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On permutable meromorphic functions

J. W. Osborne, D. J. Sixsmith

To Phil Rippon on the occasion of his 65th birthday

Abstract. We study the class M of functions meromorphic outside a countable closed set of essential singularities. We show that if a function in M , with at least one essential singularity, permutes with a nonconstant rational map g , then g is a Möbius map that is not conjugate to an irrational rotation. For a given function $f \in \mathcal{M}$ which is not a Möbius map, we show that the set of functions in M that permute with f is countably infinite. Finally, we show that there exist transcendental meromorphic functions $f : \mathbb{C} \to \mathbb{C}$ such that, among functions meromorphic in the plane, f permutes only with itself and with the identity map.

Mathematics Subject Classification (2010). Primary 30D05; Secondary 30D30.

1. Introduction

If f and q are meromorphic functions, then, in general, $f \circ q$ is not meromorphic. In view of this, we let $\mathcal M$ be the class of functions f with the following property; there is a countable closed set $S(f) \subset \hat{\mathbb{C}} := \mathbb{C} \cup \{\infty\}$ such that f is meromorphic in $\widehat{\mathbb{C}} \setminus S(f)$, and $S(f)$ is the set of essential singularities of f. All functions that are meromorphic in $\mathbb C$ lie in $\mathcal M$, which is closed under composition. The dynamics of functions in the class $\mathcal M$ was considered by Bolsch [8, 9].

In this short paper we extend some results of Baker and Iyer on permutable entire functions to permutable functions in the class \mathcal{M} . Here, if f and q are functions defined on a subset of $\hat{\mathbb{C}}$, then we say that these functions are *permutable*, or that f *permutes* with g , if

$$
f \circ g = g \circ f. \tag{1}
$$

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We take (1) to mean that, for all $z \in \widehat{C}$, either $f(g(z)) = g(f(z))$, or else both $f(g(z))$ and $g(f(z))$ are undefined.

The case where f is transcendental entire and g is a polynomial was considered by Baker [1] and independently by Iyer [12]. They proved the following.

Theorem A. Suppose that g is a non-constant polynomial. Then there exists a transcendental entire function f that permutes with g if and only if g is an affine map of the form

$$
g(z) = ze^{2\pi im/n} + c, \quad \text{for some } m, n \in \mathbb{Z}, \ c \in \mathbb{C}.
$$
 (2)

Throughout this paper, when we refer to a transcendental meromorphic function, we mean a function meromorphic in $\mathbb C$ and with an essential singularity at infinity. It is straightforward to use results in [11] to generalise Theorem A to transcendental meromorphic functions. As this result is not stated in [11], we give it here.

Theorem 1. Suppose that g is a non-constant rational map. Then there exists a transcendental meromorphic function f that permutes with g if and only if g is an affine map of the form (2).

Our main generalisation of Theorem A is to functions in the class M. It is natural to state these results in terms of conjugacies. For suppose that f and q are permuting elements of M , and that q is *conjugate* to a map $G \in \mathcal{M}$; in other words, there is a Möbius map L such that $G = L^{-1} \circ g \circ L$. Then F and G are permuting elements of M, where $F := L^{-1} \circ f \circ L$.

It is well-known that a Möbius map M is conjugate either to the map $z \mapsto z + 1$ (in which case M is called parabolic), or to the map $z \mapsto kz$, for some $k \in \mathbb{C} \setminus \{0\}$. In the second case, if $k = e^{i\theta}$, for θ rational (resp. irrational), then we say that M is conjugate to a *rational rotation* (resp. irrational rotation).

Theorem 2. Suppose that q is a non-constant rational map. Then there exists a function $f \in \mathcal{M}$, with $S(f) \neq \emptyset$, and that permutes with g, if and only if g is a Möbius map that is not conjugate to an irrational rotation.

Baker [2, 3] also proved the following result.

Theorem B. If an entire function f is not a polynomial of degree less than 2, then the set of all entire functions that permute with f is countably infinite.

We show that a result of Bergweiler and Hinkkanen on semiconjugation of entire functions [7, Theorem 3] can readily be extended to the class \mathcal{M} ; see Section 3 for details. In particular, this yields the following analogue of Theorem B.

Theorem 3. If a function $f \in \mathcal{M}$ is not a Möbius map, then the set of all elements of M that permute with f is countably infinite.

