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著者	Toyoda Taro, Shen Qing, Kamiyama Keita, Katayama Kenji, Hayase Shuzi
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**Dependences of the Optical Absorption, Ground State  
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*Taro Toyoda,<sup>\*,†,‡</sup> Qing Shen,<sup>\*,†,‡</sup> Keita Kamiyama,<sup>§</sup> Kenji Katayama,<sup>#</sup> and Shuzi Hayase,<sup>//, ‡</sup>*

<sup>†</sup>Department of Engineering Science, The University of Electro-Communications,

1-5-1 Chofugaoka, Chofu, Tokyo 182-8585, Japan

<sup>§</sup>Bunkoukeiki Co., Ltd, 4-8 Takakura, Hachioji, Tokyo 192-0033, Japan

<sup>#</sup>Department of Applied Chemistry, Chuo University, 1-13-27 Kasuga, Bunkyo, Tokyo 112-8551,  
Japan

<sup>//</sup>Graduate School of Life Science and Systems Engineering, Kyushu Institute of Technology,

2-4 Hibikino, Wakamatsu-ku, Kitakyushu, Fukuoka 808-0196, Japan

<sup>‡</sup>Core Research for Evolutional Science and Technology (CREST), Japan Science and Technology  
Agency (JST), 4-1-8 Honcho, Kawaguchi, Saitama 332-0012, Japan

**ABSTRACT:** Quantum dots (QDs) provide an attractive alternative sensitizer to organic dyes. However, there have been few reports on quantum dot-sensitized solar cells (QDSCs) that have photovoltaic conversion efficiencies exceeding those of dye-sensitized solar cells. This is because of the lack of fundamental studies of QDs on conventional nanocrystalline metal oxide electrodes. An important first step is an investigation of the dependences of the optical absorption, the ground state energy level, and the interfacial electron transfer (IET) on the size of QDs deposited on well characterized single crystal oxides. The present study focuses on a system of CdSe QDs adsorbed on the (001), (110), and (111) surfaces of single crystal rutile-TiO<sub>2</sub>. The optical absorption spectra, characterized using photoacoustic spectroscopy, were found to be independent of the surface orientation. However, the exponential optical absorption tail (Urbach tail) suggests that the disorder decreases with the increasing size of the QDs, and is related to the surface orientation. The ground state energy levels of the QDs were characterized using photoelectron yield spectroscopy. Those on (001) and (111) surfaces show an upward shift as the size of QDs increases, while that on the (110) surface shows a downward shift, indicating a difference between the pinning effects for the different surface orientations. The IET rate constant and the relaxation component were characterized using the transient grating method. The IET rate constant was found to decrease as the size of the QDs increases and depends on the surface orientation, indicating differences in the decrease of the free energy change. The relaxation component increases with increasing QD size and depends on the surface orientation. This correlates with the density of states in the conduction band of rutile-TiO<sub>2</sub>.

**KEYWORDS:** semiconductor quantum dot, TiO<sub>2</sub> single crystal, photo-sensitization, ionization energy, interfacial electron transfer, photoacoustic spectroscopy, photoelectron yield spectroscopy, transient grating method,

## INTRODUCTION

When the size of a semiconductor material is reduced to dimensions below its effective exciton Bohr radius, exciton confinement results in the evolution of discrete optical transitions that gradually shift to higher energies with decreasing size (quantum confinement effect). Controlling the dimensions of semiconductor materials at the nanoscale level (thus creating quantum dots, QDs) is a promising strategy for developing novel functionality for various applications, including solar cells (quantum dot-sensitized solar cells, QDSCs).<sup>1-15</sup> Thus, the most appealing quality of QDs from both academic and industrial perspectives is their size-dependent electronic properties. Due to the size-dependent quantum confinement effect, QDs have a tunable energy gap between the ground and excited states, and higher extinction coefficients, which suggests the possibility of using them as sensitizers in place of dyes. Moreover, the electron injection process benefits from the large built-in dipole moments produced in the QDs that facilitate the separation processes of the electron-hole pairs.<sup>16,17</sup> These characteristics of QDs provide them with the possibility of boosting the light-to-energy conversion efficiency of QDSCs. In the quest for higher light-to-electric conversion efficiencies and lower production costs, a number of researchers have proposed QDSC architectures stretching over two decades where nanoparticles of wide bandgap semiconductors are photosensitized with QDs. Although QDs have such advantages, there have been few reports on QDSCs with photovoltaic conversion efficiencies equaling or exceeding those of dye-sensitized solar cells (~ 12%).<sup>18</sup> This is because of the lack of fundamental studies of the electronic structure and the interfacial electron transfer (IET) of QDs adsorbed on nanocrystalline metal oxide electrodes. In general, nanoparticulate TiO<sub>2</sub> electrodes play a key role in applications to QDSCs, because they offer a large surface area onto which a large amount of QDs can be adsorbed for light harvesting.<sup>19</sup> For QDs adsorbed on TiO<sub>2</sub> and other metal oxide nanoparticulate

electrodes, heterogeneity can be caused by the distributions of defects, adsorption sites, and the exposed surfaces on the oxide. Also, the heterogeneity can be caused by distributions in the parameters of the QDs, such as their size, shape and charge, as well as their interactions.<sup>20</sup> These complexities cloud a detailed understanding of the essential factors that contribute to the electronic structure and IET of QDs adsorbed on nanoparticulate TiO<sub>2</sub> electrodes.

In a fundamental study, it is important and necessary to investigate the dependencies of the optical absorption, the ground state energy level, and the IET processes on the size of the QDs on well characterized single crystal metal oxide surfaces, where the electronic structure has been well investigated.<sup>20,21</sup> Nevertheless, a detailed study of the influence of electrodes with different crystal orientations is seldom discussed except in a few reports. A high photovoltaic conversion efficiency (~ 4.73%) for PbS QD heterojunction solar cells using anatase (001) TiO<sub>2</sub> nanosheet electrodes has been reported.<sup>22</sup> The better photovoltaic performance of the nanosheet compared to nanoparticles may be attributed to the high reactivity of the (001) surface owing to the higher ionic charge of this surface compared to the thermodynamically stable (101) surface.<sup>23</sup> Maitani and co-workers have revealed the effect of the reactive {001} facet on the photoexcited charge transfer from organic fluorophores to anatase-TiO<sub>2</sub> nanoparticles. The results imply a significant enhancement of the photoexcited charge transfer from fluorophores to TiO<sub>2</sub> nanoparticles due to the reactive {001} facet with a maximum factor of more than 10 in the quenching rate constant.<sup>24</sup> Parkinson and co-workers have used dyes and several QDs to sensitize single crystal surfaces to create a simple model for studying the basic processes related to IET in single crystal metal oxide electrodes.<sup>25-32</sup> The function of QDSCs relies on IET from the QD to a large band gap metal oxide (MO) (TiO<sub>2</sub>, ZnO etc.) and it is the first important step for solar cell performance. Although the effects on IET of the size of QDs and the QD-MO spacing have been studied for various materials,

