

Colorimetric analysis of outdoor illumination across varieties of atmospheric conditions

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Solar illumination at ground level is subject to a good deal of change in spectral and colorimetric properties. With an aim of understanding the influence of atmospheric components and phases of daylight on colorimetric specifications of downward radiation, more than 5,600,000 spectral irradiance functions of daylight, sunlight, and skylight were simulated by the radiative transfer code, SBDART [Bull. Am. Meteorol. Soc. 79, 2101 (1998).], under the atmospheric conditions of clear sky without aerosol particles, clear sky with aerosol particles, and overcast sky. The interquartile range of the correlated color temperatures (CCT) for daylight indicated values from 5712 to 7757 K among the three atmospheric conditions. A minimum CCT of ~3600 K was found for daylight when aerosol particles are present in the atmosphere. Our analysis indicated that hemispheric daylight with CCT less than 3600 K may be observed in rare conditions in which the level of aerosol is high in the atmosphere. In an atmosphere with aerosol particles, we also found that the chromaticity of daylight may shift along the green–purple direction of the Planckian locus, with a magnitude depending on the spectral extinction by aerosol particles and the amount of water vapor in the atmosphere. The data analysis showed that an extremely high value of CCT, in an atmosphere without aerosol particles, for daylight and skylight at low sun, is mainly due to the effect of Chappuis absorption band of ozone at ~600 nm. In this paper, we compare our data with well-known observations from previous research, including the ones used by the CIE to define natural daylight illuminants. © 2016 Optical Society of America

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1. INTRODUCTION

The foundations of the rigorous study of the solar spectral radiation were laid down in the early decades of the nineteenth century when devices for spectral irradiance measurement began to be developed and the requirements for initiating investigation of the spectral characteristics of global irradiance in different atmospheric conditions were satisfied. One of the earliest measurements of spectral daylight was reported by Abbot *et al.* [1] and Taylor and Kerr [2].

The color and spectral properties of daylight are subject to substantial variations across different atmospheric conditions and phases of daylight. In 1964, Judd *et al.* [3] investigated 622 irradiance spectra measured in Ottawa [4], Enfield [5], and Rochester [6], with the aim of identifying representatives of various phases of natural daylight. Based on linear combinations of basis vectors, the study resulted in the CIE standard illuminants at different correlated color temperature (CCT) [7]. This characterization suffers mainly from a few numbers

of observation and sites of measurement. Definition of standard CIE illuminants from a few sets of data measured in particular locations of the globe became controversial at the time, especially when analysis of natural daylight measured in Japan [8], India [9], South Africa [10], and Australia [11] showed deviations from the CIE daylight sources. In 2001, Hernández-Andrés *et al.* [12] analyzed 2600 daylight spectra, with CCTs from 3758 to 34,573 K, measured in Granada, Spain. The most frequent color temperature in the range of 175–180 mired, mainly observed for daylight in Granada, was found to be greater than the *r*CCT of 154 mired, recommended by CIE for the D65 illuminant of neutral daylight. (In this research, we represent color temperature in reciprocal mega-Kelvin, a scale that is more uniformly relatable to the difference in chromaticity [13]. Reciprocal mega-Kelvin in mired [MK⁻¹] is denoted here by *r*CCT, 10⁶/CCT.) Hernández-Andrés *et al.* [12] also argued the generality of linear estimation of Judd *et al.* [3] daylight spectra as being limited to particular atmospheric conditions.

Over many years, however, observations have been made in several locations of the globe aiming to characterize the elements of solar illumination under different atmospheric conditions. The location, spectral range, number of observations, and typical range of some well-known measurements of skylight and daylight are summarized in Table 1. Observations from previous research listed in Table 1 showed a range of $rCCT$ from ~ 29 to 283 mired for daylight and that from ~ 0 to 263 mired for skylight. Note that an observation of skylight radiation practically depends on specifications of the measurement, including the field of view (FOV) and the sun position relative to the sky region from which the observation is obtained. Therefore, in previous research, various ranges of color temperature observed for skylight are partly due to the method of measurement. Furthermore, daylight chromaticities mostly observed in the Northern Hemisphere [5,6,12,14] tend to reside mainly above the Planckian locus toward the green rather than the purple side, while some observations mostly conducted in the Southern Hemisphere showed chromaticities in both directions [9–11]. To the best of our knowledge, it is still not very well known as to why the green–purple shift occurs, although the variety of the local terrain and vegetation in both measurement sites suggests that the shift may not be due to the albedo effect. Nevertheless, research by Middleton [15], Dixon [11] commented that green–purple shift may partly be explained by the effect of ground color in an overcast condition.

Considering the wide variations in spectral solar illumination, the main question is, “To what extent does natural solar irradiance vary across plausible range of atmospheric conditions and phases of daylight?” Obvious issues in addressing this question not only pertain to a huge variability of atmospheric conditions but also to the fact that a specific condition might rarely occur in selected regions of observation. Thus, a direct answer to this question obtained by measuring solar spectra in all climatological regions and seasons seems to be impractical. We have dealt with this issue by incorporating plausible atmospheric parameters into a model in which solar illumination is estimated from factors such as solar elevation, cloud cover,

and the presence of aerosol particles in the atmosphere. The main goal of this research is to identify colorimetric properties of solar irradiance across a wide range of atmospheric patterns and find out how climatological parameters influence the colors of natural illuminations. To carry out computation for a given atmospheric condition, we used the radiative transfer module, SBDART [16], a discrete-ordinate algorithm of multiple scattering in plane–parallel media [17]. Although, the model proved its efficiency in solving the radiative transfer equation, observations and interpretation should be viewed with caution at higher solar zenith angles, due to several limitations on plane–parallel geometry. The SBDART code takes inputs of the single scattering albedo, vertical optical depth, and asymmetry factor in aerosol particles. The model also includes the surface albedo, cloud cover, and solar geometry. The outputs of the model, taken in this research, are the spectra for the global downward (BOTDN) and the direct solar flux (BOTDIR) at ground level. Simulation in this work was performed spectrally and resolved at wavelengths from 300 to 1,100 nm, with 5 nm intervals.

This paper is organized as follows: First, we introduce related terminologies and the description of parameters selected to simulate spectral irradiance functions in Section 2. Then, the simulated spectral functions are analyzed in terms of illuminance, CCT, and chromaticity coordinates in Section 3. In Section 4, we specifically focus on the influence of components of atmospheric conditions on the colorimetric characteristic of outdoor illuminations. We also discuss the reason for the green–purple shift in daylight chromaticities. To make our simulation well relatable to direct observation, we frequently compare the results of our simulations with previously observed measurements.

