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**The *Plasmodium* 6-cysteine  
protein family in sexual and  
sporozoite stages:**

targets for malaria vaccine development

Ben van Schaijk

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**The *Plasmodium* 6-cysteine protein  
family in sexual and sporozoite stages:  
targets for malaria vaccine development**

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# Chapter 1

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## Introduction



# Malaria

In 2010, no less than 99 countries are reported to be endemic for the life threatening disease malaria, which is primarily caused by the parasites *Plasmodium falciparum* and *Plasmodium vivax* [1] and is transmitted to humans by *Anopheles* mosquitoes. *P. falciparum* is the cause of most mortality and although *P. vivax* is perceived as benign, both *Plasmodia* actually account for severe disease [2]. *P. falciparum* is predominantly found in sub-Saharan Africa while endemicity of *P. vivax* is globally more widespread [2]. The economic and social burden of malaria, specifically in underdeveloped countries remains enormous and it has been suggested that poverty is both the cause and consequence of malaria endemicity [3].

To control malaria, more and more countries are adopting the policy of free distribution of insecticide treated bednets (ITN) to all persons at risk. An additional measure to prevent infectious bites by the female mosquito is to spray the interior walls of houses with a long lasting insecticide referred to as indoor residual spraying (IRS). This type of vector control was applied in 71 endemic countries in 2009 to prevent malaria. In regions with high transmission, intermittent preventive treatment (IPT) with antimalarial drugs is being used for protection of pregnant women because an increased risk to malaria during pregnancy results in a substantial number of maternal and infant deaths [4,5]. For first-line treatment of uncomplicated *P. falciparum* malaria, artemisinin-based combination therapy (ACT) is currently used but unfortunately as for most anti-malarial drugs, resistance of *P. falciparum* parasites to artemisinin has been reported [6].

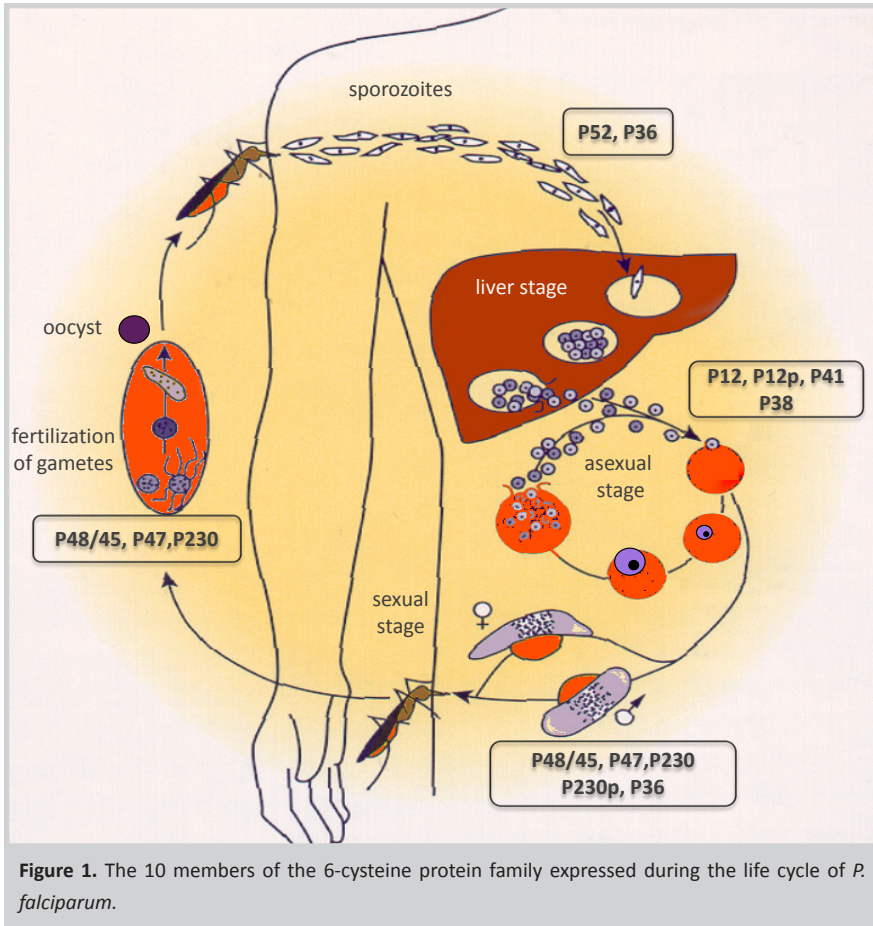
These global control efforts have resulted in a reduction of the malaria burden [7] in all endemic regions. It is estimated that the number of cases of malaria has decreased from 233 million in the year 2000 to 225 million in 2009. The number of deaths due to malaria is estimated to have decreased from 985 000 in 2000 to 781 000 in 2009 [5]. Despite these moderate reductions the malaria burden remains enormous. Factors that prevent the control, elimination and certainly the eradication of malaria by currently used intervention measures are lack of compliance to treatment, resistance to drugs and insecticides, the difficulties in reaching a high degree of ITN use and in general the lack of sufficient funds [5]. An integrated research approach has been proposed by the malaria research community to improve diagnostics, gain more epidemiological knowledge and create new intervention measures. These intervention measures include both vector

control, development of new drugs for prophylaxis and treatment, and vaccine research which focuses on vaccines that interrupt malaria transmission of both *P. falciparum* and *P. vivax* [8,9].

Recently, the RTS,S vaccine has entered phase III trials as it has been shown to prevent clinical and severe disease in Phase II trials. Prolonged protection from infection by RTS,S was however, only around 30-50% during laboratory and field trials [10,11]. More efficacious vaccines are urgently needed to also lower the transmission rates below the threshold required for maintaining a parasite reservoir. The *Plasmodium* parasite has a complex life cycle and from an evolutionary perspective, the parasite has to be able to adapt rapidly to different circumstances in both the *Anopheles* vector and the human host, including evasion from the immune system. Application of an individual protein as effective target for a vaccine may therefore be difficult to achieve and more basic scientific knowledge is necessary to find and exploit weaknesses in the lifecycle of the malaria parasite. The interesting 6-cysteine protein family, identified in *Plasmodium*, includes some members that were found to be essential for parasite transmission and this unique protein family may provide additional targets for malaria vaccine development.

## The 6-cysteine protein family

This thesis describes the analysis of several of the ten members of the 6-cysteine (6-cys) protein family which has classically been described as a protein family that is found only in *Plasmodium* parasites [12,13]. Previously, the *Toxoplasma gondii* SAG proteins were predicted to have an evolutionary relationship with the *Plasmodium* 6-cys family [14] and subsequent genome sequencing revealed the presence of 6-cys proteins in other organisms. The *Plasmodia* and *Toxoplasma* belong to the phylum of Apicomplexans and recently in another Apicomplexan, *Babesia bovis*, the Bbo-6cys proteins showed homology to the *Plasmodium* 6-cys proteins [15]. The 6-cys family is conserved throughout all *Plasmodium* species and is characterized by partially conserved cysteine rich double domains that are about 350 amino acids in length. The domains have one to three cysteine bridges between the 6 cysteine amino acid residues, contributing to the tertiary structure of these proteins. The ten members of the 6-cys family are expressed during distinct stages throughout the life cycle (see below). Most of the proteins are expected to localize to the surface of the parasite and some are known to play a role



in cell-cell interaction [12,13,14,16,17,18,19,20,21]. These specific characteristics make this an interesting protein family for analysis of the biology of the parasite but also as possible vaccine targets.

## 6-cysteine members in the life cycle of *P. falciparum*

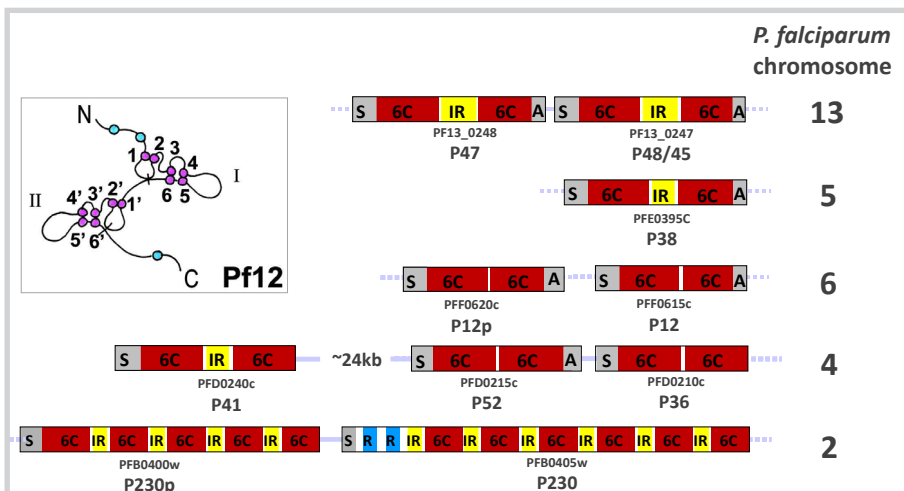
From the human perspective, the life cycle of the malaria parasite *Plasmodium falciparum* begins when an infected female mosquito takes a blood meal from a human host thereby injecting, combined with saliva, infectious sporozoites into the bloodstream and subcutaneous tissue. Sporozoites express the 6-cys proteins P52 and P36 [21] (Fig 1). A proportion of the sporozoites are carried through the bloodstream

and end up in the liver sinusoid where they migrate through Kupffer cells and traverse through hepatocytes before colonizing inside a hepatocyte. During the invasion process a host derived membrane is formed around the parasite, the parasitophorous vacuole membrane (PVM), and the parasite remains inside this compartment the so called parasitophorous vacuole (PV). Inside the hepatocyte, parasites multiply rapidly and form tens of thousands of merozoites which are initially released into the bloodstream as merozoites after 7 days. The presence of parasites in the bloodstream is responsible for clinical malaria symptoms. Merozoites, expressing the 6-cys proteins P12, P38 and P41 [19,21] rapidly infect red blood cells and through a 43 hour asexual replication cycle the parasites exponentially multiply. The asexual parasites also reside inside a PV within a red blood cell and express the 6-cys protein P12p. Through as of yet unknown molecular mechanisms a proportion of the asexual parasites differentiate into sexual forms.

The sexual stage is characterized by the development of male and female gametocytes inside the red blood cell. The complete maturation of gametocytes takes 7 days and 5 stages of development (I-V) are distinguished with stage V representing the mature gametocyte [22]. During gametocyte development the 6-cys proteins P230, P230p, P48/45 and P47 are expressed [12,13]. As a mosquito takes a blood meal mature gametocytes can be ingested, triggering the gametocytes to activate and emerge from the red blood cell as mature gametes. Inside the mosquito, the male gametocyte exflagellates thereby producing eight motile gametes which fertilize the emerged and rounded female gametes. P48/45 and P230 are expressed on the surface of gametes. Following fertilization, the zygote, the only diploid stage of the *P. falciparum* parasite is formed (other stages are haploid). Inside the mosquito midgut the zygote progresses to a motile ookinete which is able to traverse the midgut epithelium and form an oocyst outside the midgut epithelium. Inside the oocyst the sporozoites are formed and when the oocyst bursts, the mature sporozoites migrate to the salivary glands. The infectious sporozoites can re-infect another human host after the mosquito takes a blood meal thereby completing the *P. falciparum* life cycle.

## The characteristics of 6-cysteine family members

Two members of the 6-cys family, P48/45 and P230, form a complex localized on the surface of gametes and are recognized as important targets for transmission-blocking vaccines (for review see [9,23]). The concept of such a vaccine is that antibodies induced against gamete surface proteins, are able to prevent gamete fertilization and zygote formation when these antibodies are ingested with the mosquito blood meal. Transmission of the parasite to the mosquito is thereby prevented and blockade of the malaria life cycle conceivable. Transmission blocking activity by P48/45 antibodies has been studied extensively [24,25,26,27] and after establishment of proof of concept in animal studies the P48/45 recombinant protein is now being produced to further study feasibility as a transmission blocking vaccine [28,29]. Deletion mutants of *p48/45* have been described in both *P. berghei* and *P. falciparum* showing that P48/45 is essential for male fertility [30]. Eight proteins of the 6-cysteine family occur in closest-paralog pairs that are organized as a tandem repeat (head to tail) within the genome (Fig 2). These paralogous gene pairs originated through duplication of parts of the genome but the proteins may have gained different function and expression patterns during the time



**Figure 2.** Genomic localization of the 6-cys paralog gene pairs. Indicated are the chromosome numbers on which the respective 6-cys genes are located, the 6-cysteine domains (6c), signal sequences (s), intergenic regions (ir), repetitive regions of P230 which is cleaved from the mature protein (r) and the GPI anchor sequences (a). P12 is shown as an example of the basic tertiary structure of the 6-cys domain [14] (with permission of PNAS Copyright (2005) National Academy of Sciences, USA).



course of evolution. The paralog of *p48/45* is *p47* which is located 1.5 kb upstream of *p48/45* on chromosome 13 in *P. falciparum*. The function and expression pattern of P47 is still unknown.

The other well studied member of the 6-cys protein family is P230 and antibodies specific for this protein are able to block transmission but only by complement dependent lysis of gametes [31,32,33]. The paralog of P230 is P230p and while P230 is expressed in both male and female gametocytes, P230p is described as a male specific protein for which the function is not yet investigated [34]. Three merozoite specific 6-cys proteins, P12, P38 and P41 have been identified and these antigens are recognized by serum from naturally infected individuals, similar to P48/45 and P230. P38 was specifically located in the secretory apical organelles [18]. The function of P12 and P12p, P38 and P41 has not yet been analyzed. The function of both sporozoite specific 6-cys proteins P52 and P36 [21,35] also remains elusive.

Recently, the structure of the 6-cysteine domain has been analyzed by comparative modeling. Through similarity with the SAG1 protein of *Toxoplasma gondii*, the first cysteine in this domain is predicted to bond with the second cysteine, the next cysteine bond is between the third and sixth cysteine followed by the fourth and fifth cysteine. These bonds lead to the basic tertiary structure of the 6-cysteine protein family as depicted for P12 (Fig 2) [13,14]. The unique features and stage specific expression patterns of the 6-cys family merit further investigation into the members of this family for which the function still remains elusive. The classic approach to study protein localization and function is by generation of specific antibodies. This approach however, relies largely on the chance of obtaining antibodies directed at essential/functional epitopes of the protein of interest. With increasing knowledge of the genome organization of *P. falciparum* and recent establishment of systems to specifically disrupt genes in *Plasmodium* we choose a more targeted approach to study gene function of selected members of the 6-cys family.

## The genome of *Plasmodium*

Better understanding of the biology of the malaria parasite has taken a leap forward since the sequencing of the *P. falciparum* genome was completed. The sequence is based on the widely used reference clone 3D7 derived from the NF54 Amsterdam airport

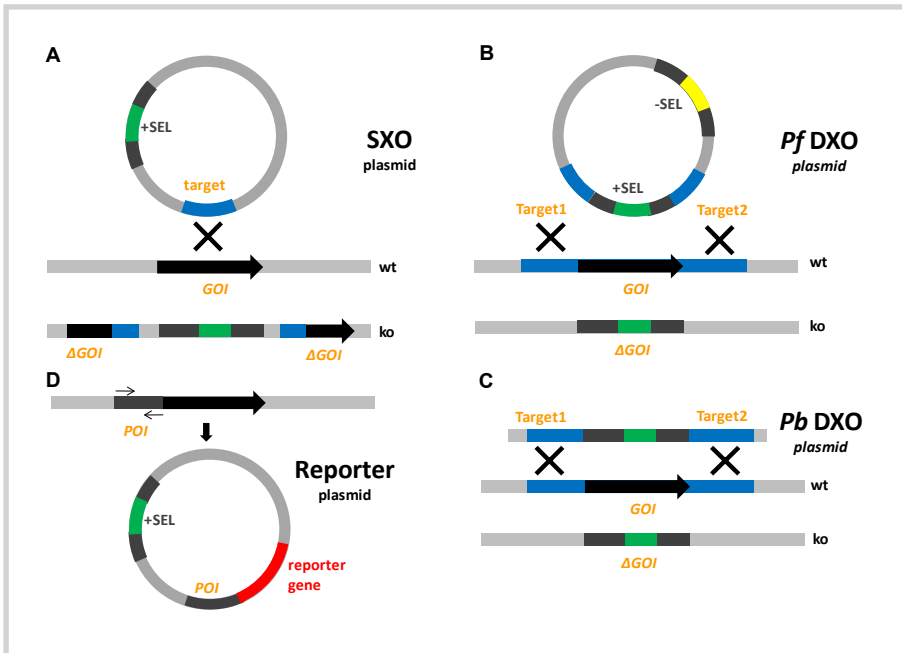
strain which was adapted to culture in Nijmegen [36]. The genome of *P. falciparum* is organized in 14 chromosomes, is extremely AT rich (~80%) and is predicted to contain over 5300 genes [37]. The completed sequence information and ongoing improvements to annotation are available at different websites such as <http://plasmodb.org>, which was introduced in the year 2000. Genome wide functional genomics studies (e.g. microarray) are able to determine the onset of gene expression of individual genes during different life cycle stages of the parasite. Even the products of these genes, the proteins could now be analyzed and attributed back to genes based on the database, in the emerging field of proteomics. The function of many *P. falciparum* genes has been predicted by analyzing the homology of parasite genes to known genes from other well studied organisms such as humans, plants or yeast. Although many predictions in gene function can be made in this way the *Plasmodium* genome contains many genes to which a function cannot be attributed, so called hypothetical genes. Moreover, these approaches do not provide any direct evidence for the function of the gene in the parasite. A more targeted approach is necessary to provide definitive proof of the function of a gene product.

## Unraveling gene function in *Plasmodium*

Using molecular biology, a gene of interest identified in the *P. falciparum* sequence database can be studied in several ways. A commonly used molecular approach to determine the onset of **gene expression** in the parasite is specific regulation of reporter genes which are located on plasmids. The regulatory DNA sequence of a gene of interest (GOI) is therefore placed in front of a reporter gene. Commonly used reporter proteins are fluorescent proteins such as green fluorescent protein (GFP) and Cherry, or bioluminescence using luciferase (Fig 3D). **Protein localization** is studied by producing recombinant proteins using the sequence database and subsequently including a DNA sequence in the open reading frame (ORF) that encodes protein tags. High affinity antibodies exist such as  $\alpha$ -c-myc tags,  $\alpha$ -V5 tags and  $\alpha$ -poly-his tags which bind specifically to these protein tags. Larger fusion proteins such as GFP fused to a GOI may also be generated although interference of protein function can occur.

The most important approach for studies of gene function is the analysis of gene mutations. The classical or **forward genetics** approach, screens for a certain phenotypic trait in an organism after random induction of mutations. The gene responsible for the

phenotype is subsequently identified. After the complete sequencing of the *Plasmodium falciparum* genome, the reverse approach has become possible. A selected gene of interest from the database can now be analyzed. **Reverse genetics**, has become an indispensable approach to study the function of a particular gene. A prerequisite for these studies is the ability to introduce foreign genetic material (DNA) located on plasmids into the parasite nucleus which is commonly referred to as **transfection**.



**Figure 3.** Site specific integration strategies and reporter genes in *Plasmodium* (a) Single cross over disruption. An insertion plasmid containing a truncated copy (target) of the gene of interest (GOI) is integrated through a single cross over homologous recombination event into the GOI. The whole construct is thereby inserted into the genome leading to two non-functional parts of the gene ( $\Delta$ GOI), one missing the C-terminal part and one missing the N-terminal part. Indicated is the positive selection marker cassette (+sel (e.g. in pDT-Tg23, *Tgdhfr* confers resistance to pyrimethamine [46])) (b and c) Double cross over (DXO) deletion of genes is accomplished by using two target regions flanking the GOI. In *P. falciparum* the whole plasmid is first integrated through SXO at target 1 and subsequently a second cross over between target 2 completes the DXO deletion and replacement by the +sel marker. As a result of the second cross over, the negative selection cassette (–sel) is deleted from the parasite genome and only parasites that have lost –sel are able to survive associated drug pressure (e.g. *fcu*–sel confers sensitivity to 5-fc [52]) In *P. berghei*, parasites are transfected using linearized DNA plasmids resulting in direct DXO (d) A reporter plasmid is generated by PCR amplification of a promoter sequence of interest (POI) and placing it in front of a reporter gene (GFP, luciferase). Following transfection the reporter gene is expressed at the same time as the endogenous gene. The reporter plasmid can be used episomally and/or integrated into the genome by SXO or DXO integration.

The primary method to accomplish transfection in *Plasmodium* (reviewed in [38,39]) is by electroporation which generates temporary pores in the cell membrane through which DNA can enter the cell. DNA can be transfected transiently inside the parasite eliciting a temporary effect or be maintained stably by integration in the genome where it is maintained during replication. In both cases transfected parasites are generally selected using resistance genes which confer resistance to an antimalarial compound. In order to transfect *Plasmodium* parasites which reside inside the red blood cell in a PV, plasmids containing the desired genetic elements have to be transferred over 4 membranes to reach the parasite nucleus (i.e. red blood cell membrane, parasitophorous vacuole membrane, parasite membrane and the parasite nuclear envelope). These barriers make transfection of *Plasmodium* parasites a huge challenge. Moreover, the extremely high A/T content of the Plasmodium genome provides difficulties in preparation of transfection constructs because these sequences are not well tolerated in *E.Coli* which is commonly used for this purpose.

In *Plasmodium* research, transient transfection was first accomplished in the sexual stages of *P. gallinacium* [40] and in asexual stages of *P. falciparum* [41]. Maintenance of the plasmids in the parasites was however, short lived. For biological studies stable transformation is needed and this was first accomplished in *P. berghei* where plasmids containing a drug selectable marker (the *dhfr-ts* gene from a pyrimethamine resistant strain of *P. berghei*) were maintained for over 40 generations using pyrimethamine drug pressure [42]. Later transformation of the primate *Plasmodia*, *P. knowlesi* and *P. cynomolgi* was also accomplished [43,44]. Although these transfection studies in different malaria parasites are valuable methods to study the control of gene expression, it does not necessarily provide elucidation of protein function (i.e. no deletion of genes). Therefore, integration into the genome of engineered plasmid DNA is imperative.

Soon after these first transfection studies two publications reported integration of exogenous DNA in the genome of malaria parasites. In *P. berghei* a linearized plasmid conferring resistance to pyrimethamine was integrated in the 2.3kb repeat regions of telomeres [45]. In *P. falciparum* the *hrp3* and *hrp2* genes were targeted by integrating the plasmid pDT.Tg23 in the respective loci through a single homologous recombination event termed single cross over (SXO) integration [46] (Fig 3A). Double cross over (DXO) integration was introduced in *P. falciparum* much later by applying a positive negative selection procedure [47]. This method is important because only the DXO approach physically deletes the targeted gene (Fig 3B), while the SXO approach only disrupts

the gene of interest. The disadvantage of SXO gene disruption is that the same way the disrupting plasmid is integrated through homologous recombination it can again recombine with the endogenous targeting sequence thereby looping itself out of the genome. The gene of interest is then reconstituted and as a result, the mutant parasite has undergone reversion back to the wild type genotype. Although this process occurs at low frequency it can clearly influence the outcome of gene function studies [48].

In the *Apicomplexan* parasite *Toxoplasma gondii* which is closely related to *Plasmodium*, transfection efficiency is higher than  $10^{-2}$  and both random and targeted genome alterations are routinely performed including complex molecular approaches [49]. Unfortunately, transfection efficiencies of *P. falciparum* blood stage parasites have been estimated as low as a frustrating  $10^{-6}$ . The only stage of *P. falciparum* which can be transfected is the early ring stage, which means that the plasmids have to cross four membranes to reach the parasite nucleus (as described above). This low transfection efficiency also means that molecular approaches common for example in *Toxoplasma gondii* are for now inconceivable in *P. falciparum*.

*P. berghei* parasites on the other hand can be temporarily maintained *in vitro* at the mature schizont stage and transfection targets merozoites directly [42,45]. By transfecting the merozoite stages the only barriers are the parasite plasma membrane and the nuclear envelope. The transfection efficiencies of *P. berghei* are thus considerably higher compared to *P. falciparum* where maintaining the schizont stage is not possible. The only stages amenable to transfection in *P. falciparum* are ring stage asexual parasites [41,46]. An additional advantage of *P. berghei* transfections is that linear DNA can be transfected resulting in direct DXO integration into the target regions of the genome (Fig 3C) [38,39]. More recently even higher transformation efficiencies of *P. berghei* parasites have been described by using AMAXA nucleofector technology [50]. This high efficiency transfection in the order  $10^{-2}$  to  $10^{-4}$  offers advantages in the number of parasites required for transfection, the amount of DNA needed and of course the speed of selection of integration. The increased efficiency was exploited by transfection of parasites with a GFP reporter cassette enabling fluorescence activated cell sorting (FACS) of transfected parasites [51]. Currently, *P. berghei* is favored as the genetic model organism for gene function analysis in *Plasmodium* research. Important gene function analyses performed in *P. berghei* can subsequently be transferred to the more difficult and time consuming molecular genetics in *P. falciparum* because although many genes are conserved there

are also many differences between these two malaria species. Therefore findings in *P. berghei* always need confirmation in the most clinically relevant species of malaria, *P. falciparum*.

## Aim and outline of the thesis

The ten members of the 6-cysteine (6-cys) family contain unique protein domains and are expressed during distinct stages throughout the parasite life cycle. Most 6-cys members are expected to localize to the parasite surface and some are known to play a role in cell-cell interactions. The distinct characteristics of the 6-cys family may reflect important biological functions and merits detailed studies particularly in light of possible vaccine development. We here explore the function of several members of the 6-cys protein family both in *P. berghei* and in *P. falciparum*. The overall objective is to elucidate their role in parasite biology and find possible applications to interrupt the parasite life cycle. We use a reverse genetics approach to investigate selected members of the 6-cys protein family from the sexual stage and the sporozoite stage.

The first part of the thesis addresses the study of specific 6-cys proteins expressed during the sexual stages. Gene deletion studies are used to identify essential members of the 6-cys family for transmission to the mosquito that as such are potential transmission blocking vaccine candidates. In **chapter two** the function of P47, P230 and P48/45 is studied in the rodent malaria model, *P. berghei*. In **chapter three** we address the function of P47 in fertility and zygote formation in *P. falciparum*. **Chapter four** focuses on the discrimination between male and female gametocytes to be able to study sexual differentiation of *P. falciparum* parasites using male and female specific reporter parasites. In subsequent experiments genes may be identified which are specifically involved in male or female biological processes such as gene replication, fertility and preparation for zygote/ookinete development inside the mosquito. The 6-cys family members P48/45 and P230 form a complex on the surface of gametocytes and **chapter five** studies the importance of this interaction by genetic modification of *p230* in the background of *p48/45* disrupted parasites.

The second part of the thesis focuses on the 6-cys protein members expressed at the sporozoite stage. In **chapter six** the function of *P. falciparum* p52 in sporozoites and during liver stage development is analyzed by gene deletion and we hypothesize that genetically attenuated sporozoites may form the basis of a live attenuated malaria vaccine. **Chapter seven** explores the suitability and safety of using these sporozoites as a live attenuated sporozoite vaccine and we hypothesize that multiple genes need to be deleted to obtain fully attenuated sporozoites. In **chapter eight** we therefore develop a novel transfection approach to *P. falciparum* to enable sequential gene deletions within the same parasite line and also address safety issues associated with the use of genetically modified parasites in humans as a malaria vaccine. The results presented in this thesis are summarized and discussed in **chapter nine**.

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# Chapter 2

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## Three members of the 6-cys protein family of *Plasmodium* play a role in gamete fertility

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## Abstract

The process of fertilization is critically dependent on the mutual recognition of gametes and in *Plasmodium*, the male gamete surface protein P48/45 is vital to this process. This protein belongs to a family of 10 structurally related proteins, the so called 6-cys family. To identify the role of additional members of this family in *Plasmodium* fertilisation, we performed genetic and functional analysis on the five members of the 6-cys family that are transcribed during the gametocyte stage of *P. berghei*. This analysis revealed that in addition to P48/45, two members (P230 and P47) also play an essential role in the process of parasite fertilization. Mating studies between parasites lacking P230, P48/45 or P47 demonstrate that P230, like P48/45, is a male fertility factor, consistent with the previous demonstration of a protein complex containing both P48/45 and P230. In contrast, disruption of P47 results in a strong reduction of female fertility, while males remain unaffected. Further analysis revealed that gametes of mutants lacking expression of *p48/45* or *p230* or *p47* are unable to either recognise or attach to each other. Disruption of the paralog of *p230*, *p230p* also specifically expressed in gametocytes, had no observable effect on fertilization. These results indicate that the *P. berghei* 6-cys family contains a number of proteins that are either male or female specific ligands that play an important role in gamete recognition and/or attachment. The implications of low levels of fertilisation that exist even in the absence of these proteins, indicating alternative pathways of fertilisation, as well as positive selection acting on these proteins are discussed in the context of targeting these proteins as transmission blocking vaccine candidates.

## Introduction

Sexual reproduction is an obligate process in the *Plasmodium* life cycle and is required for transmission of the parasites between the vertebrate and mosquito hosts. The sexual phase is initiated by the formation of male and female cells (gametocytes) in the blood of the vertebrate host. Gametocytes are the precursors to the haploid male and female gametes that are produced in the mosquito midgut where fertilisation takes place. Successful fertilisation requires an ordered series of gamete-gamete interactions, specifically, the recognition of and adhesion to the female gamete by the motile male gamete, followed by a cascade of signalling events resulting from the fusion of the two gametes.

Despite their fundamental importance, relatively little is known about gamete receptors/ligands and their involvement in the process of gamete interactions of eukaryotes [1,2], which is partly due to their rapid evolution and species-specific characteristics [3]. In *Plasmodium* the involvement of two gamete specific surface proteins P48/45 and HAP2/GCS1 has been demonstrated in male fertility and these proteins are to date the only known proteins with a demonstrable role in gamete-gamete interaction [4,5,6]. Parasites lacking P48/45 produce male gametes that fail to attach to fertile female gametes [4] while male gametes lacking of HAP2/GCS1 do attach to females, but they do not fuse due to an absence of membrane fusion between the two gametes [5]. P48/45 is one member of a family of proteins encoded within the genome of *Plasmodium* and this family is characterised by domains of roughly 120 amino acids in size that contain six positionally conserved cysteines (6-cys). The 6-cys family of proteins appears to be Apicomplexan specific and has a predicted relationship to the SAG proteins in *Toxoplasma gondii* [7,8,9,10,11]. Ten members of the 6-cys family have been identified. Most members are expressed in a discrete stage-specific manner in gametocytes, sporozoites or merozoites [8,12,13,14,15,16]. The surface location of members of this family and their expression in gametes or in invasive stages (sporozoites and merozoites) suggests that they function in cell-cell interactions as has been shown for P48/45 in gamete adhesion. In addition to P48/45, five other 6-cys genes are transcribed in gametocytes, three of which (*p230*, *p230p* and *p47*) are exclusively expressed in the gamete stages of the malaria parasite [4,8,10,12,16,17,18,19], indicating that these members of the gene family may also play a role in the process of gamete recognition and fertilisation. Indeed specific antibodies

against the sexual stages of the human parasite *Plasmodium falciparum*, P48/45 and P230 can prevent zygote formation and thus block transmission of the parasite [19,20,21,22,23,24,25,26]. Interestingly, *P. falciparum* mutants lacking P230 expression produce male gametes that fail to attach to erythrocytes resulting in a reduced formation of the characteristic ‘exflagellation centres’ and reduced oocyst formation in mosquitoes [27]. In order to investigate the role of the 6-cys proteins in parasite fertilisation we performed genetic and functional analysis on the five 6-cys proteins that are expressed in gametocytes. In this paper, we present evidence that in addition to P48/45, two 6-cys members (P230 and P47) also have an essential role in parasite fertilization. Interestingly, in *P. falciparum* evidence has been published that P48/45, P47 and P230 are under positive selection resulting in non-neutral sequence polymorphisms [28,29,30,31]. By sequence analysis, we provide evidence that these three 6-cys proteins are undergoing strong but different rates of positive selection, either as a consequence sexual-selection driven by the competition between gametes or from natural selection exerted by the adaptive immune system of the host on proteins expressed in gametocytes.

## Materials and Methods

### Parasites

The gametocyte-producer clone cl15cy1 (HP) of *P. berghei* ANKA was used as the reference parasite line [32]. In addition, the following mutant lines of the ANKA strain were used: 2.33, a non-gametocyte producer (NP) line [33] and 137cl8 (RMgm-15, [www.pberghei.eu](http://www.pberghei.eu)), a mutant lacking expression of P48/45 [4].

### Generation of mutants deficient in expressing 6-cys family members

To disrupt genes encoding different members of the 6-cys family, we constructed a number replacement constructs using plasmid pL0001 ([www.mr4.com](http://www.mr4.com)) which contains the pyrimethamine resistant *Toxoplasma gondii* (*tg*) *dhfr/ts* as a selectable-marker cassette (SC). Target sequences for homologous recombination were PCR amplified from *P. berghei* genomic DNA (ANKA, cl15cy1) using primers specific for the 5' or 3' end of the different 6-cys genes (see Table S1 for the sequence of the different primers). The PCR-amplified target sequences were cloned in plasmid pL0001 either upstream or downstream of the SC to allow for integration of the construct into the genomic target sequence by homologous recombination. DNA constructs used for transfection were obtained after digestion of the replacement constructs with the appropriate restriction

enzymes (Table S1). Replacement constructs pL1138 (*p47*) and pL0123 (*p36*), were constructed using replacement plasmid pD<sub>B</sub>D<sub>T<sup>PH</sup></sub>D<sub>B</sub> [34] and plasmid pL0121 (*p47&48/45*) was constructed in the previously described replacement plasmid for disruption of *pb48/45* (plasmid p54 is renamed here to pL1137; [4]). This plasmid was made by exchanging the 5' *pb48/45* targeting sequence with the 5' targeting sequence of *pb47*. The *p230pII* replacement construct pL0120 is a derivative of plasmid pL0016 [35] containing the *tgdhfr-ts* SC, *gfp* (under control of the *pbeef1aa* promoter and 3'UTR of *pbdhfr/ts*) and *p230p* 5' and 3' targeting sequences[36]. Transfection, selection and cloning of mutant parasite lines were performed as described [32,37] using *P. berghei* ANKA cl15cy1 as the parent reference line. For all mutants with an observable phenotype, mutants were generated and selected in two independent transfection experiments (Table S1). Of each transfection experiment we selected one cloned line for further genotype and phenotype analysis. Correct integration of the construct into the genome of mutant parasites was analysed by standard PCR analysis and Southern blot analysis of digested genomic DNA or of FIGE separated chromosomes[32]. PCR analysis on genomic DNA was performed using specific primers to amplify either part of the wild type locus (primers WT1 and 2) or the disrupted locus (primers INT1 and 2). See Table S2 for the sequence of these primers.

## Analysis of expression by Northern and Western analysis

Total RNA was isolated from the different blood stage parasites of the gametocyte-producer clone cl15cy1 of *P. berghei* ANKA (HP), the non-gametocyte producer line 2.33 (NP) and the different mutant lines according to standard methods. To determine stage-specific transcription of the 6-cys family members, Northern blots containing RNA from different blood stages were hybridised with different gene specific probes, which were PCR-amplified using the primers shown in Table S2 (primer pairs WT1+ 2). To detect expression of the P48/45 protein we used polyclonal antiserum raised against recombinant *P. berghei* P48/45 as described[4]. For detection of P47 we generated the following polyclonal antiserum; a fragment of the *Pb47* ORF (encoding amino acids 80-411) was PCR-amplified using primers L964 and L965 (Table S2) and cloned into the *NdeI/BamHI* sites of the expression vector pET-15b (Novagen) providing an N-terminal 6-Histidine tag. Polyclonal antiserum was raised in New Zealand rabbits by injection of 200 µg of gel-purified recombinant protein. Boosting was carried out subcutaneously with 3-weeks intervals using 200 µg protein in incomplete Freund's adjuvant. Serum (P47) obtained 2 weeks after the third boost was immunopurified on immobilised purified recombinant P47. To detect P48/45 and P47 in the different mutant lines, total protein samples of purified gametocytes were fractionated on non-reducing 10% SDS polyacrylamide gels.

## Phenotype analysis of parasite lines lacking expression of 6-cys gene family members

The fertility of wild type and mutant gamete populations was analysed by standard *in vitro* fertilisation and ookinete maturation assays [4,17] from highly pure gametocyte populations [38]. The fertilisation rate of gametes is defined as the percentage of female gametes that develop into mature ookinetes determined by counting female gametes and mature ookinetes in Giemsa stained blood smears 16-18 hours after *in vitro* induction of gamete formation. Fertility of individual

sexes (macro- and micro-gametes) was determined by *in vitro* cross-fertilisation studies in which gametes are cross-fertilised with gametes of lines that produce only fertile male ( $\Delta p47$ ; 270cl1) or only fertile female gametes ( $\Delta p48/45$ ; 137cl1 [4,17,39]). All fertilisation and ookinete maturation assays were done in triplicate on multiple occasions in independent experiments. *In vivo* ookinete, oocyst and salivary gland sporozoite production of the mutant parasites were determined by performing standard mosquito infections by feeding of *Anopheles stephensi* mosquitoes on infected mice [40]. Oocyst numbers and salivary gland sporozoites were counted at 7-10 days and 21-22 days respectively after mosquito infection. For counting sporozoites, salivary glands from 10 mosquitoes were dissected and homogenized in a homemade glass grinder in 1000 $\mu$ l of PBS pH 7.2 and sporozoites were counted in a Bürker-Türk counting chamber using phase-contrast microscopy [41]. Infectivity of sporozoites was determined by infecting mice through bites of 25-30 infected mosquitoes at day 21-25 after mosquito infection.

The formation of exflagellation centres (i.e. male gamete interactions with red blood cells) was determined by adding 10 $\mu$ l of infected tail blood to 100-300  $\mu$ l of standard ookinete culture medium pH 8.2 to induce gamete formation. Ten minutes after induction of gamete formation a droplet of 5-10  $\mu$ l was placed on a cover slip and analysed under a standard light microscope (40X magnification) as a hanging-drop using a well slide. When red blood cells were settled in a monolayer, the number of exflagellating male gametocytes was counted that form or did not form exflagellation centres. An exflagellation centre is defined as an exflagellating male gametocyte with more than four tightly associated red blood cells [27]. The formation of exflagellation centres was performed using tail blood collected at day 6 or 7 from mice that were infected with 10<sup>5</sup> parasites without treatment with phenylhydrazine. For quantification of male-female interactions tail blood was collected from phenylhydrazine-treated mice with high numbers of gametocytes [42]. Tail blood (10 $\mu$ l) was collected at gametocytemias ranging between 4-8% and added to 100 $\mu$ l of standard ookinete culture medium pH 8.2 to induce gamete formation. Ten minutes after induction of gamete formation, the cell suspension was placed in a Bürker-Türk counting chamber and during a period of twenty minutes the male-female interactions were scored using a phase-contrast light microscope at a 40x magnification. Attachments of males to females were scored if the male had active (attachment-) interactions with the female for more than 3 seconds. Penetration of a female by the male gamete was scored as a fertilisation event.

## Polymorphisms and sequence divergence of the Plasmodium 6-cys genes

Pairwise alignments were generated between the orthologous sequences of *p48/45*, *p47* and *p230* genes in *P. berghei*, *P. yoelii* and *P. chabaudi*; sequences were obtained from PlasmoDB (<http://www.plasmodb.org> version 6.1; see Table S3 for the accession numbers of the 6-cys gene family members). Complete gene sequences for a number of these genes were obtained from the Sanger Institute (A. Pain, personal communication). Maximum-likelihood estimates of rates of non-synonymous substitution (dN) and synonymous substitution (dS) between pairwise alignments were generated using the PAML algorithm (version 3.14; [43,44]) using a codon-based model of sequence evolution [45,46], with dN and dS as free parameters and average nucleotide frequencies estimated from the data at each codon position (F3x4 MG model [47]). For this analysis we assumed a transition/transversion bias (i.e. kappa value) that had been estimated previously and found to be similar in case of *P. falciparum* and *P. yoelii*, i.e. 1.53 [48]. A sliding window analysis

of dN/dS ratios was performed of *p230*, *p47* and *p48/45* from the three rodent parasites. We analysed the dN/dS values of these genes across their length by analysing sequentially 300bp of the gene in 150bp steps. This analysis is essentially the same as the calculation of  $\pi$  (i.e. the number segregating or polymorphic sites) described for *p48/45* in distinct *P. falciparum* isolates described by Escalante *et al.* [29]. We obtained the single nucleotide polymorphisms (SNPs) data identified from field and laboratory isolates of *P. falciparum* (excluding all *P. reichenowi* SNPs) from PlasmoDB ([www.PlasmoDB.org](http://www.PlasmoDB.org)). The alignment of these SNPs along the different genes (to scale) was extracted from the Genome Browser page of PlasmoDB. The locations of the SNPs were aligned onto the schematic representation of the 6-cys genes of the rodent parasites. It should be noted that the alignment of the *p230* gene of the different *Plasmodium* species was only possible around 1008bp after the putative start site. In order to determine which residues of *p230*, *p47* and *p48/45* genes were under positive selection in the rodent malaria parasites, a Bayes Empirical Bayes (BEB) analysis was performed using sequences from the 3 rodent genomes and was calculated as described in Yang *et al.* [49]. To test which genes were undergoing positive selection the likelihood ratio test (LRT) was performed using a comparison of site specific models of evolution [50,51]. This test compares a 'nearly neutral' model (without any residues under positive selection) and a 'positive selection' model (with residues under positive selection and therefore under adaptive evolution). Both models assume that there are different categories of codons, which evolve with different speeds. The 'nearly neutral' model assumes two categories of sites at which amino acid replacements are either neutral (dN/dS=1) or deleterious (dN/dS<1). The 'positive-selection' model assumes an additional category of positively selected sites at which non-synonymous substitutions occur at a higher rate than synonymous ones (dN/dS>1). Likelihood values indicate how well a model fits to the analyzed alignment and answers the question if the 'positive selection' model fits better to the analyzed alignment than the 'nearly neutral' model.

## Animal Ethics Statement

All animal experiments were performed after a positive recommendation of the Animal Experiments Committee of the LUMC (ADEC) was issued to the licensee. The Animal Experiment Committees are governed by section 18 of the Experiments on Animals Act and are registered by the Dutch Inspectorate for Health, Protection and Veterinary Public Health, which is part of the Ministry of Health, Welfare and Sport. The Dutch Experiments on Animal Act is established under European guidelines (EU directive no. 86/609/EEC regarding the Protection of Animals used for Experimental and Other Scientific Purposes).

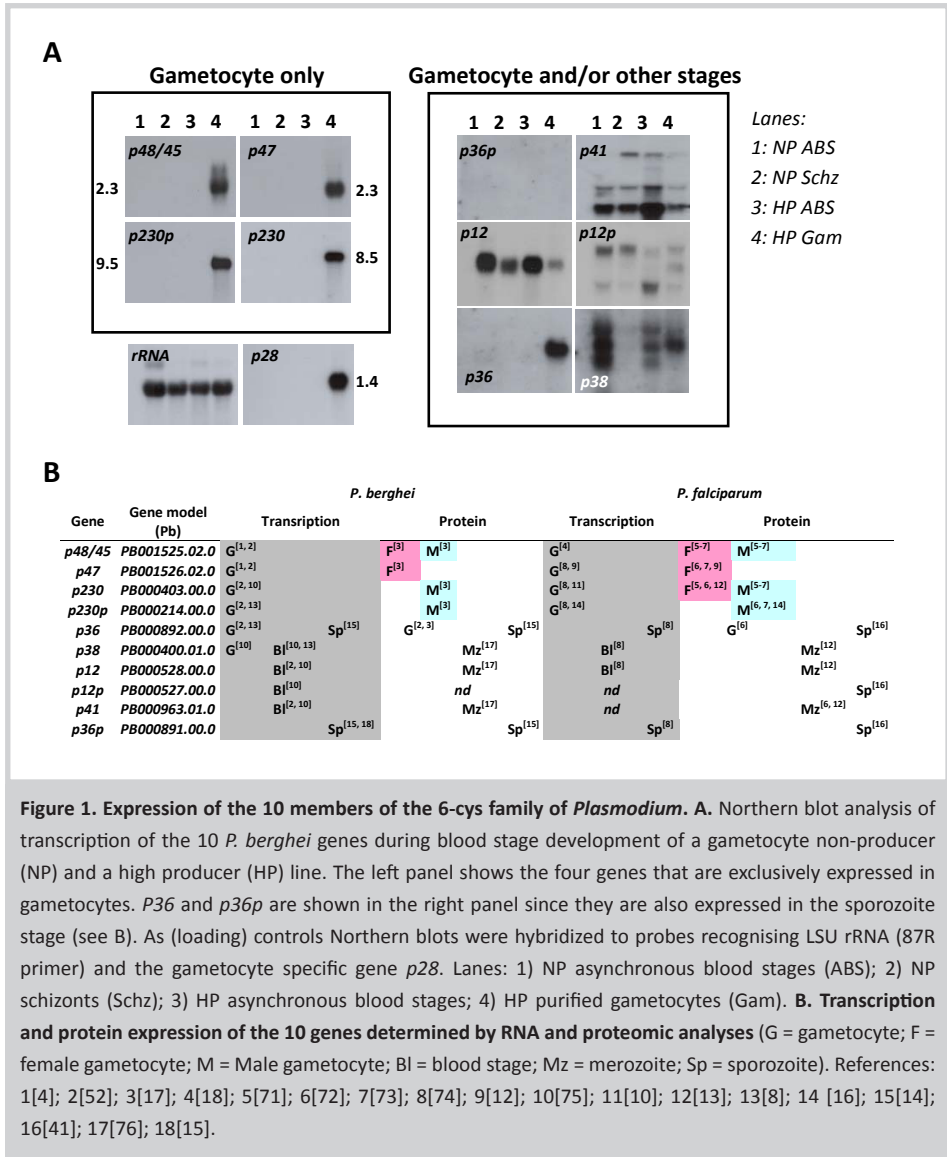


## Results

### Four out of ten members of the 6-cys family of *P. berghei* are specifically transcribed in gametocytes

Ten members of the 6-cys family have been identified in *Plasmodium* and are found in all *Plasmodium* species (Table S3). We analysed the transcription profile of the 10 members during blood stage development of *P. berghei* by Northern blot analysis and combined this analysis with a search of publicly available literature, transcriptome and proteome datasets. This method established that multiple members are transcribed in gametocytes of which four members, *p48/45*, *p47*, *p230*, *p230p*, are transcribed exclusively in the gametocyte stage (Fig. 1A). The gametocyte specific expression of *p48* and *p230p* has been shown before [4,8]. Transcription of *p38* occurs both in gametocytes and in asexual blood stages as has also been reported [8], whereas *p12* is transcribed in all blood stages. The relative weak band observed in gametocytes might be due to low contamination of the gametocyte preparation with asexual blood stages (gametocyte samples always contain a small degree of contamination with schizonts when density gradients are used for gametocyte purification). Transcription of *p41* and *p12p* show a complex pattern of multiple transcripts in all blood stages. The close paralogue pair *p36* and *p36p* have quite different transcriptional profiles: *p36p* is not transcribed in blood stages but transcription is exclusive to sporozoites [14,15] whereas *p36* is transcribed both in gametocytes (Fig. 1B; [8,52]) and in sporozoites [14,15].

