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**Statistics of Convective Cloud Turbulence from a Comprehensive Turbulence  
Retrieval Method for Radar Observations**

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1 **Turbulent mixing processes are important in determining the evolution of convective clouds,**  
2 **and the production of convective precipitation. However, the exact nature of these impacts**  
3 **remains uncertain due to limited observations. Model simulations show that assumptions made**  
4 **in parametrising turbulence can have a marked effect on the characteristics of simulated**  
5 **clouds. This leads to significant uncertainty in forecasts from convection-permitting numerical**  
6 **weather prediction (NWP) models. This contribution presents a comprehensive method to**  
7 **retrieve turbulence using Doppler weather radar to investigate turbulence in observed clouds.**  
8 **This method involves isolating the turbulent component of the Doppler velocity spectrum**  
9 **width, expressing turbulence intensity as an eddy dissipation rate,  $\epsilon$ . By applying this method**  
10 **throughout large datasets of observations collected over the southern UK using the (0.28°**  
11 **beam-width) Chilbolton Advanced Meteorological Radar (CAMRa), statistics of convective**

12 **cloud turbulence are presented. Two contrasting case days are examined: a shallow “shower”**  
13 **case, and a “deep convection” case, exhibiting stronger and deeper updrafts. In our**  
14 **observations,  $\varepsilon$  generally ranges from  $10^{-3} - 10^{-1} \text{ m}^2 \text{ s}^{-3}$ , with the largest values found**  
15 **within, around and above convective updrafts. Vertical profiles of  $\varepsilon$  suggest that turbulence is**  
16 **much stronger in deep convection; 95<sup>th</sup> percentile values increase with height from  $0.03 - 0.1$**   
17  **$\text{m}^2 \text{ s}^{-3}$ , compared to approximately constant values of  $0.02 - 0.03 \text{ m}^2 \text{ s}^{-3}$  throughout the depth**  
18 **of shower cloud. In updraft regions on both days, the 95<sup>th</sup> percentile of  $\varepsilon$  has significant ( $p <$**   
19  **$10^{-3}$ ) positive correlations with the updraft velocity, and the horizontal shear in the updraft**  
20 **velocity, with weaker positive correlations with updraft dimensions. The  $\varepsilon$ -retrieval method**  
21 **presented considers a very broad range of conditions, providing a reliable framework for**  
22 **turbulence retrieval using high-resolution Doppler weather radar. In applying this method**  
23 **across many observations, the derived turbulence statistics will form the basis for evaluating**  
24 **the parametrisation of turbulence in NWP models.**

25

26 **Keywords:** Radar; Doppler spectrum width; turbulence; convection; eddy dissipation rate; clouds.

27

### 28 **1 Introduction**

29 The effects of turbulence on the structure and evolution of convective clouds remain unclear in  
30 observations and numerical weather prediction (NWP) models. The turbulent entrainment of dry  
31 environmental air into cumulus clouds has long been known to play an important role in their growth  
32 and decay (Blyth, 1993). The specific location of entrained air can have a varied and substantial  
33 impact on resulting air motions within the cloud (Blyth *et al.*, 1988). Turbulent mixing within clouds  
34 significantly impacts the microphysical processes governing the initiation of convective  
35 precipitation; the presence of turbulence accelerates cloud drop growth through increased rates of  
36 collision and coalescence (Grover and Pruppacher, 1985; Khain and Pinsky, 1995; Vohl *et al.*, 1999;

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37 Falkovic *et al.*, 2002; Pinsky and Khain, 2002). Although there is much evidence for the effects of  
38 turbulence on cloud processes, there remains uncertainty in their precise nature, and the implications  
39 for cloud evolution.

40 In recent years, regional numerical weather prediction (NWP) has improved to sufficient  
41 resolution that it is worthwhile abandoning the parametrisation of deep convective clouds, and,  
42 instead, allowing the unstable growth of explicit convective clouds. However, it is not feasible to  
43 forecast using resolutions sufficient to properly resolve all of the important features of the flow.  
44 Hence such models are known as 'convection-permitting models' (CPMs, Clark *et al.*, 2016). Physical  
45 processes occurring on scales below those resolved in CPMs, such as turbulence, remain  
46 parametrised. CPMs generally adopt mixing-length-based turbulence closure schemes from Large-  
47 Eddy Simulation (LES) models, such as the Smagorinsky-Lilly sub-grid scheme. It is not often clear  
48 whether the assumptions implicit in these schemes (such as the ability of the model to resolve an  
49 inertial sub-range of turbulence) are valid for CPMs, especially when using grid-lengths larger than  
50 100 m. Model simulations show that the configuration of turbulence parametrisations can have a  
51 profound effect on the characteristics of simulated clouds (e.g. Hanley *et al.*, 2015). Until we advance  
52 our understanding of the effects of turbulence in observed clouds, justifiable attempts to evaluate and  
53 improve these parametrisations are difficult to make.

54 To improve our understanding of turbulence in observed clouds for model evaluation,  
55 observations of convective storm turbulence can be made with Doppler weather radar. By isolating  
56 the turbulent component to the Doppler velocity spectrum variance, near-instantaneous observations  
57 of turbulence can be made across large swathes of atmosphere. Turbulence retrieval with weather  
58 radar has clear benefits over using methods such as aircraft or ascent measurements which can only  
59 collect time-series information from single points in space. Radar retrieved fields of turbulence,  
60 expressed for convenience in terms of the dissipation rate of turbulent kinetic energy,  $\epsilon$ , can be used  
61 to investigate relationships with storm strength and structure in a statistical sense for model  
62 evaluation.

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63 The accuracy to which  $\varepsilon$  can be derived using the Doppler variance method is dependent on the  
64 accurate removal of variances associated with processes aside from inertial sub-range turbulence.  
65 Due to this somewhat indirect approach, evaluation of the Doppler variance method has previously  
66 been necessary through comparison of  $\varepsilon$  estimates with in situ measurements and other radar retrieval  
67 techniques. Using Doppler weather radar, Labitt (1981) and Meischner *et al.* (2001) demonstrated  
68 good agreement between  $\varepsilon$  derived from the Doppler variance method when compared with co-  
69 ordinated aircraft measurements in convective storms. Brewster and Zrnich (1986) found a high level  
70 of agreement between  $\varepsilon$  from Doppler variance and  $\varepsilon$  estimated from the “spatial spectra” method –  
71 a method which involves taking the Fourier transform of a dataset of Doppler velocity measurements  
72 sampled either along a single ray at a given time, or at a fixed range gate over a period of time.  
73 Bouniol *et al.* (2003) performed a similar evaluation of the Doppler variance method using the spatial  
74 spectra method with a vertically-pointing Doppler cloud radar. Point-for-point comparison of  $\varepsilon$  from  
75 the two methods showed a high level of agreement, especially for larger values. They concluded that  
76 the Doppler variance provides a reliable estimate of  $\varepsilon$ . The spatial spectra method itself has been  
77 evaluated by Shupe *et al.* (2012), who analysed Doppler velocity time series sampled with a  
78 vertically-pointing cloud radar in stratocumulus clouds. They found  $\varepsilon$  estimates from spatial spectra  
79 to correspond well with aircraft and sonic anemometer measurements. Albrecht *et al.* (2016)  
80 examined cloud-top entrainment processes in non-precipitating stratocumulus using vertically-  
81 pointing Doppler cloud radar. In this study, estimates of  $\varepsilon$  were derived using both the Doppler  
82 spectrum variance and the Doppler velocity power spectrum (Fang *et al.*, 2014), with good agreement  
83 found between the two methods. Methods to retrieve  $\varepsilon$  at vertical incidence in (precipitating and non-  
84 precipitating) stratocumulus using Doppler cloud radar are not well suited to retrieve  $\varepsilon$  with scanning  
85 Doppler weather radar in precipitating convective clouds; as pertains to this study.

86 For scanning Doppler weather radar, the most significant contributor to Doppler variance aside  
87 from turbulence is generally shear of the radial wind across the three dimensions of the beam (see  
88 Section 5), which requires careful separation from turbulence before estimates of  $\varepsilon$  can be made.

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89 Melnikov and Doviak (2009) present a detailed method to retrieve  $\varepsilon$  from the Doppler spectrum in  
90 vertical cross-sections through stratiform precipitation collected using an S-band Doppler weather  
91 radar. In their study, the radial and elevation shear components are calculated by least-squares fitting  
92 contiguous Doppler velocity measurements separately in each direction. A similar, though more  
93 sophisticated technique is applied in Section 5.3 to use linear regression to fit a 2-D linear velocity  
94 surface model (Neter and Wasserman, 1974) to Doppler velocities to evaluate shear over a spatial  
95 scale that we can specify and fix, guided by estimates of the inertial sub-range outer-scale (see Section  
96 5.2). Using this method, we have been able to test the sensitivity of retrieved  $\varepsilon$  to the scale over which  
97 shear is calculated and removed (Section 5.5).

98 Melnikov and Doviak (2009) calculated the azimuthal (transverse) shear from velocity gradients  
99 between two adjacent scans separated by  $2^\circ$ . They found variances from azimuthal shear to be small  
100 compared to elevation shears in stratiform clouds. However, stronger horizontal shears are likely to  
101 be found in the convective clouds analysed in this application; in particular, along the edges of  
102 updrafts (e.g. Istok and Doviak, 1986). In the present application, our radar data includes one scan  
103 performed through one azimuth per cloud. Consequently, we have developed new methods to  
104 estimate the azimuthal shear component from the radial shear alone (Section 5.4), allowing for its  
105 variance contribution to be estimated when adjacent scans are not available.

106 Generally, past studies focus on single storm cases when using radar methods to investigate  
107 convective storm turbulence (e.g. Brewster and Zrnic, 1986; Istok and Doviak, 1986). Often, the  
108 contributions to the Doppler spectrum width from mechanisms aside from turbulence are either  
109 purely assumed to be negligible, or are shown to be negligible only for the purpose of the application.  
110 As a result, a comprehensive method to retrieve  $\varepsilon$  from radar fields under a wide range of conditions,  
111 and the statistical assessment of  $\varepsilon$  that such a method permits, have not been presented. In developing  
112 this comprehensive approach, comparison is made with the more limited approaches that have  
113 appeared in the literature.

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114 In Sections 2 – 5 of this paper, we present methods to accurately determine  $\varepsilon$  from radar fields.  
115 This includes a summary of the conditions under which certain terms in the Doppler spectrum width  
116 equation can be neglected, and detailed methods for their calculation when they cannot. By applying  
117 this method across a dataset of radar observations, we have performed a statistical assessment of  $\varepsilon$  in  
118 convective storms; this is presented in Section 6.

119

## 120 **2 Data and Methods**

### 121 *2.1 DYMECS – Radar observations with CAMRa*

122 This investigation follows on from the Dynamical and Microphysical Evolution of Convective  
123 Storms (DYMECS) project (Stein *et al.*, 2014). The primary objective of DYMECS is to apply a  
124 statistical approach to investigate the dynamics, morphology and evolution of convective storms over  
125 southern England, both in radar observations and in high-resolution Met Office Unified Model  
126 (MetUM) simulations. An innovative track-and-scan method was used to obtain radar observations  
127 of hundreds of convective storms in 2011-2012. These were collected using the Chilbolton Advanced  
128 Meteorological Radar (CAMRa) located at the Chilbolton Observatory in Hampshire, UK. CAMRa  
129 is a 3 GHz (S-band) Doppler weather radar with dual-polarisation capability. The 25-m diameter  
130 antenna provides an angular beam-width of  $0.28^\circ$ . The narrow beam provides elevation,  $\theta$  and  
131 azimuthal,  $\varphi$  resolutions of 100 m at 20 km range, and 500 m at 100 km range. In the radial direction,  
132 the pulse has a length of 75 m, however, this is averaged to 300 m in our observations.

133 Observations were collected by scanning with CAMRa in two modes: elevation scanning with  
134 RHIs (range-height indicator) and azimuthal scanning with PPIs (plan-position indicator). By  
135 alternating between these two modes, detailed observations of hundreds of convective storms were  
136 collected on 40 days between July 2011 and August 2012. These observations have been compared



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137 with MetUM simulations to characterise storm morphology (Stein *et al.*, 2014) and convective  
138 updraft characteristics (Nicol *et al.*, 2015) in model and observations.

139 In Section 6, turbulence retrievals are analysed with corresponding fields of vertical velocity  
140 retrieved by Nicol *et al.* (2015) for DYMECS observations made on 20 April 2012 and 25 August  
141 2012. These updraft velocities were estimated from the Doppler velocity by vertically integrating  
142 local changes in horizontal convergence under the assumption of flow continuity, accounting for the  
143 changes in density with height. The use of horizontal convergence to estimate vertical velocity  
144 removes the need to consider corrections for hydrometeor fall-speeds. The method required a zero-  
145 velocity boundary condition, either at the surface or cloud echo top. A weighted combination of  
146 velocity derived under both conditions was developed to minimise the vertical propagation of errors.  
147 In using only single-Doppler measurements, the omission of convergence in the direction  
148 perpendicular to the scanning plane led to a consistent under-estimation of the vertical velocity. To  
149 correct for this under-estimation, the suitable scaling for the vertical velocity was estimated from  
150 500-m grid-length simulations of the MetUM for each case. These were made under assumptions that  
151 the simulated three-dimensional wind flows were suitably realistic and that the range of observed  
152 vertical velocities was represented in the model. The uncertainty in retrieved updraft velocities was  
153 estimated through point-for-point comparison of the scaled retrievals with model updrafts. For  
154 updraft velocities larger than  $1 \text{ m s}^{-1}$  (as analysed in this study), a root-mean-square difference of  
155  $2.5 \text{ m s}^{-1}$  was found. It is likely that this uncertainty introduces scatter into the relationships between  
156  $\varepsilon$  and characteristics of updraft velocity presented in Section 6, resulting in weaker measured  
157 correlations than may exist between  $\varepsilon$  and the true updraft strength.

158

### 159 2.2 Dissipation rates from CAMRa

160 Doppler weather radar, such as CAMRa, can be used to infer characteristics of atmospheric  
161 turbulence from observations of the radial velocity field. The mean Doppler velocity  $\bar{v}$ , is the

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162 reflectivity-weighted average of radial point velocities found within a resolution volume (the volume  
 163 of atmosphere observed by a single radar pulse,  $V_6$ ). The Doppler spectrum variance  $\sigma_v^2$ , estimated  
 164 by CAMRa, is the variance in the velocity of reflectors within  $V_6$ . Therefore,  $\sigma_v^2$  includes velocity  
 165 variance due to the turbulent motion of hydrometeors, among contributions from several other  
 166 mechanisms. We assume that  $\sigma_v^2$  can be decomposed into a sum of statistically independent variance  
 167 contributions (Doviak and Zrnica, 1984).

$$168 \quad \sigma_v^2 = \sigma_s^2 + \sigma_t^2 + \sigma_{TV}^2 + \sigma_\alpha^2 + \sigma_o^2 \quad (1)$$

169 Where  $\sigma_v^2$  has contributions primarily from radial wind shear across the sample volume  $\sigma_s^2$ ,  
 170 turbulence  $\sigma_t^2$ , the distribution of hydrometeor fall-velocities  $\sigma_{TV}^2$ , antenna rotation  $\sigma_\alpha^2$ , and  
 171 hydrometeor oscillations  $\sigma_o^2$ .

