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Best scale for detecting the effects of stratospheric sulphate aerosol geoengineering on surface temperature

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Key Points:

- Large-scale spatio-temporal surface temperature patterns and the global temperature average are best for early geoengineering monitoring
- Reduced global coverage associated with missing data in *in situ* surface temperature observations increases the chance of geoengineering detection in the first 5 years of implementation
- Challenging geoengineering detection in surface temperature on sub-continental scales

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Abstract

Stratospheric sulfate aerosol injection (SAI) has been proposed as a way to geo-engineer climate. Whilst swift global-mean surface cooling is generally expected from tropical SAI, the regional impacts of such perturbation on near-surface air temperature (SAT) are projected to be spatially inhomogeneous. By using existing simulations from the Geoengineering Model Intercomparison Project (GeoMIP) G4 scenario, where 5 Tg yr⁻¹ of sulfur dioxide (SO₂) is injected into the tropical stratosphere to offset some of the warming in a mid-range representative greenhouse gas concentration pathway (RCP4.5) between 2020 and 2070, we examine the regional detectability of the SAI surface cooling effect, and attempt to find the best spatial scale for potential SAI monitoring. We use optimal fingerprint detection and attribution techniques to estimate the time horizon over which the SAI surface cooling effect would be detected after implementation in 2020 on sub-global scales, ranging from the near-global *in situ* observational coverage down to sub-continental regions. We show that using the spatio-temporal SAT pattern across the Northern and Southern extra-tropics and the Tropics, and across the Northern and Southern Hemispheres, as well as averaging SATs over the whole globe robustly result in successful SAI detection within 10 years of geoengineering implementation in a majority of the included plausible geoengineering realizations. However, detecting the SAI effect on SAT within the first decade of implementation would be more challenging on sub-continental scales.

1 Introduction

According to the Hadley Centre-Climatic Research Unit Version 4 (HadCRUT4) dataset (Morice, Kennedy, Rayner, & Jones, 2012), 2015, 2016 and 2017 were the warmest years since records began in 1850 (Osborn, 2018; UK Met Office, 2018). In the face of dangerous climate change and insufficient current ambition to mitigate greenhouse gas emissions for achieving the international climate goal set in the Paris Agreement (Höhne et al., 2017; Rogelj et al., 2016), potential geoengineering methods that aim at deliberately cooling the climate have received increased attention (e.g., Chen & Xin, 2017; Parson, 2017).

Sulfate aerosol injection (SAI) into the stratosphere is one of the most effective and affordable ways of geoengineering the climate (Shepherd, 2009). Mimicking large volcanic eruptions, SAI involves deliberate injections of stratospheric sulfate aerosols or their precursor, sulfur dioxide (SO₂), to increase Earth's albedo, thereby reducing the amount of incoming solar radiation and lowering surface temperatures. Using climate models, studies have shown that tropical SAI could effectively counteract global warming (e.g., A. Jones, Haywood, Boucher, Kravitz, & Robock, 2010; A. C. Jones, Haywood, & Jones, 2016), although it could simultaneously bring unintended effects to the climate system (e.g., Aquila, Garfinkel, Newman, Oman, & Waugh, 2014; Ferraro, Highwood, & Charlton-Perez, 2014; Pitari et al., 2014), society (e.g., Preston, 2013; Svoboda, Keller, Goes, & Tuana, 2011) and human health (e.g., Eastham, 2015; Effiong & Neitzel, 2016).

Using a new detection and attribution technique, Bürger and Cubasch (2015) assessed the detectability of the effects of SAI on temperature and precipitation, in two Geoengineering Model Intercomparison Project (GeoMIP) (Kravitz et al., 2011) scenarios where constant, tropical 5 Tg yr⁻¹ SO₂ injection (GeoMIP G4) and gradual SO₂ injection (GeoMIP G3) is implemented between 2020 and 2070 to offset global warming in the Representative Concentration Pathway 4.5 (RCP4.5) (Thomson et al., 2011), respectively. They found that the global-scale temperature and precipitation signals of SAI would be detected after a few years of implementation in G4, and after a decade of implementation in G3. Including spatio-temporal information in their detection and attribution algorithm yielded earlier SAI detectability. Lo, Charlton-

Table 1. The stratospheric sulphate aerosol geoengineering scheme, stratospheric ozone chemistry scheme and the number of ensemble members of the climate models whose G4 simulations are used in this study. Models with an * prescribed a 25% scaling of the Sato et al. (1993) aerosol optical depth (AOD) distribution dataset for the 1991 Mount Pinatubo eruption, whereas the model with a [§] prescribed AOD that was equivalent to 25% of their own Pinatubo simulations. HadGEM2-ES injected 5 Tg yr⁻¹ of SO₂ into the lower stratosphere globally to achieve a global distribution of stratospheric sulphate aerosols amid a lack of realistic stratospheric dynamics (A. Jones et al., 2010). None of the models have interactive stratospheric ozone chemistry (Eyring et al., 2013).

Model	Stratospheric aerosols	Stratospheric ozone	Ensemble size
BNU-ESM	Prescribed AOD*	Prescribed	1
CanESM2	Prescribed AOD [§]	Prescribed	3
HadGEM2-ES	Global SO ₂ injection	Prescribed	3
MIROC-ESM	Prescribed AOD*	Prescribed	1

Perez, Lott, and Highwood (2016) applied both Bürger and Cubasch (2015)’s technique and a more conventional optimal fingerprint detection technique developed by Allen and Stott (2003) to G4, to estimate the time horizon between the start of 5 Tg yr⁻¹ SO₂ injection into the tropical lower stratosphere in 2020 and robust detection of its global-mean cooling effect. More specifically, Lo et al. (2016) estimated the level of agreement between global-mean near-surface air temperature (SAT) pseudo-observations in G4, and model-simulated SAT fingerprints of the SAI and RCP4.5 forcing. They concluded that regardless of the detection technique, the global-mean cooling response to 5 Tg yr⁻¹ SAI would likely be robustly detected within 10 years of SAI implementation, i.e. between 2020 and 2029, amid increasing greenhouse gas concentrations and internal variability.

Whilst detecting the global-mean cooling effect of SAI is an important first step of SAI monitoring because global cooling is likely to be the primary aim of geoengineering, this diagnostic may not be best for early detection of the SAI surface cooling effect for monitoring purposes. This is because the impacts of SAI on SAT are projected to be spatially inhomogeneous (e.g., Yu et al., 2015). Indeed, the existing G4 simulations by BNU-ESM (Ji et al., 2014), CanESM2 (Chylek, Li, Dubey, Wang, & Lesins, 2011), HadGEM2-ES (Bellouin et al., 2011) and MIROC-ESM (Watanabe et al., 2011) that Lo et al. (2016) used in their global analysis all show spatially inhomogeneous SAT responses to SAI during 2020–2029 (Figure 1(a)–(d), please refer to Table 1 for the relevant details of these models). Although the strongest cooling effects of SAI in G4 are in the Arctic due to Arctic amplification in all of these models, statistical significant SAI-induced SAT changes are mainly found in low latitudes up to $\sim 60^\circ$ (areas without hatching indicate significance at the 5% level). Furthermore, places such as Northeastern Canada, South Asia and various parts over the ocean have vastly different SAT responses to SAI across the models. These inhomogeneous cooling effects of SAI raise the novel question of which spatial scale might be best for monitoring the effects of SAI on SAT early into deployment.

We take a regional approach similar to that taken in conventional regional detection and attribution studies (e.g., Bindoff et al., 2013; Stott et al., 2010), to detect the sub-global SAI effects on SAT in the GeoMIP G4 scenario. We first extend Lo et al. (2016)’s work to the near-global, low-resolution HadCRUT4 observational network that covers about 84% of the Earth’s surface (gray shading in Figure 2), to

investigate how SAI monitoring using this widely-cited, *in situ* observational dataset might differ from SAI monitoring under full global coverage similar to that delivered by high-resolution remote sensing products. We then extend our study to the hemispheric, latitudinal, continental and regional scales, as well as spatio-temporal detection diagnostics, in an attempt to address the novel scientific question of “which spatial scale would be best for detecting the SAI effects in SAT during the first decade of geoengineering implementation?”. We suggest that the answer to this question has important implications for future SAI monitoring, should it be needed.

2 Methods

2.1 Optimal Fingerprint Detection in G4

The total least squares (TLS) detection and attribution model (Allen & Stott, 2003) is used in this study, as it was in Lo et al. (2016). In short, this model quantifies the level of agreement between observed changes in the real world and patterns of externally forced changes simulated by a climate model, through multi-variate TLS linear regression. The climate system’s internal (unforced) variability is the null hypothesis, and detection of an externally forced climate signal is claimed when the observed changes in climate cannot be ascribed to natural internal variability alone at a certain confidence level.

