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- 5 Determining the impact of a bowel management protocol on patients and
- 6 clinicians' compliance in cardiac intensive care: a mixed-methods approach.

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26 Conflicts of Interest

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1 Determining the impact of a bowel management protocol on patients and

2 clinicians' compliance in cardiac intensive care: a mixed-methods approach.

3 ABSTRACT

Background: Bowel management protocols standardise care and, potentially,
improve the incidence of diarrhoea and constipation in intensive care. However, little
research exists reporting compliance to such protocols in intensive care throughout
patients' stay. Furthermore, there is limited exploration of the barriers and enablers
to bowel management protocols following their implementation, an important aspect
of improving compliance.

Aim: To investigate the impact of a bowel management protocol on the incidence of
 constipation and diarrhoea, levels of compliance, and to explore the enablers and
 barriers associated with its use in intensive care.

Methods: A mixed-methods study was conducted in cardiac intensive care using two phases: 1) a retrospective case review of patients' hospital notes, before and after the protocol implementation, establishing the levels of diarrhoea and constipation and levels of compliance; 2) focus groups involving users of the protocol, six months following its implementation, exploring the barriers and enablers in practice.

Results and Findings: 51 patients' notes were reviewed during phase one: 30 pre-19 implementation and 21 post-implementation. Following the protocol implementation, 20 there was a tendency for a higher incidence of constipation and less severe cases of 21 diarrhoea. Overall compliance to the protocol was low (2.3%). However, there was 22 evidence of behavioural change following protocol implementation, including less 23 variation in aperients given and a shorter, less varied time period between starting 24 enteral feed and administering aperients. Several themes emerged from the focus 25 groups: barriers and enablers to the protocol characteristics and dissemination; 26 barriers to bowel assessment; nurse as a barrier; medical involvement and protocol 27 28 outcomes.

Conclusions: The bowel management protocol implementation generated some
 positive outcomes to bowel care practices. However, compliance was low and until

- 1 there is improvement, through overcoming the barriers identified, the impact of such
- 2 protocols in practice will remain largely unknown.
- 3 Key words: Bowel management, protocol, constipation, diarrhoea, compliance,
- 4 practice changes, intensive care, mixed-methods

5 **IMPACT STATEMENT**

What does this paper contribute to the wider global clinical community?

- This is the first mixed-methods study to include a measure of compliance to a bowel management protocol throughout the patients' ICU stay.
- The results show that compliance to a bowel management protocol is low.
- Until compliance can be improved, the efficacy of a bowel management protocol in practice is unknown.



1 INTRODUCTION

The incidence of constipation and diarrhoea can be high in intensive care (Jack, 2 Cover, Courtney, & Venkatesh, 2010; Mostafa, Bhandari, Ritchie, Gratton, & 3 Westone, 2003). This consequently impacts on patients' recovery, with prolonged 4 time on mechanical ventilation (Mostafa et al., 2003) and impaired skin integrity 5 (Pittman, Beeson, Terry, Kessler, & Kirk, 2012). Bowel management protocols 6 (BMP) have the potential to standardise bowel care and improve the incidence of 7 constipation and diarrhoea (Dorman et al., 2004; Ferrie & East, 2007; McPeake, 8 Gilmour, & MacIntosh, 2011). However, compliance to such protocols has been 9 rarely reported, leading to uncertainty regarding the efficacy of a BMP in ICU. 10

11

12 BACKGROUND

Critically ill patients have complex needs that often require multiple organ support. 13 Consequently, bowel management for patients admitted to the intensive care unit 14 (ICU) can, inadvertently, be overlooked. Bowel dysfunction is a common occurrence 15 in intensive care, with the reported incidence of constipation and diarrhoea being as 16 high as 83% and 78% respectively (Jack et al., 2010; Mostafa et al., 2003). ICU 17 patients' are exposed to risk factors associated with constipation in intensive care, 18 such as, opioid use, immobility, and critical illness (Gacouin et al., 2010; van der 19 Spoel et al., 2007; van der Spoel, Schultz, van der Voort, & de Jonge, 2006). 20 Furthermore, it is common for these patients to have difficulties weaning from 21 mechanical ventilation, thus prolonging their stay on the ICU (Mostafa et al., 2003). 22 Enteral feeding and antibiotic therapy are common interventions for patients in 23 24 intensive care, and these have been strongly associated with diarrhoea (Jack et al., 2010; Thibault et al., 2013). Prolonged episodes of diarrhoea can compromise skin 25 integrity, increase the risk of cross contamination of infection to other wound sites, 26 and lead to an increased burden on nurse time (Bayón García et al., 2012; Binks et 27 al., 2013; Pittman et al., 2012). 28

29

Research has suggested that a BMP would be beneficial to ICU patients as they
guide clinicians' management of bowel care and subsequently improve the incidence
of constipation and diarrhoea for these patients (Dorman et al., 2004; Ferrie & East,

2007; McPeake et al., 2011; Ring, 2011). However, earlier studies tended to be 1 single-centred quasi-experimental designs, contaminated with various confounding 2 variables, demonstrating little cause and effect and a lack of power due to small 3 sample size. A more recent study also investigating the impact of a BMP in the ICU, 4 adopted a multiple centre quasi-experimental approach using three ICUs in 5 Australia, thus providing more power and robust evidence (Knowles, McInnes, Elliott, 6 7 Hardy, & Middleton, 2014). Interestingly, no significant differences were found in the incidence of constipation and diarrhoea following its implementation. These mixed 8 9 results do raise further questions regarding the efficacy of a BMP in practice.

