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**Title:** Sodium bicarbonate improves 4 km time trial cycling performance when individualised to time to peak blood bicarbonate in trained male cyclists.

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## **Abstract**

The aim of this study was to investigate the effects of sodium bicarbonate ( $\text{NaHCO}_3$ ) on 4 km cycling time trial (TT) performance when individualised to a predetermined time to peak blood bicarbonate ( $\text{HCO}_3^-$ ). Eleven male trained cyclists volunteered for this study (height  $1.82 \pm 0.80$  m, body mass (BM)  $86.4 \pm 12.9$  kg, age  $32 \pm 9$  years, peak power output (PPO)  $382 \pm 22$  W). Two trials were initially conducted to identify time to peak  $\text{HCO}_3^-$  following both  $0.2 \text{ g kg}^{-1}$  BM (SBC2) and  $0.3 \text{ g kg}^{-1}$  BM (SBC3)  $\text{NaHCO}_3$ . Thereafter, on three separate occasions using a randomized, double-blind, crossover design, participants completed a 4 km TT following ingestion of either SBC2, SBC3, or a taste-matched placebo (PLA) containing  $0.07 \text{ g kg}^{-1}$  BM sodium chloride (NaCl) at the predetermined individual time to peak  $\text{HCO}_3^-$ . Both SBC2 ( $-8.3 \pm 3.5$  s;  $p < 0.001$ ,  $d = 0.64$ ) and SBC3 ( $-8.6 \pm 5.4$  s;  $p = 0.003$ ,  $d = 0.66$ ) reduced the time to complete the 4 km TT, with no difference between SBC conditions (mean difference =  $0.2 \pm 0.2$  s;  $p = 0.87$ ,  $d = 0.02$ ). These findings suggest trained cyclists may benefit from individualising  $\text{NaHCO}_3$  ingestion to time to peak  $\text{HCO}_3^-$  to enhance 4 km TT performance.

**Key words:** buffering, metabolic alkalosis, dosage, individual pursuit

## 1 **Introduction**

2 Competitive cycling is reflective of high-intensity exercise, particularly in events such as the  
3 individual and team pursuit, which entails completion of a 4 km time trial (TT). The typical  
4 duration of this event ranges between 4 (world record times) and 7 min (recreational riders),  
5 and because of this, a large energy supply is provided by anaerobic glycolysis (Gastin, 2001).  
6 With such a demand an exponential accumulation of metabolites including inorganic  
7 phosphate, hydrogen ions ( $H^+$ ), and lactate occurs (Westerblad et al., 2002; Allen et al., 2008).  
8 Due to the inverse relationship between  $H^+$  and pH, this process causes metabolic acidosis and  
9 results in a decrease in blood and muscle pH (Allen et al., 2008). Whilst there is no singular  
10 mechanism of peripheral fatigue, perturbations to acid base balance have been implicated to  
11 inhibit enzyme activity (e.g. glycogen phosphorylase) and calcium ion ( $Ca^{2+}$ ) cross-bridge  
12 binding (Fitts, 2008, 2016). Preventative strategies such as the ingestion of nutritional  
13 ergogenic aids may therefore be beneficial to mitigate such local acid-base disturbances in  
14 active musculature (Christensen, Shirai, Ritz, & Nordsborg, 2017; Matson & Tran, 1993).

15  
16 Ingestion of sodium bicarbonate ( $NaHCO_3$ ), a known buffering agent, can reinforce acid base  
17 balance by producing a state of metabolic alkalosis (increased pH and  $HCO_3^-$ ) (McNamara &  
18 Worthley, 2001). Increases in pH typically result in a greater efflux of  $H^+$  and lactate from  
19 active musculature into extracellular compartments, due to a greater intra-extracellular  
20 gradient, whilst elevated  $HCO_3^-$  can be utilised to buffer against  $H^+$  within extracellular  
21 compartments (Bishop, Edge, Davis and Goodman, 2004). The resulting effect is more work  
22 completed during exercise of high intensities, which in turn, will improve exercise capacity or  
23 performance (Bishop et al., 2004; Marx et al., 2002). It is therefore important to heighten the  
24 level of blood alkalosis via changes in pH and  $HCO_3^-$  prior to exercise (Gough, Deb, Sparks &  
25 McNaughton, 2017a; Jones et al., 2016). Common practice is to prescribe  $NaHCO_3$  between a

26 set time of between 60 and 90 mins for all participants (Carr, Hopkins and Gore, 2011; Price  
27 and Singh, 2008; Siegler et al., 2009). In a recent study, however, it was reported time to peak  
28  $\text{HCO}_3^-$  occurred between 40 and 125 min (Gough et al., 2017a), with a similar variation  
29 observed in other dose-response studies (Jones et al., 2016; Miller et al., 2016). Many  
30 participants may not therefore achieve peak alkalosis at the start of exercise, which might  
31 explain, in part, the lack of an ergogenic effect of  $\text{NaHCO}_3$  supplemented at 100 min (Correia-  
32 Oliveira et al., 2017) and 150 min (Callahan, Parr, Hawley & Burke, 2017) in other 4 km  
33 cycling TT studies.