Since no polyclonal or monoclonal antibodies exist for most of the 6-cys family members of *P. berghei*, except for P48/45 [4], P47 (this study), P36 and P36p [14], data on expression of these proteins in different life cycle stages mainly comes from large-scale proteome analyses. For most members of the 6-cys family which have been detected by proteome analysis, the presence of the protein coincides with transcription of its gene (Fig. 1B). The exclusive presence of P48/45, P47, P230 and p230p in the proteomes of gametocytes corresponds to the transcription pattern of their respective genes. The presence of P48 and P47 in *P. berghei* gametocytes has been confirmed using polyclonal antibodies against these proteins (Fig. S1; [4]). P12, P38 and P41 have been detected in the proteome of merozoites which agrees with their transcription in the asexual

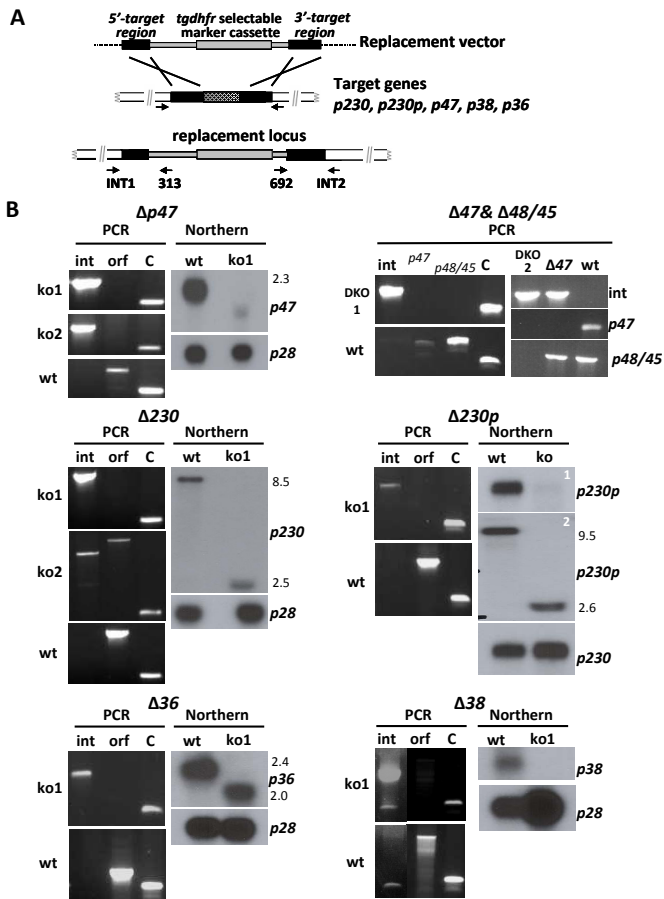


blood stages and with their identification in the raft-like membrane proteome of the *P. falciparum* merozoite surface [13]. Also the presence of P36 in proteomes of both gametocytes and sporozoites [41,52] and P36p in sporozoites [14,41] fits with the transcription profile of these genes. Up to now only P12p has not been detected in any proteome of *Plasmodium*. Comparison of the transcription and expression patterns of the 10 conserved members of the 6-cys family of *P. berghei* with those of *P. falciparum*

from large scale transcriptome and proteome analyses demonstrates that the expression patterns are conserved between the rodent and human parasite (Fig. 1B) and also confirms that four out of the 10 members are specific to the gametocyte stage.

### **Three out of 4 members of the 6-cys family of *P. berghei* that are specifically transcribed in gametocytes play a role in fertilisation**

We previously reported the functional analysis of mutant *P. berghei* parasites that were deficient in expressing P48/45, generated by targeted disruption of *p48/45* through a double crossover homologous recombination event [4]. Here we have used the same approach, schematically shown in Fig. 2A, to disrupt 5 other members of the 6-cys family that are transcribed in gametocytes. We excluded *p12*, *p12p*, *p41* and *p36p* from this analysis since the results obtained from transcriptome and proteome analyses indicate a role for the first three of these genes during the asexual blood stage development (Fig. 1B). We have previously demonstrated in both, *P. berghei* and *P. falciparum*, that P36p is involved in liver-cell infection and disruption of its gene had no effect on development of gametes and fertilisation [15,53]. Mutant parasite lines have been generated deficient in P47 ( $\Delta p47$ ), P230 ( $\Delta p230$ ), P230p ( $\Delta p230p$ ), P38 ( $\Delta p38$ ) or P36 ( $\Delta p36$ ) and for each gene, mutants were selected from two independent transfection experiments (Table S1). Two different  $\Delta p230p$  mutant lines were generated,  $\Delta p230p$ -I and  $\Delta p230p$ -II, differing in which regions of *230p* have been disrupted. In mutant  $\Delta p230$ -I a fragment is deleted from the second 6-cys domain (i.e. first 894aa still present) onwards whereas in mutant  $\Delta p230$ -II the deleted fragment includes part of the first 6-cys domain (i.e. first 492 amino acids still present). In addition we generated a mutant line deficient in the expression of both P48/45 and P47 ( $\Delta p48/45\&\Delta p47$ ). Correct disruption of the target-genes was verified by diagnostic PCR analysis (Fig. 2B) and Southern blot analysis of separated chromosomes and/or digested genomic DNA (data not shown). To demonstrate that the mutant parasite lines were deficient in expression of the targeted gene we analysed transcription of the corresponding genes by Northern blot analysis using mRNA collected from purified gametocytes (Fig. 2B). No transcripts of *p47* and *p38* could be detected in  $\Delta p47$  and  $\Delta p38$  mutants, and no *p48/45* and *p47* transcripts are present in the DKO mutant  $\Delta p48/45\&\Delta p47$ . Only small, truncated transcripts were detected



**Figure 2. Generation and analysis of mutants lacking expression of different members of the 6-cys family of genes.** **A.** Schematic representation of the replacement construct used for disruption of the target genes by double cross-over homologous recombination. Correct integration of the construct results in disruption of target gene as shown (replacement locus) and is analysed by PCR (see B) using the primers INT1, 313, INT2 and 692 as shown in the figure and Table S1 and S2. Black boxes: the target regions of the 6-cys genes; grey box: the *tgdhfr/ts* selectable marker cassette. **B.** PCR analysis of correct disruption of the 6-cys genes and analysis of transcription of the genes in wild type and mutant (ko) parasite lines. PCRs were performed with primers that specifically amplify either the 5' (INT1 and 313) or 3' (INT2 and 692) regions of the disrupted locus (int). In addition PCR's to amplify the intact open reading frame (orf) were performed using genomic DNA of wild type parasites as a control (wt). The double knockout mutant  $\Delta p48/45\Delta p47$  was checked for both *p47* and *p48/45*. Control PCR amplifying the gametocyte specific *p28* gene (C). Northern blot analysis of transcription was performed using RNA extracted from gametocytes of wild type (wt) or mutant parasites. Blots were hybridised with 6-cys specific gene probes that were obtained by PCR amplification (see Table S2). As a control Northern blots were hybridized to a probe recognising the gametocyte specific gene *p28*. The sizes of transcripts (kb) are shown next to the Northern blots.

for *p230* and *p230p* in gametocytes of the  $\Delta p230$  and  $\Delta p230p$  lines and also in  $\Delta p36$  a truncated p36 transcript was found. Full length transcripts of wt *p230* and *p230p* are 8.5 and 9.5 kb respectively, whereas truncated transcripts are approximately 2.5 kb in size. Since several of the disrupted genes are organised as pairs within the genome (i.e. *p230*&*p230p* and *p48/45*&*p47*), we analysed whether disruption of one member of a pair affected transcription of the other gene. For  $\Delta p48/45$  parasites it has been shown before that disruption of *p48/45* had no effect on expression of its paralog P47 [4]. In this study we similarly show for *p47*, *p230* and *p230p* that disruption had no effect on transcription of its paralogous member (Fig. S1 A&B). In addition to the transcription analysis of the disrupted genes, we analysed the presence or absence of the proteins P47 and P48/45 in the mutant parasites by Western analysis using polyclonal antiserum (Fig. S1C). P47 is present in wt gametocytes and gametocytes of the  $\Delta p48/45$  but is absent in  $\Delta p47$  and  $\Delta p48/45$ & $\Delta p47$  gametocytes. P48/45 is present in wild type and absent in the  $\Delta p48/45$ & $\Delta p47$  gametocytes.

We next analysed the phenotype of the different mutant lines during gametocyte and gamete development as well as during fertilisation, ookinete and oocyst formation using standard assays for phenotype analysis of the sexual- and mosquito stages of *P. berghei*. Surprisingly, three of the six mutants lacking expression of genes that are transcribed in gametocytes did not exhibit a phenotype that was different from wild type parasites during these stages of development. These mutants,  $\Delta p230p$ ,  $\Delta p38$  or  $\Delta p36$ , showed a normal growth of the asexual blood stage (data not shown), sexual development and development of the mosquito stages up to the mature oocysts (Table 1). All these mutant lines produced wild type numbers of gametocytes and gametes and showed normal fertilisation rates as measured by *in vitro* zygote/ookinete production (Table 1; Fig. 3). In contrast to the absence of a discernable fertilisation phenotype with the  $\Delta p230p$ ,  $\Delta p38$  and  $\Delta p36$  mutants, we found that the capacity of fertilisation is severely affected in the other three mutants, (Fig. 3A). Specifically,  $\Delta p47$ ,  $\Delta p230$  and  $\Delta p48/45$ & $\Delta p47$  lines showed a fertilisation rate that was reduced by more than 99.9% compared to wt, as shown by the inhibition of zygote/ookinete production *in vitro* (Table 1; Fig. 3A). These mutants produced normal numbers of mature gametocytes during blood stage development. The analysis of *in vitro* gamete formation (exflagellation of males; emergence of female gametes from the erythrocyte) by light-microscopy also revealed that the process of gametocyte and gamete formation was not affected, resulting in the production of motile male gametes and female gametes, emerged from the host

erythrocyte by more than 80% of the mature gametocytes (Table 1). At 16-18h after activation of gamete formation, the *in vitro* cultures of  $\Delta p47$ ,  $\Delta p230$  and  $\Delta p48/45\Delta p47$  lines contained many (clusters of) unfertilized, singly nucleated, female gametes. This phenotype of a strong reduction of fertilisation despite the formation of male and female gametes closely resembles the phenotype of *Plasmodium* parasites lacking P48/45 [4]. As had also been previously observed with the P48/45 deficient mutant, the fertilisation rate of gametes of the three mutant lines seems to be more efficient in the mosquito compared to *in vitro* fertilisation [4]. Compared to wild type parasites, the *in vivo* fertilisation of the mutants is reduced by 93-98% as calculated by ookinete and oocyst production in mosquitoes (Table 1), whereas the reduction of *in vitro* fertilisation rate is greater than 99.9%. Infections of naive mice through bite of 20-30 mosquitoes infected with parasites of  $\Delta p47$ ,  $\Delta p48/45\Delta p47$ DKO and  $\Delta p230$  parasites, resulted in blood stage infections containing only gene disruption mutants (i.e. mutant genotype and no 'wild

**Table 1.** Gametocyte/gamete production, fertilisation rate and development in mosquitoes of different mutants that lack expression of members of the 6-cys family of proteins.

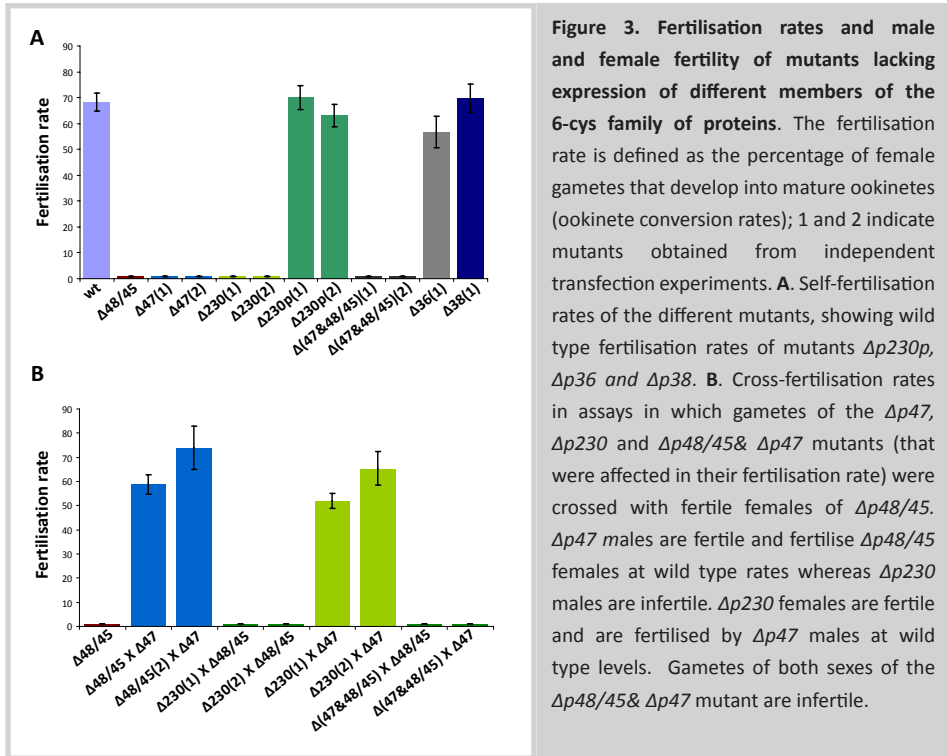
Parasite	Gametocyte Production <sup>1</sup> % (SD)	Gamete production (%) <sup>2</sup> ♂ / ♀	Fertilisation rate <i>in vitro</i> (%) <sup>3</sup>	No of ookinetes <i>in vivo</i> <sup>4</sup>	No of oocyst <sup>5</sup>	Infected mosquitoes (%)
WT	19.9 (3.1)	86-94 / 89-96	59 (6.7)	1313 (293-4280)	298 (18- 603)	100
$\Delta p48/45\Delta p47$	20.7 (4.2)	82-94 / 84-94	<0.1	16 (0-78)	21 (0-124)	93
$\Delta p48/45\Delta p47$	17.3 (2.1)	nd	<0.1	nd	nd	nd
$\Delta p47 I$	17.0 (2.0)	88-92 / 80-90	<0.1	50 (0-100)	16 (0-43)	95
$\Delta p47 II$	18.7 (2.5)	nd	<0.1	nd	17 (0-49)	70
$\Delta p230 I$	20.3 (3.2)	nd	<0.1	40 (0-100)	21 (0-76)	80
$\Delta p230 II$	18.3 (1.2)	84-96 / 82-86	<0.1	42 (0-100)	14 (0-59)	70
$\Delta p230p I$	21.7 (2.5)	86-90 / 78-88	70.0 (4.6)	1320 (660-2060)	208 (26-579)	95
$\Delta p230p II$	20.3 (1.5)	nd	63.0 (4.4)	nd	nd	nd
$\Delta p36$	22.0 (1.7)	nd	56.7 (6.0)	nd	235 (18-563)	95
$\Delta p38$	19.3 (2.3)	nd	69.7 (5.5)	nd	209 (20-556)	100

<sup>1</sup> Percentage of blood stage parasites that develop into gametocytes in synchronous infections under standardized conditions. <sup>2</sup> Percentage of gametocytes that emerge from the host cell and form gametes, determined by counting exflagellations and free female gametes. <sup>3</sup> Fertilisation rate (FR) is the percentage of female gametes that develop within 18 hours into ookinetes *in vitro*. <sup>4</sup> Mean number and range of ookinetes per mosquito at 22 hours after mosquito feeding. <sup>5</sup> Mean number and range of mature oocysts per mosquito. nd, not determined.

type' parasites), as determined by PCR and Southern analysis of genomic DNA (results not shown). These results show that gametes of all three mutant lines still have a low capacity to fertilise, resulting in the production of viable and infective ookinetes, oocysts and sporozoites. Moreover, the results obtained with the double knock-out mutant  $\Delta p48/45\&\Delta p47$  indicate that the few fertilisation events in single knock-out mutants deficient in expression of either P47 or P48/P45 (this study and [4]) cannot be explained by a compensation effect due to its paralogous protein because the  $\Delta p48/45\&\Delta p47$  mutant still shows a comparable, albeit greatly reduced, ability to fertilise and to pass through the mosquito.

## **P230 plays a role in male gamete fertility and P47 in female gamete fertility**

Fertility of the male and female gametes produced by the mutant lines can be determined by *in vitro* cross-fertilisation studies, where gametes are cross-fertilised with gametes of parasite lines that produce either only fertile male gametes or female gametes. Such an approach was used to establish that  $\Delta p48/45$  parasites produced infertile male gametes, whereas the female gametes are completely fertile [4]. We performed different *in vitro* cross fertilisation experiments to determine whether the reduced fertilisation capacity of the  $\Delta p47$  and  $\Delta p230$  mutants was due to affected male gametes, female gametes or to both sexes. Gametes of both mutants were cross-fertilised with female gametes of  $\Delta p48/45$  (males are infertile) to determine male fertility of  $\Delta p47$  and  $\Delta p230$ . Male gametes of  $\Delta p47$  were able to fertilise  $\Delta p48/45$  females (at wild-type levels) whereas the males of  $\Delta p230$  were unable to fertilise the  $\Delta p48/45$  females (fertilisation rates  $<0.01\%$ ; Fig. 3B). These results demonstrate that male gametes of  $\Delta p47$  are viable with wild type fertilisation capacity and therefore the fertilisation defect of  $\Delta p47$  must be due to infertile females. The normal fertility of male gametes of  $\Delta p47$  has also been shown in previous studies in which the males of this mutant have already been used in other cross-fertilisation studies [17,39,54,55]. The lack of fertilisation in the crossing experiments of gametes of  $\Delta p230$  with  $\Delta p48/45$  shows that P230 plays a role in male fertility. In order to test the fertility of  $\Delta p230$  females we crossed the gametes of this line with the fertile male gametes of  $\Delta p47$  (as mentioned above the females are infertile). We find that  $\Delta p47$  male gametes are able to fertilise  $\Delta p230$  female gametes in a manner identical to their ability to fertilise  $\Delta p48/45$  females (Fig. 3B). This demonstrates that female gametes



of  $\Delta p230$  have a fertility that is comparable to wild type female gametes and that the fertilisation defect is the result of infertile males. Crossing experiments performed with gametes of the double knockout mutant,  $\Delta p48/45$  &  $\Delta p47$  with gametes of either  $\Delta p230$ ,  $\Delta p47$  or  $\Delta p48/45$  did not result in increased fertilisation rates (<0.01%), demonstrating that gametes of both sexes are infertile in the double knock-out mutant (Fig. 3B).

## Infertile $\Delta p230$ males form exflagellation centres but do not attach to females and fertile males do not attach to infertile $\Delta 47$ females

In *P. falciparum* it has been shown that male gametes lacking P230 expression have a reduced capacity to adhere to red blood cells, as measured by the formation of 'exflagellation centres' [27]. We therefore examined the ability of *P. berghei* male  $\Delta p230$  gametes to attach to erythrocytes, by microscopic examination of exflagellation centre formation under standardized *in vitro* conditions. In these experiments 76-92%



of exflagellating wt males and 72-90% exflagellating  $\Delta p230$  male gametocytes, formed such centres (Table 2), indicating that in contrast to *P. falciparum*  $\Delta p230$  in *P. berghei* both wt and  $\Delta p230$  male gametes have a similar ability to interact with red blood cells. Gametocytes that did not form exflagellation centres were often floating on/above the red blood cell layer during exflagellation. Further analysis of single, free male gametes of  $\Delta p230$  revealed that they were highly motile and often attach to red blood cells, producing characteristic red blood cell shape deformations due to the active interactions between the male gamete and the erythrocyte. Male gametes lacking expression of P48/45 do not attach to female gametes as has been previously shown by analysing male-female interactions by light microscopy [4,5]. We therefore analysed the interactions between male and female gametes of  $\Delta p230$  or  $\Delta p47$ , between 10 and 30 minutes after induction of gamete formation using phase-contrast microscopy. In wt parasites attachment of males to females was readily detected with a mean of over 25 attachments during a 20 minutes period of observation, with a mean of more than 6 confirmed fertilisations (i.e. male gamete penetrations; Table 2). In preparations of gametes of both  $\Delta p230$  and  $\Delta p47$  not a single fertilisation event was detected and the number of male and female gamete attachments was drastically reduced (Table 2). We observed that while male gametes of both mutants undergo active interactions with red blood cells and platelets, attachment of males to female gametes are hardly ever observed. These results show that P230 like P48/45 is a male fertility factor involved in recognition or attachment to females and that P47 is a female fertility factor involved in recognition or adherence by the male gamete. Whether P48/45 and P230 once on the surface of the male gamete directly interact with P47 on the surface of the female gamete is unknown. Unfortunately, repeated immuno-precipitation experiments with anti-*P. berghei* P48/45 antibodies and wt gamete preparations, in order to identify interacting partners, were unsuccessful (data not shown).

**Table 2.** The interactions of  $\Delta p230$  and  $\Delta p47$  male gametes with red blood cells (exflagellation centres) and female gametes (attachment and fertilisation).

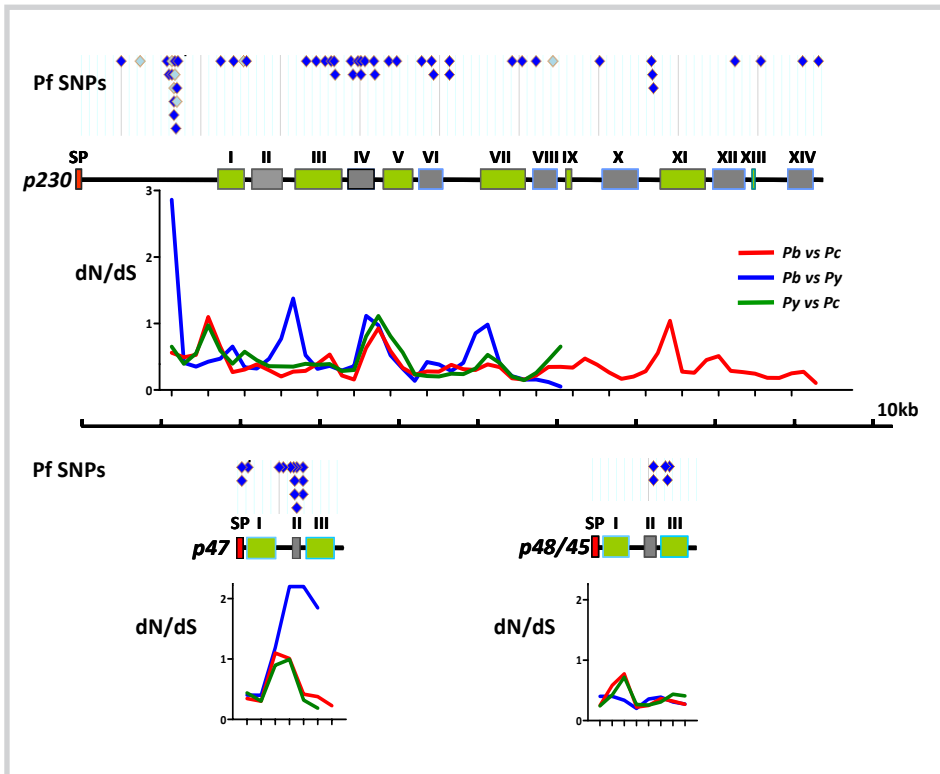
	Exflagellation centers % of male gametocytes (range)	# of males attached to females (range)	# of fertilizations (range)
Wild type	84.7 (76-92)	25.5 (15-35)	6.8 (4-11)
$\Delta p230$	80.3 (72-90)	2 (0-4)	0
$\Delta p47$	nd	5.5 (2-8)	0
nd, not determined			

## Sequence polymorphism of *Plasmodium* proteins involved in fertilisation

Analyses of sequence polymorphisms of *p48/45*, *p47* and *p230* of laboratory and field isolates of *P. falciparum* has provided evidence that these proteins are under positive selection [28,29,30,31]. We analysed synonymous (dN) and non-synonymous (dS) polymorphisms of *p48/45*, *p47* and *p230* by comparing these genes in three closely related rodent parasites *P. berghei*, *P. yoelii* and *P. chabaudi* by making use of the newly available gene sequences ([www.PlasmoDB.org](http://www.PlasmoDB.org) version 6.1). The updated dN/dS values for these genes obtained here, which is commonly used as an indicator of positive selection, were in all comparisons higher than the mean dN/dS value of all genes within the respective genomes (Table S4). However, only the dN/dS ratio of *p47* in the *P. berghei/P. yoelii* comparison showed a significant difference with the mean dN/dS value (0.82 compared to the mean dN/dS of 0.26). Overall, P47 is in the top 4-6% of fastest evolving proteins in the rodent parasite genomes as compared to top 10-16% for P230 and 15-50% for P48/45 (Table S4). In addition, we have used the likelihood ratio test (LRT) to analyse if these genes were undergoing neutral or positive selection (see Materials and Methods). This test shows that *p47* is indeed under positive selection ( $P=0.006$ ) when comparing the site/residue specific models of evolution.

We next examined sequence mutations in the same genes in more detail by performing a comparative dN/dS ratio analysis across these genes using small and corresponding regions of these genes using a 'sliding window analysis' (i.e. 300bp in 150bp intervals; Fig. 4; Table S4). This analysis showed that *p47* has an exceptionally elevated dN/dS value (i.e. 1-2) in one area corresponding to the truncated B-type domain II as defined by [7]. Interestingly, although P230 had a relatively low overall dN/dS value (0.33-0.44), the sliding window analysis revealed that P230 contains several areas where the dN/dS ratio is higher than 1.0 with an increased ratio in all 3 species in particular around the B-type domain IV as defined by Gerloff *et al.* (2005). In order to analyse similarities in the location of sequence polymorphism between *P. falciparum* and the three rodent parasites, we aligned all known single nucleotide polymorphisms (SNPs) described for P230, P47 and P48/45 in *P. falciparum* (i.e. [www.PlasmoDB.org](http://www.PlasmoDB.org); [56,57,58]) with the dN/dS ratios determined by the 'sliding window analysis' (for details see Materials and Methods; Fig. 4). Interestingly, the elevated dN/dS ratios of *p47* domain II and domain IV of P230, both correspond with the location of high SNP densities in the orthologous

*P. falciparum* genes. These findings would suggest that similar regions in the *p47* and *p230* genes of rodent parasites and *P. falciparum* are subject to positive selection. To predict which residues of the three *P. berghei* genes are under positive selection we performed a Bayes Empirical Bayes analysis (BEB; [49]). This analysis calculates dN/dS values ( $\omega$  values) on each residue of a particular protein when the genes encoding these



**Figure 4. Polymorphisms and sequence divergence across *p230*, *p48/45* and *p47*.** Schematic representation of *p230*, *p47* and *p48/45* (shown to scale). A- and B-type recurring domains (green and grey respectively; [7]) are shown and the numbering of domains (I-XIV) are shown as according to [7]. The putative Signal Peptide (SP) is indicated in red. Above each gene the locations of all single nucleotide polymorphisms (SNPs) are shown as identified in different *P. falciparum* strains in PlasmoDB ([www.PlasmoDB.org](http://www.PlasmoDB.org); August 2009). Dark blue diamonds: non-synonymous polymorphisms; Light blue diamonds: synonymous polymorphisms. Below each gene the dN/dS ratios are shown across the length of the three rodent *Plasmodium* orthologs. This dN/dS analysis is performed using a 'sliding-window' analysis, where 300bp of corresponding DNA sequence was compared at 150bp intervals. The gene from each species has been compared to the same gene of the other species; Red: *P. berghei* against *P. chabaudi*; Blue: *P. berghei* against *P. yoelii*; Green: *P. yoelii* against *P. chabaudi*. The complete gene sequence is only available for *P. berghei* and *P. chabaudi*; The 5' end of all three rodent parasite *p230* genes is shorter than those of the *P. falciparum* *p230* and therefore alignment of the *P. falciparum* to the rodent *p230*s is only possible ~1kb after the start site.

proteins are compared in least 3 similar species and an  $\omega > 1$  indicates positive selection on a residue. For P47 ten residues were identified undergoing positive selection with  $\omega$  values ranging between 4 and 7 (Table S5). Nine of these 10 residues are confined to the first two domains of P47 including the region B-type domain II. In P48/45 four residues were identified ( $\omega$  values ranging between 1 and 2) and for P230 only one amino acid ( $\omega = 1.3$ ). Interestingly, this one residue in P230 (i.e. residue 845V) maps to the corresponding region of the *P. falciparum* P230, domain IV, where 6 of the 27 non-synonymous polymorphisms described by Gerloff *et al.* map (Table S4).

## Discussion

Until recently the only protein proven to play a direct role in merging of the male and female gamete of *Plasmodium* gametes in *Plasmodium* was P48/45, a surface protein principally of male gametes shown to play an essential role in recognition of and attachment to females [4,5]. Recently, two studies have identified a second protein, HAP2/GCS1 with a role early in fertilisation [5,6]. Male gametes of mutant parasites lacking this protein can attach to female gametes but the subsequent fusion of the gametes is absent [5], a process which is clearly after the mutual recognition and attachment of gametes. Our studies provide evidence for the direct involvement of two additional proteins, P47 and P230, which like P48/45 play a key role in the initial phase of gamete-gamete recognition and attachment. The phenotype of mutants lacking P230 expression is identical to the phenotype of mutants lacking P48/45, i.e. male gametes do not recognize and attach to female gametes whereas the female gametes are fertile. These results show that the P230 protein, like P48/45, is a male fertility factor. A similar role of P48/45 and P230 in male fertility is perhaps not surprising since evidence has been reported that both proteins interact with each other. Unlike P48/45, P230 does not contain a glycosylphosphatidylinositol (GPI) anchor and in *P. falciparum* evidence has been found that P230 forms a complex with P48/45 at the surface of gametocytes and gametes [18,27,59,60]. Indeed, analysis of *P. falciparum* mutants has shown that in the absence of P48/45 the P230 protein is not retained on the surface of gametes, a result which may indicate that tethering of P230 to the surface of the male gamete is mediated by P48/45 [27]. In contrast, in the absence of P230 the surface location of P48/45 is not affected in *P. falciparum* [27,61]. If in *P. berghei* the same interaction

occurs, and  $\Delta p48/45$  gametes also lack surface expression of P230, then the failure of  $\Delta p48/45$  and  $\Delta p230$  males to attach to females might be solely due to the absence of P230 on the male gamete surface. This would imply that P230 and not P48/45 is the major male protein that is responsible for recognition of and attachment to the female. However, it has been shown that antibodies directed against P48/45 strongly reduce oocyst formation [19,20,24,25,26], indicating that either P48/45 antibodies disrupt the attachment of the translocated P230 to P48/45 after gamete formation or it may play a more direct role in fertilisation and that its function is not exclusively as a membrane anchor for P230.

Interestingly, in *P. falciparum* it has been shown that male gametes with a disrupted *p230* gene are incapable of interacting with erythrocytes and do not form the characteristic exflagellation centres and these mutants show a strong reduction in oocyst formation [27]. These observations, in *P. falciparum*, indicate that P230 not only plays a role in gamete-gamete interactions but male gamete interactions with erythrocytes may be required for gamete maturation resulting in an optimal fertilisation capacity [27,62]. Our analyses of  $\Delta p230$  *P. berghei* male gametes in live preparations did not reveal any difference in their capacity to interact with red blood cells, suggesting that there are functional differences between P230 of *P. berghei* and *P. falciparum*. As the interaction between male and female gametes has not been analysed in the *P. falciparum*  $\Delta p230$  mutants it is unknown whether the decreased oocyst formation results from the reduced gamete-erythrocyte interactions or is due to the lack of gamete recognition and attachment, as we have observed in *P. berghei*. Therefore, further research is needed to unravel whether *P. falciparum* P230 is also involved in gamete-gamete interactions like *P. berghei* P230. Moreover, additional research is required to identify the proteins at the surface of *P. berghei* male gametes that are responsible for the adherence of the male gametes to erythrocytes. Disruption of the close paralogue of *p230*, *p230p*, did not have any effect on fertilisation or on red blood cell attachment. The distinct phenotypes of  $\Delta p230$  and  $\Delta p230p$  gametes demonstrate that the proteins encoded by these genes are not functional paralogues that are able to complement each others function as has been demonstrated for the paralogous protein pair P28 and P25 on the surface of zygotes [63]. The same is true for the paralogous proteins P48/45 and P47 (see below) or P36 and P36p [15,64].

In addition to the important role of P230 in male fertility, our studies demonstrate that P47 plays a key role in *P. berghei* female gamete fertility. Both proteome analyses of *P. berghei* gametocytes [17] and IFA analysis of *P. falciparum* gametocytes using anti-P47 antibodies [12] have shown the female-specific expression of P47. In *P. falciparum*, P47 is located on the surface of the female gametes following emergence from the host erythrocyte. Our studies demonstrate that *P. berghei* females lacking P47 are not recognized by wild type males. These observations may suggest that P48/45 or P230 on the male gamete directly interact with P47 on the female for recognition and attachment. However, P48/45 and P230 may alternatively interact with additional, as yet unknown protein/s on the surface of the female that are dependent on the presence of P47, in an analogous manner to the interaction between P230 and P48/45 on the surface of the male gamete. Both P48/45 and P230 are also expressed in the female gametes of *P. berghei* and *P. falciparum* [17,27]. The presence of these proteins on the female gamete surface does not result from male proteins that are released by the male during activation and subsequent binding to the female since 'pre-activated' female gametocytes also express these proteins (B van Schaijk, personal communication and [65]). However, an essential role for P48/45 and P230 in female gametocytes is not implicated in *P. berghei* since both  $\Delta p230$  and  $\Delta p48/45$  females demonstrate normal fertilisation, i.e. to wild-type levels, when incubated with wild type males.

Unexpectedly, the lack of expression of P47 in *P. falciparum* mutants appears not to have a role in fertilisation as determined by oocyst formation in mosquitoes [12]. This difference between *P. berghei* and *P. falciparum* suggests that the proposed model of the interactions between male P48/45 and/or P230 with female P47 (and/or P47-interacting proteins) being key for the recognition and attachment of gametes does not hold true for all *Plasmodium* species. However, these differences between *P. falciparum* and *P. berghei* might also be explained by the presence of an additional set of protein ligands in both species that mediate additional mechanisms of gamete recognition and attachment. Indeed by analysing *P. berghei*  $\Delta p48/45$  mutants [4] and mutants lacking expression of P47 and P230 (this study) we found that low levels of fertilisation did occur. Surprisingly, in all mutants significant higher fertilisation rates were observed in mosquito midguts compared to *in vitro* rates of fertilisation. Even in the mutant lacking expression of both P48/45 and P47, the same low fertilisation rates are observed. Assuming that *P. berghei*  $\Delta p48/45$  gametes lack P230 surface expression as has been shown for *P. falciparum*  $\Delta p48/45$ , then gametes of the double knock-out mutant can fertilise in the absence of

essentially all three fertility factors of the 6-cys family, albeit at a reduced rate. These observations indicate the presence of additional proteins that secure fertilisation in the absence of the three members of the 6-cys family. For unidentified reasons this alternative fertilisation pathway appears to be much more efficient *in vivo* than *in vitro*, suggesting that mosquito factors influence this alternative route of fertilisation. The observed oocyst formation in  $\Delta p48/45$  and  $\Delta p47$  *P. falciparum* parasites [4,12] might therefore also be explained by this route of fertilisation and the presence of relatively high numbers of oocysts might indicate that this alternative pathway is more efficient in *P. falciparum* in *A. stephensi* compared to *P. berghei* in *A. stephensi*. Such alternative pathways of fertilisation may have implications for development of transmission blocking vaccines that block fertilisation using antibodies directed against members of the 6-cys family of proteins and therefore it is important to identify the additional proteins involved in the process of recognition and attachment of gametes. It is possible that other members of the 6-cys family that are expressed in gametocytes (P230p, P38 and P36) may be involved in the alternative pathways of fertilisation. Although we found that gametes lacking expression of these proteins did not show a significant reduction in fertilisation, the effect of their absence on gamete fertility may only become evident in the absence of P48/45, P47 and P230. Further research using mutants lacking multiple 6-cys members is required to reveal whether other 6-cys family members or other unrelated proteins play a role in alternative routes of fertilisation.

For P48/45, P47 and P230 in *P. falciparum* evidence has been published that these proteins are under differing rates of positive selection resulting in non-neutral sequence polymorphisms [28,29,30,31]. Polymorphisms in gamete proteins may be a consequence of sexual selection as is the case for gamete proteins of other organisms [3,66]. However, sequence polymorphism in these *Plasmodium* genes may also result from natural selection exerted by the adaptive immune system of the host. These three proteins are expressed in mature gametocytes, and as only a very small percentage of gametocytes ever get passed on to a mosquito, the vast majority of gametocyte proteins (including these 6-cys members) are eventually released into the hosts circulation where they are exposed to the host immune system. Indeed it has been shown that P48/45 and P230 both elicit humoral responses in infected individuals that can mediate transmission blocking immunity [22,24,67,68,69,70]. Our analyses on dN/dS values of the three rodent parasites provide additional evidence that directional selection pressures affect sequence polymorphisms of gamete surface proteins, especially evident for the female

specific *p47* which belongs to the top 4-6% fastest evolving genes in the rodent parasite genomes. Analysis of dN/dS variation across the genes by the sliding window approach on P230 identifies one region that is evolving rapidly in all the rodent parasites and, interestingly, this correlates with the same region in *P. falciparum* (B-type domain IV) that has the highest density of SNPs [7]. The correlation of the location of *P. falciparum* SNP's with increased dN/dS ratios in both P230 and P47 may indicate that similar selection pressures exists in different *Plasmodium* species. Whether this positive selection on these gamete proteins is driven by immune responses and/or mating interactions is presently unknown. However, insight into sequence polymorphisms in gamete surface proteins that are targets for TB vaccines and the influence of these polymorphisms on mating behaviour of parasites in natural populations of *P. falciparum* should help to improve TB vaccines development.

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**Table S1:** information on the replacement constructs used to disrupt the different members of the 6-cys gene family

Gene	Construct	Mutant parasites	Primers restriction sites	5'-targeting primers	Size (kb)	Primers restriction sites	3'-targeting primers	Construct size (kb)	Insert release
<i>p47</i>	pL1138	270c11 526c11	L697 H,B L701 H	ccaagcttggatcggatataataattcctcaataaagg ccaagcttgaacctttccatagctcatagctcg	0.5	L867 EV L700 K	ggataatcagcaatgttggcattgc cgggatccaatttatcattagcgtatgttg	1.3	B/K
	pL0121	192c11 203	L697 H,B L701 H	ccaagcttggatcggatataataattcctcaataaagg ccaagcttgaacctttccatagctcatagctcg	0.5	L408 EV pBS-SK	ggataatcagcaatacctcaatcagcctc	1.5	B/K
<i>p230</i>	pL1139	310c11 323c11	L925 H L1360 H	ccaagcttcataatttctctaaagaagctcc ccaagcttcactttatatactatagcacc	0.6	L1357 EV L1358 B	ggataatcggaaaatttttaatgaatctcc cgcggatccgatttccgaatgggaatagc	1	C/B
	<i>p230p I</i>	204c11 216	L1.5 K L1.6 H	ggggatccgaaacaatcgaatttctatgc ccaagcttggcggcctcctatgc	0.42	L1.3 EV L1.4 B	agttcaaaaacaaattacagc cgcggatcctactgtaatacctttttccc	0.5	K/B
<i>p230p II</i>	pL0120	314c11	L1345 H,S L1346 H	ccaagcttcgcgggatatggtaagaacctactaacaac ccaagcttgaatggttttattggatgagc	0.66	L1347 EI L1348 S	cggaaattccttggagcccgttaag tccccggggatgggaactacatctatatag	0.9	S
	<i>p36</i>	261c11 276c11	L862 H,B L863 H	ccaagcttggatcgcgcaattttgtgactctaccg ccaagcttgaacttttaataactcaggc	0.58	L864 EV L865 K	ggataatcggatttagcttctcatctag cggggataccctggtagcigcgaataatccacc	0.9	B/K
<i>p38</i>	pL0124	360c11 846c11	L1355 C L1356 H	ccatcagatatttgaataatgaggtgtgg ccaagcttaccacgaaataataatctgtattc	1	L1431 EI L1248 B	cggaaattcgggtcigtaaacctatagag cgcggatccatgcaagaacgaaacactg	0.8	C/B

B, BamHI; C, ClaI; EI, EcoRI; EV, EcoRV; H, HindIII, K, KpnI; S, SacI

**Table S2.** Information on primers used in PCR and Southern analysis in order to genotype the mutants with disrupted 6-cys gene

Disrupted locus	INT1+2	Sequence	Size (kb)	WT1+2	Sequence	Size (kb)
<i>p47</i>	L759	atacagtaacgcaacgtcg	1	L964	actatgagcatatggaaaagg	1
	L313	acgcattatatgagttcattttac		L965	cgccctaggctaggaagatgataatTTTTaattcc	
<i>p47-48/45</i>	L759	agtacagtaacgcaacgtcg	1	L964	actatgagcatatggaaaagg	1 ( <i>p47</i> )
	L313	acgcattatatgagttcattttac		L965	cgccctaggctaggaagatgataatTTTTaattcc	
				L1384	gctctagatgaaagaagatcagtaatatgtag	1.2 ( <i>p48/45</i> )
	L1385		L1385	cgcggtaccaccaatTTAatattcataaaaccag		
<i>p230</i>	L1405	gatgtagaaccaagtgtagg	1.5	L1692	cgcggtaccacaggagataatacaacaatgac	1.6
	L313	acgcattatatgagttcattttac		L1375	cgcggtacccttattcaacaatccgattttccattatc	
<i>p230p I</i>	L831	cttatttttcaattaccgcc	1.3	L932	cccaagcttgaacaatcgaatttctatgc	1.6
	L313	acgcattatatgagttcattttac		L1359	cccaagcttactgtaatacctttttccc	
<i>p230p II</i>	L832	ttgtattctcatctcatatg	1.5	L831	cccaagcttgaacaatcgaatttctatgc	1.6
	L692	cttatatttataccaattg		L1348	tccccggggtatggaactcacatctataggg	
<i>p36</i>	L1000	tgcttatgctgtaaacactcc	1	L1380	cgcggtaccgagtttaaaagaaatagaagttgg	0.9
	L313	acgcattatatgagttcattttac		L1373	cgcggtacccttattcttctttgtgaaaaaatgtg	
<i>p38</i>	L1210	taaaagtgtacatacaatagttgc	1.6	L1355	ccatcgatattttgtaaaatgagtggtgg	0.85
	L313	acgcattatatgagttcattttac		L1248	cgcggtaccatgcaagaaacgaaacactg	

**Table S3.** Gene models of the different 6-cys gene family members in 6 Plasmodium species.

GENE	<i>P. falciparum</i>	<i>P. berghei</i>	<i>P. yoelii</i>	<i>P. chabaudi</i>	<i>P. vivax</i>	<i>P. knowlesi</i>
<i>p48/45</i>	PF13_0247	PB001525.02.0	PY04207	PCAS_136420	PVX_083235	PKH_120750
<i>p47</i>	PF13_0248	PB001526.02.0	PY04395	PCAS_136430	PVX_083240	PKH_120710
<i>p36</i>	PFD0210c	PB000892.00.0	PY01341	PCAS_100200	PVX_001025	PKH_031030
<i>p52</i>	PFD0215c	PB000891.00.0	PY01340	PCAS_100210	PVX_001020	PKH_031020
<i>p12</i>	PFF0615c	PB000528.00.0	PY03100	PCAS_011160	PVX_113775	PKH_113620
<i>p12p</i>	PFF0620c	PB000527.00.0	PY03099	PCAS_011170	PVX_113780	PKH_113610
<i>p230p</i>	PFB0400w	PB000214.00.0	PY03857	PCAS_030820	PVX_003900	PKH_041110
<i>p230</i>	PFB0405w	PB000403.00.0	PY03856	PCAS_030830	PVX_003905	PKH_041100
<i>p38</i>	PFE0395c	PB000400.01.0	PY02738	PCAS_110730	PVX_097960	PKH_102490
<i>p41</i>	PFD0240c	PB000963.01.0	PY01066	PCAS_100250	PVX_000995	PKH_030970

**Table S4.** Whole gene dN/dS, dN and dS values of *p48/45*, *p47* and *p230* compared to the values of all annotated genes present in the 3 rodent parasite genomes

	<i>P. berghei</i> vs <i>P. yoelii</i>			<i>P. berghei</i> vs <i>P. chabaudi</i>			<i>P. yoelii</i> vs <i>P. chabaudi</i>		
	dN/dS	dN	dS	dN/dS	dN	dS	dN/dS	dN	dS
<i>p48/45</i>	0.36 (>79%)	0.03	0.08	0.36 (>50%)	0.05	0.14	0.36 (>85%)	0.05	0.14
<i>p47</i>	0.82 (>96%)	0.09	0.11	0.46 (>94%)	0.09	0.19	0.50 (>94%)	0.09	0.18
<i>p230</i>	0.44 (>87%)	0.05	0.11	0.33 (>84%)	0.09	0.26	0.42 (>90%)	0.11	0.26
All genes	0.27			0.22			0.23		
All genes*	0.26			0.22			0.23		

\* with telomeric multi-gene families excluded (e.g. birs, yirs, cirs etc). Numbers in parentheses represent the percentage of genes within the Plasmodium genome that are evolving slower than the analyzed gene.

**Table S5.** Sliding window analysis of *p48/45*, *p47* and *p230* in *P. berghei* vs *P. yoelii* vs *P. chabaudi*.

<b>PB000403.00.0 versus PY03856 versus PCAS_030830 (P230)</b>					
0	300	150	2.8624	0.561	0.6518
150	450	300	0.408	0.4908	0.3927
300	600	450	0.3521	0.5292	0.5516
450	750	600	0.4251	1.0976	0.973
600	900	750	0.4712	0.6501	0.5935
750	1050	900	0.6539	0.2689	0.396
900	1200	1050	0.3412	0.3097	0.5769
1050	1350	1200	0.3233	0.3854	0.4481
1200	1500	1350	0.4687	0.2996	0.3595
1350	1650	1500	0.7711	0.205	0.3569
1500	1800	1650	1.3756	0.2754	0.3525
1650	1950	1800	0.5234	0.2879	0.3933
1800	2100	1950	0.3188	0.3932	0.3782
1950	2250	2100	0.3625	0.5346	0.3921
2100	2400	2250	0.295	0.2199	0.2853
2250	2550	2400	0.3598	0.1582	0.3007
2400	2700	2550	1.1171	0.6329	0.8077
2550	2850	2700	0.9744	0.9292	1.1134
2700	3000	2850	0.5235	0.5876	0.8108
2850	3150	3000	0.3217	0.3347	0.5652
3000	3300	3150	0.1375	0.2323	0.2368
3150	3450	3300	0.4215	0.2798	0.2112
3300	3600	3450	0.383	0.2768	0.2026
3450	3750	3600	0.2868	0.379	0.2454
3600	3900	3750	0.4076	0.3133	0.2388
3750	4050	3900	0.853	0.3018	0.3227
3900	4200	4050	0.983	0.387	0.528
4050	4350	4200	0.3965	0.3431	0.4067
4200	4500	4350	0.2084	0.1756	0.2037
4350	4650	4500	0.1573	0.1561	0.1487
4500	4800	4650	0.159	0.2209	0.2577
4650	4950	4800	0.1178	0.3474	0.4543
4800	5100	4950	0.0516	0.3491	0.6539
4950	5250	5100		0.3368	
5100	5400	5250		0.4727	
5250	5550	5400		0.3825	
5400	5700	5550		0.2638	
5550	5850	5700		0.169	
5700	6000	5850		0.2002	
5850	6150	6000		0.2839	
6000	6300	6150		0.5564	
6150	6450	6300		1.0418	
6300	6600	6450		0.2757	
6450	6750	6600		0.2577	
6600	6900	6750		0.4509	
6750	7050	6900		0.5114	
6900	7200	7050		0.2897	
7050	7350	7200		0.2693	
7200	7500	7350		0.2472	
7350	7650	7500		0.1835	
7500	7800	7650		0.1819	
7650	7950	7800		0.2521	
7800	8100	7950		0.2725	
7950	8250	8010		0.1065	

<b>PB001526.02.0 versus PY04395 versus PCAS_136430 (P47)</b>					
0	300	150	0.403	0.3466	0.4373
150	450	300	0.3993	0.3002	0.3035
300	600	450	1.185	1.0989	0.896
450	750	600	2.1968	1.0067	0.9921
600	900	750	2.1969	0.4173	0.3191
750	1050	900	1.844	0.3747	0.185
900	1200	1050		0.225	
<b>PB001525.02.0 versus PY04207 versus PCAS_136420 (P48/45)</b>					
0	300	150	0.4005	0.2499	0.244
150	450	300	0.4015	0.5824	0.4231
300	600	450	0.3361	0.7737	0.7185
450	750	600	0.2007	0.224	0.2664
600	900	750	0.3559	0.2507	0.2553
750	1050	900	0.3878	0.3634	0.3094
900	1200	1050	0.3075	0.3222	0.4356
1050	1350	1200	0.2723	0.2683	0.4075

**Table S6** Residues of *P48/45*, *P47* and *P230* under positive selection according Bayes Empirical Bayes (BEB) analysis

Protein ID	residue	P-value (omega>1)	omega
PB000403.00.0			<b>p230</b>
	859V	0.518	1.28
PB001526.02.0			<b>p47</b>
	6G	0.872	6.95
	24F	0.811	6.46
	29V	0.979	7.58
	76N	0.792	6.26
	79E	0.603	4.96
	152R	0.809	6.44
	160E	0.813	6.45
	162I	0.857	6.75
	183Q	0.632	5.10
	233S	0.526	4.33
PB001525.02.0			<b>p48/45</b>
	118T	0.5	1.47
	204F	0.501	1.47
	211D	0.544	1.56
	339S	0.53	1.52

Each *P. berghei* protein is compared to its ortholog in *P. yoelii* and *P. chabaudi*









# Chapter 3

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## **Pfs47, paralog of the male fertility factor Pfs48/45, is a female specific surface protein in *Plasmodium falciparum***

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## Abstract

The genome of *Plasmodium falciparum* contains a small gene family that expresses proteins characterized by the presence of 6-cysteine domains. Most of these proteins are expressed on the surface of the parasite and some are known to play a role in cell-cell interactions. Two members of this family, Pfs48/45 and Pfs230, form a complex localized on the surface of gametes and are recognized as important targets for transmission-blocking vaccines. In this study we report the analysis of an additional member of this family, Pfs47 the closest paralog of Pfs48/45. We demonstrate that Pfs47 is expressed only in female gametocytes and is located on the surface of female gametes following emergence from red blood cells. In contrast to the critical function of P48/45 for male fertility, Pfs47 does not appear crucial for female fertility. Parasites lacking Pfs47 through targeted gene disruption, produce normal numbers of oocysts when included in the blood meal of the mosquito vector. In addition, three monoclonal antibodies against Pfs47 were unable to inhibit oocyst development when present in a blood meal containing wild type parasites. These results show redundancy in protein function for Pfs47 and reduce the support for candidacy of Pfs47 as a transmission-blocking vaccine target.