10

It is likely that the impact of a BMP may be influenced by clinicians' resistance to 11 change. Knowles et al (2014) conclude that a lack of compliance to their BMP was 12 13 the likely explanation for their results. The evidence seems to support this notion. In 14 comparison to the study by Knowles et al (2014), previous research has demonstrated improved nurse documentation and assessment following the 15 implementation of a BMP (Dorman et al., 2004; McPeake et al., 2011), which also 16 supported the findings by McKenna, Wallis, Brannelly, & Cawood (2001). Therefore, 17 at the very least, the implementation of a BMP increased awareness of the issues 18 19 associated with bowel care which promoted thorough assessments and documentation, providing evidence of a change in behaviour among clinicians. As 20 recent studies have failed to support these findings (Knowles et al., 2015, 2014), a 21 lack of compliance to a BMP does explain why the intervention by Knowles et al 22 (2014) failed to change the behaviour of clinicians. 23

24

Knowles et al (2014) utilised a measure of compliance and found that 34% of 25 26 clinicians adhered to the protocol. This figure was based on a prescription on aperients on day one of patients' admission. Unfortunately, previous BMP studies 27 28 have not attempted to measure compliance to the protocol, therefore, comparisons cannot be made (Dorman et al., 2004; Ferrie & East, 2007; McPeake et al., 2011; 29 Ring, 2011). In contrast, studies investigating the implementation of other protocol-30 based interventions in intensive care, e.g. central venous lines, sepsis and ventilator 31 care bundles, have frequently measured compliance (Borgert, Goossens, & 32

Dongelmans, 2015). Due to the different methodologies adopted, it is difficult to 1 compare the BMP compliance figure with other studies. However, the apparent slow 2 progress in behaviour change related to bowel management implementation, in 3 comparison to other types of protocol-based implementation, warranted further 4 5 attention. Furthermore, the measure of compliance utilised by Knowles et al (2014) was merely a snap-shot figure, which may not represent the overall level of 6 7 compliance throughout the patient's entire stay in the ICU. A 'composite' measure can be used, by which a fraction of the care actually given is calculated against the 8 9 care that could have been given, i.e. length of stay in ICU (Benneyan, 2009).

10

Implementation research has highlighted the importance of an iterative process of 11 knowledge to action (Graham et al., 2006). Specifically, further assessments of the 12 barriers to change, after piloting the BMP should be adopted to identify additional 13 enablers and barriers once clinicians have had the time to familiarise themselves 14 with the BMP. For example, it is likely that barriers identified from the introduction of 15 the BMP in Knowles et al (2014) were different to the ongoing barriers. Using this 16 iterative process can facilitate future refinements to a BMP and implementation 17 strategies to overcome the barriers to change. Knowles et al (2014) suggested using 18 Cahill's model to support the exploration of barriers and enablers to the 19 implementation of a BMP in intensive care (Cahill, Suurdt, Ouellette-Kuntz, & 20 21 Heyland, 2010).

22

A BMP was introduced in a Southwest Cardiac Intensive Care unit to reduce
variation in practice and reduce patient discomfort (see Figure 1). This paper reports
on the findings of this evaluation.

26 *Figure 1 here

1 METHODS

2 Aims and Objectives

This overall aim of this study was to evaluate the implementation of a BMP in a
cardiac intensive care unit using the following objectives:

- Ascertain the impact that the implementation of a BMP had on the incidence
 of constipation and diarrhoea.
- 7 2. Identify the level of clinician compliance to the BMP.
- 8 3. Explore the barriers and enablers of a BMP after its implementation to help
 9 future refinements of BMP implementation.

10 Study Design

This study adopted a mixed-methods approach to evaluate bowel management 11 implementation in cardiac ICU which was considered appropriate in terms of 12 alignment with the aims of the study. As such, the study was conducted in two 13 phases. Phase one involved an uncontrolled pretest-posttest retrospective case 14 review to evaluate the impact the BMP had on the incidence of constipation and 15 diarrhoea, and to identify a level of compliance to the BMP using a "composite" 16 17 measure (Bennevan 2009). The second phase involved an exploration of the barriers and enablers following the implementation of a BMP to overcome the difficulties of 18 transferring knowledge into practice (Graham et al., 2006). 19

20 Phase One: Case Review

21 Sample

22 Using a convenience approach to sampling, patients from a single-centre 18 bedded cardiac intensive care unit (CICU) in a large NHS Trust were included in this study, 23 24 in line with the criteria stated in Table 1. These were informed by criteria utilised in previous research (McPeake et al., 2011; Ring, 2011; Knowles et al., 2014), as well 25 as excluding patients with conditions or clinical manifestations considered to be 26 contraindicating to initiating the BMP. The sample size of this study was governed by 27 28 the number of eligible patients obtained within the time constraints of the project, 29 thus no power calculation was performed.

30

31 *Table 1 here

Patients were identified via a search of the electronic documentation system in use
in the CICU, conducted by an independent cardiothoracic data manager. Medical
and nursing notes for the retrieved patients were then examined, and included or
excluded based on the criteria outlined in Table 1. Patients who developed an upper
gastro-intestinal bleed were included up until the day this had clinically manifested,
e.g. melena, or once the source of the upper gastro-intestinal bleed was corrected
and all clinical manifestations had subsided.

8 Data Collection

9 The implementation of the BMP took place during July to October 2015. Data were 10 collected retrospectively to capture the pre-implementation phase from 28th February 11 to 30th June 2015 and the post-implementation phase from 20th October 2015 – 15th 12 April 2016. All data collected were standardised using a data collection tool. As no 13 previous audit tool, specific to the data related to the BMP and bowel management, 14 was available, a new audit tool was designed to collect data on all the outcome 15 measures outlined below.

16

17 Outcome Measures

Outcome measures included demographic and clinical characteristics, as well as the incidence of constipation and diarrhoea. A measure of compliance for each patient included in the study was also incorporated using a composite measure. All outcome measures are outlined in Table 2.

- *Table 2 here
- 23

24 Data Analysis

25 Data were analysed utilising IBM SPSS 22 for Windows, with statistician support.

²⁶ "Time to first bowel movement" was the primary outcome variable for the study, as

well as the main continuous variable, and consequently normality tests were

28 performed on this variable, e.g. Kolmogorov-Smirnov tests and histogram plots.

29

30 Following data checking and cleansing, missing data for one patient was noted,

arising from the inability to locate this patient's drug chart. Therefore, it was not

possible to measure the variables regarding aperient administration and compliance. Descriptive statistics for continuous variables were performed and frequencies were used to explore categorical variables. Inferential analyses were performed using a significance level of p < 0.05 (2-tailed). Given the independent samples between the pre-test and post-test, Mann-Whitney U and Chi-square tests were performed to identify differences between variables in the pre-implementation phase and the post-

7 implementation phase.

8

9 Phase Two: Focus Groups

10 The qualitative phase of the study involved conducting focus group interviews with 11 clinicians to explore real life barriers and enablers of the BMP in practice.