If f is an entire function that is not a polynomial of degree less than two, then the iterates of f form a countably infinite set of entire functions that permute with f. An analogous remark holds if $f \in \mathcal{M}$. However, if f is a transcendental meromorphic function that is not entire then, in general, the iterates of f are not meromorphic in \mathbb{C} . Indeed, suppose we define a function meromorphic in $\mathbb C$ to be *minimally permuting* if, among such functions, it permutes only with itself and with the identity map. Then we have the following.

Theorem 4. There exist transcendental meromorphic functions that are minimally permuting.

The organisation of this paper is as follows. We give the proofs of Theorems 1 and 2 in Section 2, and the proof of Theorem 3 in Section 3. Then, in Section 4, we prove Theorem 4 by giving two examples of minimally permuting transcendental meromorphic functions.

2. Proofs of Theorems 1 and 2

We first show that Theorem 1 follows easily from results of Goldstein [11].

Proof of Theorem 1. If q is an affine map of the form (2) , then it follows from Theorem A that there is a transcendental entire function that permutes with g. Thus it suffices to prove the 'only if' direction of Theorem 1.

Suppose, then, that g is a non-constant rational map and that f is a transcendental meromorphic function that permutes with q . Since there exists $\zeta \in \widehat{\mathbb{C}}$ such that $q(\zeta) = \infty$, it follows that $f(q(\zeta))$ is undefined, so $g(f(\zeta))$ is also undefined by (1). Since g is rational, we deduce that $\zeta = \infty$. Thus infinity is the only pole of g, which is therefore a polynomial. It follows by [11, Theorem 2] that g is an affine map. The result then follows by [11, Theorem 11]. \Box

We now give the proof of Theorem 2, our main generalisation of Theorem A. The proof uses certain ideas from iteration theory. We denote the *iterates* of the function f by $f^n := f \circ f \circ ... \circ f$ \overline{n} times , for $n \in \mathbb{N}$. The *Julia set* of a

rational map q of degree at least 2 is defined to be the set of points in $\hat{\mathbb{C}}$ with no neighbourhood in which the iterates of g form a normal family. We refer to [5, 6, 13], for example, for the properties of this set and an introduction to complex dynamics.

Proof of Theorem 2. If q is a Möbius map that is either parabolic or conjugate to a rational rotation, then it follows from Theorem A that there is an element of M , conjugate to a transcendental entire function, that permutes with q .

If g is a Möbius map that is neither parabolic nor conjugate to a rotation, then it is conjugate to a map of the form $z \mapsto \lambda z$, for some $\lambda \in \mathbb{C}$ with $|\lambda| \neq 0, 1$. Without loss of generality, we can assume that g is of this form.

We construct a function $f \in \mathcal{M}$ that permutes with g as follows. Replacing λ with $1/\lambda$ if necessary, we assume that $|\lambda| > 1$. Let $h(z)$ be the function $h(z) := z^2(1-z)^{-2}$, and let

$$
f(z) := \sum_{k \in \mathbb{Z}} \lambda^{-k} h(\lambda^k z). \tag{3}
$$

We claim that the (double) series in (3) defines a function which is meromorphic in $\widehat{\mathbb{C}}\backslash\{0,\infty\}$, but in no larger domain in $\widehat{\mathbb{C}}$. In particular $f \in \mathcal{M}$, but f is not a transcendental meromorphic function. Since f permutes with the maps $z \mapsto \lambda z$ and $z \mapsto z/\lambda$, this will complete the first part of the proof.

To prove the claim, suppose that $z \in \widehat{C} \setminus \{0, \infty\}$. Let U be a neighbourhood of z sufficiently small that $\overline{U} \subset \widehat{\mathbb{C}} \setminus \{0, \infty\}$. Since $|\lambda| > 1$, it can be seen that there exists $k_0 \in \mathbb{N}$ such that, for all $w \in U$, we have

$$
|\lambda^{-k}h(\lambda^k w)| = \left|\frac{\lambda^k w^2}{(1 - \lambda^k w)^2}\right| < \begin{cases} 2|\lambda|^{-k}, & \text{for } k \ge k_0, \\ 2|w|^2|\lambda|^k, & \text{for } k \le -k_0. \end{cases}
$$

It follows by the Weierstrass M–test that f restricted to U can be written as the sum of two analytic functions and a rational function, and so f is meromorphic in U. Hence f is indeed meromorphic in $\widehat{\mathbb{C}} \setminus \{0, \infty\}$, since z was arbitrary. Moreover, the poles of f are easily seen to be the points λ^k , for $k \in \mathbb{Z}$. Since these points accumulate on $\{0,\infty\}$, it follows that f cannot be meromorphic in a neighbourhood of either zero or infinity. This completes the proof of our claim.