## Introduction

The genome of *Plasmodium falciparum* contains a small, conserved family of 10 genes encoding proteins that are characterized by the presence of two or more copies of 6-cysteine (6-cys) domains [1,2,3,4,5]. All members are conserved in different *Plasmodium* species and eight members form closest-paralog pairs that are organized as a tandem repeat (head to tail) within the genome (P48/45 and P47, P230 and P230p, P36 and P36p, P12 and P12p) with an overall sequence identity in the order of 24% [5,6,7]. All 6-cys proteins contain a signal peptide and most a putative glycosyl-phosphatidylinositol (GPI) anchor sequence and thus are likely to be located on the surface of the parasite or its invasion-associated organelles [2,8,9]. Most proteins are restricted to a single life cycle stage and are expressed by parasite forms that engage in cell-cell interactions at different phases of the life cycle, such as sporozoites, merozoites and gametocytes/gametes [8,10,11,12]. For example, P48/45 and P230 are known gamete surface molecules and certain monoclonal antibodies (mAbs) against P48/45 and P230 reduce transmission due to the inhibition of zygote development [13,14,15,16] or lysis of gametes in the presence of active complement respectively [17,18,19]. Therefore, 6-cys proteins may function as receptors or ligands and both P48/45 and P230 are being pursued as transmission-blocking vaccine candidates [20].

Analysis of mutant lines lacking P48/45 in both rodent and human-infectious species of *Plasmodium*, showed an essential, conserved role for this protein in male fertility, i.e. attachment and penetration of female gametes by the male gamete is strongly impaired in these mutant parasites [6]. However, fertilization was not completely inhibited in P48/45 deficient parasites. In addition, mutant parasites lacking P230 were also able to fertilize and form oocysts, although at a reduced level [21,22]. These findings suggested a redundancy of proteins involved in gamete interactions. It might be possible that paralogous proteins are responsible for compensation of loss of function of one of the proteins as has been shown for the paralog-pair P25 and P28 of the zygote/ookinete surface [23].

Pfs47 is the closely linked paralog of Pfs48/45 and in this study we studied both the localization and function of this protein in order to investigate its potential as a transmission-blocking vaccine target. Transcriptome and proteome data [10,11,12] indicate that Pfs47 is specifically expressed in gametocytes. We found that Pfs47 is

expressed only in female gametocytes and is located on the surface of female gametes (macrogametes) following emergence from the red blood cell. Disruption of the gene encoding Pfs47 did not lead to a significant reduction of oocyst development in the mosquito, showing redundancy of function for yet another protein expressed during sexual development. Additionally, a panel of Pfs47-specific monoclonal antibodies included in membrane feeding assays did not affect oocyst development in the mosquito. Together these results question the potential of Pfs47 as a transmission-blocking vaccine candidate.

## Materials and Methods

### Parasites

*P. falciparum* parasites line NF54 (wildtype (WT)), clone 3D7 (derived from NF54) and *pfs47*<sup>-</sup> parasites were cultured using a semi automated culture system as described [24,25]. Gametocyte development, sex ratio and *in vitro* gamete formation were determined as described [26] and sporozoites were collected as described [27].

### Generation of *pfs47*<sup>-</sup> parasites

The *pfs47* gene (PF13\_0248) of *P. falciparum* was disrupted with the insertion plasmid pI47, a derivative of the previously described pDT. Tg23 plasmid [28]. pI47 was constructed by cloning an 865 bp internal fragment of the *pfs47* coding sequence, obtained by PCR amplification using primers 675 (5'-ggagatcTGAATCTCATTATATTCTGC) and 676 (5'-ggactagtTAACATATACATGCCTTCC), into the *Bgl*III and *Spe*I restriction sites of the pDT.Tg23 vector. Transfection of NF54 bloodstage parasites was performed as described [29], using a BTX electroporation system. Selection of *pfs47*<sup>-</sup> parasites was performed as described [28].

Genotype analysis of transfected parasites was performed by PCR and Southern blot analysis. Genomic DNA of WT or transfected parasites was isolated [30] and analyzed by PCR using primer pair BVS01 (5'-CAACCTACGTTGGGTGACC) and L430 (5'-GGATAACAATTTACACAGGA) for correct integration of pI47 in the *pfs47* locus and for the presence of WT using primer pair BVS01 and BVS02 (5'-GCGATATGTAATTCATTACTGC), both annealing outside the target region used for integration. PCR reactions were performed as described [31]. For Southern blot analysis, genomic DNA was digested with *Nsi*I, size fractionated on a 0.6% agarose gel and transferred to a Hybond-N membrane (Amersham) by gravitational flow [30]. The blot was prehybridized in Church buffer [32] followed by hybridization to a *pfs47* 5'UTR specific radioactive probe. The *pfs47* 5'UTR PCR

product, obtained with primer pair 1263 (5'-CATGCCATGGGATTATCATTGTCTTGAAAAAG) and 1263R (5'-ATCACATACGTATTGTGTGAGC) was labeled using the High Prime DNA labeling kit (Roche) and purified with Micro Biospin columns (Biorad).

## **Production, characterization and purification of Pfs47 specific mAbs**

Production of rat mAbs was performed as described [33,34]. Briefly, Lou/M rats were immunized with deoxycholate extracts of activated NF54 gametocytes [26]. Following isolation of the spleen, the B-cells were fused to Y3-Ag1.2.3. rat myeloma cells to produce hybridomas. Hybridoma cell lines producing gametocyte specific antibodies were selected using a gametocyte ELISA [35] and Western blot analysis. Three cell lines were cloned generating the mAbs Pfs47.1, Pfs47.2 and Pfs47.3, which were purified from culture supernatant with goat anti-rat Sepharose 4B matrix (Zymed) using the Akta Prime FPLC (Amersham Biosciences). The isotypes of the mAbs were determined with the Rat Monoclonal Isotyping Test kit (Serotec).

## **Northern blot analysis**

*P. falciparum* 3D7 parasites were cultured starting with a 0.1% asexual parasitaemia. On day 9 after the start of the culture, the asexual parasites were removed with 50mM N-acetyl-D-glucosamine treatment and stage II-V gametocytes were harvested. Gametes were obtained by stimulating stage V gametocytes for 1 hour [26]. RNA was isolated from 0.01% saponin treated parasites using Trizol (Invitrogen) followed by chloroform extraction. The samples were size fractionated on a 0.8% formaldehyde agarose gel, transferred to Nytran (Schleicher & Schuell, Keene, NH), crosslinked with ultraviolet light and subsequently hybridized with different probes.

## **Western blot analysis**

Deoxycholate extracts of NF54 or Pfs47<sup>-</sup> gametocytes isolated by MACS [36] were size fractionated on a 12% Novex Bis/Tris gel (Invitrogen) and transferred to nitrocellulose blot according to manufacturers protocol (Novex, Invitrogen). Blot was blocked with 5% milk followed by incubation with gametocyte specific mAbs and subsequently with HRP labeled antibodies (DAKO). Antibody staining was visualized using the Vector SG peroxidase substrate kit.

## **Immuno-fluorescence assay of fixed gametocytes or live gametes in suspension**

NF54 or Pfs47<sup>-</sup> gametocytes were either air-dried on glass slides coated with poly-L-Lysine or activated in suspension to gametes as described above. The slides or gametes were incubated in PBS containing primary mAbs for 1 hour at room temperature and subsequently washed with PBS and incubated with anti-rat-ALEXA488 and/or anti-mouse-ALEXA586 secondary antibodies (Molecular probes). Staining was visualized and photographed on a Leica fluorescence microscope with digital camera.



## Membrane feeding assay

Membrane feeding assays were performed as described [26]. Briefly, 14-day-old cultures from NF54 or *pfs47* gametocytes were fed to female *Anopheles stephensi* in presence or absence of Pfs47 specific mAbs diluted in human serum containing active complement. On day 7 the mosquitoes were dissected and examined for midgut oocysts as described [26,37]. The statistical analysis of oocyst production was performed with the non-parametric Wilcoxin rank-sum test.

# Results

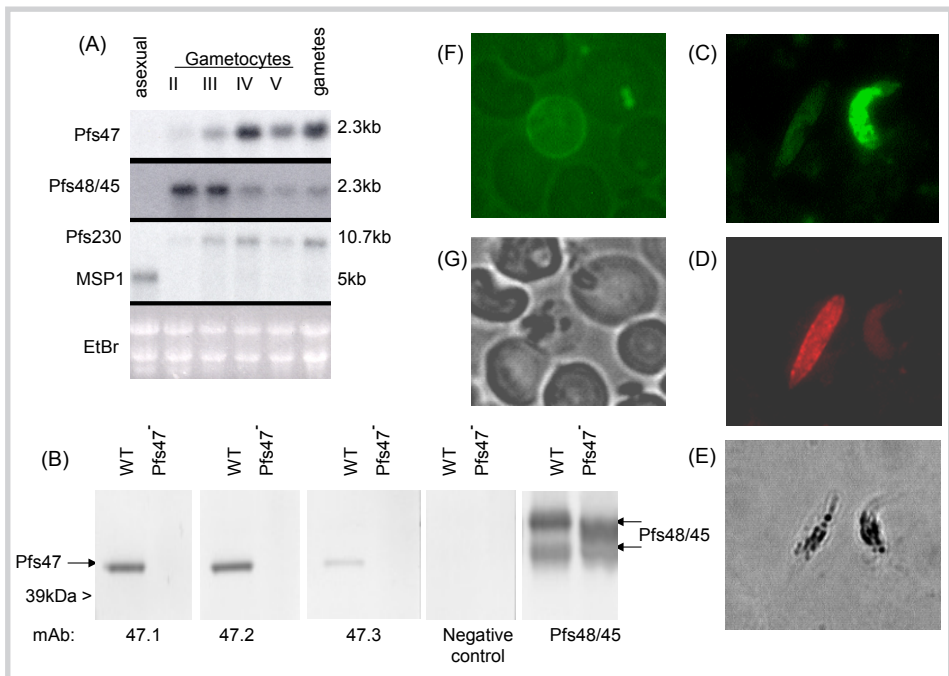
## Expression of Pfs47

Transcription of *pfs47* was analyzed by Northern blot analysis using RNA from 3D7 asexual blood stage parasites and synchronized, developmental stages of gametocytes and gametes. A specific transcript of 2.3 kb was detected only in the gametocyte and gamete stages of the parasite (Fig 1A). Transcription of *pfs47* started at a low level in stage II to III gametocytes and was increased from stage IV gametocytes onwards. Interestingly, transcription of *pfs47* mimicked *pfs230* transcription but was dissimilar to transcription of its paralog *pfs48/45*, which showed a significant increase in transcription in earlier gametocyte stages (II and III)(Fig 1A).

To study the expression and localization of Pfs47 we selected three mAbs (Pfs47.1-3) that recognized a band of approximately 47 kDa on a Western blot containing WT gametocyte proteins. This band was absent in lanes containing proteins from Pfs47 deficient gametocytes, demonstrating the specificity of these antibodies for Pfs47 (Fig 1B). The reactivity of Pfs47.3 on Western blot was significantly weaker compared to Pfs47.1 and Pfs47.2.

Interestingly, immuno-fluorescence assay (IFA) using mature WT gametocytes showed that only a fraction of the gametocytes (approximately 50%) reacted with the Pfs47 mAbs. These Pfs47 positive gametocytes displayed the morphology of mature female gametocytes (condensed pigment), while the morphology of Pfs47 negative gametocytes resembled that of male gametocytes (dispersed pigment). In order to confirm the female-specific expression of Pfs47, we performed IFAs using male specific  $\alpha$ -tubulin-II

antibodies [38] and Pfs47 antibodies. These IFAs showed that all  $\alpha$ -tubulin-II positive (red) gametocytes were negative for Pfs47 (green), whereas Pfs47 positive gametocytes were negative for  $\alpha$ -tubulin-II (Fig 1 C,D,E). Pfs47 therefore, is expressed only in female gametocytes.



**Figure 1. Expression of Pfs47.** **A.** Transcription of *pfs47* during blood stage development of gametocytes. Northern blot analysis of RNA isolated from 3D7 parasite cultures containing asexual blood stages, gametocyte stages II-V and gametes. Blot was subsequently hybridized with a *pfs47*, *pfs48/45*, and *pfs230* specific probe. The asexual specific *msp-1* (Freeman and Holder, 1983) probe is used as a control. The ethidium bromide (EtBr) stained agarose gel is shown as a loading control. *pfs47* is specifically transcribed in the sexual stages of *P. falciparum* like *pfs48/45* and *pfs230*. **B.** Western blot of WT and Pfs47 deficient (Pfs47<sup>-</sup>) gametocytes. Blots were probed with the Pfs47 specific mAbs Pfs47.1, Pfs47.2, Pfs47.3 and as a positive control P48/45 mAb (85RF 45.1). Blots were also incubated with the secondary Ab as a negative control. **(Panel C, D and E)** show fixed gametocytes stained with a mix of Pfs47 mAbs (green) and  $\alpha$ -tubulin-II Abs (red) and visualized with secondary fluorescent labeled antibody. Microscope filters: FITC (C), TRITC (D), Bright field (E). Pfs47 is expressed only in female gametocytes. **(Panel F and G)** Suspension immunofluorescence assay of WT gametes with Pfs47.1. A live gamete in suspension stained with primary Pfs47.1 and visualized by secondary anti-rat Alexa488 (green) is shown in F. The bright field image is shown in G. Pfs47 is expressed on the surface of gametes.

To determine surface expression of Pfs47 we performed cell surface specific IFAs (SIFA) on live gametes in suspension. WT gametocytes were activated and incubated with the three Pfs47 mAbs. All antibodies reacted with the surface of extracellular WT macrogametes (shown for Pfs47.1 in fig 1 F,G) while no staining was observed in control SIFAs in which gametes were incubated with only the secondary antibody (not shown). The combined data show that Pfs47 is expressed specifically on the surface of macrogametes.

## Mutant parasites lacking Pfs47 produce normal numbers of oocysts

To analyze the putative function of Pfs47 in female gamete fertility we generated mutant parasite lines in which *pfs47* was disrupted (*pfs47<sup>-</sup>*) through standard genetic modification methodologies. The *pfs47* gene was disrupted by transformation of WT *P. falciparum* parasites using plasmid pl47 that integrates into the genome through single cross-over insertion by homologous recombination. Integration results in two non-functional copies of *pfs47* (Fig 2A). Two independent parental populations were cloned and from each population one clone (*pfs47<sup>-</sup>.IV* and *pfs47<sup>-</sup>.V*) was selected for further analysis. Correct integration of pl47 in the *pfs47* locus was confirmed by PCR analysis and Southern blot analysis of genomic DNA. The integration specific PCR amplifies a 1 kb fragment using primer BVS01, flanking the target region used for integration and primer L430 located in the plasmid backbone (Fig 2A). Both clones showed the correct integration fragment of 1 kb which was absent in WT parasites. A non-specific 0.8 kb fragment was amplified in pl47 (Fig 2C). Correct integration was also shown by Southern blot analysis of genomic DNA isolated from WT and *pfs47<sup>-</sup>* parasites. Genomic WT DNA digested with *NsiI* should release a 9.0 kb fragment, whereas two fragments of 7.0 and 10.0 kb will be released in the *pfs47<sup>-</sup>* lines following integration. The blot was hybridized with a *pfs47* 5'UTR probe which only detects the 7.0 kb integration fragment and the 9.0 kb WT fragment in the *pfs47<sup>-</sup>* and WT lines respectively. In a parental population of transfected parasites a faint WT specific fragment was still detected, while only the integration specific fragment was present in the cloned *pfs47<sup>-</sup>* parasites (Fig 2B).

Next, we analyzed the phenotype of the *pfs47<sup>-</sup>* clones. *In vitro* gametocyte production and development, sex ratio and gamete formation of *pfs47<sup>-</sup>* parasites was comparable to WT parasites (data not shown). We also checked the expression of the paralog Pfs48/45

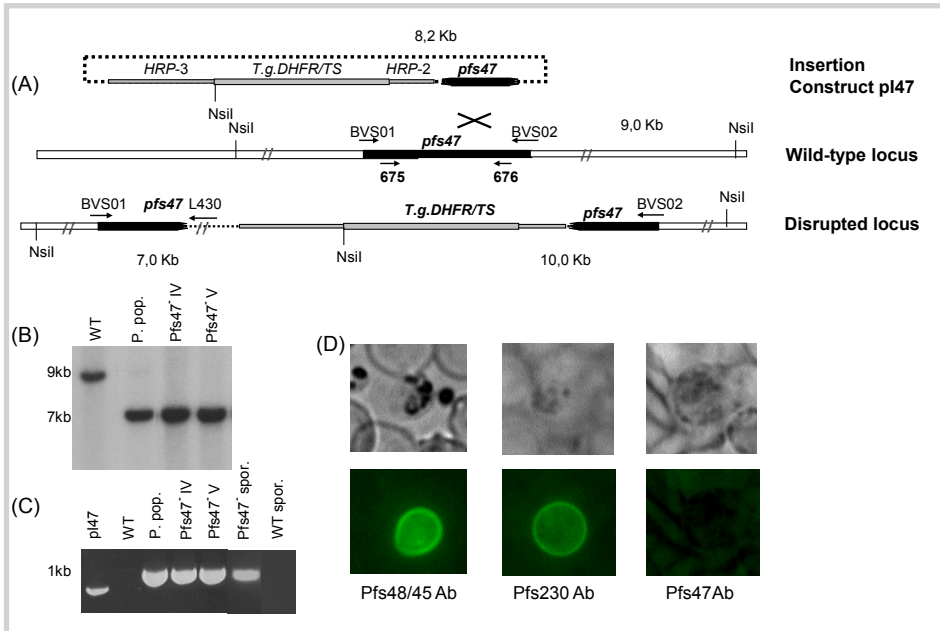


Figure 2. (A) Illustration of the p147 construct used for the targeted gene disruption of *pfs47*. open box, genomic DNA; black box, *pfs47* ORF; grey box, *T. gondii dhfr/ts* selection marker cassette; dotted line plasmid sequence. Primer pairs used for PCR analysis and *Nsil* restriction sites used for digestion of genomic DNA for southern blot analysis are indicated. (B) Southern blot analysis of *Nsil* digested genomic DNA of WT and *pfs47* lines demonstrates correct disruption of *pfs47*. Blot was probed with a *pfs47* 5'UTR specific probe detecting a 9.0 kb band in the WT parasite population and a 7.0 kb band in the *pfs47* lines (parental population, *pfs47*<sup>IV</sup> and *pfs47*<sup>V</sup>) as a result from correct integration of p147 in the genome. (C) PCR analysis of genomic DNA of WT and *pfs47* lines demonstrates correct integration of p147 in the genomic copy of *pfs47*. Genomic DNA from WT and *pfs47*<sup>-</sup> asexual parasites or sporozoites was used as template for the integration specific PCR reactions using primer pairs BVS01 and L430 amplifying a 1 kb fragment. p147 plasmid DNA was used as a control. (D) SIFA of *pfs47*<sup>-</sup> gametes. Images of live *pfs47*<sup>-</sup> gametes in suspension stained with Pfs48/45 (85RF48/45.5), Pfs230 (63F2A2) and Pfs47 (Pfs47.1), specific mAbs (green, lower panels). Upper panels show the corresponding bright field images.

and Pfs230 in *pfs47*<sup>-</sup> parasites by SIFA analysis. Normal surface expression of these proteins in the *pfs47*<sup>-</sup> parasites was observed (Fig 2D). To determine the role of Pfs47 in fertilization of gametes and zygote development we determined the oocyst production of *pfs47*<sup>-</sup> gametocytes in mosquitoes using membrane-feeding assays. *pfs47*<sup>-</sup> gametocytes were as infective to mosquitoes as WT parasites, as shown both by the number of oocysts produced ( $p > 0.6$ ) and the number of infected mosquitoes (Table 1). To further confirm this finding we collected sporozoites from the infected mosquitoes and analyzed the genomic DNA by PCR. Fig 2C shows that sporozoites isolated from the mosquitoes

fed with *pfs47*<sup>-</sup> parasites contained the expected *pfs47*<sup>-</sup> genotype. Disruption of *pfs47* has no effect on fertilization or zygote development and the transmission capacity of *P. falciparum* parasites.

**Table 1.** Oocyst development and transmission capacity of *pfs47*<sup>-</sup> parasites is not affected.

Parasite	Oocyst production <sup>a</sup> (IQR)	Infected/dissected mosquitoes	% Infected mosquitoes
NF54	18 (6-28)	38/40	95
<i>pfs47</i> <sup>IV</sup>	13 (8-26)	19/20	95
<i>pfs47</i> <sup>V</sup>	18 (4-52)	20/20	100

<sup>a</sup>Oocyst production is the median of the oocysts counted at day 7 after feeding of the mosquitoes. IQR is the inter quartile range. The non-parametric Wilcoxin rank-sum test indicates that there is no significant difference compared to WT ( $p=0.86$  for *pfs47*<sup>IV</sup> and  $p=0.62$  for *pfs47*<sup>V</sup>).

## Antibodies against Pfs47 do not inhibit transmission of parasites.

Pfs47 mAbs (Pfs47.1-3) were tested in membrane feeding assays to determine their possible transmission reducing capacity. WT gametocytes were mixed with different concentrations of each antibody and fed to mosquitoes by membrane feeding. Transmission capacity of the WT parasites was not affected by any of the three Pfs47-specific mAbs, as shown by the lack of significant reduction in oocyst production ( $p>0.2$ ) in mosquitoes fed with and without antibodies (Table 2).

## Discussion

In the present study we show that *pfs47* transcription initiates in stage II-III gametocytes and is increased from stage IV gametocytes onwards. These results corroborate the transcription pattern identified by ontology-based pattern identification of transcriptome

data where *pfs47* transcription was found at a low level in early stage II gametocytes and at a higher level in stage IV and V gametocytes [39]. Although *pfs47* and *pfs48/45* form a paralogous gene pair, located only 1.5 kb apart in the genome [6], we found that their transcription patterns differ remarkably. Transcription of *pfs48/45* peaks in the early gametocyte stages and the protein is expressed in both male and female gametocytes whereas protein expression of *pfs47* is sex specific.

Proteome analysis of the rodent parasite *P. berghei* [40] demonstrates the presence of Pb47 in mature gametocytes/gametes and analysis of the proteomes of separated male and female gametocytes shows female specific expression [41]. In our study, using several mAbs against Pfs47 in *P. falciparum* we demonstrate the presence of Pfs47 specifically in the more mature female gametocytes and its absence in the male gametocyte. In addition, surface immuno-fluorescence assays demonstrate that Pfs47 is localized on the surface of macrogametes. Pfs47 therefore, is the first protein of the sexual stages for which localization on the surface of only the macrogamete is described. Until recently most surface proteins of macrogametes have been identified by surface iodination [13]. In those studies Pfs47 may have been overlooked because of the similarity in molecular weight to Pfs48/45. In *P. gallinaceum* however, an immunogenic protein of 48 kDa (PgZ-14) was described that is present on macrogametes but not on males [42] and it is possible that this protein is the ortholog of P47 from *P. falciparum*.

We found no evidence that Pfs47 has a functional role in fertility and transmission. First of all, in membrane feeding assays Pfs47 mAbs are not able to block transmission of the parasite to the mosquito. The capacity of mAbs to block transmission can be related to epitope recognition as is the case for Pfs48/45 [14,15,16]. We can therefore not exclude that mAbs that recognize other possible epitopes of Pfs47 could block transmission. Also differences in isotype and the capacity to fix complement can be critical as is known for Pfs230 antibodies [17,18,19]. However, the Pfs47 mAbs are rat antibodies of the IgG1 and IgG2a isotype, which may be less suitable for activation of human complement compared to the IgG2b isotype [43]. Second, disruption of *pfs47* also has no effect on fertilization and subsequent oocyst development, which is in contrast to the clear effect that disruption of its paralog, *p48/45* has on male fertility [6]. It is known that mutant parasites, generated by single-crossover integration through homologous recombination, can revert to wild type parasites at low frequency [44]. WT parasites could not be detected by Southern blot analysis of *Pfs47*<sup>-</sup> clones. However, PCR analysis of asexual genomic DNA and IFA of *pfs47*<sup>-</sup> gametocytes indicated a low contamination

**Table 2.** Pfs47 mAbs do not inhibit the transmission capacity of wild type gametocytes.

mAb	mAb concentration µg/ml	Oocyst production <sup>a</sup> (IQR)	% Infected mosquitoes
Control	0	54 (47-81)	100
	0	34 (29-52)	100
	0	69 (58-74)	90
Pfs47.1	100	64 (47-70)	100
	50	58 (41-64)	100
	25	48 (45-53)	100
Pfs47.2	100	47 (41-53)	100
	50	68 (48-76)	100
	25	66 (47-93)	100
Pfs47.3	100	78 (60-91)	100
	50	70 (62-81)	100
	25	82 (59-105)	100

The transmission blocking capacity of Pfs47 mAbs was tested in membrane feeding assays. WT gametocytes were incubated with different concentrations of Pfs47.1, Pfs47.2 and Pfs47.3 or without mAbs (control). <sup>a</sup>Oocyst production is the median of the oocysts counted in 10 mosquitoes at day 7 after feeding. IQR is the inter quartile range. The non-parametric Wilcoxin rank-sum test indicates that there is no significant reduction for any of the groups compared to the control groups ( $p > 0.2$ ).

with a frequency of less than  $10^{-3}$  with wild type parasites (results not shown). This corresponds to one gametocyte per  $\mu\text{l}$  in the membrane-feeding assay. Transmission experiments with gametocyte dilutions performed in our laboratory indicate that it is unlikely that infection of mosquitoes results from dilutions of one gametocyte per  $\mu\text{l}$  (Schneider and Bousema, unpublished data). In addition, PCR analysis of sporozoites isolated from mosquitoes that were fed with *pfs47*<sup>-</sup> gametocytes clearly showed that normal fertilization and zygote development rather than reversion to WT played a role in *pfs47*<sup>-</sup> parasites. The observed high numbers of oocysts formed with *pfs47*<sup>-</sup> parasites as well as the observed *pfs47*<sup>-</sup> genotype of the sporozoites proves that fertilization is not affected and indicates redundancy in protein function for Pfs47.

Redundancy in function of *Plasmodium* proteins has been shown to occur frequently in processes of recognition and invasion of erythrocytes by the merozoite stage [45] and has also been described for proteins on the surface of zygotes/ookinetes, such as the paralog pair P25 and P28 [23]. P48/45 has a critical role in fertility of the male gamete,

however mutant parasites lacking P48/45 are not completely blocked in their ability to fertilize *in vivo* both in *P. berghei* and in *P. falciparum* demonstrating partial redundancy [6]. From the studies on the paralog pairs P48/45&P47 and P230&P230p it is unlikely that one member of these paralog pairs is the protein that compensates for the loss of function of the other member. Pfs47 cannot compensate for the essential function of Pfs48/45 in male gametes due to its female specific expression. Pfs48/45 on the other hand, can potentially compensate for any function of Pfs47 in female fertility as both proteins are expressed on the surface of macrogametes. As for Pfs230 and Pf230p, the distinct expression patterns and subcellular locations of these proteins suggest that they are not mutually redundant. [7]. The studies on the 6-cys family highlight that redundancy of protein function is not only a feature of proteins involved in processes that are under immune pressure of the vertebrate host such as erythrocyte invasion [45] but also of proteins involved in fertilization [6] and ookinete function [23].

In conclusion our studies show redundancy in protein function of Pfs47 on the surface of the female gamete. Together with the lack of transmission blocking capacity of Pfs47 mAbs these results do not support candidacy of Pfs47 as a transmission-blocking vaccine target.

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# Chapter 4

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## Male and female specific GFP expression in *Plasmodium falciparum* parasites

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## Abstract

*Plasmodium falciparum*, cause of the lethal form of malaria is an obligate sexually reproducing parasite. Fertilization takes place directly after the sexual stages of the parasite, the male and female gametocytes are taken up by the *Anopheles* mosquito as it takes a blood meal from an infected individual. This transition of the sexual stages from humans to the mosquito also represents a critical phase in the parasite life cycle qualifying it as a possible target to interfere in the life cycle. In order to investigate genome wide expression of genes which may be specifically involved in processes associated with sexual reproduction, it is essential to be able to differentiate between male and female parasites as well as separation of these forms for further analysis. We studied the sex specific gene activation of several *P. falciparum* genes and generated two parasite reporter lines which express GFP in a sex specific manner. Male or female specific GFP expression was controlled by the promoter sequences of dyneine and P47 respectively and male and female specific expression of GFP was confirmed by immuno fluorescence analysis. We also found that male (DynGFP) and female (47GFP) gametocytes are amenable to flow cytometry and produced highly purified male and female gametocyte populations. These populations can be used for detailed studies into the sexual reproduction of the parasite including proteomic and microarray experiments to determine genome wide male and female specific gene expression but also investigations into factors influencing sex ratio both in laboratory and field conditions. These sex specific reporter parasites will allow further studies into the biology of sexual reproduction in malaria parasites and identify targets to interrupt the transmission to mosquitoes.

## Introduction

The *Plasmodium* parasite, cause of malaria is an obligate sexually reproducing parasite. The sexual stages of the parasite, the male and female gametocytes are generated from asexual parasites in the blood of the human host and are taken up by the Anopheles mosquito as it takes a blood meal from an infected individual. Fertilization of female gametocytes takes place directly after the gametocytes are ingested by the mosquito. Following reproductive success, the parasites form sporozoites which can infect humans as the mosquito takes a next blood meal.

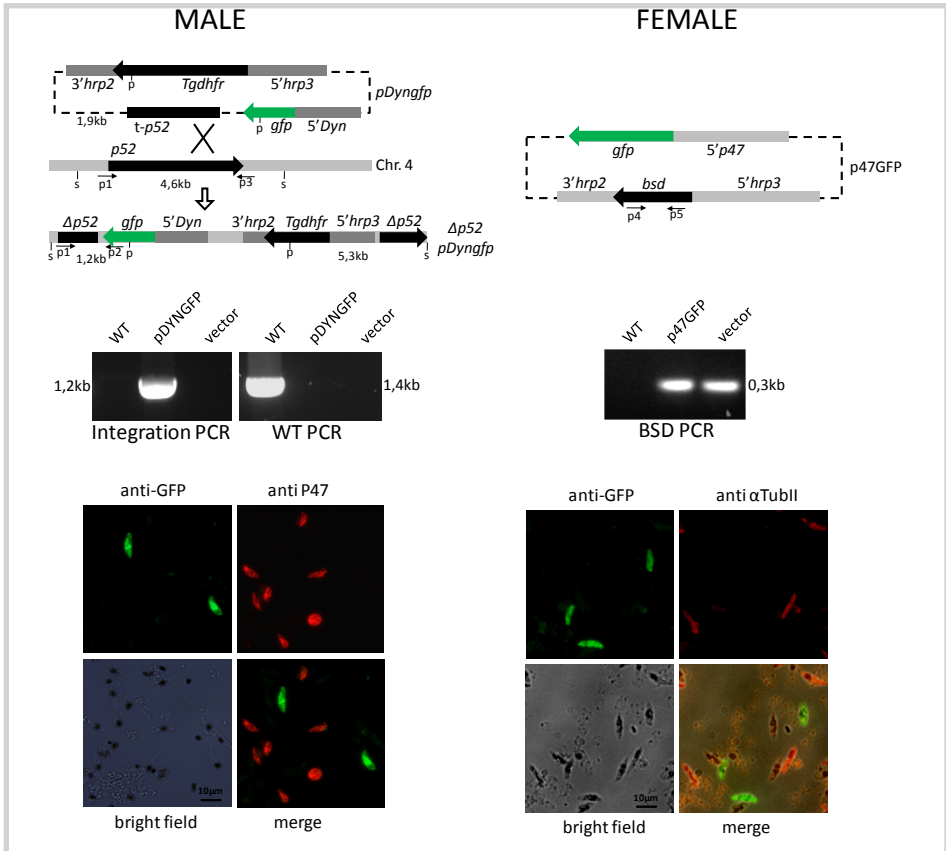
The sexual stage of the parasite is one of the most complex stages of the parasite life cycle. In *P. falciparum* commitment to sexual differentiation occurs prior to schizont maturation resulting in either male or female gametocytes [1]. Mature gametocytes activate and emerge from the red blood cell once inside the mosquito. Stage V male gametocytes undergo three rapid rounds of DNA replication to form eight microgametes which are released from the activated gametocyte during a process called exflagellation [2,3]. The stage V female gametocyte emerges from the red blood cell as a rounded gamete which is penetrated by the male gamete leading to zygote formation. The zygote and ookinete are the sole diploid and tetraploid stages in the *Plasmodium* life cycle and meiosis occurs within a few hours after zygote formation [4,5]. The remaining life cycle stages consist of haploid forms and complete the sporogonic development to infectious sporozoites.

The sexual stage is a critical phase in the parasite life cycle as in numbers of parasites this stage can be considered a bottle neck and a possible target to interfere in the life cycle (e.g. transmission blocking vaccines [6,7]). Conversely, it has also been shown that the sexual stages are very efficient in localization, recognition and fertilization as even low numbers of gametocytes in the human host are able to cause infection in mosquitoes [8]. Formation of filamentous cell-to-cell connections has recently been proposed as a possible mechanism by which male and female gametes facilitate intimate contact [9]. These sequences of events demand an orchestrated expression of sex specific genes and or genes that have a sex specific function. In preparation of the parasite transition to the mosquito stages mRNA can be translationally repressed specifically in female gametocytes by an RNA helicase, DOZI (e.g. P25) exemplifying the tight regulation of expression [10].

Several male and female specific genes and fertility factors have been described. The female specific protein Pfg377 plays a fundamental role in the formation of osmiophilic bodies and female gametocytes lacking Pfg377 are significantly less efficient in emergence from the erythrocytes upon induction of gametogenesis [11,12]. The paralog of P230 (P230p) is also a known male specific protein but its function has not been analyzed [13,14].  $\alpha$ -Tubulin II is known as a male specific protein but recent publications show low abundant expression in mitotic and post-mitotic structures in asexual parasites [15,16] and in early stage female gametocytes [17]. Predominance of  $\alpha$ -tubulin II in mature stage male gametocytes compared to female gametocytes is still beyond doubt [18]. A different male specific protein functioning in the final step of fertilization is GCS1 (Generative cell specific 1). In *P.berghei* GCS1 deletion parasites are unable to fertilize and completely abolish formation of the mosquito stages [19,20].

The 6-cysteine protein P48/45 has a specific role in male fertility but the protein is expressed in both male and female gametocytes and gametes. Its paralog P47 on the other hand is essential for female fertility in *P.berghei* and is expressed specifically in female gametocytes in both *P.berghei* and *P.falciparum* [21,22,23,24]. Recently the proteome of young and mature gametocyte has been analyzed and many of the identified proteins are potentially involved in the process of sexual reproduction [25].

In order to investigate genome wide expression of genes which may be specifically involved in processes associated with sexual reproduction it is essential to be able to differentiate between male and female parasites as well as separation of these forms for further analysis. We studied the sex specific gene activation of several *P.falciparum* genes and generated parasite reporter lines which express GFP in a sex specific manner. Reporter gene expression is a commonly used molecular approach to determine the onset of expression of a given gene in *Plasmodium* (e.g. [13]). Generally a DNA sequence of one thousand base pairs directly upstream of the open reading frame (ORF) contains the regulatory region that induces stage specific expression of the reporter protein. Here this approach is used to generate sex specific GFP expression. We use the regulatory regions of a dyneine and P47 respectively for the generation of a male and a female specific reporter line in *P.falciparum*. Gametocytes produced from these lines are amenable to sorting by flow cytometry, thereby producing highly purified male and female gametocyte populations.



**Figure 1. Generation and validation of *P.falciparum* sex specific GFP reporter parasites (A)** Schematic representation of the single cross over integration of pDyngGFP into the *p52* genomic locus of wild-type (WT) parasites. The construct pDyngGFP shows the targeting fragment of the *p52* gene (*t-p52*, in black); *Tgdhfr*, *T. gondii dhfr/ts* selection cassette; *hrp*, histidine rich protein; *5'Dyn*, 5'flanking region of Dyneine PF10\_0224; *gfp* (in green), green fluorescent protein;  $\Delta p52$ , remaining non functional fragments of *p52* following integration of pDyngGFP; p1, p2 and p1, p3: PCR primer pairs specific for pDyngGFP integration and WT parasites respectively. **(B)** Schematic representation of the episomal construct p47GFP. *bsd*, *blastidins-deaminase* gene; *hrp*, histidine rich protein; *5'p47*, 5'flanking region of *p47* (PF13\_0248); *gfp* (in green), green fluorescent protein; p4, p5: PCR primer pair specific for BSD. **(C)** PCR analysis of genomic DNA from WT and DynGFP asexual parasites confirming the integration of pDyngGFP in the *p52* gene. The vector pDyngGFP is used as a control. See A for location of the primers p1 and p2 and the expected product sizes are 1,2kb for integration PCR and 1,4kb for the WT PCR. **(D)** PCR analysis of genomic DNA from WT and 47GFP asexual parasites confirming episomal maintenance of p47GFP. The vector p47GFP is used as a control. See B for location of the primers p4 and p5 and the expected product size is 0,3kb for the BSD PCR. **(E and F)** Analysis of sex specific GFP expression in DynGFP(E) and 47GFP(F) gametocytes. Gametocytes were stained with GFP antibodies (green, top left panels E and F) and DynGFP gametocytes were counterstained by P47 antibodies (red, top right panel E) and 47GFP gametocytes were counterstained by  $\alpha$ -tubulin II antibodies (red, top right panel F). The bright field images are shown on the bottom left and these panels include a 10 $\mu$ m size bar. The merged panels are shown in the bottom right panels.



# Materials and Methods

## Parasite culture

*P. falciparum* parasites NF45 (wildtype (WT)), pCMB.BSD.5'  $\alpha$ -Tubulin-II.DS-red transfected parasite lines and p47GFP and pDynGFP transfected parasites were cultured using a semi automated culture system as described [26,27]. Fresh human red blood cells and serum were obtained from Dutch National blood bank (Sanquin Nijmegen, NL; permission granted from donors for the use of blood products for malaria research). Cloning of transgenic parasites was performed by the method of limiting dilution in 96 well plates [28]. Parasites of the positive wells were transferred to the semi-automated culture system and cultured for further phenotype and genotype analyses.

## Gametocyte culture and purification

Gametocyte cultures were performed in the semi-automated shaker system and were started at 5% hematocrite and 0,5% parasitemia. Gametocyte cultures were treated with N-acetylglucosamine on day 7 to eliminate asexual parasites. The production gametocytes was established in cultures at day 13-15 after start of the gametocyte cultures by counting the number of mature gametocytes (stages IV/V) in Giemsa stained thin blood films [29]. Male gamete formation was determined by activation of exflagellation. Samples of 10 $\mu$ l were taken from the cultures, infected red blood cells pelleted by centrifugation and resuspended in 10 $\mu$ l of Fetal Calf Serum (pH 8.0) at room temperature for 10 minutes and then mounted on a cover slip. Exflagellation centers were detected under the light-microscope in a single cell layer of red blood cells at a 400x magnification [30]. Gametocytes were concentrated in 37 $^{\circ}$ C culture medium and separated from erythrocytes and culture debris using a 63% and a 33% percoll density gradient and subsequently taken up in a 4 $^{\circ}$ C suspended animation (SA) buffer (10 mM Tris, pH 7.3, 170 mM NaCl, 10 mM glucose). Gametocytes were further purified by magnetic separation from uninfected red blood cells using MACS columns [31,32].

## Generation of male and female specific fluorescent parasite lines.

Fluorescent parasites were generated by electroporation of NF54 asexual parasites with the non-integrating plasmids pCMB.BSD.5'  $\alpha$ -Tubulin-II.DS-red [13] and p47GFP or the integration plasmid pDynGFP as described [33], using a BTX electroporation system. Selection of transformed parasites was performed using 2,6 – 15  $\mu$ g/ml of Blasticidin-S-HCL (Invitrogen) as was previously described for the non integrating plasmids [34] or using 2 $\mu$ M pyrimethamine selection for the P52 integrating plasmid [35].

The male specific reporter construct was generated by inserting a 1238bp fragment of the 5'FR of the Dyneine PF10\_0224 obtained by PCR amplification [36] using primers BVS21 (5'gggtctagatattgaaaaacataatattctaagagg) containing an *Xba*I site and BVS22 (5'ggccagagccatttttttaataagagg) containing a *Sac*II site into pCMB.BSD.5'FR.GFP using the *Xba*I and

*SacII* sites respectively. The resulting construct was digested with the restriction enzymes *SpeI* and *XbaI* generating the fragment 5'dyneine PF10\_0224.GFP which was placed in the *NotI* (made blunt using T4 polymerase) and *SacII* sites of the P52 targeting construct MI44 [35] after subcloning in pBluescript KS(+) using the *SmaI* and *SacII* sites thereby generating the construct pDynGFP.

The female specific reporter construct pCMB.BSD.5'P47.GFP (p47GFP) was generated by inserting a 1690bp fragment of the 5'FR of Pfs47(PF13\_0248), obtained by PCR amplification [36] using primers BVS09 (5'-gctgatcattctccatctagattaaaaataaacaataataataataaac) and BVS10 (5'-ggggggggcgcctaaagtctttaaagaagcggc) into the *XbaI* and *SacII* restriction sites of the previously described reporter plasmid pCMB.BSD.5'FR.GFP [13]. All DNA fragments were amplified by PCR amplification (Phusion, Finnzymes) from genomic *P. falciparum* DNA (NF54 strain) and all PCR fragments were sequenced after TOPO TA (Invitrogen) sub-cloning.

## Genetic characterization

Genotype analysis of transformed 47GFP or DynGFP asexual parasites was performed by diagnostic PCR [36]. Genomic DNA of blood stages of WT or transformed parasites was isolated as described [37]. The p47GFP plasmid was detected by a diagnostic PCR specific for BSD using the primer pair (p4,p5) BVS166 (5' gtctcaagaagaatccaccctc) and BVS168 (5' atcgatcgagaagcacctg).

Correct integration of construct pDynGFP in the *pf52* locus was analyzed using primer pair (p1,p2) BVS67 (5'- gtatgtattggtgcttattcatatgtgtacc) and BVS68 (5'- caacgaaaagagatcacatgatcc) and for the presence of WT using primer pair (p1,p3) BVS67 and 1676 (5'-ggactagtttgcagaatgtcttcttcg), both annealing outside the target region used for integration.

## Immuno-fluorescence assay of fixed or suspended gametocytes

WT,  $\alpha$ -Tubulin-II.DS-red, DynGFP or 47GFP gametocytes were either air-dried on glass slides coated with poly-L-Lysine or suspended in PBS. The slides or cells were incubated in PBS containing primary mAbs for 1 hour at room temperature and subsequently washed with PBS and incubated with anti-rat-ALEXA488 or anti-mouse-ALEXA488 secondary antibodies (Molecular probes) or suspended gametocytes were mounted and staining or GFP/DS-Red expression was visualized and photographed on a Leica fluorescence microscope with digital camera.

## Flow cytometry of Gametocytes

Gametocytes were sorted using the Coulter Epics Elite flow cytometer (Beckman Coulter) keeping cells at 4°C in SA buffer (10 mM Tris, pH 7.3, 170 mM NaCl, 10 mM glucose). An aliquot of sorted cells was reanalyzed to determine purity of sorting.