12 Sampling and Setting

Purposive sampling was used to invite clinicians currently employed by the cardiac 13 intensive care at the research site. Only healthcare staff who were users of the BMP 14 were eligible to partake in the two focus groups and these were recruited via poster 15 advertisement displayed in the staff room, invitations sent via social media (a private 16 Facebook group) and via NHS email (addresses obtained via NHS mail). Those who 17 expressed interest in the focus group were given information sheets and consent 18 19 forms prior to the allotted focus group, either attached as an email or given as a hard copy. Each focus group was conducted in a private room within the CICU in April 20 2016. 21

22

23 Data Collection

24 The focus group was facilitated using an interview guide informed by Creswell (2009)

and The Framework for Adherence to Nutritional Clinical Practice Guidelines in ICU,

by Cahill et al (2010), as suggested by Knowles et al (2014), to support the

exploration of barriers and enablers to the implementation of a BMP in intensive

care. Questions asked during the focus groups adopted a semi-structured approach

29 (See Table 3). An observer took field notes for cross referencing during data

30 analysis. Audio-recording devices were utilised and recordings of dialogues were

- 1 transcribed, verbatim. Transcripts were sent to four members of the focus groups
- 2 who had volunteered to member check.
- 3 *Table 3 here
- 4 Data Analysis

5 The data underwent thematic analysis, guided by Braun and Clarke (2006). An

- 6 inductive approach to thematic analysis was initially used to extract codes and
- 7 themes, and these were then cross-referenced to The ICU Framework for
- 8 Adherence, by Cahill et al (2010), which either supported or challenged the codes
- 9 and themes. The themes were identified by the main author, and a sample of these
- 10 were then checked by the co-author. No conflict of ideas between researchers arose.
- 11
- 12 Ethical Issues
- 13 Ethical approval was obtained by the University of Plymouth and the project was also
- registered as a service improvement study with the audit team at the study site.
- 15

16 **RESULTS**

17 Phase One: Case Review

- 18 Figure 2 depicts the flow of patients, whose documents were included within this
- 19 case review. A total of 70 patients' notes were retrieved and audited; 37 pre-
- 20 implementation and 33 post-implementation. Following review of the notes against
- the inclusion criteria, a total of 19 were excluded: 7 pre-implementation and 12 post-
- implementation. Thus, the analysis was undertaken on the 52 remaining case notes;
- 23 30 pre-implementation and 21 post-implementation.
- 24 *Figure 2 here
- 25

Patients' demographic and clinical characteristics are summarised in Table 4. There
were no significant differences found in these characteristics between patients in the
pre-implementation and the post-implementation phase.

29 *Table 4 here

1 Incidence of Constipation

2 Incidence of constipation was explored and the results summarised in Table 5. There

- 3 was a significantly greater proportion of patients who were constipated within 96
- 4 hours from admission in the post-implementation phase compared to the pre-
- 5 implementation phase. However, no significant differences were found between the
- 6 two groups when the variables hours until first bowel movement, episodes of
- 7 constipation during ICU admission (BNO > 72 hours), and the number of patients
- 8 who were constipated within the first 72 hours.
- 9 *Table 5 here
- 10

11 Incidence of Diarrhoea

Results of the statistical analyses exploring the incidence of diarrhoea are also presented in Table 5. There were no significant differences found between the two groups for the number of patients who developed diarrhoea, and the number of days that patients had diarrhoea. However, scrutiny of the data revealed that use of flexi-

seals had significantly reduced post-implementation of the BMP.

17

18 Compliance

19 Composite Compliance

20 The median overall compliance was 2.38% (IQR = 0.39%, n = 12). These results 21 reflect the level to which clinicians completed or responded to all aspects of the BMP. Clinicians adhered well to initially starting Senna and Lactulose, and omitting 22 aperients when diarrhoea presented. Clinicians did not adhere so well to performing 23 a rectal (PR) investigation, and increasing the dose of aperients when patients had 24 no bowel movement. The median nurse documented compliance was 85.97% (IQR 25 = 44.38 - 98.91, n = 12). This reflects the number of nurses documenting on the 26 daily care plan that they had complied with the BMP during their shift. 27

- 28
- 29
- 30
- 31

1 Behaviour change

- 2 There was a small increase in the number of times a PR examination was performed
- (n = 6 (28.6%)) in the post-implementation phase compared to the pre-
- 4 implementation phase (n = 4, 13.3%) but this difference was not significant.
- 5
- 6 Other changes in behaviour were noted (see Figure 3 and 4). The time period from
- 7 starting enteral feed to commencing aperients was less varied in the post-
- 8 implementation phase, with the majority starting aperients day two (61.5%, n = 8),
- 9 compared to day three (32%, n = 8) in the pre-implementation phase. Furthermore, a
- 10 greater portion of patients received Senna and Lactulose, with less variability in
- 11 aperient administration in the post-implementation phase compared to the pre-
- 12 implementation phase. Inferential testing was not performed on the above variables
- 13 due to the violation of assumptions.
- 14 *Figure 3 here
- 15 *Figure 4 here
- 16
- 17 Documented Days of Bowel Assessment
- 18 The days of bowel assessment documented on the fluid chart increased in the post-
- implementation phase (Md = 60, IQR = 22.50 85.71, n = 30) compared to the pre-
- implementation phase (Md = 50, IQR = 24.91 61.28, n = 21. However, this figure
- was not statistically significant (U = 244.000, z = -1.360, p = .17).
- 22 Phase Two: Focus Groups
- Two focus groups were conducted, of approximately 20 to 30 minutes duration. Each focus group contained five participants, who were all female nurses; no male nurses volunteered to take part. Participants had a variety of critical care experience from preceptor to management posts. Codes and themes were extracted and cross references made to Cahill et al (2010) (see Table 6).
- 28 *Table 6 here
- 29
- 30
- 31