172 Using the theoretical framework presented by Frisch and Clifford (1974), we can calculate the  
 173 eddy dissipation rate,  $\varepsilon$  from  $\sigma_t^2$ . Details of turbulent motion cannot be directly measured from  $\sigma_v^2$ .  
 174 We can only infer  $\sigma_t^2$  from  $\sigma_v^2$  by accounting for all other variance contributions in (1), either by  
 175 subtracting their variance from  $\sigma_v^2$ , or by demonstrating that they are negligibly small compared to  
 176  $\sigma_t^2$ .

177 The eddy dissipation rate is the rate of energy transfer through the inertial sub-range of isotropic  
 178 turbulence. For calculations of  $\varepsilon$  to be accurate,  $\sigma_t^2$  must consist only of velocity variance due to  
 179 eddies with a spatial scale less than the largest scale of the inertial sub-range,  $\Lambda_0$ . Ensuring this  
 180 involves the careful separation of shear and turbulence, which is summarised in Section 5.

181 Once  $\sigma_t^2$  has been determined,  $\varepsilon$  can be estimated from,

$$182 \quad \varepsilon \approx \frac{1}{\alpha} \left[ \frac{\sigma_t^2}{1.35A \left(1 - \frac{\gamma^2}{15}\right)} \right]^{\frac{3}{2}} \quad (2a)$$

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$$\varepsilon \approx \frac{1}{\beta} \left[ \frac{\sigma_t^2}{1.35A \left(1 + \frac{\xi^2}{15}\right)} \right]^{\frac{3}{2}} \quad (2b)$$

184 Where  $\alpha$  (in metres) is the angular standard deviation of the two-way Gaussian beam pattern in the  
 185 transverse (or elevation) direction (see  $\sigma_2$  in Appendix S1), multiplied by the range from the radar.  $\beta$   
 186 is the standard deviation of the pulse in the radial dimension (assumed uniform; for CAMRa  $\beta =$   
 187 26.25m). From this,  $\gamma^2 = 1 - \left(\frac{\beta}{\alpha}\right)^2$  and  $\xi^2 = 1 - \left(\frac{\alpha}{\beta}\right)^2$ , and  $A$  is the universal constant of inertial  
 188 sub-range turbulence, with a value of 1.6.

189 If  $\alpha > \beta$ , then (2a) is used, with (2b) to be used if  $\alpha < \beta$ . This distinction has often been ignored  
 190 in past studies, which typically employ a simplified version of (2a) to determine  $\varepsilon$  (as stated in Doviak  
 191 and Zrnic (1984)). For CAMRa,  $\alpha > \beta$  at all ranges further than 17.9 km from the radar, so a similar  
 192 approximation could be used. However, the application of (2a) and (2b) is straight-forward and any  
 193 further approximation should be unnecessary.

194 Values of  $\sigma_v^2$  generally range from 1 – 25  $\text{m}^2 \text{s}^{-2}$  in our observations. In reality, the negligibility  
 195 of terms in (1) depends on their value relative to  $\sigma_t^2$ , and as a result, no fixed variance value will  
 196 always be negligibly small. Assuming that turbulence is only significant when  $\sigma_t^2 > 5 \text{ m}^2 \text{ s}^{-2}$  (this  
 197 translates to  $\varepsilon > 0.03 \text{ m}^2 \text{ s}^{-3}$  when  $\alpha = \beta$ ), we choose a negligibility threshold  $\sigma_{\text{neg}}^2$ , of  $0.5 \text{ m}^2 \text{ s}^{-2}$   
 198 for the purpose of this application. Whereby, variance contributions that are less than  $\sigma_{\text{neg}}^2$  can be  
 199 neglected. We can test the impact of this selection on  $\varepsilon$  by determining the maximum combined  
 200 variance of terms we may neglect. The variance contribution from  $\sigma_\alpha^2$  is small enough to be ignored  
 201 completely ( $\sigma_\alpha^2 < 0.01 \text{ m}^2 \text{ s}^{-2}$ , see Section 4). We can calculate  $\sigma_s^2$  directly (Section 5), so no  
 202 element of this contribution is neglected, regardless of value compared to  $\sigma_{\text{neg}}^2$ . However,  
 203 contributions from  $\sigma_{\text{TV}}^2$  and  $\sigma_o^2$  are not simple to measure directly in our observations. Contributions  
 204 from  $\sigma_{\text{TV}}^2$  can be larger than  $\sigma_{\text{neg}}^2$  for rain and hail (Section 3), while  $\sigma_o^2$  is generally less than  
 205  $0.25 \text{ m}^2 \text{ s}^{-2}$  (Section 4). A maximum error would be incurred in  $\sigma_t^2$  of  $0.75 \text{ m}^2 \text{ s}^{-2}$  when neglecting

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206  $\sigma_{TV}^2$  at  $0.5 \text{ m}^2 \text{ s}^{-2}$  (in the extreme case that hail or heavy rain is observed very close to the radar) and  
207  $\sigma_o^2$  at  $0.25 \text{ m}^2 \text{ s}^{-2}$ . If  $\sigma_t^2 = 5 \text{ m}^2 \text{ s}^{-2}$ , this would translate to a 21.6% positive error in  $\varepsilon$ . The error  
208 decreases as turbulence becomes more significant, to only 4.5% when  $\sigma_t^2 = 25 \text{ m}^2 \text{ s}^{-2}$ , and is  
209 independent of the range of the  $\sigma_t^2$  observation.

210 The range of  $\varepsilon$  values we can estimate using the Doppler spectrum width technique is determined  
211 from the range of  $\sigma_v^2$  values we can observe. This is related to the maximum ambiguous velocity  
212 interval (Nyquist velocity) of the radar. Keeler and Passarelli (1990) state that reliable measurements  
213 of the Doppler spectrum width can only be made between 0.02 – 0.2 of the Nyquist interval. CAMRa  
214 has a Nyquist interval of  $30 \text{ m s}^{-1}$ , so we can only reliably observe  $\sigma_v$  between  $0.6 - 6 \text{ m s}^{-1}$ ,  
215 corresponding to  $\sigma_v^2$  of  $0.36 - 36 \text{ m}^2 \text{ s}^{-2}$ . In the case where  $\sigma_t^2 = \sigma_v^2$ , we can determine the maximum  
216 detectable range in  $\varepsilon$  from using this method with CAMRa. If observing such a range in  $\sigma_t^2$  at a range  
217 of 50 km, (the typical range of our storm observations), this would correspond to a maximum  
218 detectable range in  $\varepsilon$  of  $10^{-3} - 1 \text{ m}^2 \text{ s}^{-2}$ .

219 The following three sections outline methods to assess the contribution of the non-turbulent terms  
220 in (1). By either calculating these terms directly, or showing that they are negligibly small compared  
221 to  $\sigma_t^2$ , we can remove them from  $\sigma_v^2$ . This allows us to find  $\sigma_t^2$  as a residual velocity variance, and  
222 then convert this to  $\varepsilon$  using (2a) and (2b).

223

### 224 **3 Doppler variance due to a distribution of hydrometeor fall velocities, $\sigma_{TV}^2$**

#### 225 *3.1 Theoretical framework and derivation of spectral variance equations*

226 In a given sample volume  $V_6$ , the presence of a distribution of hydrometeor diameters will lead to  
227 a distribution of hydrometeor fall velocities. In the circumstance where the radar beam is not  
228 perpendicular to hydrometeor velocity, this broadens the Doppler velocity spectrum. The observed  
229 variance contribution,  $\sigma_{TV}^2$  in (1), is at its maximum for a vertically pointing radar beam and decreases

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230 with angle from zenith. Values of  $\sigma_v^2$  include the total variance of hydrometeor velocity within the  
231 pulse volume. According to (1), the variance in hydrometeor velocity from a fall-speed distribution  
232 ( $\sigma_{TV}^2$ ) is statistically independent from the variance in hydrometeor velocity resulting from air  
233 motions within the cloud (included in  $\sigma_s^2$  and  $\sigma_t^2$ ). Consequently, we require no assumptions  
234 regarding the vertical motion of air within the cloud when estimating  $\sigma_{TV}^2$ .

235 Previous studies to estimate turbulence characteristics from Doppler velocity spectra typically  
236 assume  $\sigma_{TV}^2$  to be negligible (e.g. Frisch and Clifford, 1974; Chapman and Browning, 2001;  
237 Meischner *et al.*, 2001; Melnikov and Doviak (2009)) unless observations were made at vertical  
238 incidence (Brewster and Zrnic, 1986). The expected variance due to  $\sigma_{TV}^2$  is reduced significantly by  
239 scanning at lower elevations (often the reason  $\sigma_{TV}^2$  is assumed negligible), however, this does not  
240 ensure the contribution is always negligibly small. Melnikov and Doviak (2009) neglected variance  
241 contributions from  $\sigma_{TV}^2$  purely by assuming they remained below  $0.2 \text{ m}^2 \text{ s}^{-2}$  when scanning at  
242 elevations below  $20^\circ$  through stratiform precipitation. However, results presented in Section 3.2  
243 suggest  $\sigma_{TV}^2$  from raindrops can reach  $1 \text{ m}^2 \text{ s}^{-2}$  when scanning at  $20^\circ$ , though this remains dependent  
244 on radar reflectivity. The objectives of this section are to: provide a means to estimate  $\sigma_{TV}^2$  when  
245 required, provide justification when neglecting  $\sigma_{TV}^2$  contributions (showing that  $\sigma_{TV}^2 < \sigma_{neg}^2$ ), and  
246 inform how future scanning strategies for turbulence retrieval can be tailored to ensure  $\sigma_{TV}^2$  is always  
247 negligible.

248 For application to RHI radar observations, we classify two hydrometeor types based on the height  
249 of the  $0^\circ\text{C}$  isotherm,  $z_{0^\circ\text{C}}$ , which is estimated from the location of bright-band radar reflectivity in  
250 our observations. Though  $z_{0^\circ\text{C}}$  varies for different DYMECS case days, the average height is  $\sim 1.5$   
251 km. For simplicity, we assume any reflectivity returned from below this level is due to liquid  
252 raindrops, and any reflectivity from above is due to ice aggregates. By making this simple distinction,  
253 we can estimate  $\sigma_{TV}^2$  in all areas of an RHI scanning domain. In addition to aggregates and raindrops,  
254 graupel and hail are also important hydrometeor types, especially in convective clouds. We therefore

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255 extend our analysis to assess the impact of hail, and consider the effects of graupel (treated as low-  
 256 density hailstones) in Section 3.3.

257 We assume the reflectivity for a given  $V_6$  is dominated by one hydrometeor type, and that  
 258 hydrometeors are falling vertically downwards at terminal velocity relative to the vertical air motions  
 259 within the cloud. We assume hailstones are dry and are of solid ice with homogeneous density. For  
 260 hydrometeor mass calculations, we assume that both raindrops and hailstones are spherical.

261 To estimate the relative size of  $\sigma_{TV}^2$  when compared to  $\sigma_v^2$ , we can characterise  $\sigma_{TV}^2$  as the variance  
 262 of the reflectivity-weighted mean fall velocity in  $V_6$  as,

$$263 \quad \sigma_{TV_j}^2 = \overline{W_j^2} - \bar{W}_j^2, \quad (3)$$

264 where  $\sigma_{TV_j}^2$  has units  $\text{m}^2\text{s}^{-2}$ ,  $W$  is the reflectivity-weighted hydrometeor fall velocity, and  $j$  refers  
 265 to the hydrometeor type. We estimate  $\overline{W_j^2}$  and  $\bar{W}_j^2$  by evaluating the following integrals,

$$266 \quad \overline{W_j^2} = \frac{\int_0^\infty V_j(D)^2 M_j(D)^2 n_j(D) dD}{\int_0^\infty M_j(D)^2 n_j(D) dD}, \quad (4)$$

$$267 \quad \bar{W}_j^2 = \left( \frac{\int_0^\infty V_j(D) M_j(D)^2 n_j(D) dD}{\int_0^\infty M_j(D)^2 n_j(D) dD} \right)^2, \quad (5)$$

268 where  $V_j(D)$ ,  $M_j(D)$  and  $n_j(D)$  are terminal velocity-diameter, mass-diameter and particle-size  
 269 distribution (DSD) relationships for hydrometeor  $j$ , respectively, and  $D$  is the hydrometeor diameter  
 270 in metres.

271 In (4) and (5), we assume that particle reflectivity is proportional to  $M_j(D)^2$ . We are in the  
 272 Rayleigh scattering regime, and hence this is a reasonable assumption for a 3 GHz radar. The integral  
 273  $R_j \int_0^\infty M_j(D)^2 n_j(D) dD$  provides the radar reflectivity in  $\text{mm}^6 \text{m}^{-3}$ . The term  $R_j$  is cancelled out in  
 274 (4) and (5), but is given by,

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$$R_j = 10^{18} \frac{|K_j|^2}{|K_{\text{water}}|^2} \left( \frac{6}{\pi \rho_j} \right)^2, \quad (6)$$

where  $|K_j|^2$  and  $\rho_j$  are the dielectric factor and density of hydrometeor  $j$ .

Terminal velocity-diameter relationships are commonly expressed as simple power laws,

$$V_j(D) = p_j D^{q_j}, \quad (7)$$

where  $V$  is the fall velocity and  $D$  is the drop diameter. For ice aggregates,  $D$  is the melted diameter.

Values of  $p$  and  $q$  for raindrops, ice aggregates and hailstones are taken from Atlas and Ulbricht (1977), Gunn and Marshall (1958) and Cheng and English (1982), respectively. These have been converted into S. I. units (See Table 1).

The hydrometeor mass  $M$ , as a function of particle diameter  $D$ , can be expressed in the form,

$$M_j(D) = a_j D^{b_j} \quad (8)$$

where  $M$  and  $D$  are in S. I. units.

We assume that the DSD of each hydrometeor class can be approximated by an exponential distribution of form given by Marshall and Palmer (1948).

$$n_j(D) = N_{0_j} \exp(-\lambda_j D) \quad (9)$$

Where  $N_{0_j}$  and  $\lambda_j$  are the intercept ( $n_j(D = 0)$ ) and slope parameters, respectively, for hydrometeor type  $j$ . We consider this a suitable approximation; spectral broadening owing to a distribution in fall velocity has been shown to be nearly independent of the precise shape of the size distribution (Lhermitte, 1963).

For rain and ice aggregates, values of  $\rho$ ,  $|K|^2$ ,  $a$ ,  $b$  and  $N_0$ , are sourced from the UK Met Office

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294 Unified Model microphysics scheme, as summarised in Stein *et al.* (2014) (See Table 1). For hail,  
 295 we use an  $N_0$  of  $1.2 \times 10^4 \text{ m}^{-4}$  taken from Waldvogel *et al.* (1978). The sensitivity of  $\sigma_{\text{TV}j}^2$  to  $N_{0j}$   
 296 is discussed in Section 3.3.

297 To evaluate (3), we first substitute (7) – (9) into (4) and (5) using values from Table 1. By using  
 298 a gamma function solution for the integrals in (3) we derive expressions for Doppler spectral variance  
 299 contribution for the three hydrometeor varieties. At this point, they are functions only of DSD  
 300 parameter,  $\lambda_j$ . Stein *et al.* (2014) provide an expression relating  $\lambda_j$  to radar reflectivity,  $Z_j$ ,

$$301 \quad \lambda_j = \left( \frac{R_j a_j^2 N_{0j} \Gamma(1 + 2b_j)}{Z_j} \right)^{\frac{1}{1+2b_j}}, \quad (10)$$

302 where  $Z_j$  is the radar reflectivity of hydrometeor  $j$  and has linear units of  $\text{mm}^6 \text{ m}^{-3}$ .

