULC changes (White and Engelen, 2000). A growing body of the literature demonstrate that, although very simple, CA models have the strong ability

to represent rich LULC patterns and handle nonlinear, stochastic and spatially explicit LULC processes (Sante et al 2010).

The biggest advantage of CA is that they are fully consistent with Geographic Information Systems (GIS) and remote sensing. Additionally, CA can be coupled with other types of models and thus they are flexible to allow the elaboration and extension of the methodological procedures according to the needs of a case study (Aburas et al. 2016). For instance, CA have been previously combined with a plethora of modeling frameworks such as Markov chains (Jokar et al. 2013), neural networks (Li and Yeh, 2002) support vector machines (Yang et al. 2008) and kernel-based methods (Liu et al. 2008) among others. More recently, CA have been successfully combined with Random Forests (RF) (Kamusoko and Gamba, 2015; Gounaridis et al. 2018a).

RF is a tree structured machine learning algorithm that generates a “forest” of randomized independent to each other and identically distributed decision trees. Each individual tree is composed with a random selection of the predictor variables and by searching across a randomly selected subset, it predicts the target response, casting a unit vote. This process is repeated until a user-defined number of trees has been built. The outputs are determined from the majority of votes by each individual tree. For a full detailed description of the RF algorithm, theory and applications, the reader is referred to Breiman (2001). The independency of each individual decision tree and the randomness in forming subsets of the input data makes RF insensitive to outliers, to noise and to overfitting (Chan and Paelinckx, 2008). Additionally, normal distribution of inputs is not a prerequisite and thus it can handle heterogenous data from various sources, units and scales (Gounaridis et al., 2014; Gounaridis et al., 2016; Gounaridis and Koukoulas, 2016). Another important advantage of RF is that it can handle large

datasets with thousands of inputs being accurate and at the same time computationally faster (Rodriguez-Galiano et al. 2012).

The aim of this paper is, therefore, to explore potential future LULC dynamics in the Attica region, using a CA modelling approach with scenarios that reflect different economic performance realities and alternative planning options. The central premise is to simulate all categories of LULC change at the regional level and to evaluate the effects of different proximate and underlying causes. In order to spatially associate the spatial determinants (proxies) with the observed historical changes, a set of factors derived from multiple sources and expressed in different scales, units and resolutions are incorporated in the modelling framework. A multi-resolution sensitivity analysis is also carried out to assess the effect of spatial resolution of the input data to the model outputs. The results will quantify the importance of various spatial determinants (proxies) of change and shed light to the effect different economic performance realities and land-use planning choices can have on the landscape.

## **2. Study area**

The study area is the region of Attica in mainland Greece, an example of the rapid socio-economic transformations that occurred in the country during the last decades, including the demographic dynamics and population redistribution. The region includes Athens, the capital city of Greece and the country's major economic hub. According to the latest census (2010), the region of Attica is inhabited by about 4 million people, or 35% of the country's total population. In more recent decades, economic and population growth triggered a persistent increase in housing demand and supply, and the redistribution of middle-class Athenians to the outskirts of Athens (Mantouvalou et al, 1995; Leontidou et al. 2007). Additionally, socio-economic conditions favoured a persistent amenity-driven trend for second homes along the coastal zone, albeit within

a commuting distance from the city-centre (Arapoglou and Sayas, 2009). As a consequence, the landscape of peri-urban Athens has changed substantially. The urban growth trend was indirectly emboldened by the weak presence of land use planning checks and controls, which permitted the unhindered development at any environmental, social or long-term economic cost (Pagonis, 2013). Moreover, after successfully attracting national and foreign funds, and in preparation to host the Olympic Games of 2004, the demand for construction sites to accommodate commercial, industrial, transportation and recreational activities further increased the built-up transformation of the urban periphery (Chorianopoulos et al. 2010). After the phase of a rather stable economic growth, however, the area has recently been exposed to the negative consequences of the sovereign debt crisis and the succeeding economic recession (2010-2016). The decrease in purchasing power and a drastic drop in consumer demand affected both the housing and the construction industries (Gounaridis et al. 2018a).

Figure 1 about here

In terms of its topography, Attica also constitutes an interesting study case since it is characterised by an undulated morphology (Figure 1). Mount Parnitha (elevation 1413m), Pateras (elevation 1132m), Penteli (elevation 1109m), Hymettus (elevation 1026m) and Egaleo (elevation 468m) are the main mountain ranges. These geomorphological features separate the city of Athens from the adjacent flat districts of Thriasio, Messoghia and Marathonas (Figure 1), which are the only available areas to host residential and industrial settlements.



### **3. Material and methods**

#### **3.1 LULC Data**

Five Landsat-based LULC maps spanning 25 years (1991, 1999, 2003, 2010, 2016) at 30m spatial resolution were used for the modelling. These maps were generated by devising a semi-automated sampling extraction based on a context that combined the no-change areas, spectral controlling, and prior knowledge of the area (Gounaridis et al. 2018b). Overall accuracy for all maps is above 90%. Most importantly, the maps come with a very high thematic resolution, achieved after disaggregating the urban-related LULC categories (Gounaridis & Koukoulas, 2016). Specifically, the maps depict eight land cover categories: i) continuous urban fabric, ii) discontinuous dense urban fabric, iii) discontinuous medium density urban fabric, iv) discontinuous low density urban fabric, v) industrial, commercial and transport units, vi) arable land and permanent crops, vii) forests, scrubs and other natural areas and viii) other (includes open spaces bare, mines and inland water bodies).

#### **3.2 Transition probability modelling**

Exploring future LULC patterns is a useful experiment for evaluating the causes and identifying the impact of LULC changes. The scenario-based simulations have been proven to be a useful way to sketch out how LULC patterns can evolve under different pathways with a level of plausibility (Greeuw et al. 2000; Rounsevell and Metzger, 2010). Scenario-based analyses involves a certain degree of uncertainty originating from the very nature of socioeconomic predictions that help define the scenarios. This is due to the inability to foresee any unexpected circumstances and integrate any emerging discontinuities or the data inputs for the models. Especially when dealing with complex systems, such as LULC changes, assumptions are unavoidable. The level of uncertainty can be minimized by combining an empirical analysis and sketching

different scenarios, attributes and conditions that deviate from historic trends in LULC changes (Brown et al. 2013; Verburg et al. 2016)

### **3.2.1 Predictor variables**

Taking into account previous LULC change modelling efforts (Gounaridis et al. 2018a), as well as data availability, a suite of 27 variables were chosen to best describe the LULC change processes that took place throughout Attica in the study period (1991-2016; Table 1). They are both categorical and continuous in nature and cover a broad spectrum of potential LULC change factors. They can act as spatial determinants of the changes that occurred during the last decades in Attica, and are derived from multiple sources, with different scales and resolutions.

Table 1 about here

During the study period, changes related to artificial surfaces were dominant in Attica and, therefore, the majority of the chosen variables represent factors that affect the decision-making process when selecting locations for the construction of new housing or infrastructure. Factors pertaining to social shifts, economic motives, inherent quality and attractiveness of a given place and proximity to basic needs and amenities were assumed to play a key role (Table 1). Variables related with the topography of the terrain, such as elevation, slope and aspect influence the inherent quality of a certain location and define the land suitability for built-up expansion. Proximity to the sea, to “blue-flag” beaches (Foundation for Environmental Education- <http://www.fee.global/>), as well as to natural reserves or urban green spaces are also perceived as added value in the pursuit for a better quality of life and aesthetics for both primary or secondary homes. Proximity to the city center of Athens or to nearest towns, to public transport, and the road density are proxies that reflect the commuting distance to work. Additionally, distance to social

infrastructure including, among others, health provision, education and sports facilities, together with the density of private enterprises (kernel density of geo-tagged newly developed enterprises at 30m spatial resolution) serve as proxies to amenities. Demographic and socio-economic variables such as changes in population density, employment and unemployment rates provide insights on the shifts in the socio-economic profile of the area estimated at local authority (municipal) level.

It is worth noting that, variables available at a higher administrative level, that of the region, were not included since in Greece, implementation of local land use management policies falls under the remit of local municipal authorities. Factors expressed at the municipal level, therefore, were considered to represent an appropriate spatial unit for our analysis (Panori et al. 2016; Gounaridis et al. 2018a). All spatial and non-spatial datasets were collated in a GIS environment. Census data were mapped at the municipal level while distances were computed using the Euclidean distance function. The variables were then converted (resampled with bilinear interpolation) to 30m spatial resolution rasters to match the resolution of the Landsat-based land cover classifications (Gounaridis et al. 2018b).

### **3.2.2 Leap-frog development index (LFDI)**

To enhance the accuracy of the model, and to ensure the accurate detection and representation of scattered development, the Leap-frog development index (LFDI), originally proposed by Xu et al. (2007) was calculated and included in the modelling scheme. Leap-frog development refers to the new urban patches that are formed spontaneously and have no direct spatial connection and shared boundaries with the existing urban patches. The index applies to artificial LULC types and has been proved to effectively delineate any type of scattered development, classifying the historical changes according to sharing boundaries properties (Gounaridis et al. 2018a).

Specifically, the index is calculated after dividing the length of the common boundaries between newly developed urban patches and already existing urban patches with the perimeter of the newly developed urban patches Xu et al. (2007). When the resulting value is higher than 0.5 the growth type is denoted as infilling. A resulting value lower than 0.5 denotes the edge growth while when the result is 0, it denotes the absence of a shared boundary, and the growth is identified as Leap-frog development. Therefore, following the approach by Gounaridis et al (2018a) the maps of 1991 and 2016 were converted to vector format and patches representing the four urban categories and industrial commercial and transport units were assigned values denoting which patches appeared in each date. Subsequently, using common functions in GIS, the length of common boundaries, their perimeter and the index were calculated. The last step was to convert the vector file to raster format at 30m spatial resolution.

### **3.2.3 Random Forests regressions**

Following the approach adopted by Gounaridis et al. (2018a), the transition probability surfaces were generated by employing the machine learning regression algorithm of Random Forests (Breiman, 2001) using all variables, including the LFDI. Eighteen possible transitions were identified (Table 2), under three assumptions: (a) it is impossible for the urban fabric class to convert to any other land type as well as to decrease in density; b) the industrial, commercial and transport units cannot convert to any other land type, and c) the “other” category, that includes inland waters, bare land and mines, cannot interact with any of the other 7 classes. To train each of the 18 models, 5000 random points were dispersed throughout the study area. Two possible values were associated with these training points: 1 denotes change from any LULC class to any other class, and 0 denotes no change. The RF regressions were then implemented in R using the RandomForest package (Liaw & Wiener, 2002). To fine

tune the RF regressions, five predictor variables (equal to the square root of the total number of 27 predictor variables) were used for each tree split and 700 trees for each run. The modelling process generated 18 transition probability surfaces, each indicating the degree of potential future LULC change.