## Results and discussion

### Generation of female specific GFP reporter parasites

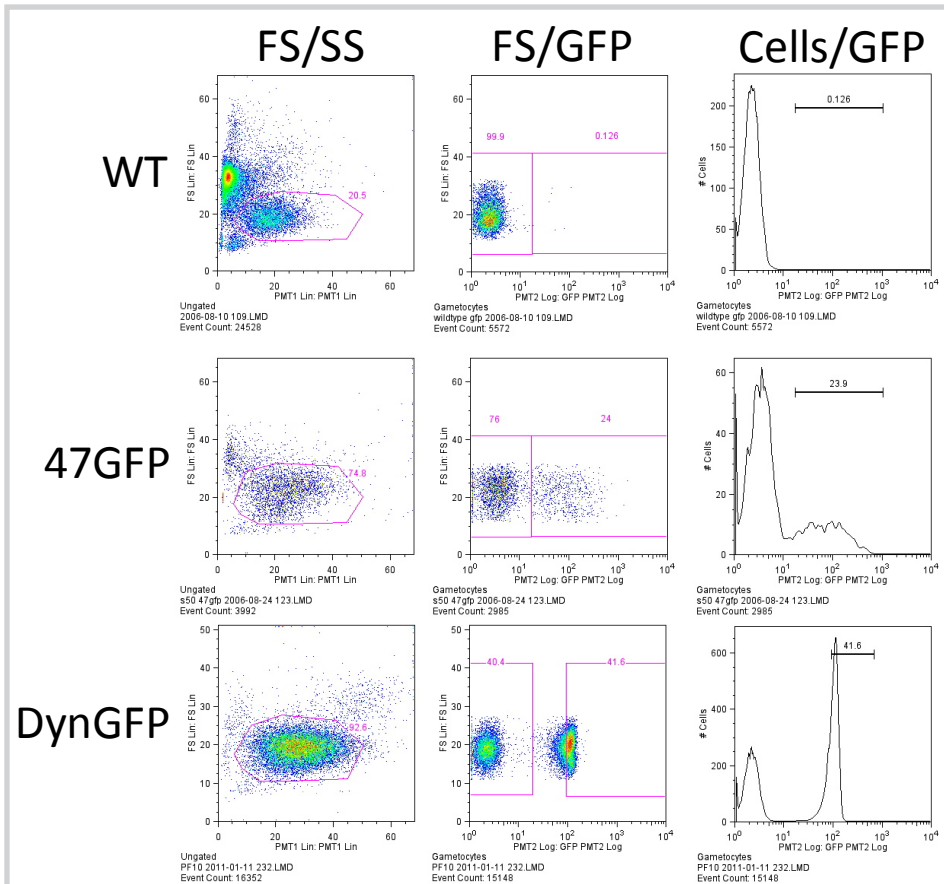
To evaluate female specific gene expression in *P. falciparum* we adapted the previously described reporter plasmid pCMB.BSD.5'FR.GFP [13] containing the Blasticidin deaminase (BSD) resistance marker [34] and GFP controlled by a 5' flanking region (5'FR) of a gene of interest. Previously the female specific surface expression of P47 member of the 6-cysteine protein family has been described [23]. To determine the regulatory DNA sequence required for female specific expression of P47 we cloned a 1690 base pair P47 5'FR into the reporter plasmid generating pCMB.BSD.5'P47.GFP (p47GFP) and transfected NF54 parasites (Fig.1b). The transfected parasites were selected using the standard concentration of 2,6 µg/ml blasticidin (BSD) and maintenance of plasmid was analyzed by BSD specific PCR (Fig.1d). After obtaining a stable transfected parasite population, fluorescent gametocytes were readily observed in mature gametocyte cultures but were not present in the cultures of asexual parasites. The male to female ratio is generally female biased in *P. falciparum* and is highly dependent on the parasite isolate or environmental factors [38]. In 47GFP gametocyte cultures we found that only 6,6% of all gametocytes were fluorescent as determined by fluorescence activated cell sorting (FACS) likely due to the absence of plasmids in the majority of the gametocyte population (data not shown). Plasmid copy numbers per cell have been described to depend on the BSD concentrations used [34] and we therefore gradually increased the drug concentration to 15 µg/ml BSD in both asexual and gametocyte cultures. We subsequently reached a maximum of 24% fluorescent gametocytes (see Fig. 2). Expression of GFP commenced in stage III-IV gametocytes and GFP expression increased until activated female gametes (data not shown). This expression pattern is in agreement with our previous analyses showing a gradual increase in expression of P47 from stage II-III gametocytes to gametes by northern blot analysis [23]. It is noteworthy that the percentage of positive cells gradually decreased during several weeks of culturing. This decrease may be associated with drug resistance which has been shown to occur rapidly using the BSD selection system [39]. Taken together we have generated a parasite line that controlled by the P47 5'FR expresses GFP in a subpopulation of parasites with expression restricted to the gametocyte stages.

## Selection of a male specific 5'FR

To date only several male specific genes have been described. GCS1 and P230p are however not highly expressed proteins [24] and the latter is not active in stage V gametocytes [14] restricting their use as a male specific 5'FR. Originally  $\alpha$ -tubulin II expression was described as a male specific protein [18] but recently expression of  $\alpha$ -tubulin II was also found in asexual parasites and young gametocytes [15,17]. We confirmed that some DS-red positive gametocytes were stained by female specific P47 antibodies in the pCMB.BSD.5'  $\alpha$ -Tubulin-II.DS-red [13] transfected parasites indicating that the 5'FR of  $\alpha$ -tubulin-II does not lead to male specific expression (data not shown). It is therefore puzzling that  $\alpha$ -tubulin-II antibodies do react predominantly with mature male gametocytes. Fennell *et.al* suggested that the  $\alpha$ -tubulin-II protein is post translationally modified by polyglutamylolation and one of the suggested modifying enzymes is differentially expressed in male and female gametocytes possibly influencing the sex specific binding of  $\alpha$ -tubulin-II antibodies [16]. It is clear from the previous section that highly expressed male specific genes need to be identified. The *P.berghei* separated male and female proteome has previously been described and this data set was analyzed for candidate male specific genes [24]. Based on the high expression in male gametocyte populations and absence in the female populations we used a dyneine located on chromosome 10 of *P. falciparum* (Pf10\_0224).

## Generation of male specific GFP reporter parasites

To determine the regulatory DNA sequence required for male specific expression of the selected dyneine we cloned a 1240 base pair 5'FR of Pf10\_0224 controlling GFP into the P52 targeting construct mI44 thereby generating (pDynGFP)(Fig.1a). Stable integration was chosen to avoid the BSD resistance encountered in the female lines and prevent use of high drug concentrations necessary for obtaining a high plasmid copy number (see above). P52 was used as a neutral targeting locus for stable integration because no phenotype was observed in the gametocyte stages of P52 disrupted parasites [35].



**Figure 2.** Flow cytometry of percoll purified WT, percoll and MACS purified 47GFP and DynGFP gametocytes (from top to bottom). The panels on the left represent dot plots of forward scatter (FS) and side scatter (SS). The gating of the gametocyte population (gct) is indicated and uninfected erythrocytes population (e) is shown. The panels in the middle column represent the FS and the GFP fluorescence intensity measured in PMT2 channel. Gating of GFP positive or negative gametocytes was based on the WT panel. The panels on the right indicate peaks of the number of cells and their fluorescence intensity. In P47GFP gametocytes the fluorescence intensity gradually increases and ~24% of the gametocyte population was sorted. The DynGFP gametocytes show a clear isolated population of which ~42% was sorted. The gating strategy applied was more stringent for the male population to prevent contamination due to the expected high female:male ratio. FACS experiments are representative of a series of experiments performed.

NF54 asexual parasites were transfected with pDynGFP and following selection and cloning of parasites by limiting dilution, integration was confirmed by PCR analysis (Fig. 1c). Next gametocyte cultures were initiated and by LM we found that ~43% of the gametocyte population brightly expressed GFP which is in agreement with the expected female biased ratio in *Plasmodium* gametocytes [38].

## Confirmation of sex specific GFP expression

47GFP and DynGFP gametocytes were analyzed by fixed IFA using antibodies specific for  $\alpha$ -Tubulin-II, P47 and antibodies specific for GFP. All p47GFP gametocytes positive for GFP were negative for  $\alpha$ -Tubulin-II (Fig. 1f) suggesting that GFP was expressed specifically in female gametocytes. A small proportion of gametocytes were negative for both  $\alpha$ -Tubulin-II and GFP which are likely female gametocytes lacking a plasmid or the immature gametocytes in which the P47 5'FR is inactive. All DynGFP gametocytes positive for GFP were negative for P47 antibody staining and all GFP negative DynGFP gametocytes were positive for P47 (Fig. 1e). Additionally exflagellation was induced in pDynGFP and p47GFP gametocyte cultures. As expected, in the male reporter line DynGFP all exflagellating centers were all positive for GFP while no GFP positive exflagellation centers were observed in the female reporter line 47GFP using suspension IFA (data not shown).

## Flow cytometry of male and female gametocytes

We determined whether these gametocytes could be separated by flow cytometry. Fig. 2 shows the gating strategy based on percoll purified WT gametocytes. The gametocyte population was gated from the residual red blood cells by forward scatter (FS) and side scatter (SS). Using the PMT2 channel the gate was set for GFP negative cells, a critical step because gametocytes exhibit a low level of auto-fluorescence (Fig.2). Next 47GFP and DynGFP gametocyte cultures were cleaned from red blood cell contamination using percoll followed by MACS isolation to obtain ~90% gametocyte populations for subsequently flow cytometry experiments. P47GFP gametocytes exhibited varying levels of GFP expression up to two fold higher compared to WT gametocytes. GFP positive gametocytes were collected by flow cytometry and were analyzed by fixed IFA and  $\alpha$ -tubulin-II counterstaining to determine the purity. By counting negative GFP and positive  $\alpha$ -tubulin II gametocytes the female population was shown to be ~95% pure.

DynGFP gametocytes showed a distinct population of GFP positive gametocytes. The population was sorted using a more stringent gating strategy for pDynGFP gametocytes compared to the P47GFP population(Fig.2). The sorted pDynGFP gametocytes were subsequently analyzed by IFA by counterstaining with P47 antibodies and in 100 counted male gametocytes we were not able to detect any female gametocytes, indicating > 99%

pure male population. The higher purity of the male population compared to the female population is likely caused by the more stringent gating strategy combined with the more distinct expression pattern in PdynGFP compared to P47GFP.

We have shown that the parasite clones PdynGFP and P47GFP can be used to sort male and female gametocytes respectively. These sorted populations can be used to analyze male and female specific expression by proteomic or micro-array analysis or to validate molecular tests for male / female ratios in field isolates and lastly, morphology of male versus female gametocytes can now be analyzed in more detail.

## Acknowledgements

Thanks to Geert-Jan van Gemert, Henri Witteveen and Thomas Bernsen for culturing assistance, Saliha Eksi and Kim C. Williamson for the kind gift of reporter plasmids pCMB.BSD.5'  $\alpha$ -Tubulin-II.DS-red and pCMB.BSD.5'FR.GFP and Shahid M. Khan for discussions concerning the male reporter parasites.

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# Chapter 5

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## **Expression and GPI anchoring of P230 in $\Delta$ P48/45 *Plasmodium falciparum* sexual stage parasites**

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## Abstract

P230 and P48/45 are two members of the *Plasmodium falciparum* 6-cysteine protein family. Both proteins are located on the surface of sexual stage malaria parasites and both proteins are recognized as targets for a transmission blocking vaccine. The male fertility factor P48/45 is attached to the gamete membrane through GPI-anchoring while P230 which lacks a GPI-anchor is retained on the gamete surface by formation of a protein complex with P48/45. Consequently, in *p48/45* gene disruption studies, P230 was expressed however was not found on the surface of gametes. Here we study the function of P230 on the surface of gametes independently of P48/45 by generating  $\Delta p48/45$  parasites that express a chimeric form of P230 which contains a GPI-anchor. In the sexual stages of the parasites we study the expression pattern of P230GPI and other sexual stage proteins and determine the infectivity of P230GPI gametes to *Anopheles* mosquitoes.

## Introduction

The sexual stage of the malaria parasite is one of the critical phases during the *Plasmodium* life cycle. The sexual stage precursor cells, the gametocytes are formed in human red blood cells inside the human host and are ingested as the *Anopheles* mosquito takes a blood meal. Inside the mosquito midgut the male and female gametocytes emerge from the red blood cell and subsequently male gametes must locate, attach to and fuse with female gametes in order to form a zygote. These critical events are governed by different protein-protein interactions on the surface of male and female gametes and mark the start of successful sporogony.

An important vaccine strategy to interrupt the life cycle of *Plasmodium falciparum* is to block the transmission of sexual stage parasites from man to mosquito. After being ingested by the mosquito the gametes that have emerged from the red blood cell are susceptible to human antibodies that can block the transmission of the parasite. Antibodies that recognize two members of the 6-cysteine protein family, P230 and P48/45 have been identified as such transmission blocking antibodies (reviewed in [1,2]). P48/45 antibodies are directly able to block transmission possibly by interfering in essential protein-protein interactions [3,4,5,6] and P230 antibodies require complement activated lysis of gametes to block transmission [7,8,9]. Targeted disruption of *p48/45* revealed an essential role for the protein in the fertility of male parasites in *P. berghei* and disruption of *p48/45* in *P. falciparum* resulted in strongly reduced transmission [10]. Protein localization studies have shown that P48/45 is expressed on the surface of both male and female *P. falciparum* gametes and indeed P48/45 is predicted to be GPI-anchored [3,11,12]. Together the data suggest an essential function for P48/45 on the surface of male gametes.

In gametocytes, P230 is localized to the parasitophorous vacuole as a 363kDa precursor protein. During gametogenesis the precursor protein is processed by proteases into a 300 kDa and a 307 kDa form which are subsequently found on the surface of the parasites. The 47 kDa and 35 kDa cleavage products are found in the medium following emergence of the gametes and their function remains to be elucidated [13,14,15].

P230 unlike P48/45, does not have a GPI anchor but rather protein complex formation with P48/45 is thought to retain the protein to the gamete surface [15,16,17,18,19]. Consequently, in *p48/45* disruption studies, P230 was not found on the surface of gametes even though expression of the protein and stage specific processing was comparable to WT parasites [10,20]. Here we study the function of P230 on the surface of gametes independently of P48/45 by generating  $\Delta p48/45$  parasites that express a chimeric form of P230 which contains a GPI-anchor to gain more insight into the function of two important transmission blocking target antigens P230 and P48/45. We study the effect of the GPI-anchoring of “P230GPI” by analyzing the expression pattern of P230 as well as the phenotype of P230GPI parasites including transmission of P230GPI gametocytes to *Anopheles* mosquitoes.

## Materials and Methods

### Parasite culture

*P. falciparum* parasites line NF54 (wildtype (WT)),  $\Delta p48/45$  [10] and *p230GPI* parasites were cultured using a semi automated culture system as described [21,22]. Gametocyte development, sex ratio and *in vitro* gamete formation were determined as described [23].

### Generation of *p230GPI* parasites

The predicted GPI anchor sequence of P25 (PF10\_0303) was added to the *p230* gene (PFB0405w) of *P. falciparum* by site specific integration with the insertion plasmid pI230GPI, a derivative of the previously described pDT. Tg23 plasmid [24]. pI230GPI was constructed by cloning an internal 1198 bp *SacII* -*SpeI* fragment of the *p230* 3'terminal coding sequence, obtained by PCR amplification [25] using primers BVS12 (5'-gccgccgaggAAGCTTCTCAAGTATTTTGC) and BVS11 (5'-gccgactagTGTTAAACAAGAAGATGTACCTTCG), followed by a 77bp *XbaI*-*SacII* *p25GPI* fragment obtained from modified VR1020 [26] into the *SpeI*-*XbaI* restriction sites of the pCBM-BSD vector [27]. This vector confers resistance to Blasticidin rather than pyrimethamine to which  $\Delta p48/45$  parasites are insensitive. Transfection of  $\Delta p48/45$  [10] bloodstage parasites was performed as described [28], using a BTX electroporation system. Selection of *p230GPI* parasites was performed as described [24].

Genotype analysis of transfected parasites was performed by Southern blot analysis. Genomic DNA of WT,  $\Delta p48/45$  or pI230GPI transfected parasites was isolated [29] and digested with *NheI* and *BamHI*, size fractionated on a 0.8% agarose gel and transferred to a Hybond-N membrane (Amersham) by gravitational flow [29]. The blot was prehybridized in Church buffer [30] followed by

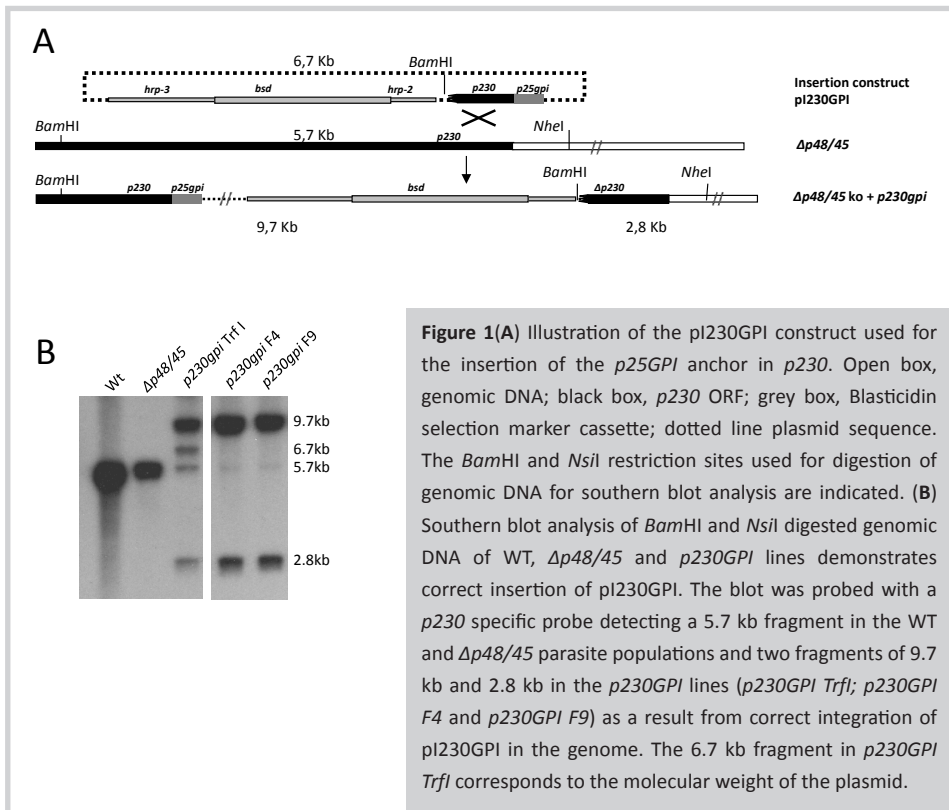
hybridization to a *p230* specific radioactive probe. The probe was an 1198 bp *Sac*II -*Spe*I fragment of pI230GPI which was labeled using the High Prime DNA labeling kit (Roche) and purified with Micro Biospin columns (Biorad).

## Immuno-fluorescence assay of fixed gametocytes or live gametes in suspension

WT,  $\Delta p48/45$  or *p230GPI* gametocytes were either air-dried on glass slides coated with poly-L-Lysine or stimulated to form gametes in a suspension containing FCS for 1 hour [23]. The slides or gametes were incubated in PBS containing primary mAbs for 1 hour at room temperature and subsequently washed with PBS and incubated with anti-rat-ALEXA488 or anti-mouse-ALEXA488 secondary antibodies (Molecular probes). Staining was visualized and photographed on a Leica fluorescence microscope with digital camera.

## Membrane feeding assay

Membrane feeding assays were performed as described [23]. Briefly, 14-day-old cultures from WT,  $\Delta p48/45$  or *p230GPI* gametocytes were fed to female *Anopheles stephensi*. On day 7 the mosquitoes were dissected and examined for midgut oocysts as described [23,31].

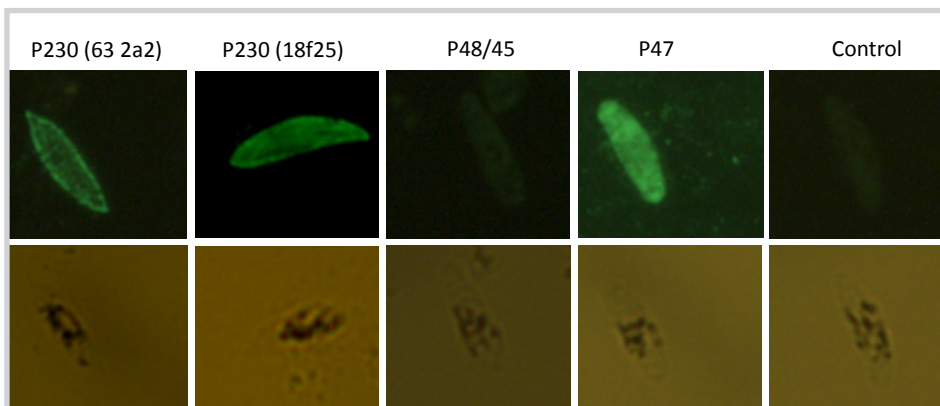


## Results

### GPI-anchoring of *p230* in $\Delta p48/45$ parasites

To more precisely analyze the function of P230 on the surface of gametes independently of P48/45 we generated mutant parasite lines in which the predicted *p25* GPI anchor sequence was fused to the 3' terminus of *p230* through standard genetic modification methodologies, generating the parasite line *p230gpi*. The novel *p230gpi* sequence was integrated into the endogenous *p230* gene by transformation of  $\Delta p48/45$  *P. falciparum* parasites [10] using plasmid pI230GPI that integrates into the genome through single cross-over insertion by homologous recombination. Integration results in a novel functional *p230* C-terminus containing the predicted *p25* GPI anchor sequence and a residual non-functional copy of the endogenous 3' terminus of *p230* (Fig 1A). Two clones (*p230gpi.F4* and *p230gpi.F9*) were selected from the parental populations for further analysis.

Correct integration of pI230GPI into the *p230* locus was confirmed by Southern blot analysis of genomic DNA isolated from WT,  $\Delta p48/45$  or *p230gpi* parasites. Genomic WT DNA digested with *NheI* and *BamHI* released a 5.7 kb fragment containing *p230* which



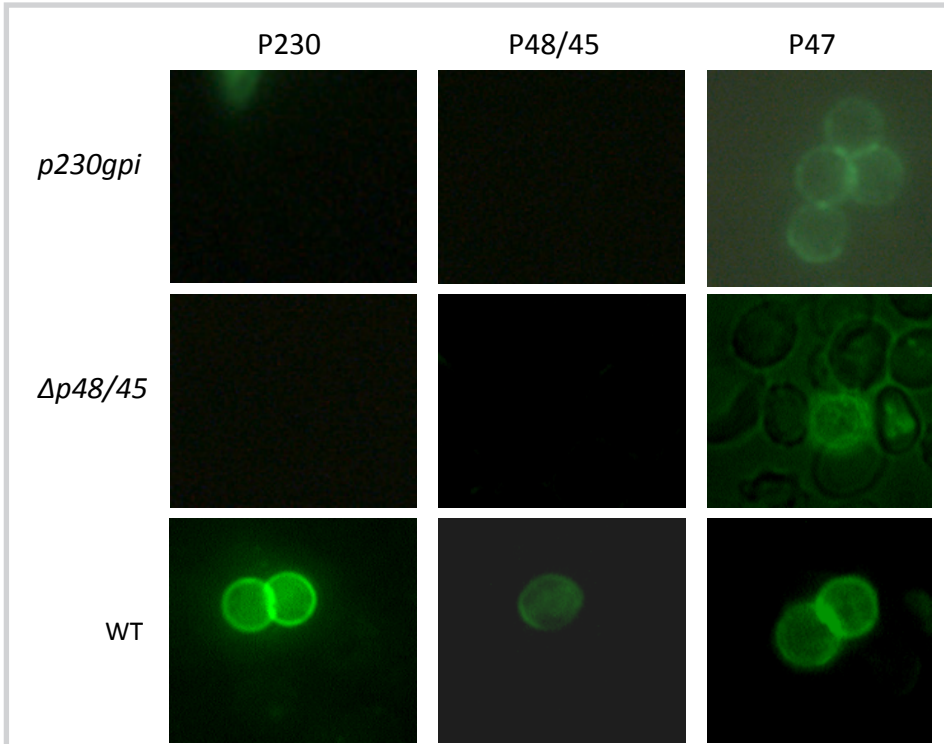
**Figure 2. Gametocyte Expression of P230.** Immunofluorescence assay of fixed P230GPI F4 gametocytes stained with two P230 mAbs (63F2A2, 18F25) and as controls P48/45 mAbs (85RF45.3 and 85RF45.5 ) and P47 mAbs (Pfs47.1) and visualized with secondary fluorescently labeled antibody. Lower panels represent the corresponding bright field images.

was detected in both WT and  $\Delta p48/45$  samples, whereas two fragments of 9.7 kb and 2.8 kb were released in the *p230gpi* lines following integration. The blot was hybridized to a *p230* specific radioactive probe. In the parental population of transfected parasites four fragments were detected which correspond to the WT fragments, both integration specific fragments and a fragment corresponding to the molecular weight of the plasmid pI230GPI respectively. In both *p230gpi* clones the two integration specific fragments were present however also a faint fragment corresponding to the WT *p230* fragment was visible (Fig 1B). These fragments were clearly responsible for only a minimal proportion of the parasite population and we expect that these low level signals reflected the incidence of reversion events to WT *p230* parasites which are frequently observed when the method of single cross over mutagenesis in *P. falciparum* is used [32,33]. The low levels of reversion were not expected to play an important role in downstream analyses as addition of a GPI anchor to *p230* was expected to result in a gain-of-function.

## **P230 expression in *p230gpi* parasites**

Next, we analyzed the phenotype of the *p230gpi* clones. *In vitro* gametocyte production and development, sex ratio and also male gamete formation (exflagellation) of *p230gpi* clones were comparable to  $\Delta p48/45$  and WT parasites (Table 1). The expression of P230 in *p230gpi* gametocytes was analyzed by immuno-fluorescence assay (IFA) and localization of P230 was observed predominantly in the periphery of the gametocyte and also in the cytoplasm (Fig 2). This localization pattern is consistent with that previously found in  $\Delta p48/45$  parasites [20] and in WT parasites where the stage specific processing of P230 was investigated and the unprocessed 363kDa form of P230 was located in the parasitophorous vacuole preceding gametogenesis [13,14]. P47 was expressed normally in the female gametocyte and was not affected by the lack of its paralog P48/45 or the addition of a GPI anchor to P230 (Fig 2). Suspension IFA (SIFA) of activated gametocytes showed that gametes expressed P47 on the surface of *p230GPI* parasites consistent with that reported previously [33]. This clearly shows that *p230GPI* parasites are able to activate and emerge from the red blood cell as mature gametes. The *p230gpi* parasites were generated in the background of  $\Delta p48/45$  parasites and consequently P48/45 was not found on the surface of either  $\Delta p48/45$  or *p230gpi* gametes while WT gametes show strong surface expression of P48/45 (Fig 3). WT gametes also showed a clear surface expression of P230 through interaction with P48/45 [15,16,17,18,19]. In *p230gpi*





**Figure 3. Gamete Surface expression of P230.** Suspension immuno-fluorescence assay of WT,  $\Delta p48/45$  and  $p230GPI$  F4 gametes. Live gamete in suspension were stained with a mix of P230 mAbs (63F2A2, 18F25) and as controls P48/45 mAbs (85RF45.3 and 85RF45.5) and P47 mAbs (Pfs47.1) and visualized with secondary fluorescently labeled antibody. P230 is not expressed on the surface of  $p230GPI$  gametes.

parasites lacking P48/45, we expected that fusion of the predicted P25 GPI anchor sequence to P230 would localize P230GPI to the surface of gametes. However we failed to detect any P230 expression on the surface of gametes of both  $p230gpi$  clones using antibodies specific for two different epitopes of P230 (Fig 3).

The effect of GPI anchoring of P230 on transmission to mosquitoes was assessed by membrane-feeding assays of  $p230gpi$  gametocytes to *Anopheles* mosquitoes. Infectivity of  $p230gpi$  gametocytes remained at the same low levels of infectivity of  $\Delta p48/45$  gametocytes, shown both by the lack of oocyst production and the low number of infected mosquitoes compared to WT parasites (Table 1). There was no observable effect of P230GPI on feeding success of gametocytes.

## Discussion

GPI anchoring of P230 did not result in detectable expression of P230 on the surface of  $\Delta p48/45$  gametes and compared to  $\Delta p48/45$  gametocytes no difference was observed after feeding of *p230gpi* gametocytes to mosquitoes. These results did not allow further studies into the function of P230 on the surface of parasites lacking P48/45.

The GPI assembly pathway including the responsible enzymes, make up a strongly conserved mechanism for the targeting of proteins to the surface of cells (reviewed in [34]) and subsequently even exogenously added GPI-anchored proteins have been shown to localize to the plasma membrane and exert their full extra- and intracellular interactive functions [35]. GPI anchoring has recently been studied in *P. falciparum*. In this study P25 was confirmed as a GPI anchored protein by computer model GPI-HMM, trained specifically for *P. falciparum* [36]. Furthermore, previous work showed that fragments of P230 could successfully be expressed on the surface of COS cells using the predicted GPI-anchor sequence of *p25* to enhance the immunogenicity of a P230 based DNA vaccine [26]. It is unclear why the GPI-anchor failed to direct the P230GPI protein

**Table 1.** Gametocyte production, oocyst development and transmission capacity of *p230gpi* parasites.

Parasite	Gametocytes (m/f ratio)	Exfl <sup>a</sup> .	Oocyst production <sup>b</sup> (range)	Infected/dissected mosquitoes	% Infected mosquitoes
WT	32 (0,42)	+	40 (0-28)	38/40	95
$\Delta p48/45$	29 (0,33)	+	0 (0-1)	1/40	2.5
<i>p230gpiF4</i>	28 (0,38)	+	0 (0-1)	1/40	2.5
<i>p230gpiF9</i>	38 (0,45)	+	0 (0-1)	1/40	2.5

<sup>a</sup>Exflagellation centers counted in wet mounted preparations of stimulated gametocyte cultures at 400x magnification using a light microscope; + score = exflagellation centers were observed in each field. <sup>b</sup>Oocyst production is the median of the oocysts counted at day 7 after feeding of the mosquitoes. Values represent 3 independent experiments.

to the surface of *P. falciparum* gametes. The proper function of the GPI anchor may have been influenced by protein folding, proteolytic cleavage or incompatibility of GPI anchoring with the trafficking route of P230.

The approach taken here to use single cross over integration to modify the 3' terminus of P230 with a GPI anchor ensures expression of a single form of P230 containing a GPI anchor. An alternative approach would be to transiently over-express P230 with a GPI anchor. This route of experimentation was not chosen because the size of the P230 protein is limiting for plasmid expression and also the endogenous protein would still be expressed. Southern blot and PCR experiments clearly showed correct integration and thus P230GPI was the only possible form of P230 expressed in gametocytes. In figure 2 normal expression and targeting of P230 to the parasitophorous vacuole of gametocytes was shown, demonstrating that addition of a GPI anchor did not cause expression of an aberrant form P230 as far as could be detected by IFA.

Protein folding of P230 may have precluded proper addition of the GPI anchor or addition of the GPI anchor proceeded inefficiently causing only low amounts of P230GPI protein to reside on the surface. Therefore we decided to feed P230GPI gametocytes to mosquito even though P230 was not detectable on the surface of the gamete. Unfortunately, addition of a GPI anchor to P230 was unable to alleviate the dramatic effect that the disruption of *p48/45* had on the fertilization or zygote development and the transmission capacity of *P. falciparum* parasites [10].

Stage specific processing by proteolytic enzymes has been described for the N-terminus of P230 [13,14] and as P230GPI was targeted to the parasitophorous vacuole of gametocytes but was subsequently not found on the surface of gametes, potential proteolytic cleavage of the C-terminus of P230 could have interfered with the function of the GPI anchor.

Recently, P230 was found to mediate the binding of human red blood cells by exflagellating male parasites and P230 was critical for oocyst production. Infectivity of P230 disrupted parasites was comparable to that of  $\Delta p48/45$  gametocytes and  $\Delta p48/45$  exflagellating centers also were less capable of binding red blood cells [20]. This phenotype in male gametes of either  $\Delta p48/45$  or  $\Delta p230$  parasites could be exclusively caused by lack of P230 on the surface of gametes. The precise contribution of P48/45 on the surface of male parasites in addition to retaining P230 on the surface of the parasite remains elusive as

well as the contribution of both these proteins on the surface of the female gamete. Unfortunately using SIFA experiments for surface localization of proteins we are able to look primarily at female gametes because following exflagellation *P. falciparum* male gametes cannot be distinguished by conventional light microscopy. In our experiments GPI anchoring of P230 did not result in detectable expression of P230 on the surface of  $\Delta p48/45$  gametes nor lead to increased sporogony compared to  $\Delta p48/45$  gametocytes. Advancing *P. falciparum* transfection methodologies may in future make it more conceivable to transiently express large proteins such as different GPI anchored versions of P230 possibly including tags to improving traceability of the modified proteins.

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# Chapter 6

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## **Gene disruption of *Plasmodium falciparum* p52 results in attenuation of malaria liver stage development in cultured primary human hepatocytes**

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## Abstract

Difficulties with inducing sterile and long lasting protective immunity against malaria with subunit vaccines has renewed interest in vaccinations with attenuated *Plasmodium* parasites. Immunizations with sporozoites that are attenuated by radiation (RAS) can induce strong protective immunity both in humans and rodent models of malaria. Recently, in rodent parasites it has been shown that through the deletion of a single gene, sporozoites can also become attenuated in liver stage development and, importantly, immunization with these sporozoites results in immune responses identical to RAS. The promise of vaccination using these genetically attenuated sporozoites (GAS) depends on translating the results in rodent malaria models to human malaria. In this study, we perform the first essential step in this transition by disrupting, *p52*, in *P. falciparum* an ortholog of the rodent parasite gene, *p36p*, which we had previously shown can confer long lasting protective immunity in mice. These *P. falciparum* P52 deficient sporozoites demonstrate gliding motility, cell traversal and an invasion rate into primary human hepatocytes in vitro that is comparable to wild type sporozoites. However, inside the host hepatocyte development is arrested very soon after invasion. This study reveals, for the first time, that disrupting the equivalent gene in both *P. falciparum* and rodent malaria *Plasmodium* species generates parasites that become similarly arrested during liver stage development and these results pave the way for further development of GAS for human use.

## Introduction

*Plasmodium falciparum* is the human parasite responsible for the vast majority of deaths associated with malaria, estimated to be between 1-2 million per year [1]. Drug resistant parasite strains, insecticide resistant mosquitoes and the lack of adequate global control measures have meant that malaria continues to be a major international health issue [2]. Despite years of effort on testing a variety of sub-unit vaccines designed to a variety of antigens expressed at various stages of the parasite life-cycle, success has been limited [3–5]. The complexity of both the parasites life-cycle and host immune responses to infection have contributed to the slow progress in the development of a vaccine that can induce efficient and long lasting protective immune responses [6]. Recently, there has been a renewed interest in the attenuated whole-organism vaccine strategy [7]. Initially, this approach has used radiation-attenuated sporozoites (RAS) to obtain sterile immunity experimentally in both mice and humans [8,9]. Specifically, full protective immunity against *Plasmodium* infection was achieved by immunisation only with live attenuated sporozoites (the infectious form of the parasite injected by the mosquito) that invade and then abort development inside hepatocytes in the liver of both rodent models of malaria and in humans [10].

Recently, it has been shown that a comparable attenuation of liver stage development can be achieved either by the targeted deletion of specific genes that are essential for liver stage development generating genetically attenuated sporozoites (GAS; [11–15]) or by chemical attenuation of sporozoites (CAS) [16]. In rodent models, GAS and CAS resemble both RAS and wild-type parasites in terms of invasion of host hepatocytes but, like RAS, they abort and/or arrest development inside the hepatocyte. Importantly, immunisation with both GAS and CAS also induce sterile immunity that is comparable to RAS. Attenuation by genetic modification may have several advantages compared to CAS and RAS in that it generates parasites with a defined attenuation and results in homogeneous population of parasites. This, therefore, removes any issues with the delivery of correct doses of either irradiation or drugs in order to obtain precisely attenuated parasites that both invade hepatocytes and also become developmentally arrested [17].

Recently, GAS have been produced in the rodent malaria parasites, *P. berghei* and *P. yoelii*, by single gene deletion of a number of genes (*uis3*, *uis4*, *sap1* and *p36p*) as well as the simultaneous deletion of two genes (*p52+p36* in *P. yoelii*; *uis3+uis4* in *P. berghei* [11–15,18,19]). Immunisation with sporozoites of all these resulting parasite lines induce, to varying degrees, protection against re-infection with wild type parasites. Studies on these parasites show that they are sufficient to confer protection in some cases with doses as low as 1000-10000 sporozoites [18,20].

In our laboratory we have generated attenuated *P. berghei* sporozoites by deleting the gene encoding *p36p*. This protein is a member of a small family of proteins that is conserved in *Plasmodium* [21], which includes some important antigens which are putative-candidates for transmission blocking vaccines (i.e. P48/45, P230; [22–26]). Sporozoites, deficient in expressing P36p resulted in aborted development in hepatocytes, prior to parasite replication. Immunisation with  $\Delta pb36p$  sporozoites induces long lasting and protective immune responses against challenge with wild-type sporozoites in rodents [15] and confers a degree of cross-species protection against other rodent parasites [20]. It has also been shown in *P. yoelii* that the disruption of the ortholog of *p36p* and its paralogous gene, *p36*, results in generation of attenuated sporozoites that can confer protective immunity [18].

In order to translate the promising observations in rodent models of malaria to humans, that GAS have the capacity to induce protective immune responses comparable to RAS, it is first necessary to generate *P. falciparum* mutants that are also attenuated during liver stage development. In this study, we therefore generated *P. falciparum* parasites that were deficient in expressing P52 (PFD0215c), the equivalent of *P. berghei* P36p. The analysis of sporozoite invasion of hepatocytes *in vitro* as well as development within primary human hepatocytes with *P. falciparum*  $\Delta p52$  mutants demonstrates a pattern of attenuation essentially identical to *P. berghei* mutants unable to express P36P. Specifically, development aborts shortly after hepatocyte invasion. These findings open up the exciting possibility that, as with the *P. berghei*  $\Delta p36p$  sporozoites, *P. falciparum* mutants lacking this gene may also confer protective immunity in humans against wild-type sporozoite infection.

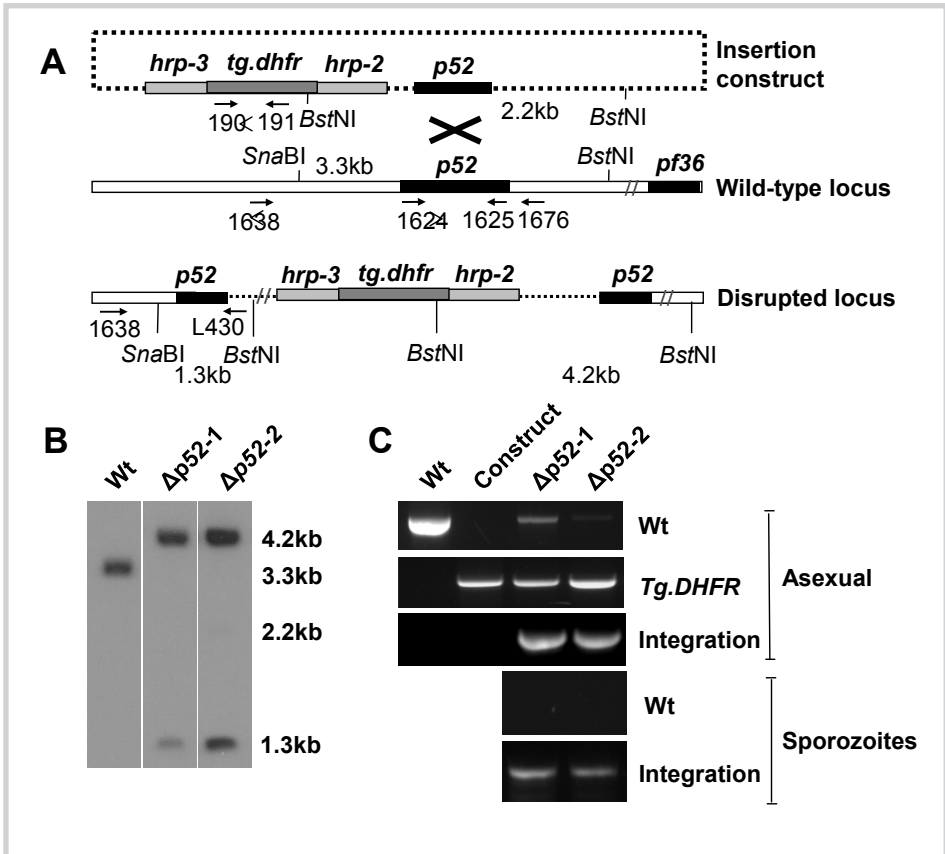
## Results

### **The *P. falciparum* *p52* gene (PFD0215c) is an ortholog of *P. berghei* *p36p* (PB000891.00.0) and is amenable to gene disruption**

In the *P. berghei* genome the two neighbouring genes *p36* (PB000892.00.0) and *p36p* (PB000891.00.0) are a paralogous pair of genes located on chromosome 10 and based on sequence similarity (i.e. 46% amino acid sequence similarity). These genes belong to a larger gene family constituting 10 members i.e. the 6-cys family [21]. The repertoire of genes within this gene family is similarly expanded within all (currently sequenced) genomes of *Plasmodium* with every member of the *P. berghei* gene family having a direct ortholog in *P. falciparum* based both on sequence similarity and syntenic positioning of genes [21]. Previously, it has been described that the expression of *P. berghei* *36p* appears to be exclusive to the sporozoite stage [27–29], which is supported by the presence of P36p peptides only in the proteome of *P. berghei* sporozoites [30], detection of the protein by Western analysis of proteins of salivary gland sporozoites (SGS) [31] and the presence of transcripts in *P. berghei* SGS [32]. Further, this stage specific expression was also observed for the orthologous protein in the closely related rodent malaria parasite, *P. yoelii*, where both protein and transcripts are present in the SGS stage [33].

The ortholog of *P. berghei* *p36p* in *P. falciparum* is PFD0215c, referred to as *p52* [29] and ([www.PlasmoDB.org](http://www.PlasmoDB.org)), they share 39% amino acid sequence identity (and 58% similarity) as well as the corresponding syntenic conservation (*P. falciparum* chromosome 4 and *P. berghei* chromosome 10; [34]). Examination of the available *P. falciparum* proteomes reveals that peptides corresponding to this protein are only detected in the SGS proteome of Lasonder et al 2008 (i.e. 5 unique peptides) and also transcriptome analyses indicate that expression only occurs in SGS [35].

To investigate if a *P. falciparum* mutant lacking the *p52* gene would also manifest the same attenuated phenotype during development in the liver, as observed with *P. berghei* mutants lacking *p36p*, two independent transfections were performed to disrupt *p52* in *P. falciparum*.



**Figure 1. Generation of *P. falciparum* parasites lacking expression of P52** (A) Illustration of the DNA construct (m144) used for the targeted gene disruption of *p52* and the *p52*-genomic locus before and after integration. Shown are the *p52* gene and target sequence (amplified using 1624 & 1625), the paralog of *p52*, *p36*, and the *T. gondii dhfr/ts* selection cassette. In addition, primer pairs and restriction sites for diagnostic PCR and Southern analysis are shown (see B and C). *hrp* – histidine rich protein (B) Southern analysis of *BstNI/SnaBI* digested genomic DNA of Wt and  $\Delta p52$  demonstrates correct disruption of *p52*. DNA was hybridized with a *p52* specific probe detecting a 3.3 kb fragment in Wt, a 2.2kb fragment for intact plasmid and the expected fragments of 1.3kb and a 4.2kb band (see A) in the two  $\Delta p52$  clones ( $\Delta p52-1$  and  $\Delta p52-2$ ). (C) PCR analysis of genomic DNA of Wt and  $\Delta p52$  clones and the plasmid DNA (construct) demonstrates correct disruption of *p52*. Genomic DNA from Wt and  $\Delta p52$  asexual parasites and sporozoites was used as template for the PCR reactions. The Wt specific PCR was performed using primers 1638 and 1676 amplifying a 2.1 kb fragment. PCR primer pairs 1638 and L430, specific for integration of the DNA construct (see A) amplify a 2.0 kb fragment. Primer pairs 190 and 191 amplifying a 1.8kb fragment from *T. gondii dhfr/ts* were used as a control.

The construct contained the *Toxoplasma gondii* DHFR selection cassette and a 1020 base pair internal fragment of the *p52* coding sequence that is used as target sequence for integration of the construct into the *P. falciparum* *p52* locus by single cross-over integration (see Figure 1A for details/schematic representation of the construct and the integration event). Blood stage parasites of the NF54 strain of *P. falciparum* were transfected as previously described [36] and pyrimethamine resistant parasites were selected by standard methods for drug-selection of transformed *P. falciparum* parasites. Cloned lines of the resistant parasite populations were obtained for both experiments (i.e. clone  $\Delta p52-1$  and  $\Delta p52-2$ ) by the method of limiting dilution. Correct integration of the construct and disruption of the *p52* locus was demonstrated for one clone of each line by diagnostic PCR and Southern analysis of restricted DNA (Figure 1B&C). Since we have used a construct designed for single cross-over integration, reversion of the disrupted locus to wild type can occur at low frequency in the parasite population as has been reported for *P. berghei* TRAP mutants [37]. It is possible that such reversion events can be detected by sensitive PCR analysis resulting in low amounts of wild type PCR fragments (Figure 1C).

## **The $\Delta p52$ parasites have comparable development to wild-type parasites during blood stage growth, in culture, and in the mosquito.**

During the cloning procedure of the mutant parasites and subsequent *in vitro* cultivation of the asexual blood stages, the growth and multiplication characteristics of the two mutant clones,  $\Delta p52-1$  and  $\Delta p52-2$ , were comparable to wild type parasites of the parent line NF54 (data not shown).

Gametocyte production of the mutant parasites was analysed in blood stage cultures that were optimised for gametocytogenesis [38]. Gametocyte production of the mutant parasites ranged between 14 and 87 gametocytes/1000 erythrocytes which is comparable to wild type gametocyte production (Table 1) and gametocytes were able to develop in morphologically mature (stage V) parasites with a similar morphology to wild type parasites [39]. Male gametocytes were functionally mature as shown by exflagellation (formation of gametes) *in vitro* (Table 1) and formed the characteristic exflagellation centres after induction of gametogenesis.

Table 1. Gametocyte, oocyst and sporozoite development of  $\Delta p52$  parasites

Parasite	Gametocyte no. Per 1000 RBC (range)	Exfl. <sup>a</sup>	Oocyst production <sup>b</sup> (IQR)	Infected/dissected mosquitoes	% Infected mosquitoes	Mean no. of sporozoites per mosquito (std)
Wt	27 (12-50)	+	22 (6/39)	36/40	90	55 633 (22.580)
$\Delta p52-1$	27 (14-36)	+	13 (4/26)	35/40	88	44 632 (9.953)
$\Delta p52-2$	38 (12-87)	+	23 (5/51)	37/40	93	76 746 (30.339)

<sup>a</sup> Exflagellation (Exfl) of male gametocytes was determined in small samples from the cultures by counting exflagellation centres under the light-microscope in 25 homogeneous fields of rbc at a 40x magnification. A mean of 2-10 per field is scored as +; >10 as ++ and less than 2 as +/- . <sup>b</sup> Oocyst production is the median of the oocysts counted at day 7 after mosquito feeding and IQR is the inter quartile range. No significant difference exist between mutant and wild-type parasites (Wilcoxin rank-sum test;  $p=0.13$  for  $\Delta p52-1$  and  $p=0.5$  for  $\Delta p52-2$ ).

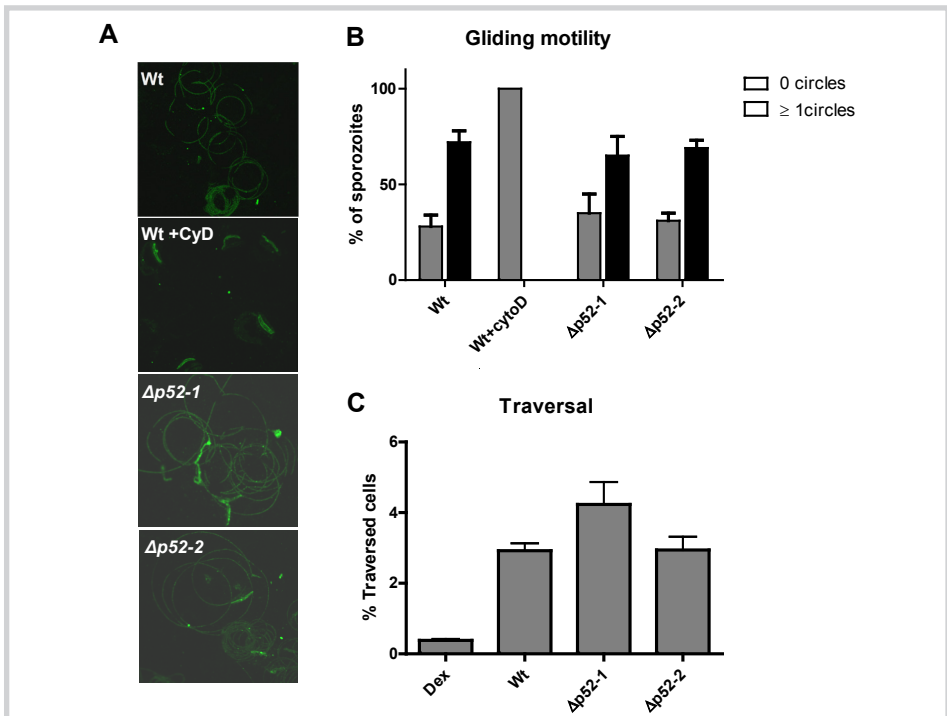
Parasite development in the mosquito was analysed by feeding female *A. stephensi* mosquitoes using standard membrane feeding of cultured gametocytes [38] and subsequent monitoring of oocyst and sporozoite production. Counting of oocysts at day 7 showed that the mutant lines produced infections in 88-93% of the mosquitoes with oocyst numbers ranging from 4-52 per mosquito which is comparable to wild type mosquito infection (Table 1). Also the sporozoite production with a mean number per mosquito of 44.632 and 76.764 for  $\Delta p52-1$  and  $\Delta p52-2$  respectively, was also similar to wild type (Table 1).