303 Substituting (10) into the  $\sigma_{\text{TV}j}^2(\lambda_j)$  expressions and simplifying using values from Table 1,  
 304 produces spectral variance equations for rain, ice aggregates and hail,

$$305 \quad \sigma_{\text{TVrain}}^2 = 0.62 Z^{0.191} \sin^2 \theta_{\text{el}}, \quad (11)$$

$$306 \quad \sigma_{\text{TVagg}}^2 = 0.029 Z^{0.119} \sin^2 \theta_{\text{el}}, \quad (12)$$

$$307 \quad \sigma_{\text{TVhail}}^2 = 1.7 Z^{0.143} \sin^2 \theta_{\text{el}}, \quad (13)$$

308 Where  $Z$  is in  $\text{mm}^6 \text{ m}^{-3}$ ,  $\sigma_{\text{TV}j}^2$  has units of  $\text{m}^2 \text{ s}^{-2}$  and  $\theta_{\text{el}}$  is the elevation angle of the reflectivity  
 309 observation measured from the surface. Together, these expressions can be used to estimate the  
 310 Doppler variance contribution due to the distribution of hydrometeor fall speeds in  $V_6$ .

311

### 312 3.2 Analysis of $\sigma_{\text{TV}j}^2$

313 Reflectivity measurements in our observations with CAMRa are generally no less than -20 dBZ  
 314 (the minimum detectable echo at 10 km range), and no more than 60 dBZ. In our application, we



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315 therefore classify  $\sigma_{TV_j}^2(Z_j = -20 \text{ dBZ})$  and  $\sigma_{TV_j}^2(Z_j = 60 \text{ dBZ})$  as the minimum and maximum  
316 potential variances we encounter from each hydrometeor type.

317 Equations (11) – (13) show that  $\sigma_{TV_j}^2$  increases with radar reflectivity and elevation angle of  
318 observation. Assuming a vertically pointing radar beam, and given  $Z_j$  in the range of -20 dBZ to 60  
319 dBZ,  $\sigma_{TV_{\text{rain}}}^2$  increases from 0.26 to 8.62  $\text{m}^2 \text{s}^{-2}$ ,  $\sigma_{TV_{\text{agg}}}^2$  from 0.02 to 0.15  $\text{m}^2 \text{s}^{-2}$  and  $\sigma_{TV_{\text{hail}}}^2$  from  
320 0.90 to 12.53  $\text{m}^2 \text{s}^{-2}$ . For DYMECS observations, RHIs scanned at a maximum elevation angle of  
321  $15^\circ$ . Figure 1a displays (11) – (13) for a vertically pointing beam (black lines) and for  $15^\circ$  elevation  
322 (grey lines). Compared with a vertically pointing beam, if  $Z_j$  is sampled at  $15^\circ$  elevation, values of  
323  $\sigma_{TV_j}^2$  are respectively reduced by a factor of 14.

324 A maximum  $\sigma_{TV_{\text{agg}}}^2$  of 0.15  $\text{m}^2 \text{s}^{-2}$  suggests that the contribution from ice aggregates is always  
325 less than  $\sigma_{\text{neg}}^2$ . Assuming that ice aggregates constitute all hydrometeors above  $z_{0^\circ\text{C}}$ , then  $\sigma_{TV}^2$  is  
326 negligible for all observations made above this level. For rain observations, which we assume are  
327 limited to below  $z_{0^\circ\text{C}}$ , the equivalent maximum of 8.62  $\text{m}^2 \text{s}^{-2}$  is comparably large, and so  $\sigma_{TV_{\text{rain}}}^2$   
328 cannot always be neglected. Using (11), we see  $\sigma_{TV_{\text{rain}}}^2(60 \text{ dBZ}) < \sigma_{\text{neg}}^2$  for all rain observed at  $\theta_{\text{el}} <$   
329  $13.9^\circ$ .

330 Under the circumstances that:  $\sigma_{TV_{\text{agg}}}^2$  is always negligible,  $\sigma_{TV_{\text{rain}}}^2$  is negligible when  $\theta_{\text{el}} < 13.9^\circ$ ,  
331  $z_{0^\circ\text{C}}$  can be estimated, and hail is not present, we can describe the negligibility of  $\sigma_{TV}^2$  in terms of a  
332 minimum range from the radar,  $R_{\text{min}}$ . For our application,  $R_{\text{min}}$  is simply the range from the radar a  
333 pulse reaches a height of  $z_{0^\circ\text{C}}$  when transmitted at  $\theta_{\text{el}} < 13.9^\circ$ . In our RHI observations (where  
334  $z_{0^\circ\text{C}} \sim 1.5 \text{ km}$ ),  $\sigma_{TV}^2$  is negligibly small everywhere at ranges further than 6.1 km from the radar.  
335 While  $\sigma_{TV}^2$  can still be significant due to rain occurring nearer than  $R_{\text{min}}$ , below  $z_{0^\circ\text{C}}$ , it remains  
336 conditional on both  $Z_{\text{rain}}$  and  $\theta_{\text{el}}$ . Observations used in this application were rarely made closer than  
337 30 km from the radar, and so we neglect  $\sigma_{TV}^2$  for rain and ice aggregates.

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338 According to (13), hail can contribute more to  $\sigma_v^2$  than rain. However, hail is generally a much  
339 less common, more localised occurrence than rain. As a result, the detection of hail using retrieved  
340 radar parameters (e.g. hail differential reflectivity  $H_{DR}$ , Depue *et al.* (2007)) is necessary before (13)  
341 can be reliably applied. If observations do indeed include hail, (13) suggests that  $\sigma_{TV_{hail}}^2$  (60 dBZ)  
342 falls below  $\sigma_{neg}^2$  for all hail observations made at  $\theta_{el} < 11.5^\circ$ . Due to the potential for hail presence  
343 both above and below  $z_{0^\circ C}$ , negligibility based on range from radar is not stated. However, as the  
344 minimum range of observations was 30 km, hail would need to be observed at 6 km altitude for  
345  $\sigma_{TV_{hail}}^2$  to exceed  $\sigma_{neg}^2$ , which is unlikely to have occurred.

346 Based on our threshold for negligibility  $\sigma_{neg}^2$ , the estimation of  $z_{0^\circ C}$ , and under the assumptions  
347 made in the derivation of (11) – (13), we can neglect variance contributions from  $\sigma_{TV}^2$  in our  
348 observations. Due to the dependence of (11) – (13) only on  $Z$  and  $\theta_{el}$ , we expect this conclusion to  
349 hold true for other scanning weather radars.

350

### 351 3.3 Sensitivity of $\sigma_{TV_{rain}}^2$ and $\sigma_{TV_{hail}}^2$ to assumptions

352 In this section, we examine the sensitivity of our results to some of the assumptions made in the  
353 derivation of (11) and (13). For ice aggregates, no reasonable sensitivity testing has resulted in the  
354 factor 3 increase in  $\sigma_{TV_{agg}}^2$  required to even conditionally exceed  $\sigma_{neg}^2$ . As a result, sensitivity tests  
355 involving ice aggregates have been omitted from this discussion, and we conclude that  $\sigma_{TV_{agg}}^2$  is  
356 always negligible.

357 For rain and hail, we expect little uncertainty in the majority of values in Table 1. The first  
358 potential source of uncertainty lies with the treatment of hail as dry with the density of solid ice. We  
359 compare  $\sigma_{TV_{hail}}^2$  when hailstones are dry with the density of solid ice (assumed in (13)), to low-  
360 density and melting hailstones. Melting hailstones will possess a thin outer layer of liquid water,  
361 appearing to the radar as large raindrops. To simulate this effect, we change the dielectric factor

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362  $|K_{\text{hail}}|^2$ , in (6) from 0.174 to 0.93. Resulting variance contributions are 21% lower than for dry  
 363 hailstones for any given reflectivity. Assuming all hailstones below  $z_{0^\circ\text{C}}$  have a liquid water layer,  
 364 this reduction leads to  $\sigma_{\text{TV}_{\text{hail}}}^2(60 \text{ dBZ}) \approx \sigma_{\text{TV}_{\text{rain}}}^2(60 \text{ dBZ})$  below  $z_{0^\circ\text{C}}$ . For observations made below  
 365  $z_{0^\circ\text{C}} = 1.5 \text{ km}$ , we find that  $\sigma_{\text{TV}}^2 < \sigma_{\text{neg}}^2$  at all ranges further than 6.5 km from the radar, regardless  
 366 of hydrometeor type. If we further consider melting hailstones consisting of low-density ice that is  
 367 more consistent with graupel ( $\rho_{\text{hail}} = 500 \text{ kg m}^{-3}$ ), this leads to a combined reduction in  $\sigma_{\text{TV}_{\text{hail}}}^2$  of  
 368 34%, at which point  $\sigma_{\text{TV}_{\text{hail}}}^2(60 \text{ dBZ}) < \sigma_{\text{TV}_{\text{rain}}}^2(60 \text{ dBZ})$ , and we revert to neglecting  $\sigma_{\text{TV}}^2$  at ranges  
 369 further than 6.1 km.

370 A second source of uncertainty lies with the chosen values of  $N_0$ ; respective values for rain and  
 371 hail are assumed constant. For rain, we use  $N_{0\text{rain}} = 8 \times 10^6 \text{ m}^{-4}$  from Marshall and Palmer  
 372 (1948), who demonstrate its independence of rainfall intensity. The assumption of a constant  $N_{0\text{hail}}$   
 373 is not as safe as for raindrops as it depends on the largest hail diameter,  $D_{\text{max}}$ , and has been shown  
 374 to vary from  $10^3 - 10^5 \text{ m}^{-4}$  (Ulbricht, 1974). Our chosen value of  $N_{0\text{hail}} = 1.2 \times 10^4 \text{ m}^{-4}$  from  
 375 Waldvogel *et al.* (1978) is roughly in the centre of this range, and is very similar to values of  $1.1 -$   
 376  $1.4 \times 10^4 \text{ m}^{-4}$  presented by Ulbricht (1977). We test the effect of decreasing values of  $N_0$  for rain  
 377 and hail by an order of magnitude. This decrease is chosen to be large enough to roughly account for  
 378 the maximum potential variability in  $N_0$ . The result is a 55% increase in  $\sigma_{\text{TV}_{\text{rain}}}^2$  and a 39% increase  
 379 in  $\sigma_{\text{TV}_{\text{hail}}}^2$ . Such a large increase in  $\sigma_{\text{TV}_{\text{rain}}}^2$  is unlikely given the confidence in our selection of  $N_{0\text{rain}}$   
 380 (Marshall and Palmer, 1948). However, the corresponding increase for  $\sigma_{\text{TV}_{\text{hail}}}^2$  is more likely realised  
 381 given the stated uncertainty in  $N_{0\text{hail}}$ . Such an increase would imply that  $\sigma_{\text{TV}_{\text{hail}}}^2(60 \text{ dBZ}) < \sigma_{\text{neg}}^2$   
 382 only if observed at  $\theta_{\text{el}} < 9.8^\circ$ . By instead increasing values of  $N_0$  by an order of magnitude (not  
 383 shown), we see a reduction in  $\sigma_{\text{TV}_{\text{hail}}}^2$  and  $\sigma_{\text{TV}_{\text{rain}}}^2$  of 36% and 28%, respectively.

384 A final source of uncertainty lies with the selected velocity-diameter relationship for hail,  
 385  $V_{\text{hail}}(D)$ . There is a broader diversity in these relationships in the literature than for rain; we assume

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386 the  $V_{\text{rain}}(D)$  power law provided by Atlas and Ulbricht (1977) to be accurate. Figure 1b compares  
 387  $\sigma_{\text{TV}_{\text{hail}}}^2$  from (13) derived using  $V_{\text{hail}}(D)$  from Cheng and English (1982), Ulbricht (1977), and  
 388 Pruppacher and Klett (1978). As the  $V_{\text{hail}}(D)$  proposed by Ulbricht (1977) involves the same  
 389 exponent ( $q = 0.5$ ) as that used for (13), the resulting effect is a 29% increase in  $\sigma_{\text{TV}_{\text{hail}}}^2$  for all  
 390 reflectivity owing to the different values of  $p$ . The  $V_{\text{hail}}(D)$  relationship from Pruppacher and Klett  
 391 (1978) however, involves  $q = 0.8$ . This leads to a change in exponent in (13), causing a decrease in  
 392  $\sigma_{\text{TV}_{\text{hail}}}^2$  ( $Z < 40$  dBZ) and an increase for  $\sigma_{\text{TV}_{\text{hail}}}^2$  ( $Z > 40$  dBZ).  $\sigma_{\text{TV}_{\text{hail}}}^2$  (60 dBZ) is increased by 43%.  
 393 Figure 1b suggests that the selection of  $V_{\text{hail}}(D)$  can have a substantial and varied effect on  $\sigma_{\text{TV}_{\text{hail}}}^2$   
 394 which somewhat limits how precisely we can state the conditions that allow us to neglect  $\sigma_{\text{TV}_{\text{hail}}}^2$ .

395

### 396 **4 Spectral variance due to antenna rotation, $\sigma_{\alpha}^2$ and hydrometeor oscillations, $\sigma_o^2$ .**

397 The movements of the radar antenna while scanning will broaden the Doppler spectrum.  
 398 Assuming a constant antenna scan rate  $\alpha$ , in  $\text{rad s}^{-1}$ , the spectral variance contribution due to antenna  
 399 rotation is provided by Doviak and Zrnic (1984),

$$400 \quad \sigma_{\alpha}^2 = \left( \frac{\alpha \lambda \cos \theta_{\text{el}} \sqrt{\ln(2)}}{2\pi\theta_1} \right)^2, \quad (14)$$

401 where  $\lambda$  is the wavelength of the radar in metres,  $\theta_{\text{el}}$  is the elevation angle from the surface, and  $\theta_1$   
 402 is the one-way half-power beam width in radians.

403 For CAMRa,  $\lambda = 0.0975$  m and  $\theta_1 = 5 \times 10^{-3}$  rad. During DYMECS, RHI and PPI  
 404 observations were made using scan speeds of  $\alpha_{\text{RHI}} = 7 \times 10^{-3}$   $\text{rad s}^{-1}$  and  $\alpha_{\text{PPI}} = 35 \times$   
 405  $10^{-3}$   $\text{rad s}^{-1}$ . The contribution from  $\sigma_{\alpha}^2$  is largest when scanning horizontally ( $\cos(\theta_{\text{el}} = 0) = 1$ );  
 406 in this case  $\sigma_{\alpha}^2 < 0.01$   $\text{m}^2 \text{s}^{-2}$  for both RHI and PPI observations, making a negligible ( $\sigma_{\alpha}^2 < \sigma_{\text{neg}}^2$ )  
 407 contribution to  $\sigma_v^2$ . Observations collected at non-zero elevations (up to  $15^\circ$  in DYMECS) would

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408 only reduce the value of  $\sigma_\alpha^2$ . Equation (14) can be used simply to determine the contribution of  $\sigma_\alpha^2$   
409 for radars with much faster scanning speeds.

