1	The Trypomastigote Small Surface Antigen from Trypanosoma cruzi improves
2	treatment evaluation and diagnosis in pediatric Chagas disease
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18	Short title: A post-therapeutic marker for pediatric Chagas disease
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20	Abbreviations: ELISA, enzyme-linked immunosorbent assay; TSSA, trypomastigote small
21	surface antigen from <i>T. cruzi</i> ; TSSA-ELISA, Recombinant TSSA-based ELISA; tELISA,
22	total parasite homogenate-based ELISA; IHA, indirect hemmaglutination assay; NX,
23	Nifurtimox; BZ, Benznidazole; GST, Glutathione S-transferase; F2/3, purified fraction
24	enriched in highly antigenic α -galactosyl epitopes from the <i>T. cruzi</i> bloodstream
25	trypomastigote coat, SAPA, Shed acute-phase antigen from T. cruzi
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28 ABSTRACT

29 Chagas disease is caused by the protozoan parasite Trypanosoma cruzi. Assessment of 30 parasitological cure upon treatment with available drugs relies on achieving consistent 31 negative results in conventional parasitological and serological tests, which may take 32 years to assess. Here, we evaluated the use of a recombinant T. cruzi antigen termed 33 TSSA as an early serological marker of drug efficacy in T. cruzi-infected children. A cohort 34 of 78 pediatric patients born to T. cruzi-infected mothers was included in this study. Solely 35 39 of them were infected with T. cruzi, and were immediately treated with trypanocidal 36 drugs. Serological responses against TSSA were evaluated in infected and non-infected 37 populations during the follow-up period using an in-house ELISA test, and compared to 38 conventional serological methods. Anti-TSSA antibody titers decreased significantly faster 39 than anti-whole parasite antibodies detected by conventional serology in both T. cruzi-40 infected patients undergoing effective treatment and in those not infected. This differential 41 kinetics allowed a significant reduction in the required follow-up periods to evaluate 42 therapeutic responses or to rule out maternal-fetal transmission, respectively. Finally, we 43 present the case of a congenitally-infected patient with atypical course, in which TSSA 44 provided an early marker for T. cruzi infection. In conclusion, we showed that TSSA was 45 efficacious both for rapid assessment of treatment efficiency and for early negative 46 diagnosis in infants at risk of congenital T. cruzi infection. Based upon these findings we 47 propose the inclusion of TSSA for refining the post-therapeutic cure criterion and other 48 diagnostic needs in pediatric Chagas disease.

50 INTRODUCTION

51 Chagas disease, caused by the protozoan Trypanosoma cruzi, is a life-long 52 disease for which no vaccines are yet available. With ~6 million people already infected 53 and up to 70 million individuals at risk of infection, Chagas disease constitutes one of the 54 most important parasitic disease in Latin America; and an emerging threat to global public 55 health (1, 2). T. cruzi transmission occurs when humans are exposed to the contaminated 56 feces of blood-sucking triatomine vectors, through the ingestion of tainted food/beverages 57 (3), via blood transfusion or organ transplantation (1) or congenitally (4, 5). According to 58 epidemiological data, maternal-fetal transmission occurs in ~5% of T. cruzi-infected 59 mothers, which leads to ~15,000 new congenital cases per year (5).

60 Only two trypanocidal drugs, benznidazole (BZ) and nifurtimox (NX) are currently 61 available for chemotherapy. Both are oral compounds that may display adverse effects 62 (i.e. allergic dermatitis) and that cannot be used to treat pregnant women due to their 63 uncertain teratogenic risks (5). Most importantly, BZ and NX show high efficacy solely if 64 administered at the onset of infection (6). The only accepted criterion of cure relies on 65 consistent negative results using conventional parasitological and serological tests (2). 66 However, a significant proportion of patients are negative for parasitological techniques 67 prior to treatment, thus making a subsequent negative result uninformative. PCR-based 68 methods were proven useful in certain clinical situations usually associated to patent blood 69 parasitemia such as congenital infections or disease reactivation in immunosuppressed 70 patients (7-10). However, they remain to be clinically validated and are not yet available in 71 regular health care centers. Moreover, some apparent false positive results due to trans-72 placental transfer of maternal parasite DNA have been described (7).