2. SIMULATING SOLAR-SPECTRAL IRRADIANCE

Solar energy received by the Earth is categorized into components of sunlight and skylight radiation. Sunlight is the direct

Table 1. Summary of Previous Studies on Daylight and Skylight Observations^a

T1:1	Ref.	Range	Type	No.	MK ⁻¹
T1:2	[6]	330–700	daylight	191	161–283
T1:3			skylight	60	104–127
T1:4	[5]	300–780	north sky	274	20–240
T1:5			total sky, no sun		50–190
T1:6			total sky, with sun		140–200
T1:7	[4]	300–720	total sky, and north sky	99	25–200
T1:8	[8]	—	north sky	—	0–167
T1:9	[10]	285–775	total daylight	422	160–190
T1:10			skylight, no sun		110–190
T1:11			south sky		86–190
T1:12	[9]	300–700	north sky	187	50–250
T1:13	[31]	300–700	north sky	60	0–143
T1:14	[11]	280–2800	daylight	290 + 240	150–190
T1:15			skylight		50–150
T1:16	[12]	300–1100	daylight	2600	29–266
T1:17	[29]	380–780	Skylight	1567	0–263
T1:18	[14]	400–2200	Daylight	7258	118–185

^aThe table shows the spectral range in nanometers, measurement type, total number of measurements (No.), and observed range of the inverse CCT in mired.

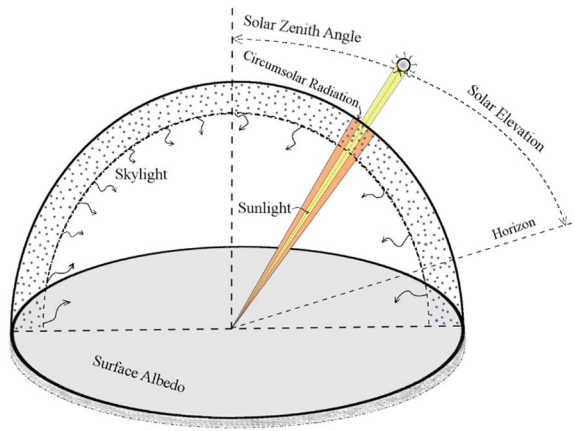


Fig. 1. Schematic illustration of the Earth–Sun geometry and different components of the solar radiation.

solar radiation at ground level, and skylight is the diffuse radiation scattered through the atmosphere from the sky as well as from the areal albedo of the reflective surrounding region. The total radiation reaching the ground is called the global radiation of daylight. The daylight spectral radiation refers to the spectrum of sunlight plus skylight. The Earth–Sun geometry and different components of solar radiation are schematically illustrated in Fig. 1. In our spectral-irradiance simulation, the global downward flux (BOTDN) refers to hemispheric daylight [12], which includes both the downward direct flux (BOTDIR) and skylight radiation. Thus, the spectrum of skylight irradiance is

calculated by subtracting the BOTDIR from the corresponding BOTDN at each wavelength, $\text{Skylight} = \text{BOTDN} - \text{BOTDIR}$. Therefore, the skylight radiation in this study totally excludes direct sunlight, similar to an observation made within a narrow FOV, extended away from the Sun’s apparent position in the sky.

Table 2 summarizes the selected parameters of the SBDART code for simulating spectral-irradiance of solar illumination under three different atmospheric conditions of clear sky without aerosol particles, clear sky including aerosol particles, and overcast sky. Although a clear sky without aerosol particles is not a common atmospheric condition, it does represent a theoretical limit of the solar irradiance at ground level. In our simulation of an atmosphere with aerosol particles, the wavelength dependence of the aerosol extinction is based on a power law function with an exponent of *abaer*. The downward solar radiation is influenced by atmospheric transmittance depending on the effective beam path-length in the atmosphere. The solar beam path-length through the spherical curvature of the atmosphere increases with increasing the solar zenith angle (*sza*) [18]. In this research, 30 different values of *sza*, ranging from 0° to 89° with an interval of 5° from 0° to 75° and an interval of 1° from 76° to 89°, were considered for simulating the solar irradiance functions. Because the rate of change in colorimetric characteristics of downward radiation is higher during twilight, we selected a finer interval of 1° at zenith angles of more than 75°. The values for the parameters listed in Table 2 were selected to cover a range of atmospheric conditions that may commonly occur in nature. To achieve a realistic result, we frequently compare the simulation with previously observed data

Table 2. SBDART Parameters for Simulating Spectral Irradiance of Solar Radiation Over Three Different Atmospheric Conditions of Clear Sky Without Aerosol Particles, Clear Sky with Aerosol Particles, and Overcast Sky^a

Condition	No.	Paramet.	Description	Values
Clear Sky <i>w/o</i> Aerosol	16,800	albcon	Surface albedo ^b	0.05, 0.10, 0.15, 0.20, 0.25, 0.30, 0.40, 0.50, 0.60, 0.90
		uw	Water vapor	0.25, 0.5, 1, 1.5, 2, 2.5, 3, 4
		uo3	Ozone concentration	0.20, 0.25, 0.30, 0.35, 0.40, 0.45, 0.50
Clear Sky <i>w/</i> Aerosol ^c	4,838,400	albcon	Surface albedo ^b	0.05, 0.10, 0.20, 0.30, 0.5, 0.9
		uw	Water vapor	0.25, 0.5, 1, 1.5, 2, 2.5, 3, 4
		uo3	Ozone concentration	0.20, 0.30, 0.35, 0.40
		jaer	Aerosol type	1
		wlbaer	Wavelength of aerosol spectral dependence	0.55
		wbaer	Single scattering albedo of BLA at wlbaer	0.6, 0.7, 0.8, 0.95, 1
		gbaer	Asymmetry factor of BLA at wlbaer	0.5, 0.6, 0.7, 0.8, 0.9, 1
tbaer	Vertical optical depth of BLA	0.01, 0.1, 0.2, 0.3, 0.5, 0.8, 1		
Overcast Sky	756,000	abaer	Ångström exponent	0.0, 0.5, 1.0, 2.0
		albcon	Surface albedo ^b	0.05, 0.10, 0.15, 0.20, 0.25, 0.30, 0.40, 0.50, 0.60, 0.90
		uw	Water vapor	0.25, 0.5, 1, 1.5, 2, 2.5, 3, 4
		uo3	Ozone concentration	0.20, 0.25, 0.30, 0.35, 0.40, 0.45, 0.50
		zcloud	Cloud layers altitude	1
		tcloud	Layers’ optical depth	0.5, 1, 5, 10, 15, 50, 70, 100, 200
nre	Cloud drop radius	6, 10, 20, 30, 40		

^aThe table also shows the total number (No.) of the spectral-irradiance functions of total downward (BOTDN) and direct flux (BOTDIR) of solar radiation simulated for each condition over the spectral range 0.3–1.1 μm (BLA stands for the boundary layer aerosols).

^bSpectrally uniform albedo (isalb = 0).

^cPower law spectral dependence set by *abaer*; a single value is used for each *wbaer*, *gbaer*, and *tbaer* at *wlbaer* = 0.55 μm.