It remains to prove the 'only if' direction of Theorem 2. Suppose that g is any non-constant rational map and that $f \in \mathcal{M}$ permutes with g. We consider separately the cases where $S(f)$ has one, two, or more than two points.

First suppose that $S(f)$ is a singleton. Without loss of generality, we can assume that $S(f) = \{\infty\}$. Then f is a transcendental meromorphic function, so it follows from Theorem 1 that q is an affine map of the form (2) , and thus is a Möbius map that is not conjugate to an irrational rotation.

Suppose next that $S(f)$ has two elements. If there exists $\zeta \in \widehat{\mathbb{C}} \setminus S(f)$ such that $f(\zeta) \in S(f)$, then $S(f^2)$ has more than two elements, so we can replace f with f^2 . It follows that we can assume that both elements of $S(f)$ are omitted values, and without loss of generality take $S(f) = \{0, \infty\}$. Thus f is a transcendental self-map of the punctured plane. It was pointed out by Rådström [14] that such maps are necessarily of the form

$$
f(z) := z^k \exp(f_1(z) + f_2(1/z)),
$$

where $k \in \mathbb{Z}$ and f_1, f_2 are entire functions. If |z| is large, then f behaves like the transcendental entire function $F(z) := z^k \exp(f_1(z))$. It is straightforward to show that the techniques of [1, 12] can also be applied in this situation with the same result; we omit the detail.

Finally, consider the case where $S(f)$ has at least three elements. We first show that any rational map q that permutes with f is a Möbius map.

Suppose, by way of contradiction, that the degree of q is at least two. We deduce by Picard's great theorem and [5, Theorem 4.1.2] that there exists $\alpha \in \mathbb{C} \setminus S(f)$ such that $f(\alpha) \in S(f)$ and the set $\bigcup_{k \geq 0} g^{-k}(\alpha)$ is infinite.

Suppose that $k \geq 0$ and that $\zeta \in g^{-k}(\alpha)$. Since f^2 and g^k permute, it follows that $g^k(f^2(\zeta))$ is undefined, and so $\zeta \in f^{-1}(S(f)) \cup S(f)$. We deduce that

$$
\bigcup_{k\geq 0} g^{-k}(\alpha) \subset f^{-1}(S(f)) \cup S(f) = S(f^2). \tag{4}
$$

It follows from (4), and [5, Theorem 4.2.7] that $S(f^2)$ contains the Julia set of g. Since [5, Theorem 4.2.4] the Julia set of g is uncountable and $S(f^2)$ is closed and countable, this is a contradiction, so it follows that q is a Möbius map.

To complete the proof, it is sufficient to show that g is not of the form $z \mapsto e^{i\theta}z$, where θ is irrational. If this holds, then $e^{i\theta}f(z) = f(e^{i\theta}z)$, for $z \in \widehat{\mathbb{C}} \setminus S(f)$. Differentiating, we obtain a non-constant function $H \in \mathcal{M}$ with the property that $H(z) = H(e^{i\theta}z)$, for $z \in \widehat{\mathbb{C}} \setminus S(f)$. Now choose a point $\xi \in \mathbb{C} \setminus (\{0\} \cup S(f)).$ Observe that

$$
H(e^{ik\theta}\xi) = H(\xi), \quad \text{for } k \in \mathbb{Z}.
$$

Since θ is irrational, the points $e^{ik\theta}\xi$, for $k \in \mathbb{N}$, accumulate on the whole circle $\{w : |w| = |\xi|\}$. This is a contradiction, since all these points are elements of $H^{-1}(H(\xi))$, which can accumulate only on $S(f)$, and $S(f)$ is $countable.$

3. Proof of Theorem 3

In [7, Theorem 3], Bergweiler and Hinkkanen gave the following result on semiconjugation of entire functions, which is a generalisation of Theorem B.