34

35 In response to such variation in time to peak alkalosis it is recommended that either time to  
36 peak pH or  $\text{HCO}_3^-$  is predetermined prior to use for an exercise bout, as this accounts for the  
37 inter-individual variation commonly observed (McNaughton et al., 2016; Miller et al., 2016;  
38 Jones et al., 2016; Gough et al., 2017c). Indeed, preliminary studies to date have displayed  
39 ergogenic benefits of  $\text{NaHCO}_3$  individualised to a predetermined peak pH in cycling  
40 performance (Miller et al., 2016; Deb et al., 2017). Gough et al. (2017a) however, recently  
41 demonstrated greater reliability of time to peak  $\text{HCO}_3^-$  compared to time to peak pH with  
42 Intraclass Correlation Coefficient (ICC) analysis ( $r = 0.94$  vs.  $0.71$ ). It may therefore be more  
43 appropriate to determine the effects of  $\text{NaHCO}_3$  on  $\text{HCO}_3^-$  responses, particularly if the athlete  
44 wishes to achieve peak alkalosis consistently. Nonetheless, no study to date has investigated  
45 the potential ergogenic effects of  $\text{NaHCO}_3$  supplementation determined by a predetermined  
46 individual time to peak  $\text{HCO}_3^-$  on an exercise protocol reflective of competitive cycling such  
47 as a 4 km TT.

48

49 Investigations into the ergogenic effects of individualising  $\text{NaHCO}_3$  to a predetermined time  
50 to peak pH have prescribed an amount of  $0.3 \text{ g}\cdot\text{kg}^{-1}$  BM (Miller et al., 2016; Deb et al., 2017).

51 This is likely due to early research by McNaughton (1992) reporting a dose-dependent effect  
52 on performance, with 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> improving total work done (TWD) to a greater  
53 magnitude than 0.2 g·kg<sup>-1</sup> during 60 s of maximal cycling; whilst meta-analyses have also  
54 shown a meaningful effect on exercise performance following 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> (Peart  
55 et al., 2012; Carr et al., 2011). Despite this, there is a paucity of literature investigating the  
56 dose-dependent ergogenic effects from smaller doses of NaHCO<sub>3</sub> on exercise performance.  
57 The greater magnitude of effect between 0.3 g·kg<sup>-1</sup> and 0.2 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> reported by  
58 McNaughton (1992) for instance, was non-significant and only considered one exercise  
59 duration/intensity and participant cohort (recreationally active). Furthermore, McKenzie,  
60 Coutts, Stirling, Hoeben and Kuzara (1986) reported a negligible 0.3% difference between 0.15  
61 g·kg<sup>-1</sup> BM and 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> in a cycling time to volitional exhaustion test at 125%  
62 VO<sub>2max</sub>. Based on such limited evidence, further research is warranted exploring the dose-  
63 dependent effects of NaHCO<sub>3</sub>.

64

65 A further concern of a 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> ingestion strategy is the commonly reported  
66 gastrointestinal (GI) discomfort symptoms such as stomach cramp, diarrhoea, and in extreme  
67 cases, vomiting, which can have major negative implications for exercise performance  
68 (Saunders et al., 2014; Gough et al., 2017a, 2017b). It is therefore important to maximise the  
69 potential ergogenic effect through attaining peak buffering capacity, whilst also managing the  
70 severity of (GI) discomfort. Given that smaller amounts of NaHCO<sub>3</sub> (i.e. 0.2 g·kg<sup>-1</sup> BM) are  
71 associated with lower instances and severity of GI discomfort (Gough et al., 2017a, 2017c), it  
72 may be prudent to suggest this amount is a better option practically to the athlete aiming to  
73 enhance their performance, as long as ergogenic benefits are still evident.

74

75 To heighten the likeliness of an ergogenic benefit and mitigate the severity of GI discomfort,  
76 0.2 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> individualised to a predetermined time to peak HCO<sub>3</sub><sup>-</sup> may be suitable.  
77 Gough et al. (2017a) reported a 5.7 ± 0.9 mmol·l<sup>-1</sup> increase of HCO<sub>3</sub><sup>-</sup> following 0.2 g·kg<sup>-1</sup> BM  
78 NaHCO<sub>3</sub> using a time to peak HCO<sub>3</sub><sup>-</sup> strategy, which is superior to the 3.9 ± 0.9 mmol·l<sup>-1</sup> mean  
79 change reported in a meta-analysis following a standardised 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> dose (Carr  
80 et al., 2011). These changes in acid base balance following 0.2 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> are also  
81 close to the 6 mmol·l<sup>-1</sup> increase purported to lead to an ergogenic effect on performance  
82 (Matson & Tran, 1993; Jones et al., 2016). These data combined, suggest 0.2 g·kg<sup>-1</sup> BM  
83 NaHCO<sub>3</sub> individualised to a pre-determined time to peak HCO<sub>3</sub><sup>-</sup> achieves the required acid  
84 base balance changes that may improve performance, whilst also reducing the symptoms of GI  
85 discomfort. Despite this, no literature to date has investigated the dose-dependent effects (i.e.  
86 0.2 g·kg<sup>-1</sup> vs. 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub>) on exercise performance when individualised to a  
87 predetermined time to peak HCO<sub>3</sub><sup>-</sup>. The purpose of this study, therefore, was to investigate the  
88 effects of both 0.2 g·kg<sup>-1</sup> BM (SBC2) and 0.3 g·kg<sup>-1</sup> BM (SBC3) NaHCO<sub>3</sub> individualised to a  
89 predetermined time to peak HCO<sub>3</sub><sup>-</sup> on 4 km TT performance. We hypothesised that both SBC2  
90 and SBC3 would reduce the time required to complete the 4 km TT.