Applying the TLS detection model to the G4 scenario, which, by design, includes an RCP4.5 base climate and the forcing from the deliberately injected stratospheric sulfate aerosols, the detection model can be written as:

$$\mathbf{y} = (\mathbf{x}_{\text{RCP}} - \nu_{\text{RCP}})\beta_{\text{RCP}} + (\mathbf{x}_{\text{SAI}} - \nu_{\text{SAI}})\beta_{\text{SAI}} + \nu_0 \tag{1}$$

where \mathbf{y} is the observations, \mathbf{x}_{RCP} and \mathbf{x}_{SAI} are the model-simulated fingerprints of the RCP4.5 and geoengineering aerosol forcing, ν_{RCP} and ν_{SAI} are the sampling uncertainty in the corresponding fingerprints, β_{RCP} and β_{SAI} are the corresponding scaling factors to be estimated, and ν_0 is unforced climate variability.

Just as in Lo et al. (2016), \mathbf{y} is unknown here because geoengineering by means of 5 Tg yr⁻¹ SO₂ injection on top of RCP4.5 is only hypothetical. Therefore, individual G4 realizations from the 4 climate models included in Figure 1 are used in turn to represent plausible observed sub-global SAT trajectories from 2020. These pseudo-observations are regressed against sub-global RCP4.5 and G4 SAT fingerprints that are simulated by the rest of the climate models, individually or in the form of multi-model average. We follow Lo et al. (2016)’s linear transformation approach for deriving β_{SAI} from the scaling factors of the RCP4.5 and G4 fingerprints. Cross-comparing all available pseudo-observations and climate models results in 32 pseudo-observation model comparisons. These comparisons are studied in all of the spatial scales presented in this article.

For hypothesis testing, ν_0 is estimated from the pre-industrial control simulations of a six-model ensemble (Section 2.2), following Lo et al. (2016)’s choice. This ensemble includes BNU-ESM, CanESM2, CSIRO-Mk3L-1-2 (?), GISS-E2-R (?), HadGEM2-ES and MIROC-ESM. These models are chosen because the power spectra of their pre-industrial temperature variability is comparable to those of the standardized 1981–2015 HadCRUT4 and the GISS Surface Temperature Analysis (GISTEMP) time series, on the time and spatial scales of interest.

The observations and model-simulated fingerprints are projected onto κ leading modes of variability, or empirical orthogonal functions (EOFs), before regression, following the standard optimization procedure detailed in Allen and Stott (2003). κ is chosen via a residual consistency test, which compares the weighted sum of squared regression residuals to the model-simulated noise variance via an F -test (Allen & Stott, 2003).

For each pseudo-observation model comparison in each studied spatial scale, we choose the highest EOF at which the F test probability is within the 5–95% range and the corresponding estimated scaling factor varies little with the number of EOFs retained. It is important that a substantial fraction of the original data is explained in this truncated space. Figure S1 in the supplementary information shows that more than 50% of the variance of the original G4 fingerprint (as simulated by individual climate models or multiple models) is explained in the range of chosen EOFs in representative regions of the studied spatial scales or detection diagnostics. Other studied regions or diagnostics have similarly high fractions of explained variance. This suggests meaningful detection results in Section 3.

The TLS detection and attribution model takes into account sampling uncertainty in the fingerprints, in this study this is ν_{RCP} and ν_{SAI} . Since ensemble averaging in the fingerprints (see Section 2.2) reduces uncertainty, we scale the RCP4.5 and G4 fingerprints with the number of ensemble members included to match the noise variance in each fingerprint to that in the observations. The estimated scaling factors, β_{RCP} and β_{SAI} , are also scaled accordingly before linear transformation (see above). Please refer to Allen and Stott (2003) for more details regarding noise in the fingerprints.

Equation 2 is solved, and the best-estimate scaling factors are found, by minimizing the sum of squared perpendicular distances from the best-fit 2-dimensional plane to the κ noise-contaminated observations and simulated points, following the methodology detailed in Allen and Stott (2003). The uncertainty associated with the best-estimate scaling factors is found by defining a set of points on a 2-dimensional sphere whose radius equals the critical value corresponding to the 90th percentile of an F -distribution. For each of these points, the corresponding scaling factors are estimated. The minimum and maximum of these scaling factors form the 90% confidence interval for the best estimate.

In order to estimate the time horizon over which the regional SAT response to SAI would be detected after deployment in 2020 in G4, we lengthen \mathbf{y} , \mathbf{x}_{RCP} , \mathbf{x}_{G4} (the G4 fingerprint), and segments of ν_0 progressively by 5 years until \mathbf{x}_{SAI} is detected at the 10% significance level, i.e. when β_{SAI} and its two-tailed confidence level (its 5 to 95 percentile) do not include zero. The first year at which the SAI cooling effect would be detected is recorded as the ‘SAI detection horizon’, and this metric is estimated at 5-year resolution in each pseudo-observation model comparison on each studied spatial scale. We focus on the detectability of the SAI surface cooling effect in the first 10 years of implementation, as this timescale is thought to be most policy relevant. The following section outlines the data pre-processing procedure for each included experiment.

2.2 Data Pre-processing

The detectability of the average SAT response to SAI over the near-global Had-CRUT4 network, the global scale except the polar regions (60 °N–60 °S), the Northern and Southern Hemispheres, the Arctic (90–60 °N), Northern mid-latitudes (60–25 °N), Tropics (25 °N–25 °S), Southern mid-latitudes (25–60 °S) and Antarctica (60–90 °S), as well as 5 continental areas and 12 SREX regions (Hewitson et al., 2014) are estimated in this study. On all of these scales the detection diagnostic is the time evolution of annual-mean area-mean SAT anomalies that start in 2020, relative to the 2006–2019 mean. Additionally, detection is attempted in the spatio-temporal SAT pattern across the Northern and Southern Hemispheres; the Northern extra-tropics, Tropics and the Southern extra-tropics; as well as several sub-regions within the 5 continental-scale areas.

Single, existing G4 realizations from the 4 climate models included in Figure 1 are used as pseudo-observations in turn. The two fingerprints are the RCP4.5 and G4 ensemble-mean annual-mean area-mean SAT time series, or spatio-temporal SAT patterns where applicable, generated by the individual climate models that are not used to represent the pseudo-observations, or the average of these models. All of these time series consist of 14-year moving trends, a technique that is equivalent to smoothing the time series with the 14-year $C1$ filter originally suggested by Bürger and Cubasch (2015). This means climate in a year is estimated from the trend over its preceding 14 years. A 5-year fingerprint spanning 2020–2024 thus has its last data point (corresponding to year 2024) estimated from the trend over 2010–2023, whereas a 10-year fingerprint spanning 2020–2029 has its last data point (corresponding to year 2029) estimated from the trend over 2015–2028. Lo et al. (2016) found that 14-year trends worked best with the TLS algorithm for global-mean SAI detection during the first decade of implementation. Using 14-year trends with the TLS detection algorithm here allows direct comparison of our sub-global detection results with Lo et al. (2016)’s global-mean results.

Model output on the spatial scales of interest are extracted in the following ways prior to spatial and temporal averaging and filtering. For the near-global HadCRUT4 experiment, all model SAT output are first regridded onto HadCRUT4’s 5° by 5° grid. Throughout this study, area-weighted regridding is performed where applicable. The unsampled grid cells in HadCRUT4 in January 2016 (colored in white in Figure 2) are then given zero weight when area-weighted global average SAT is calculated.

For the hemispheric and latitudinal experiments, model output is also regridded onto the 5° by 5° grid. Average SATs over the hemispheric and latitudinal areas are then calculated separately, without masking of the HadCRUT4 unsampled regions. We do not consider the HadCRUT4 unsampled regions on spatial scales smaller than the global scale, in order to identify the best scales for early SAI monitoring for design of future monitoring systems that may not be constrained by the current observational coverage.

For the continental and SREX regional experiments, model SAT output is regridded onto CSIRO-Mk3L-1-2’s 3.2° by 5.6° latitude/longitude grid instead, as this resolution is the coarsest in the six-model ensemble used for estimating internal variability. Unifying model resolution here ensures the same grid cells within the continental areas and regions of interest are extracted across the pseudo-observations, fingerprints, and multi-model pre-industrial control simulations for fair comparison. Area-weighted continental or regional average SATs are then computed.