178 and select realizable range of parameters based on the available
 179 measurements on specific atmospheric components [19–22],
 180 range of CCTs reported in the literatures, and the experience
 181 of the third author in atmospheric science. Nevertheless, the
 182 simulated irradiance spectra by the parameters in Table 2 do
 183 not cover all possible instances of physical conditions, but it
 184 does provide insight into understanding influences of atmos-
 185 pheric components on the color of natural illumination.

186 3. DATA ANALYSIS

187 The characteristic of solar illumination is a matter of particular
 188 interest in color application and imaging of a natural scene
 189 [23–26]. In the following sections, we study illuminance, color
 190 temperature, and chromaticity of the simulated solar irradiance
 191 spectra.

192 A. Luminance

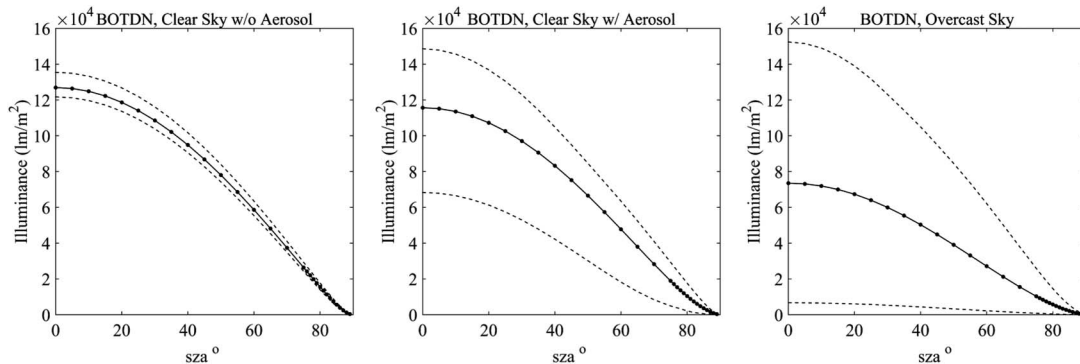
193 The illuminance, E_v (lm/m^2), of a solar spectral-irradiance
 194 function, $r(\lambda)$ ($\text{W}/\text{m}^2 \mu\text{m}$), can be calculated by

$$E_v = K_m \sum_{\lambda=360}^{780} r(\lambda) V(\lambda) \Delta\lambda, \quad (1)$$

195 where $K_m = 683.002 \text{ lm}/\text{W}$, $V(\lambda)$ is the photopic luminosity
 196 function [27], and $\Delta\lambda = 0.005 \text{ } (\mu\text{m})$ is the sampling wave-
 197 length interval of the irradiance function. Then, the luminance,
 198 L_v (cd/m^2), of the solar radiation illuminating a perfect white
 199 surface is

$$L_v = \frac{E_v}{\pi}. \quad (2)$$

200 Figure 2 shows the average, minimum, and maximum illumina-
 201 nance values of BOTDN simulated under the three atmos-
 202 pheric conditions of clear sky without aerosol particles, clear
 203 sky with aerosol particles, and overcast sky as a function of solar
 204 zenith angle. It can be observed that a maximum illuminance of
 205 BOTDN under clear sky with aerosol particles is higher than
 206 that of BOTDN under clear sky without aerosol particles, both
 207 observed at $\text{albcon} = 0.9$. This is due to an increase of the
 208 diffuse component of the light by aerosol particles at a higher
 209 value of the surface albedo.

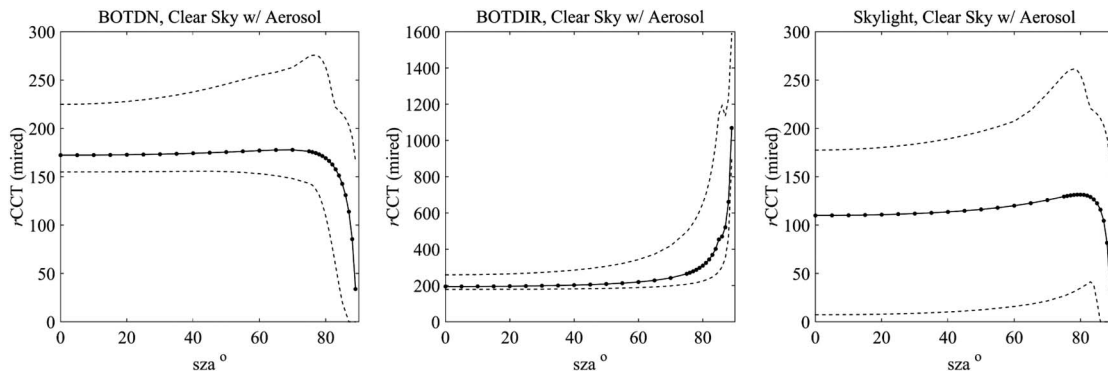


F2:1 **Fig. 2.** Average illuminance values of hemispheric daylight (BOTDN) simulated under the atmospheric conditions of clear sky without aerosol
 F2:2 particles, clear sky with aerosol particles, and overcast sky are presented as a function of solar zenith angle (sza°). In this figure, the dashed lines show
 F2:3 the observed minimum and maximum limits of the illuminance values.

B. Color Temperature

210 The direct solar flux, blocked out by aerosol particles and cloud
 211 bodies, decreases drastically during twilight. Thus, before col-
 212 orimetrically analyzing our data set, it is a reasonable measure to
 213 leave out negligible solar flux by defining a minimum threshold
 214 of $0.01 \text{ cd}/\text{m}^2$ on luminance. Considering spectra with $L_v \geq$
 215 $0.01 \text{ cd}/\text{m}^2$ only eliminates direct solar irradiance functions
 216 with low energy across wavelengths that are mainly observed at
 217 a lower solar elevation angle in the presence of aerosol particles
 218 and clouds in the atmosphere.
 219