Conventional serological techniques such as enzyme-linked immunosorbent assay
 (ELISA) that use crude parasite homogenates are routinely used to assess post therapeutic responses. However, and even in successful treatments, seronegativization

76 may take months to years to assess (11). Conventional serology methods display low 77 predictive value for diagnosis and/or treatment evaluation of congenital infections until 8-9 78 months after birth due to the passive transfer of maternal antibodies (4, 5). Aiming to 79 develop reliable post-therapeutic markers, different biochemical and serological 80 approaches have been explored (12-27). The latter included the evaluation of T. cruzi 81 antigenic fractions or defined antigens that elicit serological responses with different 82 qualitative, quantitative and/or kinetic properties. Overall, the best results were obtained 83 with the F2/3 fraction (28, 29), which consists of highly antigenic α -galactosyl epitopes from the surface coat of bloodstream trypomastigotes (30). Several methodological 84 drawbacks (i.e. costly and difficult purification procedures) however preclude its routine 85 86 implementation in clinical settings.

87 In previous works, we characterized a surface adhesion molecule from T. cruzi 88 bloodstream trypomastigotes termed TSSA (Trypomastigote Small Surface Antigen) (31-89 33). TSSA elicits a strong humoral response during human infections (31, 34-36), and has 90 been validated for Chagas disease serodiagnosis (37). At variance with F2/3, most of anti-91 TSSA antibodies are directed to peptide epitopes (33, 36, 38), thus enabling the 92 straightforward production of a highly pure diagnostic reagent in engineered bacteria. 93 Here, we evaluated the potential use of recombinant TSSA as a novel serological marker 94 of drug efficacy in T. cruzi-infected children.

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96 MATERIALS AND METHODS

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98 Study Population and screening for *T. cruzi* infection

99 A cohort of 78 children from both sexes and born to T. cruzi-infected mothers was 100 recruited for this study. All of them were screened for T. cruzi infection and followed up at the Servicio de Parasitología-Chagas, Hospital de Niños 'Dr Ricardo Gutierrez' following 101 102 current normatives. Briefly, T. cruzi infection in children over 8 months of age was 103 diagnosed using two conventional serological tests: an ELISA that use crude parasite 104 homogenates (Wiener Chagatest-ELISA or tELISA) and an indirect hemagglutination 105 assay, IHA (Wiener Chagatest-HAI). Both are validated, commercial tests widely used in 106 clinical settings. Infection in children under 8 months of age was assessed by the 107 microhematocrit method (4). In case of positive results, they were immediately treated. In 108 case of negative parasitological results, children were called for a medical appointment at 109 3, 6 and 9 months of age. Serum samples were taken at each time-point and analyzed by 110 conventional serological tests. Those patients displaying negative results for conventional 111 serology at 9 months were considered non-infected whereas those displaying positive 112 results were immediately treated. Most of the participating children were born and raised 113 within the urban limits of Buenos Aires, Argentina, an area free of vector-borne parasite 114 transmission, and hence most likely acquired *T. cruzi* infection congenitally.

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116 **Treatment and follow-up**

T. cruzi-infected children were treated with BZ (5-8 mg/kg/day, b.i.d) or NX (10-15 mg/kg/day t.i.d) (39). Infants' doses were provided as fractioned tablets (100 mg BZ tablets, Abarax; Elea, Argentina or 120 mg NX tablets, Lampit; Bayer) and treatment was open label for 60 days. Medication was provided in monthly batches, and compliance was assessed by tablet counting at each visit. Caregivers were also provided with a treatment

122 diary to record doses administered, times of doses, symptoms, and problems associated 123 to the treatment. Serum samples were taken at diagnosis (pre-treatment), at 7, 30 and 60 124 days (during treatment) and every 3-6 months after treatment (follow-up). A detailed 125 clinical history, physical examination, and laboratory routine tests were conducted during 126 treatment (39), and T. cruzi conventional serology was carried out in every medical visit 127 along the follow-up. DNA was purified from whole blood samples and used as template for 128 a Multiplex Real-Time PCR targeting a 166-bp segment from T. cruzi satellite DNA as 129 described (40).