Two global-scale and five continental-scale spatio-temporal detection diagnostics are considered in this study. In the Northern Hemisphere–Southern Hemisphere diagnostic, the smoothed, annual-mean hemispheric-mean (ensemble-mean as well for the fingerprints) SAT anomalies with respect to the corresponding 2006–2019 mean are computed for each hemisphere, according to the data pre-processing procedure described above. Individual hemispheric time series are then sorted into spatio-temporal pseudo-observations and fingerprints as input to the TLS detection algorithm. The equivalent is done for the Northern extratropics–Tropics–Southern extratropics diagnostic, which consists of three latitudinal bands spanning 90 – 25° N, 25° N– 25° S and 25 – 90° S; and all continental-scale areas, which consist of three to four SREX regions. In other words, the spatio-temporal detection diagnostics have an additional dimension of the number of sub-areas within a larger area. They thus contain information about the spatial SAT contrast between neighbouring sub-areas in each larger area, in addition to the temporal SAT evolution within each sub-area.

Finally, unforced pre-industrial control simulations from the six-model ensemble mentioned in Section 2.1 are used to estimate internal variability, following Lo et

al. (2016)'s choice. This means internal variability in a certain detection experiment is the pooled estimate from the six models and remains the same in all 32 pseudo-observation model comparisons. Each segment of the pre-processed control simulations is then treated the same way as the corresponding pseudo-observations and fingerprints according to the experiment, as described in the previous paragraphs.

3 Results

Throughout Section 3, we present the total number of examined pseudo-observations model comparisons in which the surface cooling effect of SAI would be detected during the first 5 and 10 years of SAI implementation in G4 (i.e. 2020–2024 and 2020–2029, respectively) in the studied spatial areas and detection diagnostics. The total number of 5- and 10-year SAI detections is referred to as ‘the number of within-a-decade (WAD) SAI detections’ hereafter. We use the number of WAD SAI detections over a certain area or diagnostic as a measure of the efficacy of the area or diagnostic for effective geoengineering monitoring in SAT.

3.1 HadCRUT4, Hemispheric and Latitudinal Detection

Figure 3 shows the number of 5- (blue color) and 10-year detections (green color) in the HadCRUT4 network, the global average except the polar regions (60 °N–60 °S), the Northern and Southern Hemispheres, as well as the Arctic (90–60 °N), Northern mid-latitudes (60–25 °N), Tropics (25 °N–25 °S), Southern mid-latitudes (25–60 °S) and Antarctica (60–90 °S). For easy comparison, the number of WAD SAI detections in Lo et al. (2016)'s full global experiment in the same 32 pseudo-observation model comparisons is also shown in Figure 3. Note that Lo et al. (2016) examined 44 pseudo-observation model comparisons in total. We removed CSIRO-Mk3L-1-2 (?), the climate model that modeled SAI by reducing the solar constant (Bürger & Cubasch, 2015), from their pseudo-observation model comparisons and added eight comparisons that use the multi-model mean as fingerprints (Section 2.2) to arrive at the shown results for the full global experiment.

Using the HadCRUT4 near-global coverage, the cooling effect of SAI would be detected within 10 years of SAI implementation in 23 of the 32 comparisons. This result is similar to the 24 found for the full global average, indicating that both the SAT averages in the HadCRUT4 dataset and the full global coverage that could be derived from high-resolution satellite remote sensing products (e.g., ?) would be similarly efficacious for effective SAI monitoring in a scenario like G4.

Nonetheless, 3 more 5-year SAI detections are found in the HadCRUT4 coverage than the full global coverage. This suggests that the limited resolution and coverage of our current *in situ* observational network would increase the likelihood of detecting the SAI cooling effect after just 5 years of SAI implementation. Factors such as the lack of *in situ* temperature measurements in the polar regions where internal variability is high and thus rejection of the null hypothesis (Section 2.1) is challenging, and the exclusion of the Arctic Ocean, Northeastern Canada and parts of South Asia where the difference in model responses to sulphate aerosols is large (Figure 1(e)) may have contributed to the increased number of 5-year detections in the HadCRUT4 coverage. However, the relatively low number of 5-year detections, 13, found for 60 °N–60 °S suggests that exclusion of the polar regions alone cannot explain the increased 5-year detectability in the HadCRUT4 coverage.

The Northern and Southern Hemispheres give very different numbers of WAD SAI detections, with the Northern Hemisphere giving 22 (of which 13 are 5-year detections) and the Southern Hemisphere giving only 13 (of which 2 are 5-year detections). These results indicate that the Northern Hemisphere would be more efficacious than

the Southern Hemisphere for early SAI monitoring in SAT in the event of 5 Tg yr^{-1} SAI. The large contrast in the WAD detectability of the cooling effect of SAI in G4 between the two Hemispheres may be attributed to the fact that the Northern Hemisphere has a higher proportion of land, which responds quicker to climate forcing and thus provides a larger cooling signal for SAI detection in the first decade of deployment than the ocean (Figure 1).

On the latitudinal scale, the Northern mid-latitudes and the Southern mid-latitudes give the highest numbers of WAD SAI detections (21 and 19), respectively (Figure 3). This means that although Figure 1 has shown that the 2020–2029 mean SAI cooling that is statistically significant from internal variability is mainly confined to the Tropics, the mid-latitudes outperforms the Tropics for early SAI detection with the optimal fingerprint technique. This is because the temporal structure of the SAI fingerprint over 2020–2029 is consistent with that of the pseudo-observations in more comparisons in the mid-latitudes than the other latitudinal bands.

The Antarctic and Arctic give the lowest numbers of WAD SAI detections (2 and 13, respectively) among the latitudinal bands. The very low WAD SAI detectability in the Antarctic may be attributed to the statistically insignificant model responses to SAI in the region (Figure 1(a)–(d)), whereas the low Arctic WAD SAI detectability may be explained by the large model difference in the Arctic cooling response to SAI (Figure 1(e)). All in all, our latitudinal detection results suggest considerable challenge in detecting the SAI surface cooling effect on the latitudinal scale in the event of 5 Tg yr^{-1} SO_2 injection in a mid-range warming scenario, with the polar regions being the least efficacious for early SAI monitoring.

3.2 Global-scale Spatio-temporal Detection

In this section, we examine two global-scale spatio-temporal detection diagnostics to investigate whether the contrast in the SAT response to 5 Tg yr^{-1} SO_2 injection between the Northern Hemisphere and the Southern Hemisphere, and between the extra-tropics and the Tropics (Figure 1) would increase SAI detectability on the global scale in the first decade of implementation in G4. Considering the SAT contrast between the Northern and Southern Hemispheres in addition to the temporal SAT evolution in each hemisphere gives a total of 24 WAD detections, of which 16 happen in the first 5 years of SAI implementation (Figure 3). Although these results are similar to that of the full global average, they show an increase in the 5-year detectability from the hemispheric experiments above. This means the hemispheric contrast in the SAT response to the sulphate aerosols provides useful information for SAI detection and monitoring in the first 5 years of implementation.

We found in the previous section that the WAD SAI detectability varies with latitude. Here, we show that the latitudinal contrast in the SAT response to SAI would be of great use to monitor the global-scale effect of SAI on near-surface air temperature early into implementation, as a total of 27 WAD SAI detections are found with the Northern extratropics–Tropics–Southern extratropics spatio-temporal diagnostic (Figure 3). This high number of WAD SAI detections suggests that this global-scale spatio-temporal SAT pattern should be considered for effective SAI monitoring in the event of 5 Tg yr^{-1} SO_2 injection.

3.3 Continental and Spatio-temporal Detection

We examine 5 continental-scale areas (bounded by bold back lines in Figure 4) in this section. They roughly represent the contiguous United States ($130\text{--}60^\circ\text{W}$, $60\text{--}25^\circ\text{N}$), South America ($82\text{--}34^\circ\text{W}$, $11.4^\circ\text{N}\text{--}56.7^\circ\text{S}$), Europe ($10^\circ\text{W}\text{--}40^\circ\text{E}$, $75\text{--}30^\circ\text{N}$), Africa ($20^\circ\text{W}\text{--}52^\circ\text{E}$, $30^\circ\text{N}\text{--}35^\circ\text{S}$) and South & East Asia ($60\text{--}155^\circ\text{E}$, $50^\circ\text{N}\text{--}10^\circ\text{S}$).

Areas in high latitudes are not considered here given the low Arctic and Antarctic WAD SAI detectabilities found in Section 3.1.

Each of the continental-scale areas consists of 3 to 4 SREX regions defined in Hewitson et al. (2014), whose boundaries are indicated by the gray lines in Figure 4. Shading in each continental-scale area in Figure 4 indicates the number of WAD SAI detections found in the mean SAT over the corresponding continental-scale area, whereas the bold number annotated in each area indicates the number of WAD SAI detections found in the spatio-temporal SAT diagnostic (Section 2.2) within the corresponding continental-scale area. The breakdown of these results, and those from the other experiments, into the numbers of 5- and 10-year detections can be found in Table 2.