220 With a training set of isothermperature lines from 100 K
 221 to 10^5 K with intervals of 50 K, the CCTs of the selected
 222 spectra were calculated using Robertson's interpolation method
 223 [13,28]. Thus, in this research, infinite CCT refers to an irra-
 224 diance with $r\text{CCT} < 10$ mired, which is considered beyond
 225 the chromaticities of the Planckian locus. Figure 3 shows the
 226 average $r\text{CCT}$ of the simulated BOTDN, BOTDIR, and sky-
 227 light, in an atmosphere with aerosol particles, as a function of
 228 the solar zenith angle. As presented in Fig. 3, while the $r\text{CCT}$
 229 of direct sunlight (BOTDIR) increases, the $r\text{CCT}$ of daylight
 230 (BOTDN) rapidly falls off at lower solar elevation angles
 231 ($\text{sza} > 80^\circ$). However, $r\text{CCT}$ of skylight in an atmosphere with
 232 (and without) aerosol particles increases with sza and then falls
 233 off at $\text{sza} > 80^\circ$. This phenomenon will be further analyzed in
 234 Section 4. Analysis of the simulated skylight radiation showed
 235 $r\text{CCT}$ in the range of 0–98.2 mired for the clear sky without
 236 aerosol particles, 0–261.4 mired for the clear sky with aerosol
 237 particles, and 0–172.8 mired for the overcast sky. The overall
 238 range of $r\text{CCT}$ for skylight radiation in our data is in agreement
 239 with a narrow-FOV measurement of skylight made in Granada
 240 [29]. Analysis of our simulated direct sunlight radiation with
 241 $L_v \geq 0.01 \text{ cd}/\text{m}^2$ indicated that the $r\text{CCT}$ of sunlight falls
 242 in the range of 177–1057 mired for the clear sky without aero-
 243 sol particles, 178–1591 mired for the clear sky with aerosol par-
 244 ticles, and 156–392 mired for the overcast sky. Direct sunlight
 245 with $\text{CCT} < 1000 \text{ K}$ was found during twilight, which corre-
 246 sponds to a rare observational situation where measurement is
 247 made from the sun's entire disk at a high altitude of widely open
 248 horizon [30]. The minimum of $r\text{CCT}$ for daylight among
 249 the three conditions falls below 10 mired. In our simulation,
 250 we observed extreme values of CCT for daylight at low sun
 251 ($\text{sza} > 88^\circ$) in an atmosphere with higher levels of ozone

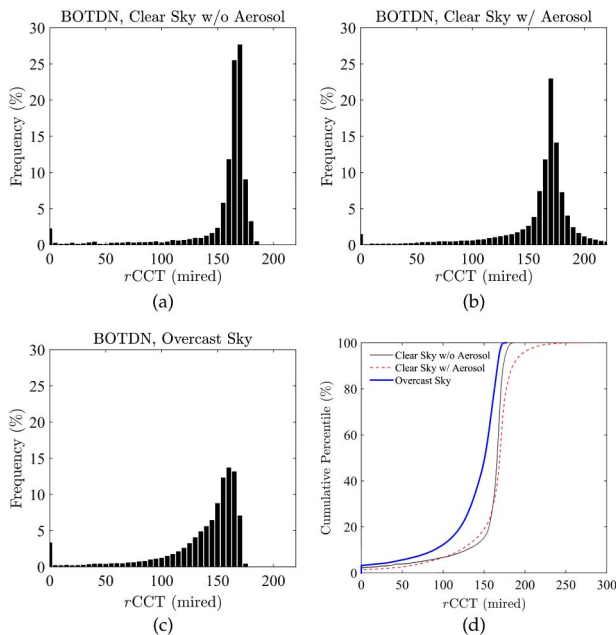


F3:1 **Fig. 3.** Average $rCCT$ (mired) of daylight (BOTDN), direct sunlight (BOTDIR), and skylight irradiance functions sza simulated under the atmospheric conditions of clear sky with aerosol particles as a function of solar zenith angle (sza°). In this figure, the dashed lines show the observed minimum and maximum limits of the $rCCT$ values.
 F3:2
 F3:3

252 concentration ($uo3 > 0.3$). The maximum of $rCCT$ for daylight
 253 under a clear sky without aerosol particles is 186 mired,
 254 under the clear sky with aerosol is 276 mired, and under the
 255 overcast sky is 177 mired. As shown in Table 2, the values of
 256 the SBDART parameters were selected with somewhat uniform
 257 intervals within a given range. Thus, the histogram distribution
 258 of $rCCT$ under each atmospheric condition fairly represents the
 259 most frequent color temperature with less bias due to sampling
 260 error. We expect that such an error becomes insignificant by
 261 collecting a large set of spectral data for a particular atmospheric
 262 condition. The histograms of $rCCT$ for daylight under the three
 263 atmospheric conditions are presented in Fig. 4. Our data showed
 264 that daylight (BOTDN) under the clear sky without aerosol particles,
 265 under the clear sky with

aerosol particles, and under the overcast sky can be characterized
 266 by the most frequent $rCCT$ observed at ~ 163 – 173 mired,
 267 168 – 173 mired, and 153 – 168 mired, respectively. Note that
 268 the most frequent $rCCT$ for daylight under the clear sky with
 269 aerosol particles is represented by a distinct peak at 170 mired,
 270 whereas $rCCT$ for daylight under the overcast sky and the clear
 271 sky without aerosol particles show tendency toward higher
 272 CCT of more than 6000 K. The range of most frequent CCTs
 273 observed for daylight in Boulder, Colorado, (5500–6400 K)
 274 [14] also includes values of more than 6000 K. However, the
 275 most frequent $rCCT$ observed in Granada [12] was found in
 276 the range of 5555–5715 K, similar to the condition of clear
 277 sky with aerosol particles. Figure 4(d) shows the cumulative
 278 percentile of $rCCT$ under the three atmospheric conditions.
 279 It can be observed that the percentiles of $rCCT$ under the clear
 280 sky without aerosol particles mainly falls between the percentiles
 281 of the clear sky with aerosol particles and the overcast sky.
 282

To find the range containing 50% of CCT, we calculated
 283 the 25% and 75% interquartile values of CCT for each condition.
 284 The results indicated interquartile values of CCTs from
 285 5889 K up to 6294 K in the clear sky without aerosol particles,
 286 between 5712 and 6325 K in the clear sky with aerosol particles,
 287 and from 6218 K up to 7757 K in the overcast sky.
 288 As shown in Figs. 3 and 4, the overcast sky and atmosphere
 289 with aerosol particles represented extreme conditions where the
 290 limits of CCT were observed. Daylight measured in Granada
 291 [12], with the mostly observed CCTs of ~ 5555 – 5700 K, falls
 292 between these two extreme conditions, inclined toward an
 293 atmosphere with aerosol particles. The recommended $rCCT$
 294 of 154 mired for the D65 illuminant falls only in the inter-
 295 quartile range of CCTs for daylight in the overcast sky. The
 296 25th, 50th (median), and 75th percentiles of $rCCT$ for the
 297 simulated daylight under the three atmospheric conditions
 298 are shown in Table 3.
 299



F4:1 **Fig. 4.** Histogram of $rCCT$ for daylight (BOTDN) under the
 F4:2 atmospheric conditions of clear sky without (a) aerosol particles,
 F4:3 (b) clear sky with aerosol particles, (c) and overcast sky. The bin size
 F4:4 is 5 mired wide in each histogram. (d) The plots of cumulative per-
 F4:5 centile of the $rCCT$ under the three atmospheric conditions.