Theorem C. Let f and h be entire functions such that f is not a Möbius map, and h is not the identity map. Then there are only countably many entire functions g such that

$$
h \circ g = g \circ f. \tag{5}
$$

The method of proof of Theorem C can readily be adapted to give the corresponding result for functions in the class M:

Theorem 5. Let $f, h \in \mathcal{M}$ be such that f is not a Möbius map, and h is not the identity map. Then there are only countably many $q \in \mathcal{M}$ such that (5) holds.

Bergweiler and Hinkkanen's proof of Theorem C uses the facts that, if $n \in \mathbb{N}$ and f is entire but is not a Möbius map, then f^n is also entire, and the repelling periodic points of f are dense in the Julia set $J(f)$, which is a non-empty perfect set. Here a point $\zeta \in \widehat{\mathbb{C}}$ is called *periodic* if there exists $p \in \mathbb{N}$ such that $f^p(\zeta) = \zeta$, and it is also called *repelling* if $|f^p(\zeta)| > 1$.

It was shown in [8] that, if $f \in \mathcal{M}$ is not a Möbius map, then the Julia set $J(f)$ is a non-empty perfect set and that the repelling periodic points of f are dense in $J(f)$. In this case $J(f)$ is the set of points in $\widehat{\mathbb{C}}$ at which either some iterate of f is not defined, or the iterates $\{f^n : n \in \mathbb{N}\}\$ are all defined but do not form a normal family.

These results on the properties of the Julia set for functions in $\mathcal M$ are all that is needed to adapt the proof of Theorem C and so prove Theorem 5. Clearly, Theorem 3 then follows immediately. For completeness, we give a brief proof of Theorem 5 using Bergweiler and Hinkkanen's method.

Proof of Theorem 5. We will define a countable collection of subsets of M . denoted by $(P_{i,j,k})$, for $i, j, k \in \mathbb{N}$, and show that:

- (i) every non-constant $g \in \mathcal{M}$ that satisfies (5) lies in $P_{i,j,k}$ for some $i, j, k \in \mathbb{N}$, and
- (ii) for each $i, j, k \in \mathbb{N}$, the set $P_{i,j,k}$ contains at most one element.

Since there are at most countably many constant functions g satisfying (5) (because if $g \equiv c$ then c is a fixed point of h), it is easy to see that Theorem 5 then follows.

To define the sets $P_{i,j,k}$, we consider the indices i, j, k in turn.

- For some $p \in \mathbb{N}$, f^p has a repelling fixed point, ξ say. Thus we can construct a nested sequence of disks $(D_i)_{i \in \mathbb{N}}$, centred at ξ , in which f^p is defined and univalent, with the radius of D_i tending to 0 as $i \to \infty$, and such that a univalent branch F of f^{-p} maps each D_i into a relatively compact subset of itself, with $F^{n}(z) \to \xi$ uniformly as $n \to \infty$ for $z \in D_i$. Since $\xi \in J(f)$, it follows from the properties of $J(f)$ described above that, for each $i \in \mathbb{N}$, we can choose $a_i \in D_i \setminus \{\xi\}$ and $p_i \geq 1$ such that $f^{p_i}(a_i) = a_i$.
- Now let $(\eta_j)_{j\in\mathbb{N}}$ be an enumeration of the repelling fixed points of h^p . Then we argue similarly that, for each $j \in \mathbb{N}$, there is a disk K_j centred at η_j in which h^p is defined and univalent, and such that a univalent branch H_j of h^{-p} defined on K_j fixes η_j and maps K_j into a relatively compact subset of itself, with $H_j^n(z) \to \eta_j$ uniformly as $n \to \infty$ for $z \in K_i$.
- Finally, let $(b_k)_{k\in\mathbb{N}}$ be an enumeration of all the periodic points of h.

Note that, for simplicity, we have assumed here that h has infinitely many repelling fixed points and periodic points, but the argument remains valid in cases where there are only finitely many.

For each $i, j, k \in \mathbb{N}$, we now define $P_{i,j,k}$ to be the set of all non-constant $g \in \mathcal{M}$ that satisfy (5) and are such that

$$
g(\xi) = \eta_j
$$
, $g(D_i) \subset K_j$ and $g(a_i) = b_k \in K_j$.