Table 2 about here

RF also offer meaningful metrics about the importance of each predictor variable. To quantify the importance and contribution for each of the 27 predictor variables, two metrics, the Mean Decrease Gini and the Mean Decrease Accuracy were computed (Gounaridis and Koukoulas, 2016). The mean decrease in Gini coefficient informs about each variable's contribution to the impurity of the resulting random forest model. Variables with a high value in the decrease of Gini, tend to have nodes with high purity which is a measure of model's homogeneity. The mean decrease in accuracy provides information about how much the accuracy would decrease if a variable were excluded from the model. Therefore, the larger the value of mean decrease, the higher the importance of a variable is.

### **3.3 LULC change scenarios**

Figure 3 shows the LULC trends in Attica between 1991 and 2016, based on the Landsat-based land cover maps. Three different phases of economic development and performance can clearly be identified and based on these, we devised the following three potential future scenarios:

Figure 3 about here

Low development scenario: this scenario reflects the 2010-2016 period, when urban expansion rates curtailed significantly as a consequence of economic recession and a drop in investment spending. Throughout this time, for instance, approximately 150,000 newly built houses in the region were left uninhabited (unsold), while over one

third of commercial facilities in the city of Athens closed down and remained shut (Serraos et al., 2016). Under this scenario, economic growth, as well as the population mobility would remain relatively stable.

Medium development scenario: this scenario reflects the period between 1991 and 1999, when the peri-urban areas of Athens conurbation, especially the uplands and the Messoghia plain, experienced significant population gains. Increase in demand for new houses boosted urban growth at the expense of other less profitable land uses, bringing gradually major changes in the peri-urban landscape. In fact, during this time, peri-urban Athens population had grown ten times faster than the Athens conurbation population, which remained relative stagnant (Petrakos and Mardakis, 1999). According to this scenario, peri-urban Athens experiences a steady population increase, fueled by the relocation choices of Athenians questing residence in lower density areas.

High development scenario: this scenario reflects the sharp urban expansion rates noted in the region in the 2000-2009 period, facilitated by stable economic growth and the continuation of a rather “loose” approach to land use planning controls. The era is chronologically framed by the effects of the 2008 global financial crisis, which were felt locally, however, in late 2009, in the form of an excessive budgetary deficit and a prolonged recession (Chorianopoulos and Tselepi, 2017). Under this scenario, population decentralization from Athens conurbation continues apace, further enhanced by labour migration from outside the country, fueling demand for new housing constructions. Following the development trends of the reference period, the spotlight of investment falls on the waterfront areas shifting further real estate dynamics towards tourism-related facilities and secondary homes. Similarly, spatial planning continues to play an important role in the “construction spree” by approving investment in transportation infrastructure, enhancing peri-urban accessibility to the city of Athens.

Consequently, major infrastructure works, private enterprises and shopping centres will keep on colonizing the Northern outskirts (Marathon, Oropos, Messoghia and the Thriasio plains forming a ‘suburban exploitation thesis’ case (Pacione, 2009).

All three scenarios draw from clear reference periods and assume that profound social and political changes will not alter their traits. As far as the land use planning apparatus is concerned, it is assumed that it will continue to be rather permissive to development, following a political stance that approaches unregulated urban expansion as a “shortcut” to economic growth.

### **3.4 Model calibration**

The CA model was designed and implemented using the Dinamica EGO platform (Soares-Filho et al. 2002). An important step, prior to the prediction phase, is model calibration. To calibrate the model and evaluate the goodness of fit, a comparison of simulated maps with reference maps is the most efficient way (Gounaridis et al. 2018a). Any CA modelling framework involves four components: the probability maps, the historical LULC maps, the transition rules and the neighborhood characteristics that define the parameters of the simulation.

The CA model was trained based on the 1991-2010 period, and the observed changes were used to predict the landscape structure and composition on 2016. To do so, the annual rates of change per LULC category between 1991 and 2010 were calculated generating a transition matrix. In order to replicate the actual structure and composition of the area, three landscape metrics were computed: (i) the mean patch size, (ii) the variance of patch size, and (iii) the patch isometry. In general, an increased patch size results in less fragmented landscapes, while the patch size variance denotes the diversity of newly developed patches. Isometry usually varies from 0 to 2 and thus, the greater

the isometry, the more isometric (i.e. equal) the newly developed patches are. The first two metrics were computed for the input LULC map (2010) while the latter was adjusted through a trial-and-error process. Finally, the 18 transition probabilities were stacked together to drive the allocation of cells, based on the premise that the cells with the highest likelihood values should change first. The model was then set to run and predict LULC for 2016.

To evaluate the model's performance, the simulated LULC map of 2016 was compared with the observed LULC map of 2016 (i.e. the outcome of the Landsat-based classification; Gounaridis et al. 2018b) using the fuzzy similarity index at multiple resolutions (Hagen, 2003). This index evaluates the accuracy of simulation results considering the similarities of two maps (simulated versus observed) in a neighborhood context and within increasing window sizes (Mas et al. 2012). This involves the comparison of map fit and spatial agreement within a certain pixel vicinity allowing the comparisons of maps not only in a strict pixel-by-pixel basis but also considering the spatial similarity in multiple resolutions (Hagen, 2003). To gain insights about per class agreement we also computed the error matrix between the simulated and the observed maps of 2016. The sampling was based on 9399 samples holding LULC class values of 2016 (Gounaridis et al. 2018b). The samples come with relatively equal distribution among the LULC classes that ensures equal representation.

After calibration, the simulation of LULC changes under the three scenarios was implemented, taking 2016 as the initial year and 2040 as the final year, in a 5-year time step. The parameters used to calibrate the model were kept constant and only the quantity of LULC transitions per scenario were changed. A transition matrix was constructed for each epoch, i.e. 1991-1999, 1999-2010 and 2010-2016, to reveal the quantity of each possible transition per scenario (Table 2). Ideally, the predictor, and in



turn, the transition probability surfaces, would also change per scenario, to better reflect the socio-economic conditions of each epoch. However, in our case, this option was not feasible due to data availability and temporal mismatch issues.

### **3.5 Multi-resolution sensitivity analysis**

After completing the model simulations at 30m spatial resolution, a sensitivity analysis was also conducted at various spatial resolutions. It was hypothesized that when all other parameters of the model are held constant and only the spatial resolution of inputs changes, then the quantities, the spatial allocation and thus, the spatial patterns of outputs, can differ. The central premise behind this step was that the spatial resolution of the models' inputs can have important and substantial effects on the output. Thus, this parameter can limit or even enhance the ability of a model to project future scenarios of LULC change. Sensitivity analysis is a process that examines the variation in model outputs in response to variation in a set of model parameters, in this case the spatial resolution of input data. To do so, the 1991 and 2016 Landsat-based classifications were resampled (nearest neighbor) to 100m, 250m and 500m, respectively and change detection was performed for each case. Next, the transition probabilities were re-constructed through RF regression after resampling (bilinear interpolation) all predictors for each case. The calibration followed the same steps as aforementioned. The landscape metrics along with the transition quantities were re-calculated and introduced to the models for each case. After calibration, each scenario was simulated based on the transitions observed throughout each of the three epochs. Finally, all maps generated from each run were overlapped using rule-based cross classification in order to produce the final map per scenario. This step identified areas of change that are common regardless the spatial resolution of the inputs. To explore the influence of the spatial resolution on various consecutive steps of the modelling

process, we compared the transition probability surfaces produced at the native resolution (30m) and at several coarser resolutions (100m, 250m, 500m). This was done after sampling the transition probability surfaces at 1000 random points, and computing the concordance correlation coefficient (Lin, 1989; 2000).

## **4. Results and discussion**

### **4.1 Model calibration and performance**

One common way to assess the level of model calibration and performance is to compare the simulated map for a given year versus the observed map, which is often derived from the classification of satellite data. Figure 4 depicts the resulting map of 2016 after calibration versus the reality (observed map of 2016). A visual comparison of these maps shows the relatively high similarity. This suggests that the RF-CA model was relatively accurate at allocating the LULC patterns of change in the study area. Table 3 reveals the level of agreement per class between the simulated map of 2016 and the observed map of 2016. Overall accuracy was acceptably high (88.36%) and the User and Producer accuracies for all classes ranged from 83.4% to 96.5%. Regarding the disagreements, confusion is evident between certain classes that are mostly spatially adjacent. For instance, between “discontinuous medium density urban fabric” and “discontinuous low density urban fabric”, as well as between “discontinuous low density urban fabric” and “arable land and permanent crops”.

Figure 4 about here

Table 3 about here

Figure 5 illustrates the fuzzy similarity index computed based on the overlay of the two maps. The accuracy assessment yielded a spatial fit of 85.18% within the 1x1 window size radius which improved to 95.08 % when widened to a 15x15 window size. The high scores in performance suggest that the suite of 27 predictor variables were used efficiently and the RF algorithm performed well with an adequate fit.

Figure 5 about here

Figure 6 depicts the components of agreement and disagreement between the simulated versus the observed maps. It reveals information about: (i) observed change simulated correctly as change (i.e. hits); (ii) observed persistence (i.e. LULC that remained unchanged) simulated correctly as persistence (i.e. null successes); (iii) observed change simulated incorrectly as persistence (i.e. misses), and (iv) observed persistence simulated incorrectly as change (i.e. false alarms). Most importantly, the model predicted accurately the leap-frog development and this proves the added value of the LFDI and the extensive training of the RF model.

Figure 6 about here

#### **4.2 Variable importance**

Figure 7 is the Mean Decrease in Gini coefficient which informs about each variable's contribution to the impurity of the resulting random forest model. Road density, enterprises density and elevation contributed the most for changes related to dense urban fabric. The same variables along with the distance to shoreline and education centers are the most related to discontinuous dense and medium density urban fabric. For the discontinuous low density urban fabric, which is a category broadly related to second homes, distance to shoreline, to blue flag beaches, elevation, road density and enterprises density were the most influential variables.

Figure 7 about here

Figure 8 is the mean Decrease in Accuracy which informs about how much the accuracy decreases if a variable would be excluded from the model. According to this, road density, distance to natural reserves, to prefecture center and to shoreline, as well as slope and elevation were the most influential variables for changes related to dense urban fabric. The same variables along with the distance to beaches, to urban green areas and to public buildings were the most influential to changes related to discontinuous dense and medium density urban fabric. For the discontinuous low density urban fabric, the elevation, slope, road density along with the distance to urban green, to shoreline, to natural reserves and to prefecture center contributed the most into the spatial changes description.

Figure 8 about here

### **4.3 Multi-resolution sensitivity analysis**

The models yield similar patterns for each scenario but, as anticipated, as the resolution increases, the patterns tend to become more aggregated and smaller patches of change tend to be lost. Figure 9 depicts the concordance correlation coefficient (Lin, 1989, 2000) derived from transition probabilities for the continuous urban fabric class per different spatial resolution. The higher concordance value can be observed between the 30m and 100m pixel size. Gradually, as the difference in spatial resolution increases so is the distance of data's reduced major axis from the line of perfect concordance which reflects the concordance between the transition probability surfaces.

Figure 9 about here

The multi-resolution sensitivity analysis results provide evidence that the technical characteristics have substantial impact to the outputs of a model and thus to the

observed patterns and to the conclusions drawn. Even if a model is rigorously calibrated, the predictability will decrease relative to the spatial resolution, and the patterns revealed in the results will become less informative.

#### **4.4 Model predictions for 2040**

Figures 10-12 depict the LULC changes projection under the three scenarios while Figure 13 provides a quantified insight to the final results.