91

## 92 **Materials and Methods**

### 93 *Participants*

94 A priori power calculation conducted using SPSS Sample Power 3 (IBM, Chicago, IL, USA)  
95 displayed a sample size of 11 would allow detection of a 3 s change with high statistical power  
96 ( $\beta = 0.80$ ;  $0.05 = \alpha$  level). This set criterion was used to detect a difference between NaHCO<sub>3</sub>  
97 treatments (i.e. SBC2 vs. SBC3) and between SBC treatments and the placebo, as this is the  
98 typical difference required to determine medal positions for the men's individual pursuit and  
99 similar events at Olympic Games (Christensen et al., 2017). Eleven male trained cyclists

100 therefore volunteered for this study (height  $1.82 \pm 0.8$  m, body mass  $86.4 \pm 12.9$  kg, age  $32 \pm$   
101 9 years, peak power output (PPO)  $382 \pm 22$  W) with a weekly training frequency of  $\geq 3$  times,  
102 for a total of  $\geq 5$  hours per week, and for a minimum of 2 years training experience, which was  
103 specifically in cycling. Based on these descriptors, participants met the criteria of ‘trained  
104 cyclist’ as described by De Pauw et al. (2013). Participants were also excluded if they had  
105 ingested any nutritional buffers (such as beta alanine) in the prior 6 months of the study. Ethical  
106 approval was obtained from the Departmental Research Ethics Committee and each participant  
107 provided written informed consent prior to experimental testing.

108

### 109 *Experimental overview*

110 Participants visited the laboratory on six occasions in a randomised, crossover and double blind  
111 designed study (2 x identification of peak blood  $\text{HCO}_3^-$ , 3 x cycling TT’s). Constraints on  
112 ingestion of alcohol and participation in any strenuous/unaccustomed exercise were in place  
113 24 hours prior to each trial. Caffeine was also prohibited 12 hours prior to any trial. Written  
114 logs of nutritional intake were taken, with intake from the first trial replicated for subsequent  
115 trials. Participants visited the laboratory in a four-hour postprandial state and trials were  
116 conducted at the same time of day to account for circadian rhythms (Reilly, 1990).  
117 Experimental trials were separated by at least three days to allow acid base balance variables  
118 to return to normal resting concentrations (Siegler et al., 2009).

119

### 120 *Identification of time to peak blood bicarbonate*

121 On two separate occasions participants ingested either  $0.2 \text{ g}\cdot\text{kg}^{-1}$  BM  $\text{NaHCO}_3$  (SBC2) or  $0.3$   
122  $\text{g}\cdot\text{kg}^{-1}$  BM  $\text{NaHCO}_3$  (SBC3) mixed with 400 ml of water and 50 ml double strength and sugar-  
123 free blackcurrant cordial to identify time to peak blood  $\text{HCO}_3^-$  and pH. Whilst quietly resting  
124 and seated, finger prick capillary blood samples were collected in a  $100\mu\text{l}$  sodium heparin-



125 coated glass clinitube every 10 min for analysis of blood  $\text{HCO}_3^-$  and pH over a 120 min period  
126 using a blood gas analyser (ABL800 BASIC, Radiometer Medical Ltd. Denmark). The highest  
127  $\text{HCO}_3^-$  value was used as a determination of time to peak  $\text{HCO}_3^-$  and this determined the timing  
128 of ingestion for experimental trials. Supplementation of  $\text{NaHCO}_3$  was double blinded and  
129 randomised (block randomisation), as a laboratory technician outside of the research group  
130 prepared the  $\text{NaHCO}_3$ . Likewise, the time to peak  $\text{HCO}_3^-$  was determined by researchers  
131 outside of the study and the participant was not informed of their time to peak to ensure the  
132 double blind nature of the study. For the PLA condition, a time to peak  $\text{HCO}_3^-$  was used from  
133 either SBC2 or SBC3.