## Sporozoites of $\Delta p52$ parasites have gliding motility and a traversal capacity comparable to wild-type sporozoites

The ability of mutant sporozoites to move by gliding motility is essential for invasion and was assessed by their ability to 'glide' on glass slides [40]. The motility of  $\Delta p52-1$ ,  $\Delta p52-2$  and NF54 (Wt) parasites was visualised by counterstaining the trails left by the sporozoites with anti-PfCSP1 antibodies and quantifying the amount of sporozoites out of 100 sporozoites that left trails. This analysis showed that sporozoites of both mutant-

lines are able to glide and produce the repeating circles characteristic of correct gliding (Figure 2A) and, moreover, gliding motility is comparable to wild type parasites (Figure 2B).

It has been shown that *Plasmodium* sporozoites migrate to the liver and then traverse/transmigrate through several hepatocytes before they establish an infection in a hepatocyte residing inside a parasitophorous vacuole [41,42]. To determine if the lack of P52 expression has an effect on sporozoites cell traversal, we analysed hepatocyte traversal *in vitro* using the Dextran incorporation FACS assay as previously described [43]. Only wounded cells incorporate Dextran and by quantifying these cells by FACS,



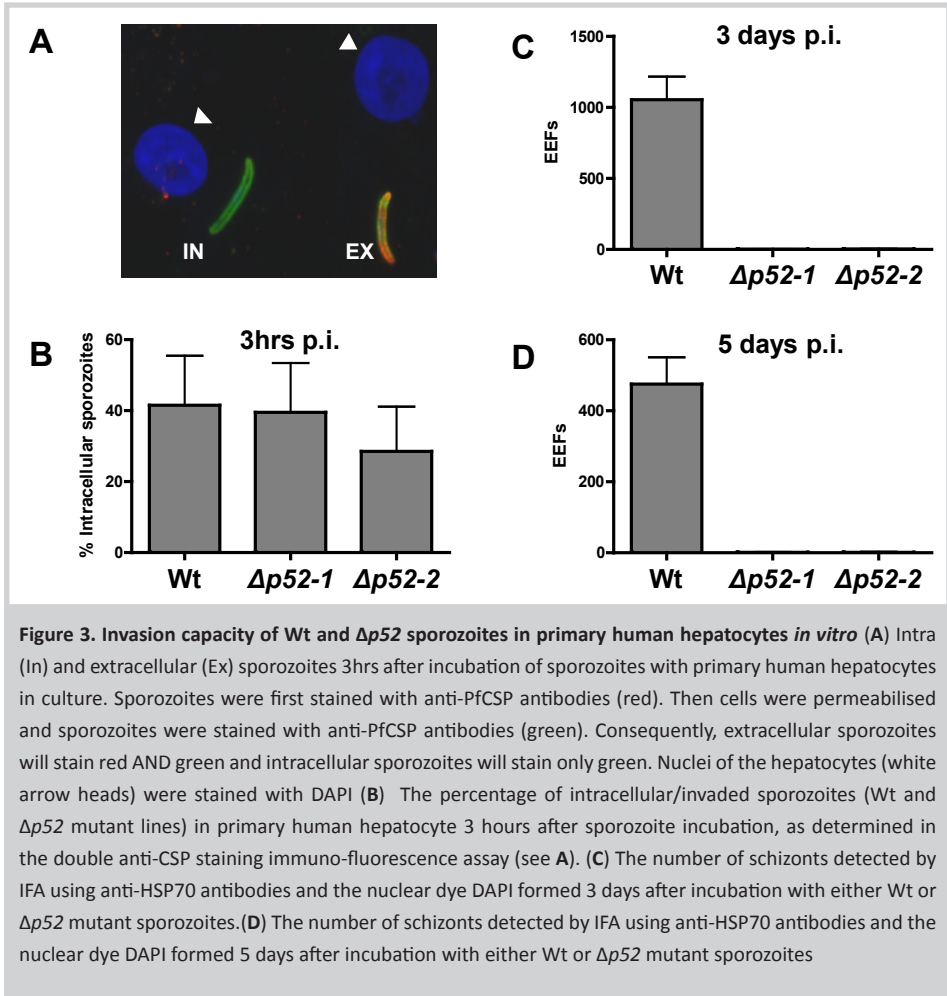
**Figure 2. Gliding Motility and Traversal Capacity of Wt and  $\Delta p52$  sporozoites** (A) Representative immunofluorescence staining with anti-PfCSP antibodies of the trails produced by Wt and mutant sporozoites deficient in P52 expression ( $\Delta p52-1$  and  $\Delta p52-2$ ) as well as Wt sporozoites, treated with cytochalasin D, an inhibitor of sporozoite motility. Characteristic circles of gliding motility are present in Wt and mutant lines, and absent in Wt sporozoites that have been treated with cytochalasin D. (B) Gliding motility of *P. falciparum* Wt (cytochalasin D treated and untreated) and mutant sporozoites as assessed by the capacity to produce the characteristic circles (see A). (C) Cell traversal ability of *P. falciparum* Wt and mutant sporozoites as determined by FACS counting of Dextran positive hepG2 cells. Dex: hepatocytes cultured in the presence of Dextran but without the addition of sporozoites.



we were able to demonstrate that sporozoites of both mutant lines have a cell traversal rate in cultured hepatoma cells (hepG2) that is comparable to that of wild type parasites. On average  $\Delta p52-1$  migrated through 4.2% of cells,  $\Delta p52-2$  through 2.9% of cells and wild-type through 2.9% hepatocytes as compared to the Dextran only control where only 0.38% cells were Dextran positive (Figure 2C).

## **The $\Delta p52$ parasites are arrested early during hepatocyte development in cultured primary human hepatocyte cells**

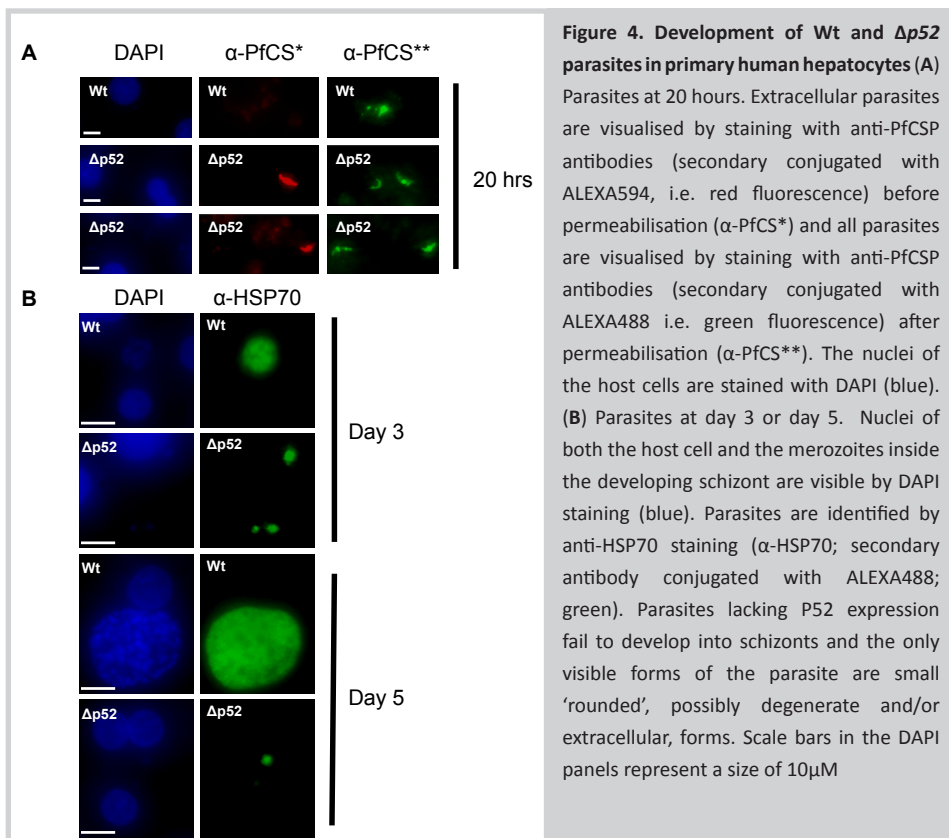
The ability of the  $\Delta p52-1$  and  $\Delta p52-2$  parasites to invade and develop inside hepatocytes was investigated using primary human hepatocytes which had been isolated directly from patient material [44]. Freshly isolated sporozoites, collected in culture medium were added to these hepatocytes that were cultured in 24 well culture plates ( $5 \times 10^4$  sporozoites/well) at 37°C as previously described [44]. To examine the ability of the sporozoites to invade host cells, the infected primary human hepatocytes were fixed and examined 3 hours after incubation with sporozoites. In order to distinguish between extracellular and intracellular sporozoites, a double staining immuno-fluorescence protocol was followed [45]. Using alternatively (red and green fluorescent) conjugated anti-PFCS antibodies we stained sporozoites before and after hepatocyte permeabilisation (with 1% Triton X100). Therefore extracellular sporozoites were doubly fluorescently stained (i.e. red and green fluorescence) whereas intracellular sporozoites were only exposed to antibodies after triton X-100 treatment and were only singly fluorescently stained (i.e. green fluorescence) as can be seen in Figure 3A. In calculating the percentage of intracellular sporozoites, we found no difference in invasion of primary human hepatocytes between wild-type parasites and mutant parasites lacking *P52* (Figure 3B). To examine the intracellular parasite development to the replicating schizont stage, we analysed the parasites inside the hepatocytes after 3 days and 5 days after the addition of sporozoites. Cultures of primary human hepatocytes at either day 3 or 5 after sporozoite addition were fixed in methanol and stained using an anti-HSP70 mouse serum. Additional staining of the host and parasite DNA with DAPI, shows that wild type parasites are clearly in the process of schizogony as shown by the multiple DAPI positive nuclei. Counting of the schizonts in the culture wells revealed that at day 3 an average of 1054 liver schizonts/well are present in the cultures of the wild type parasites, however, for infections initiated with both  $\Delta p52$  mutant lines there is a drastic reduction in the number of schizonts with



an average of only 1.7 schizonts per well (Figure 3C). At day 5 the size of the wild type schizonts and the number of nuclei per schizont have increased significantly but the total number of infected cells in wild type cultures however decreased (i.e. average of 475 parasites/well) which is a well known phenomenon in *in vitro* cultures of hepatic [46]stages; where the number of infected hepatocytes decrease during the process of maturation (Figure 3D). Again, at day 5 the average number of liver schizonts formed in the infection initiated with  $\Delta p52$  mutants is drastically reduced to 1.2 liver schizonts per well. Interestingly, the very few liver schizonts observed with the  $\Delta p52$  mutants in day 3 and day 5 cultures have wild-type morphology with regard to both the size and number of DAPI positive nuclei. We interpret the presence of these schizonts as the result of a

low contamination of wild type parasites that are the consequence of reversion events in the mutant parasite genome, resulting in the restoration of the wild-type *p52* locus (see Discussion for further details).

To examine the loss and aborted growth of parasites lacking P52 during development in the hepatocytes in more detail, cultures were examined at 20 hours post-infection by the double staining method used to investigate invasion (see above). At 20 hours intracellular wild-type parasites were observed to be developing inside the hepatocytes; characteristic transformation of the long slender sporozoite forms into the round trophozoites can be observed and many of these parasites are in the process of ‘rounding up’ at one end (Figure 4A). In contrast, all the visible intracellular  $\Delta p52$  parasites appear morphologically indistinguishable from Wt parasites at 3 hours post invasion (i.e. they still maintain a sporozoite like appearance; Figure 4A). These observations show that parasites are aborted before or during the transformation of the sporozoite into the



growing trophozoite stage. Further, examination of mutant parasites at either day 3 or day 5 revealed that compared to the clear liver schizont development of wild-type parasites there were very few anti-HSP70 positive parasites and those that were visible appeared to very small and round forms, which were also equally present in cultures incubated with wild-type sporozoites, possibly extracellular degraded parasites that are known to be able to persist for several days *in vitro* culture (Figure 4B). These results indicate that the  $\Delta p52$  mutants have wild-type development up until post-hepatocyte invasion, where the mutant parasites clearly arrest soon after invasion. The intracellular parasites deficient in P52 expression maintain their slender morphology characteristics of extracellular sporozoites, whereas, wild-type parasites begin to transform into the rounded trophozoite stage by 20 hours post invasion.

## Discussion

The protein P52 belongs to the small 6-Cys family of conserved cysteine-rich proteins, many of which are membrane-anchored [21]. Several of these proteins play an important role in fertility and recognition of gametes such as P48/45, P47 and P230 [23–25]. These gamete surface proteins are considered to be important candidate antigens in the development of a transmission blocking vaccine. Characterization of these proteins using comparable reverse genetic technologies in rodent models of malaria and in *P. falciparum* have revealed that these proteins have similar functions in both human and rodent malaria [25,26,47] and van Dijk unpublished observations).

In this study we show that another member of the 6-cys family, P52, has a comparable role in both human and rodent malaria. Specifically, P52 in *P. falciparum* and its ortholog P36P in *P. berghei* function in the establishment of infection within a hepatocyte. We have previously shown that development of *P. berghei* parasites lacking P36P is aborted early after sporozoite invasion of the hepatocyte, whereas gliding motility and the capacity of these sporozoites to traverse and invade hepatocytes is not affected. We found evidence that development was aborted during or just after the formation of the parasitophorous vacuole and that the  $\Delta p36p$  parasites had lost the capacity to prevent the host cell to undergo apoptosis [15]. Moreover, such early aborted development also occurred in the closely related rodent parasite *P. yoelii* when parasites lacked this protein [18].

In this paper we present data to demonstrate that P52 functions in *P. falciparum* at the same stage of development (i.e. intra-hepatocytic development) as its *P. berghei* ortholog. Parasites lacking P52 are not affected in their erythrocytic development (asexual or sexual) or in maturation in the mosquito. The production of sporozoites within the oocyst is not affected and the salivary glands of infected *A. stephensi* mosquitoes contain high numbers of salivary gland sporozoites (SGS) for parasites deficient in P52. This is not unexpected since large scale proteome and transcriptome analyses indicate that expression of P52 is absent in all these stages except for SGS [33,48]. This has been further confirmed as P52 has been detected specifically in the proteome of sporozoites collected from the salivary glands and not the sporozoites from the oocyst (Lasonder et al., 2008 in press PLoS Pathogens).

The presence of proteins specific to the SGS suggests a role in sporozoite biology in the vertebrate host, anywhere along its journey to the hepatocyte, invasion of and initial intracellular remodelling of the host cell interior. For example, the SPECT1, SPECT2, TRAP and CelTOS are proteins that appear to be either exclusively present or predominantly expressed in sporozoites of the salivary gland and are present in preparation for injection into the host. These proteins have been shown to play a role in either the gliding motility of sporozoites or in cell traversal [37,49,50].

The sporozoites that lack P52, however, have normal gliding motility, cell traversal capacity and the ability to invade hepatocytes, which was also observed in rodent malaria parasites lacking the P36p ortholog [15,18]. As with the rodent malaria parasite *p36p* deletion mutants, development of the *P. falciparum* parasites that lack P52, development is aborted rapidly after invasion of the hepatocyte. In the  $\Delta p36p$  *P. berghei* parasites evidence was presented that the invaded parasites abort development during or just after formation of the parasitophorous vacuole. In the *P. falciparum* mutant parasites we have not observed indications of the transformation of the long slender sporozoites into the round trophozoite stage.

Perhaps not unexpectedly, we found a few parasites in the cultures of the mutant lines that were able to develop into maturing schizonts, morphologically identical to wild type schizonts. It is well known that 'reversion-events' can occur in the genome of mutant parasites that have been transformed with constructs that integrate by single-cross-over recombination. Such reversion events can result in removal of the integrated construct including the drug selectable marker, resulting in low levels of contamination of mutant

parasite populations with wild type parasites [51]. After the feeding mosquitoes with blood containing  $\Delta p52$  gametocytes, no drug-pressure can be applied to kill 'revertant-parasites' and as these mutant parasites actively multiply within the oocysts, sporozoites can be produced which restore the wild type genotype. Such 'wild type' parasites are the most likely explanation for the presence of the very few schizonts in hepatocytes cultured with mutant parasites. However, it remains possible that a low proportion of the mutant parasites, lacking P52 expression, are able to develop into the schizont stage. In *P. berghei* it has been shown that by infection of mice with >100000 mutant sporozoites intravenously 'break-through' parasites are observed that give rise to blood stage infection, despite irreversible disruption of the *p36p* gene by double cross-over recombination. Interestingly, in *P. yoelii* it has been shown that disruption of the orthologous gene *p36p* and its paralog *p36* within the same parasite, result in complete abortion of development without breakthrough parasites [18]. In *P. falciparum* the gene *p52* is in exactly the same genomic context as the rodent malaria *p36/p36p* genes and has its paralogous gene, *pf36* (PFD0210c) also immediately downstream [34]. It is therefore possible to disrupt both genes using a single DNA construct, as has been shown for other paralogous genes in rodent malaria [18,52] and for adjacent genes encoding aspartatic proteases in *P. falciparum* [53,54].

In infections initiated with *P. falciparum* deficient in P52 we find a greater than 99% reduction (and possibly complete absence) of EEF development very soon after sporozoite invasion. It would appear that this degree and stage of attenuation is essentially the same as described for rodent malaria parasites lacking its ortholog, *p36p*.

Consequently, P52 is the first protein in *P. falciparum* demonstrated to have an essential role at any stage of development after sporozoite invasion of the hepatocyte. Early abortion of liver stage development has also been shown for sporozoites that have been attenuated by  $\gamma$ -radiation (RAS). Such sporozoites are able to invade the hepatocyte but are unable to transform into the schizont stage. Invasion and establishment of an infection in the liver appears to be essential for inducing protective immune responses [10] and over-irradiated sporozoites, which are unable to initiate an infection in hepatocytes, do not induce protective immunity [55,56]. Thus the correct dose of radiation is essential for inducing protective immune responses. We, and others, have shown that attenuated parasites generated by genetic modification (GAS) can also induce identical protective immune responses in rodent models of malaria. Genetic modification technology permits the creation of very specific and targeted alterations (deletions) in the *Plasmodium*

genome as compared to the non-specific genomic or protein alterations induced by either radiation or chemical approaches. Genetic modification can therefore result in the reproducible production of homogeneous populations of parasites with a clearly defined genotype and phenotype and consequently these may have clear advantages in the testing of 'whole parasite vaccine' approach over RAS and CAS.

This study, showing that *P. falciparum* parasites can be attenuated by disrupting a single gene is a first, but essential, step in the development of a vaccine based on attenuated parasites. Further optimization of such parasites will likely use double cross-over recombination to avoid reversion to a 'wild-type' genotype; disruption of multiple genes each of which may generate arrested and/or protective parasite and thereby creating a parasite which contains successive obstacles for the restoration of parasite growth; and removal of foreign DNA from the transgenic parasite genome which can ease the transition of genetically modified organisms for human use. These are the next steps that must be accomplished before it would be possible to move such potentially protective parasites into clinical trials to test the safety, immunogenicity and potency of these parasites in immune response and re-challenge studies in humans.

## Materials and Methods

### Parasites

*P. falciparum* parasites line NF54 (wild-type; Wt) and  $\Delta pf52$  (see below) blood stages were cultured in a semi automated culture system using standard *in vitro* culture conditions for *P. falciparum* and induction of gametocyte production in these cultures was performed as previously described [57–59].

### Generation of $\Delta p52$ parasites

The *p52* gene (PFD0215c) of *P. falciparum* was disrupted with the insertion plasmid mI44, a derivative of the previously described pDT.Tg23 plasmid [60]. The construct mI44 was generated by cloning a 1020bp internal fragment of the *p52* coding sequence, obtained by PCR amplification using primers 1624 (5'-cgcgatccTGTAGCAATGTGATTCAAGATG) and 1625 (5'-ggactagtTGATTGTTATTATGATGTTCCCTC), into the *Bam*HI and *Spe*I restriction sites of the pDT.Tg23 plasmid. For details of the location of primers and sizes of amplified products see Figure 1A.

Transfection of wild type blood-stage parasites of line NF54 was performed as described [36], using a BTX electroporation system. Transfected parasites were cultured using the semi automated culture system and transformed, drug-resistant  $\Delta p52$  parasites were selected by treatment of the cultures with pyrimethamine as described [60].

Genotype analysis of transformed parasites was performed by diagnostic PCR and Southern blot analysis. Genomic DNA of Wt or transfected parasites (blood stages or sporozoite) was isolated [61] and analyzed by PCR using primer pair 1638 (5'-CATGCCATGGTTTGAATAAGTTTTACAACCTGC) and L430 (5'-GGATAACAATTTACACAGGA) for correct integration of mI44 in the *pf52* locus and for the presence of Wt using primer pair 1638 and 1676 (5'-GGACTAGTTTTGCCAGAATGTTCTTGTTTCG), both annealing outside the target region used for integration. Primer pairs 190 (5'-CGGGATCCATGCATAAACCGGTGTGTC) and 191 (5'-CGGGATCCAAGCTTCTGTATTCCGC) were used as a control to detect the presence of either integrated or episomal plasmid. PCR reactions were performed using the conditions as described [62]. For Southern blot analysis, genomic DNA was digested with *Bst*NI and *Sna*BI, size fractionated on a 1% agarose gel and transferred to a Hybond-N membrane (Amersham) by gravitational flow [61]. The blot was pre-hybridized in Church buffer [63] followed by hybridization to a *pf52* specific probe. This probe, a PCR fragment of the coding region of *p52*, obtained with the primer pair 1624 and 1625 (see above for the sequence of these primers), was labelled using the High Prime DNA labelling kit (Roche) and purified with Micro Biospin columns (Biorad).

Cloning of transfected parasites was performed by the method of limiting dilution [64] in 96 well plates. Parasites of the positive wells were transferred to the semi-automated culture system for further genotype and phenotype analysis of the cloned parasites

## **Analysis of gametocyte production**

Gametocyte production was established in cultures at day 13-15 after start of the 'gametocyte cultures' by counting the number of mature (stage V) gametocytes in Giemsa stained thin blood films [59]. Exflagellation of male gametocytes was determined in small samples from the cultures by stimulating the gametocytes in FCS pH 8.0 at room temperature for 10 minutes. Exflagellation centres were counted under the light-microscope in 5 homogeneous fields of red blood cells at a 40X magnification.

## **Analysis of mosquito stage development**

14-day-old cultures of Wild-type (Wt; NF54) or  $\Delta p52$  gametocytes were fed to *Anopheles stephensi* mosquitoes using the standard method of membrane feeding [38]. On day 7 after feeding the midguts of 40 mosquitoes were dissected and the number of oocyst counted as described [38,65]. Statistical analysis of oocyst production (oocyst numbers) was performed with the non-parametric Wilcoxin rank-sum test. On day 14-16 after infection, the salivary glands of the mosquitoes were collected by hand-dissection. These salivary glands were collected in William's E medium supplemented with 10% FCS, 2% penicillin-streptomycin, 1% sodium-pyruvate, 1% L-glutamine,



1% insulin-transferin-selenium (Gibco) and 10<sup>-7</sup>M dexamethasone (Sigma) and homogenized in a home made glass grinder. The free sporozoites were counted in a Bürker-Türk counting chamber using phase-contrast microscopy and the number of sporozoites per salivary gland calculated.

### **Analysis of gliding motility of sporozoites**

Lab-Tec 8-chamber slides (Nalge Nunc) were coated with 25µg/ml 3SP2 antibody specific for the *P. falciparum* circumsporozoite protein (CSP) for 15 hours [40]. Sporozoites were obtained from dissection of infected *Anopheles stephensi* mosquito salivary glands. After grinding, the suspension is filtered through a 40µm cell strainer (Falcon) to remove mosquito debris, and centrifuged at 15500 g for 3 min at 4°C. Sporozoites are then recovered in the pellet and resuspended in complete culture medium (see composition below). Sporozoites (5 x10<sup>4</sup>) were directly transferred to the 8-chamber slides and incubated at 37°C for 2 hours. Controls consisted in wild type sporozoites in addition a negative control consisting in WT immobilized sporozoites treated with 10µm of cytochalasin D was also performed. Briefly, cytochalasin D (Sigma) was diluted from a 500µM stock in Me<sub>2</sub>SO to a 10µm final concentration with sporozoites. Sporozoites were then transferred to the 8-chamber slides and incubated at 37°C for 2 hours in the presence of cytochalsin D. Sporozoites were fixed with 4% PFA and after washing with PBS, the sporozoites and the trails ('gliding circles') were stained with a FITC-3SP2 conjugated antibody. Slides were mounted with Vectashield and counting of the 'gliding circles' was performed using a DMI4000B Leica fluorescence microscope at 400X magnification. Photographs of the gliding circles were obtained with the Leica SP2 AOBS confocal microscope at the "Plate-forme d'Imagerie Cellulaire de la Pitié-Salpêtrière, Paris".

### **Cultures of primary human hepatocytes**

Primary human hepatocytes were isolated from healthy parts of human liver fragments, collected during unrelated surgery in agreement with French national ethical regulations, as described . Cells were seeded in 96 well plates or 8-chamber Lab-Tec slides (Nalge Nunc) coated with rat tail collagen I (Becton Dickinson, Le Pont de Claix, France) at a density of 8x10<sup>4</sup> or 21x10<sup>4</sup> cells per well respectively. These cells were cultured at 37°C in 5% CO<sub>2</sub> in complete William's E culture medium supplemented with 10% FCS, 2% penicillin-streptomycin, 1% sodium-pyruvate, 1% L-glutamine and 1% insulin-transferin-selenium (reagents for cell culture Gibco, Invitrogen) and 10<sup>-7</sup>M dexamethasone (Sigma, Saint Quentin Fallavier, France).

### **Sporozoite cell traversal assay[66]**

Hepatocyte traversal was analysed by the Dextran incorporation FACS assay [43]. HepG2-A16 (7 x10<sup>4</sup> cells/well) cells were seeded in 48 well plates. After 24 hours, they were incubated with 10<sup>5</sup> sporozoites for 2 hours in the presence of rhodamine-dextran lysine fixable (10000MW Molecular probes, Invitrogen). After washing the cells were trypsinized, fixed with 1% formaldehyde and analyzed by FACS using a Beckman Coulter Epics xl flow cytometer. 5000 cells were counted/analysed and dextran-positive cells were detected using filter FL2 for rhodamine [43]

## Immuno-fluorescence analysis of parasite development in hepatocytes

To analyse parasite development in primary human hepatocytes,  $5 \times 10^4$  extracted sporozoites were added to primary human hepatocyte cultures, 3 hours after the addition of sporozoites, the cultures were washed with media to remove mosquito salivary gland material as well as un-invaded and un-attached sporozoites, complete media was added and cultures were incubated overnight at 37°C. The day after, the culture medium was replaced and again the 3<sup>rd</sup> day post infection for cell cultures fixed at day 5 post infection [67]. Cultures were fixed at different time points after adding the sporozoites with cold methanol and developing liver schizonts were stained with *Plasmodium* Heat shock protein 70 (HSP70) [68] followed by goat anti-mouse ALEXA-488 (Molecular probes) and nuclei were stained with 1µg/ml diaminido-phenylindole (DAPI). For the invasion assays [45], cultures were first fixed with 4% para-formaldehyde (PFA) and extracellular (non-invaded) parasites were stained with mAbs against CSP followed by anti-mouse-ALEXA594 (i.e. red fluorescence; Molecular probes). In order to then distinguish intracellular parasites the hepatocytes were permeabilised with 1% Triton-X-100 in PBS for 4 min; allowing parasites to be stained with mAbs against CSP and these were then identified using anti-mouse-ALEXA488 (i.e. green fluorescence; Molecular probes) and nuclei were stained with 1µg/ml DAPI. Analysis and counting of stained intracellular and extracellular parasites were performed using a DMI4000B Leica fluorescence microscope and the Olympus FluoView FV1000 confocal microscope.

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# Chapter 7

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## Assessing the adequacy of attenuation of genetically modified malaria parasite vaccine candidates

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## Abstract

The critical first step in the clinical development of a malaria vaccine, based on live-attenuated *Plasmodium falciparum* sporozoites, is the guarantee of complete arrest in the liver. We report on an approach for assessing adequacy of attenuation of genetically attenuated sporozoites *in vivo* using the *Plasmodium berghei* model of malaria and *P. falciparum* sporozoites cultured in primary human hepatocytes. We show that two genetically attenuated sporozoite vaccine candidates,  $\Delta p52+p36$  and  $\Delta fabb/f$ , are not adequately attenuated. Sporozoites infection of mice with both *P. berghei* candidates can result in blood infections. We also provide evidence that *P. falciparum* sporozoites of the leading vaccine candidate that is similarly attenuated through the deletion of the genes encoding the proteins P52 and P36, can develop into replicating liver stages. Therefore, we propose a minimal set of screening criteria to assess adequacy of sporozoite attenuation necessary before advancing into further clinical development and studies in humans.

## Introduction

Immunization with live sporozoites that are attenuated by radiation (IrrSpz) induces strong protective immunity both in rodent models of malaria and in humans in experimental clinical studies [1;2]. Recently, the interest in a whole-organism vaccine consisting of live attenuated parasites has been renewed as efforts using recombinant subunit vaccines have still been unable to demonstrate sustained, high level sterile immunity [3-6]. In rodent models of malaria it has been shown that attenuation of sporozoites can also be achieved by reverse-genetic methodologies (genetically attenuated parasites, GAP; [7-9]) or by chemical treatment (chemically attenuated parasites; CAS [10;11]). Importantly, immunization of mice with GAP results in protective immune responses that are similar to those induced by IrrSpz, specifically cell mediated responses, critically involving CD8<sup>+</sup> T-cells, which provide a long lasting and sterile protection against infection [12-14]. The use of GAP sporozoites that were shown to be safe (no breakthrough infections) and protective as a whole-organism vaccine could have several advantages over IrrSpz and CAS as they would be a homogeneous population of parasites with the potential for a defined attenuation phenotype. This could remove issues related to the delivery of correct doses of either irradiation or drugs in order to ensure sufficient attenuation without killing the parasites. The conceptual basis of vaccines consisting of IrrSpz or GAP is that after inoculation, sporozoites invade but only partially develop in the liver, as it has been shown that only sporozoites that are able to invade hepatocytes induce protective immune responses [15-17]. This sporozoite growth arrest in the liver needs to be complete, as the appearance of 'breakthrough' parasites in the bloodstream can lead to clinical disease and death [18]. As *P. falciparum* only efficiently infects humans, adequacy of attenuation of *P. falciparum* sporozoites cannot be assessed *in vivo* prior to initiating clinical trials. The murine malaria models *P. berghei* and *P. yoelii* are consequently not only used to identify suitable genes for generating GAPs but also to assess the safety and immunogenicity of GAPs. Thereby, these studies establish a road-map for designing *P. falciparum* GAPs and provide information critical in the decision to proceed with trials in humans.

Several different GAPs have been generated in the rodent malaria parasites *P. berghei* and *P. yoelii* that abort development in the liver [7-9;19;20]. These include GAPs that lack genes essential for the formation and maintenance of a parasitophorous vacuole (*p52*,



*p36*, *uis3* and *uis4*; [7-9;21]), genes involved in type II fatty acid synthesis (i.e. *fabB/f* and *fabZ*; [22;23] and a gene involved in (post-)transcriptional regulation of sporozoite/early liver stage genes (*sap1/slarp*; [19;20;24]). Immunization of mice with sporozoites of all these GAPs induces, to varying degrees, protection against challenge with wild type (WT) parasites. Some of these GAPs confer protection against WT challenge after a single dose immunization with as few as 1000–10000 attenuated sporozoites [21;25]. Since unequivocal orthologs for the two rodent *uis*-genes are absent in the *P. falciparum* genome ([www.PlasmoDB.org](http://www.PlasmoDB.org)) and *P. berghei* GAP lacking SLARP has been reported to induce limited protective immune responses [20], GAPs lacking *p52* and *p36* or genes involved in type II fatty acid synthesis (FAS II) are considered GAP vaccine candidates for translation into the human malaria parasite, *P. falciparum* [18;22;26].

Occasional breakthrough blood infections in mice after immunization with rodent GAPs lacking *uis4* and *p52* [7;9] emphasize the importance of removing multiple genes in the generation of GAPs that are completely attenuated. Infection of mice with high doses of *P. yoelii* sporozoites of a 'double gene deletion' GAP lacking two genes, *p52* and *p36*, showed no breakthrough blood infections in the *P. yoelii* rodent model [21]. Generation of equivalent *P. falciparum* GAPs lacking these genes [27] have provided evidence that these *P. falciparum* GAPs show a comparable attenuation phenotype to the GAPs of rodent malaria parasites. In cultured human hepatocytes and in mice carrying human hepatocytes, these *P. falciparum* GAP abort development in hepatocytes soon after sporozoite invasion. The observations of the growth arrest of *P. yoelii* and *P. falciparum* GAP has led to the production of a *P. falciparum* GAP lacking expression of both P52 and P36 for use in human clinical trials [18;27].

In this study we have analysed the adequacy of attenuation of two GAPs in the rodent model, *P. berghei*, one lacking expression of both P52 and P36 ( $\Delta p52+p36$ ) and the other lacking expression of FabB/F ( $\Delta fabB/f$ ). In addition, we have analysed the development of *P. falciparum*  $\Delta p52+p36$  parasites in cultured primary human hepatocytes. The presence of developing liver stages and the development of breakthrough blood infections in mice immunized with both *P. berghei* GAP show that the sporozoites of these GAP are not completely attenuated. Moreover, we provide evidence that the *P. falciparum*  $\Delta p52+p36$  GAP can produce replicating liver stages, indicating that this GAP is also not adequately attenuated. These results clearly indicate that these GAP vaccine candidates require additional refinement before advancing into clinical studies in humans. The high costs and long time frames associated with clinical trials makes them inefficient methods

to screen potential whole-organism vaccines against malaria. We therefore propose a robust and stringent screening approach, using multiple rodent malaria parasites and multiple mice strains, to determine the adequacy of GAP attenuation, as the best available and providing a stringent safety criterion before advancing with further clinical development and studies in humans.

## Materials and Methods

### Animals and parasites

Female C57BL/6, BALB/c and Swiss OF1 mice (6-8 weeks old; Charles River/Janvier) were used. All animal experiments were performed after a positive recommendation of the Animal Experiments Committee of the LUMC (ADEC) and RUNMC (RUDEC 2008-123, RUDEC 2008-148) was issued to the licensee. The Animal Experiment Committees are governed by section 18 of the Experiments on Animals Act and are registered by the Dutch Inspectorate for Health, Protection and Veterinary Public Health, which is part of the Ministry of Health, Welfare and Sport. The Dutch Experiments on Animal Act is established under European guidelines (EU directive no. 86/609/EEC regarding the Protection of Animals used for Experimental and Other Scientific Purposes).

The following reference lines of the ANKA strain of *P. berghei* were used: line cl15cy1[28]; line 676m1cl1 (*PbGFP-Luc<sub>con</sub>*; see RMgm-29 in [www.pberghei.eu](http://www.pberghei.eu)) and 507cl1 (*PbGFP<sub>con</sub>*; for details see RMgm-7 in [www.pberghei.eu](http://www.pberghei.eu)). *PbGFPcon* expresses GFP from the constitutive *eef1a* promoter and *PbGFP-Luc<sub>con</sub>* expresses a fusion protein of GFP and Luciferase from the *eef1a* promoter[29;30]. For *P. falciparum* the lines NF54 (wild type; wt) and mutant lines *Pf*Δ*p52+p36* and *Pf*Δ*p52+p36gfp*[31] were used. In these mutant lines of the NF54 line the *p52* (PFD0215c) and *p36* (PFD0210c) genes have been disrupted by double cross-over homologous integration[31]. Blood stages were cultured in a semi-automated culture system using standard in vitro culture conditions for *P. falciparum* and induction of gametocyte production in these cultures was performed as previously described[32;33].

### Generation and genotype analysis of *P. berghei* and *P. falciparum* mutants

Detailed description of the generation and genotyping of the *P. berghei* and *P. falciparum* mutants can be found in Supplemental Information.

### **Analysis of blood stage and oocyst development of *P. falciparum* and *P. berghei* mutant parasites**

*P. falciparum* blood stages were cultured in a semi-automated culture system using standard in vitro culture conditions for *P. falciparum* and induction of gametocyte production in these cultures was performed as previously described [32;33]. Blood stage development and production of gametocytes of *Pf* $\Delta$ p52+p36 and *Pf* $\Delta$ p52+p36gfp were analyzed as described [31] and were similar to parasites of the parent line NF54. Feeding of *A. stephensi* mosquitoes and determination of oocyst production was performed as described [34]. Oocyst production of both  $\Delta$ pf5236 and  $\Delta$ pf5236gfp were comparable to the parent line NF54 (Fig. 4A). The *P. berghei* mutants,  $\Delta$ p52+p36 and  $\Delta$ fabb/f, were maintained in Swiss OF1 mice. The multiplication rate of blood stages and gametocyte production were determined during the cloning procedure [28] and were not different from parasites of the reference ANKA lines. Feeding of *A. stephensi* mosquitoes and determination of oocyst production was performed as described [35].

### **Analysis of *P. berghei* and *P. falciparum* sporozoite motility, traversal and hepatocyte invasion**

Detailed protocols for the analysis of *P. berghei* and *P. falciparum* sporozoite production, traversal, and invasion as well as assays to monitor parasite development in cultured hepatocyte in vitro can be found in Supplemental Information.

### **Analysis of *P. berghei* sporozoite infectivity in mice and in vivo imaging of liver stage development in mice**

C57BL/6 mice were inoculated with sporozoites by intravenous injection of different sporozoite numbers, ranging from  $1 \times 10^4$  -  $5 \times 10^5$ . Blood stage infections were monitored by analysis of Giemsa-stained thin smears of tail blood collected on day 4-14 after inoculation of sporozoites. Pre-patency (measured in days after sporozoite inoculation) is defined as the day when parasitemia of 0.5-2% in the blood is observed. Liver stage development in live mice was monitored by real time in vivo imaging of liver stages as described [36;37]. Liver stages were visualized by measuring luciferase activity of parasites (expressing luciferase under the *eef1a* promoter) in whole bodies of mice or in dissected livers using the IVIS100 Imaging System (Caliper Life Sciences, USA). Animals were anesthetized using the isoflurane-anesthesia system (XGI-8, Caliper Life Sciences, USA), their belly was shaved and D-luciferin dissolved in PBS (100 mg/kg; Synchem Laborgemeinschaft OHG, Germany) was injected subcutaneously (in the neck). Animals were kept anesthetized during the measurements, which were performed within 3 to 5 minutes after the injection of D-luciferin. Bioluminescence imaging was acquired with a 10 cm FOV, medium binning factor and an exposure time of 10 to 180 seconds. Quantitative analysis of bioluminescence of whole bodies was performed by measuring the luminescence signal intensity using the ROI settings of the Living Image® 3.0 software. The ROI was set to measure the abdominal area at the location of the liver and ROI measurements are expressed in total flux of photons.

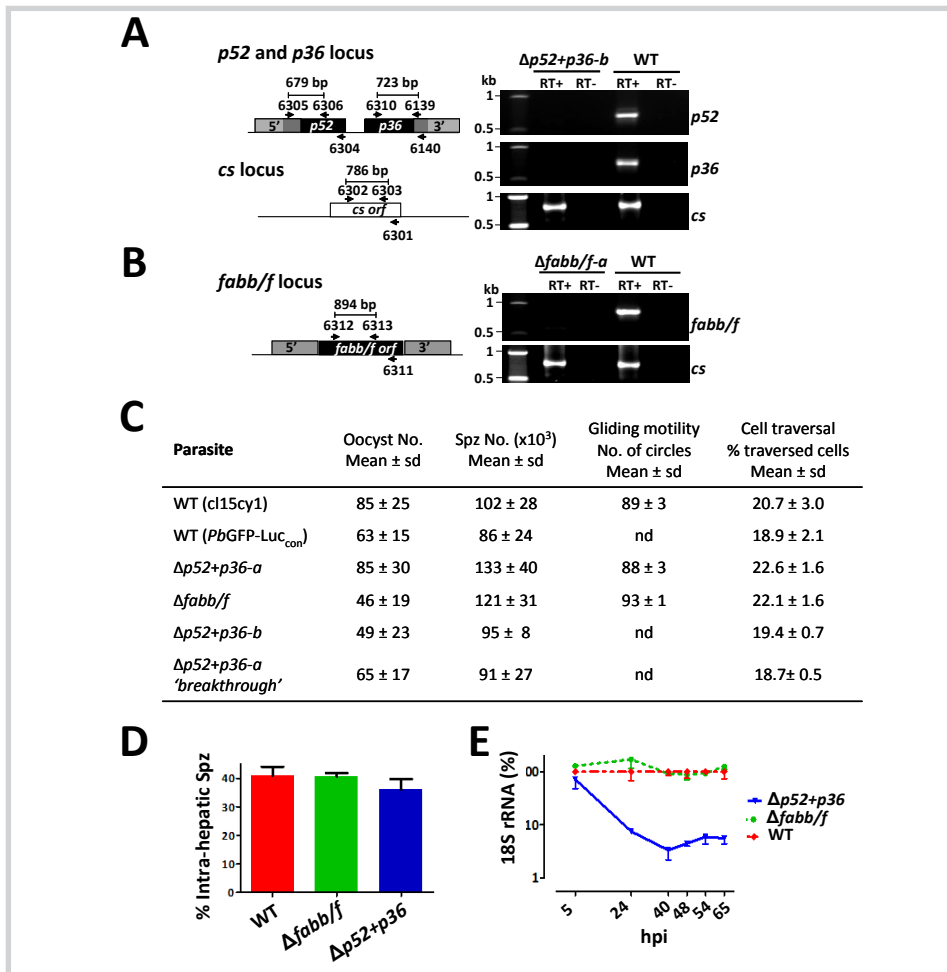
## Immunizations of mice with *P. berghei* sporozoites

BALB/C and C57BL6 mice were immunized by intravenous injection using different numbers of  $\Delta p52+p36$  and  $\Delta fabb/f$  sporozoites that were collected as described above. Immunized mice were monitored for blood infections by analysis of Giemsa stained films of tail blood at day 4-16 after immunization. Immunized mice were challenged at different time points after immunization by intravenous injection  $1 \times 10^4$  sporozoites from the *P. berghei* ANKA reference lines cl15cy1 or the *PbGFP-Luc<sub>con</sub>*. In each experiment, naïve mice were included to verify infectivity of the sporozoite used for challenge. After challenge, mice were monitored for blood infections by analysis of Giemsa stained films of tail blood at day 4-16. The pre-patent period is defined as the period (days) between sporozoite challenge and the day that mice showed a blood parasitemia of 0.5-2%.

## Results

### Generation and characterization of two *P. berghei* GAPs, $\Delta fabb/f$ and $\Delta p52+p36$

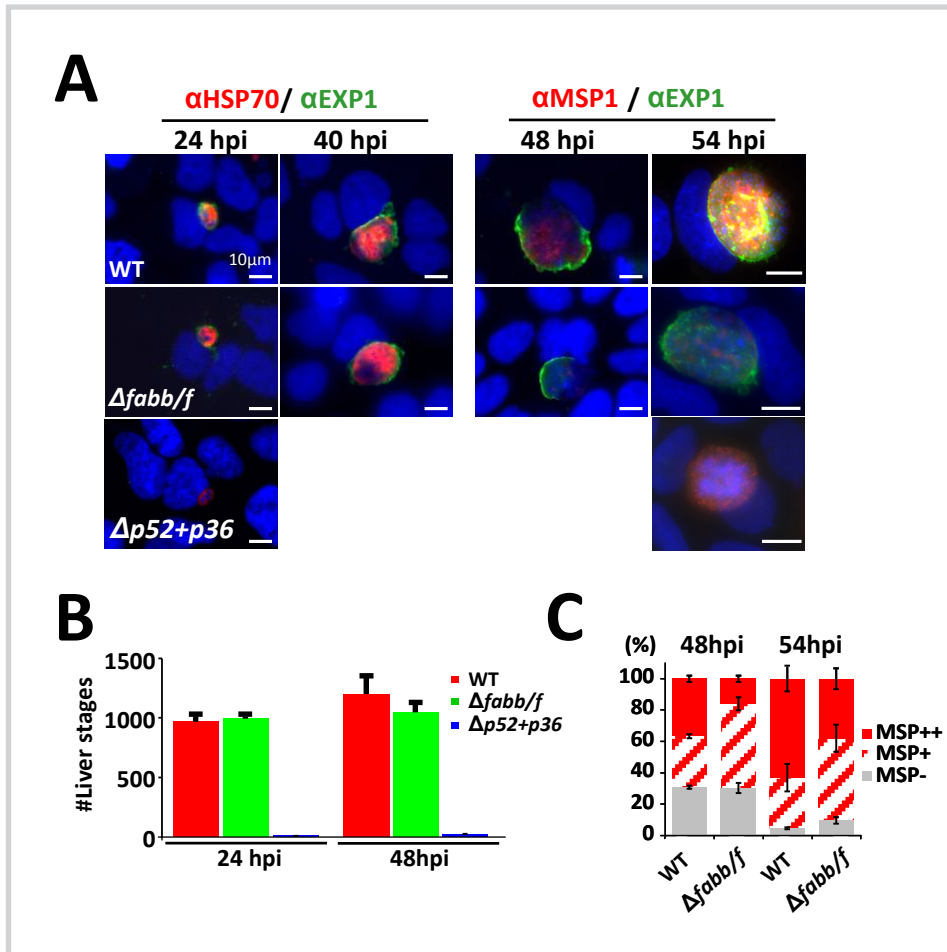
Two *P. berghei* gene-deletion mutants (GAP),  $\Delta fabb/f$  and  $\Delta p52+p36$ , were generated using standard methods of gene targeting by double cross-over integration and for each mutant two independent parasite clones were produced (Fig. S1-3; Table S1). For each GAP, one mutant was generated in the *P. berghei* reference reporter line, *PbGFP-Luc<sub>con</sub>*, which allows for visualisation and counting of GFP-expressing parasites in hepatocytes, *in vitro*, and analysis of liver-stage development in live mice by real time *in vivo* imaging [38]. The  $\Delta fabb/f$  mutant lacks expression of elongation condensing enzyme 3-oxoacyl-acyl-carrier protein synthase I/II, FabB/F (Fig. 1B), an enzyme of the bacterial-like type II fatty acid biosynthesis (FAS-II) pathway [39]. For *P. yoelii* it has been demonstrated that enzymes of this pathway are highly expressed in sporozoites and liver-stages and FabB/F was shown to be essential for complete development of liver stages [23;26]. The  $\Delta p52+p36$  Mutants lack expression of two 6-cys protein family members [21;40-42], P52 and P36 (Fig. 1A). These genes are expressed in sporozoites and liver stages and appear to be important to the formation and/or maintenance of the parasitophorous vacuole membrane in the infected hepatocyte [9;21;42].