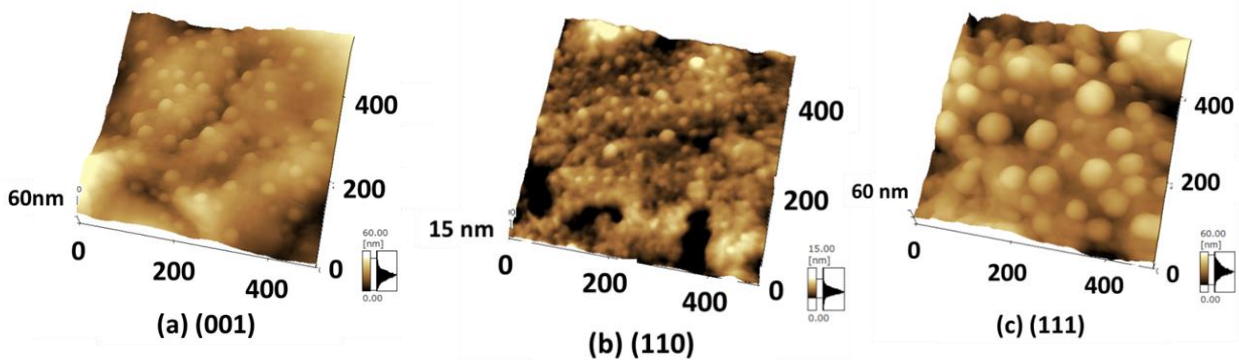
the previous studies of the IET from molecules and QDs to nanoparticulate TiO<sub>2</sub> electrodes have revealed highly heterogeneous IET dynamics because of the heterogeneity and polycrystalline nature of nanoparticulate TiO<sub>2</sub>.<sup>32-42</sup> Recently, the IET rate of CdSe QDs on rutile-TiO<sub>2</sub> (R-TiO<sub>2</sub>) electrodes with different crystal orientations has been studied as a function of the energy gap ( $\Delta E$ ) between the conduction band minimum of R-TiO<sub>2</sub> and the first excited state of the CdSe QDs.<sup>43</sup> The IET rate constant of CdSe QDs on a (111) surface with respect to  $\Delta E$  is higher than those on the (001) and (110) surfaces, indicating differences in the crystal binding and the mixing of wave functions at the interface.<sup>43</sup>

The present study focuses on the QD size-dependencies of the optical absorption, the ground state energy, the IET rate constant, and the components of the IET in systems comprising CdSe QDs on single crystal R-TiO<sub>2</sub>. We chose CdSe QDs as the sensitizer as these are the most extensively studied. Knowledge of the QD size-dependencies of the optical absorption, ground state energy, and IET is important in order to clarify the nature of the interaction between the QDs and R-TiO<sub>2</sub>. We chose single crystal R-TiO<sub>2</sub>, which is not only ideal for studying the crystal growth of the QDs but is also useful for studying the interactions between the QDs and TiO<sub>2</sub>. The rutile phase is the most stable and has been the subject of most fundamental studies because of the ready access to large bulk single crystals and relatively easy surface preparation procedures.<sup>21</sup> First, we applied photoacoustic (PA) spectroscopy, which is a type of photothermal spectroscopy, to characterize the optical absorption, not only in the bandgap absorption region but also in the sub bandgap region.<sup>15,44,45</sup> The PA signal is less sensitive to light-scattering effects than the signals in conventional spectroscopy. The sensitivity is higher for weak absorption than that of the conventional technique. Second, we applied photoelectron yield (PY) spectroscopy.<sup>46,47</sup> Although PY spectroscopy has been used to determine the ionization energy of a number of bulk

semiconductors and metals, it has not been applied to the study of the ionization energy of QDs as a function of size. While UV optical absorption spectra specify the energies of bands relative to each other and give information only at the band edge, they do not determine the absolute energy levels. Understanding of the absolute energy levels is vital in order to get a complete picture of the electronic structure, including the quantum confinement effect in the system. PY spectroscopy is useful for determining the absolute ground state energy level of CdSe QDs on R-TiO<sub>2</sub>, in contrast to ultraviolet visible (UV) spectra. Advantageously, the PY method is a rapid and reproducible measurement that can be done under ambient conditions.<sup>46,47</sup> Third, we applied the improved-transient grating (TG) method to characterize the IET dynamics.<sup>14</sup> Basically, the TG method depends on the refractive index changes resulting from photoexcited carriers. In this method, a diffraction grating consisting of photoinduced charge carriers was utilized for monitoring the carrier dynamics. The improved-TG method features very simple optical alignment, high sensitivity, and evaluation of both the electron and hole relaxation processes, under low pump light intensity.<sup>14,48,49</sup> Comparison of the IET dynamics for IET from CdSe QDs to single crystal R-TiO<sub>2</sub> with different crystal surfaces as a function of the size of the QDs leads to an understanding of the charge injection dynamics and suggests possible ways to improve QDSCs.

## EXPERIMENTAL SECTION

**Materials and Chemicals.** The characteristics of single crystal R-TiO<sub>2</sub> have already been reported.<sup>50</sup> Single-crystal R-TiO<sub>2</sub> wafers, 5 mm x 7 mm in area and 0.5 mm thick, with (001)-, (110)-, and (111)-cuts were obtained from Furuuchi Chemical Co., Ltd., Japan. The surface roughness of the (001), (110), and (111) surfaces were 0.322 nm, 0.356 nm, and 0.394 nm,



**Figure 1.** AFM images of CdSe quantum dots adsorbed on the (a) (001), (b) (110), and (c) (111) surfaces of single crystal of R-TiO<sub>2</sub> (adsorption temperature 10°C; adsorption time, 4 h).

respectively. The flat surfaces were treated by washing them in acetone for 30 min, immersing them in distilled water for 30 min, and exposing them to ozone for 10 min. CdSe QDs were adsorbed on the surfaces of the wafers using a chemical bath deposition (CBD) technique.<sup>50</sup> An 80 mM sodium selenosulphate (Na<sub>2</sub>SeSO<sub>3</sub>) solution was prepared by dissolving elemental Se powder in a 200 mM Na<sub>2</sub>SO<sub>3</sub> solution. Then, 80 mM CdSO<sub>4</sub> and 120 mM of the trisodium salt of nitrilotriacetic acid [N(CH<sub>2</sub>COONa)<sub>3</sub>] were mixed with the Na<sub>2</sub>SeSO<sub>3</sub> solution in a volume ratio of 1:1:1. The single crystal R-TiO<sub>2</sub> was placed in a glass container filled with the final solution at 10°C in the dark for various times (4 ~ 28 h). Figure 1 shows examples of AFM images of CdSe QDs adsorbed on (a) (001) R-TiO<sub>2</sub>, (b) (110) R-TiO<sub>2</sub>, and (c) (111) R-TiO<sub>2</sub>. The adsorption temperature and time were 10°C and 4 h, respectively. The AFM images show that there are many more CdSe QDs on the (111) surface than on the (001) and (110) surfaces. Also, the rate of adsorption from optical absorbance measurements of the CdSe QDs on the (111) surface is higher than for those deposited on the (001) and (110) surfaces, similar to results previously reported ((111) > (110) > (001)).<sup>50</sup> Therefore, the adsorption of CdSe QDs on R-TiO<sub>2</sub> is related to the orientation of the surface and its associated electronic structure. Characterization of the crystal



structure of CdSe QDs by conventional XRD measurements was not possible due to the small number of QDs. One would like to characterize the structure by high-resolution XRD measurements, since the ground state energy level of the QDs might depend on the crystal structure.<sup>51</sup>

**PA Spectroscopy Characterization.** The optical absorption of CdSe QDs on R-TiO<sub>2</sub> were investigated using a single beam PA spectrometer with a gas-microphone technique.<sup>15</sup> The PA cell consists of an aluminum cylinder with a small channel at the periphery into which a microphone is inserted. Monochromatic light from a 300 W xenon short arc lamp modulated at 33 Hz was focused onto the sample surface in the sealed PA cell. The PA signal was detected by first passing the output from the microphone through a preamplifier and then a lock-in amplifier. The spectra were taken at room temperature in the wavelength range of 300 – 830 nm. The PA signal intensity is proportional to the optical absorption coefficient due to the relationship between the optical absorption length and the thermal diffusion length.<sup>52</sup> The spectra were calibrated using the PA signals from a carbon black sheet.<sup>15,52</sup>

**PY Spectroscopy Characterization.** The PY spectra were collected using an ionization energy measurement system (BIP-KV201, Bunkoukeiki, Co., Ltd., Japan).<sup>15,43</sup> The number of photoelectrons was obtained using an ammeter to measure the current needed to compensate for the photoexcited holes generated in the sample. In the PY measurements, the photoemission yield ( $Y$ ) was measured as a function of photon energy ( $h\nu$ ), and the value of the ionization energy ( $I$ ) was determined from the onset of the PY spectrum. The PY spectrum around the photoelectric threshold  $I$  can be expressed by the following equation

$$Y = K (h\nu - I)^n \quad (1)$$

where  $K$  is a constant and  $n$  is a parameter that mainly depends on the shape of the density of electronic states at the upper edge of the valence band and the probability of the transmission of electrons across the surface.<sup>46</sup> In this study, we employed a cubic function ( $n = 3$ ) based on a theoretical analysis.<sup>46,53</sup> The value of  $I$  was determined by extrapolating the linear part of  $Y^{1/3}$  to the baseline.<sup>15</sup> An energy scan of the incident photons was performed with UV light (4 ~ 9.5 eV). The UV light was focused on the sample over an area of  $1 \times 3 \text{ mm}^2$ . All the measurements were performed in a vacuum chamber ( $\sim 4 \times 10^{-3} \text{ Pa}$ ) at room temperature.