- 1 Barrier and Enablers to BMP characteristics
- 2 Participants across both focus groups found the format of the BMP to be user-
- 3 friendly:
- 4 'It's straight forward, you can just go right, what are we on, where are we
 5 looking at, and kinda follow the steps. There's no sort of, oh is it this? Is it that?
 6 It's guite simple.' [FG1, F1]
- You've always got something to follow if that makes sense, it's very structured.
 It's easy to follow.' [FG2, F5]
- 9
- 10 Participants also commented on the appearance of the BMP. At first, they found the
- BMP to be overwhelming due to the number of boxes used in the algorithm, and
- 12 because of this, one-to-one support was important to overcome this barrier.
- 'I think when you look at it, it can feel a bit overwhelming. I remember the first
 time I saw I was like 'oooh.' [FG1, F1]
- 15 'Yeah it's all those boxes' [FG1, F5]
- 'But you cannot be like that, there's no way around that really, so I think that's
 probably why it is helpful that someone talks it through.' [FG1, F1]
- 18
- 19 There was also a comment made regarding the ambiguity of the statements on the
- BMP. More specifically, it was suggested that the "absorbing" statement was unclear
- 21 regarding its clinical application.
- 'You know when it says commence enteral feed, absorbing, is that they have to
 absorb for 24 hours, because that doesn't say, it just says absorbing.' [FG1,
 F3].
- 25
- 26 There also appeared to be some confusion regarding the patient groups who could
- be commenced on the BMP. References were made towards patients with reduced
- gut motility and questioning the applicability of BMP to such patients. Furthermore,
- the bowel management needs of the vascular patients were also raised, questioning
- 30 whether this patient group should also be commenced on the BMP.
- 'We don't really get vascular that stay that long but should they be included in
 this?' [FG1, F5]
- 'Umm at the moment, we've got a patient who is being TPN, not absorbing, so
 how would you go about bowel?' [FG1, F3]

1 Barriers and Enablers of Dissemination

- Participants in both focus groups recalled the following methods of dissemination: 2 3 email, clinical educator and the researcher providing one-to-one detailing of the BMP contents. Other nurses were informed of the BMP by word of mouth. Some members 4 of the focus groups appeared uncertain with how the information was disseminated 5 to them. Participants considered the reminder located on the electronic information 6 system as an effective prompt for all nursing staff. Recommendations for further 7 dissemination methods were: safety briefs, team and ward meetings. 8 'I think, I remember at the time it was quite thorough wasn't it. It was people 9 being taken....taken away and individuals or twos and had it talked through with 10 them.' [FG1, F1] 11 'And now it's on Innovian, it's even more sort of likely to remind you even more 12 now' [FG2, F1] 13 The nurses also talked about the lack of availability of the BMP at times and 14 requesting additional fixed copies. 15 'We need more, cos I can never find a flow sheet' [FG2, F1] 16 'If we could just get a big one for the wall or something just so it's there, and it 17 stays there' [FG2, F5] 18 19 20 A couple of nurses made references to the issues with stock, including suppositories,
- 21 which meant they skipped a step on the BMP, hindering the implementation process
- 22 to patients.

23 We did have issues getting hold of them, I think one shift I was on, so I think we sort

- of jumped that one and went to that one (pointing to the next stage in the BMP)
- because we couldn't get hold of any, so you know, that was a stock issue rather than
- anything else.' [FG1, F3].
- 27
- 28 Medical Involvement
- In both focus groups, there were opposing views regarding the support from the
- 30 medical team. Some participants suggested that the BMP dissemination process to
- 31 the medical team was insufficient, that there was a resistance to prescribe aperients
- 32 and that the BMP was not always followed on the ward round.
- We have some junior doctors that are ummm questioning it, refusing to
 prescribe things.' [FG1, F5]

1 2 3	'I've had it before where a consultant didn't think that the, when it could have got to day 5 or something like that, and X-ray part wasn't necessary. I think that person ended up with a GI bleed actually.' [FG1, F4]
4	Others found the modical staff to be supportive of the BMP, especially related to
5	proscribing of modication, but resistance had been encountered when a patient
0	required a PR examination
/	
8	'The doctors aren't very forthcoming with doing it.' [FG2, F5]
9	'To try and get the doctor to do them isn't easy.' [FG2, F1]
10	
11	When discussing the benefits of the BMP, one participant commented:
12 13 14 15	'It's much quicker as well than having to go to the doctor or go somewhere else. It's like you follow that and then you just go to the doctor and you say "following the bowel protocol, my patient needs to start aperients" and then they prescribe it.' [FG2, F5]
16	
17	Barriers to Bowel Assessment
18	The nurses discussed the difficulties encountered when it came to making
19	assessments of the bowel and nutritional intake. Assessment of sufficient dietary
20	intake was identified as an area needing greater clarification, and that nurses felt
21	they had to make a judgement call regarding this. The lack of documentation
22	regarding dietary intake was also highlighted.
23	
24 25 26	'It's difficult sometimes to work out whether they've had 48 hours of food, because if they haven't had a food chart, and they haven't documented that they have had diet on Innovian, its difficult when to start it.' [FG1, F5]
27 28 29	'It's a little bit down to interpretation. I know there is something on the board isn't there about what is sufficient dietary intake, or something, but ummmI think it's probably a little bit subjective in practice.' [FG1, F4]
30	
31	Difficulties in establishing a patient's last bowel movement and dealing with this
32	uncertainty also arose; partly due to a lack of documentation. As a result, nurses
33	were having to assume that the patient's last bowel movement was on the day of

- 1 surgery. This was especially relevant for patients who had been admitted from
- 2 another part of the hospital, or another hospital.
- We don't always know when necessarily when they last had their bowels open
 as well.' [FG1, F4]
- 'Cos I always kind of go on the day they've gone to theatre. I never know if
 they've opened them.' [FG1, F1]
- 7
- 8 Lack of confidence with performing PR examinations also emerged from participants.
- 9 They expressed their concerns regarding whether nurses were permitted to perform
- 10 a PR examination, and a lack of competencies around this skill. The need for
- 11 additional training arose.
- 'Yeah I'd say there is a confidence issue amongst staff whether or not to do it,
 they might cause some damage by them doing it.' [FG2, F3]
- 'Cos we're not really, I've never done a competency in it, other than putting in a
 flexi-seal, but that's a bit different....there's never been a competency in it.'
 [FG2, F1]
- 17
- 18 Nurse as a Barrier
- 19 This theme emerged several times manifesting in different conversations. Firstly,
- 20 there were references made to the variation in care after the implementation of the
- BMP. In particular, it was highlighted that there were some nurses who did not
- always follow the BMP, or failed to escalate the care as per BMP recommendation.
- 'I was looking after a patient who was day seven and hadn't had her bowels
 opened, hadn't had a PR, so I gave her a PR and suppositories that morning
 and I think after the second lot of suppositories she went, but it was that she got
 to day seven without ummmm, was having Senna and Lactulose, but that
 wasn't doing the job, they just kinda kept going on.' [FG1, F4]
- 28
- 29 It was also highlighted that some nurses were less compliant with following the
- 30 'diarrhoea' aspect of the algorithm, including a reluctance to send stool specimens to
- 31 microbiology. This appeared to arise from wanting to avoid barrier nursing the patient
- 32 after sending a stool specimen because of the additional work and delays in
- discharging the patient to the ward.