Figure 10 about here

Under the medium economic development scenario (Figure 10), and with a pace of urban growth equivalent to that of 1991–1999, artificial surfaces are expected to expand predominantly at the expense of other, less profitable, land uses. Urban areas are anticipated to reach 41% of the region's surface, of which 17% will be discontinuous low density urban fabric. Industrial areas are expected to occupy almost 8% of the total area. At the same time agricultural land is expected to decline from 23.5% in 2016 to 10% in 2040 (Figure 13). Most changes will occur along the waterfront and in the periphery of Athens conurbation, effecting notable changes in Messoghia, the Thriassian plain, Marathonas, Oropos and Sounio. In these areas, pre-existing urban and industrial clusters portray a tendency to become denser and to expand considerably, ending up almost connected with Athens conurbation, especially in its northern parts. The region's coastline, especially in Messoghia, Marathonas, Oropos and Sounio, is also expected to exhibit remarkable changes. Existing towns display a tendency to become denser and to expand, transforming waterfront areas into a large and solid low density urban patch. Leap-frog development is also expected to increase sharply around road junctions of existing urban areas.

Figure 11 about here

Under the high economic development scenario (Figure 11), where the pace of urban growth reflects the traits of the 1999-2010 period, artificial surfaces are expected to increase remarkably. At the same time, they are expected to occupy more than half of the total surface of Attica region (56.7%). In more detail, urban uses, are expected to occupy an area of almost 48% in 2040, which can be translated to an increase of approximately 21%. In this land use category, discontinuous low density urban fabric will reach a high peak of almost 21% of the total area. The continuous dense, discontinuous high density urban fabric and discontinuous medium density urban fabric are expected to reach 9%, 10% and 8% respectively. At the same time agricultural areas are expected to decrease by 18%, occupying only 5.2% of the total area (Figure 13). All these accelerated landscape transformations are expected to occur throughout Attica region, leading to a mosaic of mixed land uses. Pre-existing urban and industrial clusters will become denser and expand considerably. In a similar fashion with the medium growth scenario, most changes are observed along the coastline and to the peri-urban zones of Athens conurbation. Changes are expected to be centered on the northern suburbs of Athens, the Messoghia and the Thriassian plain, Marathonas, Oropos and Sounio areas. Most notably, existing urban patches in the waterfront (Marathonas, Messoghia, Sounio, western Attica and Oropos), are expected to be linked with the conurbation forming an urban-rural continuum of low, and at places, medium density. In the western part of Attica, the Thriassian plain is expected to experience a considerable increase in industrial development and a notable increase in medium density urban use. Last but not least, the density of urban areas will increase sharply, especially in the northern and eastern suburbs of Athens.

Figure 12 about here

Under the low development scenario (Figure 12), an increase in artificial surfaces of approximately 6% is noted in the region; a rate, however, that is significantly lower if compared with the other two scenarios. Discontinuous low density urban fabric, for instance, is expected to occupy 15% of the total area by 2040, an increase of only 3% since 2016. Similarly, continuous dense and discontinuous high density urban fabric are expected to reach 6.7% and 5.6% respectively (Figure 13). Following the traits of the recession (2010-2016), urban expansion is observed throughout the region, yet at relatively moderate rates and in rather compact form. Foreseen changes will mostly occur around the road network and in the waterfront areas, particularly in the eastern and northern parts of Attica. Already existing urban areas appear to increase in density, rather than expanding, while leap-frog development is noted in areas of adequate transportation infrastructure, guaranteeing ease of access to Athens. Regarding urban density, slightly higher densities are expected in the northern suburbs of Athens.

Figure 13 about here

## **5 Discussion**

### **5.1 RF-CA land use/cover modelling**

The coupling of CA and RF proved to be a sound way to combine the advantages of each approach. Implementing the RF algorithm for transition potential modelling, allows the efficient combination of qualitative and quantitative data derived from multiple sources and with different nature in terms of scale and origin. In addition, RF proved insensitive to collinearity issues and normal distribution of data was not a prerequisite. The predictors incorporated in the models proved capable to spatially determine the phenomenon while the incorporation of the Leap-frog development index at the regional level, assisted the models in LULC prediction. In this approach, a total

of 18 distinct transitions were identified and equal transition probability surfaces were generated. Their combination in a CA modelling environment seemed challenging and required intense training and calibration through trial and error. Currently, most LULC models can only simulate limited possible transitions due to complexity in definitions, attributes and transition rules (Liu et al. 2017). However, in reality, even in the same location, different LULC dynamics occur simultaneously and affect each other. Thus, a comprehensive outlook of these processes is much more effective in order to realistically determine the future trajectories. The interactions and competition among different types of LULC was explored by using a simple, yet effective competition mechanism. This approach allows the incorporation of various LULC transition probabilities as a single layer stack, containing all the probability surfaces. Each layer represented one single possible transition, while each cell contained values denoting the dominant LULC type and the likelihood to retain the current land type or transform to another type. The reproduction of LULC patterns and the calibration procedure, as a whole, improved considerably with the inclusion of the mean patch size, the variance of patch size, and patch isometry. Introducing these metrics to the CA framework, allows the models to take into account and to reproduce the actual parameters of the study area. The adoption of the fuzzy similarity index (Hagen, 2003) for assessing the model's spatial fit was another advantage of the approach, as it performs comparisons of simulated versus observed data within a neighborhood context, and not in a strict per-pixel context.

## **5.2 LULC predictions for 2040**

We employed three socioeconomic and associated urban growth scenarios to explore potential LULC pathways to 2040. The 'low development' scenario draws from the current economic austerity and recession reality, framing a long-term setting in which



economic downturn keeps on hindering urban expansion dynamics. Results obtained from the medium and high economic development scenarios, however, are multifaceted. Both scenarios shed light on the ways in which Attica would look like when the current economic crisis is reversed. Against this backdrop, they point to the critical role of land use planning in regulating urban expansion. Our results outline a future landscape shaped by the unmediated prerogatives of rapid economic development. They also underscore the significant socio-economic consequences such as enhanced residential segregation, high infrastructure investment costs, central areas underfunding. Moreover, the environmental impact such as increased car dependency and usage, loss of agricultural land and natural habitats are also evident. In light of the consequences, LULC changes that would occur locally are expected to create a maladaptive and nonfunctional setting, liable to undermine future economic development prospects (Chorianopoulos, et al. 2010).

Regarding the factors that contribute and the extent of this contribution to the different types of LULC change, our study incorporated a total of 27 variables into the modelling. By implementing 18 different models representing every permitted LULC transition, the contribution of each factor was quantified using the Mean Decrease Gini and the Mean Decrease Accuracy metrics. From the application of these models, three messages emerge:

(a) Firstly, the results demonstrate that depending on the LULC type, different factors play a key role in the spatial configuration of LULC change (Kizos et al. 2018). The interrelationships of urban related classes, for example, can clearly be distinguished according to their density, which translates to different residential use (e.g. secondary homes). In densely built urban areas, spatial factors, such as road network density, density of enterprises, proximity to social infrastructure (health services, educational

institutions) and accessibility to the municipal centres, were the dominant determinants of change. In urban areas with lower density, distance to the shoreline and to “blue-flag” beaches were among the most important. The results are in agreement with the findings of other studies, especially with those related with the coastal zone of the Mediterranean (Boavida-Portugal et al. 2016; Houet et al. 2016; Lagarias, 2012; Marraccini et al. 2015; Petrov et al. 2009).

(b) Secondly, some factors that rank among the top determinants for a type of LULC change, may have a strong positive or negative correlation coefficient with the phenomenon. For instance, the slope and elevation variables, rank high in the urban categories with strong negative correlation coefficients, mostly due to the topography of Attica, limiting the majority of settlements within the plains.

(c) Finally, a possible important limitation that should be noted is that, all these patterns and numbers are case-specific and the conclusions drawn from the quantitative insights might not be transferable to other regions. This is mostly due to specificities present only in Attica, for example, the physical constraints related to the topography, the cultural choices for primary and secondary housing, or the presence or absence of a regulatory planning mechanism. Future research directions should include cross-cases comparison with areas that share common characteristics with Attica, e.g. coastal areas, Mediterranean administrative regions that include a big metropolitan area and areas with rapid socio-economic changes.

### **5.3 Multi-resolution sensitivity analysis**

This paper demonstrated the importance of a multiple scales analysis, by incorporating in the modelling framework data derived from multiple sources, expressed at various scales and resolution. Given that, the data used as input in any model, affect the

outcomes, and in turn the usefulness and the accuracy of the model, studies that utilize only data that concern a single scale or spatial resolution, fail to account for a wide range of information. Moreover, their transferability is limited (Veldkamp et al. 2001). Data expressed at coarse scales might hold information and patterns that are undetectable at finer scales and vice versa (Brown et al. 2013; Van Delden et al. 2011; Verburg et al. 2004). Furthermore, factors that determine a LULC change, might operate at a distance from the area of focus. Thus, when dealing with a system that involves multiple nonlinear relationships and various proximate and underlying factors, it is necessary to consider all available information (Larondelle & Lauf, 2016). Here, we exploited all possible resources and efficiently combined and integrated the available multi-scale and multi-resolution data.

Additionally, the simulation results were subjected to a multiple resolution sensitivity analysis. Since the modelling approaches generate outputs that are more or less driven by the parameters and characteristics of input data (Kocabas & Dragicevic, 2006; Van Delden et al. 2011), the results obtained by this approach are consistent to all pixel sizes and thus insensitive to the effect of pixel size.

#### **4. Conclusions**

This paper demonstrated an integrated approach to explore potential future LULC dynamics under different scenarios that reflect different economic performances and policy options. Our integrated framework was able to sufficiently: i) take into account socioeconomic, biophysical, legislative and land use factors spanning a broad spectrum of LULC change spatial determinants (proxies); ii) provide insights into hidden patterns by taking into account, not only the prominent changes between major LULC categories, but also changes in density; iii) take into account the multiple scales

involved in LULC systems, and, v) provide results that are insensitive to the spatial resolution of the inputs.