To see that property (i) holds, suppose that $g \in \mathcal{M}$ is non-constant. Note that since $\xi = f^p(\xi)$ it follows from (5) that $g(\xi) \in \mathbb{C}$ is a fixed point of h^p . Moreover, by a calculation, $g(\xi)$ is a repelling fixed point of h^p , so there exists $j \in \mathbb{N}$ such that $g(\xi) = \eta_j$. By the continuity of g, there also exists $i \in \mathbb{N}$ sufficiently large that $g(\overline{D}_i) \subset K_j$. Now $a_i = f^{p_i}(a_i)$, and by (5) we have $g(a_i) = g(f^{p_i}(a_i)) = h^{p_i}(g(a_i))$, so $g(a_i) \in K_j$ is a fixed point of h^{p_i} ; in other words, there exists $k \in \mathbb{N}$ such that $g(a_i) = b_k$. Thus $g \in P_{i,j,k}$.

Finally we show that property (ii) also holds. Fix $i, j, k \in \mathbb{N}$, and assume that $g, \tilde{g} \in P_{i,j,k}$. Define $a_{i,n} = F^n(a_i) \in D_i$ and $b_{k,n} = H_j^n(b_k) \in K_j$, for $n \in \mathbb{N} \cup \{0\}$. We claim that $g(a_{i,n}) = b_{k,n}$, for $n \in \mathbb{N} \cup \{0\}$. This is certainly true for $n = 0$, so suppose it is true for $0 \le n \le m - 1$, for some $m \ge 1$. The point $z = a_{i,m}$ is the unique solution in D_i of the equation $f^p(z) = a_{i,m-1}$, so

$$
b_{k,m-1} = g(a_{i,m-1}) = g(f^p(a_{i,m})).
$$

Hence, by (5), we have $b_{k,m-1} = h^p(g(a_{i,m}))$. Also, the point $w = b_{k,m}$ is the unique solution in K_j of the equation $h^p(w) = b_{k,m-1}$. We deduce that $g(a_{i,m}) = b_{k,m}$, which proves the claim.

The same argument can be applied to \tilde{g} , so we have $g(a_{i,n}) = \tilde{g}(a_{i,n})$ for all $n \in \mathbb{N}$. Since g and \tilde{g} are meromorphic, and $\lim_{n \to \infty} a_{i,n} = \xi$ is finite, we have $g \equiv \tilde{g}$ by the identity principle. Thus $P_{i,j,k}$ indeed contains at most one element.

4. Proof of Theorem 4

In this section we prove Theorem 4 by giving two examples of transcendental meromorphic functions that are minimally permuting. The function in the first example has a simple form and the proof uses only elementary arguments. The second example involves a more complicated function and the proof uses a result from value distribution theory, but is very short. It seems worthwhile to give both examples.

For the first example, let f be given by

$$
f(z) := \frac{1}{z}e^z + z.
$$

This function has no fixed points, and so permutes with no constant functions. Moreover, by an application of Theorem 1 and an elementary calculation, it can be shown that f permutes with no rational maps apart from the identity map.

Let g be a transcendental meromorphic function that permutes with f ; we need to show that $g = f$. Note that zero is the only pole of f, and it is of order one. It follows from (1) that zero is the only pole of g. Let $m \in \mathbb{N}$ denote the order of this pole of g.

Let ζ be a zero of f, and let its order be $n \in \mathbb{N}$. Then ζ is a pole of $g \circ f$ of order mn. It follows from (1) that ζ is a pole of $f \circ q$ of order mn, and so ζ is a zero of g of order mn. Similarly, let ζ' be a zero of g, and let its order be $n' \in \mathbb{N}$. Then ζ' is a pole of $f \circ g$ of order n' . It follows from (1) that ζ' is a pole of $g \circ f$ of order n', and so ζ' is a zero of f of order n'/m .