C. Chromaticity

300 The CIE 1931 chromaticity coordinates of the selected irradiance
 301 functions of BOTDN, BOTDIR, and skylight with $L_v > 0.01$ cd/m^2
 302 were calculated. Figure 5 represents the chromaticity coordinates,
 303 (x, y) , plotted overlaid with the Planckian locus, separately for the
 304 atmospheric conditions of clear sky
 305

Table 3. 25th, 50th (median), and 75th Percentiles of $rCCT$ (mired) for the Simulated Daylight (BOTDN) Under the Three Atmospheric Conditions of Clear Sky Without Aerosol, Clear Sky with Aerosol and Overcast Sky^a

Condition	25th %	50th %	75th %	IQR
Clear Sky <i>w/o</i> Aerosol	158.9	166.0	169.8	10.9
Clear Sky <i>w/</i> Aerosol	158.1	169.2	175.1	17.0
Overcast Sky	128.9	150.7	160.8	31.9

^aLast column of the table shows the interquartile range (IQR) in mired.

without aerosol particles, clear sky with aerosol particles, and overcast sky. As shown in Figure 5, $rCCT$ of daylight (BOTDN) and skylight across the three conditions can be less than 10 mired, with further chromaticity extension toward the origin of the diagram under the overcast condition. Such illuminations with chromaticities beyond the Planckian locus ($rCCT < 10$ mired), particularly at low sun, were also reported in previous research [8,31,29,32,33]. In Section 4.A, we specifically analyze the simultaneous influence of ozone concentration and solar elevation on the color temperature. It should be

noted that, as the CCT decreases, only the chromaticity points of daylight (and skylight) in an atmosphere with aerosol particles shifted toward the purple side of the Planckian locus. The chromaticity distribution of daylight measured in Granada is close to the Planckian locus with some observations toward the purples. Similar to the condition of clear sky without aerosol particles in Fig. 5, the chromaticities of daylight in Boulder, Colorado [14], are distributed above the Planckian locus. The middle column of Fig. 5 shows the chromaticities of the direct sunlight illumination (BOTDIR). Sunlight illumination covers a wide range of CCT as low as ~ 700 K, corresponding to the clear sky with aerosol particles, to a high value of 6386 K, corresponding to the overcast sky. Chromaticities located in the far right-hand end of the BOTDIR diagrams were obtained during twilight when the luminance of direct flux is too low. As seen in the clear sky without aerosol particles, a group of chromaticities for direct sunlight with CCT ~ 2000 K corresponds to $sz_a = 88^\circ$. Increasing the zenith angle from 87° and 88° to 89° introduced a gap in the chromaticity space indicating that the rate of change in CCT of direct sunlight is higher at low sun. The chromaticities of direct sunlight extend toward

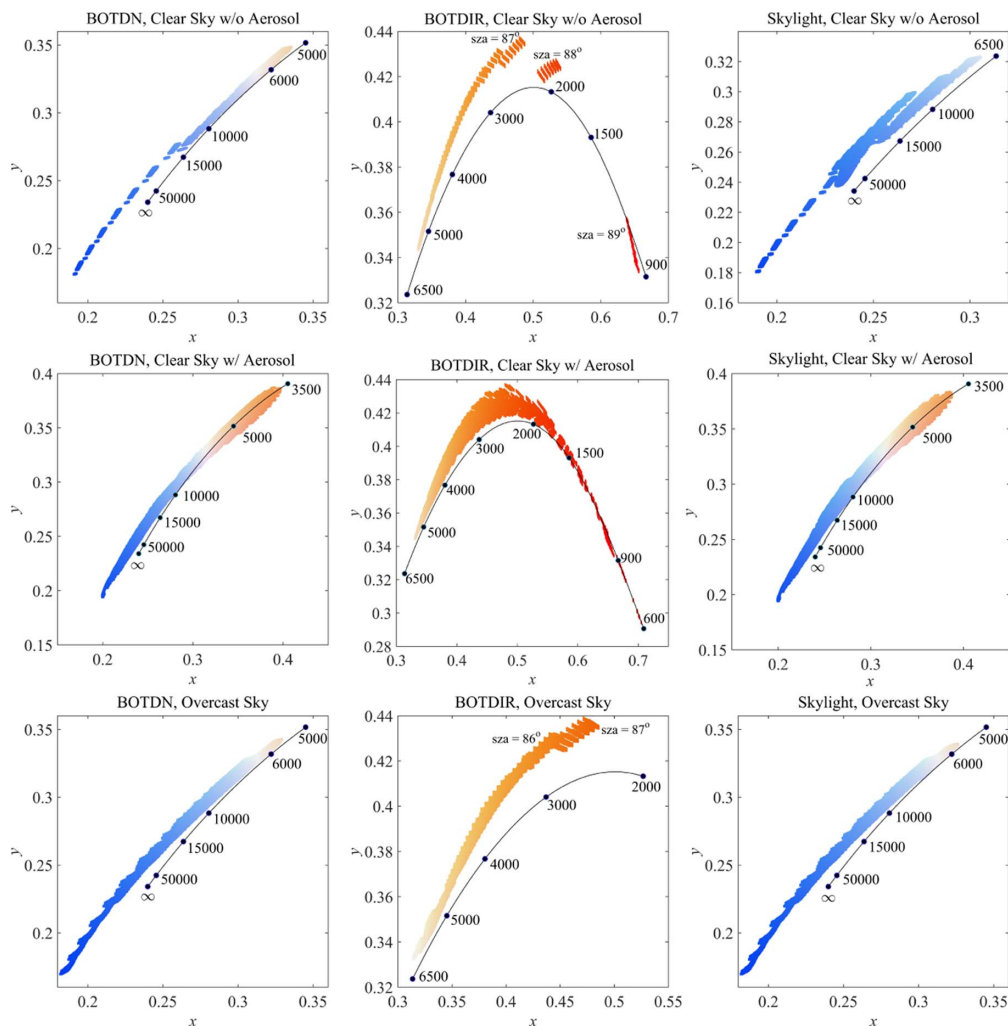


Fig. 5. CIE 1931 chromaticity diagram of daylight (BOTDN), direct sunlight (BOTDIR), and skylight irradiance functions simulated under the atmospheric conditions of clear sky without aerosol particles, clear sky with aerosol particles, and overcast sky.

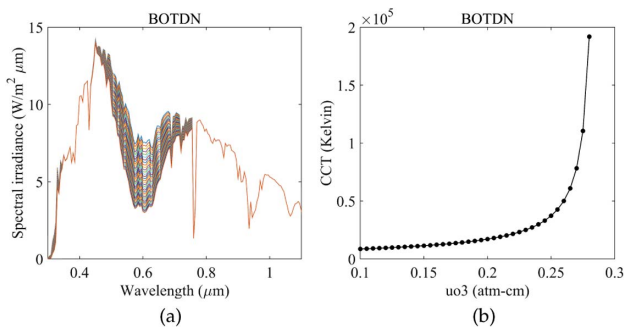
337 the far left-hand side of the diagram as the solar zenith angle
338 decreases.