134

#### 135 ***Four-kilometre cycling protocol, blood measures and perceptual measures***

136 The next visit involved a familiarisation to the 4 km cycling TT on a Velotron cycle ergometer  
137 (Velotron, RacerMate Inc., USA) interfaced with Velotron coaching software (RacerMate Inc.,  
138 USA). This ergometer has displayed high test-retest reliability with excellent ICC values of  
139 between  $r = 0.90$  to  $0.96$ ,  $p < 0.01$  for mean power in TT events (Astorino, 2011; Costa,  
140 Guglielmo & Paton, 2017). Participants selected a preferred handlebar and saddle position,  
141 whilst they were also permitted to change gears freely throughout each TT using their preferred  
142 fixed gear ratios. These settings were then adopted for all subsequent trials. Strong verbal  
143 encouragement was provided throughout the TT and feedback on the distance covered and  
144 cadence was provided via the software (Stone et al., 2011), but time elapsed was blinded. Time  
145 to complete, mean power and mean speed was recorded for both the total distance and 0.5 km  
146 splits, along with heart rate (HR) every 0.5 km (Polar, T31, Finland). Blood measures for pH  
147 and  $\text{HCO}_3^-$  were taken pre-ingestion and post-exercise as per the previously described method.  
148 A  $5\mu\text{l}$  sample for blood lactate (BLa) was also taken at the same respective time points (Lactate  
149 Pro 2, Arkray, Japan). Ratings of perceived exertion (6-20; Borg, 1982) for the whole body

150 (RPE<sub>O</sub>), legs (RPE<sub>L</sub>), and affective perceptions of work rate (11-point bipolar scale with +5  
151 representing 'very good' and -5 representing 'very bad') were recorded every 1 km (Thomas  
152 et al., 2015). This procedure was repeated another three times, with the exception that either  
153 0.2 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> (SBC2), 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> (SBC3) or a taste matched placebo  
154 (PLA) containing 0.07 g·kg<sup>-1</sup> BM sodium chloride (NaCl) was ingested, after baseline measures  
155 were taken. Participants then sat quietly rested until their respective predetermined time to peak  
156 HCO<sub>3</sub><sup>-</sup>, at which point a further blood sample was taken. Treatments were administered in a  
157 double-blind manner, and for PLA treatments, a time to peak HCO<sub>3</sub><sup>-</sup> time frame from an SBC  
158 treatment was selected randomly by a researcher outside of the study to maintain the double-  
159 blind design. Following ingestion, and up to the individuals respective time to peak HCO<sub>3</sub><sup>-</sup>, GI  
160 discomfort was measured using a visual analogue scale (VAS) every 10 min, as per previous  
161 studies (Miller et al., 2016; Gough et al., 2017a).

162

### 163 **Statistical analysis**

164 Assessed variables were analysed using both Shapiro-Wilk tests and standard graphical  
165 methods for normality, whilst a Mauchly test was used for homogeneity and  
166 variance/sphericity. A paired sampled t-test was used to assess the severity and time to peak  
167 GI discomfort between SBC treatments. Both mean power and speed were analysed using a  
168 repeated measures ANOVA. Otherwise, a two-way repeated measures ANOVA (e.g. condition  
169 x each 0.5 km segment/time point) was used and where either interactions or main effects were  
170 observed, Bonferroni corrected posthoc pairwise comparisons were carried out. Where main  
171 effects or interactions were observed, partial eta squared ( $P\eta^2$ ) effect size is reported. Between  
172 treatment effect sizes ( $d$ ) were calculated using the difference in means divided by the pooled  
173 SD of the compared trials (Nagakawa & Cuthill, 2007), however with a Hedge's  $g$  bias  
174 correction to account for the sample size in this study (Lakens, 2013). All effect size

175 interpretations were considered as trivial ( $<0.20$ ), small ( $0.20-0.49$ ), moderate ( $0.50-0.79$ ) or  
176 large ( $\geq 0.80$ ) (Cohen, 1988). Intraclass Correlation Coefficients (ICC) were used to determine  
177 the reproducibility of blood metabolites (i.e. time to peak  $\text{HCO}_3^-$  and pH) following SBC  
178 conditions and are reported with  $r$  value and significance value ( $p$  value). Interpretation of  
179 reproducibility was determined by the respective  $r$  value with categories of poor ( $<0.40$ ), fair  
180 ( $0.40-0.59$ ), good ( $0.60-0.74$ ) and excellent ( $>0.74$ ). Data are presented as mean  $\pm$  SD with  
181 95% confidence intervals (CI) unless otherwise stated. Statistical significance was set at  $p$   
182  $<0.05$  and data were analysed using SPSS v22 for Windows (SPSS Inc., Chicago, IL, USA).

183

## 184 **Results**

### 185 *Performance responses for all participants (n =11)*

186 Faster mean completion times (Figure 1) by  $8.3 \pm 3.4$  s were observed following SBC2 ( $p <$   
187  $0.001$ , CI = 12.0, 4.7,  $d = 0.64$ ) and by  $8.6 \pm 5.2$  s following SBC3 compared to PLA,  
188 respectively ( $p = 0.003$ , CI = 14.2, 3.0,  $d = 0.66$ ). There was no difference between SBC2 and  
189 SBC3 ( $374.0 \pm 13.3$  vs.  $373.7 \pm 13.3$  s,  $p = 0.87$ , CI = -3.0, 3.7,  $d = 0.02$ ; Figure 1).