**Improved-TG Characterization.** For these measurements, a laser beam was separated into two parts for the pump and probe beams. These were then aligned coaxially before being trained on the transmission grating. For the pump beam, the spatial intensity profile has an interference pattern close to the surface on the far side of the transmission grating. When a sample is brought near to this surface, it is excited by the optical interference pattern. The probe beam is diffracted both by the transmission grating and by the grating induced on the sample (TG). The two diffractions progress in the same direction and the time dependent diffraction intensity is measured.<sup>14</sup> The laser source used for this characterization was a regeneratively amplified titanium/sapphire laser (CPA-1000, Clark-MXR Inc., USA) with a fundamental wavelength of 775 nm, a repetition rate of 1 kHz, and a pulse width of 150 fs. The probe pulse (775 nm) was delayed by an optical delay line (0 ~ 400 ps). The pump pulse was generated using a travelling-wave optical parametric amplifier of a super fluorescence (TOPAS) system and was set at a wavelength of 500 nm, suitable for optical absorption in CdSe QDs. The diameters of both the pump and probe lasers were 5 mm. We have shown previously that the mechanism for carrier depopulation by the TG technique under our experimental conditions (2 ~ 7  $\mu\text{J}/\text{pulse}$ : linear response range) is due to hole trapping and electron injection or trapping.<sup>14</sup> In this case, we were able to separate charge

transfer/trapping from charge recombination, simplifying the data analysis. Also, samples exhibited no apparent photo-damage during the TG experiments.

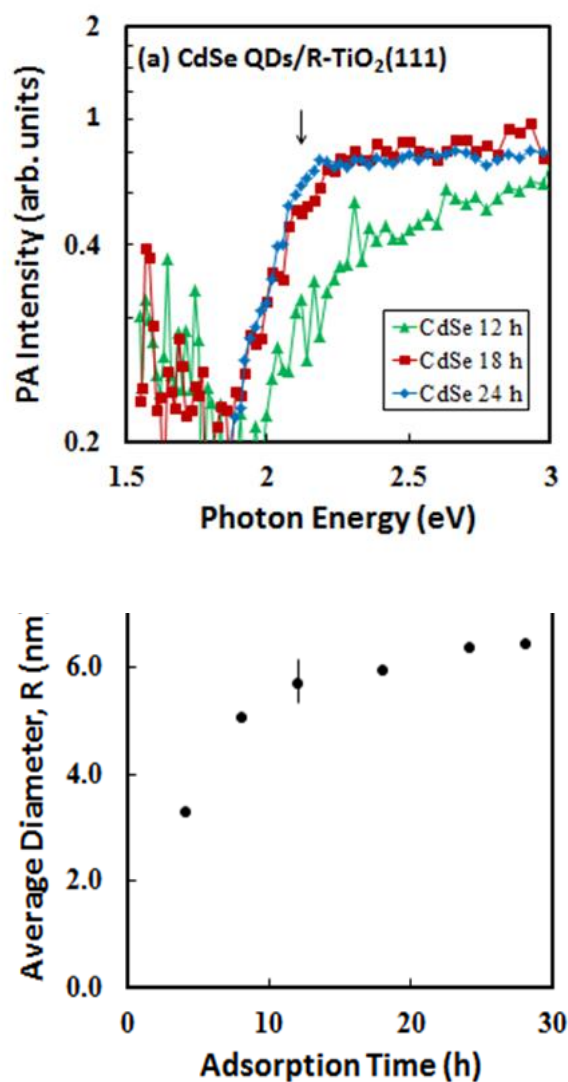
## RESULTS AND DISCUSSION

**PA Characterization of CdSe QDs on Single Crystal R-TiO<sub>2</sub>.** Figure 2 (a) shows an example of the PA spectra for CdSe QDs on the (111) surface of single crystal R-TiO<sub>2</sub> with different adsorption times (12 ~ 24 h). The shapes of the PA spectra are independent of the modulation frequency (33 ~ 233 Hz) of the incident light, indicating reflection of the optical absorption coefficient character. Similar PA spectra can be obtained for CdSe QDs on the (001) and (110) surfaces of single crystal R-TiO<sub>2</sub>. The energy gap between the ground and excited states (first excitation energy) was evaluated using the shoulder point ( $\downarrow$ , energy value is  $E_1$ ). In general, the values of  $E_1$  in the logarithmic PA spectra agree well with the reported values of the bandgaps.<sup>54</sup> A redshift in  $E_1$  with increasing adsorption time is perceptible, suggesting the growth of CdSe QDs. With the values of  $E_1$  and the bandgap ( $E_g$ ) for bulk CdSe, the average diameter,  $R$ , can be estimated from the effective mass approximation (EMA)<sup>55</sup> using the following equations

$$E_1 = E_g + \frac{h^2}{8\mu r^2} \quad (2)$$

$$\frac{1}{\mu} = \frac{1}{m_e^*} + \frac{1}{m_h^*}$$

where  $r$  is the radius ( $R = 2r$ ) of the CdSe QDs,  $\mu$  is the reduced mass,  $h$  is Planck's constant,  $m_e^*$  is the electron effective mass, and  $m_h^*$  is the hole effective mass. The Coulomb and correlation terms are neglected. Hence the EMA assumes an infinite potential barrier at the surface of the dot, and fails for small dot sizes because the bands at higher wave vectors are non-parabolic and



**Figure 2.** (a) Photoacoustic spectra for CdSe quantum dots adsorbed on the (111) surface of single crystal R-TiO<sub>2</sub>. (b) Dependence of average diameter,  $R$ , on adsorption time.