339 **4. DISCUSSION**

340 Now we are in a position to discuss the influence of atmos-
341 pheric elements on colorimetric characteristics of outdoor
342 illumination.

343 **A. Solar Elevation and Ozone Concentration**

344 The colorimetric analysis of our solar spectral irradiance showed
345 that water vapor (uw) as well as the amount of ozone ($uo3$)
346 highly influenced the CCT of solar illumination. Absolute
347 absorption of ozone in the visible range of the spectrum is
348 known as the Chappuis absorption bands of ozone within the
349 spectral region 375–603 nm [34]. In this case, extremely high
350 values of CCT obtained for daylight and skylight at twilight
351 can be attributed to the Chappuis absorption band of ozone
352 at around 600 nm. To represent the effect of ozone absorption
353 in the visible region on CCT, a set of spectra was simulated by
354 SBDART at twilight for a typical overcast atmosphere with the
355 following parameters; $sza = 89^\circ$, $albcon = 0.4$, $uw = 0.25$,
356 $tcloud = 0.5$, $nre = 6$, and ozone concentration, $uo3$, within
357 the range of 0.1–0.28 atm-cm. Figure 6 represents the spectral
358 irradiance of the simulated daylight. The absorption band
359 of ozone can be observed at around 600 nm in Fig. 6(a) for
360 simulated daylight under conditions with different ozone con-
361 centrations. Figure 6(b) shows that CCT of daylight drastically
362 increased with increasing amounts of ozone concentration
363 within the range of 0.1–0.28 atm-cm. This observation is con-
364 sistent with previous research indicating that the presence of
365 atmospheric ozone results in a bluer sky during twilight [35].
366 In our simulation, the chromaticity of twilight sky gets ex-
367 tended toward bluish color at higher amounts of ozone, namely,
368 at $uo3 > 0.3 - 0.35$. In common natural conditions, Lee *et al.*
369 [35] found a meaningful but weak, positive correlation between
370 ozone concentration and CCT of the twilight sky. The authors
371 [35] observed twilight colors beyond *infinite CCT* in Owings,
372 Maryland, even in instances of lower ozone concentrations.
373 In this case, they found that spectral extinction by aerosol par-
374 ticles as well as ozone absorption substantially contribute to the
375 color of twilight sky. In Section 4.C, we will show that spectral



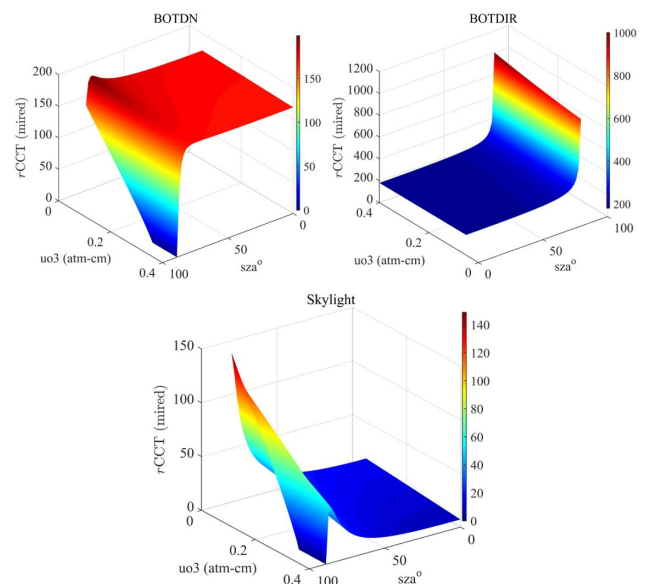
F6:1 **Fig. 6.** (a) Simulated daylight spectral irradiance (BOTDN) of a
F6:2 typical overcast sky during twilight with different amounts of ozone
F6:3 concentration. (b) The CCT of the simulated spectra as a function of
F6:4 ozone concentration, $uo3$, within the range of 0.1–0.28 atm-cm.

dependence of the aerosol optical thickness can influence the
color of natural illumination.

To represent a combined effect of ozone and solar elevation
on color temperature, BOTDN, BOTDIR, and skylight irra-
diance functions were simulated under a clear sky without aerosol
particles with $albcon = 0.2$, $uw = 0.5$, $uo3$ within the
range of 0.05–0.4 atm-cm, and $sza = 0-89^\circ$. As presented
in Fig. 7, the $rCCT$ of BOTDN shows a drastic fall off at
 $sza > 85^\circ$ when the sun is reaching the horizon. At lower
amount of ozone, $rCCT$ of BOTDN started to increase at
 $sza \sim 50^\circ$ up to $\sim 85^\circ$. As the amount of ozone increases,
 $rCCT$ of BOTDN at $sza > 85^\circ$ drops to lower values, so that
for $uo3 \gtrsim 0.3$, $rCCT$ approaches zero. This effect is even more
pronounced for skylight. The $rCCT$ of skylight at $uo3 \lesssim 0.1$
continuously increases with sza , while at $uo3 > 0.1$, an abrupt
decrease occurs at $sza > 85^\circ$. The direct solar irradiance is
attenuated when the sun approaches the zenith. Then the ra-
diation field is mostly governed by multiple scattering during
twilight. As the wavelength decreases, scattering increases more
rapidly than the absorption [36]. This observation can be ex-
plained by the Umkehr effect discovered by Götz [37].

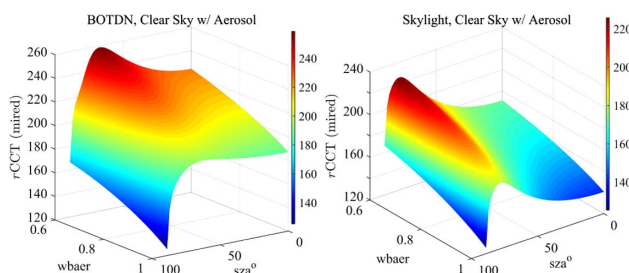
B. Aerosol Particles

As discussed previously, the CCT of BOTDN showed a mini-
mum of ~ 3600 K observed in an atmosphere with high level of
aerosol particles. Daylight measurements in Granada [12]
showed a range of CCT from 3758 to 34,574 K, including a
few irradiance spectra with CCT < 5000 K. In a hazy sky, day-
light with CCT as low as 3530 K was reported in Rochester,
New York [6]. Our simulation of daylight irradiance functions
revealed that, as the concentration of aerosol particles in the
atmosphere increases, daylight chromaticities extend more to-
ward the reds along the Planckian locus. To represent the effect
of aerosol particles on CCT, let us simulate irradiance functions
of different solar elevations, $sza = 0-89^\circ$, under an extreme



F7:1 **Fig. 7.** $rCCT$ of BOTDN, BOTDIR, and skylight in a clear sky
F7:2 without aerosol particles, simulated as a function of solar zenith angle,
F7:3 sza , and ozone concentration, $uo3$.