South & East Asia gives the highest number of WAD SAI detections, 16; whereas Africa gives the lowest number of WAD detections, 7, when the time evolution of the average SAT over the continental-scale areas is used as the detection diagnostic. These results suggest that whilst we may have a higher chance in monitoring the average SAT response to 5 Tg yr^{-1} SO_2 injection such as that hypothesized in G4 over South & East Asia than the Contiguous United States, South America, Europe and Africa during the first decade of SAI implementation, the continental scale may not be as efficacious as the larger scales for SAI monitoring in mean SAT. This is mainly because averaging SATs over the smaller, continental-scale areas results in larger climate noise than averaging over larger areas, leading to a smaller signal-to-noise ratio for effective SAI detection.

With the use of the spatio-temporal diagnostic, however, more WAD SAI detections are found in South America, Africa and South & East Asia (rows denoted with '(ST)' in Table 2 show detection results found with the spatio-temporal diagnostics). These results suggest that additional spatial information across neighbouring sub-continental regions would likely be useful for improving the WAD SAI detectability on the continental scale.

The exception where additional spatial information does not result in higher WAD SAI detectability lies in the contiguous United States and Europe. This may be attributed to the fact that all of the SREX regions within the contiguous United States and Europe have relatively large internal variability, and only averaging is sufficient in reducing this noise to enable early SAI detection. Our results therefore imply that the optimal detection diagnostic for effective SAI monitoring in SAT would be area-dependent, and it would be imprudent to regard any particular diagnostic as the one-size-fits-all approach to effective SAI monitoring without further investigation.

3.4 Regional Detection

We now proceed to look at the regional scale. SAI detection is attempted in the time series of annual-mean regional-mean SAT anomalies since 2020 in 12 SREX regions: West North America ($130\text{--}105^\circ\text{W}$, $60\text{--}28.6^\circ\text{N}$), Central North America ($105\text{--}85^\circ\text{W}$, $50\text{--}28.6^\circ\text{N}$), East North America ($85\text{--}60^\circ\text{W}$, $50\text{--}25^\circ\text{N}$), Central America ($118.3\text{--}68.8^\circ\text{W}$, $28.6^\circ\text{N}\text{--}1.2^\circ\text{S}$), Amazon ($79.9\text{--}50^\circ\text{W}$, $11.4^\circ\text{N}\text{--}20^\circ\text{S}$), North Europe ($10^\circ\text{W}\text{--}40^\circ\text{E}$, $75\text{--}48^\circ\text{N}$), Mediterranean ($10^\circ\text{W}\text{--}40^\circ\text{E}$, $45\text{--}30^\circ\text{N}$), Southern Africa ($10^\circ\text{W}\text{--}52^\circ\text{E}$, $11.4\text{--}35^\circ\text{S}$), South Asia ($60\text{--}100^\circ\text{E}$, $30\text{--}5^\circ\text{N}$), East Asia ($100\text{--}145^\circ\text{E}$, $50\text{--}20^\circ\text{N}$), Southeast Asia ($95\text{--}155^\circ\text{E}$, $20^\circ\text{N}\text{--}10^\circ\text{S}$) and Pacific Islands region ($155\text{--}210^\circ\text{E}$, $5^\circ\text{N}\text{--}5^\circ\text{S}$). Please refer to Hewitson et al. (2014) for the vertex locations of these polygonal regions.

Shading in Figure 5 shows the number of WAD SAI detections in the 12 SREX regions. These regions are chosen because they are regions of the world that are relatively populated, vulnerable to climate change or politically important in climate

Table 2. The number of 5-year, 10-year and within-a-decade (WAD) SAI detections found in the 32 comparisons in each experiment. ST denotes a spatio-temporal detection diagnostic over the stated area.

Region	5-year (/32)	10-year (/32)	WAD (/32)
Idealized global*	15	9	24
HadCRUT4	18	5	23
No polar regions	13	11	23
Northern Hemisphere	13	9	22
Southern Hemisphere	2	11	13
Arctic	9	4	13
Northern mid-latitudes	16	5	21
Tropics	10	5	15
Southern mid-latitudes	3	16	19
Antarctic	0	2	2
Northern Hemisphere–Southern Hemisphere (ST)	16	8	24
Extratropics–Tropics–Extratropics (ST)	14	13	27
Contiguous United States	7	7	14
South America	6	9	15
Europe	6	2	8
Africa	4	3	7
South & East Asia	12	4	16
Contiguous United States (ST)	6	2	8
South America (ST)	5	15	20
Europe (ST)	2	2	4
Africa (ST)	10	4	14
South & East Asia (ST)	14	8	22
West North America	3	1	4
Central North America	1	8	9
East North America	2	3	5
Central America	1	3	4
Amazon	6	5	11
North Europe	4	0	4
Mediterranean	1	3	4
Southern Africa	3	6	9
South Asia	6	4	10
East Asia	7	16	23
Southeast Asia	7	2	9
Pacific Islands region	8	4	12

policymaking, and they lie in latitudes where relatively high numbers of WAD SAI detections were found in Section 3.1. Except in North Europe, the cooling response to SAI in all of the studied regions emerge from climate noise during the first decade of SAI implementation in G4 in at least 3 of the 4 included models (Figure S2; please refer to Text S1 in the supplementary information for details (??)). This demonstrate that the SAI signal-to-noise ratio is reasonably large for WAD detection in a vast majority of the included SREX regions. Caution should be taken with regard to the results for North Europe.

The highest number of regional WAD SAI detections found is 23 in East Asia. East Asia stands out from the rest of the SREX regions in terms of efficacy for SAI monitoring in the first decade of implementation likely because its SAT response to SAI has similar temporal shapes across the pseudo-observations and model-simulated fingerprints. Nevertheless, although similarly high numbers of WAD detections are found in East Asia and in the global averages, far fewer (7) 5-year detections are found in East Asia. This demonstrates that the regional scale is less efficacious for SAI monitoring in the first 5 years of implementation than the global scale. The rest of the studied SREX regions have only 4 (e.g. Central America) to 12 (Pacific Islands region) WAD SAI detections (Figure 5 and Table 2). Owing large climate noise as a result of averaging over the small SREX areas, detection of the SAI cooling signal within the first decade of 5 Tg yr⁻¹ SO₂ injection is generally difficult on the regional scale. For this reason, we do not examine the remainder of the SREX regions defined in Hewitson et al. (2014).

4 Discussion and Conclusions

By assuming 5 Tg yr⁻¹ SO₂ injection into the tropical stratosphere on top of a RCP4.5 base climate as described in the GeoMIP G4 scenario (Kravitz et al., 2011), we estimated the detectability of the near-surface air temperature (SAT) response to stratospheric sulfate aerosol injection (SAI) on sub-global scales. Lo et al. (2016) concluded that the global-mean cooling effect of 5 Tg yr⁻¹ SAI would be robustly detected 10 years into geoengineering implementation using total least squares optimal fingerprint techniques. We applied the conventional detection technique they employed and extended their detection analysis to smaller spatial scales, in an attempt to find the best spatial scale for effective SAT monitoring in SAT.

We compared the average SAT responses in the near-global HadCRUT4 coverage (Figure 2), the Northern and Southern Hemispheres, the global scale excluding the polar regions, 5 latitudinal bands, 5 continental-scale areas, as well as 12 SREX regions (Hewitson et al., 2014) with their corresponding assumed SAT pseudo-observations. Furthermore, we investigated how additional information of the spatial contrast in the SAT response across the Northern and Southern Hemispheres, the extra-tropics and the Tropics, and several SREX regions would affect SAI detection on the global and continental scales.

Owing to the spatially inhomogeneous SAT changes projected in G4 relative to the RCP4.5 base climate, the different model responses to SAI in G4, the difference in the statistical significance of these responses, and the wide range of surface areas and amount of information considered among the detection experiments, the resulting numbers of within-a-decade (WAD) SAI detections vary substantially across the detection experiments, even though the same pseudo-observations model comparisons were made throughout.

So which spatial scale would be best for detecting the SAI effect in SAT during the first decade of geoengineering implementation? By using the number of WAD SAI detections in a total of 32 comparisons as a measure of the early detectability

of SAI in a certain region or detection diagnostic, (in descending order) the Northern extratropics–Tropics–Southern extratropics spatio-temporal pattern, the Northern Hemisphere–Southern Hemisphere spatio-temporal pattern, and the full global average would be best for SAI monitoring during the first decade of SAI implementation in the G4 scenario (Table 2). These results indicate that the spatio-temporal and temporal SAT patterns on the global scale would be best for effective SAI monitoring in a scenario like G4. Our results suggest considerable challenge in monitoring the regional effects of SAI on SATs in a scenario like G4.