- 'Four or five days they've had loose stools, and no one's sent a specimen......'
 [FG1, F3]
- 'And also, its then an issue for us transferring to the ward, which it shouldn't be
 because they are now barriered, so we, if they're not c-diff, it's not such a
 problem, and you've got the result like we're supposed to, its fine cos they'll
 barrier them in the bay.' [FG1, F5]
- 'I think we're very good at using it to start aperients, but when it comes to
 having bowels open and.....and its diarrhoea and then, where actually you
 can come back and still refer to this, whereas we're not so good at coming back
 to it and carrying on when they've actually had their bowels open if that makes
 sense.' [FG2, F5]
- 12
- 13 Nurses' previous experiences and practices appeared to interfere with the
- 14 implementation of the BMP in practice.
- 15 'If anyone's got any presumed practices from before, that they're just stuck, this
- always use to work.' [FG2, F3]
- 17
- 18 BMP Outcomes
- 19 Nurses highlighted several outcomes from the implementation of the BMP, captured
- 20 under three subthemes: improved patient care; increased awareness, and increased
- 21 work load.
- 22 Improved Patient Care
- 23 Positive changes in practice were noted following the implementation of the BMP,
- including a reduction in incidence of constipation and that patients were opening
- their bowels more frequently. Furthermore, bowel care appeared more standardised
- 26 in comparison to previous practice.
- 'I think their bowels are opened a lot more regularly, especially in HDU.' [FG1,
 F5]
- 'It seems to be that not so many people are in trouble you know, whereas
 before it would be quite common that you've had a person days and days
 nothing's happened and it's like turning into a major event like child birth...'
 [FG2, F3]
- 'Everyone gets the same management...because before, it could be very
 random...it would be, you know, who was on, what their opinions are, what the
 doctor's opinions are, should we intervene, shouldn't we. Whereas now it's
 concrete, it's black and white.....' [FG2, F3]
- 37

- 1 The BMP appeared also to have impacted positively on the patient's psychological
- 2 well-being:

'I think the patients are quite happy with it because I think they start to worry as
well if they haven't had their bowels open.' [FG2, F5]

'It's a reassurance tool as well for them you can, particularly in HDU, where
people are speaking more as I've discovered over the last few weeks (laughing)
ummm they are worried about their bowels, but once you say, you know, you're

8 on a certain programme, it's very common to have problems, there's this

- 9 specifically designed programme, you're on it and we're managing it, patients
- seem to go 'alright then', and feel better.' [FG2, F3]
- 11 Increased Awareness
- 12 Participants also noted increased awareness of bowel management and nutrition,
- 13 which had improved their assessments of patients.

14 'I found that it's in my mind a lot more since, since you've, we've had it sort of

disseminated. I think it's more at the forefront of my mind, as part of what, how

16 I'm assessing my patient all the time. And it's also, I'm not thinking about it

- further down the line like I used to. It's sort of in my head straight away, postop.' [FG1, F2]
- 'It's good because it makes you think about nutrition as well, are they actually
 eating enough to have their, you know, for things to be moving....kinda makes
 you think a bit more into it....i think.' [FG2, F5]
- 22 Increased Workload for Nurses
- A negative outcome of the BMP was that workload was perceived to have increased;
- 24 patients were requiring the commode more frequently, particularly in HDU, leading to
- 25 additional nurse responsibilities.
- 'I do feel the commode is used a lot more in HDU. It's a good thing, but yeah
 it's probably...(sigh) we sit them on because they've got a bit of wind, and then,
 they probably use it about four times a day.' [FG1, F5]
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1 DISCUSSION

2 The aim of the BMP was to reduce the variation in care and to prevent constipation

and diarrhoea through a proactive approach. This project focused on evaluating its

4 implementation particularly to three key areas of clinical practice in the ICU;

5 compliance, reducing constipation and reducing diarrhoea.

6

7 Measuring compliance in the current study was performed to evaluate the implementation process, level of compliance and to assess the potential impact that 8 compliance to the BMP had in practice. This study found that compliance to the BMP 9 was low at 2.38%. As this was the first study to incorporate a measure of clinicians' 10 compliance to the BMP throughout the patient's ICU stay, reliable comparisons 11 12 cannot be made to previous studies. However, other protocol based care in ICU, e.g. VAP care bundles, have reported higher composite compliance levels, between 70-13 84% (Crunden, Boyce, Woodman, & Bray, 2005). The BMP is a more complex 14 protocol in comparison to the check-list nature of the VAP care bundle which 15 16 explains this large discrepancy and the need for a mixed-methods approach to explore the questions raised over the barriers to implementation to a BMP. 17

18

There were several enablers identified in the focus groups which included the user-19 friendly characteristics of the BMP and the reminder on the daily care plan which 20 align with those suggested by Cahill et al (2010). However, the barriers identified 21 22 from the focus groups help to explain the results further. Full compliance to the BMP involved a daily assessment and escalation of bowel care. This figure (2.38%) 23 24 reflects how clinicians complied with some elements of the BMP, e.g. administering laxatives when patients were having no bowel movements but were less compliant 25 26 with other aspects, which included escalating care and treatment, e.g. administering 27 suppositories. The identification of the need for suppositories required a PR 28 assessment. This study identified that very few PR assessments were performed, before and after the BMP implementation. A nurse initiated PR assessment was a 29 30 new practice implemented to support the BMP. Nurses could perform this assessment if they felt competent in doing so to assess the presence of stool in the 31 rectum and identify whether treatment escalation was necessary. This was formally 32 introduced to empower nurses and to allow autonomy when managing bowel 33

function. However, the findings identified from the focus groups, 'barriers to bowel
assessment' suggested that the perceived lack of nurses' confidence in performing
PR assessments, appeared to be a barrier to complying with this element of the
BMP. A lack of confidence in skills as a barrier to implementation has been
supported by the Theoretical Domains Framework (Cane et al., 2012) and suggests
that gaps in training and education are at work and need addressing to improve
compliance to this aspect of the BMP.