190

191 **\*\*Figure 1 near here\*\***

192

193 A  $16 \pm 13$  W (+5.7%) increase in mean power was observed following SBC2 ( $304 \pm 28$  W,  $p$   
194  $= 0.02$ , CI = 2.6, 30.3,  $d = 0.62$ ), while in SBC3 an increase of  $16 \pm 15$  W (+5.9%) was observed  
195 ( $304 \pm 31$  W,  $p = 0.03$ , CI = 1.1, 32.9,  $d = 0.58$ ; Figure 2a) compared to PLA ( $287 \pm 25$  W).  
196 There was no difference between SBC2 and SBC3 ( $p = 0.90$ , CI = -10.2, 9.1,  $d = 0.01$ ).  
197 Following SBC2, a  $0.9 \pm 0.6$   $\text{km}\cdot\text{h}^{-1}$  (+2.4%) increase in mean speed was observed compared  
198 to PLA ( $38.6 \pm 1.4$  vs.  $37.7 \pm 1.1$   $\text{km}\cdot\text{h}^{-1}$ ,  $p = 0.008$ , CI = 0.2, 1.6,  $d = 0.69$ ). Similarly, a  $0.8 \pm$   
199  $0.6$   $\text{km}\cdot\text{h}^{-1}$  (+2.0%) increase in mean speed was observed following SBC3 ( $38.4 \pm 1.3$ ,  $p = 0.02$ ,

200 CI = 0.1, 1.4,  $d=0.56$ ), whilst there was no difference between SBC conditions ( $p=0.42$ , CI =  
201 -0.3, 0.6,  $d=0.14$ ; Figure 2b).

202

203 \*\* Figure 2 near here\*\*

204

### 205 ***Performance responses for participants who suffered gastrointestinal (GI) discomfort (n =8)***

206 Despite the occurrence of GI discomfort, SBC2 improved performance by  $9.0 \pm 3.8$  s in SBC2  
207 ( $p=0.001$ , CI = 4.5, 13.5,  $d=0.68$ ) and  $8.9 \pm 6.1$  s in SBC3 ( $p=0.02$ , CI = 1.7, 16.2,  $d=0.68$ )  
208 compared to PLA. Only one participant failed to improve performance (0.1 s difference vs.  
209 PLA), whilst three participants improved by less than the 3 s threshold that was set in the priory  
210 power calculation for a meaningful effect (range = 2-2.6 s improvement vs. PLA).

211

### 212 ***Blood metabolite responses***

213 Absolute peak change in  $\text{HCO}_3^-$  from baseline was  $5.5 \pm 0.7$  in SBC2 and  $6.5 \pm 1.3$   $\text{mmol}\cdot\text{l}^{-1}$  in  
214 SBC3 which was not significantly different ( $p=0.07$ ;  $d=0.92$ ). Peak  $\text{HCO}_3^-$  occurred within a  
215 range of between 40 to 110 mins in SBC2 (mean  $62 \pm 20$  min, CV: 33%), and between 40 to  
216 100 min in SBC3 (mean  $73 \pm 20$  min, CV: 27%; Figure 3).

217

218 \*\*Figure 3 near here\*\*

219

220 The change from baseline to the peak pH was not significantly different between SBC  
221 conditions ( $p=0.13$ ,  $d=0.75$ ; SBC2  $=0.07 \pm 0.02$ , SBC3  $=0.09 \pm 0.03$ ). In subsequent cycling  
222 trials (i.e. 4km TT's) good reproducibility was observed for absolute mean change from  
223 baseline in pH following both SBC2 ( $+0.06$ ; ICC  $r=0.67$ ,  $p=0.026$ ) and SBC3 ( $+0.06$ ;  $r=0.65$ ,  
224  $p=0.040$ ). Greater reproducibility was observed for absolute mean change in  $\text{HCO}_3^-$  however,

225 displaying excellent reliability in both SBC2 (+4.9 mmol·l<sup>-1</sup>;  $r = 0.86$ ,  $p = 0.002$ ) and SBC3  
226 (+5.6 mmol·l<sup>-1</sup>;  $r = 0.88$ ,  $p < 0.001$ ).

227

228 In the cycling trials, a time × treatment interaction was observed for pH ( $p = 0.048$ ,  $P\eta^2 = 0.285$ )  
229 whereby pH was  $+0.07 \pm 0.02$  (+0.9%) greater at time to peak (figure 4a) for SBC2 ( $7.46 \pm$   
230  $0.03$ ;  $p < 0.001$ ,  $CI = 0.09, 0.04$ ,  $d = 2.64$ ) and  $0.08 \pm 0.02$  (+1%) greater for SBC3 ( $7.47 \pm 0.02$ ;  
231  $p < 0.001$ ,  $CI = 0.09, 0.05$ ,  $d = 3.85$ ) compared to PLA ( $7.39 \pm 0.02$ ). There was no difference  
232 between SBC2 and SBC3 ( $p = 0.69$ ,  $CI = -0.3, 0.1$ ;  $d = 0.38$ ). A time × treatment interaction was  
233 observed for HCO<sub>3</sub><sup>-</sup> ( $p < 0.001$ ,  $P\eta^2 = 0.796$ ), with values greater following supplementation of  
234 NaHCO<sub>3</sub> (Figure 4b). At time to peak HCO<sub>3</sub><sup>-</sup>, SBC2 was  $5.0 \text{ mmol}\cdot\text{l}^{-1} \pm 1.0 \text{ mmol}\cdot\text{l}^{-1}$  (+17.6%)  
235 ( $28.6 \pm 1.1 \text{ mmol}\cdot\text{l}^{-1}$ ;  $p < 0.001$ ,  $CI = 6.0, 4.1$ ,  $d = 5.22$ ) and SBC3 was  $5.9 \pm 1.1 \text{ mmol}\cdot\text{l}^{-1}$   
236 (+20.0%) ( $29.5 \pm 1.0 \text{ mmol}\cdot\text{l}^{-1}$ ;  $p < 0.001$ ,  $CI = 6.9, 5.0$ ,  $d = 6.58$ ) greater than PLA ( $23.6 \pm 0.7$   
237  $\text{mmol}\cdot\text{l}^{-1}$ ). There was no difference between SBC2 and SBC3 ( $p = 0.34$ ,  $CI = -2.3, 0.6$ ,  $d = 0.82$ ).