Nevertheless, WAD SAI detection in SAT is not very likely (>90% probability) even on the global scale. There is a 84% probability of successful SAI detection in the first decade of implementation in the Northern extratropics–Tropics–Southern extratropics spatio-temporal SAT pattern, a probability that is lower than the 90% confidence level used for detection against internal variability in individual pseudo-observation model comparisons in this study. Despite the foreseeably strong incentive in detecting the surface cooling effects on SAI in the event of deployment (because surface cooling is likely to be the aim of SAI), SAT may not be the best climate variable for monitoring the effects of SAI. The vertical temperature profile or top-of-atmosphere shortwave radiation may provide a stronger signal for effective SAI monitoring. Future research could look into detecting the SAI signal in these variables.

Future research could also examine other time periods within the first decade of SAI implementation, as SAI-forced climate responses would likely vary with time. Since SAI hypothetically starts in 2020 in the G4 scenario, we postulated that detection of the SAI effects would begin in 2020. In reality, there is little limitation on the time period the observations and fingerprints in a detection study span. Lo et al. (2016) examined a global-mean diagnostic beginning in 2000 for detecting the SAI signal in G4. Although this pre-deployment diagnostic was found to be less efficacious than the equivalent diagnostic beginning in 2020 in terms of effective SAI monitoring, future work could use diagnostics that span e.g. 2025–2029 to investigate whether the SAI-forced response in other periods would result in a high probability of successful detection.

Since geoengineering by means of SAI has not been implemented in the real world, our study relied on the hypothetical G4 scenario and climate model simulations. We chose G4 because a plausible way of future SAI monitoring and control would be to robustly detect the climate signal of constant SAI (such as that applied in G4) before altering the injection rate or location to meet climate goals, if necessary. Nevertheless, other ways of SAI implementation have been discussed in geoengineering studies. For example, increasing amounts of SO₂ could be injected to keep top-of-atmosphere radiative forcing constant (e.g. GeoMIP G3 (Kravitz et al., 2011)), time-varying SO₂ injections could be made at several independent locations to meet multiple climate objectives simultaneously (Kravitz et al., 2017; MacMartin et al., 2017), and SO₂ could be injected into seasonally varying areas to achieve more zonally uniform shortwave radiative forcing (Laakso, Korhonen, Romakkaniemi, & Kokkola, 2017). As already illustrated by Bürger and Cubasch (2015) with the difference between GeoMIP G3 and G4, different injection strategies would result in different temporal and spatial structures of the geoengineering climate signal and, therefore, different detectabilities of the signal. We do not expect our results to hold for all SAI scenarios, but conclude that they are indicative of the best scale for monitoring SAI in near-surface air temperature within the first decade since deployment, should constant SO₂ injection be implemented as hypothesized in G4.

Acknowledgments

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be obtained from Environment Canada (see <https://www.wcrp-climate.org/ictp-2014-tutorials>). All data pre-processing was done with the Python Iris library (<http://scitools.org.uk/iris/docs/latest/>). We acknowledge the World Climate Research Programme's Working Group on Coupled Modelling, which is responsible for CMIP, and we thank the climate modeling groups for producing and making available their model output. For CMIP the U.S. Department of Energy's Program for Climate Model Diagnosis and Intercomparison provides coordinating support and led development of software infrastructure in partnership with the Global Organization for Earth System Science Portals. We thank all participants of the Geoengineering Model Intercomparison Project and their model development teams, CLIVAR/WCRP Working Group on Coupled Modeling for endorsing GeoMIP, and the scientists managing the Earth System Grid data nodes who have assisted with making GeoMIP output available. We thank Environment Canada for the release of and technical support for the Environment Canada's Optimal Fingerprint package. Y. T. Eunice Lo was funded by the University of Reading (ref GS15-108). Fraser C. Lott was supported by the Met Office Hadley Centre Climate Programme funded by BEIS and Defra.

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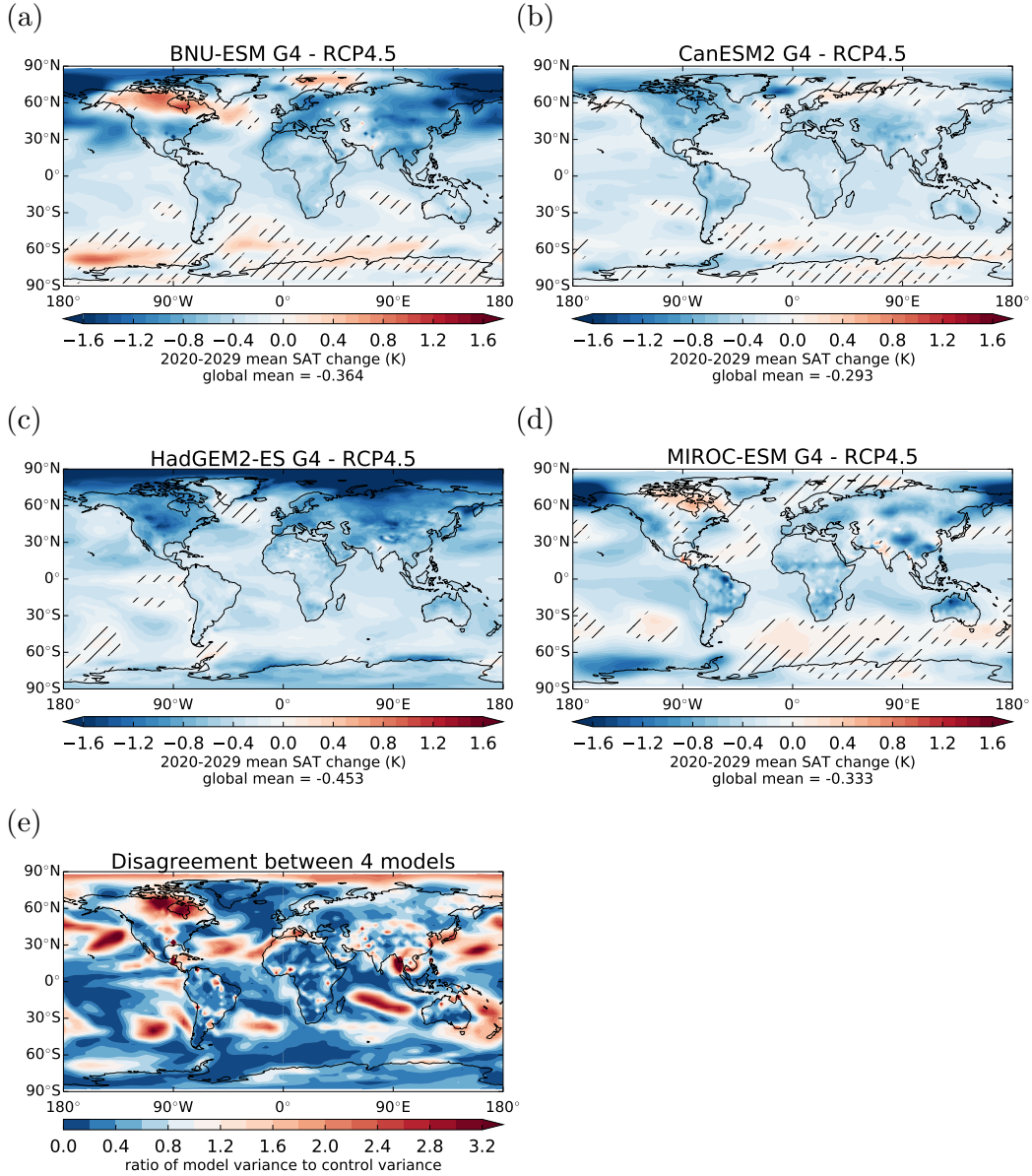


Figure 1. Spatial patterns of the ensemble-mean SAT anomaly in G4 with respect to RCP4.5, averaged over the first decade of SAI deployment in G4 (2020–2029) in (a) BNU-ESM, (b) CanESM2, (c) HadGEM2-ES and (d) MIROC-ESM. The corresponding global-mean SAT changes are annotated under the color bars. Hatch marks indicate statistically insignificant changes from internal inter-decadal variability at the 5% level, found with the Welch’s *t*-test (Welch, 1947). (e) shows the ratio of the variance across the cooling signals shown in (a)–(d) to that of multi-model internal inter-decadal variability.