8

The focus groups also revealed that the medical staff were reluctant to perform a PR 9 assessment. It was implied that this impacted on the nurses' confidence, and 10 compliance to this element of the BMP. This apparent lack of medical support may 11 be due to negative associations of that activity, as jobs with negative connotations 12 associated with it are likely to act as a barrier (Michie et al 2005). Furthermore, the 13 case review revealed shortcomings in medical staff documentation of a bowel 14 assessment for patients, e.g. last bowel movement. Research has highlighted the 15 importance of a multi-disciplinary approach for an effective change in bowel 16 management practice (Poenaru et al., 1997). Thus this apparent ineffective multi-17 disciplinary approach to bowel management in the CICU was likely to hinder the 18 implementation process and compliance to the protocol (Cane et al., 2012; Michie et 19 20 al., 2005).

21

22 Focus groups also highlighted a reluctance to change practice, a barrier that is likely to impede the assessments of a newly implemented BMP from being carried out. 23 24 This barrier is well supported in the literature relating to implementation (Cane et al., 2012; Grol & Wensing, 2004; Michie et al., 2005). Research has suggested that this 25 26 reluctance may be more frequent among older and more experienced nurses, as their professional views may clash with elements of the BMP (Cahill et al., 2010; 27 Cane et al., 2012; Michie et al., 2005). As compliance to a newly implemented 28 protocol requires behavioural change, understanding and overcoming reluctance to 29 30 change previous practices is integral to improving compliance to the BMP.

Interestingly, there was a large discrepancy identified between overall compliance 1 (2.38%) and nurse documented compliance (85.97%) suggesting that there were 2 differences between their perception of complying to the BMP and actual compliance 3 to it. This highlights gaps in the nurses' understanding of the BMP. The methods 4 used to disseminate the protocol were varied, as highlighted in the focus groups, 5 which may also have impacted on the interpretation of the BMP. One-to-one in 6 7 service teaching sessions were considered to be useful; identified as such by Cahill et al (2010). However, not all nurses were able to receive this method of 8 9 dissemination, with some nurses being informed via word of mouth. Thus, there may have been some misinterpretation of the BMP. This highlights educational and 10 training needs into the elements and the application of the BMP. 11

12

The study by Knowles et al (2014) suggested that a lack of compliance to their BMP 13 was the likely explanation for the limited impact on the levels of constipation and 14 diarrhoea. Despite low levels of compliance established in the current study, the 15 implementation of the BMP had an impact in practice. The BMP advocated early 16 administration of aperients, in an attempt to prevent constipation from occurring, a 17 practice underpinned by research (de Azevedo, Freitas, Ferreira, Pontes de 18 Azevedo, & Machado, 2015; Masri, Abubaker, & Ahmed, 2010). However, the results 19 of the current study suggested that, following the BMP implementation, there was a 20 21 tendency for a higher incidence of constipation, with a significant difference noted in the number of patients constipated within the first 96 hours. Further analysis 22 revealed that there was a decrease in the number of patients receiving aperients 23 during their ICU admission following the BMP implementation, a finding that explains 24 the higher incidence of constipation. Normally, aperients are administered to patients 25 with normal gut function within the first 24-48 hours of admission. However, we found 26 that there were patients who were not started on aperients within this time frame 27 following the implementation. Findings from the focus group suggested that there 28 was ambiguity arising from the statements on the BMP. More specifically, focus 29 group members suggested that the 'absorbing' statement on the BMP (see Figure 1) 30 was ambiguous and not fully understood, resulting in uncertainty with regards to the 31 timing of initiating aperients. This confusion may have delayed clinicians in 32 33 administering aperients, leading to increased levels of constipation. Ambiguity of

protocol statements and a lack of knowledge of the procedures involved were
identified as barriers in previous research (Cahill et al., 2010; Cane, O'Connor, &
Michie, 2012; Michie et al., 2005). This suggests that the contents of the protocol
need to be clearer, both on face value and during the dissemination process.

5

6 This confusion over the elements of the BMP can also explain the results relating to levels of diarrhoea. The results demonstrated a tendency for less cases of diarrhoea 7 8 and a significant reduction of the number of faecal-management systems used following the implementation of the BMP suggesting there were less severe cases of 9 10 diarrhoea. This result initially appears to be a positive outcome and previous studies have also found that the implementation of a BMP helped to reduce levels of 11 diarrhoea which was attributed to the implementation of a BMP (Ferrie and East 12 2007: McPeak et al 2011). However, with the low levels of compliance to the BMP in 13 the current study, it is unlikely that the implementation of the BMP is the driving 14 factor behind these results. It is possible that the overall reduction in the number of 15 aperients administered following the implementation explains the reduction in levels 16 of diarrhoea. 17

18

Although this was the first study to measure compliance throughout patients' ICU 19 admissions, the composite measure adopted did not set out to measure a change in 20 behaviour as it did not differentiate between bowel practices before and after the 21 22 BMP implementation. However, there was some evidence of behaviour change. Following the BMP implementation, there was an increase in nurse documented 23 24 days of bowel assessment on the fluid chart, which was also seen in other studies (Dorman et al., 2004; McKenna et al., 2001; McPeake et al., 2011). Furthermore, 25 26 following the BMP implementation, there was less variation in the type of aperients prescribed, as well as the time from enteral feed starting to aperients being 27 28 commenced, with the majority starting aperients earlier following the implementation, supporting the findings by Dorman et al (2004). This suggests that, despite low 29 30 compliance to the BMP, the implementation promoted practice changes to bowel care, and compliance with some of the elements of the BMP, thereby reducing the 31

variation in care. This was also mirrored in the findings from the focus groups which
suggested the BMP increased awareness and improved patient care.