We deduce that $g/(f)^m$ is a meromorphic function in $\mathbb C$ with no poles or zeros, and thus there exists an entire function H , with no zeros, such that

$$
g(z) = f(z)^m H(z), \quad \text{for } z \in \mathbb{C}.
$$
 (6)

We now show that $m = 1$ and $H(0) = 1$. To achieve this we consider the zeros of f of large modulus. These points are the solutions of $e^z = -z^2$. Suppose that $z = x + iy$ is such a point. Since $e^x = |e^z| = |z|^2 = x^2 + y^2$, it follows first that x is large and positive, and then that y is close to $\pm e^{x/2}$. Thus arg z is close to $\pm \pi/2$ and y is close to a large positive or negative even multiple of π . We deduce that, for large positive or negative values of n, there are zeros of f close to the points $\zeta_n := 2 \log (2|n|\pi) + 2n\pi i$; we label the corresponding zeros z_n . It can be shown that

$$
z_n - \zeta_n \to 0 \text{ as } |n| \to \infty. \tag{7}
$$

Now there is a neighbourhood of infinity, U say, in which f has an inverse branch, F say, that maps U to a neighbourhood of the origin. Since $f(z) = 1/z + 1 + O(|z|)$ as $z \to 0$, we have that $F(z) = 1/z + 1/z^2 + O(|z|^{-3})$ as $z \to \infty$. Let $n_0 \in \mathbb{N}$ be sufficiently large that $z_n \in U$, for $|n| \geq n_0$. Then, by (1) and (6) , we have

$$
0 = g(z_n) = g(f(F(z_n))) = f(g(F(z_n))) = f(z_n^m H(F(z_n))), \text{ for } |n| \ge n_0.
$$
\n(8)

In other words, $z_n^m H(F(z_n))$ is a zero of f, for each n such that $|n| \geq n_0$. Hence for each such n there exists $p_n \in \mathbb{Z}$ such that

$$
z_{p_n} = z_n^m H(F(z_n)).
$$
\n(9)

Now

$$
H(F(z)) = H(0) + H'(0)/z + O(|z|^{-2}) \text{ as } z \to \infty.
$$
 (10)

We deduce, by (7) and (10), that

$$
\zeta_{p_n} \sim \zeta_n^m H(0) \text{ as } |n| \to \infty. \tag{11}
$$

It is easy to see that $|p_n| \to \infty$ as $|n| \to \infty$. Since $\arg \zeta_n \to \pm \pi/2$ as $|n| \to \infty$, it follows from (11) that $H(0) = \pm i^{1-m} |H(0)|$. Therefore, as $|n| \to \infty$,

$$
\zeta_{p_n} \sim \zeta_n^m H(0) = (2n\pi i)^m \left(1 - \frac{i \log(2|n|\pi)}{n\pi}\right)^m H(0)
$$

$$
\sim \pm (2n\pi)^m \left(\frac{m \log(2|n|\pi)}{n\pi} + i\right) |H(0)|.
$$

Since ζ_{p_n} also satisfies Im $\zeta_{p_n} = \pm \exp(\text{Re}\,\zeta_{p_n}/2)$, it follows first that $m = 1$, then that Im ζ_{p_n} and Im ζ_n have the same sign, and finally that $H(0) = 1$.

Thus, for |n| sufficiently large, we have $p_n = n$ and hence $H(F(z_n)) = 1$, by (9). Since the points $F(z_n)$ accumulate at the origin, and $H(0) = 1$, it follows by the identity principle that $H(z) \equiv 1$, and this completes the proof that f is minimally permuting.

For the second example, let $q \in \mathbb{N}$ be greater than 16, and let p_1, p_2, \ldots, p_q be the zeros of the polynomial given in [4, Theorem 1] (the same result was proved independently in [10]). We then set

$$
h(z) := \frac{e^z}{\prod_{j=1}^q (z - p_j)} + z.
$$

Then h has no fixed points, and it can be shown using Theorem 1 that h permutes with no rational maps apart from the identity. We denote the set of poles of h by $S := \{p_1, \ldots, p_q\}.$

Suppose that q is a transcendental meromorphic function that permutes with h. Then S is also the set of poles of g by (1). Suppose that $z \in g^{-1}(S)$. Then (1) also gives that $g(h(z)) = \infty$, so $z \in h^{-1}(S)$, and we deduce that $g^{-1}(S) = h^{-1}(S)$. It then follows from [4, Theorem 1] that $h = g$. Thus h is indeed minimally permuting.

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J. W. Osborne, D. J. Sixsmith Department of Mathematics and Statistics The Open University Walton Hall Milton Keynes MK7 6AA UK e-mail: john.osborne@open.ac.uk, david.sixsmith@open.ac.uk