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131 Recombinant TSSA-based ELISA (TSSA-ELISA)

132 The glutathione S-transferase (GST)-fusion protein bearing the antigenic region (residues 133 24 to 62) of T. cruzi (CL Brener) TSSA has been described (36). GST-TSSA was 134 expressed in Escherichia coli and purified from the soluble fraction to almost homogeneity 135 by a single glutathione affinity chromatography step (36). Flat-bottomed 96-well Nunc-Immuno plates (Nunc, Roskilde, Denmark) were coated overnight at 4 °C with 80 µL of 136 137 GST-TSSA dissolved in carbonate buffer (pH 9.6) at 0.25 µg/mL and processed for a 138 previously validated, colorimetric TSSA-ELISA as described (36). Serum samples were 139 assayed in duplicate at 1:500 dilution, and those displaying [mean - 3 SD] value greater 140 than the corresponding cutoff value (calculated as the [mean + 3 SD] of 4 samples from 141 healthy children born to non-Chagasic mothers) were considered reactive. Reactivity of 142 samples used to determine the cutoff ranged from 0.06 to 0.12 absorbance units (36). For 143 comparison purposes, cutoff and sample values were expressed as a percentage of a 144 positive control (a chronic Chagasic patient yielding 0.8-1.4 absorbance units) included in 145 each assay (36). The overall performance of our TSSA-ELISA has been extensively 146 validated (37). When indicated, anti-SAPA (shed acute-phase antigen from T. cruzi (41, 147 42)) IgG responses were evaluated by an in-house ELISA (36).

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149 Statistical treatment of results

150 A linear regression model was used to examine the course of antibody levels over time. 151 Because tELISA and TSSA-ELISA OD values were highly variable among different 152 patients, even among those from the same group, they were expressed as a percentage of 153 the OD value of the first specimen: pre-treatment sample (for patients from Groups 1 and 154 2) or the corresponding mother sample (for patients from Group 3). Reactivity of negative 155 samples was expressed as zero. For each group of patients and each method, a slope 156 parameter (with 95% confidence interval, CI) was calculated based upon time-point data 157 for which at least one patient per group was positive. In cases when two or more 158 consecutive samples were non-reactive by either tELISA or TSSA-ELISA, the date of the 159 first negative sample was considered as the time of seronegativization for this method. 160 Kaplan-Meier curves and linear regression analysis were plotted and compared using Log-161 rank (Mantel Cox) test to obtain median time of seronegativization and ANCOVA, 162 respectively; both available in GraphPad Prism 5 software (version 5.01 for Windows; San 163 Diego, CA, USA). CI were calculated using SPSS^R Statistics (IBM^R Versión20).

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165 Ethics statement

The study protocol was approved by the research and teaching committee, and the bioethics committee from the Hospital de Niños 'Dr Ricardo Gutierrez'. Written informed consent was required from each patient's legal representatives as well as assent from the patient, if applicable. All samples were decoded and de-identified before they were provided for research purposes.

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173 A total of 78 children (4 days to 10 years-old) born to T. cruzi-infected mothers 174 were included in this study. Thirty eight of them were initially diagnosed as infected with T. 175 cruzi, and were coursing either the acute or the early chronic phase of Chagas disease, 176 with no evidence of cardiac abnormalities or any other Chagas disease-associated 177 pathology. These 38 T. cruzi-infected patients were split into 2 groups based on their age 178 range at diagnosis. Group 1 comprised 26 T. cruzi-infected children (0.59 to 9 years-old, 179 median: 4.5 years-old) that were diagnosed by conventional serology whereas Group 2 180 comprised 12 T. cruzi-infected babies (8 to 143 days-old, median: 36 days-old) that were 181 diagnosed by parasitological tests. A total of 430 serum samples were obtained from these 182 patients during treatment/follow-up (median: 12 samples per patient). Samples were 183 analyzed by conventional serology and, whenever possible, by PCR. The average follow-184 up time (and range) for these patients was 36 months (14.57-111.53 months). 185 Chemotherapy was considered successful in every T. cruzi-infected patient, based on a 186 steady decrease in conventional serology values along the follow-up period and, in most 187 cases (24/26 from Group 1 and 5/12 from Group 2), also based on PCR negativization.