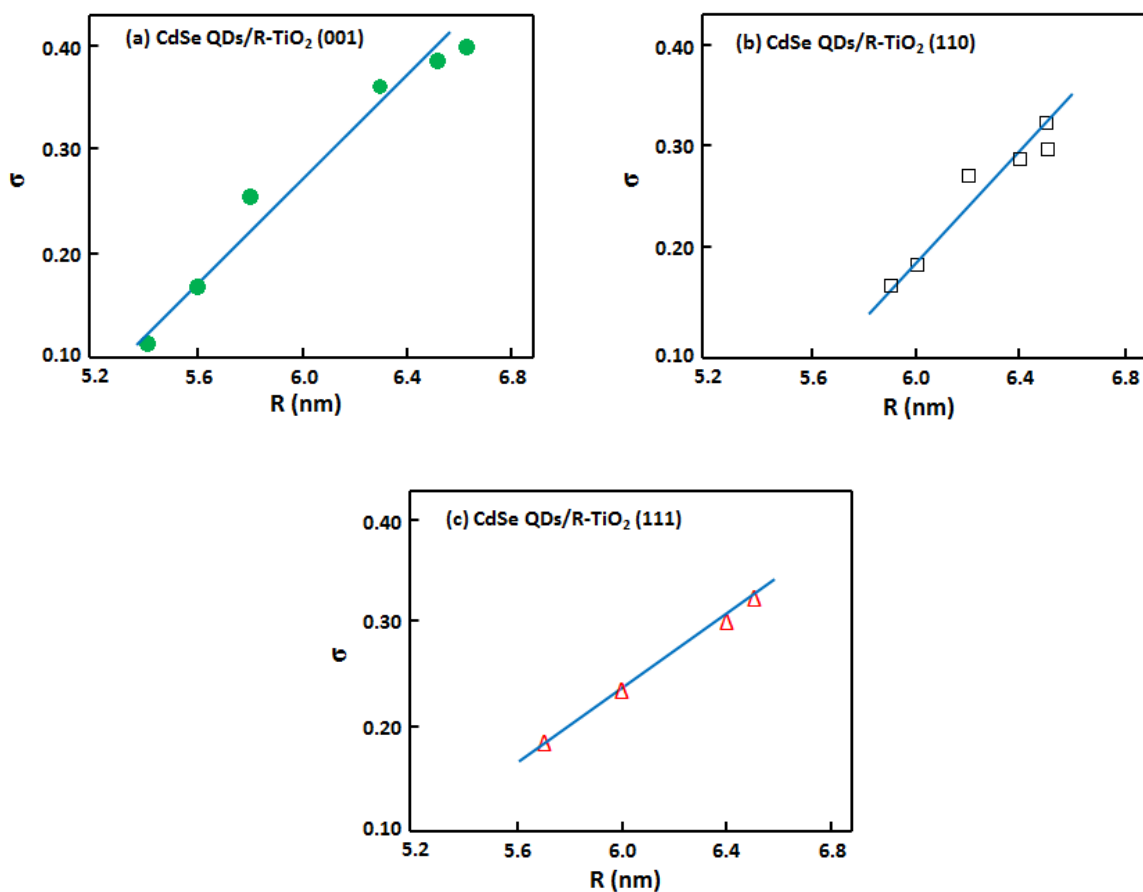
the potential step at the surface of the dot is finite. Murray and co-workers showed that the size of CdSe QDs calculated by the EMA agree with the experimental results for CdSe QDs larger than 3 nm in diameter.<sup>56</sup>  $R$  values estimated from the PA measurements in our case were in the acceptable range of the EMA. Figure 2 (b) shows an example of the adsorption time dependence of  $R$  of CdSe QDs on the (111) single crystal R-TiO<sub>2</sub>.  $R$  increases in diameter from 3.5 to 6.5 nm. Similar results were obtained for the other surfaces showing that the adsorption time dependence of  $R$  is

independent of the R-TiO<sub>2</sub> surface. However, the rate of adsorption measured by the optical absorbance in CdSe QDs on the (111) surface is higher than for those deposited on the (001) and (110) surfaces, giving a similar result to that reported previously ((111) > (110) > (001)).<sup>50</sup>

In semiconductors and insulators, the absorption edge in the region below the bandgap increases exponentially (Urbach tail or exponential tail).<sup>57</sup> The exact cause of the Urbach tail has been extensively studied.<sup>58-63</sup> One study gave fundamental information associated with the structural disorder, defects, impurities, and electron-phonon interactions.<sup>62</sup> The PA signal intensity ( $P$ ) in the region of the Urbach tail is given by the following equation<sup>62</sup>

$$P = P_0 \exp \left[ \frac{\sigma(h\nu - h\nu_0)}{k_B T} \right] \quad (3)$$

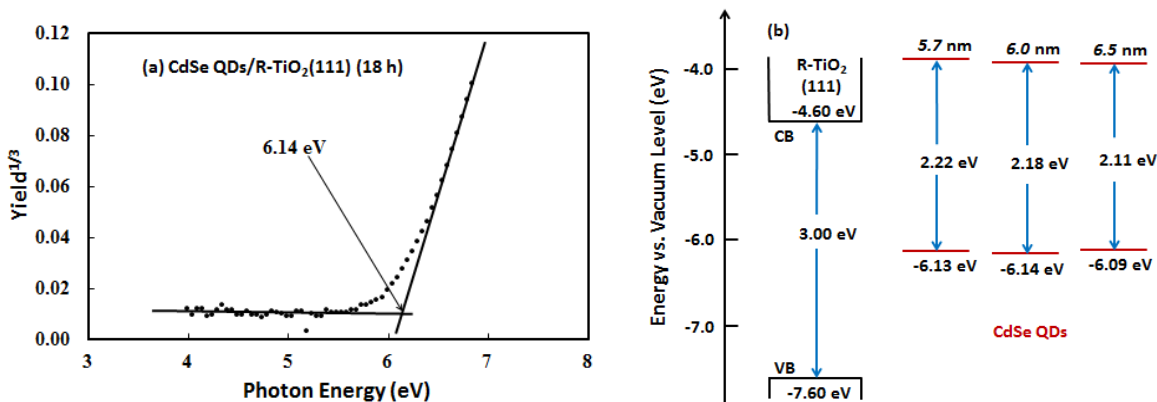
where  $h$  is Planck's constant ( $h\nu$ , incident photon energy),  $k_B$  is the Boltzmann constant,  $T$  is absolute temperature, and  $P_0$  and  $\nu_0$  are fitting parameters.  $\sigma$  is a characteristic of the logarithmic slope (exponential tail) and is called the steepness parameter. Figure 3 (a), (b), and (c) show the dependence of the value of  $\sigma$  on  $R$  for CdSe QDs on (001), (110), and (111) single crystal R-TiO<sub>2</sub>, respectively. We assume firstly that the value of  $\sigma$  is a reflection of the structural disorder from the ideal stoichiometry for CdSe QDs. Smaller  $\sigma$  corresponds to a broader exponential tail and hence to larger structural disorder.<sup>64</sup> The value of  $\sigma$  increases with increasing  $R$ , indicating a decrease in structural disorder. Another possibility for the  $R$  dependence of  $\sigma$  is the effect of electron-phonon interactions.<sup>62</sup> Our results suggest that the number of electron-phonon interactions is larger for smaller  $R$  because these interactions increase as the number of phonons at room temperature increases.<sup>62</sup> The structural disorder and electron-phonon interactions,



**Figure 3.** Dependence of steepness parameter,  $\sigma$ , on the average diameter,  $R$ , of CdSe quantum dots adsorbed on the (a) (001), (b) (110), and (c) (111) surfaces of single crystal R-TiO<sub>2</sub>.