410 The oscillation of hydrometeors can contribute to  $\sigma_v^2$ , and has been speculated in Zrníc and Doviak  
411 (1989) to lead to over-estimation of  $\varepsilon$ . They find that  $\sigma_o^2$  decreases with rain-rate, and generally does  
412 not increase above  $0.25 \text{ m}^2 \text{ s}^{-2}$ , so we neglect these contributions.

413

### 414 **5 Spectral broadening due to shear of the radial wind, $\sigma_s^2$**

#### 415 *5.1 Spectral variance equations for shear*

416 Since we are justified in neglecting  $\sigma_{TV}^2$ ,  $\sigma_\alpha^2$  and  $\sigma_o^2$  in (1) for DYMECS observations, we are left  
417 with Doppler variance contributions from shear and turbulence. We can derive the turbulent  
418 contribution from,

$$419 \quad \sigma_t^2 = \sigma_v^2 - \sigma_s^2, \quad (15)$$

420 for use in (2a) and (2b) to calculate  $\varepsilon$ .

421 In (15),  $\sigma_s^2$  represents the sum of Doppler variance contributions from the shear of the radial wind  
422 in the elevation  $\theta$ , azimuthal (transverse across the beam)  $\varphi$ , and radial  $r$ , directions. Similar to  $\sigma_v^2$ ,  
423  $\sigma_s^2$  can be decomposed into a sum of statistically independent variance contributions from shear in  
424 each direction.

$$425 \quad \sigma_s^2 = \sigma_{s\theta}^2 + \sigma_{s\varphi}^2 + \sigma_{sr}^2 \quad (16)$$

426 Various equations have been used in past literature to calculate  $\sigma_{s\theta}^2$  and  $\sigma_{s\varphi}^2$  that are not mutually  
427 consistent (e.g. Chapman and Browning, 2001). In Appendix S1, we provide a derivation of these  
428 equations that produces results in agreement with those stated in Doviak and Zrníc (1984). An  
429 expression for  $\sigma_{sr}^2$  is also taken from Doviak and Zrníc (1984), assuming a rectangular transmitted  
430 pulse.

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$$431 \quad \sigma_{s\theta}^2 = \frac{(|S_\theta| R \theta_1)^2}{16 \ln 2} \quad (17)$$

$$432 \quad \sigma_{s\varphi}^2 = \frac{(|S_\varphi| R \theta_1)^2}{16 \ln 2} \quad (18)$$

$$433 \quad \sigma_{sr}^2 = \left( \frac{0.35 |S_r| c \tau}{2} \right)^2 \quad (19)$$

434       Where  $R$  is the radial distance from the radar in metres,  $c$  is the speed of light in  $\text{m s}^{-1}$ , and  $\tau$  is  
 435 the pulse duration in seconds (for CAMRa,  $\tau = 0.5 \mu\text{s}$ ).  $|S_\theta|$ ,  $|S_\varphi|$  and  $|S_r|$  are shear magnitudes in  
 436  $\text{s}^{-1}$ , calculated from the mean Doppler velocity field. In (17) – (19), velocity and reflectivity  
 437 gradients are assumed to be linear across  $V_6$ . Equations (17) and (18) differ only in the shear involved,  
 438 as the beam profiles in the  $\theta$  and  $\varphi$  dimensions are the same.

439       In application to CAMRa, the variability of  $\sigma_{s(\theta,\varphi,r)}^2$  with  $|S|$  and  $R$  is illustrated in Figure 1c. For  
 440  $|S_r|$  in the range of 0 to  $0.02 \text{ s}^{-1}$ ,  $\sigma_{sr}^2$  increases with  $|S_r|^2$  from 0 to  $0.28 \text{ m}^2 \text{ s}^{-2}$ . As the pulse length  
 441 is constant,  $\sigma_{sr}^2$  does not vary with range. If  $|S_r| < 0.027 \text{ s}^{-1}$  then  $\sigma_{sr}^2 < \sigma_{\text{neg}}^2$ , indicating that for our  
 442 observations,  $\sigma_{sr}^2$  is negligibly small except in cases of extreme shear. However, although  $\sigma_{sr}^2$  is likely  
 443 to be small, our chosen method of calculating shear (Section 5.3) permits direct measurement of  $|S_r|$   
 444 to be made simply. We therefore include contributions from  $\sigma_{sr}^2$  in  $\sigma_s^2$ .

445       At 30 km range, for  $|S_{\theta,\varphi}|$  in the range of  $0 - 0.02 \text{ s}^{-1}$ ,  $\sigma_{s(\theta,\varphi)}^2$  increases from  $0 - 0.75 \text{ m}^2 \text{ s}^{-2}$ . At  
 446 150 km range, this increase is to  $18.7 \text{ m}^2 \text{ s}^{-2}$  when  $|S_{\theta,\varphi}|$  is  $0.02 \text{ s}^{-1}$ . This suggests that, even at the  
 447 minimum range of 30 km, if  $|S_{\theta,\varphi}| > 0.016 \text{ s}^{-1}$ , then  $\sigma_{s(\theta,\varphi)}^2$  is always greater than  $\sigma_{\text{neg}}^2$  for our data.  
 448 Given that shears of this magnitude are quite possible (especially in the elevation direction),  $\sigma_{s(\theta,\varphi)}^2$   
 449 will need to be considered for all of our observations. The high resolution of CAMRa means that  
 450 radial velocity shear measured over small distances often result in negligible (less than  $0.5 \text{ m}^2 \text{ s}^{-2}$ )  
 451 contributions to  $\sigma_v^2$ , however, as illustrated, this is not true for shear of sufficient values. To ensure

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452 accuracy in point-to-point values of  $\varepsilon$ , and consistency in application across full RHI scans, we  
453 measure and remove  $\sigma_s^2$  at each point in our data. In Section 6.2, we provide and discuss an example  
454 retrieval for a shower cloud (Figure 8) in which  $\sigma_s^2$  exceeds  $\sigma_{\text{neg}}^2$  quite widely and represents a  
455 significant proportion of  $\sigma_t^2$ . This example is used to highlight the potential for significant over-  
456 estimation of  $\varepsilon$  in our cases if shear corrections are neglected.

### 457 5.2 Separation of shear and turbulence - theory

458 The separation of shear and turbulence is a significant challenge. However, methods to make this  
459 distinction are guided by the framework employed to derive  $\varepsilon$  from  $\sigma_v^2$  summarised in Section 2.2.  
460 The calculation of  $\sigma_s^2$  is necessary to remove velocity variance contributions to  $\sigma_v^2$  from outside the  
461 range of scales sampled by the radar. The scale over which to calculate shear (hereafter referred to  
462 as  $\Lambda_s$ ) in (17) – (19), should ideally be equal to the largest scale of the inertial sub-range,  $\Lambda_0$ .  
463 However,  $\Lambda_s$  should be strictly no larger than  $\Lambda_0$ , otherwise the inclusion in  $\sigma_t^2$  of variance from  
464 outside the inertial sub-range will lead to an over-estimation of  $\varepsilon$ .

465 Without the means to routinely estimate  $\Lambda_0$  for each of the convective storm observations  
466 collected in DYMECS, we refer to past literature. Past studies have utilised Doppler spatial spectra  
467 and aircraft measurements to estimate  $\Lambda_0$  in individual convective clouds (Battan, 1975; Knupp and  
468 Cotton, 1982; Brewster and Zrnica, 1986). They found that  $\Lambda_0$  can be as large as 1.5 – 3 km. These  
469 estimates were made in severe thunderstorms/hailstorms with strong, large-scale circulations. In  
470 comparison, the convective storms constituting the DYMECS observations are generally much  
471 weaker, limiting how applicable these values are to our cases. We assume  $\Lambda_0$  scales with the size of  
472 the largest eddy-generating mechanisms in a convective cloud, i.e. the main updraft circulation. If  
473 this circulation is shallow, we expect  $\Lambda_0$  to be small as the downscale cascade to isotropic turbulence  
474 begins at a smaller eddy scale. As updraft heights on DYMECS case days generally ranged from 3 –  
475 8 km (Nicol *et al.*, 2015), we assume  $\Lambda_0 \sim 1$  km for this application. Chapman and Browning (2001)  
476 found a factor two change in  $\Lambda_0$  to have very little effect on their resulting values of  $\varepsilon$ . However, this

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477 involved assuming a  $\Lambda_0$  of only 200 m for shallow shear layers, so we test the sensitivity of our  
478 retrieved  $\varepsilon$  to the value of  $\Lambda_s$ , summarised in Section 5.5.

### 479 480 5.3 Separation of shear and turbulence – linear velocity surface approach

481 The application of methods to distinguish  $\sigma_s^2$  from  $\sigma_t^2$  will depend on the relationship between the  
482 spatial resolution of the radar and  $\Lambda_0$ . As long as the largest dimension of  $V_6$  is less than  $\Lambda_0$ ,  $\sigma_t^2$  can  
483 be used to estimate  $\varepsilon$  (Frisch and Clifford, 1974). As this is generally the case for a radar of CAMRa’s  
484 resolution, scanning deep convective clouds,  $\sigma_s^2$  can then be determined from radial velocity shear  
485 calculated over enough contiguous  $V_6$  volumes to constitute a spatial scale of  $\Lambda_0$ .

486 To evaluate shear over a constant spatial scale in data with polar co-ordinates is not straight-  
487 forward. With two-dimensional radar data, the most effective way to achieve this is to use least-  
488 squares regression to fit a velocity surface to Doppler velocity data. A suitable framework for this  
489 velocity surface is taken from Neter and Wasserman (1974), and has been applied in previous  $\varepsilon$ -  
490 retrieval studies (Istok and Doviak 1986, Meischner *et al.*, 2001). When applied to RHIs, the velocity  
491 surface is given by

$$492 \quad V_i = V_0 + S_\theta l_{\theta_i} + S_r l_{r_i} + E_i \quad (20)$$

493 where

$$494 \quad l_{\theta_i} = R_0(\theta_i - \theta_0) \quad ; \quad l_{r_i} = R_i - R_0$$

495 The range from the radar is given by  $R$ ,  $\theta$  is the elevation angle in radians, and  $(\theta_0, R_0)$  is the centre  
496 point of the surface.  $V_i$  is the radial velocity at the point  $(\theta_i, R_i)$ , and  $E_i$  is the velocity difference  
497 between the data and the surface.  $V_0$  is the estimated central point velocity and  $S_\theta$  and  $S_r$  are linear  
498 elevation and radial shears, respectively.  $l_{\theta_i}$  and  $l_{r_i}$  are the elevation and radial distances between  $V_i$   
499 and  $V_0$ .



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500 The parameters  $V_0$ ,  $S_\theta$  and  $S_r$  are determined from the matrix operation,

$$501 \quad \begin{bmatrix} V_0 \\ S_\theta \\ S_r \end{bmatrix} = \begin{bmatrix} n & \sum l_{\theta_i} & \sum l_{r_i} \\ \sum l_{\theta_i} & \sum l_{\theta_i}^2 & \sum l_{r_i} l_{\theta_i} \\ \sum l_{r_i} & \sum l_{\theta_i} l_{r_i} & \sum l_{r_i}^2 \end{bmatrix}^{-1} \begin{bmatrix} \sum V_i \\ \sum V_i l_{\theta_i} \\ \sum V_i l_{r_i} \end{bmatrix} \quad (21)$$

502 Centred to best approximation on a chosen Doppler velocity point, neighbouring data points are  
 503 used to constitute (as closely as is possible) a  $\Lambda_s$  – by –  $\Lambda_s$  grid of data,  $G$ . Using velocities from  $G$ ,  
 504 (21) is used to compute linear shears  $S_\theta$  and  $S_r$ , which are attributed back to the data point at the  
 505 centre of  $G$ . By completing this process for all points in a scan, we obtain fields of  $S_\theta$  and  $S_r$ ,  
 506 calculated over a fixed scale. If  $\Lambda_s = \Lambda_0$ ,  $S_\theta$  and  $S_r$  are representative of large eddies and/or velocity  
 507 gradients in the ordered background flow. As a result, they can be used in (17) and (19) to determine  
 508  $\sigma_{s\theta}^2$  and  $\sigma_{sr}^2$ .

509 In applying (20) to our observations, we find that data located less than  $\sim \frac{\Lambda_s}{2}$  from the edge of  
 510 observed clouds will be lost in surface fitting. The grid  $G$  will only be partially filled with data for  
 511 those  $V$  located on the periphery of reflectivity echoes, meaning (21) cannot be performed. The  
 512 degree of data loss therefore increases with the value of  $\Lambda_s$ . As we can only account for  $\sigma_s^2$  where  
 513 shear can be measured, this data loss is imposed on retrieved fields of  $\varepsilon$ . Consequently, this limits  
 514 our ability to investigate values of  $\varepsilon$  associated with entrainment processes near cloud edges.  
 515 However, turbulence associated with entrainment into updrafts can still be retrieved in cases where  
 516 updrafts are further than  $\sim \frac{\Lambda_s}{2}$  from the edge of the radar echo (e.g. Figure 6c and 6f). Although we  
 517 lose peripheral data, we benefit from the removal of noise in low reflectivity areas around cloud  
 518 edges, which can develop large values of  $\sigma_v^2$ . The 300-m range resolution of our observations restricts  
 519 values of  $\Lambda_s$  to multiples of 300 m in order to include whole radial cells, and a minimum of 600 m to  
 520 include at least two radial cells for the calculation of shear. Under these restrictions, assuming  $\Lambda_0 \sim$   
 521 1 km, we select  $\Lambda_s = 900$  m for our observations.

522

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### 523 5.4 Variance from azimuthal shear, $\sigma_{S_\varphi}^2$

524 When RHI or PPI scans are performed, the radial velocity field is observed in two dimensions,  
525 the radial direction and the scanning direction. However, these fields include data from three-  
526 dimensional sample volumes. In terms of RHIs, Doppler variance from azimuthal shear,  $\sigma_{S_\varphi}^2$   
527 contributes to  $\sigma_v^2$ , but we are unable to directly estimate it due to scanning in the elevation direction.  
528 Unless an adjacent RHI is performed, separated from the first by an angular distance comparable to  
529 the width of the beam,  $S_\varphi$  cannot be determined directly. As shown in Section 5.1, variance  
530 contributions from  $\sigma_{S_\varphi}^2$  cannot be ignored in our observations. To account for  $\sigma_{S_\varphi}^2$  in circumstances  
531 where it cannot be measured directly, we investigate statistical relationships between  $|S_\varphi|$  and  $|S_r|$   
532 derived from PPI radar observations.

533 PPI scans were performed alongside RHIs scans on DYMECS case days. Doppler velocity fields  
534 from PPI scans can be differentiated in the radial and azimuthal directions to determine fields of  $|S_\varphi|$   
535 and  $|S_r|$ . By collecting many co-located pairs of  $|S_\varphi|$  and  $|S_r|$  from these fields, we attempt to  
536 parametrise  $|S_\varphi|$  as a function of  $|S_r|$ . Using the result,  $|S_r|$  found in RHIs can be used to estimate  
537  $|S_\varphi|$ , and its uncertainty, allowing us to account for all components of  $\sigma_s^2$  in RHI scans.