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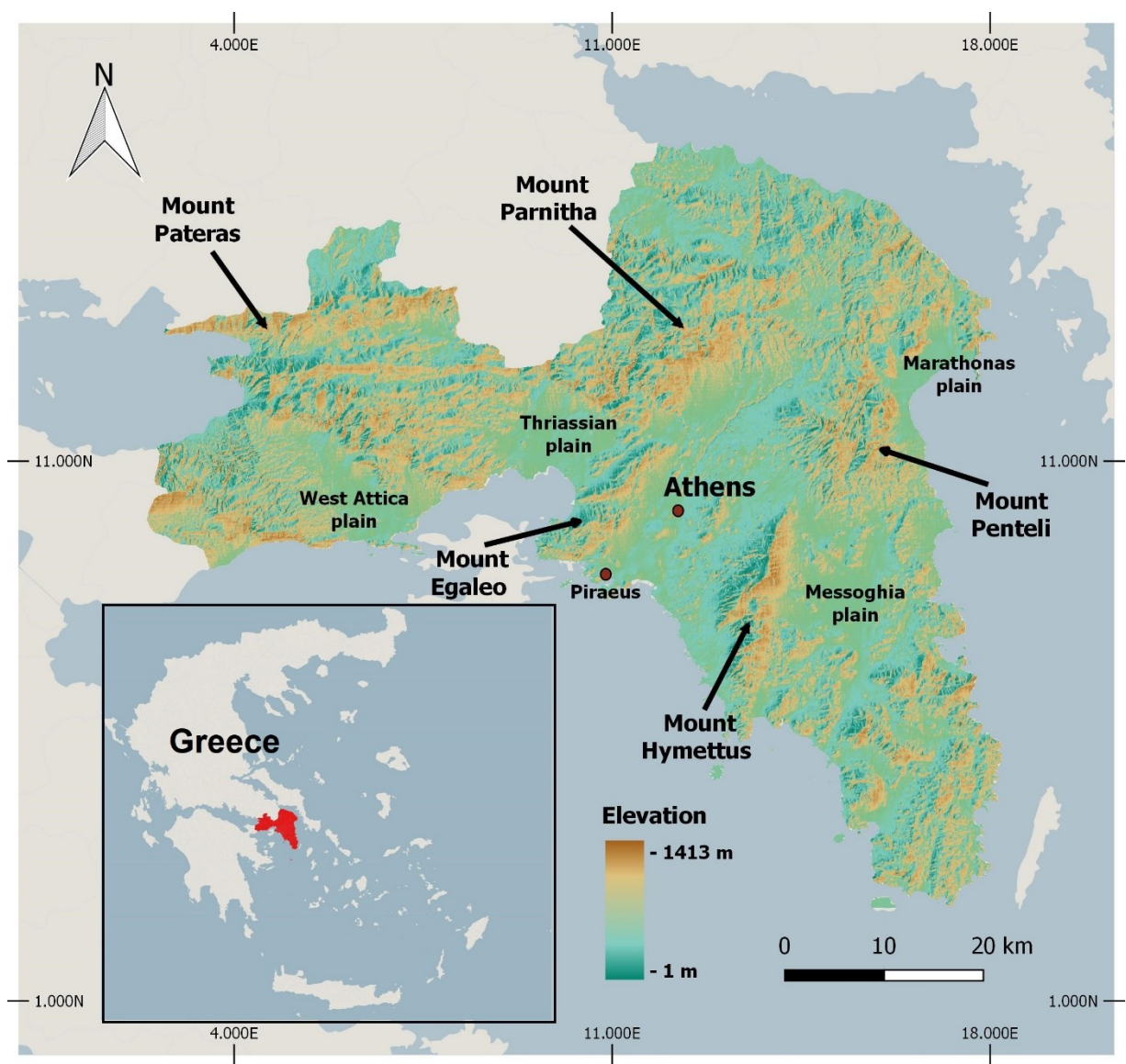