case of atmosphere with aerosol particles, where $\text{albcon} = 0.05$, $\text{uw} = 0.25$, $\text{uo3} = 0.2$, $\text{gbaer} = 0.5$, $\text{tbaer} = 1$, $\text{abaer} = 2$, and wbaer is selected within the range of 0.6–1, corresponding to wlbaer at 0.55 μm . As illustrated in Fig. 8, at each solar elevation angle, the $r\text{CCT}$ of daylight and skylight increases with a decrease of the single scattering albedo (wbaer). It can be observed at each level of wbaer in Fig. 8 that $r\text{CCT}$ of daylight increases with sza before falling off at $\sim 75^\circ$. The same pattern occurred for skylight with a peak of $r\text{CCT}$ at $\sim 80^\circ$. A similar pattern presented in Fig. 8 was also observed for other aerosol parameters of gbaer , tbaer , and abaer . This observation indicates that the lowest possible CCT of daylight is most likely to be observed in an atmosphere with aerosol particles at $\text{sza} \sim 60\text{--}80^\circ$, and that of skylight at $\text{sza} \sim 80^\circ$. This phenomenon can be explained by the magnitude of scattered light reaching the ground level as a function of solar elevation. According to Horvath *et al.* [36], diffuse radiation increases with sza up to 60° . With $\text{sza} > 60^\circ$, diffuse radiation falls off with an increase in aerosol particles due to greater upward scattering of radiation back to space. This pattern is also in agreement with daylight measurements in Rochester, New York, where the CCT range of 3530–4760 K observed at solar altitude of $8^\circ\text{--}30^\circ$ ($\text{sza} = 60\text{--}82^\circ$). However, the observed daylight irradiance with CCT of 3530 K in Rochester, New York, is still lower than the minimum CCT of our simulated BOTDN irradiance under an atmosphere with high level of aerosol particles. It is worth mentioning that a daylight irradiance with < 3600 K can be observed at high levels of aerosol particles in a highly overcast sky. For instance, at $\text{sza} \approx 70$, and $\text{wbaer} \approx 0.5\text{--}0.7$, $\text{tbaer} \approx 1$, $\text{abaer} \approx 2$, and $\text{tcloud} \approx 300$, the CCT of daylight may reduce down to 3000 K. Because the single scattering albedo (wbaer) less than 0.7 may be observed in rare conditions of dust storms and forest fires [21], our analysis suggests that observing a daylight with CCT between ~ 3000 and 3600 K is unlikely in common atmospheric conditions. This finding is in agreement with daylight measurements in Granada, Spain, where the Saharan dust is a common event and only a single daylight observation with CCT < 4000 K was made. This is also the case for daylight observations in Rochester, New York. Furthermore, the lowest observed CCT ≈ 3800 K was reported for skylight in Granada [29], which is also close to the lowest CCT observed in our skylight irradiance at high aerosol-particle levels in the atmosphere ($r\text{CCT} \sim 260$ mired). Again, a few skylight irradiances with CCT < 4000 K were observed in Granada, Spain.



F8:1 **Fig. 8.** $r\text{CCT}$ of BOTDN and skylight in a clear sky with aerosol
 F8:2 particles, simulated as a function of solar zenith angle, sza , and single
 F8:3 scattering albedo, wbaer .

The lowest possible CCT of daylight in an atmosphere without aerosol particles was found around 5380 K, which is close to a minimum CCT = 5400 K for daylight observed in Boulder, Colorado [14]. Although the maximum solar zenith angle in the daylight measurements in Boulder was $\sim 56^\circ$, which imposes a limit to the observed CCT, from the trend shown for daylight CCT in Fig. 3 and the chromaticity scattering in Fig. 5, the colorimetric pattern of observations in Boulder represents measurements in an atmosphere with lower aerosol particles.

C. Green–Purple Chromaticity Shift

As presented in Fig. 5, in an atmosphere with aerosol particles, chromaticities of daylight slightly shifted toward the purple side of the Planckian locus. This type of scattering around the locus was observed with different magnitudes in previous observations [5,6,8–12]. Nevertheless, the magnitude of the green–purple shift reported in India [9] was greater than that observed elsewhere. In contrast, the chromaticities of daylight observed in Boulder, Colorado [14] did not show any shift toward the purple side of the locus. Previous research [9,11] showed that chromaticity points tend to lie toward the purple side of the locus in a hazy sky and toward the green side in a clear sky. The green–purple shift in hazy skies was also reported by Lee [38] who attributed the effect to the spectral extinction by aerosol particles.

If the spectral extinction of aerosol particles contributes to the green–purple chromaticity shift, we ask “to what extent is such a shift governed by aerosol optical properties in common atmospheric conditions?” To answer this question, we consider two typical cases of an atmosphere with *urban-industrial/biomass burning* and *desert dust-oceanic* aerosol particles with a range of optical properties reported by Dubovik *et al.* [19]. Table 4 shows the parameters selected to simulate the spectral irradiance functions under the two typical conditions. In this table, the spectral dependence of the optical thickness, $\tau(\lambda)$, is characterized by the Ångström law [39], $\beta\lambda^{-\alpha}$, where the wavelength-independent coefficients α (abaer) and β are applied across the spectrum [40]. The chromaticity scattering of BOTDN spectra simulated under these two typical conditions are illustrated in Fig. 9. The chromaticity scatterings of our simulated hemispheric daylight in an atmosphere with urban-industrial and biomass burning aerosols, shown in Fig. 9(a), are mainly located along the Planckian locus with a slight shift toward the purple side at lower CCTs. The single scattering albedo of urban-industrial and biomass burning aerosols decreases with wavelength in no dust condition [19,22]. Aerosols with higher angstrom exponent $\sim 1.5\text{--}2$ and higher optical thickness ($\tau > 1$) are strongly absorbent particles. In this case, the chromaticity of a hemispheric daylight at $\text{sza} \sim 60\text{--}80^\circ$ (see Fig. 8) in an atmosphere with absorbent particles is slightly shifted from green toward purple, particularly if absorption at middle wavelengths is relatively strong. The results shown here are in agreement with previous observations [8,10,11,41] in which scattering of chromaticities around the Planckian locus was mainly observed at CCT $< \sim 10,000$ K.