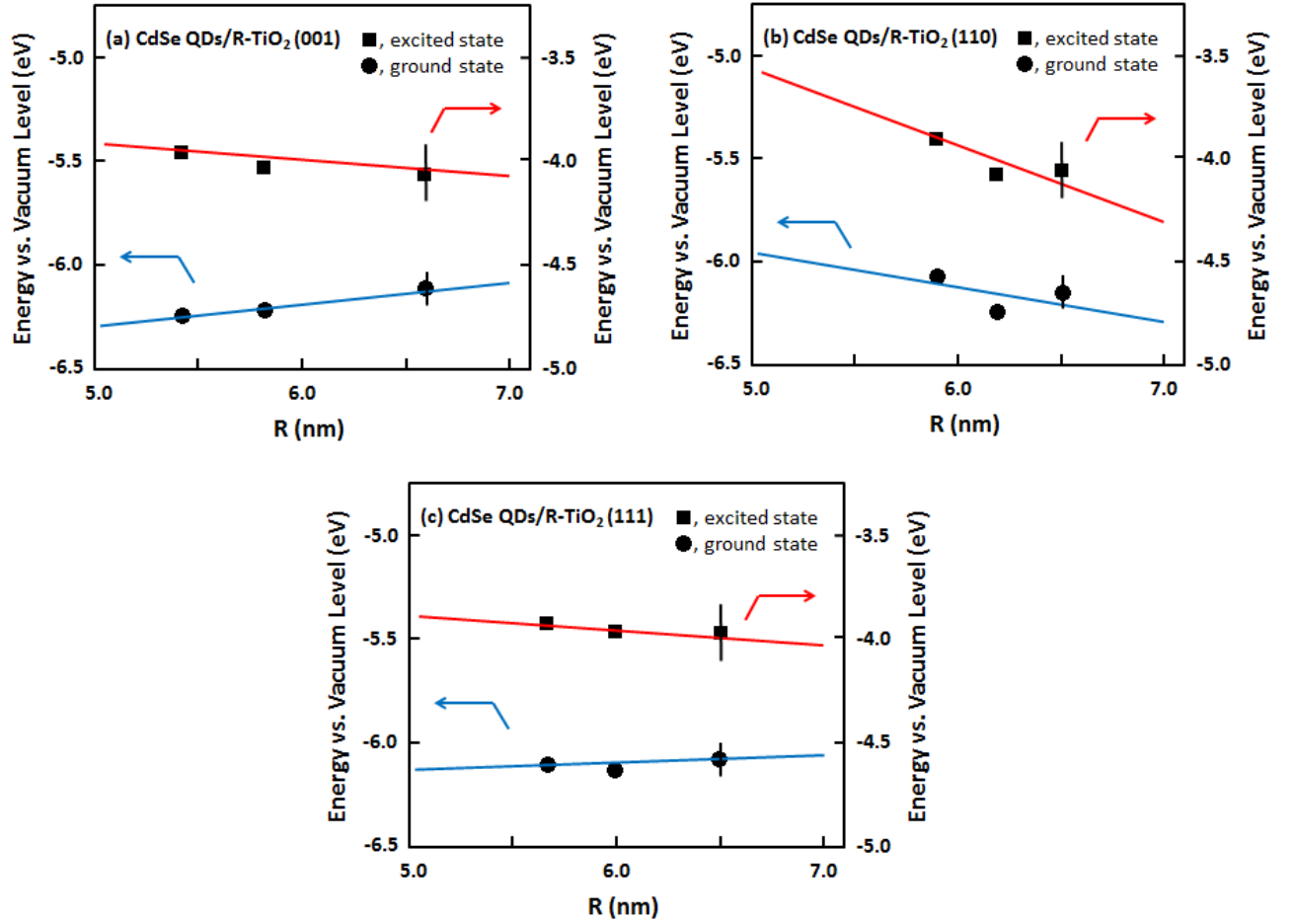
together with the impurities, strongly influence the optical absorption process.<sup>59,62</sup> The temperature dependence of  $\sigma$  is needed to account for the effects of the structural disorder and electron-phonon interactions for CdSe QDs on different electrode surface orientations.<sup>62</sup>

**PY Characterization of CdSe QDs on Single Crystal R-TiO<sub>2</sub>.** The orientation of the crystal surface affects the ionization energy because the strength of the electric double layer at the surface is proportional to the density of positive ion cores. There is a double layer because the surface ions are asymmetric, with vacuum on one side and substrate on the other.<sup>15</sup> We utilized



**Figure 4.** (a) Photoelectron yield spectrum of CdSe quantum dots adsorbed on the (111) surface of single crystal R-TiO<sub>2</sub>. (b) alignment of energy levels.

the PY spectroscopy method to determine the size-dependent ground state energy level of CdSe QDs on (001), (110), and (111) single crystal R-TiO<sub>2</sub>. Figure 4 (a) shows an example of the PY spectra of CdSe QDs grown on the (111) surface with an adsorption time of 18 h (~ 6 nm diameter). The error bars are included to show the good S/N ratio. Figure 4 (b) shows an example of the alignment of the energy levels of CdSe QDs on (111) R-TiO<sub>2</sub>, together with the valence band maximum (VBM) positions of (111) R-TiO<sub>2</sub>.<sup>50,64</sup> The positions of the VBM measured for (001), (110), and (111) R-TiO<sub>2</sub> were the same as reported previously (-7.83 eV, -7.74 eV, and -7.60 eV, respectively).<sup>50</sup> The size-dependent ground state and excited state energy levels of CdSe QDs on (a) (001), (b) (110), and (c) (111) single crystal R-TiO<sub>2</sub> are shown in Figure 5. Figure 5 shows that the ground state energy levels of CdSe QDs on the (001) and (111) surfaces shift upward with increasing  $R$ , while that on the (110) surface shifts downward. Here, the excited state energy levels of CdSe QDs on (001), (110), and (111) R-TiO<sub>2</sub> are evaluated from the PA characterization ( $E_1$  value), and they show downward shifts with increasing  $R$ . In the simplest EMA model,<sup>65</sup> the ground and excited energy level shifts ( $\Delta E_{GS}$  and  $\Delta E_{ES}$ ,



**Figure 5.** Dependence of ground state and excited state energy levels of CdSe quantum dots on average diameter,  $R$ , adsorbed on the (a) (001), (b) (110), and (c) (111) surfaces of single crystal R-TiO<sub>2</sub>

respectively) are inversely proportional to the effective masses of the holes ( $m_h^*$ ) and electrons ( $m_e^*$ ), respectively, and given by

$$\Delta E_{GS} = \frac{-h^2}{2m_h^*R^2} \quad \Delta E_{ES} = \frac{h^2}{2m_e^*R^2} \quad (4)$$

where  $h$  is Planck's constant, and  $R$  is the diameter of the QDs.<sup>66</sup> Since  $m_h^*$  for CdSe is three times heavier than  $m_e^*$ , EMA predicts the upward shift of the ground state energy level is approximately three times smaller than the downward shift of the excited state energy level. The measured

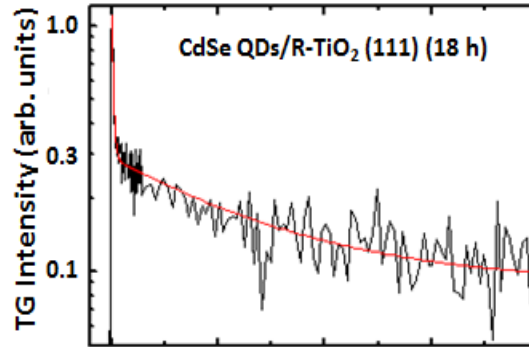


changes in  $\Delta E_{GS}$  and  $\Delta E_{ES}$  with  $R$  are smaller than those calculated from equation (4). This implies that EMA is inaccurate and overestimates the quantum confinement effect. There is a chemical interaction between the CdSe QDs and the R-TiO<sub>2</sub> surface upon adsorption that correlates with the ground states of the CdSe QDs. The interactions between CdSe QDs and the (001) and (111) R-TiO<sub>2</sub> surfaces are similar to each other because the  $R$  dependences of  $\Delta E_{GS}$  and  $\Delta E_{ES}$  are the same. On the other hand, the interaction between CdSe QDs and the (110) R-TiO<sub>2</sub> surface is different because of the different  $R$  dependence of  $\Delta E_{GS}$ . The different  $R$  dependence for the (110) surface is possibly due to the pinning of the CdSe QD ground state to the VBM of R-TiO<sub>2</sub>, which is attributed to direct electronic interaction between the two semiconductor materials.<sup>66</sup>

**TG Characterization of CdSe QDs on Single Crystal R-TiO<sub>2</sub>.** Figure 6 shows an example of the TG response for CdSe QDs grown on a R-TiO<sub>2</sub> (111) surface with an adsorption time of 18 h (~ 6 nm diameter). There are two relaxation processes: a fast one (~ 1 ps) and a slow one (several tens of ps). The TG signal intensity  $S(t)$  is proportional to the refractive index change, which is determined by a linear function of the concentration of free photogenerated carriers (electron and holes) according to the Drude model.<sup>67,68</sup>  $S(t)$  can be fitted with two exponential relaxation functions plus an offset ( $S_0$ )