Figure 1



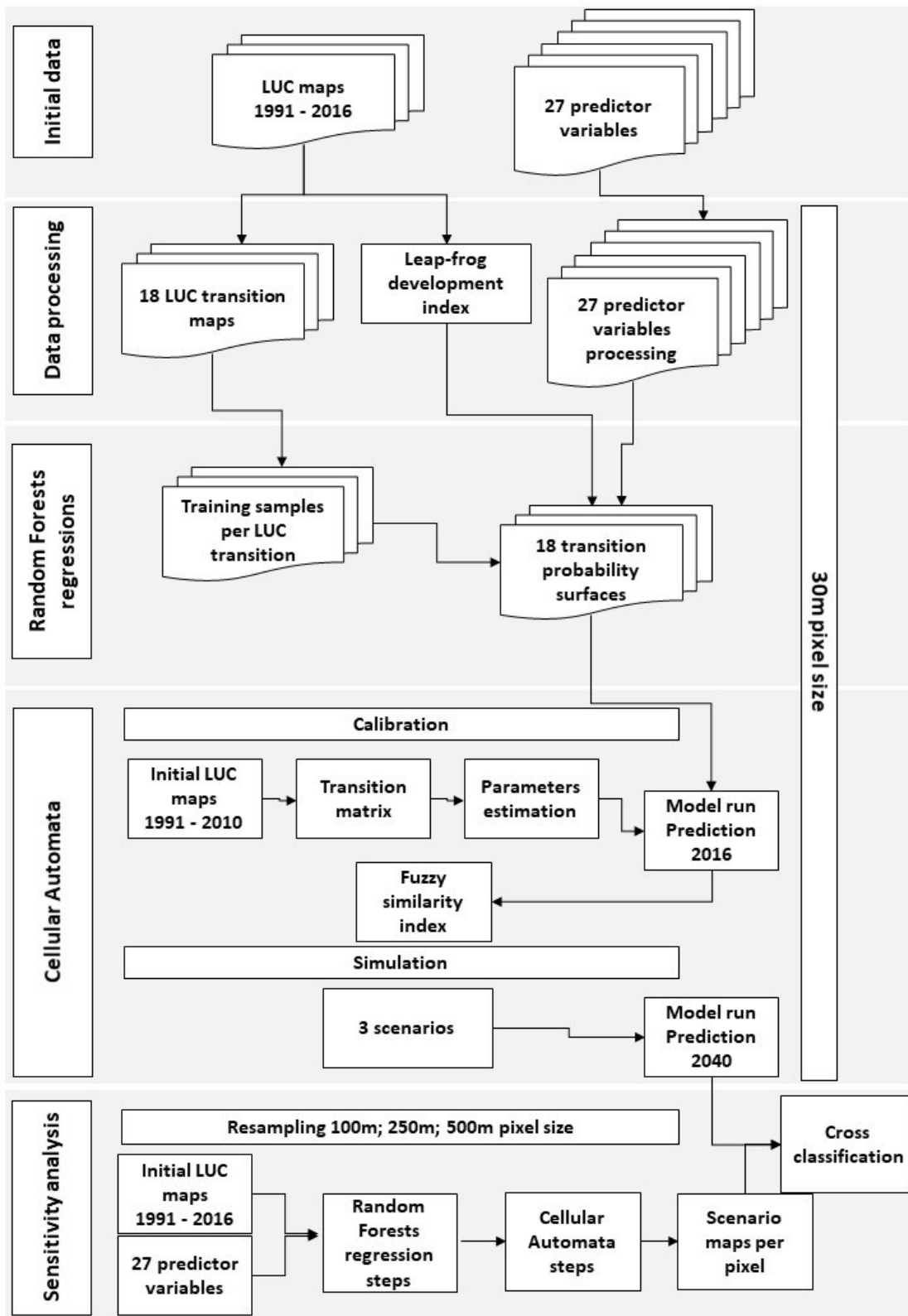


Figure 2

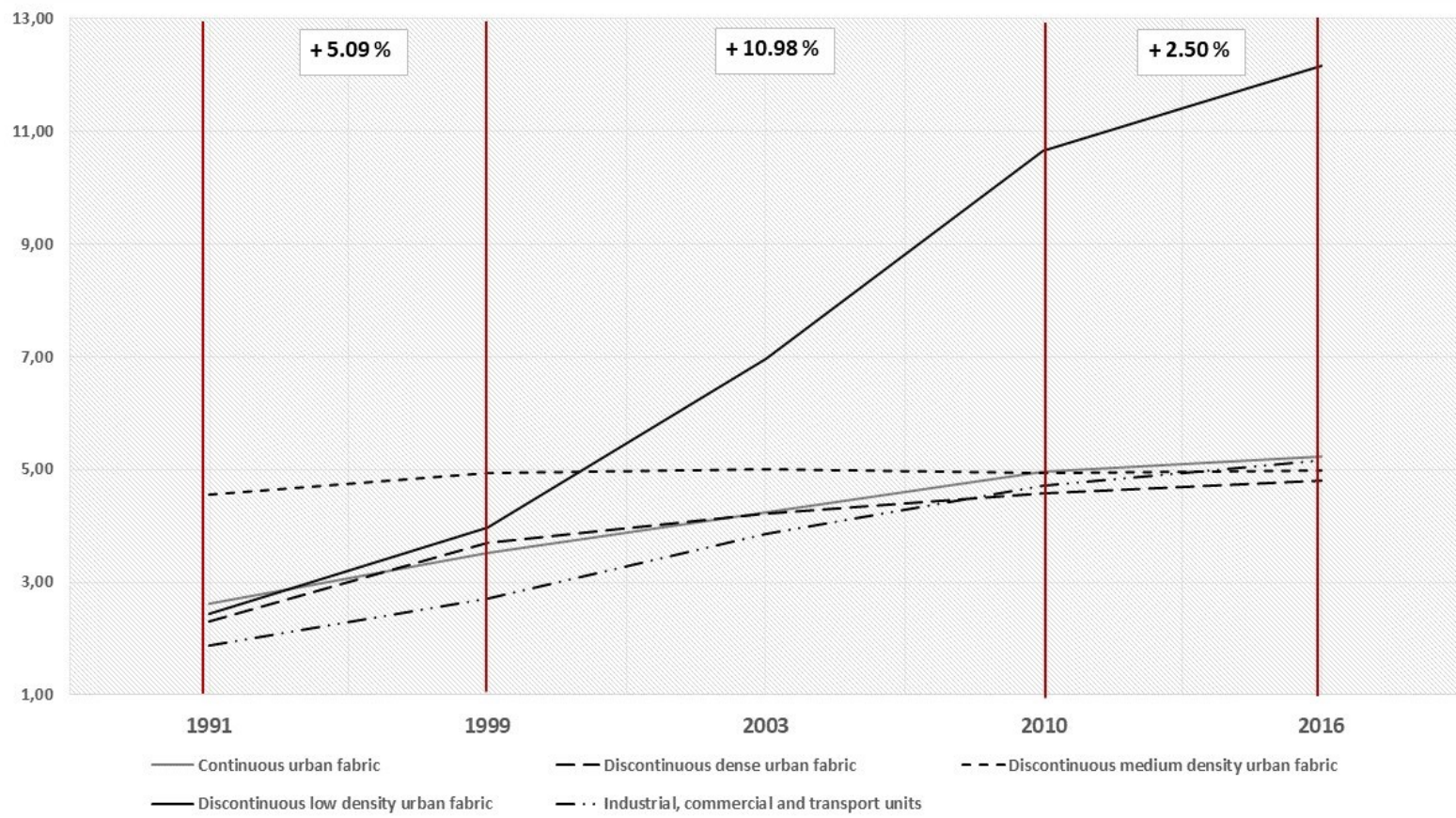


Figure 3

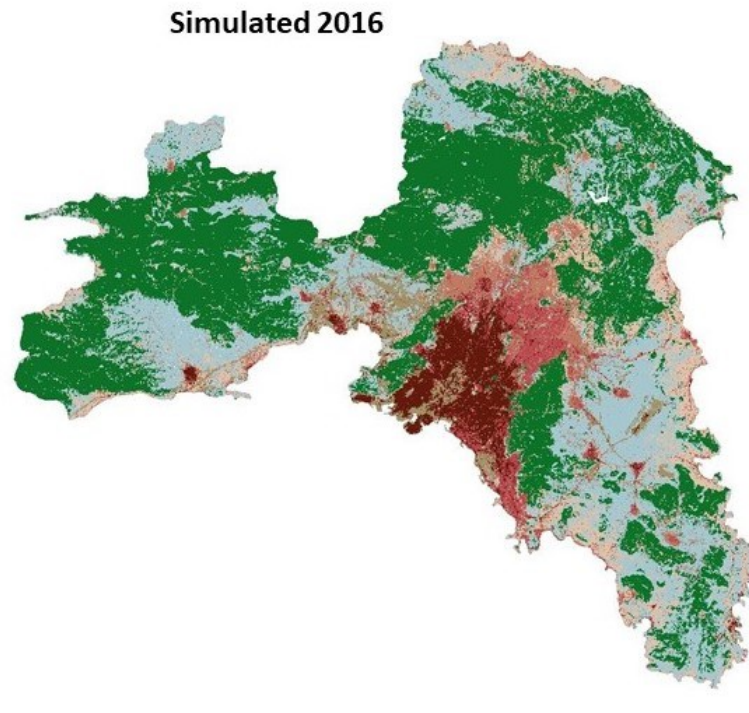
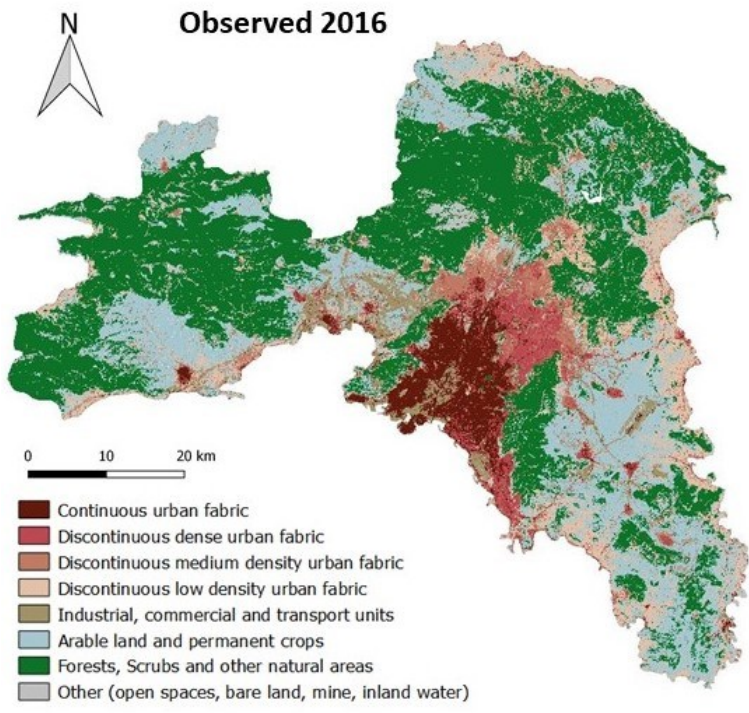
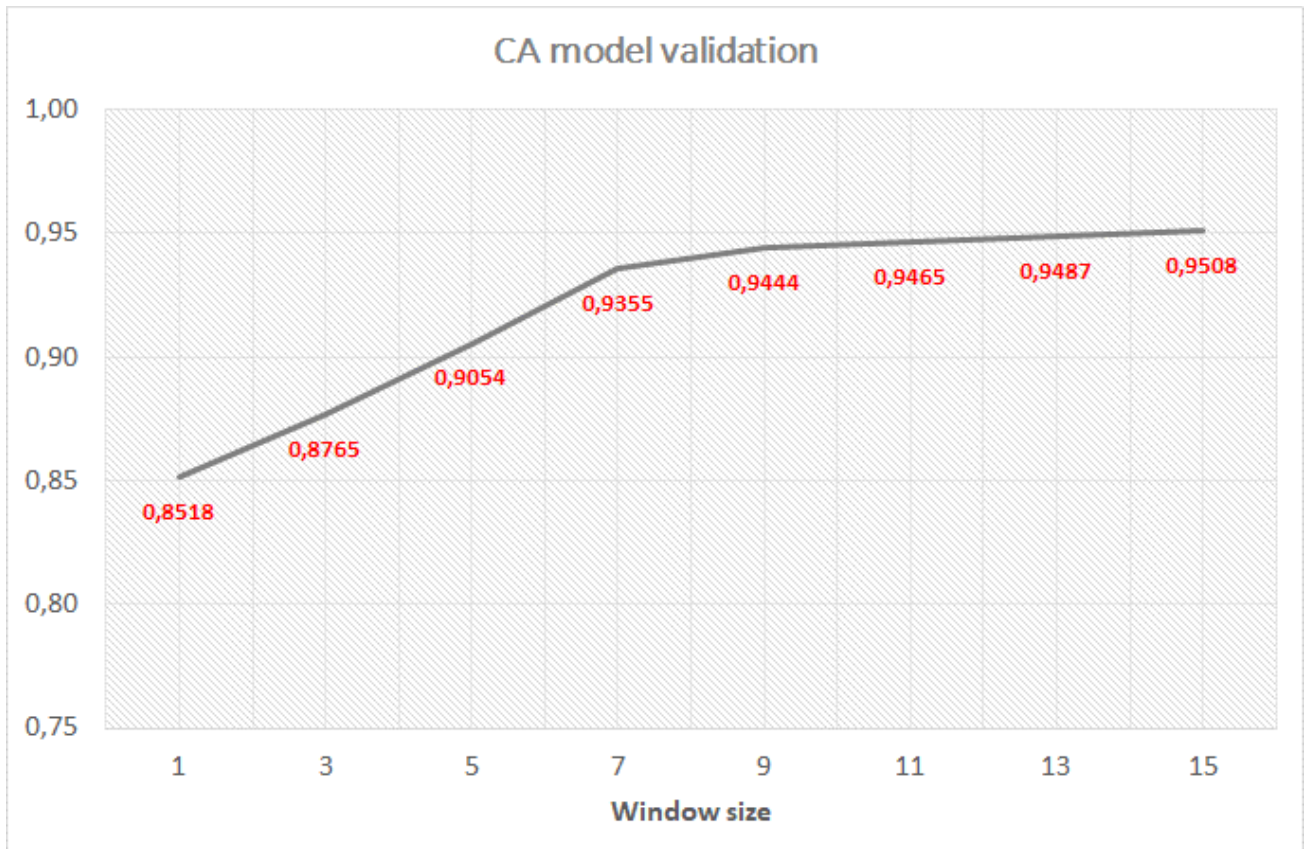