538 In order for relationships derived between  $|S_\varphi|$  and  $|S_r|$  to be of most benefit, we must impose  
539 they are calculated over a mutual spatial scale, consistent with that used to calculate  $|S_\theta|$  and  $|S_r|$  in  
540 RHIs, i.e.  $\Lambda_s = 900$  m. To achieve this, we use a version of (20) tailored to PPI scans, where  $S_\theta l_{\theta_i}$   
541 replaced by  $S_\varphi l_{\varphi_i}$ , and  $l_{\varphi_i}$  is the azimuthal distance between  $V_i$  and  $V_0$ . By generating  $|S_\varphi|$  and  $|S_r|$   
542 values for all  $V_6$  across many PPIs, we could build a dataset consisting of co-located values of  $|S_\varphi|$   
543 and  $|S_r|$  for statistical assessment.

544 Figure 2 shows the independent distributions of approximately  $10^6$  values of  $S_\varphi$  and  $S_r$  sourced  
545 from 31 PPIs taken on 20 April 2012 at varying elevations.  $S_\varphi$  and  $S_r$  are both approximately  
546 normally distributed. The combined two-dimensional distribution of  $S_\varphi$  and  $S_r$  is circular Gaussian,

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547 approximately centred on  $S_r = S_\varphi = 0$ . Once the magnitude of the values in the combined  
 548 distribution is taken, which is the quantity relevant to  $\sigma_s^2$ ,  $|S_r|$  is divided into contiguous intervals of  
 549 width  $1 \times 10^{-4} \text{ s}^{-1}$ . For each of these, we extract the associated dataset of  $|S_\varphi|$ , and generate its  
 550 probability density function (PDF). Figure 3 demonstrates that the resulting PDFs are very well  
 551 approximated by the gamma distribution, given for a random variable  $x$ , by (22).

$$552 \quad \gamma(x|k, l) = \frac{x^{k-1} e^{-\frac{x}{l}}}{\Gamma(k)l^k} \quad (22)$$

553 Using (22), we can accurately simulate the change in the distribution of  $|S_\varphi|$  with  $|S_r|$ . For each  
 554  $|S_r|$  interval, we extract the gamma distribution parameters  $k$  (shape) and  $l$  (scale) from the  
 555 corresponding distribution of  $|S_\varphi|$ . By numerically fitting functions to relationships between  $(k, l)$   
 556 and  $|S_r|$ , we define  $k$  and  $l$  in terms of  $|S_r|$ ,

$$557 \quad k = \begin{cases} |S_r|(A_1|S_r| + A_2) + A_3, & \text{if } |S_r| \leq 0.0017 \text{ s}^{-1} \\ B_1|S_r| + B_2, & \text{otherwise} \end{cases} \quad (23)$$

$$558 \quad l = C_1|S_r| + C_2 \quad (24)$$

559 where coefficient values are provided in Table 2. For a given value of  $|S_r|$ , we use (23) and (24) to  
 560 produce a PDF of  $|S_\varphi|$ , and derive our estimate of  $|S_\varphi|$  as the mean of this distribution. For a gamma  
 561 distribution, the mean is simply the product of  $k$  and  $l$ .

562 Figure 4 shows the change in median and inter-quartile range (IQR) percentiles of  $|S_\varphi|$  with  $|S_r|$ .  
 563 Distributions of  $|S_\varphi|$  get broader with  $|S_r|$ . As a result, the size of the IQR, which provides a  
 564 confidence interval for  $|S_\varphi|$ , increases with  $|S_r|$ . The median values of  $|S_\varphi|$  increase with  $|S_r|$   
 565 according to (25) which was obtained by least-squares fitting a quadratic function to the median curve  
 566 in Figure 4. Mean values of  $|S_\varphi|$  also increase with  $|S_r|$ , with values approximately 25% larger than  
 567 the median.

$$568 \quad |S_\varphi|_{\text{med}} = |S_r|(D_1|S_r| + D_2) + D_3 \quad (25)$$

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569 where coefficient values are provided in Table 2.

570 Using (23) – (25),  $|S_\phi|$ , and an estimate of its uncertainty, can be determined from  $|S_r|$  alone.  $|S_\phi|$   
571 is then used in (18) to calculate its variance contribution,  $\sigma_{S_\phi}^2$ . We can now account for all components  
572 of  $\sigma_s^2$  in (16), subtract  $\sigma_s^2$  from  $\sigma_v^2$  to find  $\sigma_t^2$  using (15), and use  $\sigma_t^2$  in (2a) and (2b) to determine  $\varepsilon$ .

573

### 574 5.5 Sensitivity of $\varepsilon$ to $\Lambda_s$

575 To perform this sensitivity test,  $\varepsilon$  is determined in RHI scans of convective clouds using different  
576 values of  $\Lambda_s$  in methods to calculate  $\sigma_s^2$ . We use 44 RHI scans performed on 25 August 2012 which  
577 provide  $3.5 \times 10^5$  comparable data points for each  $\Lambda_s$  applied. For all scans, we retrieve  $\varepsilon(\Lambda_s)$  where  
578  $\Lambda_s$  is 600 m, 900 m, 1500 m, 2100 m, and 2700 m. As described in Section 5.3, the degree of  
579 peripheral data loss in velocity surface fitting increases with  $\Lambda_s$ . To ensure that we are comparing the  
580 same data across different  $\Lambda_s$ , the degree of data loss seen when  $\Lambda_s = 2700$  m has been imposed on  
581 all other fields of  $\varepsilon$  for each scan.

582 Figure 5 displays the PDFs of  $\varepsilon(\Lambda_s)$  using the combined data from all RHIs. It shows that the  
583 distribution of  $\varepsilon$  is largely insensitive to  $\Lambda_s$ , though there is a small increase in the likelihood of low  
584 values of  $\varepsilon$  (less than  $0.01 \text{ m}^2 \text{ s}^{-3}$ ) with decreased  $\Lambda_s$ . When calculating shear over a smaller  $\Lambda_s$ , the  
585 shear magnitude, and therefore  $\sigma_s^2$ , is likely to be higher. This means we remove more of  $\sigma_v^2$  due to  
586 shear, and subsequently derive a lower  $\varepsilon$ , with the converse true if  $\Lambda_s$  is large. As the change in PDFs  
587 of  $\varepsilon$  is small in Figure 5, we can make rough estimations of  $\Lambda_0$  (and therefore  $\Lambda_s$ ) in the absence of  
588 direction measurements, without incurring large errors in  $\varepsilon$ .

589

## 590 6 Dissipation rate statistics in DYMECS observations

### 591 6.1 DYMECS case studies

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592 By applying the methods detailed in Section 2 – 5 across many radar scans, we have performed a  
593 statistical assessment of  $\varepsilon$  in convective storms. We use two contrasting DYMECS days in 2012 as  
594 case studies; 20 April (hereafter the “shower” case) and 25 August (hereafter “deep convection”  
595 case). In the shower case, low pressure was situated on the east coast of the UK. Convective showers  
596 initiated over southern England in the late morning hours, and drifted north-eastwards through the  
597 day. In the deep convection case, low pressure was situated over the Irish Sea. Convective storms  
598 were more intense and widespread across southern England, with thunderstorms widely reported in  
599 the afternoon (Hanley *et al.*, 2015). Radar observations were collected using CAMRa in both cases,  
600 using a scanning algorithm that prioritised more active convective cells, guided in real time by Met  
601 Office network radar observations (Stein *et al.*, 2014). As this scanning strategy involved sequential  
602 scans of the same cells, a subset of these observations has been taken to include only independent  
603 convective storm RHI observations for analysis. This subset consists of 33 RHIs in the shower case,  
604 and 44 RHIs for deep convection, however, owing to the 200-km range of CAMRa, multiple  
605 convective storms were often present in single RHI scans. In the shower case, these observations  
606 show that convection grew to 6 km in height, with updraft vertical velocities  $w$ , typically ranging  
607 from 1 – 4 m s<sup>-1</sup>. In the deep convection case, convection grew to 10 km with typical  $w$  ranging  
608 from 2 – 8 m s<sup>-1</sup> (Nicol *et al.*, 2015).

609

### 610 6.2 Example $\varepsilon$ retrievals for convective showers and deep convection

611 Figures 6 and 7 display examples of retrieved  $\varepsilon$  for individual convective clouds on the shower  
612 and deep convection case days, respectively. These examples have been selected to reflect the typical  
613 convective storms observed on each day. Figure 6 depicts a convective shower of 6 km height, with  
614 a diffuse updraft region where  $w$  is 1 – 3 m s<sup>-1</sup> (Figure 6c), with a region of strong divergence  
615 present in the Doppler velocity above the updraft (Figure 6b). As shown in Figure 6f,  $\varepsilon$  typically  
616 ranges from 0.01 – 0.08 m<sup>2</sup> s<sup>-3</sup> with the largest values found within the vicinity of the main updraft.

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617 The example of deep convection displayed in Figure 7 has a depth of 10 km, and shows multi-cell  
618 characteristics with numerous updraft-downdraft circulations present in Figure 7c. The dominant  
619 updraft ( $\sim 34$  km from the radar) is narrower and much stronger than for the shower case, with  $w$   
620 ranging from  $8 - 12 \text{ m s}^{-1}$ . Divergence is again apparent in the Doppler velocity towards the upper  
621 levels of the cloud (Figure 7b). Figure 7f indicates that turbulence is more intense and widespread  
622 than for the shower case, with values of  $\epsilon$  typically ranging from  $0.03 - 0.3 \text{ m}^2 \text{ s}^{-3}$ . These values are  
623 again associated with the main updraft, with the most intense turbulence ( $\epsilon > 0.3 \text{ m}^2 \text{ s}^{-3}$ ) found  
624 towards the top of the cloud, above the updraft.

625 In many of the cloud cases that were examined to derive the statistics of  $\epsilon$  presented later in this  
626 section, values of  $\sigma_s^2$  were small compared to  $\sigma_t^2$  and largely remained below  $\sigma_{\text{neg}}^2$ . The retrievals  
627 presented in Figures 6 and 7 provide examples of this; values of  $\sigma_s^2 > \sigma_{\text{neg}}^2$  were absent in the shower  
628 cloud and were restricted to a small cluster of 36 pulse volumes in the deep cloud case. This region  
629 is evident in Figure 7e, located from  $7.5 - 8$  km in height at an approximate range of  $33 - 34$  km.  
630 Although  $\sigma_s^2$  was significant in this region, values remained less than 15% of  $\sigma_t^2$  suggesting that  $\sigma_s^2$   
631 could have been neglected in these two cloud examples without significantly over-estimating  $\epsilon$ .

632 Shear corrections are, however, not negligible for all cloud cases considered, especially for those  
633 located further from the radar. According to (17) and (18), variances from azimuthal and elevation  
634 shear components increase with range squared. Figure 8 presents the ratio of  $\sigma_s^2$  to  $\sigma_t^2$  in an example  
635 cloud observed between  $90 - 115$  km from the radar on 20 April 2012. Within the region of  $\sigma_s^2 >$   
636  $\sigma_{\text{neg}}^2$  (black contour) values of  $\sigma_s^2$  vary between  $30\% - 70\%$  of  $\sigma_t^2$ . Neglecting  $\sigma_s^2$  in this region would  
637 result in the over-estimation of mean  $\epsilon$  by 52%. Given that clouds were commonly observed  $100$  km  
638 (or further) from the radar, Figure 8 provides an example of the requirement to remove  $\sigma_s^2$  in our data  
639 to ensure accurate retrievals of  $\epsilon$ .

640 By inspecting many retrievals of  $\epsilon$  in convective clouds across two contrasting days of convection,  
641 the rest of this section is focused on relating  $\epsilon$  to convective storm characteristics in a statistical sense.

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642

### 643 *6.3 Vertical distribution of $\varepsilon$ in convective clouds*

644 Statistics have been collected for  $\varepsilon$  in vertical layers of 1-km depth from the subsets of RHI  
645 observations described in Section 6.1. Using this approach, we can assess the vertical distribution of  
646  $\varepsilon$  in convective clouds (where  $Z > -20$  dBZ), and see how this differs for showers and deep  
647 convection.

648 Figure 9 shows how the median, and 25<sup>th</sup>, 75<sup>th</sup> and 95<sup>th</sup> percentiles of  $\varepsilon$  change with height in the  
649 cloud; 0 – 6 km for showers, 0 – 10 km for deep convection. For showers and deep convection, the  
650 95<sup>th</sup> percentiles of  $\varepsilon$  (hereafter  $\varepsilon_{95}$ ) from 0 – 1 km are approximately the same, at  $\sim 0.025$   $\text{m}^2 \text{s}^{-3}$ .  
651 For the shower case, this remains approximately constant with height, varying between 0.02 – 0.03  
652  $\text{m}^2 \text{s}^{-3}$  and peaking at a height of 5 km. For deep convection,  $\varepsilon_{95}$  increases significantly with height,  
653 becoming twice as large as for the shower case at 6 km height ( $0.05$   $\text{m}^2 \text{s}^{-3}$ ), and increasing to 0.1  
654  $\text{m}^2 \text{s}^{-3}$  at 10 km height. The median  $\varepsilon$  is an approximately constant  $0.01$   $\text{m}^2 \text{s}^{-3}$  throughout the 6-  
655 km depth of the shower cases. For the same depth of deep convective cloud, the median is almost  
656 identical to the shower case, and then increases from  $0.01$  –  $0.03$   $\text{m}^2 \text{s}^{-3}$  from 6 – 10 km.

657 The 25<sup>th</sup> and 75<sup>th</sup> percentiles of  $\varepsilon$  follow a very similar pattern to this, indicating that from 0 – 6  
658 km the average intensity of turbulence is very similar for both cases. The reason for this is highlighted  
659 by Figure 6f and 7f; turbulence is locally intense, but a large proportion of the cloud area is only  
660 weakly turbulent in both cases ( $\varepsilon \leq 0.01$   $\text{m}^2 \text{s}^{-3}$ ). This was often the case throughout observations  
661 on both days which serves to explain why the bulk of  $\varepsilon$  values are so similar. Where the cloud is  
662 turbulent however, values of  $\varepsilon$  are much larger in deep convection with much stronger circulations,  
663 which is reflected in the notable difference in  $\varepsilon_{95}$  between the two cases.

664

### 665 *6.4 $\varepsilon$ in convective updraft regions*

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666 As we are most interested in the turbulent regions of individual convective clouds, and turbulence  
667 in observations tends to be associated with convective updrafts (see Figures 6 and 7), we refine this  
668 investigation to  $\varepsilon$  located only in convective updraft regions.

669 The following method has been selected in part to ensure it can be applied identically to numerical  
670 model data in future investigations. To detect coherent updraft regions, a flood-fill algorithm is  
671 applied to vertical cross-sections of  $w$  (T. Stein, personal communication), to automatically detect  
672 contiguous regions with  $w$  above specified thresholds, and record their co-ordinates. By taking the  
673 four spatial extremes of these co-ordinates, a box is drawn around an updraft – defined as an updraft  
674 *region*. Updrafts are often irregular in shape in observations, so this approach includes some data  
675 surrounding the updraft in the defined region. As a result, we benefit from including some  
676 information about turbulence associated with an updraft, without it having to be co-located with  
677 specific values of  $w$ .