In contrast, the single scattering albedo of desert dust and oceanic aerosols increases with wavelength [19,22]. Chromaticities of hemispheric daylight simulated in this

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Table 4. SBDART Parameters for Simulating Spectral Irradiance Functions in an Atmosphere with Aerosol Particles^a

Parameters	Condition	
	Urban industrial	Desert dust
	Biomass burning	Oceanic
albcon	0.2	0.2
uw	0.2	3.0
uo3	0.25	0.25
wbaer	[0.91, 0.87, 0.85, 0.83]	[0.91, 0.95, 0.96, 0.97]
Optical thickness	$\tau(0.44)$: 0.25, 0.6, 0.8, 1.0, 1.5	$\tau(1.02)$: 0.1, 0.5, 1.0
abaer	0.5, 1.0, 2.0	0.0, 0.5, 1.0

^aWith wbaer = 0.44, 0.55, 0.67, 0.87, the wavelength dependence of the optical thickness, $\tau(\lambda)$, is determined by the Ångström law with an exponent of abaer. The simulation was conducted at $sza = 0-89^\circ$, with gbaer = 0.8 across the spectrum. Chromaticities of hemispheric daylight of the oceanic atmosphere with desert dust particles represent a shift toward the green side of the Planckian locus. Such scatterings in the atmosphere with urban-industrial/biomass burning aerosols are mainly located along the Planckian locus and slightly toward the purple side at lower CCTs.

condition, presented in Fig. 9(b), are all located above the Planckian locus. This condition is mainly different from the previous one both in terms of the spectral variation of single scattering albedo and the amount of water vapor in the atmosphere. In our simulation of a dusty atmosphere (Table 4), absorption capacity of water vapor at longer wavelengths together with lower single scattering albedo at shorter wavelengths both contribute in shifting the chromaticities toward the green side of the Planckian locus. As reported in the literature [19,20,42], there is a good deal of uncertainty in spectral variation of the single scattering albedo. Nevertheless, within a plausible range of variability of aerosol optical properties, scattering of chromaticities along the green–purple direction, shown in Fig. 9 as well as in Fig. 5, is much smaller than that observed in Delhi [9]. Although Saharan dust is a common event in southern Europe, chromaticities of daylight observed in Granada [12] are not

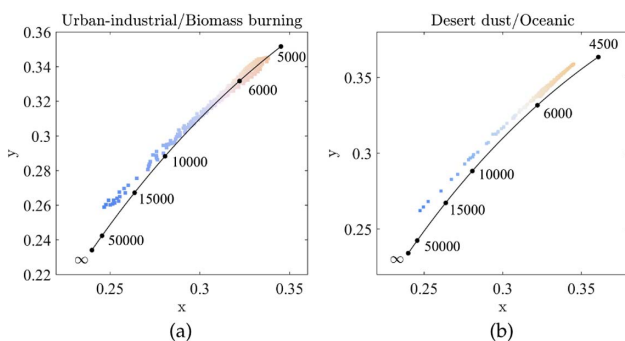


Fig. 9. CIE 1931 chromaticity diagram of hemispheric daylight simulated by parameters introduced in Table 4. (a) Chromaticities in the atmosphere with urban–industrial/biomass burning aerosols are mainly located above the Planckian locus at CCT > ~ 10,000 K, with a slight shift toward the purple side at lower CCTs. (b) Chromaticities of simulated daylight in the oceanic atmosphere with desert dust and higher amounts of water vapor are all located above the Planckian locus.

widely scattered along the green–purple direction. The results indicate that the magnitude of the green–purple shift depends on the spectral extinction by aerosol particles as well as the amount of water vapor in the atmosphere. However, within a plausible variation of atmospheric components, we could not find evidence that the chromaticity of daylight can widely scatter along the green–purple direction. Thus, our simulation does not allow us to fully determine the origin of a wide scattering observed in Delhi [9], nor to conclude that such a wide scattering may typically be observed in nature.

5. CONCLUSION

In this research, the radiative transfer computer code, SBDART [16], was used to simulate spectral irradiance functions of daylight (BOTDN), sunlight (BOTDIR), and skylight within the range 0.3–1.1 μm , with 0.005 μm intervals, under the three atmospheric conditions of clear sky without aerosol particles (16,800 spectra), clear sky with aerosol particles (4,838,400 spectra), and overcast sky (756,000 spectra). Each condition was specified by taking into account a plausible set of values for the atmospheric parameters. Although the irradiance functions simulated in this research does not cover all possible instances of downward flux across the globe, it does provide an overall view on the colorimetric specification of physical illuminations, which may be commonly observed in nature.

Colorimetric analysis of the simulated radiation demonstrated that the $r\text{CCT}$ of skylight under the three atmospheric conditions falls within the range of 0–261 mired and that of direct sunlight in the 156–1591 mired range. Direct sunlight with CCT <1000 K was obtained mainly during twilight when the luminance of the direct flux is too low. Analysis of color temperature across the three conditions indicated that the $r\text{CCT}$ of daylight mainly fall within the 0–276 mired range, and the most frequent daylight CCT, depending on atmospheric conditions, was observed from 5712 to 7757 K. The most frequent CCT for daylight in the overcast condition was observed from ~6218 up to 7757 K, which is higher than those observed in the clear sky condition. Our analysis indicates that CCT of 6500 K recommended by the CIE for neutral daylight falls only within the interquartile range of CCTs for daylight in the overcast sky. This observation may question the validity of the CIE-recommended daylight as being relevant across all atmospheric conditions. We discussed that extremely high values of CCT for daylight and skylight, obtained at low sun, in an atmosphere without aerosol particles, is due to the Chappuis absorption band of ozone at ~600 nm. We found that the higher the ozone concentration in the atmosphere is and the lower the sun is elevated, the higher the CCT for daylight (skylight) illumination is obtained. However, in an atmosphere with aerosol particles, the color of a twilight sky could be influenced by the contribution of both ozone and aerosol particles.

The range of most frequent CCT for daylight in the clear sky without aerosol was found between ~5889 and 6294 K. Under this atmospheric condition, the lowest CCT was observed around 5380 K. Introducing aerosol particles to the atmospheric model typically shifted the CCT to lower values with a minimum CCT of about 3600 K. Although relatively

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585 rare in the atmosphere, the presence of higher levels of aerosol
 586 particles with the single scattering albedo (w_{baer}) less than 0.7,
 587 specifically in a highly overcast sky, can lower the minimum
 588 CCT from 3600 K. We found that the higher the aerosol par-
 589 ticles are present in the atmosphere, the lower the minimum
 590 CCT observed. The presence of aerosols also shifted the chroma-
 591 ticity distributions toward the purple side of the locus, par-
 592 ticularly at lower CCTs. As far as our simulation results suggest,
 593 spectral variation of aerosol optical extinction is critical in shift-
 594 ing the chromaticity of outdoor illumination, but the strength
 595 and direction of such shifts are contingent upon the concen-
 596 tration of water vapor and ozone concentrations. Our analysis
 597 showed that spectral daylight measured in Boulder, Colorado
 598 [14], typifies an atmosphere of low aerosol particles. In con-
 599 trast, daylight measurements made in Granada, Spain [29],
 600 and Rochester, New York [6], represent atmospheres with dust
 601 and aerosol particles of different types. Investigating spectral
 602 components of the simulated radiations and exploring the effect
 603 of aerosol particles in an overcast sky on colorimetric specifi-
 604 cations of solar irradiance are topics for future research.

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