Figure 4

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Figure 5

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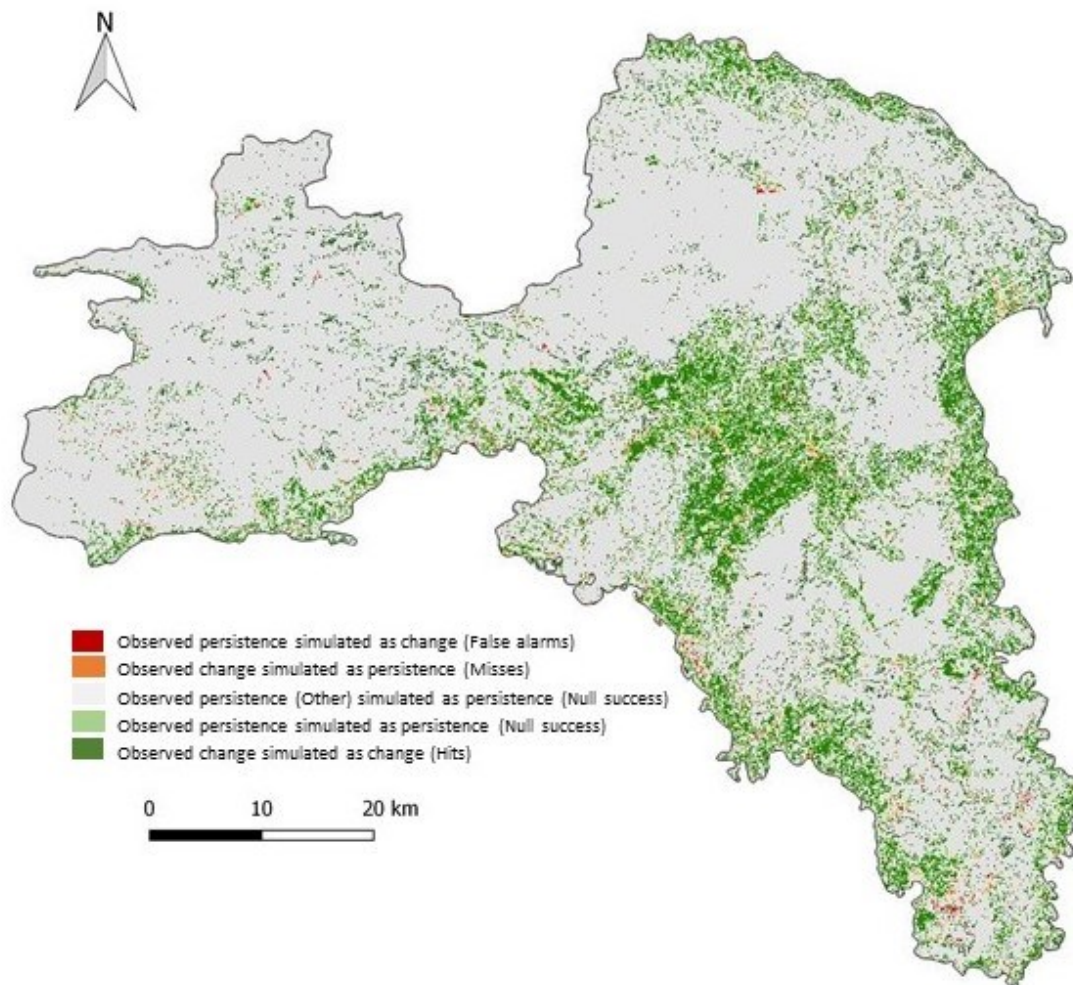
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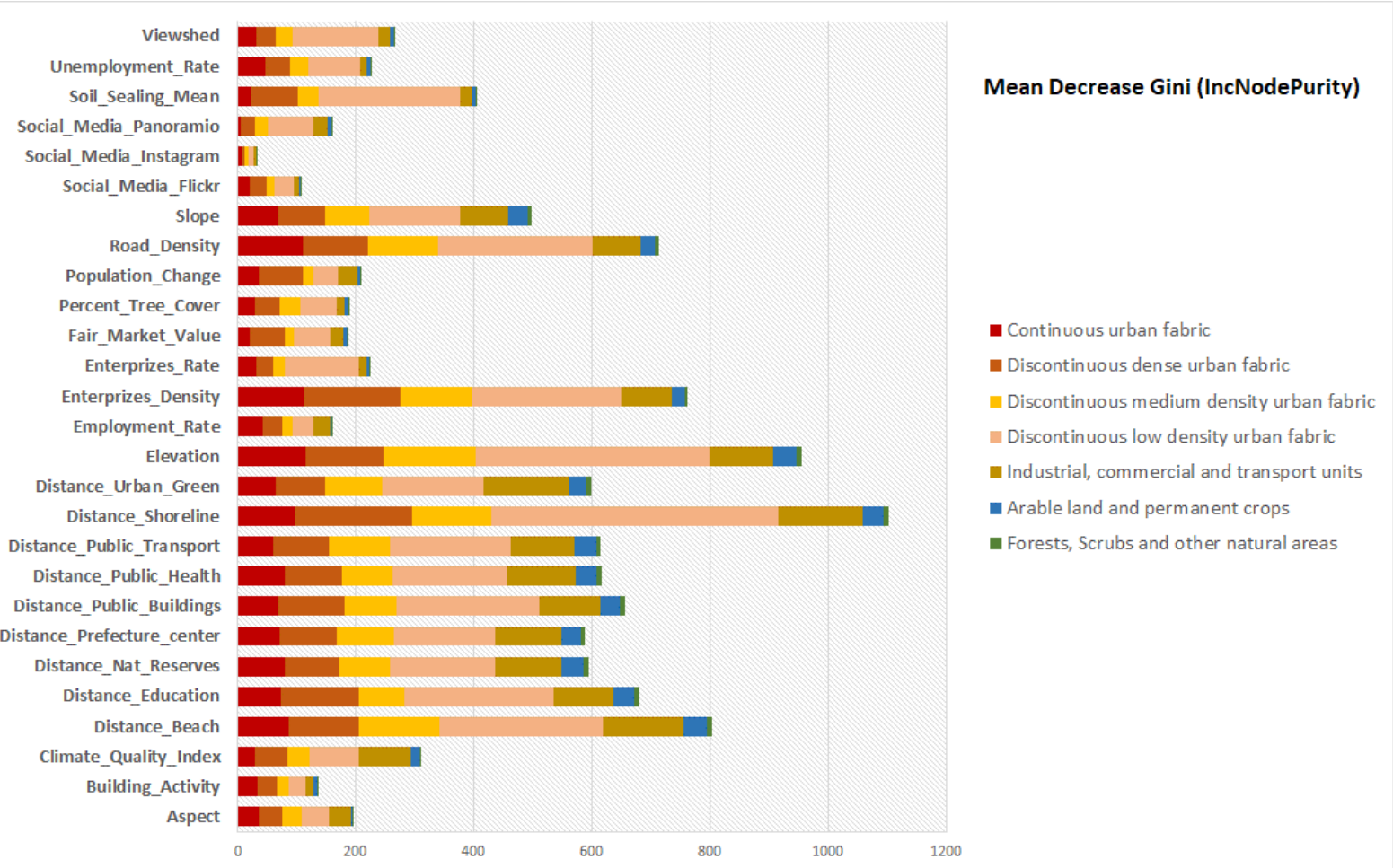
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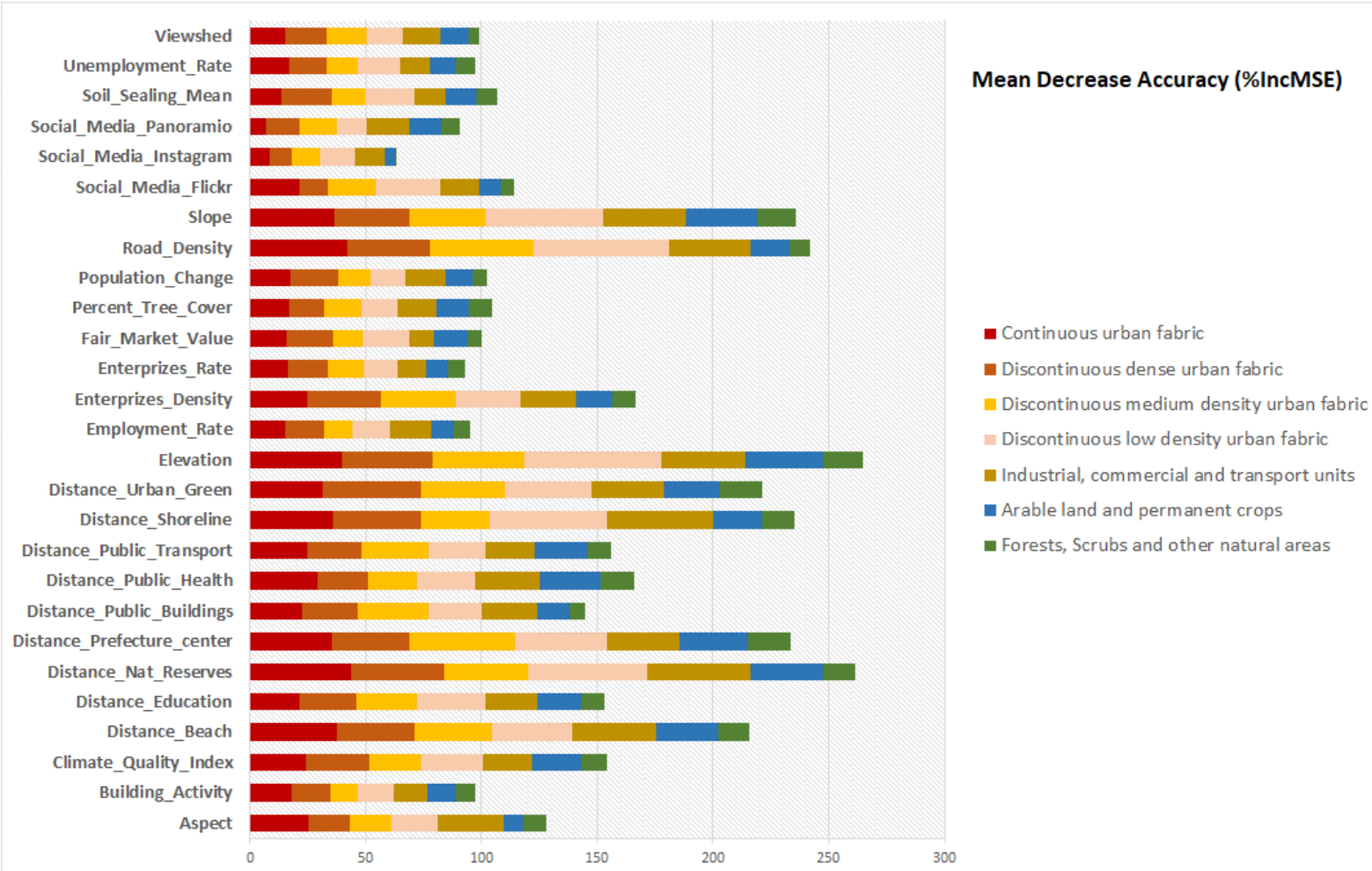
Figure 6