678 Once updraft regions are defined, they are filtered by width and depth to avoid the inclusion of  
679 very small, insignificant updrafts that are detected by the algorithm. For the shower case, we used a  
680 minimum threshold  $w$  of  $1 \text{ m s}^{-1}$ , and a minimum depth of 2 km. For the deep convection case, we  
681 used a minimum threshold  $w$  of  $1.5 \text{ m s}^{-1}$ , and a minimum depth of 3 km. In both cases, a minimum  
682 updraft region width of 1.5 km was imposed. The lower thresholds for  $w$  and depth used in the shower  
683 case were chosen due to the weaker, shallower updrafts observed on that day. Using this approach,  
684 77 updraft regions were detected in the shower case, and 101 regions for deep convection. The co-  
685 ordinates of each region can then be super-imposed on fields of  $\varepsilon$  for analysis.

686 Figure 10 displays scatter plots relating  $\varepsilon_{95}$  for each updraft region to its (a) 95<sup>th</sup> percentile of  $w$   
687 (hereafter  $w_{95}$ ), (b) 95<sup>th</sup> percentile of the magnitude of the horizontal gradient in  $w$ ,  $\left|\frac{dw}{dx}\right|_{95}$ , (c) updraft  
688 width, and (d) updraft depth. In Figure 10a, we see that  $\varepsilon_{95}$  has a significant ( $p < 10^{-3}$ ) positive  
689 correlation with  $w_{95}$  for both showers ( $r = 0.425$ ) and deep convection ( $r = 0.594$ ). Correlations  
690 with  $\left|\frac{dw}{dx}\right|_{95}$  (Figure 10b) are marginally stronger than with  $w_{95}$  ( $r = 0.517$  for showers,  $r = 0.671$



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691 for deep convection). This suggests that strong gradients in  $w$  are more important in generating  
692 turbulence than  $w$  alone. Weaker positive correlations exist between  $\varepsilon_{95}$  and the width and depth of  
693 updrafts for both showers ( $r = 0.295$  for width, and  $r = 0.314$  for depth), and deep convection  
694 ( $r = 0.309$  for width, and  $r = 0.390$  for depth). This indicates that the intensity of turbulence is  
695 not highly sensitive to the dimensions of the updraft. The consistency of correlations between the  
696 two cases, albeit with a smaller range in variable values for the shower case, suggests that these  
697 relationships may not be restricted to individual cases, or days of observation.

698 To produce Figure 11, all  $\varepsilon$  values in an updraft region are added to a distribution based on  $w_{95}$ .  
699 By doing this, we can assess how the full distribution of  $\varepsilon$  changes with  $w_{95}$  in the two cloud types,  
700 instead of just the largest values. These distributions are displayed in the form of cumulative density  
701 functions (CDFs) for every  $2 \text{ m s}^{-1}$  interval in  $w_{95}$ . In both the showers and deep convection, a trend  
702 towards a lower probability of small  $\varepsilon$ , and a higher probability of large  $\varepsilon$  is seen with  $w_{95}$ . In both  
703 cases, small values of  $\varepsilon$  (less than  $0.01 \text{ m}^2 \text{ s}^{-3}$ ) are approximately twice as likely to appear in updrafts  
704 with  $w_{95} < 4 \text{ m s}^{-1}$ , than for those  $w_{95} > 4 \text{ m s}^{-1}$ . In the shower case,  $\varepsilon$  larger than  $0.05 \text{ m}^2 \text{ s}^{-3}$   
705 has a probability of less than 0.01 in all updraft regions ( $w_{95} < 6 \text{ m s}^{-1}$ ); whereas for the same  $w_{95}$   
706 intervals of deep convection the probability is as large as 0.12. This indicates that stronger turbulence  
707 is more likely to be found in deep convective clouds than for showers of the same updraft strength.  
708 However, we see only a snapshot of information for each convective cloud; turbulent energy will  
709 take time to reach dissipation scales, in which time updrafts could have weakened considerably. The  
710 probability of large values of  $\varepsilon$  (more than  $0.1 \text{ m}^2 \text{ s}^{-3}$ ) is 0 for the shower case, but as high as 0.05  
711 for deep convection. When  $w_{95}$  is  $2 - 4 \text{ m s}^{-1}$ , the CDFs of  $\varepsilon$  are very similar for both cases, further  
712 indicating that  $\varepsilon$  may be a function of storm characteristics independent of case, or day of observation.

713

## 714 7 Summary and Conclusions

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715 A comprehensive analysis of processes contributing to the width of the Doppler velocity spectrum  
716 has been performed, with the objective of developing a rigorous algorithm to estimate turbulence  
717 intensity expressed as a dissipation rate.

718 New equations to quantify the spectral broadening effect due to a distribution of hydrometeor fall  
719 speeds ( $\sigma_{TV}^2$ ) have been presented for ice aggregates, raindrops and hail. We conclude that  $\sigma_{TV_{agg}}^2$  is  
720 always negligibly small, and  $\sigma_{TV_{rain}}^2$  and  $\sigma_{TV_{hail}}^2$  are negligible when observing at elevations lower  
721 than  $13.9^\circ$  and  $11.5^\circ$ , respectively. We find that  $\sigma_{TV}^2$  can be larger than  $8 \text{ m}^2 \text{ s}^{-2}$  if scanning vertically  
722 through heavy rain or hail, and recommend avoiding high-elevation scanning when attempting to  
723 retrieve turbulence from the spectrum width.

724 Methods have been presented to remove contributions to  $\sigma_v^2$  from shear over scales larger than  
725 those sampled by the radar. This was achieved by evaluating shear over a constant spatial scale ( $\Lambda_s$ ),  
726 using linear velocity surface fitting techniques as employed in past studies. Resulting values of  $\varepsilon$   
727 have been found to be insensitive to  $\Lambda_s$ . To permit the estimation of  $\varepsilon$  from  $\sigma_t^2$ , it is of key importance  
728 that the largest dimension of  $V_6$  is lower than  $\Lambda_0$ .

729 To account for spectrum width contributions from shear in the azimuthal direction, we have  
730 derived a new equation for the median azimuthal shear as a function of radial shear alone. This can  
731 be used to account for 3-D shear broadening in 2-D radar scans, and can be used simply to further  
732 improve the accuracy of retrieved  $\varepsilon$ . After noting incorrect equations for the calculation of  $\sigma_s^2$  in the  
733 literature, we conclude the correct equations are those derived in Appendix S1.

734 By applying the retrieval method across many observations on two contrasting DYMECS case  
735 days, we have produced statistics of  $\varepsilon$  in convective clouds. Turbulence is generally much stronger  
736 in deep convective cloud ( $0.03 - 0.3 \text{ m}^2 \text{ s}^{-3}$ ) than in shower cloud ( $0.01 - 0.08 \text{ m}^2 \text{ s}^{-3}$ ). In both  
737 cases, the majority of cloud is generally weakly turbulent, with significant turbulence co-located  
738 with, but not limited to, areas of shear and buoyancy. Strong turbulence is more widespread towards  
739 the top of deep convective cloud, while vertical profiles of turbulence are approximately constant in

## Observing turbulence in convective clouds

740 shower cloud. In updraft regions, turbulence is strongly correlated with updraft strength, and there is  
741 evidence that gradients in the vertical velocity are more important in generating strong turbulence  
742 than the updraft velocity alone. Turbulence is only weakly correlated with the spatial dimensions of  
743 updrafts.

744 Our method has sourced, developed, and added to many decades of turbulence retrieval research  
745 to form the most comprehensive approach to date. Though we have ultimately applied the method to  
746 a specific radar and observational dataset, the considerations made in Sections 2 – 5 are suitably  
747 general, forming a reliable framework for turbulence retrieval with other high-resolution radars  
748 capable of sampling inertial sub-range turbulence.

749 Following directly from this research, we have collected new observations of convective clouds  
750 with CAMRa, under an improved scanning strategy better suited to turbulence retrieval. By  
751 performing multiple RHI scans separated by small azimuthal distances across clouds, we aim to  
752 investigate the 3-D structures of turbulence in convective storms.

753 We have also used the results of this investigation to evaluate the performance of the  
754 Smagorinsky-Lilly sub-grid scheme through direct comparisons with  $\varepsilon$  in high-resolution NWP  
755 simulations of the observed cases. The degree to which our observations can be used more generally  
756 to evaluate turbulence characteristics in CPMs (without the need to simulate the observed cases) is  
757 not clear. However, at the very least, our observations can provide guidance for the typical  
758 characteristics of  $\varepsilon$  in clouds for comparison with other high-resolution CPM simulations, given that  
759  $\varepsilon$  can be found as a diagnostic output from the turbulence parametrisation. To ultimately improve the  
760 versatility of our results, we aim to extend our observations to more diverse cloud cases to assess the  
761 degree to which our statistics are case-dependent.

762

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## Observing turbulence in convective clouds

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766 vertical velocity retrievals for the case studies investigated, which have been invaluable to the  
767 analysis of turbulence in convective clouds. Further thanks go to staff at the Chilbolton Observatory  
768 for the technical support with data from CAMRa.

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### 770 **Supporting information:**

### 771 **Appendix S1 – Derivation of spectral variance equations for shear**

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### 852 **Figure Captions**

853

854 **Figure 1.** (a) Change in  $\sigma_{TV_j}^2$  for rain, ice aggregates and hail, with radar reflectivity  $Z_j$ , and elevation  
855 angle,  $\theta_{el}$ . Black lines refer to observations made at vertical incidence; grey lines at  $\theta_{el} = 15^\circ$ . (b)  
856 The impact on  $\sigma_{TV_{hail}}^2$  of using different  $V_{hail}(D)$  relationships in the derivation of (13); (1)  $p =$   
857  $142.6$ ,  $q = 0.5$ , (2)  $p = 162.0$ ,  $q = 0.5$ , (3)  $p = 359.0$ ,  $q = 0.8$ . Results are displayed for  $\sigma_{TV_{hail}}^2$   
858 sampled at vertical incidence ( $\theta_{el} = 90^\circ$ ). (c) The change in  $\sigma_{S\theta}^2$ ,  $\sigma_{S\varphi}^2$  and  $\sigma_{SR}^2$  (Eq. (17) – (19)) with  
859 shear magnitude,  $|S|$ . Variances  $\sigma_{S\theta}^2$ , and  $\sigma_{S\varphi}^2$  are displayed for ranges 30 km and 150 km, which are  
860 roughly the minimum and maximum ranges of radar observations in the DYMECS data. Shears larger  
861 than  $0.02 \text{ s}^{-1}$  were uncommon in our observations. In each panel, the threshold for negligibility  $\sigma_{neg}^2$ ,  
862 is plotted for reference as a dashed line at  $0.5 \text{ m}^2\text{s}^{-2}$ .

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## Observing turbulence in convective clouds

864 **Figure 2.** Independent distributions of  $1 \times 10^6$  values of  $S_\varphi$  and  $S_r$  sourced from 31 PPI scans  
865 performed on 20 April 2012.

866

867 **Figure 3.** Change in the PDFs of observed  $|S_\varphi|$  for three selected intervals of  $|S_r|$  (solid lines).  
868 Distributions of  $|S_\varphi|$  are well approximated by Gamma PDFs (22) (dashed lines). The width of each  
869  $|S_r|$  interval is  $1 \times 10^{-4} \text{ s}^{-1}$ , and the interval of  $|S_r|$  for each distribution is displayed in the figure  
870 titles.

871

872 **Figure 4.** The change in the median, 25<sup>th</sup> and 75<sup>th</sup> percentile values of  $|S_\varphi|$  with  $|S_r|$ .

873

874 **Figure 5.** The insensitivity of distributions of  $\varepsilon$  to the scale  $\Lambda_s$ , over which shear is calculated for  
875  $\sigma_s^2$ .

876

877 **Figure 6.** Example  $\varepsilon$  retrieval for an RHI scan of a convective storm performed on the 20 April  
878 2012 (showers). Included is (a) radar reflectivity, (b) Doppler velocity, (c) vertical velocity, (d) total  
879 Doppler variance, (e) Doppler variance due to shear, and (f) eddy dissipation rate displayed in  $\log_{10}$   
880 units. The grey contour outlines reflectivity returns of -20 dBZ.

881

882 **Figure 7.** Equivalent to Figure 6, an example retrieval of  $\varepsilon$  for an RHI scan of a convective storm  
883 performed on the 25 August 2012 (deep convection).

884

885 **Figure 8.** The ratio of shear ( $\sigma_s^2$ ) and turbulent ( $\sigma_t^2$ ) contributions to Doppler variance in an example  
886 shower cloud observed on 20 April 2012. The location of values of  $\sigma_s^2$  that exceed  $\sigma_{\text{neg}}^2$  is indicated  
887 by the black contour. In this example, neglecting  $\sigma_s^2$  in the contoured region results in the  
888 considerable over-estimation of mean  $\varepsilon$  by 52%.



## Observing turbulence in convective clouds

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890 **Figure 9.** Comparison of the vertical distribution of various percentiles of  $\varepsilon$  in convective clouds  
891 ( $Z > -20$  dBZ) on 20 April (showers) and 25 August (deep convection), 2012. Percentiles for each  
892 1 km layer are plotted at the midpoint of that layer.

893

894 **Figure 10.** Scatter plots comparing the 95<sup>th</sup> percentile of  $\varepsilon$  for each updraft region  $\varepsilon_{95}$ , on 20 April  
895 (showers) and 25 August (deep convection), 2012, to the following corresponding statistics: (a) the  
896 95<sup>th</sup> percentile of vertical velocity  $w_{95}$ , (b) the 95<sup>th</sup> percentile of the magnitude of the horizontal  
897 gradient in vertical velocity  $\left|\frac{dw}{dx}\right|_{95}$ , (c) the updraft width, and (d) the updraft depth.

898

899 **Figure 11.** The change in the cumulative density function (CDF) of  $\varepsilon$  in updraft regions with  
900 different 95<sup>th</sup> percentile values of  $w$  ( $w_{95}$ ) for 20 April 2012 shower updrafts (black lines) and 25  
901 August 2012 deep updrafts (grey lines). Values of  $w_{95}$  did not exceed  $6 \text{ m s}^{-1}$  in any shower updraft  
902 region. Values of  $w_{95}$  smaller than  $2 \text{ m s}^{-1}$  were not found in any deep updraft region.