188 Group 3 included 40 infants (4 to 118 days-old, median: 31 days-old) born to T. 189 cruzi-infected mothers. At variance with Group 2 patients, these patients rendered 190 negative results for parasitological tests. A total of 148 samples were analyzed by 191 conventional serology during the follow-up (median: 4 samples per follow-up). 192 Conventional serology became negative in Group 3 infants at the end of follow-up except 193 for patient REC52, which was accordingly excluded from this group. A flow chart 194 summarizing this information is depicted in Fig. 1; and all relevant demographic, clinical 195 and diagnostic features of every patient included in this study, and of their mothers (when 196 available) are summarized in Tables S1-S4.

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198 TSSA-ELISA for assessing therapy efficacy in *T. cruzi*-infected children

199 Serological reactivity towards TSSA in T. cruzi-infected children was firstly 200 assessed in samples taken at diagnosis (pre-treatment). Nineteen out of 26 (73%) and 10 201 out of 12 (83%) children belonging to Groups 1 and 2, respectively, yielded positive results 202 (Table S5), and were hence evaluated for anti-TSSA antibody titers along the serologic follow-up. TSSA-ELISA and tELISA results are shown in Tables S1-S2 and Fig. S1; and 203 204 linear regression analyses upon these data are shown in Fig. 2. Overall, patients from 205 Group 1 showed a steady decrease in anti-T. cruzi antibody titers after treatment, which in 206 certain cases led to seronegativization. This decreasing trend was not significantly 207 different when assessed by tELISA or TSSA-ELISA (p=.28; Fig. 2A). However, upon 208 stratification of Group 1 by age and hence, by duration of infection, significant differences 209 in the serological regression slopes for either method were detected for younger patients (1-4 years-old; p=.01) but not the older ones (4-10 years-old; p=0.67) (Figs. 2B and C). 210 211 Patients from Group 2 displayed significant differences (p=.01) in the serological 212 regression slopes assessed by TSSA-ELISA or tELISA (Fig. 2D).

213 A total of 22 T. cruzi-infected patients (10/26 from Group 1 and 12/12 from Group 214 2) seronegativized by tELISA following treatment. From these, solely 18 (8 from Group 1 215 and 10 from Group 2) were TSSA-reactive (Table S5). Interestingly, these 18 patients 216 seronegativized either before (n=16) or at the same time (n=2) for TSSA-ELISA than for 217 tELISA. Moreover, 3 patients from Group 1 achieved seronegativization in TSSA-ELISA 218 but not in tELISA (denoted as censored cases in Fig. 3A). Kaplan-Meier curves comparing 219 the performance of both methods among seronegativized patients are plotted in Fig. 3. As 220 shown, the median time values of negativization for TSSA-ELISA and tELISA were 8.67 221 and 32 months, respectively, for Group 1 (p<.0001); and 2.21 and 5.4 months, 222 respectively, for Group 2 (p=.002). Again, significant differences in the median time values

of negativization for either method were detected for younger patients (p<.0001) but not for the older ones (p=.2) upon stratification of Group 1 (Fig. 3B).

225 Comparative analysis of tELISA data indicated that serological regression followed 226 distinct kinetics, being significantly faster (p<.0001) in Group 2 (slope: -10.53) than in 227 Group 1 (slope: -1.527) (Fig. 2). This in turn translated into significantly shorter (p<.0001) 228 time-periods to reach tELISA negativity threshold (median values: 5.4 and 32 months for 229 Groups 2 and 1, respectively) (Fig. 3). In the same line, TSSA-ELISA revealed differences 230 in serological regression slopes (-20.62 and -2.058, respectively, p=.07, Fig. 2) as well as 231 shorter time-periods to achieve seronegativization (2.21 and 8.67 months, respectively, 232 p=.0001, Fig. 3) for Group 2 (n=10) as compared to Group 1 (n=11). Overall, these latter 233 results support previous findings indicating that the decline in anti-T. cruzi antibody titers 234 after chemotherapy is faster in younger children (6, 43).