238

239 Post exercise HCO<sub>3</sub><sup>-</sup> was  $+1.8 \pm 1.3 \text{ mmol}\cdot\text{l}^{-1}$  (+12.3%) greater for SBC2 ( $16.0 \pm 2.2 \text{ mmol}\cdot\text{l}^{-1}$ ;  
240  $p = 0.004$ ,  $CI = 2.9, 0.6$ ,  $d = 0.79$ ), and  $+1.5 \pm 1.3 \text{ mmol}\cdot\text{l}^{-1}$  (+10.9%) greater for SBC3 ( $15.8 \pm$   
241  $2.7 \text{ mmol}\cdot\text{l}^{-1}$ ;  $p = 0.01$ ,  $CI = 2.7, 0.4$ ,  $d = 0.62$ ) compared to PLA ( $14.2 \pm 2.2 \text{ mmol}\cdot\text{l}^{-1}$ ). There  
242 was a main effect for treatment in HCO<sub>3</sub><sup>-</sup> change during exercise ( $p < 0.001$ ,  $P\eta^2 = 0.714$ ),  
243 whereby the change in HCO<sub>3</sub><sup>-</sup> was  $3.3 \pm 1.8 \text{ mmol}\cdot\text{l}^{-1}$  (+25.9%) greater following SBC2 ( $12.7$   
244  $\pm 2.6 \text{ mmol}\cdot\text{l}^{-1}$ ;  $p = 0.001$ ,  $CI = 4.9, 1.6$ ,  $d = 1.37$ ) and  $4.4 \pm 1.7 \text{ mmol}\cdot\text{l}^{-1}$  (+31.7%) greater for  
245 SBC3 ( $13.8 \pm 2.7 \text{ mmol}\cdot\text{l}^{-1}$ ;  $p < 0.001$ ,  $CI = 5.9, 2.8$ ,  $d = 1.78$ ) compared to PLA ( $9.4 \pm 2.0$   
246  $\text{mmol}\cdot\text{l}^{-1}$ ). There was no difference between SBC conditions ( $p = 0.59$ ,  $CI = -1.2, 3.3$ ;  $d = 0.40$ ).  
247 A main effect for time was observed for BLa ( $p < 0.001$ ,  $P\eta^2 = 0.957$ ) with all conditions  
248 displaying greater post-exercise BLa compared to pre-exercise (Figure 4c). Post-exercise, a  
249 time × treatment interaction was observed for BLa ( $p < 0.001$ ,  $P\eta^2 = 0.577$ ) as SBC2 was  $+3.7$

250  $\pm 2.8 \text{ mmol}\cdot\text{l}^{-1}$  (+22.5%) greater than PLA ( $16.1 \pm 3.4$  vs.  $12.5 \pm 2.7 \text{ mmol}\cdot\text{l}^{-1}$ ,  $p = 0.006$ , CI =  
251 1.1, 5.8,  $d = 1.13$ ; Figure 4c), with SBC3 greater by  $+3.7 \pm 2.4 \text{ mmol}\cdot\text{l}^{-1}$  (+22.7%) ( $16.1 \pm 3.4$   
252  $\text{mmol}\cdot\text{l}^{-1}$ ;  $p = 0.002$ , CI = 1.5, 5.8,  $d = 1.13$ ). No differences between SBC conditions were  
253 evident for post-exercise BLa ( $p = 0.61$ , CI = -2.3, 2.2;  $d = 0.01$ ).

254

255 \*Figure 4 near here\*\*

256

### 257 ***Gastrointestinal (GI) discomfort***

258 Four participants reported symptoms of belching and stomach bloating in SBC2, compared to  
259 seven participants reporting symptoms of belching, stomach cramp, bowel urgency and  
260 diarrhoea in SBC3. There was no significant difference in severity of GI discomfort between  
261 SBC treatments (SBC2 =  $1.4 \pm 1.5$  vs. SBC3 =  $4.6 \pm 3.6$ ;  $p = 0.10$ ), although a large effect size  
262 was evident ( $d = 0.88$ ). Similarly, time to peak GI discomfort was not significantly different  
263 between SBC treatments (SBC2 =  $20 \pm 24$  vs. SBC =  $43 \pm 31$  min,  $p = 0.13$ ), although revealed  
264 a large effect size ( $d = 0.80$ ).