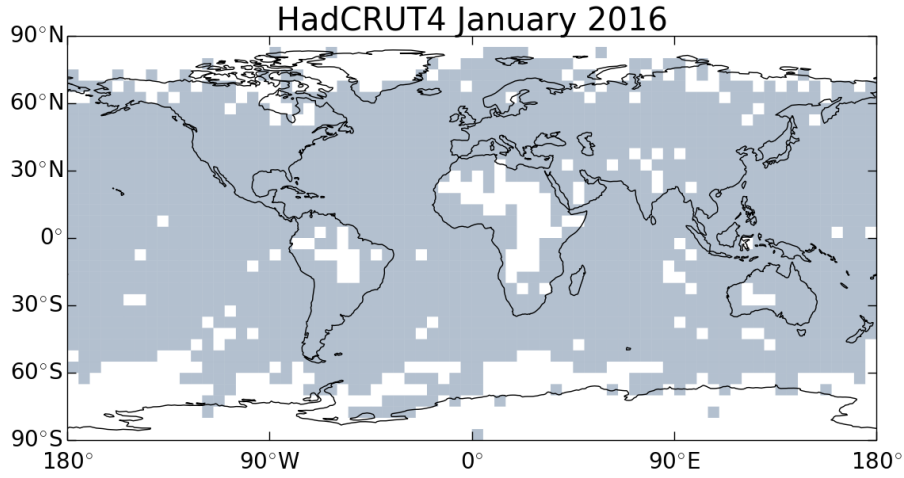


Figure 2. Missing data (white grid cells) in the HadCRUT4 network as of January 2016. Unsourced regions are concentrated in high latitudes, Africa and South America.

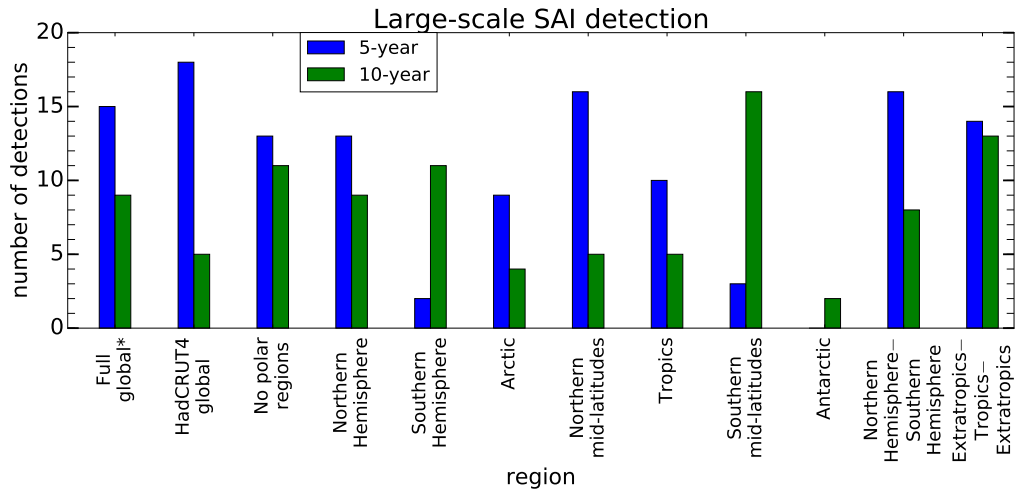


Figure 3. The number of successful WAD SAI detections in SAT time series or spatial-temporal series beginning in 2020 in each large-scale experiment, including the full global experiment studied in Lo et al. (2016) (denoted by '*' in figure). The same 32 pseudo-observations model comparisons are made in each experiment. Blue color represents the number of 5-year detections (detections by 2025) while green color represents the number of 10-year detections (detections that happen between 2025 and 2029).

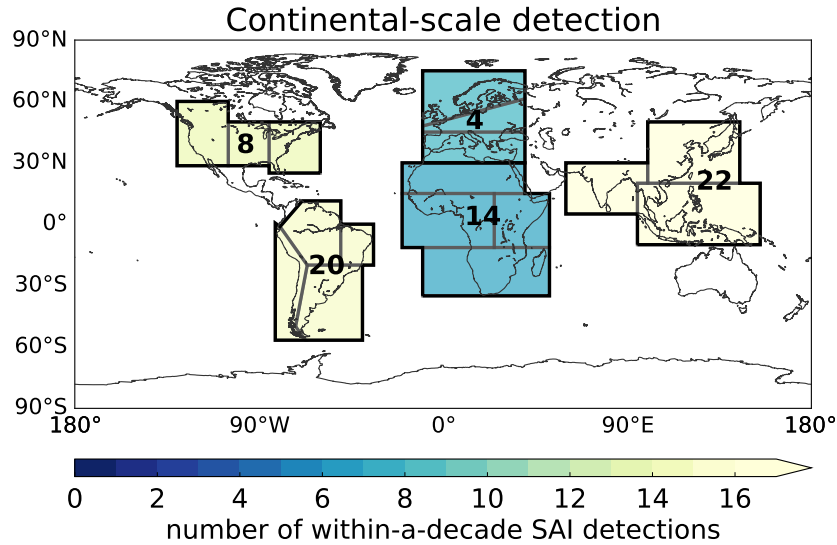


Figure 4. The number of WAD SAI detections (out of 32 comparisons) in different continental-scale areas. The bold black lines indicate the boundaries of these areas. The gray lines within the areas indicate the boundaries between the sub-regions (SREX regions) that constitute the continental-scale areas. The shading of each continental-scale area shows the number of WAD SAI detections when the temporal evolution of the SAT averaged over the area is used as the detection diagnostic. The number annotated in bold in each area represents the number of WAD SAI detections obtained from using the temporal evolution of the spatial SAT pattern across the constituting sub-regions as the detection diagnostic.

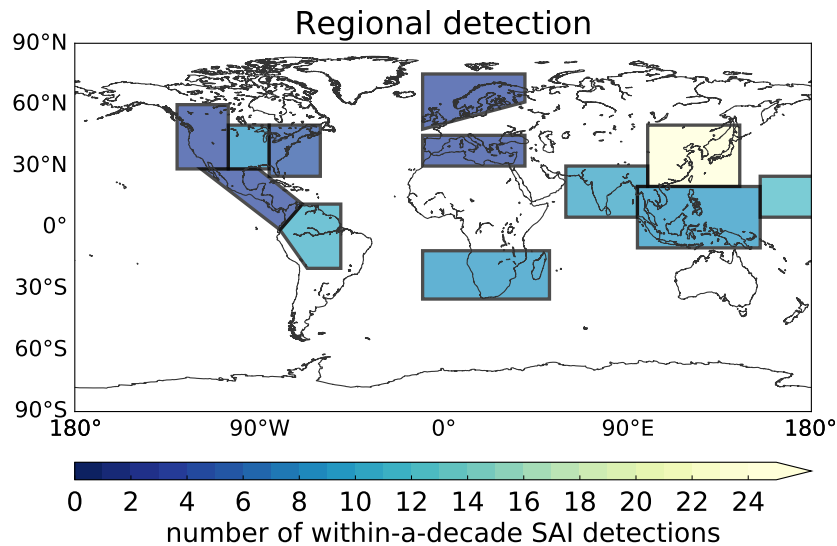


Figure 5. The number of WAD SAI detections (out of 32 comparisons) in different SREX regions.

Figure 1.

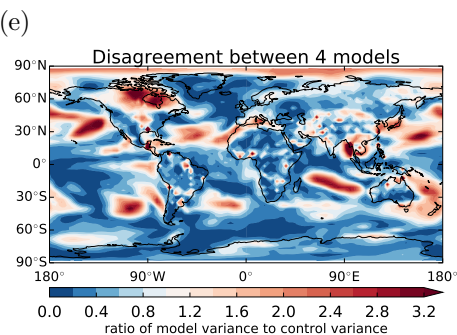
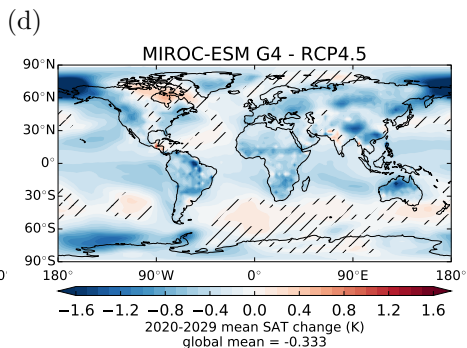
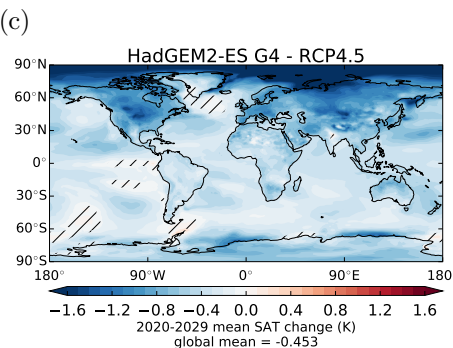
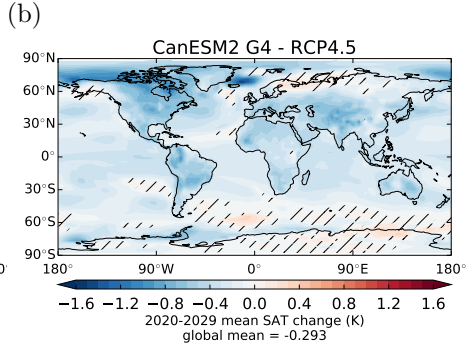
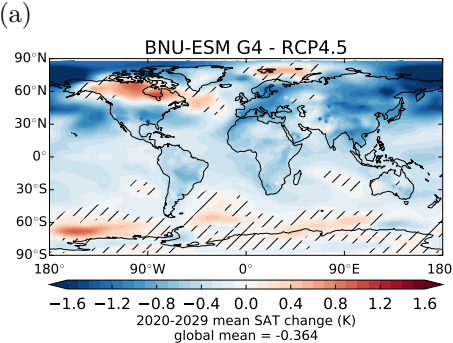


Figure 3.