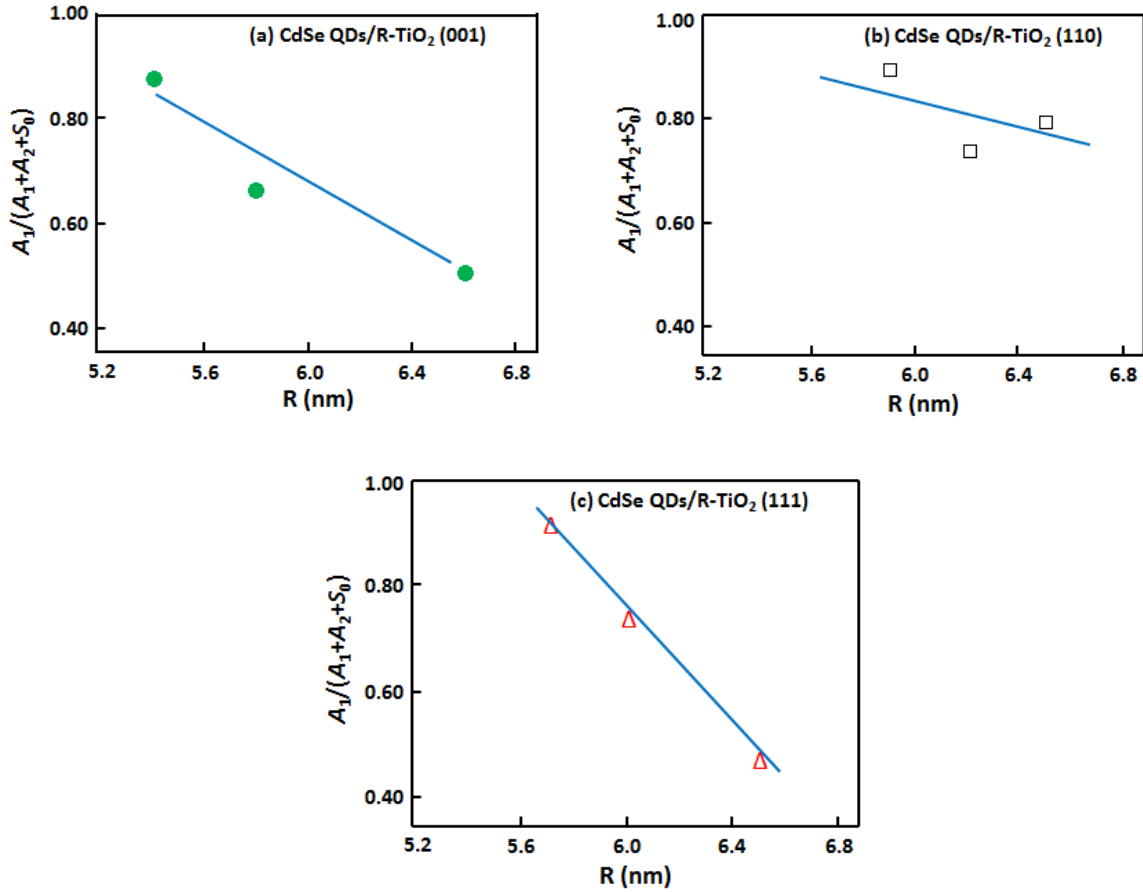
$$S(t) = A_1 \exp\left(\frac{-t}{\tau_1}\right) + A_2 \exp\left(\frac{-t}{\tau_2}\right) + S_0 \quad (5)$$

where  $A_1$ ,  $A_2$ , and  $S_0$  are fitting parameters, which correspond to the components of the fast, slow, and longer relaxation processes, respectively. Here, we assume that  $S_0$  is related to the recombination process.  $\tau_1$  and  $\tau_2$  are the time constants of the fast and slow relaxation processes, respectively. The two exponential functions together with the offset term fit with the



**Figure 6.** Transient grating response for CdSe quantum dots adsorbed on the (111) surface of single crystal R-TiO<sub>2</sub> (adsorption time, 18 h).

experimental data using a least-squares fit (red-line in Figure 6). The fast relaxation time constant  $\tau_1$  is observed to be around 1 ps. In the TG measurements,  $\tau_1$  is independent of the surface orientation. The fast relaxation process of around 1 ps region was not observed in the TG measurements when we characterized CdSe QDs adsorbed on a nanoparticulate TiO<sub>2</sub> electrode.<sup>49,67,68</sup> We measured the TG responses of each single crystal rutile TiO<sub>2</sub> surface without CdSe QDs to investigate the effect of the TiO<sub>2</sub> only. In this case, similar fast relaxation processes with relaxation times of around 1 ps were observed for the three different surfaces. These TG responses are identical with those obtained for CdSe QDs on R-TiO<sub>2</sub>. Therefore, the fast relaxation process is mainly due to the optical Kerr effect, which is an effect due to the electric field of the light itself. The optical Kerr effect causes a variation in the refractive index which is proportional to the local irradiation of the light. This effect is pronounced with intense beams such as lasers applied to single crystals. On the other hand, the fast relaxation processes in the region of several ps due to hole trapping<sup>49,67,68</sup> were not observed in our TG measurements on CdSe QDs on single crystal R-TiO<sub>2</sub> owing to the overlap of the TG signal intensity with the strong optical Kerr effect around 1 ps. Figure 7 shows the dependence on  $R$  of the relative value



**Figure 7.** Dependence of the relative component of  $A_1/(A_1 + A_2 + S_0)$  on the average diameter,  $R$ , of CdSe quantum dots adsorbed on the (a) (001), (b) (110), and (c) (111) surfaces of single crystal R-TiO<sub>2</sub>.

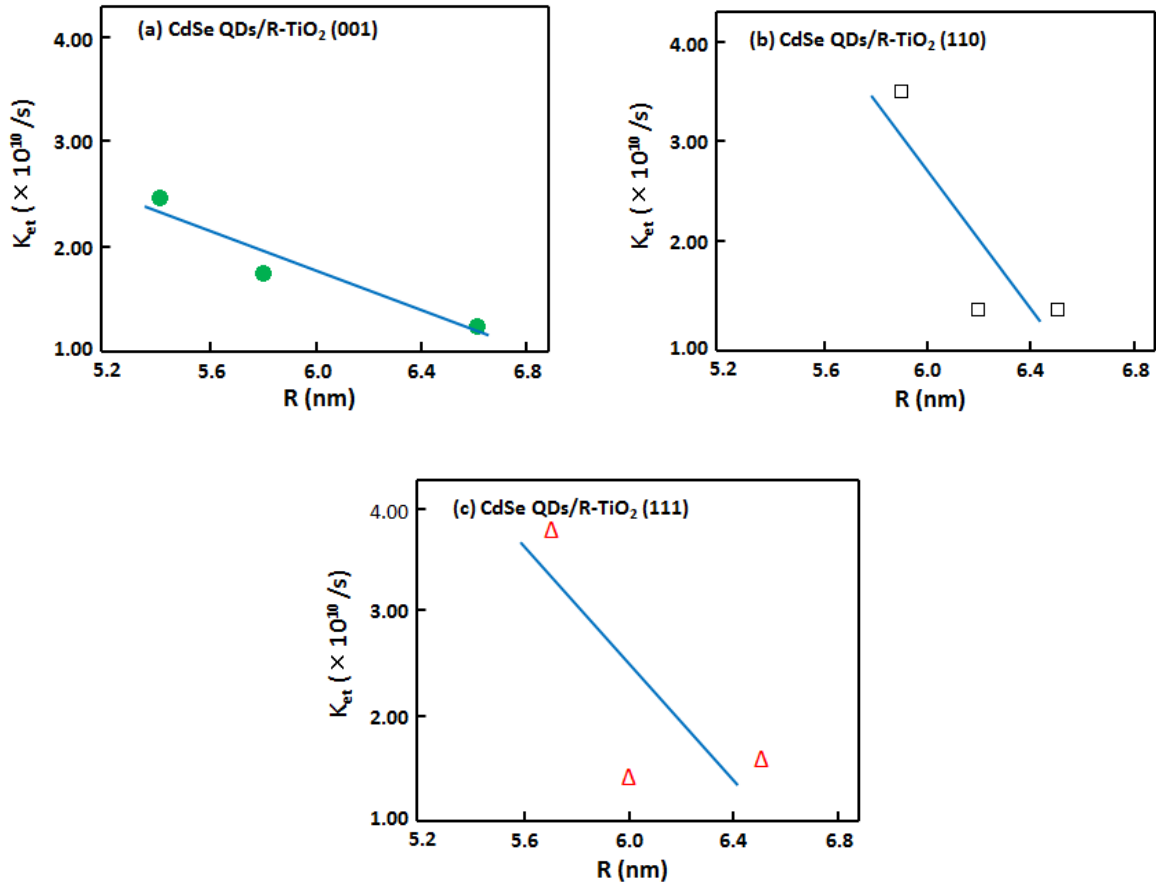
of the parameter  $A_1$  for the fast relaxation process to the value of the combined parameters ( $A_1/(A_1 + A_2 + S_0)$ ) on (a) (001), (b) (110), and (c) (111) surfaces. The relative value depends on  $R$  and decreases with increasing  $R$  due to the decrease in penetration of the pump beam to the single crystal rutile TiO<sub>2</sub>, corresponding to a decrease in the optical Kerr effect. However, the rate of decrease of the relative component of  $A_1$  on the (110) surface is lower than those on the (001) and (111) surfaces, indicating a greater influence of the optical Kerr effect, despite the adsorption of QDs on the (110) surface being larger than that on the (001) surface. According to the PY characterization, the interactions between the CdSe QDs and R-TiO<sub>2</sub> for the (001) and (111)