265

### 266 ***Heart rate (HR), ratings of perceived exertion (RPE) and affective perceptions of work rate*** 267 ***scale***

268 Heart rate was unaffected by  $\text{NaHCO}_3$  ingestion as no time  $\times$  treatment interaction was  
269 observed ( $p = 0.56$ ,  $P\eta^2 = 0.055$ ). There was a main effect for time ( $p < 0.001$ ,  $P\eta^2 = 0.977$ ) for  
270 HR and mean data combined from all treatments displayed HR at 500m was  $144 \pm 3 \text{ b}\cdot\text{min}^{-1}$ ,  
271 compared to  $171 \pm 2 \text{ b}\cdot\text{min}^{-1}$  at 4 km, respectively. A main effect for time was observed for  
272  $\text{RPE}_O$  ( $p < 0.001$ ,  $P\eta^2 = 0.849$ ), as at 1 km  $\text{RPE}_O$  was  $14 \pm 1$  compared to  $17 \pm 1$  at 4 km, although  
273 no time  $\times$  treatment was apparent ( $p = 0.31$ ,  $P\eta^2 = 0.109$ ). A main effect for time was observed  
274 for  $\text{RPE}_L$  ( $p < 0.001$ ,  $P\eta^2 = 0.657$ ), as at 1 km  $\text{RPE}_L$  was  $15 \pm 1$  compared to  $18 \pm 0$  at 4 km,

275 although no time  $\times$  treatment interaction was evident ( $p = 0.73$ ,  $P\eta^2 = 0.085$ ). Affective  
276 perceptions of work rate revealed no time  $\times$  treatment interaction ( $p = 0.38$ ,  $P\eta^2 = 0.099$ ) or main  
277 effect for time ( $p = 0.92$ ,  $P\eta^2 = 0.020$ ).

278

## 279 **Discussion**

280 In agreement with our hypothesis, this study reports that both 0.2 g·kg<sup>-1</sup> (SBC2) and 0.3 g·kg<sup>-1</sup>  
281 BM (SBC3) NaHCO<sub>3</sub> improves 4 km TT cycling performance in trained cyclists when  
282 individualised to a predetermined time to peak HCO<sub>3</sub><sup>-</sup>. Time to complete the time trial was  
283 2.2% faster in SBC2 and 2.3% in SBC3 compared to PLA, whilst there was also no statistical  
284 difference between SBC conditions suggesting both amounts are appropriate to enhance this  
285 type of exercise performance. Combining such performance effects with the reduced instances  
286 and severity of GI discomfort following 0.2 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> however, the present study  
287 findings suggest this amount may be more attractive to the athlete in a practical setting.

288

289 The findings of the present study contrast that of two recent studies reporting no effect of  
290 NaHCO<sub>3</sub> on 4 km TT performance (Callahan et al., 2017; Correia-Oliveira et al., 2017). Indeed,  
291 Callahan et al. (2017) reported a '*possibly trivial*' effect and Correia-Oliveira (2017) reported  
292 no significant supplement interaction in ANOVA analysis following 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub>.  
293 In comparison, the present study displayed a statistically significant effect and a moderate  
294 effect size for both SBC2 and SBC3. This ergogenic effect was most likely realised due to  
295 supplementing NaHCO<sub>3</sub> to a predetermined time to peak HCO<sub>3</sub><sup>-</sup>, as this would have ensured  
296 peak bioavailability of HCO<sub>3</sub><sup>-</sup> at the commencement of exercise. In particular, the increase in  
297 HCO<sub>3</sub><sup>-</sup> following the SBC2 treatment of the present study was similar, whilst the SBC3  
298 treatment was superior, to the values reported in the aforementioned studies with 0.3 g·kg<sup>-1</sup> BM  
299 NaHCO<sub>3</sub> (SBC2 = 4.9 to 5.5 mmol·l<sup>-1</sup>, SBC3 = 5.6 to 6.5 mmol·l<sup>-1</sup> vs. Callaghan et al. = +3

300 mmol·l<sup>-1</sup> vs. Correia-Oliveira et al. = +5mmol·l<sup>-1</sup>). Based on this evidence, it is therefore more  
301 appropriate to identify time to peak HCO<sub>3</sub><sup>-</sup> prior to the use in exercise to elicit ergogenic effects  
302 on performance. A consideration, however, is that identifying time to peak HCO<sub>3</sub><sup>-</sup> presents a  
303 logistical challenge, as this would require a visit to a laboratory or access to a portable blood  
304 gas analyser.

305

306 A unique finding of the present study was the lack of a dose-dependent effect on exercise  
307 performance, with SBC3 improving performance to a similar magnitude as SBC2. These  
308 findings are in contrast to McNaughton (1992), reporting 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> improved  
309 TWD greater than 0.2 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> during 60 seconds of maximal cycling compared to  
310 a placebo. The negligible 0.1% difference observed between SBC2 and SBC3 are more in  
311 agreement with the findings of McKenzie et al. (1986) reporting a 0.3% difference between  
312 0.15 g·kg<sup>-1</sup> BM and 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub>. Individual performance responses did reveal that  
313 three participants improved to a greater extent in SBC2 compared to SBC3, whilst two  
314 participants improved to a greater extent in SBC3 compared to SBC2 based on the 3 s cut off  
315 from the prior power calculation. These data combined suggest lower amounts of NaHCO<sub>3</sub> (i.e.  
316 0.2 g·kg<sup>-1</sup> BM) are likely to be sufficient to enhance exercise of this duration and intensity,  
317 although athletes should trial each dose prior to use in competition to evaluate which amount  
318 of NaHCO<sub>3</sub> provides a larger ergogenic benefit. Likewise, considering the potential for the  
319 onset of GI discomfort, athletes who are susceptible to such symptoms should conduct a  
320 risk:benefit analysis of NaHCO<sub>3</sub> supplementation.