**Figure 1. Characterisation of *P. berghei*  $\Delta p52+p36$  and  $\Delta fabb/f$  mutant parasites** **A.** qRT-PCR analysis showing absence of *p52* and *p36* transcripts in sporozoites of  $\Delta p52+p36-b$ . PCR amplification using purified sporozoite RNA was performed either in the presence or absence of reverse transcriptase (RT+ or RT-, respectively) using the primers as shown in the left panel (see Table S1 for the sequence of the primers). The *P. berghei* circumsporozoite protein gene (*cs*) was used as a positive control. **B.** qRT-PCR analysis showing absence of *fabb/f* transcripts in sporozoites mutant of  $\Delta p52+p36-b$ . PCR amplification using purified sporozoite RNA was performed either in the presence or absence of reverse transcriptase (RT+ or RT-, respectively) using the primers 6312 and 6313 (see Table S1 for the sequence of the primers). The *P. berghei* circumsporozoite protein gene (*cs*) was used as a positive control **C.** Table of oocyst and sporozoite production in *A. stephensi* mosquitoes (given as numbers), sporozoite motility (as numbers of anti-CS 'circle' trails) and cell traversal capacity (mean number of cultured hepatocytes, Huh7, traversed) of *P. berghei* wild type (WT) and mutant parasites. **D.** *In vitro* development 3 hours after sporozoites invasion of hepatocytes (Huh7) by *P. berghei* GAPs is represented as the ratio of extra- and intra-hepatic sporozoites; determined after 3 wash steps to remove sporozoites in suspension (see C). **E.** Growth of *P. berghei* GAPs intra-hepatic stages in culture (in Huh7 cells) at different hours post invasion (hpi) of sporozoites. Growth is determined by qRT-PCR of 18s *P. berghei* rRNA. RNA levels shown are relative to RNA levels of WT liver-stages.

The  $\Delta fabb/f$  and  $\Delta p52+p36$  mutants showed normal blood-stage development (Table S2) and produced oocyst and sporozoite numbers comparable to those of WT parasites (Fig. 1C). Salivary gland sporozoites demonstrated normal gliding motility, hepatocyte traversal and sporozoites of all mutants were able to invade hepatocytes at WT levels (Fig. 1C and 1D). After *in vitro* invasion of hepatocytes, the  $\Delta p52+p36$  mutants showed a greater than 90% reduction in liver stage development at 24 hours after sporozoite invasion as determined by qRT-PCR analysis of parasite ribosomal RNA (Fig. 1E). The early growth-arrest of  $\Delta p52+p36$  parasites was confirmed by analysis of infected hepatocytes by immuno-fluorescence microscopy after staining with Hoechst and antibodies against the parasite protein HSP70 (Fig. 2A and 2B). The early arrest of  $\Delta p52+p36$  parasites after hepatocyte invasion is similar to the phenotype reported for *P. berghei* mutants lacking expression of only P52 and *P. yoelii* mutants lacking both P52 and P36 [21]. In addition, immunization of BALB/c and C57BL/6 mice with the  $\Delta p52+p36$  mutants showed comparable levels of protection against challenge with WT parasites (Table S3) as observed with *P. berghei* mutants lacking *p52* or *P. yoelii* mutants lacking *p52* and *p36* [21]. Full protection was induced in BALB/c mice with a single dose with as few as 1000 sporozoites inoculated intravenously (IV) whereas protection in C57BL/6 mice required 3 immunizations (Table S3).

In contrast to the early growth-arrest phenotype of  $\Delta p52+p36$ , liver stages of  $\Delta fabb/f$  developed into mature forms as shown by qRT-PCR analysis and immuno-fluorescence microscopy (Fig. 1E, Fig. 2A). During *in vitro* liver stage development, the  $\Delta fabb/f$  parasites were morphologically similar to WT parasites as judged by immuno-fluorescence microscopy (Fig. 2A). However, schizonts showed a significantly lower level of expression of the merozoite surface protein 1 (MSP1); at 48 hours post infection (hpi) only 18% of the  $\Delta fabb/f$  schizonts strongly expressed MSP1 whereas 39% of WT parasites were MSP1-positive ( $p < 0.001$ ) and this increased to 54% in WT and 37% in  $\Delta fabb/f$  at 54hpi ( $p = 0.01$ ) as shown in Fig. 2C (and Fig. S5A/B). The normal morphology of maturing  $\Delta fabb/f$  liver stages and expression of MSP1 parasites is different from the phenotype reported for *P. yoelii* parasites lacking expression of FabB/F, where schizonts show clear signs of aberrant nuclear morphology and a complete absence of MSP1 expression [22].



**Figure 2. Development of *P. berghei*  $\Delta$ p52+p36 and  $\Delta$ fabb/f parasites *in vitro*** **A.** Development of liver-stage parasites of *P. berghei* GAPs in culture as shown by immuno-fluorescence analysis of parasites at different hpi. Staining with anti-PbCSP-antibodies at 3hpi in the invasion assay (see A) distinguishes extracellular (green) from intracellular (yellow/orange) sporozoites; anti-PbEXP1 and anti-HSP70 antibodies recognize the parasitophorous vacuole (green) and the parasite cytoplasm (red), respectively; anti-PbMSP1 antibodies (red) is a marker of PbMSP1 expression during merozoite formation in mature liver-stage parasites. Nuclei are stained with Hoechst-33342. In the  $\Delta$ fabb/f parasites PbMSP1 expression is strongly reduced (see D). While >99% of  $\Delta$ p52+p36 liver-stage parasites abort development soon after invasion a few, PbEXP1-negative, parasites do mature and are detectable at 54hpi. **B.** Mean number of intra-hepatic (liver stage) WT and *P. berghei* mutant parasites at 24hpi and 48hpi per *in vitro* culture well (4 wells counted per time point for each mutant sporozoite infection). **C.** Relative PbMSP1 expression in *P. berghei* liver-stages at 48hpi and 54hpi as determined by staining with anti-PbMSP1 antibodies of cultured liver-stages (see A). MSP++: intense staining; MSP+: weak staining; MSP-: MSP negative. See Figure S3 for the relative MSP1 staining of  $\Delta$ fabb/f liver-stage parasites.

## Sporozoites of the *P. berghei* GAPs, $\Delta fabb/f$ and $\Delta p52+p36$ , are not completely attenuated

To examine the adequacy of attenuation of the  $\Delta fabb/f$  and  $\Delta p52+p36$  mutants *in vivo*, we infected mice of two different strains, BALB/c and C57BL/6, with high sporozoite doses. An IV dose of 50K sporozoites did not result in blood infections in BALB/c mice in the two independent  $\Delta p52+p36$  mutants (Table 1). In contrast, IV injection of 50K sporozoites of the two  $\Delta fabb/f$  mutants resulted in breakthrough blood infections in the majority (80-100%) of BALB/c mice (Table 1). Moreover, all C57BL/6 mice developed a blood stage infection when infected with 50K sporozoites of both independent  $\Delta fabb/f$  mutants (Table 1). Genotyping of the breakthrough blood parasites ( $\Delta fabb/f_{br}$ ) by PCR and Southern analysis of chromosomes showed that these parasites had the  $\Delta fabb/f$  genotype (data not shown). The blood infections show a prolonged prepatency period of 1-2 days as compared to WT parasites. Assuming a *P. berghei* blood stage multiplication rate of 10x per 24 hour this delay to patency indicates a 90-99% reduction in the production and/or infectivity of the  $\Delta fabb/f$  exo-erythrocytic merozoites. Despite the

**Table 1.** Breakthrough blood infections after intravenous injection of different doses of *P. berghei* GAP sporozoites

Mice	Parasites	Dose	breakthrough/ infected animals <sup>a</sup>	breakthrough %	Pre-patency (days)
BALB/c	WT	1x10 <sup>4</sup>	5/5	100	5-6
	$\Delta fabb/f$ -a	5 x10 <sup>4</sup>	12/15	80	6-7
	$\Delta fabb/f$ -b	5 x10 <sup>4</sup>	10/10	100	7-8
	$\Delta p52+p36$ -a	5 x10 <sup>4</sup>	0/10	0	n/a
	$\Delta p52+p36$ -b	5 x10 <sup>4</sup>	0/10	0	n/a
	C57BL/6	WT	1 x10 <sup>4</sup>	5/5	100
C57BL/6	$\Delta fabb/f$ -a	5 x10 <sup>4</sup>	15/15	100	6-7
	$\Delta fabb/f$ -b	5 x10 <sup>4</sup>	10/10	100	6-7
	$\Delta p52+p36$ -a	5 x10 <sup>4</sup>	1/10	10	6
	$\Delta p52+p36$ -b	5 x10 <sup>4</sup>	2/10	20	6-7
	$\Delta p52+p36$ -br 'breakthrough' <sup>b</sup>	5 x10 <sup>4</sup>	7/12	58	6-8

<sup>a</sup> Number of mice showing breakthrough infections of the total number of infected mice.

<sup>b</sup>  $\Delta p52+p36$  'breakthrough' are parasites that were derived from a mouse that had a breakthrough blood infection after infection with sporozoites of mutant  $\Delta p52+p36$ .



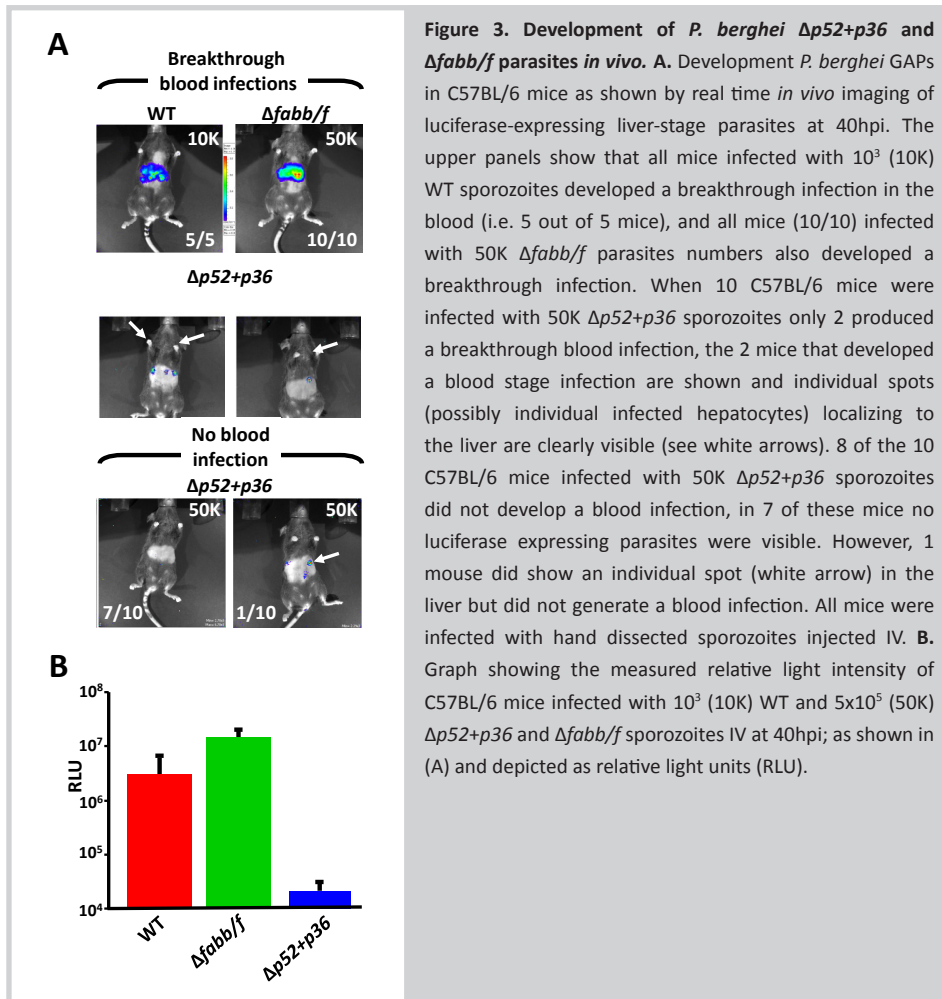
significant reduction in production of infectious merozoites, our results show that *P. berghei*  $\Delta fabb/f$  sporozoites are only weakly attenuated compared to *P. yoelii* sporozoites lacking expression of FabB/F [22].

As infection of BALB/c mice with 50K sporozoites of the  $\Delta p52+p36$  mutants did not result in breakthrough blood infections it was surprising that a low percentage of C57BL/6 mice (10-20%) produced breakthrough blood infections after inoculation with 50K sporozoites (Table 1). The prepatent period of these 'breakthrough' infections was prolonged by 1-2 days compared to WT. Genotyping of the breakthrough blood parasites ( $\Delta p52+p36_{br}$ ) by PCR and Southern analysis of chromosomes confirmed that these parasites had the  $\Delta p52+p36$  genotype (Fig. S1D). To examine the possibility that parasites can stably switch to an alternative, P52/P36 independent, mechanism of liver stage development we analysed infections in mice after inoculation of 50K sporozoites derived from the  $\Delta p52+p36_{br}$  parasites. Five out of 12 mice did not produce blood infections and those mice that developed a blood infection had a prolonged prepatent period of 1-2 days. Although the percentage of mice with breakthrough blood infections after infection with  $\Delta p52+p36_{br}$  sporozoites is higher (58%) than after infection with  $\Delta p52+p36$  sporozoites (10-20%), these results indicate that the  $\Delta p52+p36_{br}$  are not derived from parasites that had permanently switched to an efficient and P52/P36 independent mechanism of liver stage development.

## **Evidence for complete development of *P. berghei* $\Delta p52+p36$ parasites in hepatocytes *in vitro* and *in vivo***

For *P. berghei* it has been reported that WT sporozoites are not completely restricted to hepatocytes for development but can also develop into infectious merozoites in skin cells, albeit at a very low frequency [43;44]. The  $\Delta p52+p36$  breakthrough blood infections may therefore result from development of a low number of sporozoites in cells of other organs where the establishment of a PVM is less critical. To investigate whether  $\Delta p52+p36$  sporozoites could develop in hepatocytes into maturing liver stages we analysed development of  $\Delta p52+p36$  sporozoites in cultured hepatocytes and in mice using real time *in vivo* imaging of liver stage development. Most  $\Delta p52+p36$  parasites rapidly disappear from *in vitro* hepatocyte cultures as shown by quantitative analyses of infected hepatocytes by fluorescence microscopy. However, in depth analyses whereby

all hepatocytes present in the culture wells were analysed by fluorescence microscopy at 48h and 54h after adding sporozoites, showed very low numbers of  $\Delta p52+p36$  liver-schizonts, 1 to 4 per well, that were comparable in size to WT schizonts (Fig. 2A). These liver-schizonts expressed MSP1 as shown by staining with anti-MSP1 antibodies and contained large numbers of distinct nuclei comparable to mature WT schizonts (Fig. 2A). Interestingly, in contrast to schizonts of WT and  $\Delta fabb/f$ , the  $\Delta p52+p36$  schizonts were negative for staining with antibodies recognizing the PVM-resident protein EXP1, suggesting that the PVM of these parasites is compromised (Fig. 2A, Fig. S4A). We next examined development of  $\Delta p52+p36$  sporozoites in live mice using real-time *in vivo* imaging of luciferase-expressing parasites [38]. In the liver of mice infected with sporozoites of the reference WT line expressing luciferase, *PbGFP-Luc<sub>con</sub>*, liver stage luminescence signals can be detected at 24h after infection with sporozoites and imaging between 40h and 60h allows the detection of individual liver schizonts [38]. As expected, based on the  $\Delta fabb/f$  breakthrough blood infections and *in vitro* maturation of  $\Delta fabb/f$  liver schizonts, infected hepatocytes were clearly visible in all mice infected with 50K  $\Delta fabb/f$  sporozoites at 42hpi (Fig. 3A). In contrast, imaging mice infected with 50K  $\Delta p52+p36$  sporozoites, did not show luminescence signals at 42hpi in 7 out of 10 mice. None of the luminescence-negative mice developed a breakthrough blood stage infection, indicating the absence of developing  $\Delta p52+p36$  sporozoites. Interestingly, in 3 mice we observed a clear luminescence signal in the liver although luciferase signals were confined to a few (1-3) individual spots as compared to the strong luminescence signals of whole livers that were observed in mice infected with WT or  $\Delta fabb/f$  sporozoites (Fig. 3A). Two of the 3 luminescence-positive mice developed a breakthrough blood infection and genotyping of the progeny of the blood parasites confirmed the  $\Delta p52+p36$  genotype (Fig. S1D). Combined these results indicate that the breakthrough blood infections in these mice are associated with the presence of developing  $\Delta p52+p36$  parasites in the liver. The one mouse that was luminescence-positive but did not develop a blood infection may indicate that certain cases  $\Delta p52+p36$  liver sporozoites develop into maturing liver stages but abort development before production of infectious merozoites.



## Some *P. falciparum* $\Delta p52+p36$ sporozoites are able to develop into replicating liver-stage forms

We next examined if the ability of low numbers of  $\Delta p52+p36$  sporozoites to develop into maturing liver stages was specific for *P. berghei* or that a similar phenotype could also be observed for *P. falciparum*  $\Delta p52+p36$  parasites. Recently it has been reported that *P. falciparum*  $\Delta p52+p36$  sporozoites invaded but did not mature in hepatocytes in culture or in a chimeric mouse harboring human hepatocytes [27]. Using sporozoites derived from two *P. falciparum*  $\Delta p52+p36$  mutants, *Pf* $\Delta p52+p36$  and *Pf* $\Delta p52+p36gfp$  [45] we examined their development in cultures of primary human hepatocytes. These

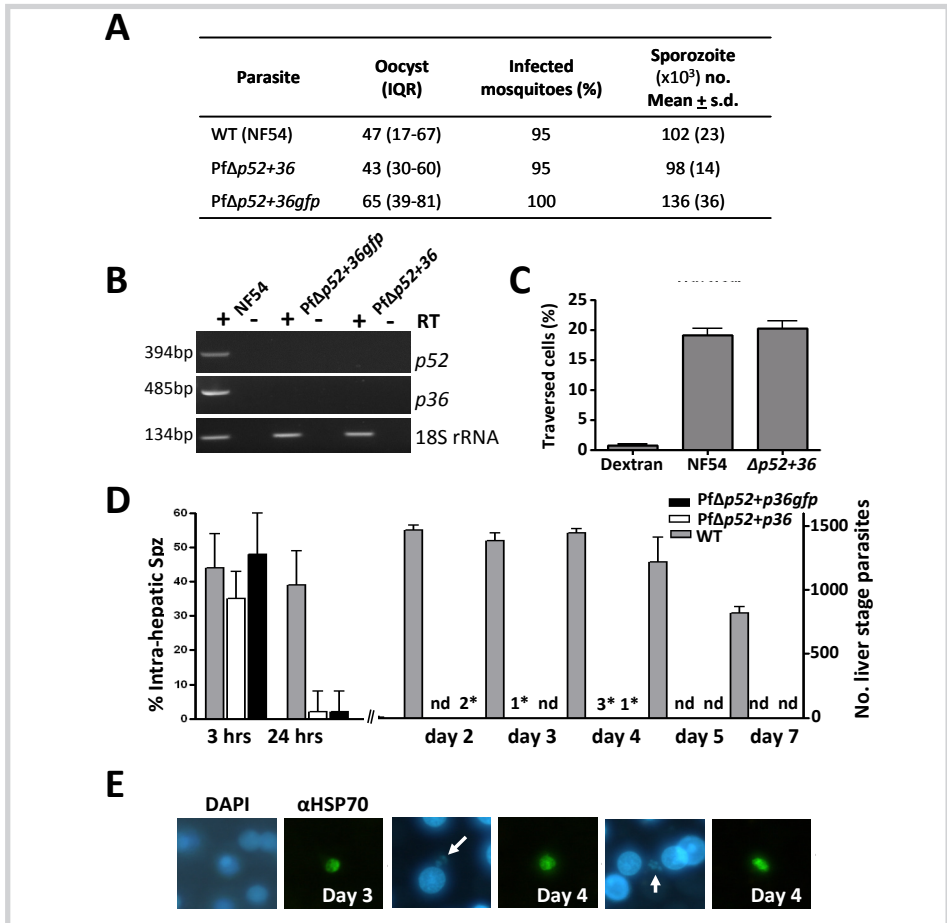
*P. falciparum* mutants show normal *in vitro* blood stage development [45] as well as oocyst and sporozoite production (Fig. 4A) and we confirmed by RT-PCR that sporozoites of these mutants are unable to express either *p52* or *p36* (Fig. 4B). In culture, sporozoites showed cell traversal (Fig. 4C) and hepatocyte invasion (Fig. 4D) comparable to WT sporozoites but 24h after invasion the vast majority (>99%) of parasites became arrested as observed by HSP70 antibody-staining on days 2-7 post invasion (Fig. 4D). However, after in depth analyses whereby all hepatocytes present in the culture wells were analyzed by fluorescence microscopy, we detected very low numbers of *Pf* $\Delta$ *p52+p36* parasites (occasionally 1 per well) at day 2, 3 and 4 after sporozoite invasion that were comparable in size to WT parasites. These parasites could be detected in both the *Pf* $\Delta$ *p52+p36* and *Pf* $\Delta$ *p52+p36gfp* mutant and the parasites present at day 4 clearly demonstrated nuclear division as shown by the presence of multiple, DAPI-stained nuclei (Fig. 4E). Since the *p52* and *p36* genes of both mutants had been deleted by double cross-over homologous recombination, the replicating parasites present at day 4 cannot be due to parasites that have a WT genotype as a result of a 'reversion' event that can occur when genes are deleted by a single cross-over homologous recombination [34]. These observations therefore provide evidence that *P. falciparum* sporozoites can progress into replicating liver stages in the absence of P52 and P36, comparable to *P. berghei*  $\Delta$ *p52+p36* sporozoites.

## Discussion

In this study we report an assessment of the adequacy of attenuation using the *P. berghei* rodent model of two GAPs for which a complete liver stage growth arrest has been previously reported in BALB/c mice infected with the rodent parasite *P. yoelii*. For both *P. berghei* and *P. yoelii* it has been shown that the bacterial like type II fatty acid synthesis (FAS II) pathway plays an important role for liver stage development [22;46]. Deletion of 3 of the 4 genes that encode the key enzymes of this pathway, *FabB/F*, *FabZ* and *FabI*, have no effect on blood stage development but severely affect late liver stage development [22;46]. In *P. yoelii* deletion of either *FabB/F* or *FabZ* resulted in a complete growth arrest of liver stages. Moreover, it has been recently reported that *P. yoelii* parasites lacking *FabB/F* give rise to broader and larger protective CD8 responses in mice, than either *IrrSpz* or early arresting GAPs, making them promising 'second-generation' GAPs [23]. In

contrast to the observations in *P. yoelii*, we found that *P. berghei* GAP lacking expression of FabB/F is not attenuated, in either BALB/c or C57BL/6 mice, although the prolonged prepatent period to a blood infection indicates a significant reduction in the generation of infectious merozoites. This phenotype of partial attenuation is comparable to the phenotype of *P. berghei* mutants lacking expression of FabI [46], which also showed a severe delay in the onset of blood stage patency. Liver schizonts of *P. yoelii* mutants lacking expression of FASII pathway enzymes showed clear features of aberrant nuclear division and an absence of the merozoite specific protein, MSP1, expression. In contrast, the liver schizonts of the *P. berghei* mutants expressed MSP1 although the level of MSP1 expression was clearly delayed in comparison to WT parasites. These observations indicate that differences exist as to the essential nature of the FAS II pathway for *P. berghei* and *P. yoelii* liver stages. To which extent *P. falciparum* liver stages are dependent on the FASII pathways is as yet unknown and awaits investigations on mutant *P. falciparum* liver stages in primary hepatocytes.

*P. yoelii* and *P. berghei* GAP lacking expression of P52 and P36 show a developmental arrest early after invasion of the hepatocyte [9;21]. These proteins belong to the 6-cys protein family consisting of 10 members, most of which are expressed in a discrete stage-specific manner; in gametocytes, sporozoites or merozoites [41]. P52, a putative GPI-anchored protein and P36, a putative secreted protein, are both expressed in sporozoites and early liver stages [41;42;47]. Despite the early growth-arrest phenotype, C57BL/6 mice inoculated with sporozoites of 'single gene deletion' mutants lacking either P52 or P36 result in breakthrough blood infections in a low number of mice [9]. Since both proteins belong to the same family of proteins and their genes form a paralogous pair in the genome it has been reasoned that they may perform partly redundant functions and that removal of both genes might result in parasites that show a complete growth arrest during development in the liver. Indeed, complete attenuation has been reported for *P. yoelii* sporozoites that lack expression of both P52 and P36 [21] and this attenuation of the 'double gene deletion' mutants was demonstrated by the absence of breakthrough blood infections in BALB/c mice or Wistar rats after IV injection of up to  $10^5$  sporozoites. In agreement with these studies we found no breakthrough blood infections with *P. berghei* sporozoites lacking both P52 and P36 when tested in BALB/c mice. However, when C57BL/6 mice were injected with similar doses of sporozoites of the *P. berghei* 'double gene deletion' mutants, we observed breakthrough blood infections in a low percentage of mice, showing that these mutants



**Figure 4. Characterisation of *P. falciparum*  $\Delta p52+p36$  (Pf $\Delta p52+p36$  and Pf $\Delta p52+p36gfp$ ) parasites. A.** Oocyst and sporozoite production in *A. stephensi* mosquitoes infected with *P. falciparum* wild type (WT), Pf $\Delta p52+p36$  and Pf $\Delta p52+p36gfp$  parasites. **B.** RT-PCR analysis showing absence of *p52* and *p36* transcripts in *P. falciparum* mutant sporozoites. PCR amplification using purified sporozoite RNA was performed either in the presence or absence of reverse transcriptase (RT+ or RT-, respectively), the positive control was performed by PCR of 18S rRNA using primers 18Sf/18Sr (for primer sequences see Methods). **C.** Cell traversal ability of *P. falciparum* WT (NF54) and mutant sporozoites as determined by FACS counting of Dextran positive hepG2 cells. Dextran: hepatocytes cultured in the presence of Dextran but without the addition of sporozoites. **D.** *In vitro* invasion of *P. falciparum*  $\Delta p52+p36$  sporozoites and development of liver-stages in primary human hepatocytes. Invasion is represented as the ratio of extra- and intracellular sporozoites by double staining at 3 and 24 hpi, determined after 3 wash steps to remove sporozoites in suspension. From day 2 to 7 the number of parasites per 96-well was determined by counting parasites stained with anti-*P. falciparum* HSP70 antibodies. \* Total number of liver-stages observed in 6 wells, where infected cells were not identified they are indicated as not detected (nd). **E.** At day 4 low numbers of liver-stages were detected, possessing multiple nuclei (i.e. replicating) as shown by DAPI staining of their nuclei (white arrows).

did not completely abort development in the liver. These results indicate that differences may exist between *P. yoelii* and *P. berghei* on their dependence on P36 and P52 for liver stage development comparable to the differences in dependence on the FAS II pathway. For P52 evidence has been presented for a role in establishment and/or maintenance of the parasitophorous vacuole [9;21;42] and the early growth-arrest of sporozoites lacking P52 would suggest that liver stage parasites cannot develop in the absence of a competent PVM. For both *P. berghei* and *P. yoelii* it has recently been reported that WT sporozoites are not completely restricted to hepatocytes for development but can also develop into infectious merozoites in skin cells, albeit at a very low frequency [43;44]. It may therefore be that the  $\Delta p52+p36$  breakthrough blood infections in the C57BL/6 mice arise from sporozoites that have invaded and undergone development in cells other than the liver. However, our observations on maturation of *P. berghei*  $\Delta p52+p36$  liver stages both in cultured hepatocytes and as in living mice using *in vivo* imaging provide evidence that breakthrough infections result from merozoites derived from schizonts developing in hepatocytes. Apart from a possible difference in attenuation between *P. yoelii* and *P. berghei* sporozoites lacking P52 and P36, the observed *P. berghei* breakthrough blood infections may also be explained by differences in intracellular survival of attenuated sporozoites inside cells from different mouse strains. Breakthrough blood infections were only observed in C57BL/6 mice and like in *P. yoelii*, infection of BALB/c mice with high doses of *P. berghei*  $\Delta p52+p36$  did not result in 'breakthrough' blood infections. It is known that large difference exist in the dose of sporozoites that is needed to obtain full protective immunity in C57BL/6 and BALB/c mice, where C57BL/6 mice are the more difficult to protect requiring multiple boosting immunizations. It has been suggested that differences in the protective immune responses may be partly attributed to the presence of an immunodominant CD8 +T cell epitope present in the circumsporozoite protein that is H2Kd -restricted [48-50]. Our observations that all  $\Delta p52+p36$  infected liver cells are removed in BALB/c mice whereas low numbers of  $\Delta p52+p36$  sporozoites are able to complete full liver development in C57BL/6 mice indicate that differences exist between these mouse strains in both the innate and acquired immune responses that are responsible for the recognition and removal of infected hepatocytes. Studies with IrrSpz of *P. yoelii* inoculated into both BALB/c and immunocompromised mice have shown that sufficiently irradiated sporozoites are unable to create breakthrough blood infections, indicating that abortion of development is due the failure of the parasite to multiply and not the host to eliminate the infection [51]. Interestingly, our observations

of breakthrough blood infections of the two rodent GAP provide evidence that the adequacy of sporozoite attenuation is not only dependent on the *Plasmodium* species studied, as in the case of genes encoding enzymes of the FASII pathway, but can also be influenced by host factors. Our results demonstrate that *P. berghei* in C57BL/6 mice is a more stringent model for preclinical testing of these GAPs than *P. yoelii* in BALB/c mice. This observation is emphasized by our analysis of *P. falciparum*  $\Delta p52+p36$  GAP in cultured primary human hepatocytes. The observations of low numbers of replicating liver stages demonstrates that maturation of  $\Delta p52+p36$  liver stages is not specific for *P. berghei* but can also occur in *P. falciparum* and underscores the incomplete attenuation of *Plasmodium* GAP lacking both P52 and P36. While we were not able to observe replicating *P. falciparum*  $\Delta p52+p36$  liver stages after day 4, we believe that this may result from the drop of 30-40% we observe in cultured primary human hepatocytes between day 5 and day 7, as can be observed with WT infected hepatocytes. Therefore the few  $\Delta p52+p36$  replicating parasites may be below the level of detection in this assay. In a recent clinical trial, where human volunteers were immunized with *P. falciparum*  $\Delta p52+p36$  GAP a breakthrough blood infection was confirmed in one volunteer [52].

In conclusion, our combined data based on *P. berghei* and *P. falciparum* provides a strong indication that  $\Delta p52+p36$  and  $\Delta fabb/f$  GAP are not sufficiently attenuated to move forward for further clinical development. Multiple genes governing independent cellular process, vital to liver-stage development, must be removed such that abortion of liver stage development is complete. Our data underline the need for stringent preclinical testing of GAP before advancing into human vaccine trials. We therefore propose that GAP attenuation evaluation should preferably include, but not be limited to: (i) generation and analysis of equivalent GAPs in both *P. yoelii* and *P. berghei*; (ii) these GAPs should be tested for breakthrough blood infections in different mice strains (e.g. BALB/c, C57BL/6 and outbred mice) with escalating doses of sporozoites; and (iii) analysis of the corresponding *P. falciparum* GAP should be tested for liver-stage development in cultured human hepatocytes.



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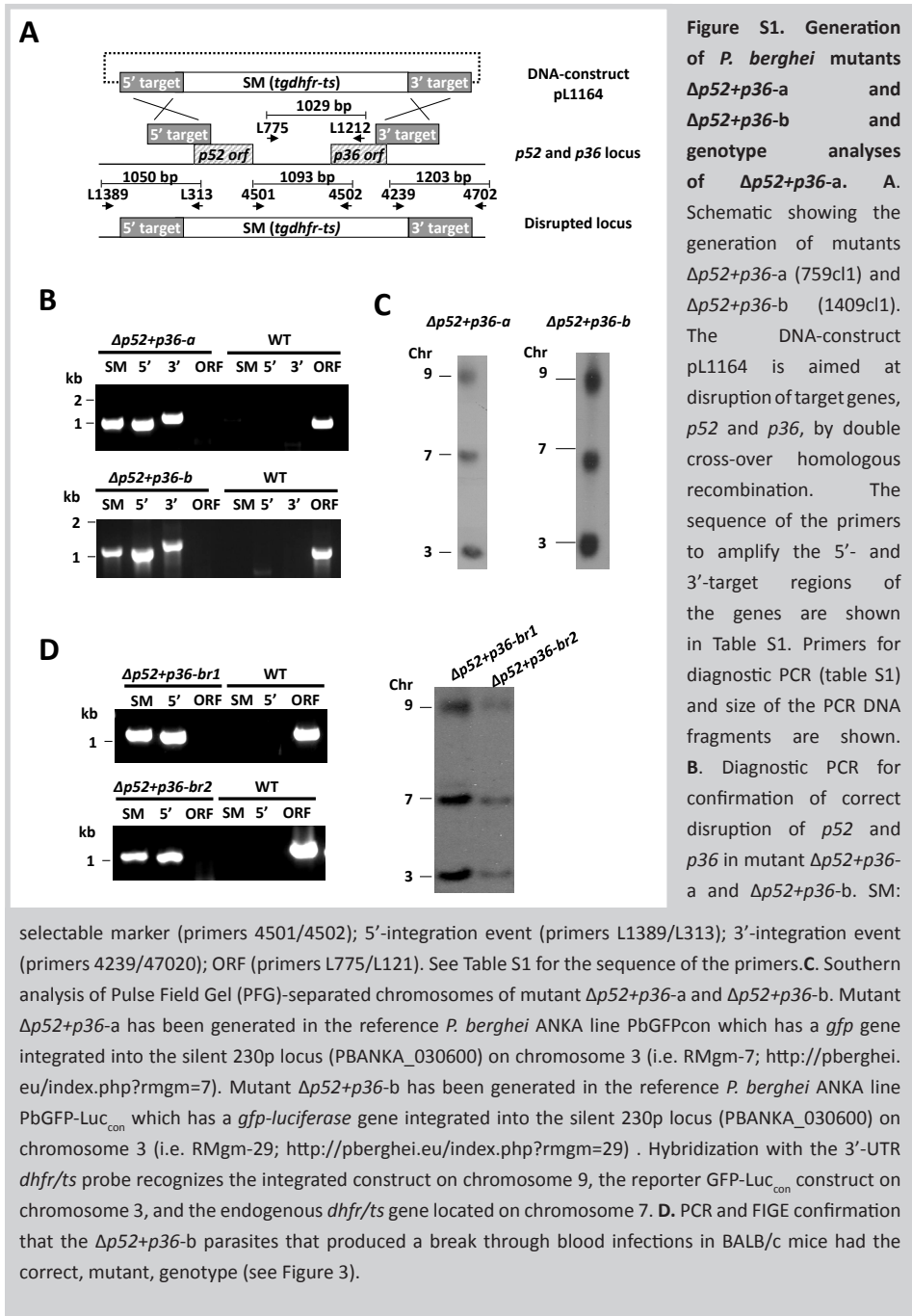
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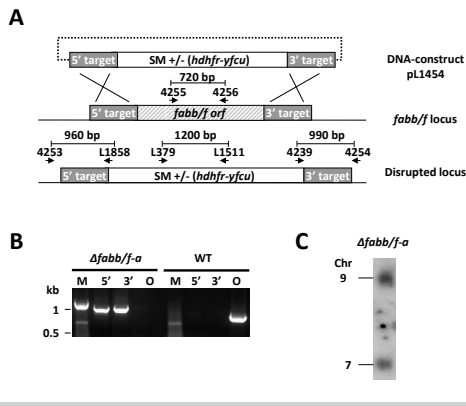
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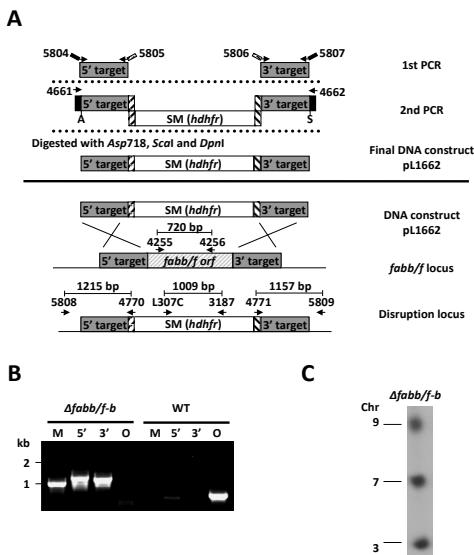
## Supplementary Material





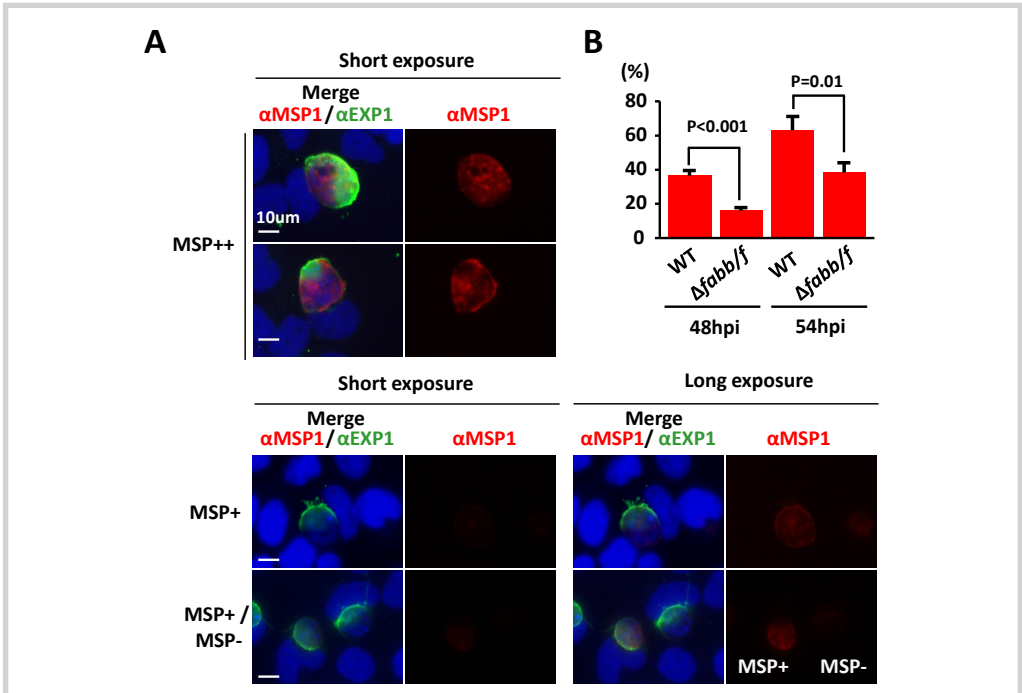
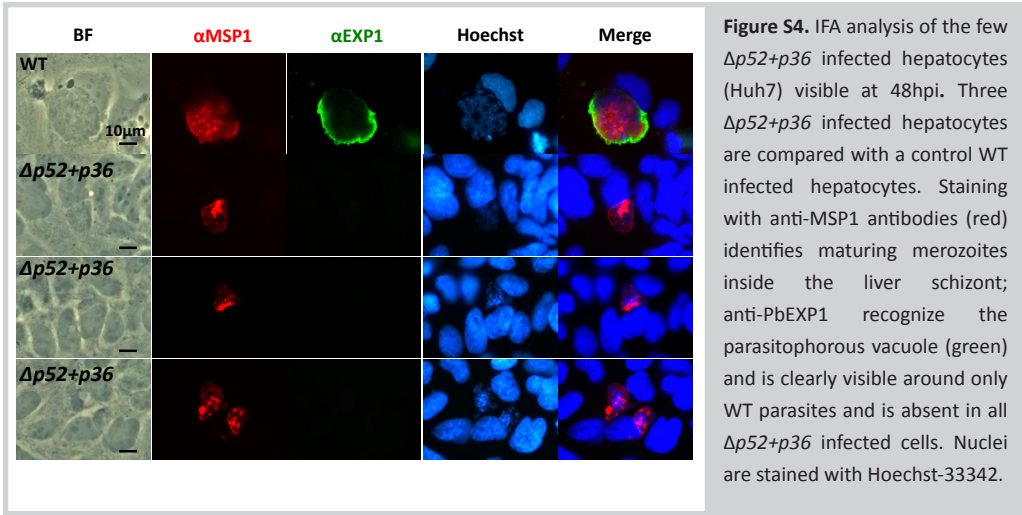
4255/4256). See Table S1 for the sequence of the primers. C. Southern analysis of PFG-separated chromosomes of mutant *Δfabb/f-a*. This mutant has been generated in the reference *P. berghei* ANKA line cl15cy1. Hybridization with the 3'-UTR *dhfr/ts* probe recognizes the integrated construct on chromosome 9 and the endogenous *dhfr/ts* gene located on chromosome 7.

**Figure S2. Generation and genotype analyses of *P. berghei* mutant; *Δfabb/f-a*.** A. Schematic showing the generation of mutant *Δfabb/f-a* (1345cl1). The DNA-construct pL1454 is aimed at disruption of target gene by double cross-over homologous recombination. The sequence of the primers to amplify the 5'- and 3'-target regions of the genes are shown in Table S1. Primers for diagnostic PCR (Table S1) and size of the PCR DNA fragments are shown. B. Diagnostic PCR for confirmation of correct disruption of *fabb/f* in mutant *Δfabb/f-a*. SM: selectable marker (primers L379/L1511); 5'-integration event (primers 4253/L1858); 3'-interation event (primers 4239/4254); ORF (primers



fragment. To remove the 'anchor', the second PCR fragment was digested with *Asp718* and *ScaI* as primer 5804 contained an *Asp718* restriction enzyme site and 5807 contained a *ScaI* site. See Table S1 for the sequence of the primers. B. Diagnostic PCR for confirmation of correct disruption of *fabb/f* in mutant *Δfabb/f-b*. SM: selectable marker (primers L307C/3187); 5'-integration event (primers 5808/4470); 3'-interation event (primers 4471/5809); ORF (primers 4255/4256). See Table S1 for the sequence of the primers. C. Southern analysis of PFG-separated chromosomes of mutant *Δfabb/f-b*. This mutant has been generated in the reference *P. berghei* ANKA PbGFP-Luc<sub>con</sub> which has a *gfp-luciferase* gene integrated into the silent 230p locus (PBANKA\_030600) on chromosome 3. Hybridization with the 3'-UTR *dhfr/ts* probe recognizes the integrated construct on chromosome 9, the reporter GFP-Luc<sub>con</sub> construct on chromosome 3, and the endogenous

**Figure S3. Generation and genotype analyses of *P. berghei* mutant; *Δfabb/f-b*.** A. Schematic showing the generation of mutant *Δfabb/f-b* (1704cl1). The DNA-construct pL1662 is aimed at disruption of target gene by double cross-over homologous recombination. The construct was generated by an adapted 'Anchor-tagging' PCR-based method employing a 2-step PCR reaction. In the first PCR step two-flanking fragments of *fabb/f* were amplified from genomic DNA with the primers 5804/5805 (5') and 5806/5807 (3'). Both primer 5805 and 5806 have 5'-terminal extensions homologous to the *hdhfr* selectable marker cassette (SM) obtained from plasmid pL0040 by digestion with restriction enzymes *XhoI* and *NotI*. Primers 5804 and 5807 have 5'-terminal overhang with an anchor-tag suitable for the second PCR step. In this step the fragments were annealed to either side of the SM with anchor-tag primers 4661/4662, resulting in the second PCR



**Figure S5 A.** MSP1 IFA expression criteria of liver-stage parasites at 54hpi. Parasites are stained with anti-MSP1 (red) and anti EXP1 (green)-antibodies. Nuclei are stained with Hoechst-33342. MSP++: MSP1 staining visible after short exposure (0.5 sec); MSP +: MSP1 staining only visible after long exposure (4 sec); MSP-: MSP1 staining not visible even after long exposure (4 sec), using a Leica DFC 420C camera and ebq 100 lamp, see Material and Methods for details. **B.** The percentage of (strongly; i.e. MSP++) MSP1 expressing liver stage parasites was determined at 48hpi and 54hpi (see Figure 2C). There are significantly more MSP++ positive WT infected hepatocytes than MSP++  $\Delta fabb/f$  infected hepatocytes at both 48 and 54hpi (using a paired student t-test;  $p<0.001$  at 48hpi and  $p=0.01$  at 54hpi; GraphPad Prism 5<sup>®</sup> software).



**Table S3:** Protection of BALB/c and C57BL/6 mice after immunization with  $\Delta p52+p36$  GAPs

Mice	GAP	Imm <sup>n</sup> dose	Challenge after Imm <sup>n</sup> days (re-challenge)	Protected /infected No. of mice (re-challenge)	Pre-patency days
BALB/c	$\Delta p52+p36$	50K	10d	10/10	n/a
			(90d)	(10/10)	
			(180d)	(10/10)	
	$\Delta p52+p36$	25K	10d	10/10	n/a
			(90d)	(10/10)	
			(180d)	(10/10)	
	$\Delta p52+p36$	10K	10d	10/10	n/a
			(90d)	(10/10)	
		(180d)	(10/10)		
$\Delta p52+p36gfp::luc$	10K	10d	10/10	n/a	
		(90d)	(10/10)		
		(180d)	(10/10)		
$\Delta p52+p36$	5K	10d	10/10	n/a	
		(90d)	(10/10)		
		(180d)	(10/10)		
$\Delta p52+p36gfp::luc$	5K	10d	10/10	n/a	
		(180d)	(10/10)		
$\Delta p52+p36gfp::luc$	1K	10d	8/9	6	
		(180d)	(5/5)		
C57BL/6	$\Delta p52+p36$	50K	10d	0/5	6
	$\Delta p52+p36gfp::luc$	50K	10d	0/5	6
	$\Delta p52+p36$	50/20/20K <sup>b</sup>	180d	6/7 <sup>c</sup>	7 <sup>c</sup>

<sup>a</sup>Wt challenge constitutes 10K sporozoites delivered i.v.

<sup>b</sup>immunization regiment (Imm<sup>n</sup>): 50K sporozoites i.v. day0 followed by a boost of 20K sporozoites i.v. at day 7 and day 14. <sup>c</sup>40 mice were exposed to the 50/20/20K immunization regiment, only 7 mice remained blood-stage negative and these mice then received their first challenge with WT parasites after 6 months (10K sporozoites IV), 6/7 mice were protected and 1 mouse developed a patent blood stage infection at day 7.





# Chapter 8

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## Removal of heterologous sequences from *Plasmodium falciparum* mutants using FLPe-Recombinase

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## Abstract

Genetically-modified mutants are now indispensable *Plasmodium* gene-function reagents, which are also being pursued as genetically attenuated parasite vaccines. Currently, the generation of transgenic malaria-parasites requires the use of drug-resistance markers. Here we present the development of an FRT/FLP-recombinase system that enables the generation of transgenic parasites free of resistance genes. We demonstrate in the human malaria parasite, *P. falciparum*, the complete and efficient removal of the introduced resistance gene. We targeted two neighbouring genes, *p52* and *p36*, using a construct that has a selectable marker cassette flanked by FRT-sequences. This permitted the subsequent removal of the selectable marker cassette by transient transfection of a plasmid that expressed a 37°C thermostable and enhanced FLP-recombinase. This method of removing heterologous DNA sequences from the genome opens up new possibilities in *Plasmodium* research to sequentially target multiple genes and for using genetically-modified parasites as live, attenuated malaria vaccines.