surfaces are similar to each other. On the other hand, the interaction between the CdSe QDs and R-TiO<sub>2</sub> for the (110) surface is different. The different  $R$  dependence for the (110) surface is possibly due to pinning of CdSe QD ground state to the VBM of R-TiO<sub>2</sub>, which is attributed to the direct electronic interaction between the two semiconductor materials.<sup>66</sup> The different pinning effect leads to the smaller ratio of the relative component of the relaxation process for the (110) surface.

The slow relaxation time constant  $\tau_2$  of between 30 and 80 ps is assumed to be due to the photoexcited electron relaxation process.  $\tau_2$  obtained in the measurements increases with increasing adsorption time similar to previous reports<sup>37,68</sup> and depends on the R-TiO<sub>2</sub> surface. We calculate the apparent IET rate constant,  $k_{et}$ , for CdSe QDs adsorbed on R-TiO<sub>2</sub> using the following relationship<sup>69</sup>

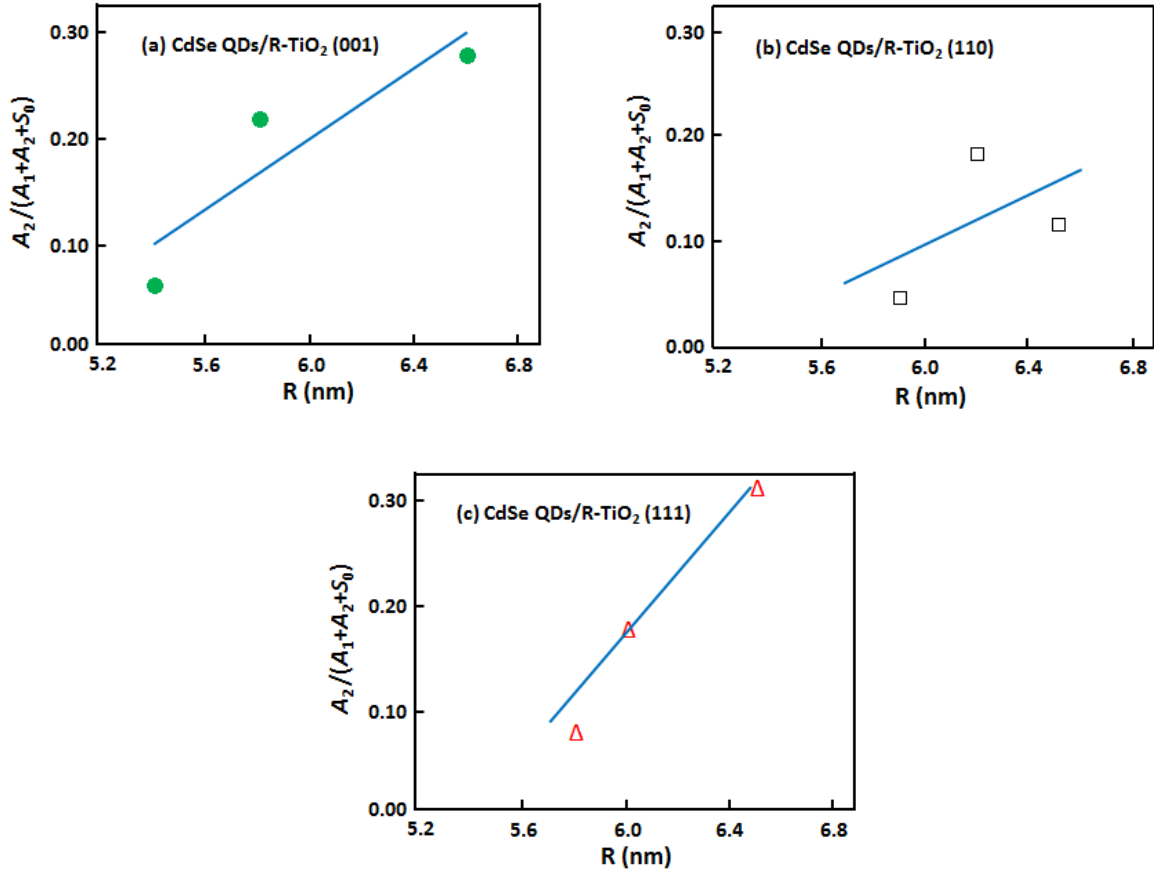
$$K_{et} = \frac{1}{\tau_2(\text{TiO}_2)} - \frac{1}{\tau_2(\text{SiO}_2)} \quad (6)$$

where  $\tau_2(\text{TiO}_2)$  and  $\tau_2(\text{SiO}_2)$  are the time constants of the QDs adsorbed on R-TiO<sub>2</sub> and SiO<sub>2</sub>, respectively. Applying the time constant  $\tau_2$  in the measurements for QDs on R-TiO<sub>2</sub> and those on SiO<sub>2</sub> from the literature,<sup>49</sup> we calculated the apparent IET rate constant  $K_{et}$  using eq. (6). Figure 8 shows the dependence of  $K_{et}$  on  $R$  for QDs on (a) (001), (b) (110), and (c) (111) surfaces.  $K_{et}$  decreases with increasing  $R$ . This result can be explained by the fact that with larger  $R$  a smaller percentage of the total charge density is localized near the surface.<sup>70</sup> This causes a decrease in the free energy change, so that the IET rate constant decreases. The symmetry of the wave functions determines the total charge density.<sup>70</sup> The symmetry of the excited states of CdSe QDs to the orbitals of the substrate influences the IET rate constant. The rates of decrease of  $K_{et}$  for