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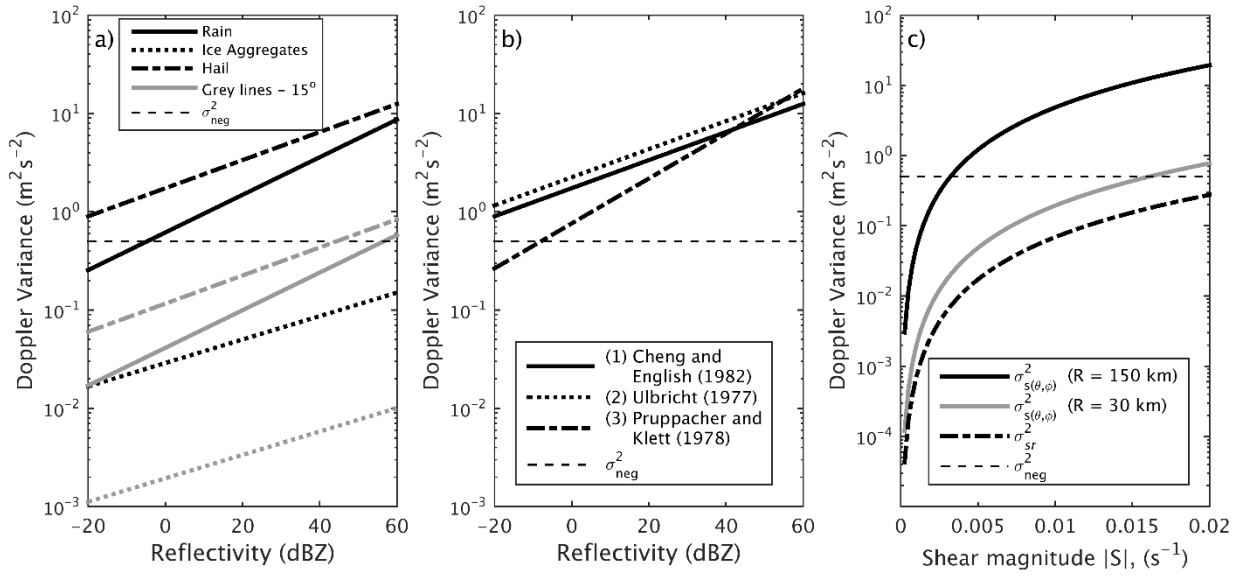
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911 **Figure 1**

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Observing turbulence in convective clouds

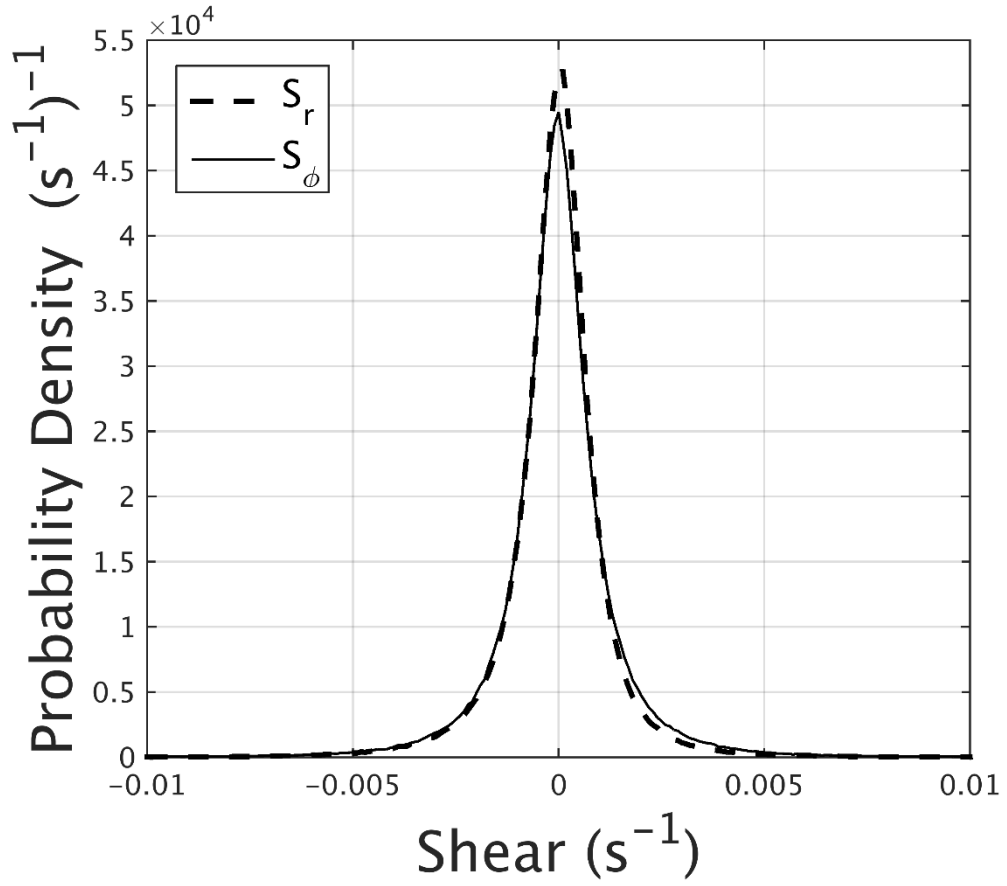
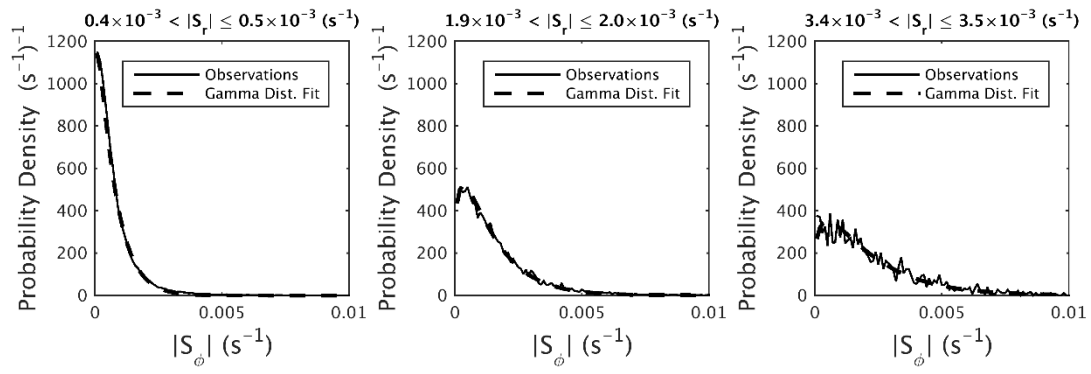


Figure 2

# Observing turbulence in convective clouds



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957 **Figure 3**

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Observing turbulence in convective clouds

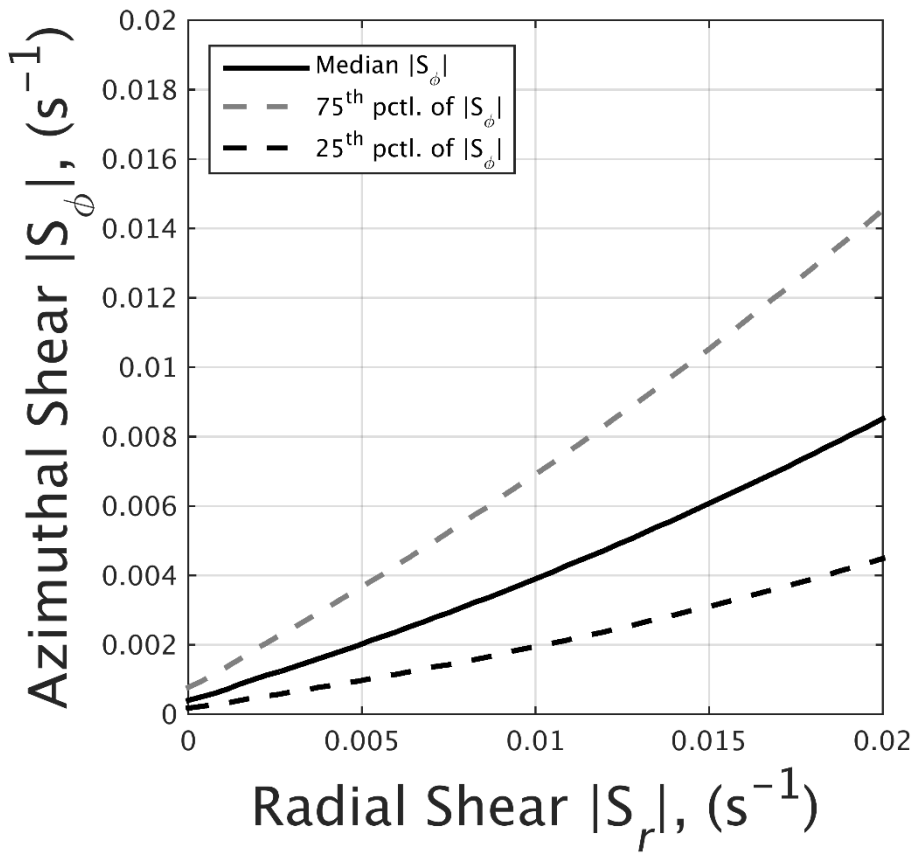
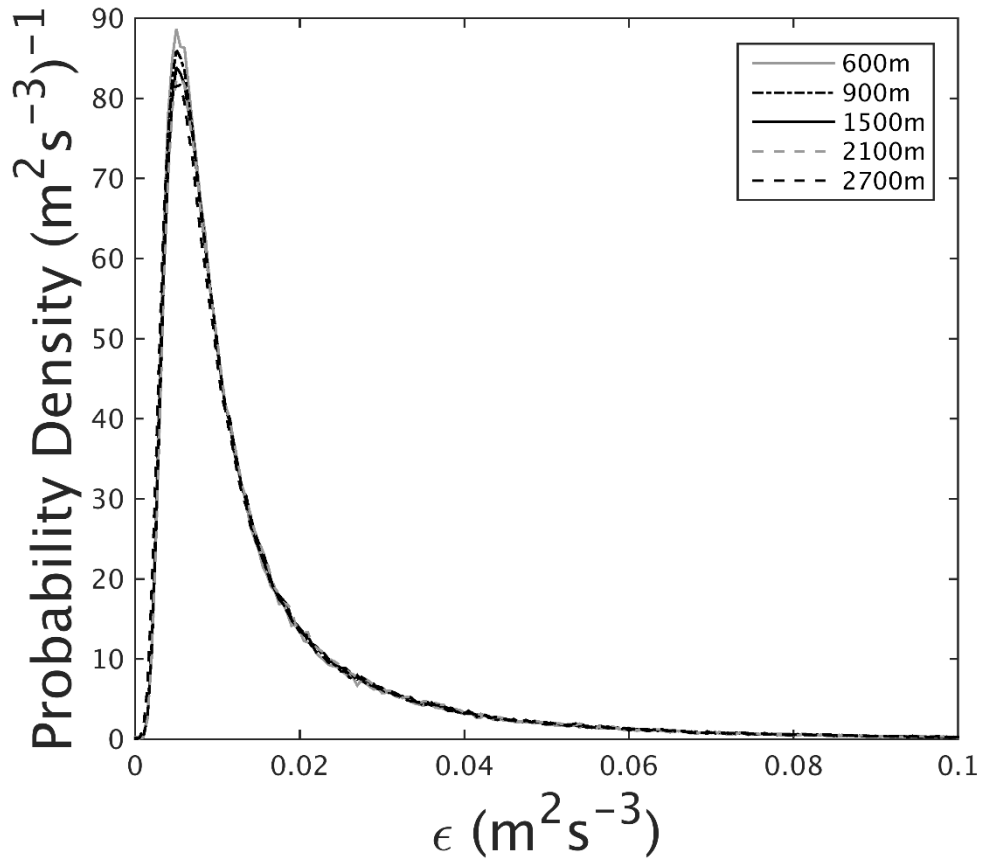