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236 TSSA-ELISA for early assessment of congenital *T. cruzi* transmission

237 According to current guidelines, ruling out maternal-to-fetal T. cruzi transmission 238 requires negative results in parasitological tests performed early after birth and in 239 conventional serology carried out at 8-9 months of life, upon clarification of antibodies of 240 maternal origin (5). To explore if TSSA might also improve diagnosis in this area, data 241 from the serologic follow-up of patients from Group 3 were analyzed as before. TSSA-242 ELISA (n=36, since 3 patients were born to TSSA-non-reactive mothers (Table S5)) and 243 tELISA (n=39) results are shown in Table S3 and Fig. S1; and linear regression analyses 244 upon these data are plotted in Fig. 4. Both kinds of maternally-transferred antibodies 245 showed a steady declining early after birth, although with significant differences in their 246 regression slopes (Fig. 4A). Accordingly, patients from Group 3 seronegativized either 247 before (n=31) or at the same time (n=5) for TSSA-ELISA than for tELISA; and displayed 248 significantly different median values of seronegativization (Fig. 4B). Interestingly, patients

from Groups 2 and 3 displayed almost indistinguishable median values of seronegativization assessed either by tELISA (p=.33) or TSSA-ELISA (p=.45) (Fig. 4C), suggesting that, if treated immediately after birth, *T. cruzi*-infected children do not elicit robust, parasite-specific serological responses. In such scenario, the kinetics of seronegativization seems to be majorly driven by the persistence of passively transferred maternal IgG antibodies.

255 One of the patients originally assigned to Group 3 yielded particular results which 256 deserve to be analyzed separately. This patient, labeled as REC52 (Fig. 1) was born and raised within the urban limits of Buenos Aires, an area free of vector-borne parasite 257 258 transmission, and did not undergo blood transfusion. Despite being positive for PCR-259 based methods, REC52 displayed consistent negative results for conventional 260 parasitological tests carried out early after birth (Fig. 5 and Table S4). At 10.5 months of 261 age, and having achieved seronegativization for conventional serological methods, REC52 262 was declared non-infected and the follow-up was ended. Seronegativization was achieved at 4.2 and 7.2 months of life as measured by TSSA-ELISA and tELISA, respectively, 263 264 values that are well within the range of non-infected children included in Group 3 (Fig. 4). 265 Unexpectedly, REC52 yielded positive results for TSSA-ELISA at 10.5 months of age (Fig. 266 5). At this time-point, we also detected reactivity towards SAPA (42), the canonical acute-267 phase T. cruzi antigen (Fig. 5). Positive results for TSSA-ELISA were confirmed at 19 268 months of age (Fig. 5). At this time-point, REC52 also yielded positive results for tELISA 269 and treatment with BZ was thereby initiated. Following treatment, REC52 showed typical 270 anti-T. cruzi antibody decay, indicating therapeutic efficacy (Fig. 5).

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273 DISCUSSION

274 Identification of novel and reliable post-therapeutic markers is an urgent need in the 275 field of Chagas disease (5, 28, 29). As shown here, TSSA-ELISA provides a significantly 276 better indicator of trypanocidal drug efficacy than currently used serological methods, 277 particularly for newborns and infants (Figs. 2 and 3). Unfortunately, TSSA results are 278 difficult to compare to those reported for other T. cruzi recombinant antigens and/or 279 antigenic fractions, since most of these studies did not involve newborns and infants but older T. cruzi-infected populations (12-21). Nevertheless, when compared to the only 280 281 similarly designed study that we are aware of, the median times of seronegativization for 282 TSSA (2.21 and 8.67 months for children under and over 8 months of age, respectively) 283 were significantly lower than those recorded for F2/3 (4 and 21.9 months, respectively) 284 (44). As mentioned, F2/3 is so far considered the best alternative serological marker for 285 treatment evaluation in Chagas disease (16, 28, 29).

286 In addition of providing a novel tool able to shorten follow-up periods following 287 chemotherapy, we also show that TSSA improves diagnosis in infants at risk of congenital 288 T. cruzi infection. Moreover, our findings with REC52, although preliminary, suggest the 289 applicability of TSSA as an alternative early marker for T. cruzi infection in certain clinical 290 situations. Based on PCR results and clinical history, we postulate that REC52 became 291 congenitally infected with T. cruzi, although this infection coursed sub-clinically and below 292 the detection limits of different parasitological and serological tests until 'reemergence' 293 probably due to the disappearance of maternal antibodies. As shown in Fig. 5, TSSA-294 ELISA also displayed better performance than conventional serology for the detection of 295 this infection 'reemergence'.