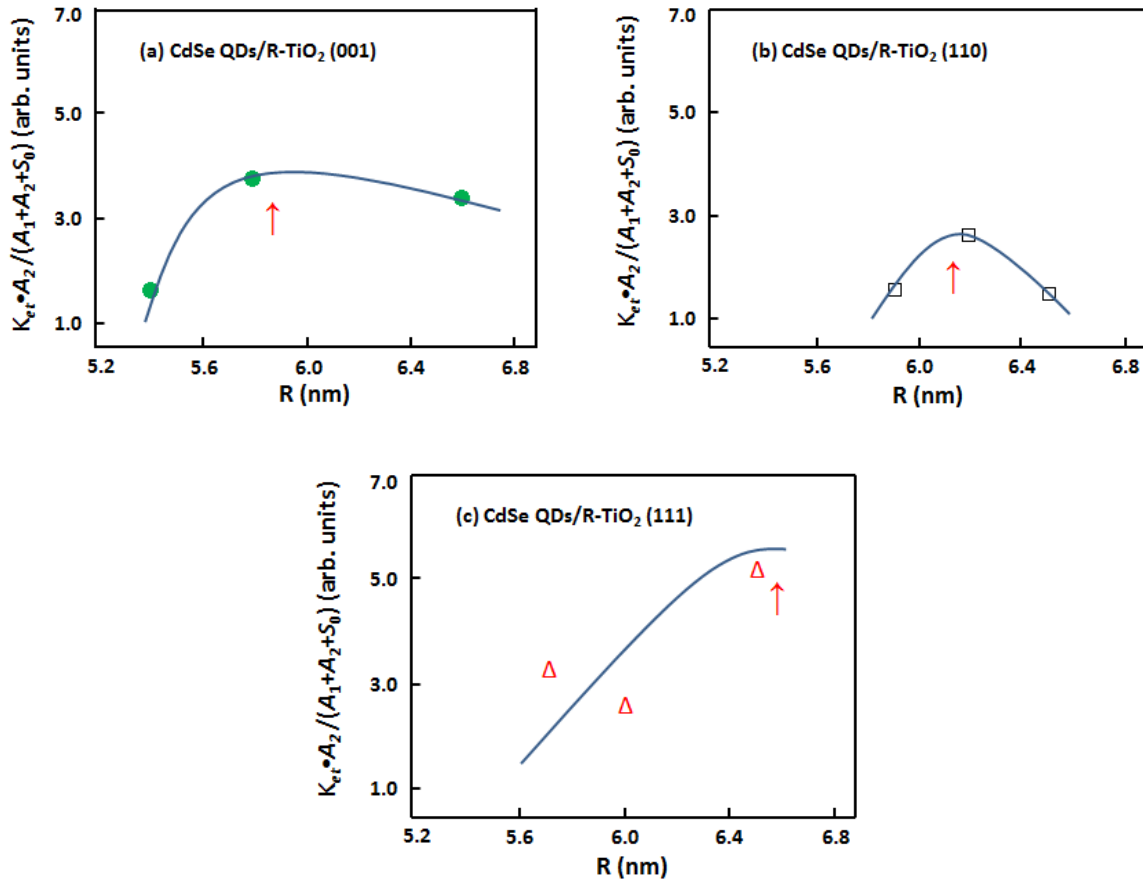
**Figure 8.** Dependence of the electron transfer rate constant,  $K_{et}$ , on the average diameter,  $R$ , of CdSe quantum dots adsorbed on the (a) (001), (b) (110), and (c) (111) surfaces of single crystal R-TiO<sub>2</sub>.

the (110) and (111) surfaces are higher than that for the (001) surface, indicating that smaller percentages of the charge are localized near the (110) and (111) surfaces than near the (001) surface with increasing  $R$ . Figure 9 shows the dependence on  $R$  of the relative value of the parameter for the slow relaxation process ( $A_2/(A_1 + A_2 + S_0)$ ) for QDs on (a) (001), (b) (110), and (c) (111) surfaces. The component  $A_2$  depends on  $R$  and increases with increasing  $R$ . The rate at which  $A_2$  increases for the (111) surface is higher than those for the (001) and (110) surfaces, indicating a difference in the density of states (DOS) in the conduction band for (111) R-TiO<sub>2</sub>. The DOS of the conduction band of R-TiO<sub>2</sub> is dominated by Ti 3d orbitals. DFT calculations



**Figure 9.** Dependence of the relative component of  $A_2/(A_1 + A_2 + S_0)$  on the average diameter,  $R$ , of CdSe quantum dots adsorbed on the (a) (001), (b) (110), and (c) (111) surfaces of single crystal R-TiO<sub>2</sub>.

have shown that the DOS due to Ti 3d orbitals for (001) and (110) R-TiO<sub>2</sub> is broad, between 1.6 and 6 eV. However, Ti 3d orbitals for (111) R-TiO<sub>2</sub> give rise to a somewhat narrower structure between 1.6 and 3.6 eV, suggesting the possibility of a higher DOS in (111) R-TiO<sub>2</sub> than the other crystal orientations.<sup>43</sup> Hence, there is a possibility that the higher rate of increase of  $A_2/(A_1 + A_2 + S_0)$  with  $R$  in (111) R-TiO<sub>2</sub> is due to the higher DOS in (111) R-TiO<sub>2</sub>. We have shown that (111) R-TiO<sub>2</sub> is suitable for the adsorption of CdSe QDs and electron transfer.<sup>50,64</sup> To clarify the effectiveness of (111) R-TiO<sub>2</sub> for sensitizing solar cells, we introduce the product of  $K_{et}$  and  $A_2/(A_1 + A_2 + S_0)$ . The value of  $K_{et} \cdot A_2/(A_1 + A_2 + S_0)$  corresponds to the short circuit current



**Figure 10.** Dependence of the value of  $K_{et} \cdot A_2 / (A_1 + A_2 + S_0)$  on the average diameter,  $R$ , of CdSe quantum dots adsorbed on the (a) (001), (b) (110), and (c) (111) surfaces of single crystal R-TiO<sub>2</sub>.

density,  $J_{sc}$ , in the photovoltaic cell. Figure 10 shows the dependence of  $K_{et} \cdot A_2 / (A_1 + A_2 + S_0)$  on  $R$  for the (a) (001), (b) (110), and (c) (111) surfaces. These each have maximum values. Figure 10 (c) shows that the maximum value on the (111) surface is higher than those on the (001) and (110) surfaces, indicating the effectiveness of (111) R-TiO<sub>2</sub> as a photoanode. Hence, the combination of a (111) R-TiO<sub>2</sub> nanosheet as a photoanode with CdSe QDs with diameters of  $\sim 6.6$  nm can contribute to better photovoltaic performance of CdSe QDSCs, similar to the improvements achieved by applying photoelectrodes of anatase TiO<sub>2</sub> nanosheets with exposed (001) facets.<sup>22</sup>

## CONCLUSIONS

We have shown the dependences of the optical absorption, the ground state energy level, and the interfacial electron transfer dynamics on the size of CdSe QDs adsorbed on the (001), (110), and (111) surfaces of single crystal rutile-TiO<sub>2</sub> (R-TiO<sub>2</sub>). The exponential optical absorption tail indicates a decrease in structural disorder or a decrease in the electron-phonon interactions with increasing size. The ground state energy levels of the CdSe QDs on (001) and (111) R-TiO<sub>2</sub> surfaces indicate an upward shift with increasing size, while that for the (110) surface shows a downward shift. These results suggest that the pinning of the CdSe QD ground state to the VBM depends on the orientation of the R-TiO<sub>2</sub>. The excited state energy levels of CdSe QDs on (001), (110), and (111) R-TiO<sub>2</sub> surfaces indicate a downward shift with increasing size. The IET rate constant decreases with increasing size and depends on the R-TiO<sub>2</sub> surface orientation, indicating a decrease in the free energy change. The relative value of the parameter for the slow relaxation process increases with increasing size and depends on the R-TiO<sub>2</sub> surface orientation, indicating differences between the density of states in the conduction bands for (001), (110) and (111) single crystal R-TiO<sub>2</sub>. The product of the IET rate constant and the relative value of the parameter for the slow relaxation process is related to the short circuit current density in QDSCs. The higher value of this product for CdSe QDs with a diameter of ~ 6.6 nm adsorbed on a (111) R-TiO<sub>2</sub> nanosheet is advantageous and can contribute to improvements in the photovoltaic performance of CdSe QDSCs.

## **AUTHOR INFORMATION**

### **Corresponding Authors**

\*E-mail: toyoda@pc.uec.ac.jp (T. T.); Tel.: +81-42-443-5464

\*E-mail: shen@pc.uec.ac.jp (Q. S.); Tel. +81-42-443-5471



## Notes

The authors declare no competing financial interest.

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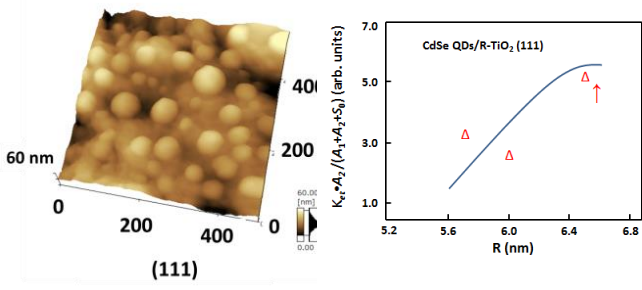
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