3

4 Bowel management in intensive care is under-researched. A recent systematic review investigating the use of bowel protocols in intensive care has highlighted the 5 6 scarcity of rigorous research in this field (Oczkowski, Duan, Groen, Warren, & Cook, 7 2017). This study was the first of its kind to incorporate a measure of compliance throughout the patient's ICU stay. Future studies investigating compliance into BMPs 8 should utilise the results of this study as a benchmark for comparisons of compliance 9 levels. Furthermore, this is the first study to explore the barriers and enablers of a 10 BMP after its implementation, which is in keeping with the KTA framework (Graham 11 et al., 2006). As qualitative research focussed on exploring the use of BMP in 12 intensive care is scarce, this can further contribute to understanding the 13 psychological and social processes involved when using a BMP in practice. 14

15

The results of this study were used to improve practice locally, and the transferability 16 of the findings are limited due to the small sample and single-centred approach 17 taken. Consequently, caution should be taken when interpreting these results as 18 factors other than the implementation of the BMP may account for the reduced 19 variation and changes in bowel movements. Furthermore, as the sample size was 20 not determined by a sample size calculation, the study was underpowered and type 21 Il error may explain the lack of overall statistical findings. In addition, data saturation 22 in phase two of the study was not achieved due to time constraints associated with 23 24 this study. The researcher was also a novice interviewer with prior working relationships with the participants of the focus groups. This may have impacted on 25 the content of the focus group discussions and the quality of the findings. 26

27

28 CONCLUSION

29 Protocol-based care has the potential to standardise and improve the bowel

- 30 management of patients in intensive care. This study demonstrated that
- 31 implementing a BMP led to some improvements in the management of bowel care,

i.e. reduced variation. However, it demonstrated the difficulties in achieving
compliance to the BMP. Until higher levels of compliance can be achieved, the
impact of the BMP in practice will remain largely unknown. The research concerning
BMPs in intensive care is limited and there is much progress to be made. Working
with the barriers and enablers identified in this study, and using an iterative process
to implementation via a mixed-methods or qualitative approach, may contribute to
overcoming apparent resistance of using a BMP.

8

9 **Relevance to practice**

The implementation of a BMP can be a cost-effective method of reducing variation and improving efficiency. The BMP requires modifications to enhance its use in practice. The enablers identified can be utilised to effectively disseminate other protocols in the future. However, the research highlighted barriers resulting in the development of an action plan for future work (see Table 7).

- 15 *Table 7 here
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2 Figure 1 Cardiac ICU Bowel Management Protocol

3 PR Per Rectum, BSC Bristol Stool Chart, BO Bowels Open, BNO Bowels Not Open, ON once at night

BD twice daily MDT Multidisciplinary Team IPC Infection Prevention Control 4



- Figure 4 Aperients administered during patients' ICU stay







Figure 2 Flow of patients included for pre-implementation and post-implementation

1 Table 1 Eligibility, inclusion and exclusion criteria during phase one

 Length of stay > 72 ho 	urs in intensive care
Over 18 years of age	
Inclusion	Exclusion
Enteral feeding	Feeding via total parenteral nutrition
	History of bowel or gastric surgery
	Intestinal ileus/obstruction during stay
	Ileostomy in situ
	History of inflammatory bowel disease
	Upper gastro-intestinal bleed
	Spinal cord injury
	Chronic and acute liver disease

1 Table 2 Outcome measures during phase one

Demographics/Clinical	Patient_Outcomes	Compliance
Characteristics Age	Constipation	Composite
Gender	Hours to first bowel	Overall compliance
Operation/	movement	Nurse documented
diagnosis	Number of patients	compliance
ICU LOS	constipated within first	Other
EUROSCOREII	72 hours	Type of laxatives
Total IV opioid intake	Number of patients	administered.
Fentanyl (mcg)	constipated within first	Time laxatives started
Remifentanil (mg)	96 hours	from enteral feed
Propofol (mg)	Episodes of	commencing.
Number of inotropes	constipation (BNO in 72	Number of patients
Courses of	hours)	receiving PR
antibiotics	<u>Diarrhoea</u>	assessment
Total enteral feed	 Days of diarrhoea (≥3) 	Number of days of
intake (mls)	loose stools in 24	documented bowel
Hours on	hours)	assessment.
mechanical	• Output of ≥200mls in	
ventilation	24hours)	
	Number of faecal	
	management systems	
	in situ	

- *ICU LOS* Intensive Care Unit Length of Stay, *IV* Intravenous, *BNO* Bowels Not Open, *PR* Per Rectum

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1 Table 3 Focus group questions

Implementation Process

- 1. How were you made aware of the bowel management protocol?
- 2. How could have the bowel management protocol been implemented better in practice?

Guideline Characteristics

- 3. What do you like about the bowel management protocol?
- 4. What don't you like about the bowel management protocol?

Institutional Characteristics

- 5. What makes the protocol easy to use/what do you think are the enablers to the bowel management protocol.
- 6. What are the barriers to using the bowel management protocol/what makes it difficult to use in practice?

Provider Attitudes

- 7. How do you think the bowel management protocol has altered the care given to the patients on CICU?
- 8. Do you have any ideas to improve the bowel management protocol?
- 9. Do you have any other comments you would like to make about the bowel management protocol?

2

Table 4 Demographic and clinical characteristics of patient sample

Demographics and clinical characteristics	Pre- implementation <i>n</i> <i>(%)</i>	Post- implementation <i>n</i> (%)	Test Statistics
Gender: Male	21 (70)	15 (71.4)	$v^2 = 0.28 df = 1$
Female	9 (30)	5 (23.8)	p = .87
Operation: CABG	8 (26.7)	3 (14.3)	
Valve repair/replacement	7 (23.3)	9 (42.9)	
CABG + valve	5 (16.7)	5 (23.8)	
repair/replacement			
Multiple valve	3 (10)	-	
	4 (13.3)	3 (14.3)	
Type A dissection repair	3 (9.9)	1 (4.8)	
Other		M1((0D)	1
	Md (IQR)	Md (IQR)	
Age	73 (65.50 – 76.75)	69 (60 – 77.5)	U = 285.50, z =565, p = .572, r = 0.079, r ² = 0.006
ICU LOS (days)	7.67 (5.89 – 15.09)	6.98 (5.10 – 15.38)	U = 286.50, z =545, p = .585, r = 0.076, $r^2 = 0.005$
Hours of MV	88.64 (22.86 –	64 (44.37 – 289.09)	<u>U = 299, z =306,</u>
	159.50)		p = .759, r = 0.043, r ² = 0.001
EuroSCORE II	3.66 (2.23 – 14.04)	3.31 (1.99 – 9.42)	U = 308, z =134, p = .893, r = 0.019, $r^2 = 0.0003$
Total IV fentanyl intake	350.00 (0 -	2300.00 (0 -	U = 294, z =422,
(mcg)	7639.79)	8562.20)	p = .673, r = 0.0619, $r^2 = 0.003$
Total IV remifentanil intake (mg)	1.77 (0 – 9.5)	7.20 (0 – 26.85)	U = 263, z =761, p = .446, r = 0.106, $r^2 = 0.011$
Total IV propofol intake	13625.00 (2775.00	9400.00 (4603.34 –	U = 312.50, z =048,
(mg)	- 21878.75)	19316.25)	p = .962. r = 0.007, r ² = 0.00004
Total enteral feed intake	7107.29 (2695.50 –	2565.00 (1150.00 -	U = 253, z = -1.187,
(ml)	10349.06)	14501.79)	p = .235, r = 0.1662, $r^2 = 0.0276$
Course of antibiotics	3 (2-3)	3 (2-3)	U = 288, z =556, p = .578, r = 0.0778, r ² = 0.006
Number of inotropes	2 (1-3)	2 (1.5 – 2)	U = 269.50, z =909, p = .363, r = 0.1273, r ² = 0.0162