296 One issue that needs to be addressed in order to improve the clinical value of 297 TSSA is that of its sub-optimal sensitivity, which may be attributed to variations in the

298 clinical, immunological and/or immunogenetic features of patients, and/or to differences in 299 the antigenic constitution of the infecting T. cruzi strain(s) (45). Structural differences 300 among protein variants encoded by distinct parasite strains have a major impact on TSSA 301 antigenicity (31, 37, 46). In our study, for instance, TSSA prevalence was 86%, which is 302 consistent with previous data (~86-91%, (36, 37)). In the case of the two TSSA non-303 reactive children from Group 2, however, it is most notably that they were born to TSSA 304 reactive mothers (Table S2). It may be therefore hypothesized that both of them 305 underwent clarification of anti-TSSA antibodies of maternal origin at some point between 306 birth and initial T. cruzi infection diagnosis. In such a case, the sensitivity and overall 307 performance of TSSA-ELISA would have been underestimated. Despite these 308 considerations, different alternatives including the use of a mixture of TSSA variants are 309 currently being explored to improve the clinical value of TSSA-ELISA.

Progress towards development of novel and better treatments for Chagas disease has been slow and usually disappointing (47, 48). This scenario fortunately seems destined to change in the coming years with the recent development of robust tools to screen, prioritize and evaluate novel anti-trypanosomal drugs (49-51). Identification of biomarkers able to refine the post-therapeutic criterion is instrumental to fasten the assessment of current trypanocidal chemotherapies and, most importantly, for the development of much needed novel and improved treatments.

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525 LEGENDS TO FIGURES:

527 **FIGURE 1:** Study population, inclusion criteria and group conformation.

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FIGURE 2: Serological regression analysis of patients from Group 1 (panel A), younger patients (0.59-4 years-old) from Group 1 (panel B), older patients (4-10 years-old) from Group 1 (panel C) and Group 2 (panel D). tELISA and TSSA-ELISA results (expressed as % of the first sample) are indicated in solid and dotted lines, respectively. Slope (95%CI) and r² values are indicated for each data set. P: Pre-treatment. ANCOVA analyses were performed to compare slopes.

535

536 FIGURE 3: Kaplan-Meier curves of seronegativized patients from Group 1 (panel A) and 2 537 (panel C). Upon stratification of Group 1 by age, similar analysis was performed for 538 younger (0.59-4 years-old, violet) and older (4-10 years-old, orange) patients (panel B). 539 tELISA and TSSA-ELISA results are indicated in solid and dotted lines, respectively. 540 Median (95%CI) values are indicated for each data set. Censored cases are indicated with 541 square symbols. Log-rank (Mantel Cox) analyses were performed to compare median time 542 of seronegativization. N/A: Confidence Interval was not calculated due to the small number 543 of samples.

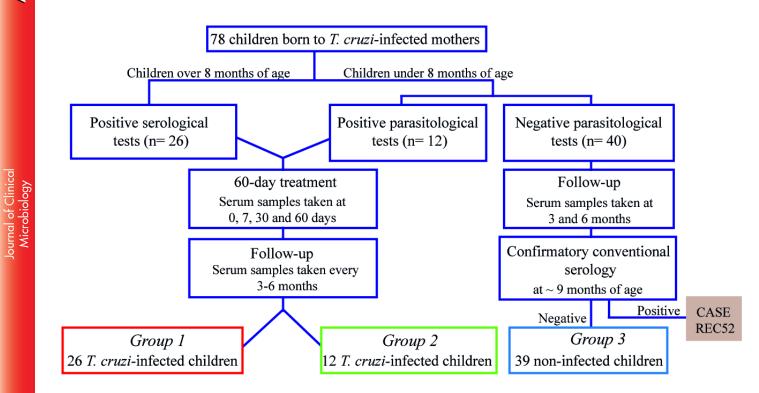
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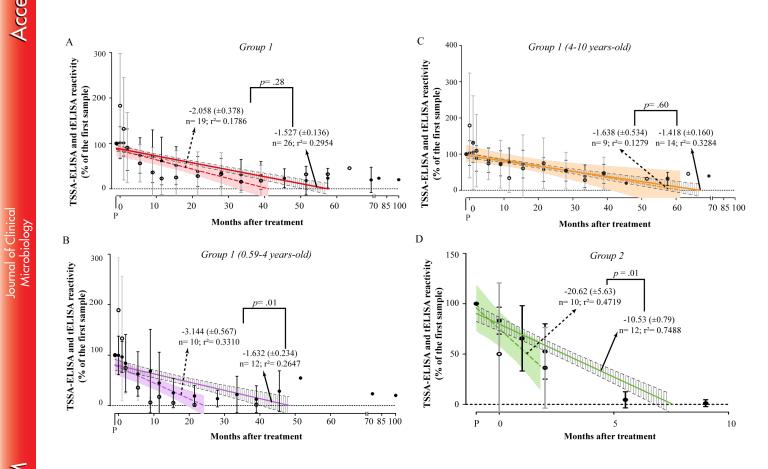
FIGURE 4: Serological regression analysis (panel A) and Kaplan-Meier curves comparing seronegativization of patients from Group 3 (panel B) or Group 2 vs Group 3 (panel C, grey and blue lines, respectively), determined either by tELISA (solid lines) or TSSA-ELISA (dotted lines). Slope (95%CI) and r² values (panel A) or median (95%CI) values (panel B) are indicated for each data set. ANCOVA and Log-rank (Mantel Cox) analyses were performed to compare slopes and median time of seronegativization, respectively.