Large-scale SAI detection

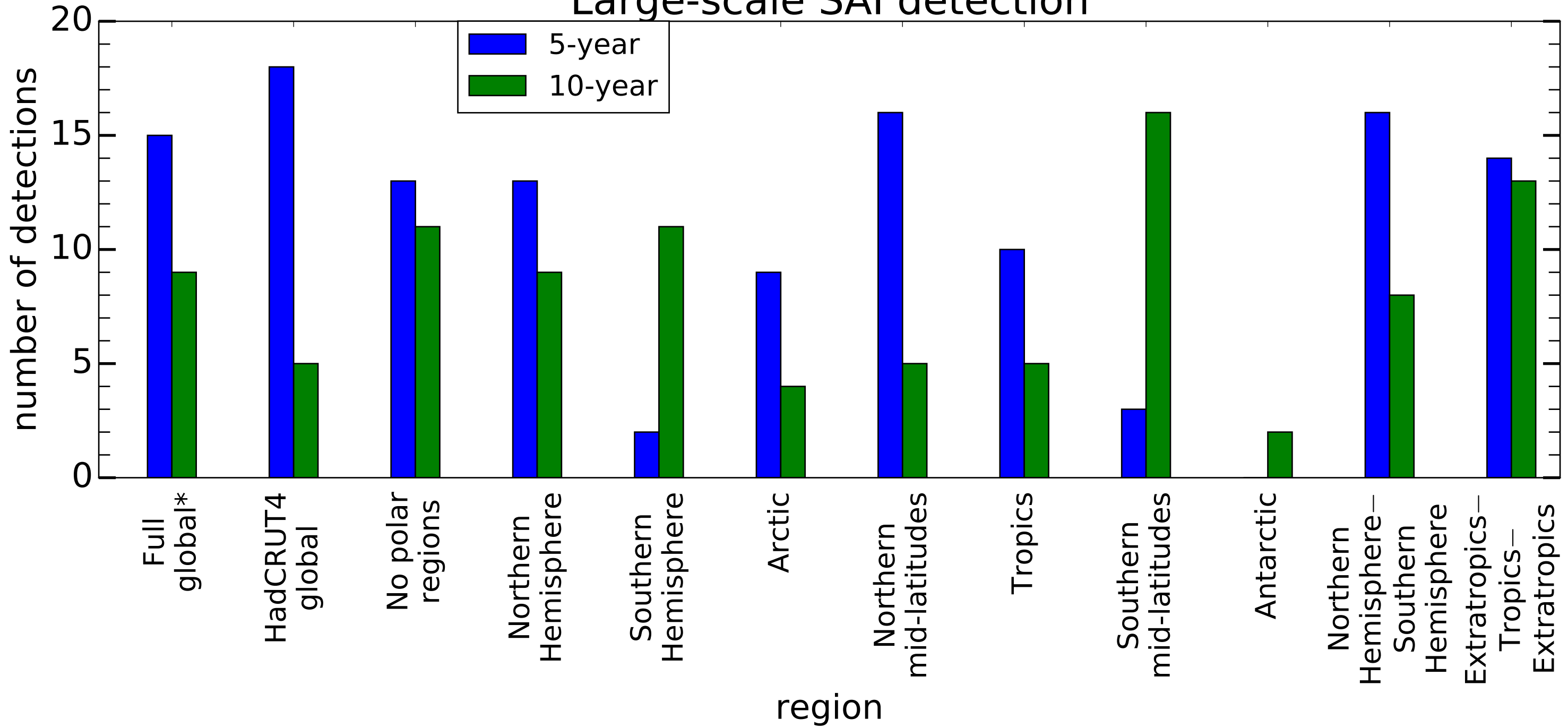


Figure 2.

HadCRUT4 January 2016

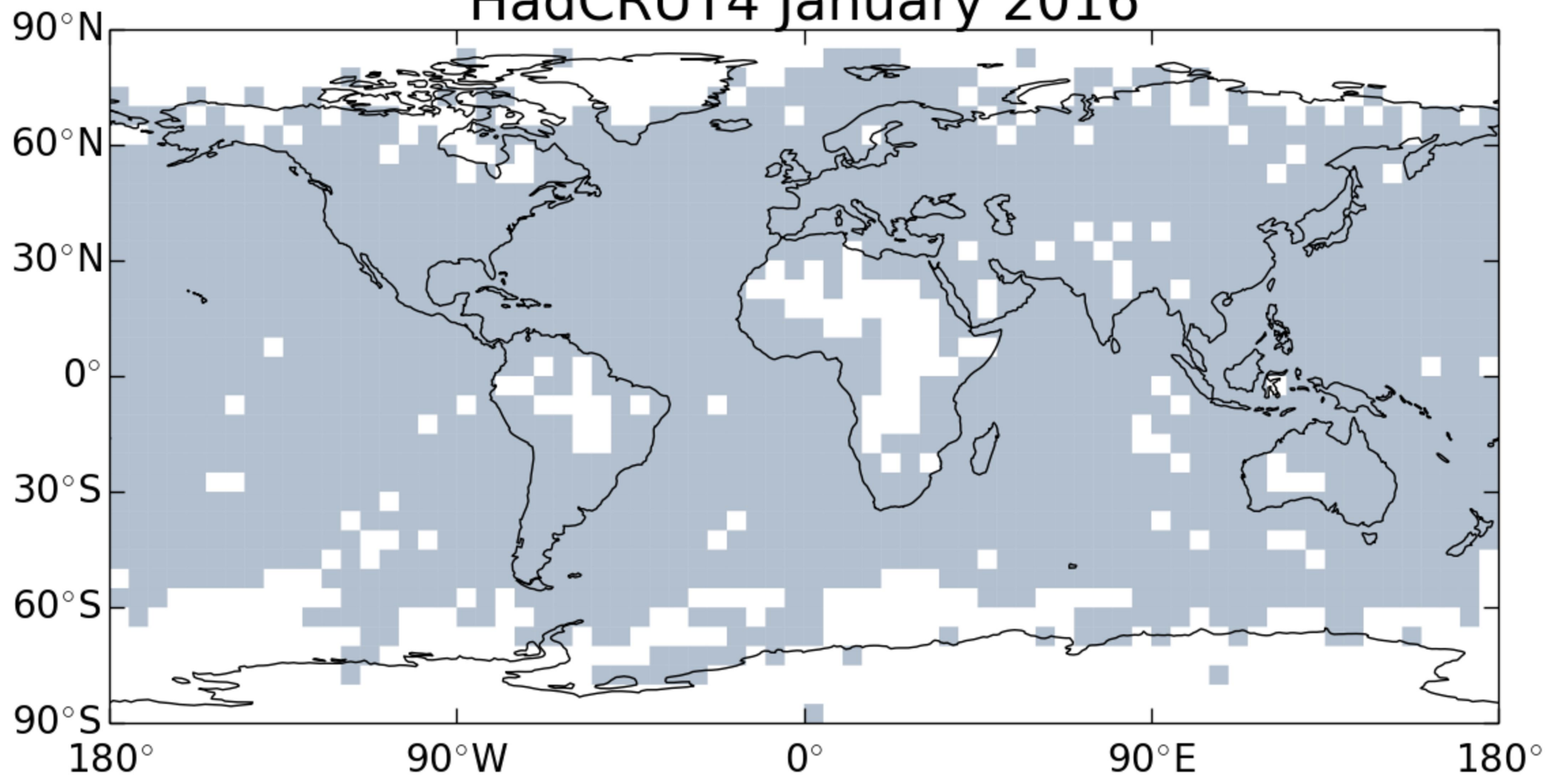


Figure 4.