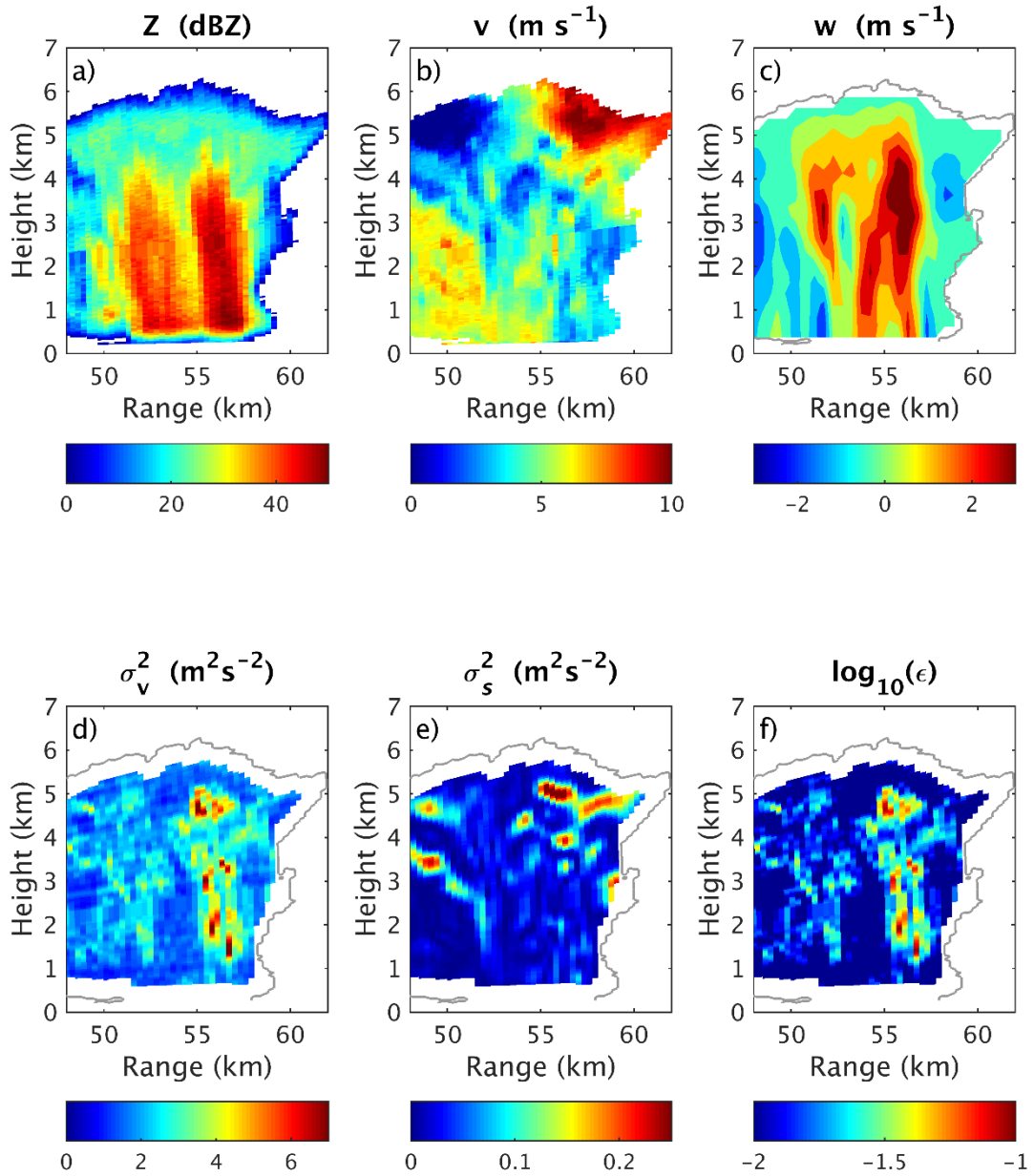
Figure 4

# Observing turbulence in convective clouds



**Figure 5**

# Observing turbulence in convective clouds



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1042 **Figure 6**

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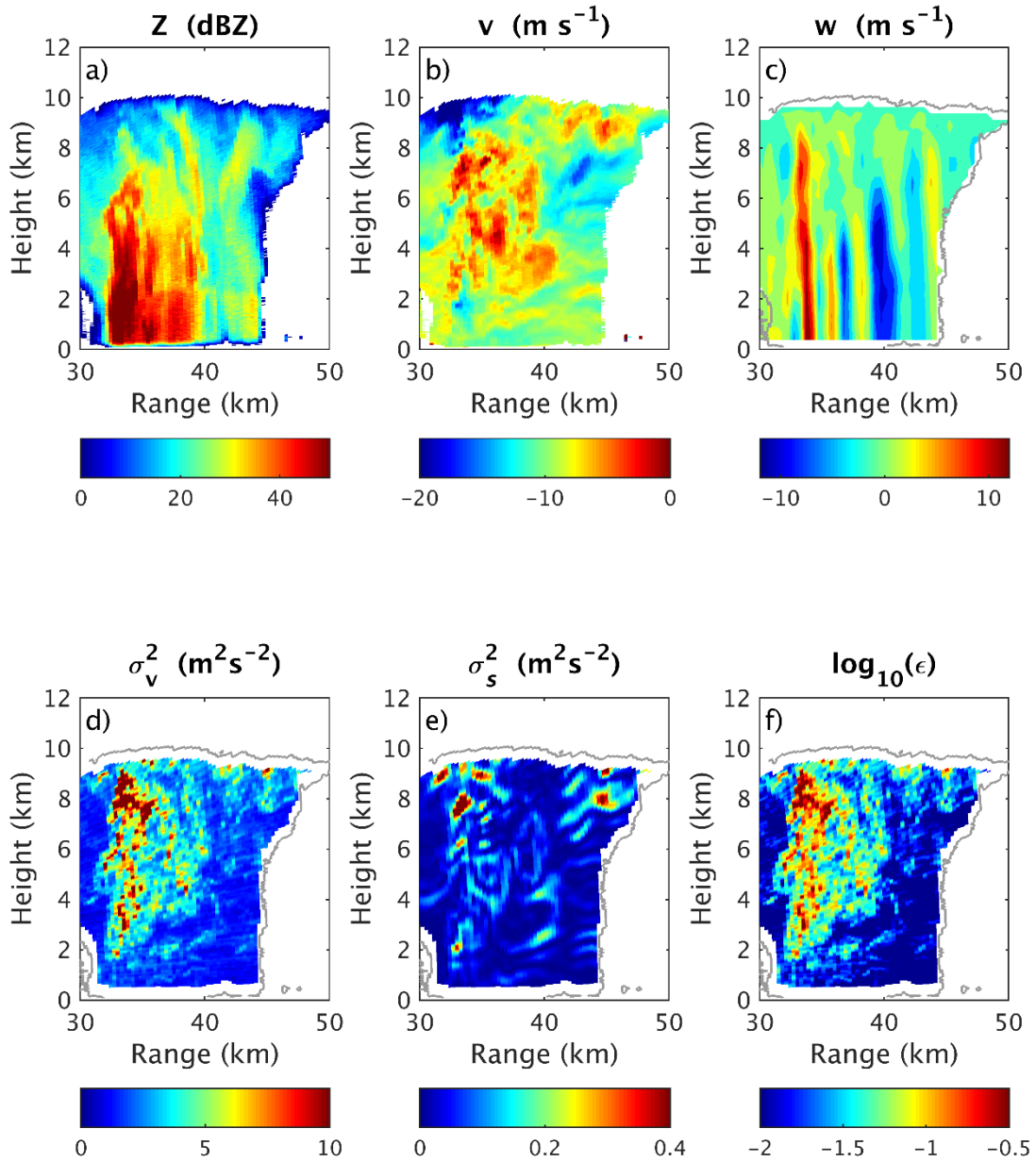
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# Observing turbulence in convective clouds



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1049 **Figure 7**

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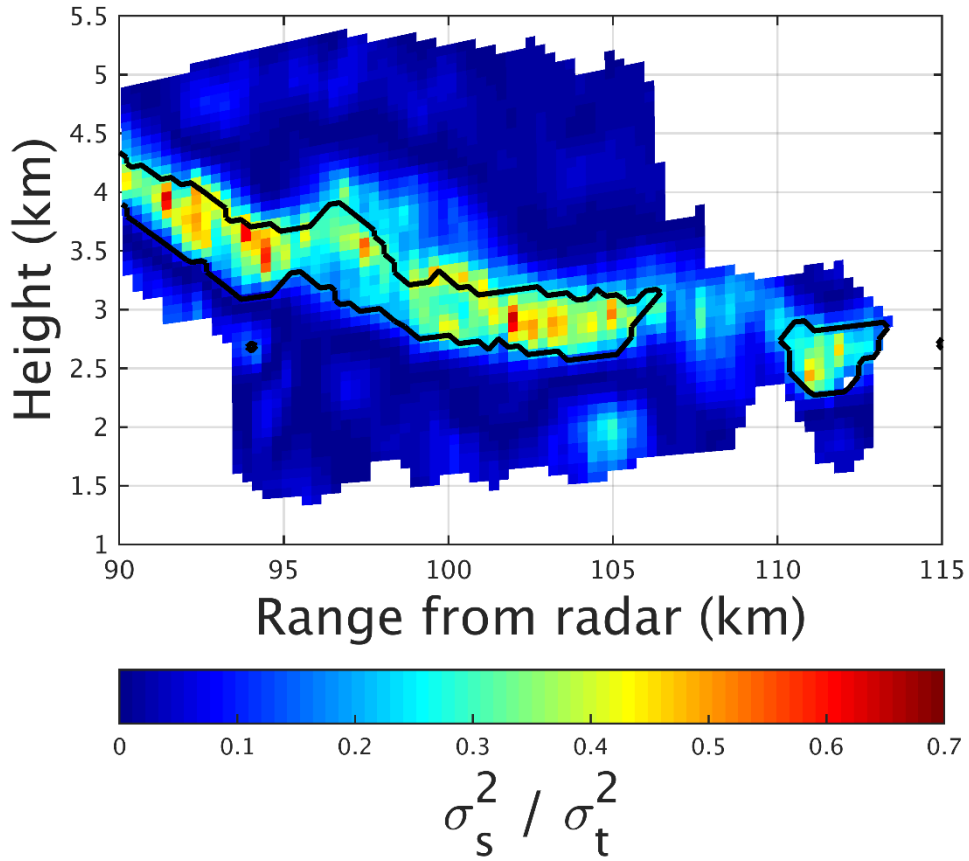


Figure 8

# Observing turbulence in convective clouds

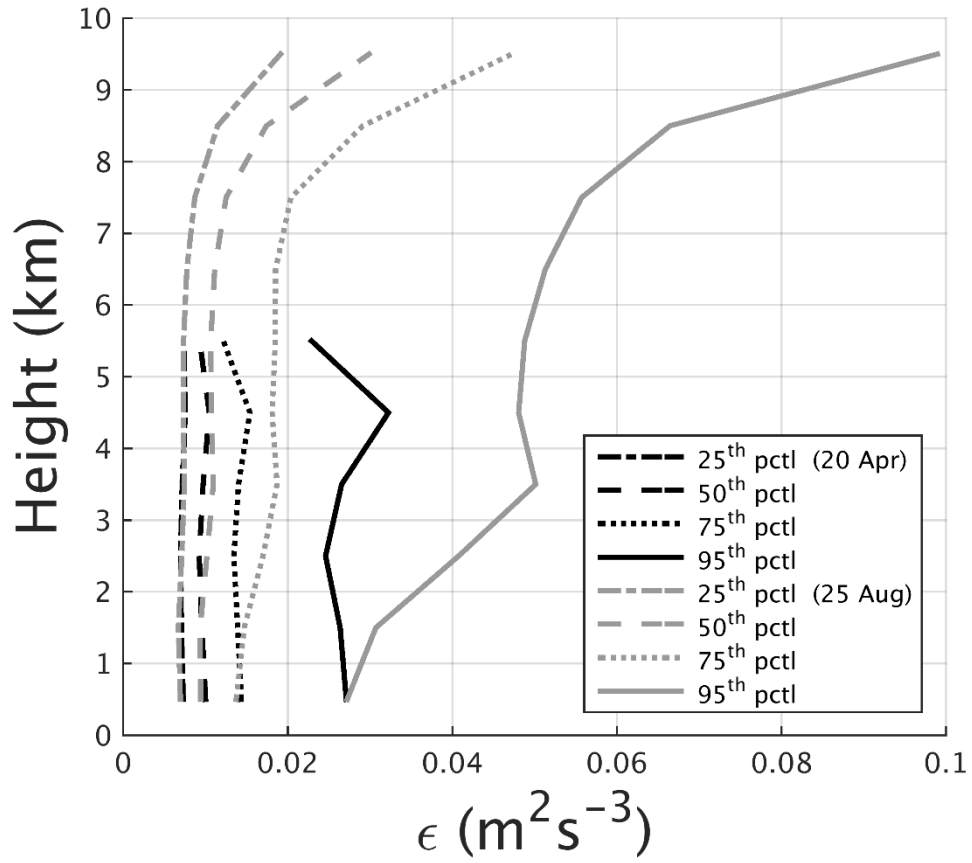
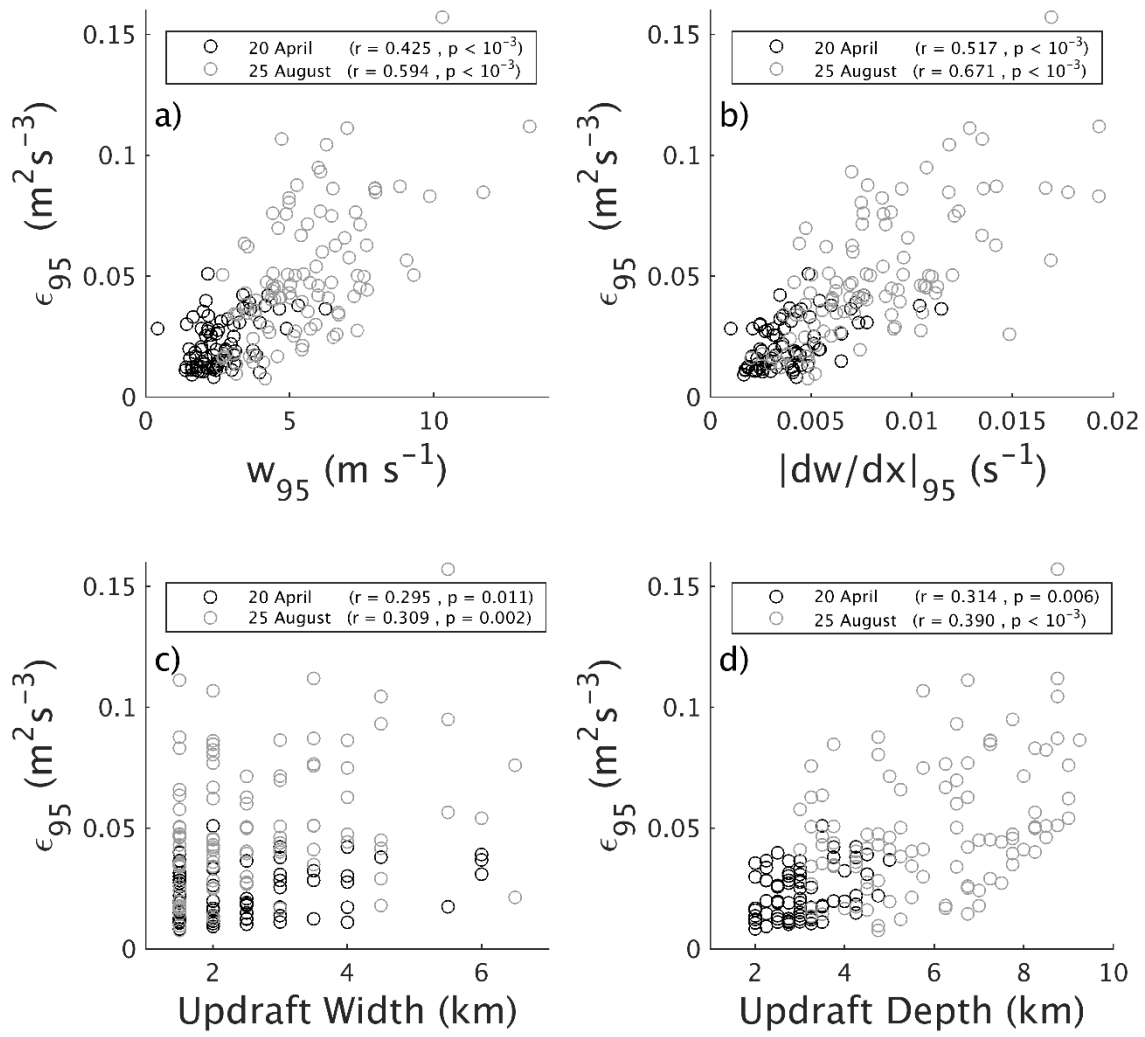


Figure 9

# Observing turbulence in convective clouds



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1118 **Figure 10**

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# Observing turbulence in convective clouds

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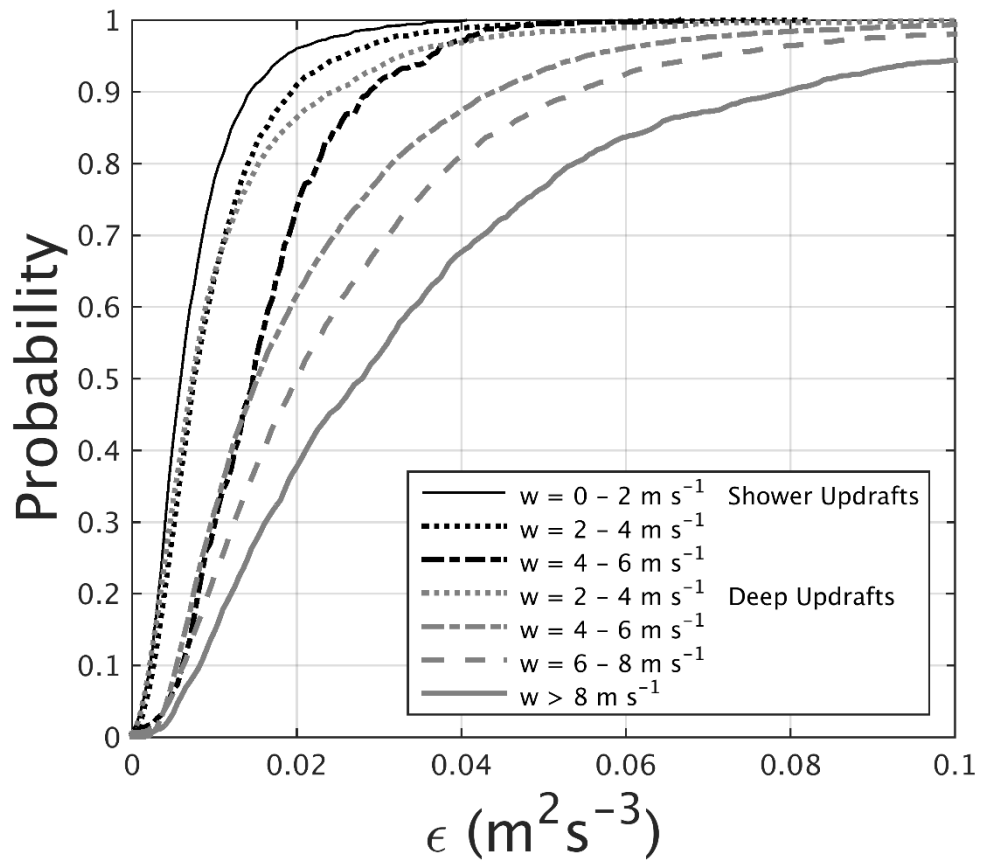


Figure 11