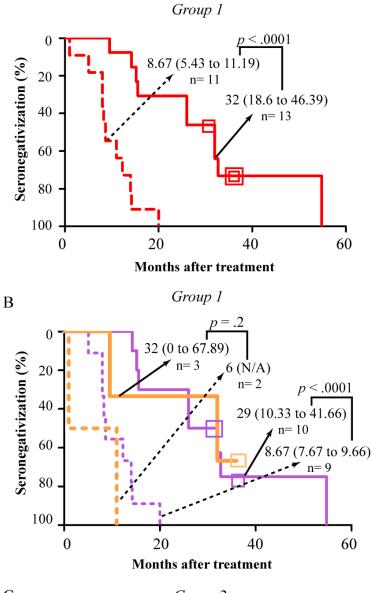
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552	FIGURE 5: TSSA-ELISA (black circles), tELISA (grey triangles), SAPA-ELISA (light grey
553	squares), IHA and PCR results for patient REC52 are indicated. The black arrow and black
554	dotted line, respectively, indicate treatment initiation and the cutoff determined for both
555	TSSA-ELISA and SAPA-ELISA. N/D, not done.



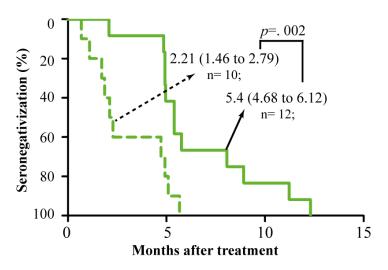






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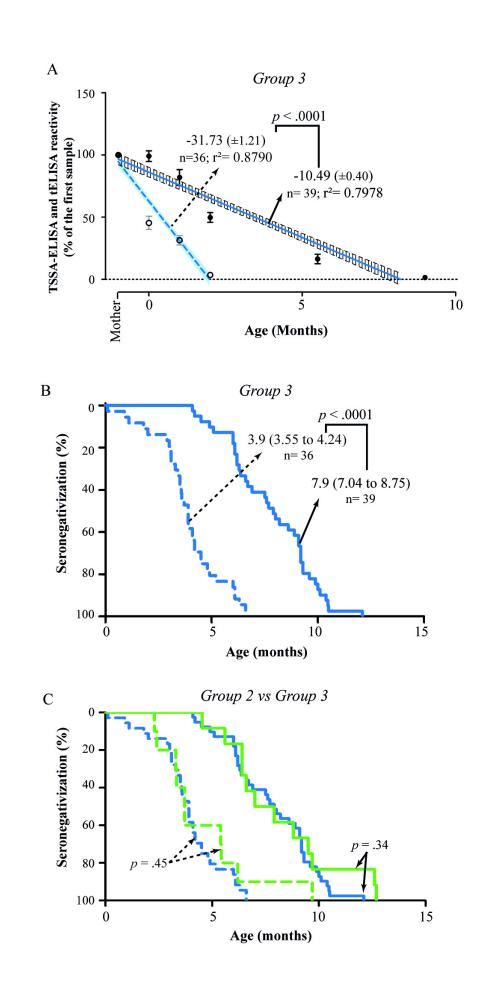




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