- 2 CABG Coronary Artery Bypass Graft, *PE* Pleural Effusion, *LOS* Length of Stay, *IV* Intravenously, *MV* Mechanical Ventilation, 1 Chi-square assumption violated

1

2 Table 5 Results from constipation and diarrhoea outcomes

	Pre-implementation	Post-implementation	Test Statistic
Hours until first bowel movement <i>Md</i> (<i>IQR</i>)	96.52 (72.59-128.10)	108 (97.98 – 119.23)	U = 266, z =938, p = .348, r = 0.0487, $r^2 = 0.0023$
Episodes (%) of constipation (BNO < 72 hours) <i>Md</i> (<i>IQR</i>)	14.29 (6.67 – 20)	14.29 (5.5 – 20)	U = 282.50, z =626, p = .531, r = 0.0743, $r^2 = 0.0055$
Constipated within first 72 hours: <i>n</i> (%) Yes No	23 (76.7) 7 (23.3)	19 (90.5) 2 (9.5)	p = .28 I OR = 0.346 95% CI = 0.0641 to 1.8648
Constipated within first 96 hours: <i>n</i> (%) Yes No	15 (50) 15 (50)	17 (81) 4 (19)	χ ² = 3.8, <i>df</i> = 1, <i>p</i> = .05 OR = 0.2353 95% CI = 0.0639 to 0.8662
Percentage of diarrhoea days, <i>Md</i> (<i>IQR</i>)	2.17 (0-20)	0 (0 – 16.07)	U = 283, z =644, p = .507, r = 0.0901, $r^2 = 0.0081$
Patients who developed diarrhoea: <i>n</i> (%) Yes No	15 (50) 15 (50)	9 (42.9) 12 (57.1)	χ ² = .048, <i>df</i> = 1, <i>p</i> =.827 OR = 1.3333 95% CI = 0.4341 to 4.0952
Faecal management system? <i>n</i> (%) Yes No	7 (23.3) 23 (76.7)	0 (0) 21 (100)	p = .03 OR = 13.7234 95% CI = 0.7387 to 254.9363

3 BNO Bowels Not Open 1 Fisher Exact Test

1 **Table 6** Outline of the thematic analysis process

Codes	Themes	Sub-	Cross reference to
BMP: easy to read; straightforward; simple, structured. Initially overwhelming Lots of boxes 'Absorbing' statement vague Is BMP applicable for TPN fed patients? Is BMP applicable for vascular patients?	Barriers and Enablers to the BMP characteristics	themes	User-friendly format Information overload Vague or complex sentences Lack of generalisability to specific patient
Dissemination: word of mouth; clinical educator; email; reminders on Innovian; nurse in charge; one-to-one education. Not all received one-to-one. Uncertain how they were informed. Lack of BMP availability Need more; fixed to wall. Recommendations of other dissemination methods: safety brief; team meeting; ward meeting.	Barriers and Enablers of <u>the</u> dissemination		Support of clinical educator Reminders Use of email and web-based tools. Displacement of information
Lack of suppositories in stock Skipping steps on protocol when no stock			Lack of appropriate materials
Drs: refusing to prescribe aperients; questioning content of BMP; not always following BMP (CHDU) No medical resistance; Drs happy to prescribe aperients and follow BMP (CICU) Drs resistance to perform PR (CICU)	<u>Medical</u> Involvement		Physician resistance v shared team goals
PR examination: lack of confidence; grey area; no competencies; need for training Drs resistance to perform PR (CICU) Uncertainty over: patient's last bowel movement, patient's nutritional intake. Bowel movement not always documented pre-op. Nutritional intake not always documented Nutritional assessment subjective	<u>Barriers to</u> <u>bowel</u> <u>assessment</u>		A lack of possession of skills and training to perform procedure (An enabler in Cahill et al (2010), but reversed to make a barrier)
Variation in bowel care Nurses not escalating care as per BMP Previous practices interfering; reluctance to change Nurses compliant with following 'constipation' algorithm; less compliant following 'diarrhoea' algorithm Reluctance to send stool specimen Difficulties in barrier nursing with increased workload and delays in discharge.	<u>Nurse as a</u> <u>barrier</u>		Inertia of previous practice, especially among experienced staff.
Raised awareness of bowel and nutritional intake Forefront of mind; acting early Opening bowels more and quicker A uniform approach Constipation less common Patients reassured Patients needing the commode more frequently. Barrier nursing increases workload	<u>BMP</u> Outcomes	Increased awareness Improved patient care Increased workload	NA

BMP Bowel Management Protocol, *TPN* Total Parental Nutrition, *PR* Per Rectum, *CHDU* Cardiac High Dependency Unit, *CICU* Cardiac Intensive Care Unit

1 Table 7 Identified barriers and action plan for CICU

Barrier	Action Plan
BMP appearance overwhelming	Simplify the BMP
Statements on BMP not clear.	Ensure its elements are clearly stated.
Inconsistent methods of dissemination to	Additional one-to-one interactions and
staff.	teaching sessions for staff to explain the
Misinterpretation of the BMP.	elements of the BMP and the
Reluctance to change previous practices.	implications of its use in practice.
Lack of a multi-disciplinary approach of	Inform anaesthetic lead consultant of
bowel management.	findings. Ward round templates to
	include 'bowel' element for doctors to
	complete.
Lack of nurse confidence in performing a	Provide guidelines to nurses on
rectal assessment.	performing rectal assessment.
Poor documentation of patients' nutritional intake and bowel function.	Set up reminders about the importance of documentation

2	BMP Bowel Management Protocol.
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