## Introduction

The genomes of several different *Plasmodium* species are either completely sequenced, or near to completion. This includes those of the most important human malaria parasites, *P. falciparum* and *P. vivax*, as well as three closely related rodent species; *P. chabaudi*, *P. yoelii* and *P. berghei* [1,2,3,4]. Comparative analyses of *Plasmodium* genomes and genomes of other organisms have greatly improved the identification and assignation of putative functions to *Plasmodium* genes, and these analyses have revealed that about 50% of malaria parasites genes cannot be assigned a function by homology and it is therefore likely that many of these genes perform functions that are unique to *Plasmodium*. In the absence of efficient forward genetic screens, reverse genetics, specifically targeted gene deletion and phenotype analysis, is currently the front line methodology to study *Plasmodium* specific gene function [5]. Currently, the permanent removal of genes from the human parasite *Plasmodium falciparum* requires the targeted integration of plasmids into the genome by double cross-over homologous recombination. This approach uses a 'positive-negative' selection strategy and results in the introduction of drug resistance-markers into the genome [6]. Specifically, transgenic parasites that have the targeting construct integrated by single-cross over recombination are first selected using one of a limited set of resistance markers and drug combinations [5,6,7,8]. Subsequently, these parasites are subjected to 'negative' drug selection to select for mutants that have permanently removed the gene of interest by an internal double cross-over recombination event [6,9]. The limited number of resistance markers in *P. falciparum* severely compromises the possibilities for sequential genetic modifications. As a result no *P. falciparum* mutants have currently been reported where two or more genes have been targeted by sequential transfection procedures.

A recent development using reverse genetics in rodent parasites has been the generation and analysis of 'attenuated' parasites engineered through gene-deletion. These genetically attenuated parasites (GAP) can either become developmentally arrested subsequent to invasion of liver cells [10] or infections with those GAPs that are associated with a marked decrease in the virulence in the host [11,12,13]. A number of these lines are now being tested and used in research aimed at developing malaria vaccines that consist of attenuated parasites. The translation of such genetically modified parasites into human vaccines may require the removal of resistance markers from the parasites genome.

Specifically, multiple gene deletions may be necessary to reach complete attenuation and removal of resistance markers is essential in light of regulations governing the use of genetically attenuated organisms in vaccines [14,15]. Here we report on the development of an efficient FLP recombinase system that in combination with the positive-negative drug selection strategy permits the generation of *P. falciparum* gene deletion mutants lacking resistance markers. The yeast FLP recombinase recognizes a 34 nucleotide FLP recognition target (FRT) site and excises any intermediate DNA sequences located between two identically oriented FRT sites (referred to FRTed sequence) [16]. The FLP/FRT system has been previously applied in *Plasmodium* for generation of a 'conditional knock-out' system for deleting genes from the rodent parasite *P. berghei* in mosquito stages [17,18,19]. However, using the FLP/FRT system to efficiently delete genes in blood stage parasites has not been reported.

In this paper we now describe the removal of the resistance marker using a 37°C thermostable enhanced FLP recombinase from a parasite in which the neighbouring genes *p52* and *p36* were deleted. This mutant is actively being pursued as a potential GAP for use in humans, using the standard approach of generation gene deletion mutants [20,21]. The ability to remove resistance markers from the *P. falciparum* mutant genome will be important not only for research into parasite gene function but also for generating genetically-modified parasites that may serve as live, attenuated malaria vaccines.

## Results

### Generation of a gene deletion 'FRT' targeting construct for *P. falciparum*

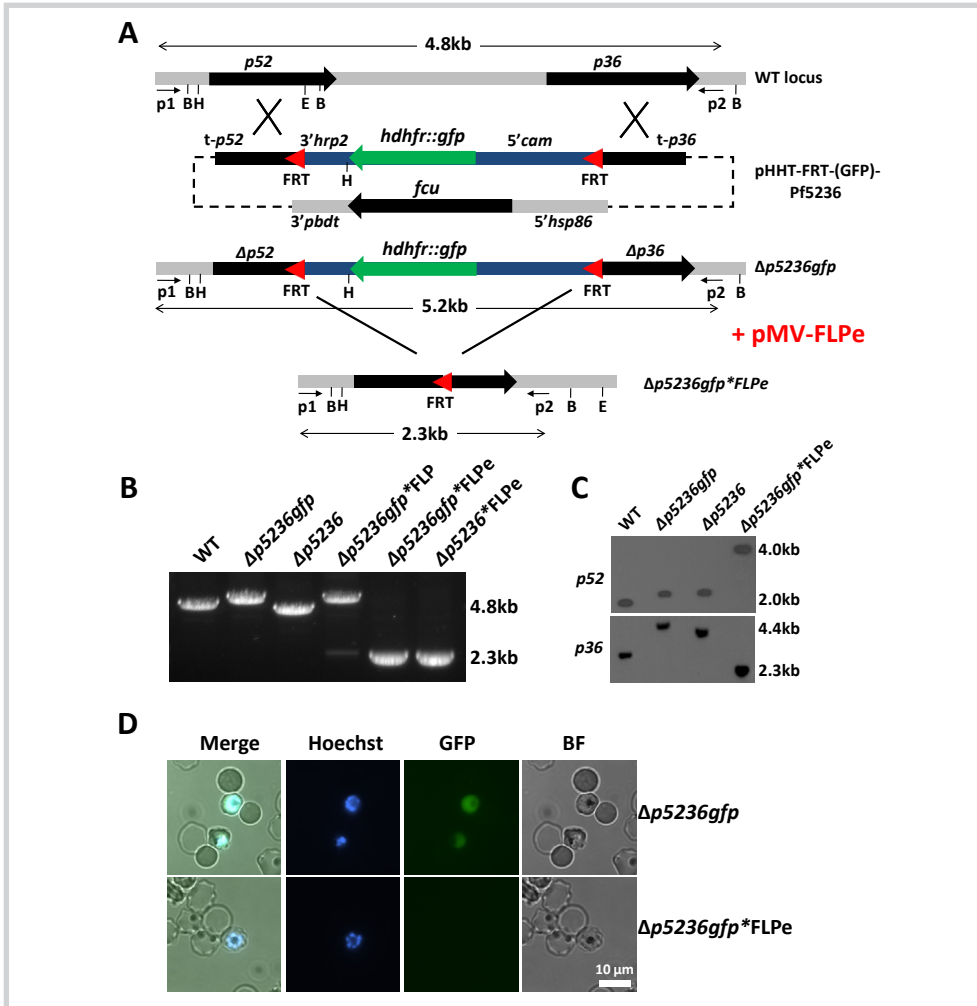
In order to permit removal of resistance markers from the genome of *P. falciparum* during blood-stage culture, we introduced 2 FRT sites into the standard positive-negative transfection construct (pHHT-FCU) [9] along with gene integration sequences designed to simultaneously target the *P. falciparum* paralogous genes, *p52* and *p36*, resulting in the construct, pHHT-FRT-Pf5236 (Figure 1A). The genes *p52* and *p36* are a closely related

and paralogous pair of genes which are located in tandem on chromosome 4 in the *P. falciparum* genome, separated by only 1.4 kb [20,21,22,23,24]. In the pHHT-FRT-Pf5236 construct the FRT sites have been positioned to flank the two *p52/p36* gene-targeting regions in an identical orientation (Figure 1A). This orientation should enable FLP-mediated excision of the *hdhfr*-resistance cassette located between the FRT sites. We further modified this vector by replacing the *hdhfr* resistance marker for a *hdhfr::gfp* fusion gene, thereby producing the construct pHHT-FRT-(GFP)-Pf5236 (Figure 1A). The *hdhfr::gfp* fusion gene permits both the selection of transformed parasites by WR99210 treatment and the visualization of transformed parasites by fluorescent microscopy.

## Generation and characterization of FRT containing $\Delta p5236$ and $\Delta p5236gfp$ parasites

The constructs pHHT-FRT-Pf5236 and pHHT-FRT-(GFP)-Pf5236 were independently transfected into *P. falciparum* blood stages using electroporation [25]. In these experiments double-cross over gene deletion mutants were selected (referred to as  $\Delta p5236$  and  $\Delta p5236gfp$ ) by standard positive-negative selection using the drugs WR99210 and 5-FC respectively [9]. The correct integration of the two constructs into the genome of parasites that had undergone positive and negative drug selection was analysed using an adapted long-range PCR (LR-PCR) method and Southern analysis. The ability to amplify >5kb DNA sequences by LR-PCR permits us to now rapidly screen the genotypes in parental populations of transfected *P. falciparum* parasites (see Material and Methods for details of the optimized LR-PCR method). Using both LR-PCR and Southern analysis we confirmed that deletion of *p52/p36* by double cross-over integration of the targeting constructs had occurred (Figure 1B, C). Next,  $\Delta p5236gfp$  parasites were analyzed by fluorescence microscopy and all parasites displayed GFP-expression (Figure 1D, top panels).

Unlike in conventional *P. falciparum* gene deletion transfection experiments parasite cloning was not performed at this stage and we proceeded directly with the next step, specifically the removal of the resistance marker between the 2 FRT sites from the genome of  $\Delta p5236$  and  $\Delta p5236gfp$  parasites. For excision of the FRTed sequence, we generated two additional plasmids for transient expression of FLP after episomal transfection into the FRT-containing parasites.



**Figure 1. FLPe mediated excision of resistance markers from *P. falciparum* gene deletion mutants.** **A.** Schematic representation of the genomic locus of wild-type (WT), gene deletion mutant  $\Delta p5236gfp$  before and after removal of the *hdhfr::gfp* resistance marker. The construct (pHHT-FRT-(GFP)-Pf5236) for targeting deletion of the *p52* and *p36* genes contains the two FRT sequences (red triangles) that are recognized by FLP. P1, P2: primer pairs for LR-PCR analysis; B (*Bcl*), H (*Hind*III), E (*Eco*RI): restriction sites used for Southern analysis; *cam*: calmodulin; *hrp*: histidine rich protein; *hsp*: heatshock protein; *fcu*: cytosine deaminase/uracil phosphoribosyl-transferase; *pbd*: *P.berghei dhfr* terminator. **B.** Long range PCR analysis of genomic DNA from WT and mutants  $\Delta p5236$  and  $\Delta p5236gfp$  before and after transfection with constructs containing FLP or FLPe, confirming removal of the *hdhfr::gfp* resistance marker in FLPe-transfected parasites. See A for location of the primers p1 and p2 and the expected product sizes (i.e. WT, 4.8kb;  $\Delta p5236$ , 4.6kb;  $\Delta p5236gfp$ , 5.2kb;  $\Delta p5236gfp*FLPe$  and  $\Delta p5236*FLPe$ , 2.3kb). **C.** Southern analysis of restricted genomic DNA from WT and mutants before and after transfection with constructs containing FLPe, confirming removal of the *hdhfr::gfp* resistance marker in the FLPe-transfected  $\Delta p5236gfp$  mutant. Upper panel: DNA was digested with *Hind*III/*Eco*RI (probed with *p52* targeting sequence); Lower panel DNA digested with *Bcl*I (probed with *p36* targeting sequence). **D.** Analysis of GFP expression in mutant  $\Delta p5236gfp$  before and after transfection with constructs containing FLPe, confirming removal of the *hdhfr::gfp* resistance marker in the FLPe-transfected parasites.

## Generation of FLP recombinase containing plasmids and removal of resistance genes from $\Delta p5236$ and $\Delta p5236gfp$ parasites

Two plasmids were generated that contain an FLP recombinase under the control of the *hsp86* promoter and the *blastidicin-S-deaminase* (*bsd*) resistance marker (see Material and Methods and Figure S1A). The first construct, we term pMV-FLP, was constructed by inserting the standard FLP encoding gene under control of the constitutive *P. falciparum* *hsp86* promoter (HSP86-FLP-PBDT) into the pBSII-KS+ plasmid along with the positive selection marker *bsd*-cassette under control of the *P. falciparum* *hrp3* promoter (5'HRPIII-BSD-3'HRPII) derived from pCMB-BSD [7]. The second construct, pMV-FLPe, was essentially identical to pMV-FLP except that the standard FLP encoding gene was replaced by a gene encoding FLPe. This plasmid was termed pMV-FLPe. Whereas FLP, being derived from yeast, has an enzymatic optimal temperature around 30°C [26], FLPe is a 37°C thermostable enhanced allozyme of FLP recombinase [27].

The  $\Delta p5236$  and  $\Delta p5236gfp$  mutant parasites were transfected with either the FLP or the FLPe containing plasmid and transformed parasites were selected by blasticidin treatment [7]. The transformed parasites became apparent in the cultures between day 6-13 after transfection. Interestingly, after transfection with these constructs we observed that both these enzymes, FLP and FLPe, had an effect on growth of asexual blood stage parasite (for more details please see Supplementary Figure 1B and legends).

When we analysed the genotype of FLP-transfected parasites after blasticidin selection we found evidence that in a small percentage of parasites DNA sequences, including the resistance genes, between the FRT sites (i.e. 'FRTed' sequence) had been removed. LR-PCR of  $\Delta p5236gfp$  parasites, after FLP plasmid transfection, amplified two fragments of 5.2 kb and 2.3kb, consistent with the retention of the selection marker and FRT-mediated recombination, respectively (Figure 1B). The 2.3kb fragment was cloned and sequenced which confirmed the correct excision of the FRTed sequence (data not shown). However, the 2.3kb PCR fragment was very faint whereas the 5.2kb fragment of the region containing the FRTed sequence was rapidly amplified (Figure 1C), indicating that most parasites still contained the FRTed sequence. This was confirmed by fluorescence microscopy as more than 99% of the FLP-transfected parasites were GFP-positive. As the optimal temperature for FLP is 30°C [28], we also cultured the FLP-transfected parasites



at 30°C for intermittent periods (4-48 hours). However, at 30°C no increase in removal of the FRTed sequences was detected as demonstrated by a similar high proportion (>99%) of GFP-expressing parasites (data not shown).

In contrast to the FLP-transfected parasites, no GFP-positive parasites were visible in the parasite populations after transfection with the FLPe-containing plasmids (Figure 1D), indicating the efficient removal of the FRTed resistance marker cassette. Further, LR-PCR revealed only the 2.3kb band, consistent with full removal of the FRTed sequence and we were unable to detect the 5.2kb fragment of parasites that retained the FRTed sequence. These results indicate that FLPe mediated recombination between the FRT sites is highly efficient resulting in removal of the FRTed sequences in nearly 100% of the parasites. FLPe-transfected parasites were cloned by the method of limiting dilution and Southern analysis of cloned parasites confirmed correct excision between the FRT sequences, resulting in excision of drug selectable marker and *gfp* fusion cassette (Figure 1C). These results demonstrate that the FLPe-recombinase system permits the efficient generation of gene deletion mutants lacking resistance markers.

## **Analysis of drug sensitivity and gametocyte production in mutants after FLP-mediated removal of resistance genes**

We next analyzed if the transfected parasites had retained their capacity to produce gametocytes. The loss of gametocyte production has been reported to frequently occur during prolonged periods of in vitro cultivation and manipulation of *P. falciparum* asexual blood stages [29]. A stable gametocyte production is of particular importance for  $\Delta p5236$  parasites, which are being developed as potential attenuated sporozoite vaccines. For both  $\Delta p5236$ \*FLPe and  $\Delta p5236gfp$ \*FLPe, gametocyte production, as determined by counting stage II and stage IV-V gametocytes, as well as male gamete formation as determined by counting exflagellation centres was comparable to wild-type (NF54) parasites (Table 1).

In order to create multiple gene deletions within the same parasite, it is critical that after the action of FLPe mutant parasites must regain sensitivity to the drugs used during selection. This can only be achieved if the *hdhfr* selection marker is completely absent from the parasite genome and that the FLPe/*bsd* containing plasmid is lost from the parasites after release of the drug pressure. The loss of these plasmids is thought

**Table 1.** Gametocyte production and male gamete formation (exflagellation) of wild type (WT) and mutants,  $\Delta p5236$  and  $\Delta p5236gfp$ , before and after FLPe action

Parasite line	No of gametocytes stage II (range) <sup>1</sup>	No of gametocytes stage IV-V (range) <sup>1</sup>	Exflagellation <sup>2</sup>
WT	10 (2-24)	50 (39-58)	++
$\Delta P5236$	11(4-17)	52(44-59)	++
$\Delta P5236GFP$	11(8-15)	49(8-65)	++
$\Delta P5236*FLPe$	11(6-15)	67(54-79)	++
$\Delta P5236GFP*FLPe$	9(2-23)	62 (50-72)	++

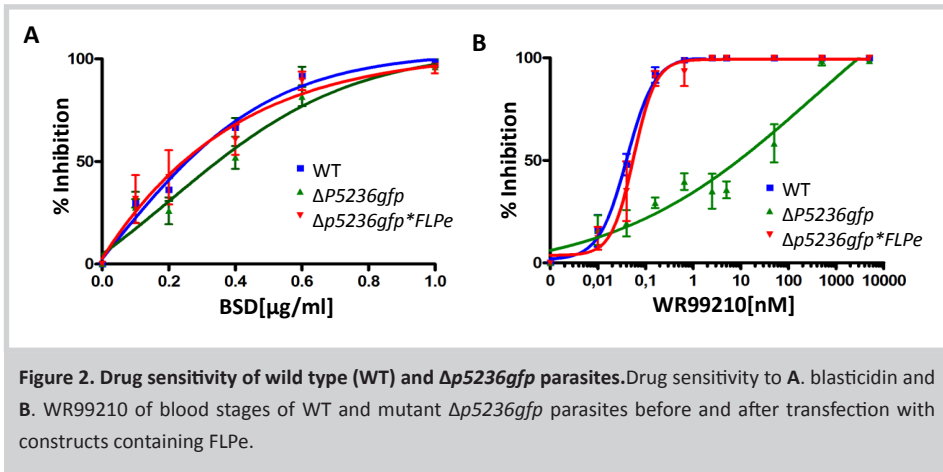
<sup>1</sup> Number of gametocytes per 1000 erythrocytes counted in Giemsa stained thin blood smears

<sup>2</sup> Exflagellation centers counted in wet mounted preparations of stimulated gametocyte cultures at 400x magnification using a light microscope; ++ score = >10 exflagellation centers per microscope field.

to happen rapidly in parasites after the release of blasticidin pressure due to uneven segregation of such DNA elements into daughter merozoites. However, it has been reported that parasites can spontaneously acquire blasticidin resistance when exposed to sustained blasticidin treatment independent of the *bsd*-selectable marker [30]. We therefore tested the sensitivity of blood stages to both blasticidin and WR99210 using standard drug-susceptibility assays. We demonstrate that parasites have not acquired blasticidin resistance (Figure 2A). In addition the  $\Delta p5236gfp*FLPe$  parasites had regained the sensitivity to WR99210 after the recombinase treatment (Figure 2B).

## Generation of a generic gene-deletion construct containing FRT sites

We have generated a 'standardised' FRT gene-deletion construct, which contains FRT sites next to the gene targeting regions. These gene targeting regions can easily be exchanged for any gene of interest (see Supplementary Figure 1C). This generic construct is an adapted version of the standard construct pHHT-FCU (see Material and Methods for construct details) that has two *P52* target regions introduced into *SacII/HpaI* digested pHHT-FCU (5' -target region) and into *NcoI/EcoRI* digested pHHT-FCU (3'-target region).



The two FRT sites reside just next to the targeting regions and flank the selectable marker. Each target region contains 4 unique restriction sites; for the 5' target region *BsiWI/MluI*, *BssHII/SacI* and for the 3' target region *NcoI/NheI*, *KpnI/XmaI* (Supplementary Figure 1C).

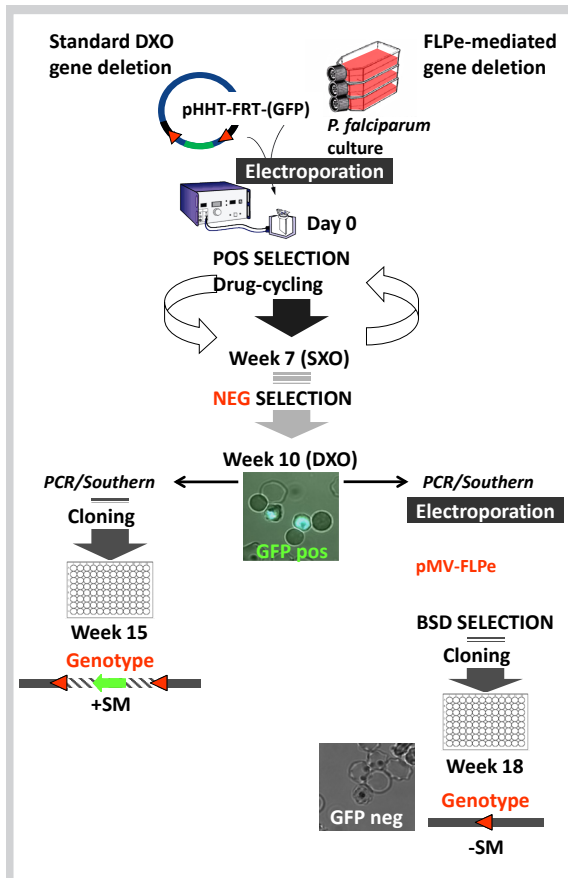
## Discussion

In the absence of efficient forward genetic screens in malaria research, the targeted deletion/mutation of *Plasmodium* genes is now one of the most important methodologies to study the function of malaria parasite genes. However, the low efficiency of targeted gene deletion, the slow process of selecting gene deletion mutants and the limited number of drug-resistance markers greatly limits the analysis of *Plasmodium* genes. This analysis is of particular importance as more than 50% of *Plasmodium* genes have no homologs in other species (annotated 'unknown function') and the proteins encoded by a number of these genes maybe attractive targets for drugs or vaccines. To date there are no reported *P. falciparum* mutants that have had multiple, non-neighbouring, genes deleted. Here we describe a method of generating gene deletions in *P. falciparum* that makes use of the yeast FLP-recombinase enzyme to remove introduced resistance-markers and other plasmid DNA sequences from the mutants. This methodology facilitates the generation of multiple gene deletions or gene mutations in *P. falciparum* which is important in uncovering *Plasmodium* specific functions and processes. Moreover, this technique facilitates the generation of genetically attenuated parasites (GAP) permitting the

removal of multiple genes. Gene deletion mutants of human malaria parasites, which completely arrest during their development inside hepatocytes, are currently being intensely investigated as potential whole-organism malaria vaccines [10,20,21].

An advantage of the high efficiency of removal of FRTed sequences (>99%) from the mutant parasite genome by FLPe is that it is not necessary to clone the parasites before transfection with the FLPe-plasmid, thereby reducing the time for generation of the desired mutants. Consequently, the whole procedure of generating a gene-deletion mutant without resistance marker takes 18 weeks as compared to 15 weeks it currently takes to generate (double cross-over) gene deletion mutants with a selectable marker. In Figure 3 we show a schematic representation detailing and comparing the standard gene deletion with the FRT/FLPe deletion-recycling method described in this paper (Figure 3).

The use of the FLP/FRT system for gene removal in *Plasmodium* has been previously reported for the rodent parasite, *P. berghei*. However, this strategy permits deletion of genes only during development of the parasite in the mosquito. The method also consists of inserting FRT sites around the locus of interest in a parasite that expresses FLP recombinase driven from a mosquito stage-specific promoter [17,18,19]. The system makes use of either FLP or a low-activity FLP enzyme, termed FLPL. The activity of FLPL is greatly reduced at 37°C and maintained at this reduced level at 20°C–25°C, temperatures permissive for parasite development in the mosquito. Because this strategy to delete genes requires passage of the parasites through mosquitoes it will be extremely difficult to adapt this methodology to *P. falciparum*. Attempts to adapt the FLP or FLPL based system to delete genes during blood stage development have so far been unsuccessful. Analysis in blood stage of *P. berghei* only very low recombination efficiencies have been observed after prolonged cultivation in either FLP- or FLPL-expressing parasites at temperatures ranging from 21–37°C (personal observations; M.R. van Dijk and A.P. Waters *personal communication*). This low level of excision in *P. berghei* blood stages mediated by FLP or FLPL was comparable to that what we have observed with FLP-based excision of FRTed sequences in *P. falciparum* blood stages. The strong increase in recombination (>99%) observed with FLPe indicates that the use of the 37°C variant of FLP (i.e. FLPe) is the most important adaptation permitting efficient excision of heterologous DNA sequences. Interestingly we found that both FLP and FLPe had an ‘off target’ effect on blood stage development in culture, resulting in a reduced growth rate and/or arrest in parasite development. However, a beneficial side-effect of the growth delay of parasites containing FLPe-episomes is that those parasites that lose the FLP-plasmid after removal



**Figure 3. Schematic representation of the generation of FLPe-mediated 'resistance marker-free' *P. falciparum* mutants.** Standard gene deletion by double cross-over (DXO) homologous recombination (left hand side) is compared to gene deletion using the FLPe-recombinase method described in this paper (right hand side). Both methods are essentially identical up to 10 weeks. First transformed parasites are treated by on/off cycling with the antimalarial drug WR99210 (POS SELECTION) to select for mutant parasites where the plasmid has become integrated into the genome by single cross-over (SXO) homologous recombination. Next negative drug selection (NEG SELECTION) using the drug 5-FC is applied to select for those parasites where an internal recombination (DXO) between plasmid and genomic sequences has occurred and the target gene is deleted. At this stage all transformed parasites are GFP positive as the *hdhfr*-resistance marker is fused to GFP. At this point conventional DXO

gene deletion parasites are cloned by a method of limiting dilution. At week 15 cloned parasites still containing the resistance marker (+SM; shown in the standard DXO genotype schematic as a green arrow) can be expanded. In the FLPe recombinase method the gene deletion mutants selected after positive/negative selection are not cloned but immediately transformed with a plasmid encoding the enhanced FLP recombinase (pMV-FLPe). This plasmid is maintained episomally through blasticidin selection (BSD SELECTION) for one week after which BSD selection is released and once these parasites are detected in culture they are cloned by limiting dilution. At week 18, only 3 weeks longer than standard method, these resistance marker-free parasites can be expanded. Removal of the resistance marker is confirmed by the absence of GFP-expression as recombination between the introduced FRT sites (red triangles) has occurred removing plasmid, *gfp* and drug resistance marker sequences (-SM).

of BSD selection will outgrow the parasites that still retain FLPe-episomes. This then results in the enhancement of selection of episome-free parasites with the resistance marker removed. Indeed, we were unable to detect the FLPe construct by PCR at 3 weeks after the removal of BSD selection (data not shown).

We are now using the  $\Delta p5236$  parasites generated in this study as the basis for introducing additional marker-free gene deletions in order to generate a GAP-vaccine that is not only potent but also safe for human use, specifically GAPs that are compromised at multiple points of development (multiple-deletions) and without the addition of heterologous sequences (i.e. no resistance markers). The procedures of efficient removal of drug-resistance markers in gene deletion mutants that do not affect either gametocyte production or drug-sensitivity, demonstrate that the FLPe recombinase system is an effective and powerful tool that offers new opportunities for *P. falciparum* transgenesis, both for the analysis of gene function and for the generation of genetically attenuated parasites - making the removal of resistance genes and multiple gene-deletion mutants possible.

While this manuscript was under review, a very similar method to re-cycle drug selectable markers in *P. falciparum* was published. In this study O'Neill and colleagues demonstrated that highly efficient site-specific recombination, removing introduced DNA, was obtained using Cre recombinase and loxP sites [31]. Interestingly, they observed very low levels of recombination, as did we, with standard (30°C optimal) FLP recombinase but do not report testing a 37°C optimised variant of FLP.

## Material and Methods

### Culture of *P. falciparum* blood stages and parasite cloning

Blood stages of *P. falciparum* parasites of line NF54 (wild-type; WT) and the different mutants generated in this study (see below) were cultured using *in vitro* culture conditions for *P. falciparum* previously described [32,33,34]. Subcultures of the different lines were established in the same semi-automated culture system. Fresh human red blood cells were obtained from Dutch National blood bank (Sanquin Nijmegen, NL; permission granted from donors for the use of blood products for malaria research), washed in serum free medium, and these were added to these cultures at parasitemias between 2-7%, thereby reducing the parasitemia to 0.5% while maintaining a 5% hematocrit. Induction of gametocyte production in these cultures was performed as previously described [32,33,34].

Cloning of transgenic parasites was performed by the method of limiting dilution in 96 well plates [35]. Parasites of the positive wells were transferred to the semi-automated culture system and cultured for further phenotype and genotype analyses (see below).

## Generation of DNA constructs

The *pf52* and *pf36* genes (PFD0215c and PFD0210c) of *P. falciparum* were disrupted using an adapted version of the standard construct (pHHT-FCU) for gene deletion using positive/negative selection procedure [9]. The pHHT-FRT-Pf5236 targeting construct was generated by inserting target sequences including FRT sites (in italic) for *p52* (primers BVS25: CATGCAATTG-*aagttcctattctc tagaagaatataggaacttc*-aattcacaagcaactaaatcaatatcc; 1638: CATGCCATGG-*tttgaataagttttacaactgc*) digested with *MfeI* and *NcoI* and *p36* (primers BVS18: GAATTCGATATC-*gaagttcctatactttctagaga ataggaacttc*-cactcgaatgtgggatggcatcc; 2589 (tcccgcggATGAGGTACATTCTCAGGAATC) digested with *EcoRV* and *SacII* into the *EcoRI*, *NcoI* and *HpaI*, *SacII* sites respectively of pHHT-FCU. For construction of pHHT-FRT-(GFP)-Pf5236 the *hdfR* resistance gene was replaced by cloning the *HindIII* and *SacI* digested *hdfR-gfp* fusion gene fragment from plasmid pBKHGint (Christian Flueck, unpublished) into *HindIII* and *SacI* digested pHHT-FRT-Pf5236.

Two plasmids were generated that contain an FLP recombinase under the control of the *hsp86* promoter and the *blastidicin-S-deaminase (bsd)* resistance marker. The first construct, pMV-FLP was constructed by inserting the standard FLP gene as a 1322bp fragment PCR amplified from plasmid FLP@UIS4 (kindly provided by Robert Menard, Pasteur Institute, Paris, France) using primers BVS53 (5'-GGTCTCGAGatggtttcccttccc) and BVS54 (5'-TCGCCTCGAG-ttatatgctgtctattatgtaggatg), into *XhoI* digested pHHT-FCU replacing the *fcu* open reading frame and subsequently cloning the *NotI/SacII* HSP86-FLP-pBDT fragment into the *NotI/SacII* digested pBSII-KS+ plasmid (Stratagene). The *bsd*-cassette (5'HRPIII-BSD-3'HRPII) derived from pCMB-BSD [7] was introduced in this plasmid through *KpnI/PstI* cloning of the 2800bp 5'HRPIII-BSD-3'HRPII fragment, resulting in plasmid pMV-FLP. The second construct, pMV-FLPe, was constructed by inserting the standard FLPe (a 37°C thermostable enhanced allozyme of FLP recombinase [27]) gene as a 1340bp fragment PCR amplified from plasmid pGaggs-FLPe (obtained via Addgene; www.addgene.org) using primers BVS120 (5'-gggtcgac-AGATCTCACCATGGCTCCCAAGAAGAAGAGG) and BVS121 (5'-gggtcgac-CTCGACTCTAGATCATTATATGCG) into the *XhoI* digested pMV-FLP plasmid, resulting in plasmid pMV-FLPe.

A generic construct containing FRT sites was made in which target regions are easily exchanged to target any gene of interest. The construct is an adapted version of the standard construct (pHHT-FCU; see above for construct details) in which *P52* target regions including FRT sites were introduced (5'*p52* with primer *bvs29* (5'agcatgCCGCGCGCGCTGCCAGAATGTTCTTGTTCG) and *bvs30* (5' CATGGTTAACGAAGTT-CCTATACTTTCTAGAGAA-TAGGAACTTCGTACGCGT-gcctttgtaatacaagtaatccaaccg) into *SacII/HpaI* digested pHHT-FCU and for 3'*p52* primer *bvs31*(5'agcatgGAATTCGAAGTTC-CTATTCTCTAGAAAAGTAT-AGGAACTTCccgggtacc-CATATATTATATGTTCTCTTGT) and *bvs32*(5' AGCATGCCATGGCTAGC-catacactttttctcatgag) into *NcoI/EcoRI* digested pHHT-FCU). Each target region contains 4 unique restriction sites for the 5'target region *BsiWI/MluI*, *BssHII/SacII* and for the 3'target region *NcoI/NheI*, *KpnI/XmaI* (SOM Fig. 1C). A *P52*-FRT containing generic construct and pMV-FLPe are available on request for research purposes. DNA fragments were amplified by PCR amplification (Phusion, Finnzymes) from genomic *P. falciparum* DNA (NF54 strain) or from the described plasmids and all PCR fragments were sequenced after TOPO TA (Invitrogen) sub-cloning.

## Transfection and selection of transgenic parasites

Transfection of blood-stage parasites was performed as described [25] using a BTX electroporation system. Transfected parasites were cultured in a semi automated culture system. Selection of gene deletion mutants by positive and negative selection procedures were performed as described [9]. Transfection of gene deletion mutants with constructs containing FLP or FLPe (plasmids pMV-FLP, pMV-FLPe) and selection of blasticidin resistant parasite populations was performed as described [7].

## Genotype analysis of transgenic parasites

Genotype analysis of transformed parasites was performed by Expand Long range dNTPack (Roche) diagnostic PCR (LR-PCR) and Southern blot analysis. Genomic DNA of blood stages of WT or mutant parasites was isolated as described [36] and analyzed by LR-PCR using primer pair (p1, p2) 3258 (5'-TAAACCTATTGAAGCTTTATAC) and 3259 (5'-CTTGTGGGAAATTACAATGAC) for correct integration of construct *p5236FRT* in the *pf52/36* locus by double cross over integration. The LR-PCR program has an elongation step of 62°C [26] for 10 minutes, and an annealing step of 48°C for 30 seconds. All other PCR settings were according to manufacturers instructions.

For Southern blot analysis, genomic DNA was digested with *EcoRI/HindIII* or with *BclI* for analysis of disruption of *pf52* and *pf36* respectively. DNA was size fractionated respectively on a 0.7% or 1% agarose gel and transferred to a Hybond-N membrane (Amersham) by gravitational flow [36]. The blot was pre-hybridized in Church buffer [37] followed by hybridization to a *pf52* and *pf36* specific probes (pHHT-FRT-Pf5236 or pHHT-FRT-(GFP)-Pf5236 digested with *NcoI/XbaI* (1089 bp) or *SacII/XbaI* (902 bp) respectively) constituting the sequences used as target sequences for integration (see above). Both probes were labelled using the High Prime DNA labelling kit (Roche) and purified with Micro Biospin columns (Biorad).

## Fluorescence microscopy

Samples (2 µl) of infected red blood cells from cultures with parasitemias between 2 and 10% were incubated with Hoechst 33258 (10µM) for 20 minutes at 37°C before mounting on a sealed cover slip slide. Hoechst- and GFP-fluorescence were analysed using a Zeiss Fluorescence microscope (1000x magnification) and Axiovision software.

## Gametocyte and male gamete production

Gametocyte production was established in cultures at day 13-15 after start of the gametocyte cultures by counting the number of mature gametocytes (stage II and stages IV/V) in Giemsa stained thin blood films [32]. Male gamete formation was determined by activation of exflagellation. Samples of 10µl were taken from the cultures, infected red blood cells pelleted by centrifugation and resuspended in 10µl of Foetal Calf Serum (pH 8.0) at room temperature for 10 minutes and then mounted on a cover slip. Exflagellation centers were counted under the light-microscope in



5 homogeneous fields of a single cell layer of red blood cells at a 400x magnification. The samples were scored as follows: if the mean number of exflagellation centers was  $>10$ /field they were scored as ++;  $<10$  /field they were scored as +; and none was scored as 0.

## Drug sensitivity assays

Drug sensitivity was analyzed as described [38] with some modifications. Briefly, infected blood cells (1% parasitemia) were cultured using the Candle Jar method in 24 wells culture plates containing serial drug dilutions of either WR99210 [25] (Jacobus Pharmaceutical Company) or blasticidin [7] (Invitrogen). Medium was changed daily. The parasitemia in all culture wells was determined 96 hours after the start of the cultures by counting infected erythrocytes in Giemsa stained thin blood smears. The non-linear regression function for sigmoidal dose-response (variable slope) of the GraphPad Prism software is used to calculate the (best-fit) inhibitory concentration ( $IC_{50}$ ) values.

## Acknowledgements

We are grateful to Dr. Christian Flueck and Dr. Till Voss for providing the pBKHGint vector and Dr. R. Menard (Institute Pasteur, Paris) for providing us with a construct containing the FLP (30°C) recombinase (i.e. FLP@UIS4 construct).

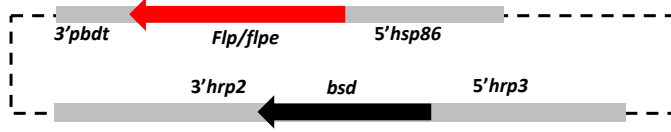
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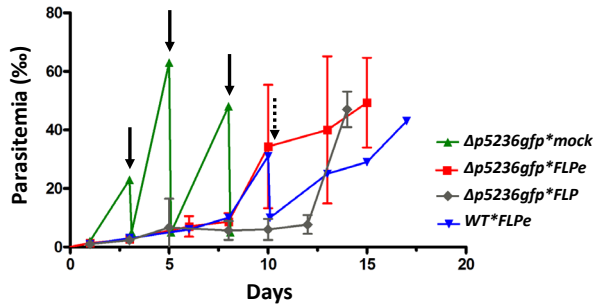
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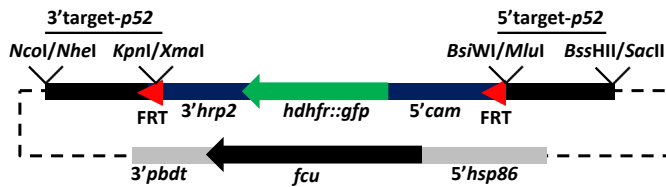
## (A) Constructs containing FLP recombinase (pMV-FLP; pMV-FLPe)



## (B) Growth of WT and mutant parasites in subcultures



## (C) Generic double cross-over targeting construct pHHT-FRT-(GFP)-Pf52



**Supplementary Figure 1. A. FLP/FLPe recombinase containing construct.** The construct for transient expression of standard FLP recombinase (plasmid pMV-FLP) or its 37°C thermostable enhanced allozyme, FLPe (plasmid pMV-FLPe). The *flp* and *flpe* genes are under the control of the *hsp86* promoter. These plasmids contain the *blasticidin-s-deaminase* (*bsd*) gene under control of the *hrp3* promoter. *hrp*: histidine rich protein; *pbdt*: *P. berghei dhfr* terminator. **B. Delayed growth phenotypes of FLPe expressing blood stages in subcultures.** Growth of blood stages of wild type and mutant parasites in the presence or absence of FLPe expression in subcultures, showing a delayed growth phenotype in the presence FLPe expression. Solid arrows: Dilution of  $\Delta p5236gfp$  subculturing to 0.5% parasitemia with fresh erythrocytes. Dashed arrow: Dilution of WT\*FLPe subculture with fresh erythrocytes. **C. Generic pHHT-FRT-(GFP)-Pf52 construct.** The construct (pHHT-FRT-(GFP)-Pf52) for targeting deletion of the *p52* gene contains the two FRT sequences (red triangles) that are recognized by FLP. Indicated are the restriction sites that are introduced to facilitate exchange of *p52* targeting regions with targeting regions of other genes of interest ( ). Each target region contains 4 unique restriction sites for the 5'target region *BsiWI/MluI*, *BssHII/SacII* and for the 3'target region *NcoI/NheI*, *KpnI/XmaI*. *cam*: calmodulin; *hrp*: histidine rich protein; *hsp*: heatshock protein; *fuc*: cytosine deaminase/uracil phosphoribosyl-transferase; *pbdt*: *P. berghei dhfr* terminator



# Chapter 9

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## General Discussion



## Introduction

In this thesis, a molecular genetics approach was used to investigate the function of selected members of the 6-cysteine (6-cys) protein family from the gametocyte stage and the sporozoite stage in both *P. berghei* and *P. falciparum*. The aim of these studies was to gain a deeper insight into their role in the biology of malaria parasites and to explore the application of these 6-cys members as targets for malaria vaccine development.

## The 6-cysteine protein family is involved in fertilization

Once ingested by blood feeding female *Anopheles* mosquitoes, gametocytes become activated and the resulting male and female gametes fertilize to start sporogonic development. Fertilization is critically dependent on the mutual recognition of *Plasmodium* gametes and the gamete surface protein P48/45 is essential for this process [1]. In **chapter 2** we present evidence that in addition to P48/45, two other 6-cys members (i.e. P230 and P47) play an important role in *P. berghei* parasite fertilization. Male gametes lacking P230 are unable to attach to female gametes and *in vitro* cross fertilization experiments show that male gametes lacking P230 are not functional, a phenotype reminiscent of *P. berghei* parasites lacking P48/45 [1]. Consequently *in vitro* zygote/ookinete formation as well as *in vivo* oocyst formation is strongly reduced in *P. berghei* parasites lacking either P230 or P48/45. In *P. falciparum*, gene disruption studies show a similar reduction in oocyst numbers during transmission studies of parasites lacking P230 [2] or P48/45 [1] however this reduction is not linked specifically to a male gamete defect as *in vitro* cross fertilization assays have not been described for *P. falciparum*. Recent progress with *in vitro* ookinete cultures [3,4] may provide the first steps towards an *in vitro* cross fertilization assay for *P. falciparum* to elucidate P230 and P48/45 male specific function. Alternatively, male specific complementation of P230 and P48/45 within the background of the respective gene deletion mutants could confirm the expected male specific function of these proteins in *P. falciparum*. The specific function of P230 and P48/45 in male gametes is surprising because both proteins are also expressed in *P. berghei* and *P. falciparum* female gametes [2,5] and our experiments show that female *P. berghei* gametes lacking P230 or P48/45 are fully functional. The expression of these proteins is apparently not directly linked to the male specific biological function.



P48/45 is attached to the gametocyte membrane surface by GPI anchoring. As the mosquito takes a blood meal and takes up the gametocytes, they are activated and emerge from the red blood cell as free gametes. During this activation P230 is proteolytically cleaved and forms a protein complex with P48/45 [2,6,7,8,9,10]. It is therefore not surprising that P230 and P48/45 share a common function in male gamete fertility. The reduction of fertilization in P230 or P48/45 deletion mutants is however, incomplete (**chapter 2**) especially *P. falciparum* P230 mutants show a high degree of functional redundancy [2]. Evidently, successful fertilization requires more than the interactions of these proteins and protein complex formation involving multiple proteins may be essential for fertilization between male and female gametes.

The process of fertility likely involves a multistep process including female gamete recognition, docking, attachment, fusion and finally entry by the male gamete. Such a multistep process has recently been described for merozoite invasion of erythrocytes and the process requires interaction of a complex of proteins located on the surface of the merozoite [11]. Formation of protein complexes may also be involved in the early steps of gamete fertility, and next to P48/45 and P230 another protein was found to be critical for functional male gametes. The HAP2/GCS1 protein, is involved in fusion of gametes and *P. berghei* gene deletion mutants show complete male sterility [12,13,14]. The HAP2/GCS1 protein, originally identified on the surface of male plant gametes is likely also expressed on the surface of *Plasmodium* male gametes [14] and this protein may interact with fertility factors described in this thesis (i.e. P230, P48/45 and P47). In *P. falciparum*, P230 has been shown to form a complex with one of the six members of the pCCp adhesion molecules, *PfCCp4* [15]. P230 and *PfCCp4* co-localize in the parasitophorous vacuole associated with the gametocyte surface and following emergence *PfCCp4* remains associated with P230 on the macrogamete surface. Although this protein alone is not essential in the process of fertility, pCCp4 antibodies are able to inhibit male exflagellation in the presence of active human complement [15] as is also described for P230 antibody mediated lysis of gametes [16,17,18]. Interestingly P230 specific antibodies are even more efficient in inhibition of exflagellation of *PfCCp4* ko parasites [15] suggesting that *PfCCp4* protects the P230 and P48/45 protein complex and disruption of *PfCCp4* renders gametes more susceptible to antibody binding. As the pCCp proteins are described as adhesion molecules they may be involved in the early steps of gamete fertility in conjunction with other surface proteins. More detailed studies on

the complex formation between P48/45, P230 and PfCCp4 and possible identification of other fertility factors may elucidate the critical steps involved in the interaction of male and female gametes.

In **chapter 2** we describe that *P. berghei* P47 is essential for female fertility and *in vitro* cross fertilization studies show that female gametes lacking P47 are not recognized by WT male gametes. Consistent with the female specific function, is the expression of P47 exclusively in *P. berghei* female gametocytes [5]. This prompted us to study the function and expression of P47 in *P. falciparum* (**chapter 3**). P47 is expressed on the surface of female gametes following emergence from red blood cells. In contrast to *P. berghei* however, P47 in *P. falciparum* does not appear to be crucial for recognition of female gametes by male gametes. Parasites lacking P47 through SXO targeted gene disruption produce normal numbers of oocysts when included in the blood meal of the mosquito. Recently, the P47 locus has indeed been successfully used as a non-essential locus suitable to target a construct for constitutive GFP expression through the life cycle [19]. The absence of a role for P47 in fertilization is further demonstrated by the generation and subsequent use of, three *P. falciparum* anti-P47 monoclonal antibodies (mAbs). None of the P47 mAbs are able to inhibit oocyst development when added to a mosquito blood meal containing Wt gametocytes. These combined results disqualify the candidacy of P47 as a transmission-blocking vaccine target.

The *Plasmodium* proteins and protein interactions involved in the different steps of parasite fertility are still largely unknown. Identification of such proteins requires detailed knowledge of sex specific and sexual stage specific gene expression. While there are several proteomic and genomic studies showing sexual stage specific gene expression (see chapter 2 table 1), male and female specific studies as performed in *P. berghei* [5] are lacking for *P. falciparum*. Therefore, we initiated a genome wide approach starting with the generation of *P. falciparum* parasite lines expressing GFP specifically in male or female gametocytes. These studies became feasible by identification of P47 as the first protein only found in female gametocytes. Previously, elevated female expression of the osmiophilic body protein Pfg377 was shown, however a low number of osmiophilic bodies are also found in male gametocytes [20]. Additionally, Pf77 transcription was identified only in female gametocytes but expression of the protein could not be confirmed using antibodies [21]. We show in **chapter 4** the generation of a parasite line, which under control of the P47 promoter, expresses GFP only in female gametocytes. Generation of the male counterpart was based on dyneine controlled GFP expression.

Using flow-cytometry, GFP positive male or female gametocytes can be separated for proteomic or microarray analysis to identify proteins involved in the different steps of fertilization. It was recently hypothesized that recognition and attachment of the male and female gametes occurs by nanotube formation [22]. Long actin containing filamentous structures extend from the gametes expressing known membrane proteins including P230 and P48/45. Nanotubes can potentially form the first intimate contact between gametes in the mosquito midgut [22]. Such a mechanism facilitating the recognition and attachment steps of fertility may explain the unexpected high efficiency of parasite infectivity to mosquitoes even at low gametocyte densities [23].

Continued efforts to elucidate the critical events in parasite fertility will be essential to better understand the biology of the sexual stages and possibly discover novel transmission blocking targets. Data from a separate male and female *P. falciparum* proteome may help to identify specific proteins involved in the fertilization process.

## Functional redundancy of members of the 6-cysteine protein family

Previously, the P230 paralog P230p has been described as a male gametocyte specific protein expressed in stage III to IV gametocytes [24]. While this specific expression pattern may relate to a specific male function it was unlikely to be directly linked to fertility in the absence of membrane surface expression and lack of expression in fully matured stage V male gametocytes. When targeted for disruption in *P. berghei*, *p230p* deletion mutants do not show any phenotypic characteristics during the entire *P. berghei* life cycle different from wild type (**chapter 2**). Similar to P47 in *P. falciparum*, the *P. berghei p230p* gene is now commonly used as a locus for integration of transgenes, such as fluorescent reporter genes [25]. In *P. falciparum* *p230p* has not been studied by gene disruption. The redundant function in *P. berghei* and expression in *P. falciparum* may rightfully preclude such studies.

Disruption of *p38* does not cause any deleterious effects during the life cycle of *P. berghei* (**Chapter 2**). Previously the merozoite 6-cys proteins P38, P41 and P12 were found to be associated in raft-like membrane patches in *P. falciparum* [26]. The P41 protein localizes at the apical surface of free merozoites. P38 and P12 GFP fusion proteins are localized on the merozoite surface with P38 prominently on the apical surface. The appearance

of 2 distinct dots suggests that P38 resides in the rhoptry in the early stage of schizont development [26]. Recently the same group has shown that *p38* and *p41* are amenable to gene disruption [27]. The disrupted parasites await phenotypic evaluation but these genes are unlikely to be essential for parasite survival since targeted disruption is performed in the blood stages. One can therefore expect that P38 and P41 will not qualify as potential vaccine candidates.