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Figure 7

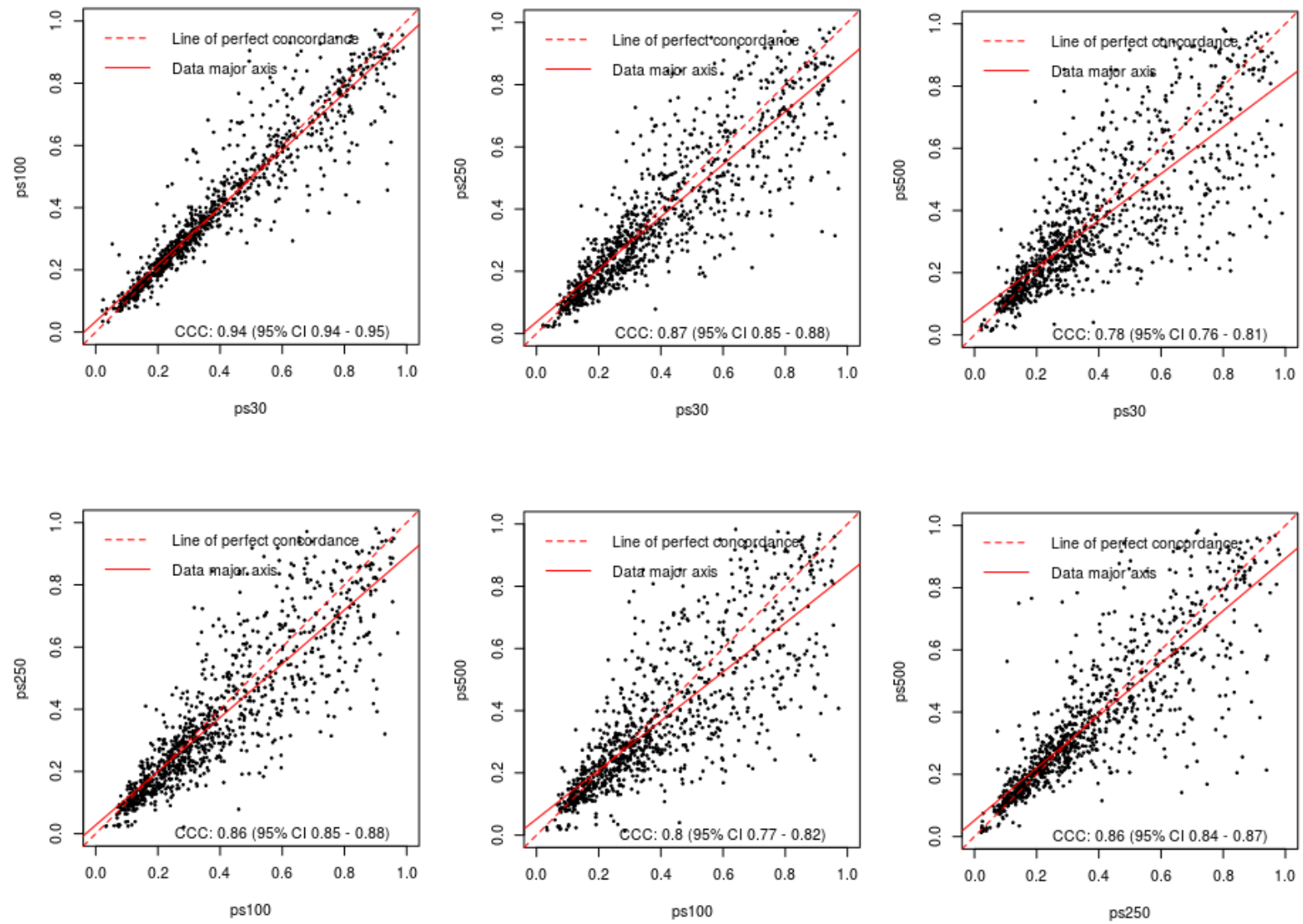




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Figure 8

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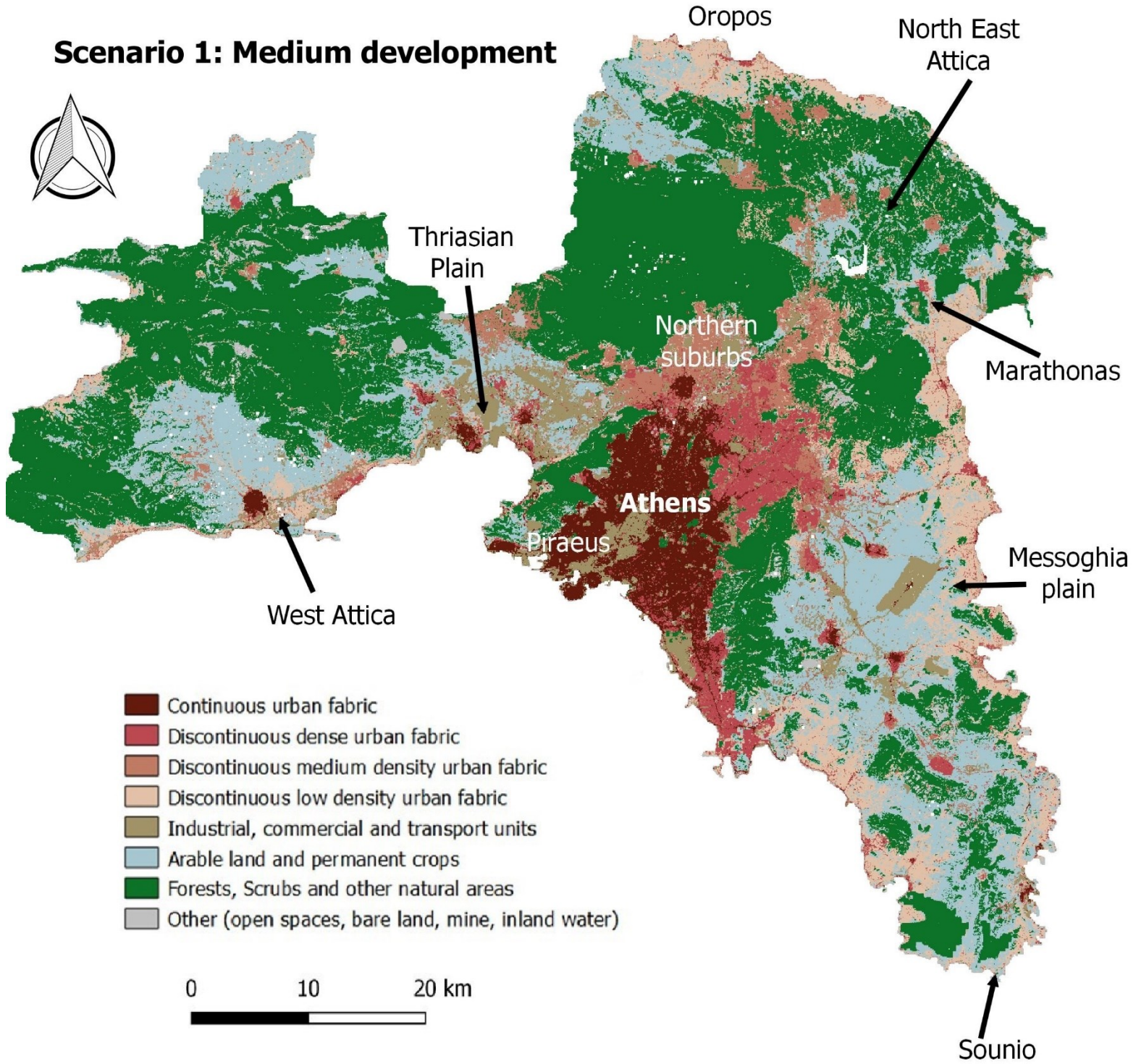
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Figure 9