Continental-scale detection

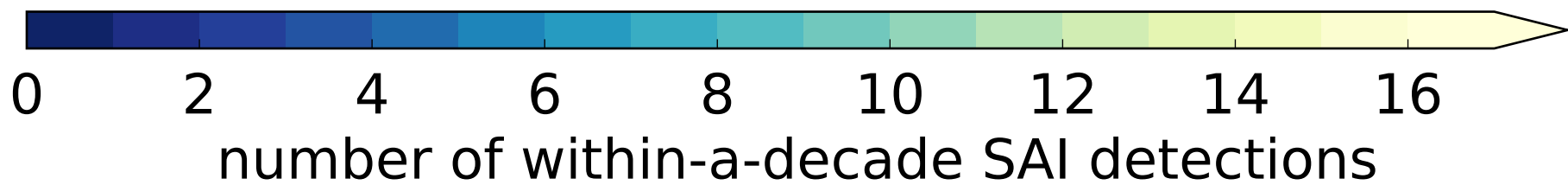
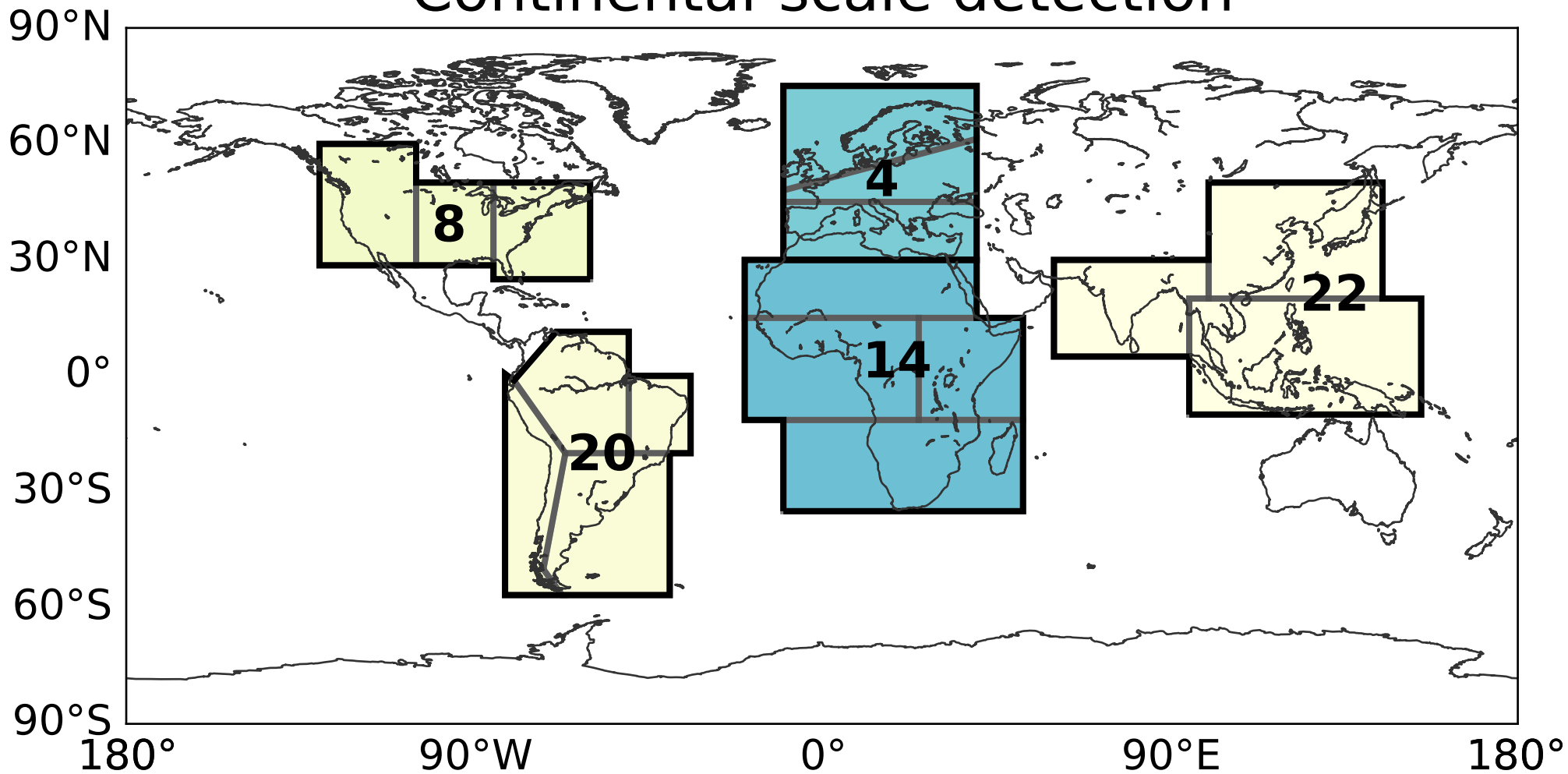
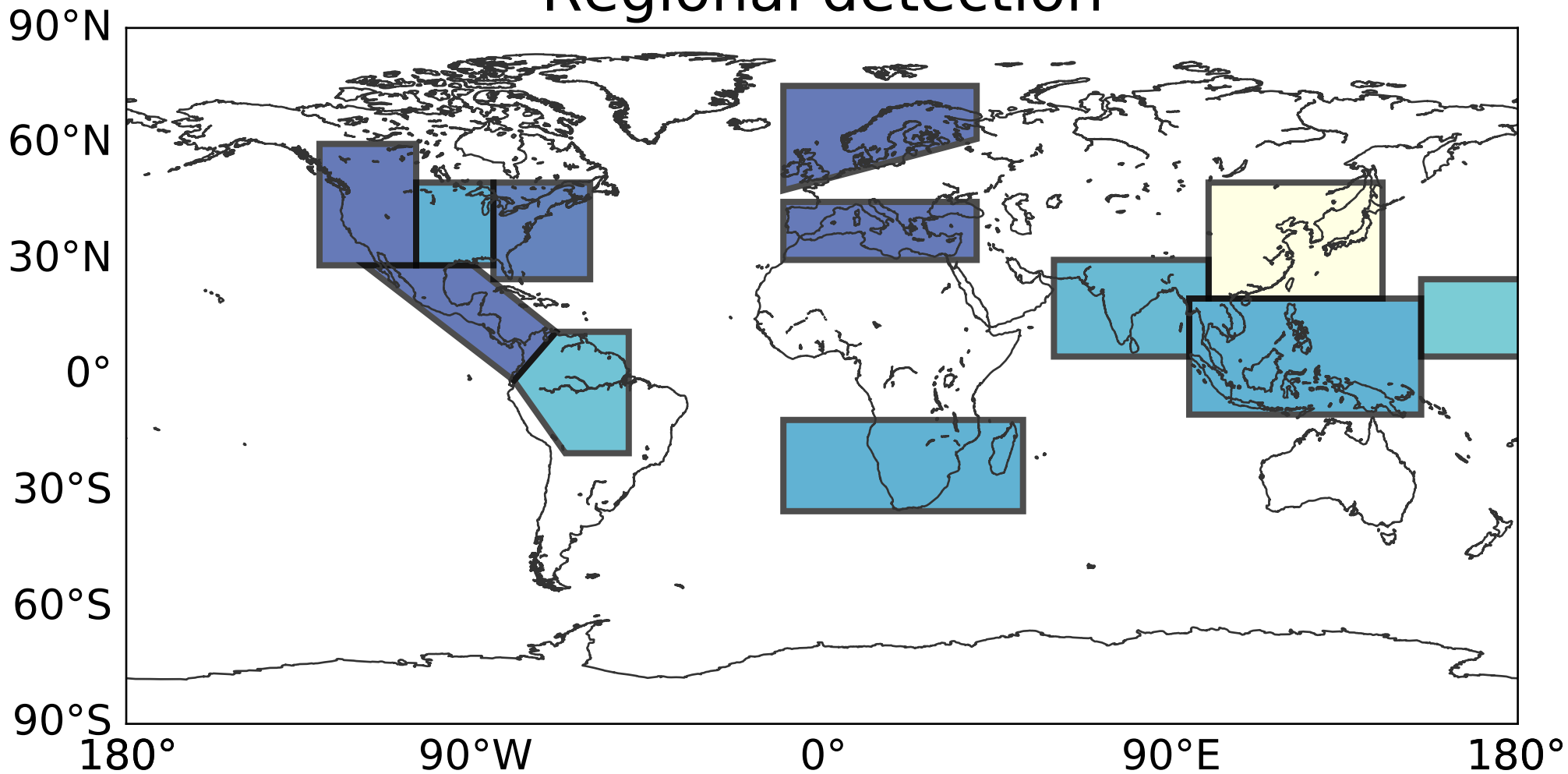


Figure 5.

Regional detection



0 2 4 6 8 10 12 14 16 18 20 22 24

number of within-a-decade SAI detections