## Two 6-cysteine genes as targets for a sporozoite based vaccine approach

Previously, P52 deficient *P. berghei* malaria sporozoites were shown to be arrested in the liver stages and immunizations with these attenuated sporozoites induced long term protective immunity in mice [28,29]. This approach and recent work using irradiated sporozoites has led to renewed interest in using attenuated sporozoites as potential pre-erythrocytic vaccines (for review see [30,31]). Therefore, we disrupted the equivalent gene in the human parasite as described in **chapter 6**. We find that P52 deficient *P. falciparum* parasites demonstrate normal development up to the sporozoite stage and that *p52* gene deletion sporozoites in *P. falciparum* and in *P. berghei* [28] are able to invade hepatocytes. In contrast to our data, Ishino *et.al.* showed that *P. berghei* *p52* gene deletion sporozoites are able to traverse through hepatocytes without the capacity to invade by parasitophorous vacuole (PV) formation [32]. There is debate whether *p52* gene deletion sporozoites enter hepatocytes by traversal and subsequently remain intracellular or invade by PV formation similar to WT sporozoites. More detailed studies are in progress to determine the capacity of *p52* gene deletion mutants to form a PV during the invasion of hepatocytes.

*P. falciparum* *p52* gene deletion sporozoites are arrested in their development inside cultured primary human hepatocytes at 20 hours post infection. This study revealed for the first time, that disrupting the equivalent gene in both human and rodent malaria species generates parasites that become similarly arrested during liver stage development. Since these parasites were produced by SXO disruption of *p52*, low frequency reversion to WT parasites cannot be excluded (**see chapter 1**) ruling out the use of these parasites for clinical vaccine development. DXO technology was next applied by others to target *p52* and *p36* both as single and double deletion parasites [33]. Simultaneous deletion of *p52*

and *p36* is possible because these two paralogous genes are separated only by a 1.4 Kb intergenic region. Both *p52* and *p36* single deletion parasites and *p52/p36* double gene deletion parasites showed a severe growth arrest at day 6 and 4 post infection in HCO4 hepatic cells respectively [33]. In **chapter 6** we observe developmental arrest of *p52* SXO gene deletion parasites at 20 hours post infection and this discrepancy may be caused by a difference between parasite development in primary human hepatocytes [34] compared to HCO4 cells exhibiting asynchronous development of liver stage parasites [35].

Complete liver stage attenuation of *p52/p36* gene deletion parasites has been shown in *P. falciparum* [33] and *P. yoelii* [36]. In **chapter 7 and 8** we describe the generation of comparable *P. berghei* and *P. falciparum p52/p36* gene deletion mutants and also find severe attenuation in mice and primary human hepatocytes respectively. However, following immunization with *P. berghei p52/p36* gene deletion parasites, mice become parasitemic (i.e. 'breakthrough' infections) and occasionally find *P. falciparum p52/p36* gene deletion parasites with multiple nuclei in primary human hepatocytes. These observations provide evidence that both *P. berghei* and *P. falciparum* parasites can progress into replicating liver stages in the absence of P52 and P36. These results show a degree of redundancy in the sporozoite specific 6-cys proteins P52 and P36 as was also found for the gametocyte specific 6-cys proteins P47, P48/45, P230 and P230p. Recently, a Phase I clinical trial has been conducted using mosquitoes infected with *p52/p36* deletion parasites [33,37]. During this trial 1 out of 6 volunteers developed blood stage parasites at day 12 after receiving 263 infectious mosquito bites. These blood stage 'breakthrough' parasites were confirmed as *p52/p36* mutant parasites [37]. When considering the development of a genetically attenuated sporozoite vaccine based on these 6-cys proteins, these findings clearly illustrate that additional genes need to be deleted to prevent breakthrough infections and ensure safety during immunization.

Apart from complete sporozoite attenuation, there are other safety issues to consider in the clinical development of a genetically attenuated sporozoite vaccine. Genetically modified organisms produced through recombinant DNA technology, often contain heterologous DNA such as plasmid derived bacterial sequences, resistance markers for selection purposes and gene targeting sequences. The presence of especially resistance markers in live attenuated whole organism vaccines is restricted by regulatory authorities [38,39]. The first generations of *p52/p36* gene deletion parasites contain a selectable resistance marker, preventing licensure as a vaccine product. Therefore, in **chapter 8** we

describe a method to remove heterologous DNA sequences from *P. falciparum* mutants using FLPe recombinase. So far only one efficient method exists to generate DXO gene deletions [40] and recycling the drug selectable marker with FLPe recombinase, for the first time enables multiple sequential gene deletions. This versatile method will be used to generate fully attenuated parasites by deleting additional essential liver stage genes next to *p52/p36*.

Identifying genes that are essential exclusively during the liver stage of the parasite life cycle presents a considerable challenge, but recently the *Plasmodium* fatty acid synthesis route (FAS-II) has been investigated as a potential gene target for attenuation. FAS-II gene deletion mutants invade the hepatocyte by normal PV formation, are able to replicate the genome and developmental arrest occurs at a late time point of liver stage development [41,42,43] as compared to P52/36 gene deletion parasites (**chapter 7**). For vaccine safety, the targeting of different metabolic processes may be an effective method to prevent breakthrough infection and decrease the probability that parasites regain infectiousness through mutations or recombination.

## Progress in *Plasmodium* transfection technology

Genetic manipulation of *Plasmodium* has a major impact on our understanding of the biology of the malaria parasite and is applied in this thesis for the analysis of the 6-cys protein family. These analyses have been hampered primarily by the difficulties associated with *P. falciparum* transfections. *P. berghei* is currently the model organism for genetic analysis of malaria parasites because transfection in *P. berghei* is exceedingly more efficient compared to *P. falciparum*. Transfection of schizonts/merozoites in *P. berghei* is very efficient but has not been accomplished in *P. falciparum* parasites which are only amenable to transfection of asexual ring stage parasites [44,45]. As is outlined in **chapter 8**, the process of generating *P. falciparum* DXO gene deletions takes an excess of 15 weeks and increasing the transfection efficiency by direct targeting of merozoites as well as immediate DXO gene deletion by transfection with linear DNA constructs need to be accomplished for improved *P. falciparum* transfection and chromosomal integration efficiency. Many efforts have been undertaken to improve efficiencies in *P. falciparum*, including plasmid preloading of erythrocytes and lipofectamine based transformation. In

*P. falciparum*, both we and others (personal communication, C. Janse) have attempted the nucleofector transfection technology as described for *P. berghei* [25,46] albeit with limited success.

None the less, alternative approaches have been published which lead to improved possibilities to study the biology of *P. falciparum* parasites. Efficient site-specific plasmid integration has been shown in *P. falciparum* chromosomes mediated by mycobacteriophage BxB1 integrase [47]. The target site (*attB*) of BxB1 integrase is first incorporated in a specific locus by standard homologous recombination. Subsequently, any plasmid harboring the donor site (*attP*) can be integrated irreversibly into the target site by BxB1 integrase. Prospects of this approach include functional complementation studies or generation of parasite lines harboring reporter genes such as GFP or luciferase [47,48,49]. Another exciting novel possibility is the introduction of artificial chromosomes in *Plasmodium* research. In analogy with bacterial and yeast artificial chromosomes (BAC and YAC respectively) *Plasmodium* artificial chromosomes (PAC) were constructed for *P. berghei* by defining the centromeric regions needed for prolonged maintenance of constructs during mitosis and meiosis [50]. PACs can be used to incorporate larger and more transgenes into the transfected constructs than would be feasible with standard episomal plasmids. PACs which can be maintained in *P. falciparum* are also being developed (personal communication, S. Iwanaga).

The majority of gene function studies including those described in this thesis have taken a targeted approach to study a gene of interest (GOI) (i.e. reverse genetics). Forward genetics is the classical genetics approach to identify genes with a specific biological function and requires random mutagenesis combined with a solid phenotypic screen. Random mutagenesis was recently accomplished using the transposable element *piggyback* and the system has enabled identification of several growth attenuated *P. falciparum* parasite lines [51,52]. The constraint of this approach in terms of vaccine development is finding a relevant phenotypic screen, as for identification of vaccine targets complete loss-of-function phenotypes are required. Therefore essential genes especially those expressed during the asexual stages will not be identified and require a conditional gene deletion approach.

Conditional mutagenesis is commonly used in genetic model organisms to study the function of essential genes. In the mosquito stages of *P. berghei*, conditional mutagenesis has been reported using the FRT/FLP recombinase system derived from

yeast [53]. While this is an elegant technique it does not allow identification and detailed analysis of essential asexual blood stage genes as *Plasmodium* gene deletions can only be generated in the asexual stages. The first steps to achieve conditional mutagenesis in *P. falciparum* asexual stages were described in **chapter 8**. We describe the use of an enhanced FLP recombinase (FLPe) [54] to efficiently remove genes flanked by two FRT sites (Fig 1a, chapter 8). This is the first report using FLPe recombinase in *P. falciparum* and the first time that an FLP enzyme was found to function efficiently in the blood stages of the malaria parasite. By combining the FLPe system with complementation and the inducible ATc-regulation system [55], essential genes in the blood stages could in future be identified by inducible gene disruption. Such a mechanism would employ integration of the complete cassette of the GOI flanked with FLP recognition target (FRT) sites followed by DXO gene deletion of the endogenous GOI. Subsequently, inducible FLPe treatment in any desired stage of the asexual cycle the GOI will be immediately deleted allowing analysis of the function of the GOI at that specific stage of parasite development.

In **chapter 8** we describe the use of the FLP enzyme to remove resistance markers from mutant parasites and this novel technique enables the recycling of resistance markers in *P. falciparum* gene deletion studies. To date there is only one effective system to generate targeted double cross over (DXO) gene deletion mutants [40]. This is due to the scarcity of selectable markers available for selection of *P. falciparum* DXO mutant parasites. Several reported drugs and resistance marker combinations, cause rapid selection of resistant parasites as has been described for BSD [56] and observed for Neomycin (personal communication, A. Maier). By repeated recycling of the resistance marker, in theory an unlimited amount of genes may be removed from the genome. There is however one concern in the sequential gene deletions which results from the remaining FRT scar following each FLPe removal of the resistance marker. Unintended genome rearrangements or deletions can potentially be mediated between remaining FRT scars. Recently the use of a second recombinase system, Cre-LoxP was reported in *P. falciparum*. The system is also able to mediate removal of selectable markers from *P. falciparum* blood stage deletion mutants [57]. Unintended recombinations can be circumvented by using both the FRT/FLPe and the Cre-LoxP recombinase systems as the enzymes use different DNA target sites. This is especially important when the genomic locations of the targeted loci are in close proximity.



Recombinase systems now enable gene deletion studies of whole families of genes and also targeting of multiple genes to elucidate redundancy in gene function as has been observed for several members of the 6-cys family in this thesis. An additional possibility of using the FLPe recombinase system is to use the FRT scar, as a target site for FLPe mediated insertion of transgenes (e.g. complementation of the deleted gene or reporter genes). FLPe mediated insertion has previously been described in mammalian cells [58]. Studies have been initiated to generate an array of reporter parasite lines using such an approach to study the biology of *P. falciparum* parasites including the interactions with both the mosquito vector and the human host.

## Considerations and perspectives

In this thesis the rodent malaria parasite *P. berghei* is used as a genetic model organism for the analysis of the 6-cys gene family, subsequently followed by confirmation studies in the clinically important malaria parasite, *P. falciparum*. Analysis of the genome organization between *P. falciparum* and three combined rodent malaria parasites (cRMP; *P. berghei*, *P. chabaudi*, and *P. yoelii*) shows 90% synteny and a high level of conservation in 85% of the genes, indicating a close relationship between the rodent and human parasites [59]. However, these analyses also indicate the presence of over 500 *P. falciparum* specific genes that do not have a rodent malaria ortholog. Most of these genes are expected to play a role in host–parasite interactions [59] and these findings may cause a higher degree of redundancy in gene function in *P. falciparum* compared to rodent malaria. In this thesis we indeed find different phenotypes between *P. berghei* and *P. falciparum* as disruption of P47 does not reveal a function in female fertility in *P. falciparum* (**chapter 2 and 3**) and also  $\alpha$ -tubulin II based reporter gene expression was not male specific in *P. falciparum* ([5] and **chapter 5**). However, our studies of the sporozoite specific 6-cys genes show comparable results between the two *Plasmodia*. In **chapter 7** the phenotype of *P. berghei* *p52/p36* gene deletion mutants are predictive of the outcome of our studies using *P. falciparum* *p52/p36* mutants in primary human hepatocytes and in the first human trial using *P. falciparum* *p52/p36* gene deletion sporozoites [37]. A recent communication dealing with the validity of malaria models concludes that no malaria model is identical to human malaria but can be of predictive value when model differences, similarities and limitations are considered [60]. One limitation is that non-essential genes in malaria models (e.g. *p230p* in **chapter 2**) are also assumed to be non-

essential in *P. falciparum*, precluding detailed analysis by reverse genetics. Likewise, *P. falciparum* genes without an ortholog in malaria models, may be unrepresented in gene deletion studies. Despite these limitations, the *P. berghei* rodent malaria model offers technological advantages (e.g. rapid reverse genetics) and provides an efficient genetic malaria model for gene function analysis in *P. falciparum*.

At present gene targeting by DXO genetic integration in *P. falciparum* is a standardized method, but efficiency of transfection has not improved over the last decade and remains a major bottleneck in gene function analysis. Investments are merited to develop efficient transfection techniques for *P. falciparum* merozoites. A major step forward would be to ensure the prolonged maintenance of free merozoites in culture for a sufficient period of time to allow transfection and subsequent reinvasion of transformed merozoites. The maximum period of viability for free merozoites is currently 15 minutes at room temperature with average time to reinvasion of 10 minutes for 80% of merozoites [61]. Finding ways to increase and prolong the viability of free merozoites will significantly increase transfection efficiency in *P. falciparum*.

Our recent accomplishments with FLPe recombinase in *P. falciparum* may lead to increased possibilities to manipulate the parasite genome. FLP mediated genetic integration has been described in other organisms and may enable more straightforward generation of an array of reporter parasites or complementation following gene deletion in *P. falciparum*. Moreover, the FLPe recombinase technique offers one of the steps required to achieve conditional gene deletion and this may enable future identification of essential asexual genes which is currently not feasible as gene deletions are performed in the asexual stages.

Our studies provide further evidence that some members of the 6-cys family of proteins are important for the biology of the malaria parasite and represent targets for vaccine development at the sexual and sporozoite stages. P48/45 has been produced as different recombinant proteins which are in the process of clinical development for transmission blocking vaccines [62,63]. A recombinant P230 protein produced in plants is able to induce transmission blocking antibodies in mice [64,65]. In **chapter 2** we have confirmed the expected role of the proteins P48/45 and P230 in male parasite fertility particularly in relation to the discovery that P47 plays a role in female fertility in *P. berghei*. Although no function could be identified for the female specific P47 in *P. falciparum*, we generated in **chapter 4** P47 based female and dyneine based male specific reporter lines. At present

male and female gametocyte populations have been isolated and are being studied by proteomic analysis to delineate sex-specific protein expression patterns and possibly identify proteins with a role in fertilization. A function for P47 in female gametocytes may in future be elucidated by identifying additional genes with a comparable expression profile. Targeting of such genes in combination with P47 may help to identify the key factors of female fertility of *P. falciparum* parasites.

The discovery of *p52* and two *uis* genes being essential for the development of liver stage parasites marked the start of the concept of genetically attenuated parasites (GAP) as a whole organism malaria vaccine [28,66,67]. GAPs lacking *p52* and *p36* are currently the leading pre-erythrocytic vaccine candidate [31] even though in **chapter 7** we encountered ‘breakthrough’ asexual parasites after immunization with these mutants. Continued investigation for essential liver stage genes will hopefully lead to the identification of additional gene deletion targets next to *p52/p36*, to eliminate breakthrough parasites and produce a safe and fully attenuated GAP. Clearly *p52/p36* gene deletion parasites are attenuated early in liver stage development prior to replication. The mechanism of attenuation is most likely due to the inability to generate a stable PVM (Ploemen *et. al.*, submitted). Generation of specific antibodies and tagged proteins may reveal more details about the localization and function of P52 and P36.

In this thesis the 6-cys protein family, expressed during the sexual stage and the sporozoite stage has been analyzed primarily by gene deletion studies. Gene targeting combined with recombinase technology may enable the generation multiple gene deletions within the same parasite line and in future allow the generation of effective and safe 6-cys based GAPs as (multiple stage transmission blocking) malaria vaccines.

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# Chapter 10

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**Summary**

**Samenvatting**

**Publications**

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## Summary

Malaria parasites contain a protein family with characteristic 6-cysteine (6-cys) protein domains comprising of ten members which are conserved throughout all *Plasmodium* species. The members of the 6-cys family are expressed during distinct stages throughout the life cycle and most of the proteins are expressed on the surface of the parasite. Some 6-cys proteins are known to play a role in cell-cell interactions. These specific characteristics make this an interesting protein family for analysis of the biology of the malaria parasite. In this thesis, a molecular genetics approach is used to investigate the function of selected members of the 6-cys protein family from the gametocyte stage and the sporozoite stage in both the rodent malaria model *P. berghei* and the human malaria parasite *P. falciparum*. The aim of these studies is to gain a deeper insight into the biological function of malaria parasites and to explore the application of 6-cys proteins as vaccine candidates to interrupt the malaria parasite life cycle.

During the development of the sexual stage gametocytes, the 6-cys proteins P230, P230p, P48/45 and P47 are expressed and in chapter 2 we present evidence that in addition to the known male fertility factor P48/45, two other 6-cys members (i.e. P230 and P47) play an essential role in *P. berghei* parasite fertilization. Male gametes lacking P230 are unable to attach to female gametes and when fed to mosquitoes, *in vivo* oocyst formation is strongly reduced. Targeted gene deletion of the paralog of *p230*, *p230p* does not reveal a function during the entire *P. berghei* life cycle. Targeted deletion of *P. berghei* P47 results in infertile female gametes which are not recognized by WT male gametes consequently reducing transmission to mosquitoes. In chapter 3 we find that *P. falciparum* P47 is expressed on the surface of female gametes following emergence from red blood cells. In contrast to *P. berghei* however, P47 in *P. falciparum* does not appear to be crucial for the recognition of female gametes and parasites lacking P47 through SXO targeted gene disruption produce normal numbers of oocysts when included in the blood meal of the mosquito. Moreover, monoclonal antibodies specific for P47 are not capable of reducing parasite transmission when included in the blood meal of mosquitoes. These findings disqualify the candidacy of P47 as a sexual stage vaccine target.

In chapter 4 we take a genome wide approach by adapting the female specific expression profile of P47 for the generation of *P. falciparum* parasite lines expressing GFP controlled by the P47 promoter specifically in female gametocytes. The generation of a male

specific GFP parasite line was based on dyneine controlled GFP expression. The produced lines may be used in transcriptome or proteome studies to determine differences in expression between male and female gametocytes and help to elucidate the different steps in parasite fertility.

In chapter 6 we show that *P. falciparum* parasites lacking the 6-cys protein P52 demonstrate normal development up to the sporozoite stage. However, inside cultured primary human hepatocytes, parasite development is arrested soon after hepatocyte cell invasion. Conceptually, these liver stage genetically attenuated parasites (GAP) may be applicable as a whole parasite vaccine. In chapter 7 and 8 we therefore describe the generation of *P. berghei* and *P. falciparum* gene deletion mutants lacking both sporozoite specific 6-cys proteins P52 and P36 and also find severe attenuation in mice and primary human hepatocytes respectively. However, following immunization with *P. berghei* *p52/p36* gene deletion parasites some mice developed blood stage parasitaemia (i.e. 'breakthrough' parasites) and at day 2- 4 after infection with *P. falciparum* *p52/p36* deletion sporozoites we found replicating forms inside hepatocytes. These observations provide evidence of incomplete attenuation since both *P. berghei* and *P. falciparum* parasites can progress into replicating liver stages in the absence of P52 and P36. Genetically modified organisms produced through recombinant DNA technology, often contain heterologous DNA such as resistance markers used for selection. To minimize the inclusion of foreign DNA inside GAP, we describe a method in chapter 8 to remove these DNA sequences from *P. falciparum* mutants using FLPe recombinase. This method will also allow the deletion of multiple genes within one parasite line and potentially facilitate the generation of a fully attenuated GAP vaccine.

Several members of the 6-cys family are currently in different stages of vaccine development as transmission blocking vaccines (i.e. P48/45 and P230) or GAP (i.e. P52 and P36). Further development is necessary to transfer these targets into vaccine products to be tested in clinical trials. In future, vaccines based on members of the 6-cys family may contribute to the elimination of malaria as a health problem.

## Samenvatting

De malaria parasiet bevat een familie van eiwitten die gekenmerkt wordt door 6-cysteine (6-cys) eiwitdomeinen. De familie bestaat uit tien leden die in alle *Plasmodium* soorten voorkomen. De leden van de 6-cys familie komen tot expressie tijdens verschillende stadia van de levenscyclus van de parasiet en de meeste eiwitten bevinden zich op het oppervlak van de parasiet. Van sommige 6-cys eiwitten is bekend dat ze een belangrijke rol spelen bij cel-cel interactie. Deze specifieke kenmerken zorgen ervoor dat dit een interessante eiwitfamilie is voor analyse van de biologie van de malariaparasiet. In dit proefschrift, is een moleculair genetische benadering gekozen om de functie van een aantal leden van de 6-cys familie te onderzoeken die tot expressie komen in het seksuele stadium van de parasiet en in sporozoïeten. De studies worden uitgevoerd in zowel het knaagdier malaria model *P. berghei*, als in de humane malaria parasiet *P. falciparum*. Het doel van deze studies is om meer inzicht te genereren in de biologische functie van 6-cys eiwitten in de malaria parasiet en vervolgens een 6-cys vaccintoepassing te vinden om de levenscyclus van malaria parasieten te onderbreken.

Tijdens de ontwikkeling van het seksuele stadium van malaria parasieten, gametocyten en gameten, komen de 6-cys eiwitten P230, P230p, P48/45 en P47 tot expressie. In hoofdstuk 2 laten we zien dat naast het belangrijke eiwit P48/45 voor de fertiliteit van mannelijke gameten, twee andere 6-cys leden (P230 en P47) een essentiële rol spelen in de fertiliteit van *P. berghei* parasieten. Mannelijke gameten zonder P230 kunnen niet aan vrouwelijke gameten binden en wanneer ze gevoed worden aan muggen, is transmissie van de parasiet sterk verminderd. Deletie van het gen van de paraloog van *p230*, *p230p* heeft echter geen gevolgen voor de ontwikkeling van de parasiet tijdens de volledige levenscyclus van *P. berghei* en is derhalve geen essentieel eiwit. In tegenstelling tot de rol van P230 en P48/45 in mannelijke gameten, resulteert deletie van het *P. berghei* *p47* gen in infertiele vrouwelijke gameten die niet door mannelijke gameten worden herkend met als gevolg sterk gereduceerde transmissie naar muggen. In hoofdstuk 3 vinden we dat *P. falciparum* P47 tot expressie komt op het oppervlak van vrouwelijke gameten. In *P. falciparum* heeft P47 echter niet dezelfde functie in vrouwelijke fertiliteit als in *P. berghei*, aangezien *P. falciparum* P47 gendeletie mutanten normale transmissie naar muggen vertonen. Eveneens leiden P47 specifieke antilichamen niet tot een

reductie in transmissie wanneer deze worden toegevoegd aan een voeding van normale parasieten aan de mug. Deze bevindingen ontmoedigen het gebruik van P47 als een 6-cys vaccintoepassing gericht tegen de transmissie van seksuele stadia.

In hoofdstuk 4 gebruiken we het vrouwelijke specifieke expressie profiel van P47 om *P. falciparum* parasieten te genereren die fluorescerende (GFP) vrouwelijke gametocyten produceren, door het gebruik van de P47 promotor. *P. falciparum* parasieten waarvan de mannelijke gametocyten GFP tot expressie brengen zijn geproduceerd door gebruik van een mannelijk specifieke dyneine promotor. De gegenereerde lijnen kunnen in transcriptomic of proteomic studies worden gebruikt om verschillen in expressie tussen mannelijke en vrouwelijke gametocyten te bepalen en mogelijk te identificeren welke eiwitten en eiwitinteracties belangrijk zijn voor fertiliteit van *P. falciparum*.

In hoofdstuk 6 laten we zien, dat *P. falciparum* parasieten als gevolg van deletie van het 6-cys gen *p52*, tot en met het sporozoieten stadium in de mug een normale ontwikkeling doormaken. Echter, na invasie van primaire humane hepatocyten stopt de ontwikkeling van de parasiet. Deze genetisch geattenueerde parasieten (GAP) kunnen conceptueel dienen als 'levend verzwakt vaccin'. In hoofdstuk 7 en 8 vervolgen we deze experimenten door gendeletie mutanten te genereren zowel in *P. berghei* als *P. falciparum* waarin beide sporozoiet specifieke 6-cys eiwitten (P52 en P36) ontbreken. Zoals verwacht, vinden we een ernstige verstoring in de ontwikkeling van deze parasieten gedurende het leverstadium. Het is bekend dat immunisatie met vergelijkbare parasieten in knaagdier malaria modellen kan leiden tot bescherming tegen malaria infectie maar wij vinden tevens dat sommige muizen na immunisatie, bloed stadium parasieten ontwikkelen ('doorbraak' parasieten). Na infectie van primaire humane hepatocyten met *P. falciparum* sporozoieten waarin P52 en P36 ontbreken, vinden we replicerende lever stadium parasieten. Deze bevindingen laten zien dat *p52* en *p36* deletie mutanten niet volledig geattenuerd zijn aangezien zowel in *P. berghei* als in *P. falciparum* ontwikkeling van deze parasieten mogelijk is. Hiermee wordt duidelijk dat additionele verzwakking van de parasieten noodzakelijk is voordat het klinisch getest kan worden als GAP vaccin.

Genetisch gemodificeerde organismen worden gegenereerd door middel van recombinant DNA technologie en bevatten 'vreemd' DNA zoals resistentie markers die noodzakelijk zijn tijdens de selectie procedure. Om de hoeveelheid 'vreemd' DNA in toekomstige GAP vaccin kandidaten te beperken hebben we in hoofdstuk 8 een methode ontwikkeld voor het verwijderen van 'vreemd' DNA uit de parasiet met behulp van FLPe

recombinase. Deze nieuwe methode voor gendeletie in *P. falciparum* zorgt niet alleen voor veiligere GAP tijdens immunisatie maar zorgt ook dat meerdere genen kunnen worden uitgeschakeld in een parasiet. Voorheen was dit niet mogelijk door de beperkte beschikbaarheid van resistentie markers in *P. falciparum* gendeletie technologie.

Verscheidene leden van de 6-cys eiwit familie bevinden zich in uiteenlopende stadia van vaccinontwikkeling zoals transmissie blokkerende vaccins (P48/45 en P230) of GAP (P52 en P36). Voortschrijdende ontwikkeling is nodig om deze vaccinkandidaten uiteindelijk in de kliniek te kunnen testen. In de toekomst, zullen vaccins gebaseerd op leden van de 6-cys eiwit familie hopelijk kunnen bijdragen aan de eliminatie van malaria.

## List of Publications

1. Annoura T, Ploemen IH, **van Schaijk BC**, Sajid M, Vos MW, van Gemert GJ, Chevalley-Maurel S, Franke-Fayard BM, Hermsen CC, Gego A, Franetich JF, Mazier D, Hoffman SL, Janse CJ, Sauerwein RW, Khan SM. Assessing the adequacy of attenuation of genetically modified malaria parasite vaccine candidates. *Vaccine*. 2012 Mar 30;30(16):2662-70. Epub 2012 Feb 16.
2. **van Schaijk BC**, Vos MW, Janse CJ, Sauerwein RW, Khan SM. Removal of heterologous sequences from *Plasmodium falciparum* mutants using FLPe-recombinase. *PLoS One*. 2010 Nov 30;5(11):e15121.
3. van Dijk MR, **van Schaijk BC**, Khan SM, van Dooren MW, Ramesar J, Kaczanowski S, van Gemert GJ, Kroeze H, Stunnenberg HG, Eling WM, Sauerwein RW, Waters AP, Janse CJ. Three members of the 6-cys protein family of *Plasmodium* play a role in gamete fertility. *PLoS Pathog*. 2010 Apr 8;6(4):e1000853.
4. Silvestrini F, Lasonder E, Olivieri A, Camarda G, **van Schaijk B**, Sanchez M, Younis Younis S, Sauerwein R, Alano P. Protein export marks the early phase of gametocytogenesis of the human malaria parasite *Plasmodium falciparum*. *Mol Cell Proteomics*. 2010 Jul;9(7):1437-48. Epub 2010 Mar 22.
5. Roestenberg M, McCall M, Hopman J, Wiersma J, Luty AJ, van Gemert GJ, van de Vegte-Bolmer M, **van Schaijk B**, Teelen K, Arens T, Spaarman L, de Mast Q, Roeffen W, Snounou G, Rénia L, van der Ven A, Hermsen CC, Sauerwein R. Protection against a malaria challenge by sporozoite inoculation. *N Engl J Med*. 2009 Jul 30;361(5):468-77.
6. **van Schaijk BC**, Janse CJ, van Gemert GJ, van Dijk MR, Gego A, Franetich JF, van de Vegte-Bolmer M, Yalaoui S, Silvie O, Hoffman SL, Waters AP, Mazier D, Sauerwein RW, Khan SM. Gene disruption of *Plasmodium falciparum* p52 results in attenuation of malaria liver stage development in cultured primary human hepatocytes. *PLoS One*. 2008;3(10):e3549. Epub 2008 Oct 28.
7. **van Schaijk BC**, van Dijk MR, van de Vegte-Bolmer M, van Gemert GJ, van Dooren MW, Eksi S, Roeffen WF, Janse CJ, Waters AP, Sauerwein RW. Pfs47, paralog of the male fertility factor Pfs48/45, is a female specific surface protein in *Plasmodium falciparum*. *Mol Biochem Parasitol*. 2006 Oct;149(2):216-22. Epub 2006 Jun 19.
8. van Dijk MR, Douradinha B, Franke-Fayard B, Heussler V, van Dooren MW, **van Schaijk B**, van Gemert GJ, Sauerwein RW, Mota MM, Waters AP, Janse CJ. Genetically attenuated, P36p-deficient malarial sporozoites induce protective immunity and apoptosis of infected liver cells. *Proc Natl Acad Sci U S A*. 2005 Aug 23;102(34):12194-9. Epub 2005 Aug 15.

## Dankwoord

Beste Robert, gelukkig werd het toch maar eens tijd dit proefschrift af te ronden. Bij het inleveren van versie één van mijn inleiding schreef ik: “Hierbij het begin van het einde” en je schreef terug “...of het einde van het begin.” Daar ben ik dan toch aanbeland, bij het begin. Het was geen makkie, ook niet voor jou denk ik, al dat gedoe met DNA elektroshock, kopie, knip, plak, kleuren, rondjes en ‘flipflop’. **Robert** ik wil je hartelijk danken voor de kans die je me hebt gegeven (2 keer) en het vertrouwen. Ik ben heel blij dat we nu naast elkaar staan, trots op wat we bereikt hebben binnen TIP en trots dat we nu behoren tot een select groepje labs waar transfectie van *falcip* loopt als een tierelier! Beste **Chris**, je staat al prominent op bijna alle papers in mijn lijst en toch had ik je naam liefst nog een keer ingetikt voorin. Zonder de samenwerking met ‘Leiden’ was ik hier nooit aanbeland. Bedankt dat je de samenwerking altijd zo heb gestimuleerd en dat ik altijd welkom was in het lab. **Milly**, mijn officieuze begeleider, wat was ik verloren geweest zonder je. Dank voor alle steun in het begin van het begin, ik kwam altijd terug uit Leiden met nieuwe ideeën en goede moed wetende dat er één persoon was die de *falcip* transfectie moeilijkheden begreep! Ik heb je altijd al een goede teach gevonden! Fijn dat je nu goed op je plek zit. **Maaïke**, bedankt voor de eerste constructen! Dear **Shahid**, when are you going to follow Christy’s (and even Nadia’s) good example. Let this be a good start: Shahid we stonden beiden voor een goede kans in het begin van TIP en ik denk dat we hem gegrepen hebben. Je hebt een aantal goede ideeën gehad die zeker een verschil hebben gemaakt in wat we in wpH voor elkaar hebben kunnen krijgen en bedankt voor de hulp bij het schrijven. Daarnaast is het ook altijd lachen met je. Nu dit achter de rug is lukt het vast om onze dochters samen te laten spelen. **Dolly Parton**, talking to you without the urban dictionary is impossible, thanks for pointing it out and thanks mostly for benign ab’s, the man hugs and wish you the best this world offers. **Takeshi**, it was great to work with you and if I am ever in Japan... thanks for identifying benign! To the rest of the **Leiden parasitology group** thanks for always a warm welcome!

Terug naar huis, naar de **Malaria unit**, waar het begon. **Wijnand** bedankt voor de start in de malaria unit voor een map vol met ideeën voor proeven maar als belangrijkste voor het nemen van het initiatief om het project te schrijven dat mijn promotieonderzoek mogelijk heeft gemaakt (ook al probeert **Willem** daarvoor de credits op te eisen, toch bedankt). Het was jammer dat je met pensioen ging maar ik snap het als geen ander (55 staat nog steeds). **Geert-Jan**, de eerste *p47* transfecties hebben we samen gedaan, de berghei proeven en daarna heb je enorm geholpen met alle muggen experimenten. Het was, en is fijn altijd op je te kunnen bouwen! Tip van de dag: neem een zondag vrij en



ga lekker zeilen, op groot water zijn geen waterscooters, het zal je goed doen! **Marga**, je hebt me ingewijd in de *falcip* kweek, lastig, tijdrovend, niet te voorspellen en vaak niet aan anderen uit te leggen maar Ooo zulk belangrijk werk. Het vormt de basis van dit proefschrift. Bedankt! Ik kom je vast nog tegen aan de Noorse of Zweedse kust. **Suzy, Henry, Rianne** en **Wouter**, door jullie is en was het altijd leuk op je unit; jammer dat de nieuwe zo gescheiden is. **Rianne**, wat een bijzondere tijd de afgelopen 2 jaar, leuk om de babytalk met je te kunnen delen! **Jolanda, Laura, Astrid** en **Jacqueline** bedankt voor jullie werk; zonder muggen en kundige handen voor dissectie geen malaria onderzoek. Tja, en toen werd ik ruw bij de Malaria unit weg getrokken om naar “Medische Microbiologie” in het NCMLS te gaan. Gelukkig was daar ‘labma’ **Krientje**, dank voor de hulp bij de westerns in het begin of als ik weer een beetje mAb nodig had. Als het even kan val ik voortaan iets minder vaak om 5 uur uit m’n bed (G-J) maar probeer de ochtend koffie te halen; **Karina** en **Geert-Jan** het is altijd prettig wakker worden! **Petra**, buuv, heel erg bedankt voor al je aanmoedigingen vanuit Schotland en tof dat we nog steeds aan het samenwerken zijn. **Liselotte**, super bedankt voor een gezellig tijd als je weer in NL bent een potje squashen? Ik geniet nog altijd van je reisverslagen zodat ik een beetje weet hoe Afrika is omdat ik, als malaria onderzoeker, er zelf nog nooit geweest ben SCHANDE! **Mayke**, tof tennis maatje van weleer, als je nog een keer verdwaalt in het ziekenhuis mag je wel bellen hoor.... wat hebben wij gelachen. Ik hoop dat je het in je huidige werk meer naar je zin hebt. Hoop snel weer eens bij te kletsen! **Teun** welkom terug! Wanneer kan ik me aanmelden voor je cursus wetenschappelijke organisatie? **Mike**, dank voor je ‘volhouden’ voorbeeld en heel veel succes met je nieuwe projecten. **Adrian**, bon courage en Paris. De oudgedienden van de afdeling **Rob, Will, Theo, Pieter** en **Jan-Peter**, dank voor de basis waar jullie veel van hebben opgebouwd. Maar **Rob**, karaktervorming daar klopt toch echt niets van! **Annemieke**, bedankt voor de organisatie binnen TIP, de waarschuwingen voor updates en die MTA’s (also things that can’t be rushed). **Matt**, bedankt voor het initiatief van de vrijdagmiddag kroeg jij hebt de tijd nog meegemaakt dat ik er wel altijd bij was! Yo **Lino**, what a coincidence you’re up the day after. Bon courage and let’s hope for 2 nice party’s in a row! While on the topic, **Krystelle** je hoeft echt geen belletjes aan je voeten hoor; het is altijd een leuke verrassing. Bedankt voor hulp bij de FACS plaatjes en heel veel succes maar vooral fun in The States. **Maarten**, ik verwacht van jou de ontwikkeling van een ‘fully refractory mug’ heel veel succes! **Meta**, nu je in Leiden zit is er maar een plek waar onze GAP getest kan worden. Ga je nog een keer me naar Bilthoven for the real deal? **Anne** en **Anja** bij voorbaat dank voor het testen van de immunologie van ‘GPI’ (GAP protected individuals) en **Else** en **Guido** durven jullie het aan? **Ivo** bedankt voor het uitzoeken welke GAP’s de beste zijn, en ook voor het niet

‘uit bed vallen’(weer G-J) het was lekker rustig in de ochtend in U-tje 3. Gelukkig was er altijd een remedie als je té lastig werd; is je middenrif nog heel? Ivo, ik wens je veel succes bij het afronden en ben benieuwd wat volgt.

Ik heb gedurende dit onderzoek met veel plezier een aantal studenten mogen begeleiden. **Annet**, bedankt voor je aandeel in het maken van de groene vrouwen; binnenkort komt het manuscript! **Thomas**, tja dat ging niet vlekkeloos maar eind goed al goed. **Fay**, het is nooit te laat om succes te claimen. Een week geleden twee jaar na afloop van je stage konden we ze pas testen; jouw recombinant heeft geleid tot goede antilichamen en er verschijnen binnenkort foto's in een publicatie! Echt tof! **Mark**, bedankt voor het maken van de groene mannen. Je hebt goed werk geleverd. Erg gaaf dat ik je veel succes met je promotie kan wensen! **Jorien** knap dat je je zo snel het moleculaire werk hebt eigen gemaakt jouw jck's zijn nog steeds in gebruik. Succes met je nieuwe experiment de leukste van allemaal kan ik je zeggen. **Helmi** thanks for following up Joriens work and good luck with your PhD project.

Beste ‘buur virologen’, **Kjersten**, **Mark** en **Dirk** bedankt voor de pcr adviezen in het begin en ook bedankt dat ik mee mocht doen met de voorraad enzymen enzovoort. Niet voor niets luidt het gezegde: “Beter een goede buur dan een verre vriend”. Dat geldt niet alleen voor jullie hulp en gezelligheid maar zeker ook voor de restjes koek en taart die achterbleef; dan gedragen we ons zoals het een goede parasiet betaamt. **Kjersten**, van de mensen die op het lab werken gaan wij samen het langst mee! (een paar uitzonderingen) Maar wat hoor ik nu, je laat me achter en gaat mee naar Utrecht? Of? **Els**, was leuk om bij te kletsen laatst bedankt voor je adviezen. Beste **Frank**, bedankt voor je interesse in mijn werk zo vroeg in de ochtend. Ik vind het heel erg leuk voor je dat je Prof. Frank wordt op de afdeling virologie, diergeneeskunde waar ik ben afgestudeerd. Beste **Raoul** en **Jolanda** bedankt voor een leuk en uitdagend afstudeer project. Ik heb veel van jullie geleerd en Raoul ik ben nooit vergeten dat je zei: “Het krijgen van kinderen is een ervaring die je niet aan je voorbij moet laten gaan, ook al gebeurt dat wel vaak in de wetenschap”. Opgevolgd! **Casper**, bij mijn eerste stage is de interesse in het moleculaire werk gestart. De manier waarop jij Southern's maakte gebruik ik nog altijd en ze staan verspreid door het proefschrift!

Dear **Adriana**, I'm absolutely thrilled that we both made it; we sure had our doubts at some point! We really deserve it. **Wieteke** and **Richard** (köszönöm) always great to hear some more molecular parasitology thanks and hope we can get the new gametocyte project done together. **Wieteke**, jeez you can give a fantastic impression of sheep! **Sanna** en sinds kort ook **Maarten**, welkom bij de transfectie club leuk om met jullie ABC's samen

te werken. Dear **Kim** and **Saliha**, it was great to visit the lab at Loyola, Chicago, thanks for your expertise in the gametocyte work. **Rob en Jeroen** (CHL) dank voor jullie kundige sorteer werk!

**Mes amies en Paris: Dominique, Jean-François, Audrey, Olivier, Samir et Audrey**, merci beaucoup pour une très bonne collaboration. Notre projet 'TIP' c'était impossible sans votre expertise du stadium de foie de maladie. Merci pour l'hospitalité, c'est toujours une bonne expérience de travailler dans le labo U511. J'espère aux prochaines fois. JF, le nouvelle anticorps sa marche; merci beaucoup pour ton intérêt tout le temps. **Audrey G.** bon courage, aussi avec la poterie.

Mijn dank is groot aan **Ti Pharma**. Het T4-102 project heeft het mogelijk gemaakt dat ik dit proefschrift kon afmaken maar heeft me ook duidelijk gemaakt dat toegepast onderzoek bij me past. Ik sta ook zeer achter het concept van public private partnership en heb het als zeer positief ervaren dat binnen ons project de neuzen zo goed dezelfde kant op stonden. To **Steve** and the **Sanaria** team, thanks for being an inspiration and what a great example of 'just' doing what others think is impossible! I sincerely hope that the vaccine will be a grand success and that the GAP we created will go straight into the pipeline!

Beste **Martijn**, paranimf, het is alweer lang geleden dat ik de eerste *falcip* transfectie voordeed en dat je de eerste malaria parasiet zag. We hebben de eerste tijd veel tijd samen doorgebracht in de flow. Tig transfecties parallel waarbij kleur codes noodzakelijk waren om bij te houden welke drugs er op de para's moesten: BSD, WR of FC maar een keer GAN, of PYR? Nee, dat was vroeger. Je ontpopte je gelijk als een uitstekend moleculair tovenaer en niemand die nu beter weet hoe de constructen in elkaar zitten dan jij. Sinds ik aan het schrijven van dit proefschrift ben begonnen stond je er steeds vaker alleen voor in de kweek. Ik ben blij dat ik het aan jou kon overlaten. Hoofdstuk 7 en 8 hebben we echt samen gedaan maar belangrijker nog is dat je mede het TIP project succesvol hebt gemaakt. Ik dank je daar enorm voor en ben erg blij dat je 26 juni naast me staat!

**Frouke, Maaike** en **Jaco** jullie waren bij het eerste begin. De lol die we altijd hebben gehad zelfs tijdens weekendjes tentamens voorbereiden (waarbij Jaco natuurlijk weer als enige een voldoende scoorde) was enorm. Ik vind het tof dat we het nog steeds super gezellig met elkaar hebben ook met iedereen die bij ons groepje is gekomen **Bram** (die meer van natuur weet dan de 4 biologen samen) **Corné, Gerja** en alle kids (en nog 2!). **Olivier**, we kregen contact tijdens onze stages; samen naar planten genetica, samen naar viro diergeneeskunde, beiden promotie onderzoek, post doc Ti Pharma, ik wil je danken voor

al je support en je enthousiasme! Nu komen we snel naar Sophie kijken! **Sandra** goede text: 'niet nadenken door tikken en afmaken' dank voor je interesse gelukkig iemand in de familie die het snapt! **Rien** en **Annemie** dank voor de 'alleenstaande moeder oppas' maar nu gaan we naar de Wadden in het weekend, zeilen jullie mee? **Serge** en **Ilse**, alvast bedankt maar dat hoor je nog? Fijne schoonfamilie het is altijd leuk met jullie! En Vosjes, idd de steen is over de dijk!

**Erik**, paranimf, ik ben blij dat jij naast me komt staan. Dank voor je interesse! **Erik** en **Joost** idd 25 jaar geleden op het KWC en 25 jaar feest nu met **Pauline** en **Bianca**. Ik hoop vaker nog de legendarische woorden te spreken: "het isj hiew ook só gesjellich". **Michiel** en **Stephanie**: samen zeilen i.p.v. een brief encounter midden op de Noordzee? **Arjan** we komen nu echt naar Ter Apel voor een beetje paarden inspiratie.

Aan **iedereen** die de 'wanneer' vraag stelde: Het antwoord is nu! En ik ben kei trots op mijn eerste en laatste boek want laten we wel wezen, zo lang stil zitten is niets voor mij zeker niet achter een computer. Dus iedereen, ook die ik niet met naam genoemd heb, bedankt om allerlei redenen en ik zal nu wat vaker zeggen "Ja 'tuurlijk komen we langs!'".

Rita aka **Tante Piet**: Bon voyage, don't forget to kiss the kids (ours)... wanneer ben je nou weer eens in NL, Véél succes met Bloom. **John** en **Miek**, geen betere ouders zijn denkbaar en zonder jullie onvoorwaardelijke steun en geloof in mij... Mam dank voor de gezelligheid die je altijd creëert, je gastvrijheid is een voorbeeld (ps sorry, maar de maandagen zijn nu wel weer van mij!). Pap, hoe kan dat toch? Je snapt altijd direct wat ik uitleg over de parasieten en je weet ook nog met weinig achtergrondkennis de juiste vraag te stellen; er zijn weinig mensen met zoveel inzicht. Ook in de wetenschap had je het goed gedaan! Bedankt, voor het meelevens en ik ben trots dat je mijn vader bent!

Daantje, wat een goede gedachtes daar op Texel. Het had niet beter kunnen gaan en dat houden we zo in de toekomst. Ik kijk er alvast naar uit; dat licht blauwe bootje, even dwars in de sloot want dat is makkelijker met de wind, ze wachten maar even. Heel veel geduld heb je gehad de afgelopen tijd, dank daarvoor!

Lieve Danielle, Zoë en Siem nu is het onze tijd en niets of niemand komt daar nog tussen.

*Ben*

## Curriculum Vitae

Op 24 december 1971 ben ik, Bernard Constantijn Lambert van Schaijk, geboren te Goirle. Na het behalen van mijn propedeuse aan de Agrarische Hogeschool Delft, studierichting Dierenhouderij en het behalen van het VWO certificaat Natuurkunde aan het Noctua college te Den Haag (1994), ben ik verder gaan studeren aan de Universiteit Utrecht, faculteit Biologie. Tijdens mijn studie raakte ik geïnteresseerd in de moleculaire biologie en deze interesse heb ik verder uitgebouwd tijdens mijn eerste stage, moleculaire genetica van planten. Tijdens mijn afstudeerstage ben ik in aanraking gekomen met de moleculaire virologie aan de faculteit Diergeneeskunde, Universiteit Utrecht waar ik werkte aan het Feline Infectious Peritonitis Virus (FIPV). Na afronding van mijn studie in 2001 leidde mijn grote interesse in de virologie tot mijn eerste baan aan dezelfde faculteit echter, gedetacheerd aan ID-Lelystad alwaar ik werkte aan het Infectious Bursal Disease Virus (IBDV). Na vertrek van mijn directe begeleider ben ik vervolgens in 2002 onderzoek gaan verrichten aan de seksuele stadia van malaria parasieten op de afdeling Medische Microbiologie van het Radboud Universiteit Nijmegen Medisch Centrum. Na een korte onderbreking ben ik in 2008 gaan werken op dezelfde afdeling aan het genereren van een genetisch verzwakt leverstadium malaria vaccin, mede mogelijk gemaakt door TI-Pharma. Beide projecten hebben geresulteerd in dit proefschrift.