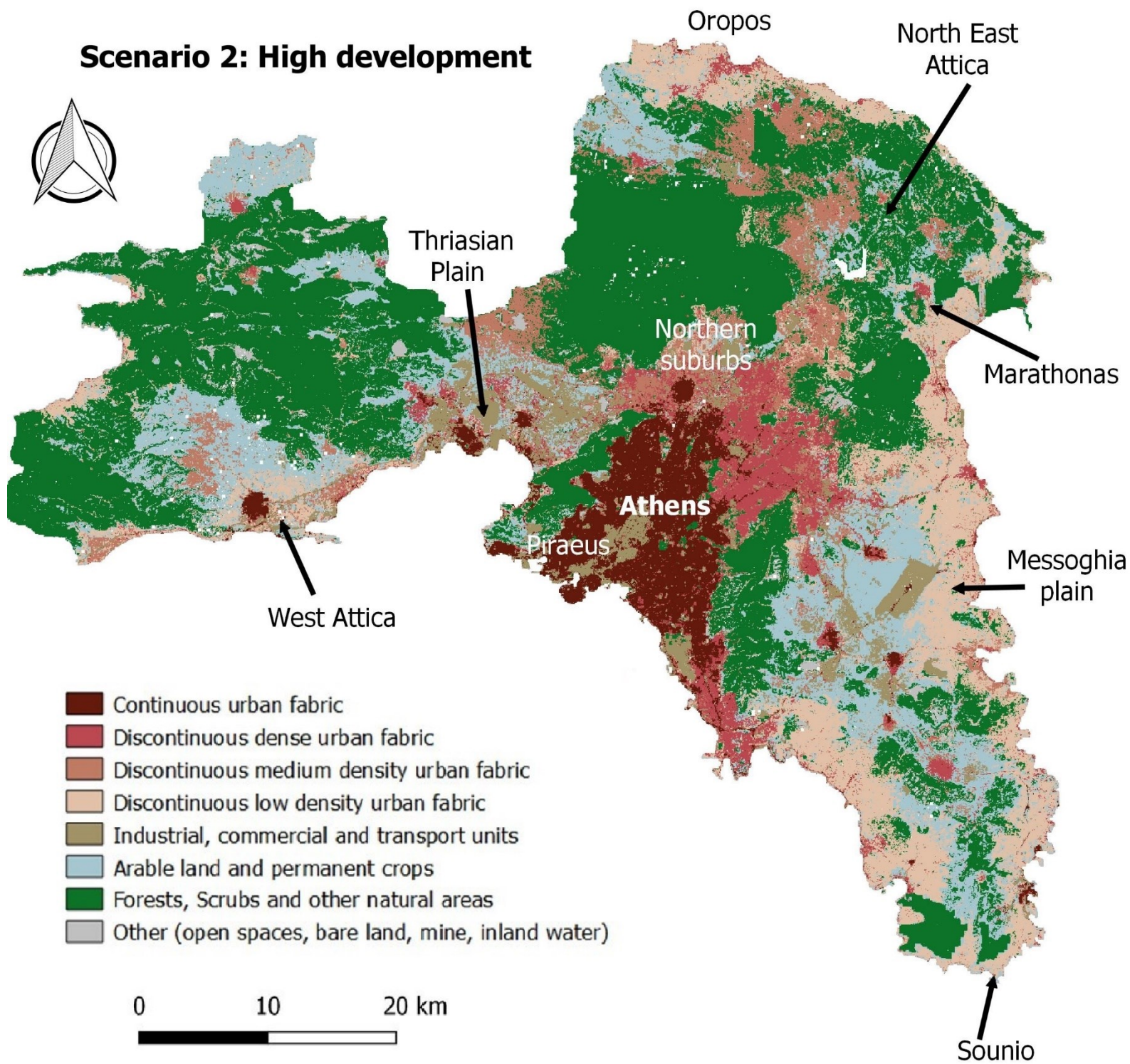
# Scenario 1: Medium development



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Figure 10

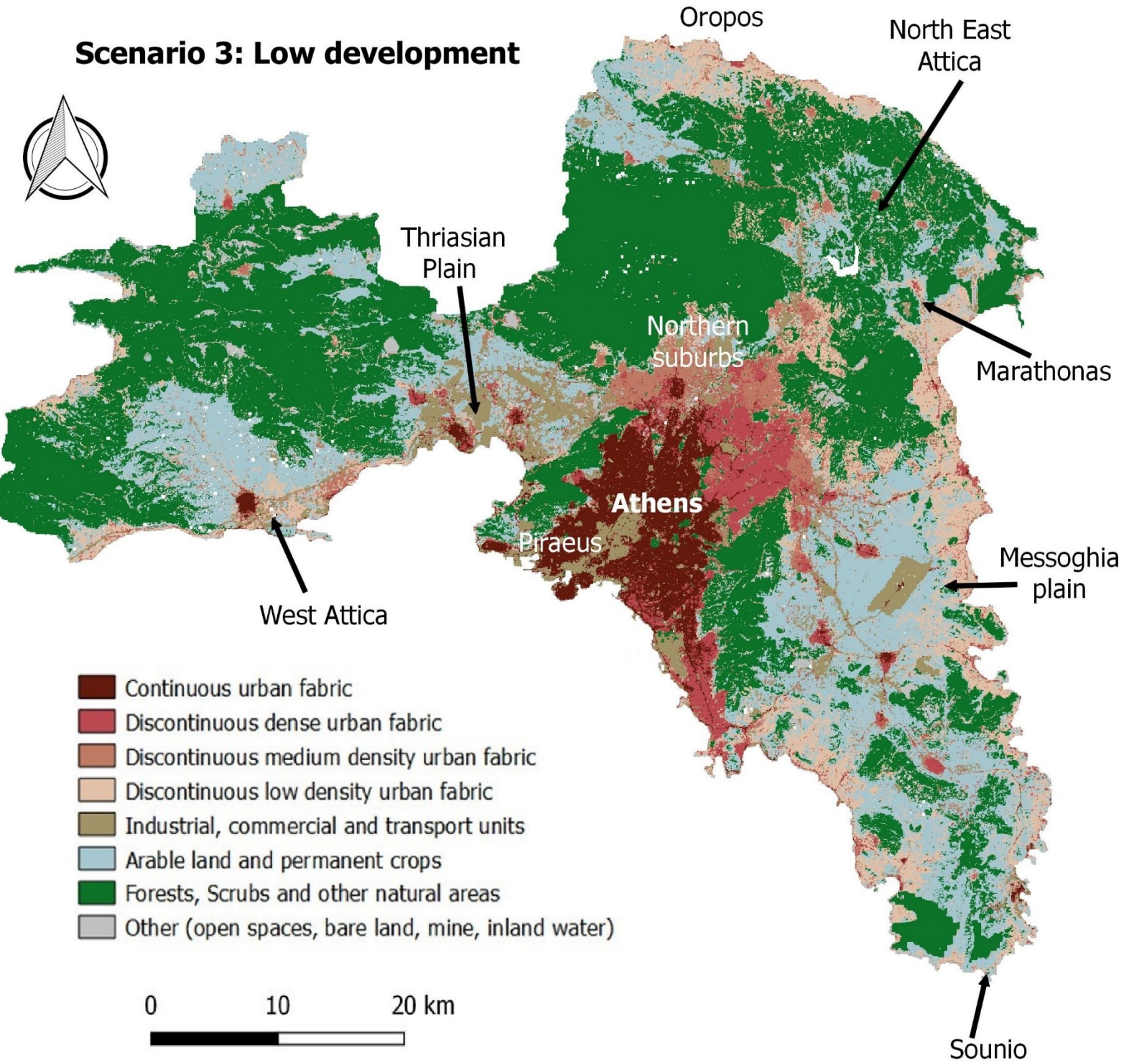
## Scenario 2: High development



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Figure 11

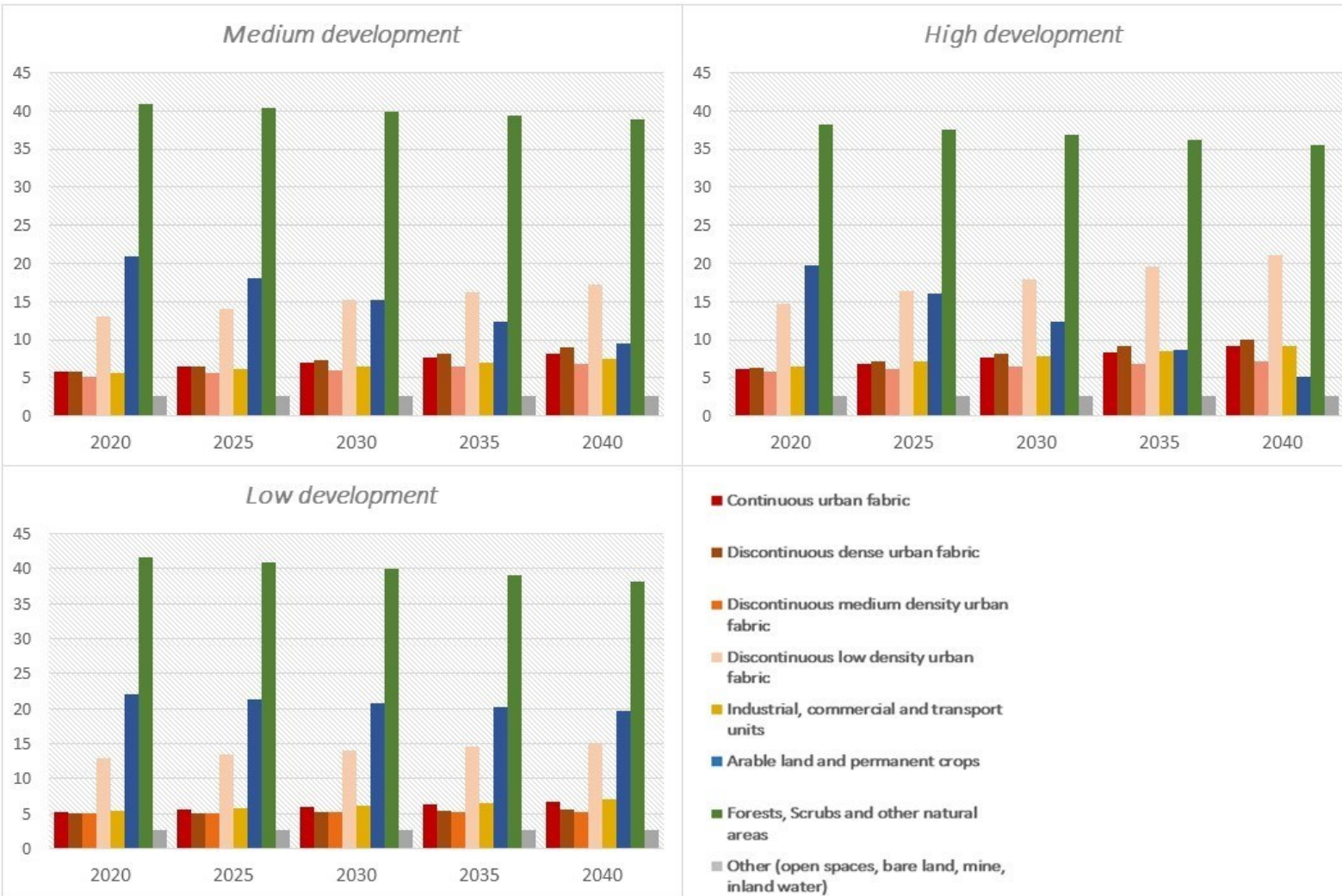
### Scenario 3: Low development



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Figure 12

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Figure 13

1 Table 1

Variable	Discription	Source	Time interval	Spatial resolution
<i>Territorial variables</i>				
Elevation	Elevation in m	GLSDEM*	(-)	30m
Slope	Slope in degrees	GLSDEM	(-)	30m
Aspect	Aspect in degrees	GLSDEM	(-)	30m
Climate Quality	Climate quality index	EEA*	1961-1990	1km
Viewshed	Visibility from residential areas at the parcel level (centroids from UA)	GLSDEM and Urban Atlas*	(-)	30m
Distance from beaches	Euclidean distance from beaches signed with a blue flag in m	Ministry of Environment & Energy*	2010	30m
Distance from the sea	Euclidean distance from the shoreline in m		(-)	30m
<i>Socio-economic variables</i>				
Distance from Education centers	Euclidean distance from public education centers (all levels)	Ministry of Education & OSM*	2010	30m
Distance from public health centers	Euclidean distance from public health centers	Society of Information* & OSM	(-)	30m
Distance from nearest town	Euclidean distance from the center of the nearest town (Markopoulo, Paiania, Koropi, Keratea, Artemida) in m	OSM	(-)	30m
Distance from public buildings	Euclidean distance from public buildings	Society of Information & OSM		30m
Distance from public health	Euclidean distance from public hospitals and other public health care units in m	OSM	(-)	30m
Distance from public transport	Euclidean distance from public transport stops (bus, metro, tram, suburban train) in m	OSM & opendata	(-)	30m
Distance from road network	Euclidean distance from road network in m	OSM	(-)	30m
Demographics	Changes in population density at the municipality level	ELSTAT*	1991-2011	30m
Employment rate	Total number of employed persons per total population at the municipality level	ELSTAT	1991-2011	30m
Unemployment rate	Total number of unemployed persons per total population at the municipality level	ELSTAT	1991-2011	30m
Landscape values Instagram	Landscape values quantified using Instagram data	van Zanten et al. (2016)	2004-2015	1km
Landscape values Flickr	Landscape values quantified using Flickr data	van Zanten et al. (2016)	2004-2015	1km
Landscape values Panoramio	Landscape values quantified using Panoramio data	van Zanten et al. (2016)	2004-2015	1km
<i>Land use</i>				
Distance from green urban areas	Euclidean distance from green urban patches in m	Urban Atlas	2006	30m
Soil Sealing rate	Average soil sealing per municipality	EEA	2006-2012	30m
Tree cover	Average tree cover canopy percentage per municipality	USGS*	2010	30m
Built-up rate	Cumulative total number of new houses built per municipality	ELSTAT	1997-2016	30m
HeatMap of Enterprizes	HeatMap of new enterprizes registered to ACCI	ACCI*	1991-2016	30m
Enterprizes count	Cumulative total number of new enterprizes registered to ACCI per municipality	ACCI	1991-2016	30m
Distance from natural reserves	Euclidean distance from forested patches, areas of high nature value and protected areas in m	Ministry of Environment & Energy & OSM & Natura 2000	(-)	30m

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3 <sup>a</sup> Global Land Survey Digital Elevation Model (GLSDEM) <http://glcf.umd.edu/data/glsdem/>

1 <sup>b</sup> European Environmental Agency. [https://www.eea.europa.eu/data-and-maps/data/indices-of-](https://www.eea.europa.eu/data-and-maps/data/indices-of-climate-soil-and-vegetation-quality-1#tab-metadata)  
2 [climate-soil-and-vegetation-quality-1#tab-metadata](https://www.eea.europa.eu/data-and-maps/data/indices-of-climate-soil-and-vegetation-quality-1#tab-metadata)  
3 <sup>c</sup> European Environmental Agency. Urban Atlas. GMES/Copernicus land monitoring services.  
4 <https://www.eea.europa.eu/data-and-maps/data/urban-atlas>  
5 <sup>d</sup> Ministry of Environment & Energy. [http://geodata.gov.gr/dataset/poioteta-udaton-akton-](http://geodata.gov.gr/dataset/poioteta-udaton-akton-kolumbeses-2013)  
6 [kolumbeses-2013](http://geodata.gov.gr/dataset/poioteta-udaton-akton-kolumbeses-2013)  
7 <sup>e</sup> Open Street Map. <https://www.openstreetmap.org>  
8 <sup>f</sup> Society of Information. <http://geodata.gov.gr/dataset/demosia-kteria>  
9 <sup>g</sup> Hellenic statistical authority. <http://www.statistics.gr/>  
10 <sup>h</sup> van Zanten et al. (2016). PNAS. [http://geoplaza.vu.nl/data/dataset/continental-scale-quantification-](http://geoplaza.vu.nl/data/dataset/continental-scale-quantification-of-landscape-values-using-social-media-data)  
11 [of-landscape-values-using-social-media-data](http://geoplaza.vu.nl/data/dataset/continental-scale-quantification-of-landscape-values-using-social-media-data)  
12 <sup>i</sup> USGS. Global Tree Canopy Cover.  
13 <https://landcover.usgs.gov/glc/TreeCoverDescriptionAndDownloads.php>  
14 <sup>j</sup> Athens chamber of commerce and industry  
15 <http://www.acci.gr/acci/catalogue/search.jsp?context=201>  
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1 Table 2

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<i>From</i>	<i>To</i>	<i>Medium development</i>	<i>High development</i>	<i>Low development</i>
Discontinuous dense urban fabric	Continuous urban fabric	0,319	0,392	0,051
Discontinuous medium density urban fabric	Continuous urban fabric	0,029	0,040	0,005
Discontinuous medium density urban fabric	Discontinuous dense urban fabric	0,356	0,384	0,070
Discontinuous low density urban fabric	Continuous urban fabric	0,001	0,004	0,001
Discontinuous low density urban fabric	Discontinuous dense urban fabric	0,044	0,049	0,008
Discontinuous low density urban fabric	Discontinuous medium density urban fabric	0,383	0,436	0,022
Arable land and permanent crops	Continuous urban fabric	0,001	0,002	0,000
Arable land and permanent crops	Discontinuous dense urban fabric	0,010	0,019	0,001
Arable land and permanent crops	Discontinuous medium density urban fabric	0,026	0,043	0,005
Arable land and permanent crops	Discontinuous low density urban fabric	0,049	0,174	0,055
Arable land and permanent crops	Industrial commercial and transport units	0,018	0,045	0,014
Arable land and permanent crops	Forests Scrubs and other natural areas	0,090	0,099	0,083
Forests Scrubs and other natural areas	Continuous urban fabric	0,000	0,000	0,000
Forests Scrubs and other natural areas	Discontinuous dense urban fabric	0,001	0,002	0,000
Forests Scrubs and other natural areas	Discontinuous medium density urban fabric	0,002	0,004	0,001
Forests Scrubs and other natural areas	Discontinuous low density urban fabric	0,007	0,029	0,002
Forests Scrubs and other natural areas	Industrial commercial and transport units	0,001	0,002	0,001
Forests Scrubs and other natural areas	Arable land and permanent crops	0,060	0,064	0,056

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2 Table 3

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Observed 2016	Simulated 2016							Totals	P.A
	1	2	3	4	5	6	7		
1	1731	148	18	25	11			1933	89,55
2	112	1371	78	33	10			1604	85,47
3	36	77	1293	61	19		1	1487	86,95
4	3	23	99	1420	7	29	3	1584	89,65
5	17	21	11	7	529	12		597	88,61
6		3	9	121	14	957	32	1136	84,24
7		1	2	14	1	36	1004	1058	94,90
Totals	1899	1644	1510	1681	591	1034	1040	9399	
U.A	91,2	83,4	85,6	84,5	89,5	92,6	96,5		
O.A	88,36								

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