321

322 It is purported that mitigating the severity of GI discomfort is important to obtain a performance  
323 benefit following NaHCO<sub>3</sub> supplementation, as Saunders et al. (2014) reported a significant  
324 effect on performance only upon the removal of participants who suffered from GI discomfort.



325 The present study findings contrast this by reporting a significant 2.3% improvement following  
326 both SBC2 and SBC3, despite the occurrence of mild to moderate GI discomfort. Reasons for  
327 this may be due to the good tolerance of NaHCO<sub>3</sub> in our participant cohort, although it is  
328 difficult to compare with the work of Saunders et al. (2014) as no explicit statistical analysis  
329 on GI discomfort is available. Nonetheless, there may still be a relationship between GI  
330 discomfort and performance, as for instance, participant 8 in the present study suffered from  
331 moderate diarrhoea and bowel urgency in SBC3 and no improvement in performance was  
332 observed (0.1 s). While performance in SBC2 was improved by 8.9 s in the same participant  
333 when no instances of GI discomfort occurred. Combining this finding with other investigations  
334 where participants have self-withdrawn, or have been withdrawn by the research team due to  
335 the severity of GI discomfort, the responses from NaHCO<sub>3</sub> still warrant observation in training  
336 prior to use in competition (Gough et al., 2017a, 2017b; Jones et al., 2016). Nonetheless,  
337 smaller amounts of NaHCO<sub>3</sub> may be an attractive solution to the athlete to reduce the severity  
338 of GI discomfort symptoms whilst still providing ergogenic effects to exercise performance.

339

340 The enhancements of acid base balance following NaHCO<sub>3</sub> are the most likely mechanism for  
341 an improved performance in the present study, as both SBC2 and SBC3 raised HCO<sub>3</sub><sup>-</sup> and pH  
342 significantly compared PLA. An increase in extracellular HCO<sub>3</sub><sup>-</sup> is suggested to increase H<sup>+</sup>  
343 efflux during exercise due to the up-regulation of the lactate/H<sup>+</sup> cotransporter, leading to  
344 increased provision of anaerobic energy contribution (Marx et al., 2002). The change in HCO<sub>3</sub><sup>-</sup>  
345 was superior in both SBC2 (+25.9% vs. PLA) and SBC3 (+31.7% vs. PLA) whilst post-  
346 exercise blood lactate was also significantly higher (~15%) in the SBC conditions. These  
347 changes in blood acid base balance and BL<sub>a</sub> are indicative of exercise at higher exercise  
348 intensities in the SBC conditions and hence, improved performance. Furthermore, between  
349 SBC conditions there were minimal differences in respect of blood metabolites changes prior

350 to, or during exercise. This provides an explanation why there were no dose-dependent effects  
351 on performance in the present study.

352

### 353 **Conclusion**

354 Ingestion of NaHCO<sub>3</sub> individualised to time to peak HCO<sub>3</sub><sup>-</sup> improves 4 km TT cycling  
355 performance in trained cyclists. Ingestion of both 0.2 g·kg<sup>-1</sup> BM and 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub>  
356 equally increase buffering capacity and subsequently provided ergogenic benefits to exercise  
357 performance. No difference was observed between SBC conditions; therefore, athletes can  
358 plausibly use a lower amount of NaHCO<sub>3</sub> (i.e. 0.2 g·kg<sup>-1</sup> BM) particularly if they are susceptible  
359 to the onset GI discomfort. Future research should investigate the dose-dependent effects of  
360 both 0.2 g·kg<sup>-1</sup> BM and 0.3 g·kg<sup>-1</sup> BM NaHCO<sub>3</sub> during exercise of different intensities and  
361 durations.

### **Disclosure statement**

The authors report no conflicts of interest.

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### **List of figures**

Figure 1 – Mean ( $\pm$ SD), and individual 4 km time trial performance times following each condition. \*denotes significantly different from PLA ( $p < 0.05$ ).

Figure 2 – Mean ( $\pm$ SD) cycling power (A) and speed (B) during each 0.5 km segment of the time trial. Significant increase ( $p < 0.05$ ) in SBC2 = # and SBC3 = ## compared to PLA.

Figure 3 – Individual time to peak blood bicarbonate ( $\text{HCO}_3^-$ ) following SBC2 and SBC3.

Figure 4 – Mean ( $\pm$ SD) blood pH (A), bicarbonate ( $\text{HCO}_3^-$ ) (B) and lactate (C) responses during experimental treatments. Significantly different ( $p < 0.05$ ) in SBC2 = # and SBC3 = \* compared to PLA.