- 1 Transtendinous Repair of Partial Articular Sided Supraspinatus Tears is Associated
- 2 With Higher Rates of Stiffness and Significantly Inferior Early Functional Scores Than
- 3 Tear Completion and Repair: A Systematic Review
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- 6 Robert W Jordan
- 7 Specialist Registrar
- 8 University Hospitals Coventry & Warwickshire
- 9
- 10 Kieran Bentick
- 11 Specialist Registrar
- 12 University Hospitals North Midlands
- 13
- 14 Adnan Saithna
- 15 Honorary Professor, Medical Technologies and Advanced Materials, Clifton Campus,
- 16 Nottingham Trent University, Nottingham, NG11 8NS
- 17
- 18 Orthopaedic Consultant Orthopaedic Surgeon, Renacres Hospital, Renacres Lane, Ormskirk,
- 19 Lancashire, Halsall, Ormskirk L39 8SE
- 20
- 21 **Corresponding author:**
- 22 Robert W Jordan
- 23 University Hospitals Coventry & Warwickshire
- 24 Clifford Bridge Road, Coventry, CV2 2DX
- 25 Robert.jordan@doctors.org.uk

26 Abstract 27 28 Introduction 29 Transtendon repair (TTR) and tear completion and repair (TCR) are common repair 30 techniques for partial thickness rotator cuff tears (PTRCTs). Previous systematic reviews 31 have not demonstrated any advantage of either but have not specifically addressed early 32 recovery. 33 Aim To compare the outcomes of these two techniques in treating PTRCTs with respect to post-34 35 operative stiffness, delay in functional recovery and re-tear rates. 36 37 Material and Methods A systematic review of the Medline and EMBASE database was performed in accordance 38 39 with the PRISMA guidelines. Both cases series and comparative studies reporting functional 40 outcomes, post-operative stiffness or re-tear rate after either TTR or TCR for PTRCTs were 41 included. 42 43 **Results** 44 The search strategy identified 21 studies (n=797); 4 comparative studies (n=214), 15 TTR 45 (n=511) and 2 TCR case series (n=72). All four comparative studies included were 46 randomised controlled trials. One RCT reported early outcomes and demonstrated significantly slower recovery in the TTR group at 3 months (ASES p=0.037, Constant score 47 48 p=0.019 and pain p=0.001). Similarly, data from the case series suggested that the rate of 49 post-operative stiffness was higher in the TTR group. All comparative studies demonstrated

no significant difference at final follow up in terms of pain, range of motion or functionalscore.

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53 **Discussion**

The results of this systematic review suggest that transtendinous repairs are associated with more pain and worse function during the first 3 months. This suggests that tear completion and repair should be the preferred option as comparative studies do not demonstrate any long term advantage of transtendinous repair.

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59 **Type of study:** Systematic review

- 60 Level of Proof: Level II evidence
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- 62 Keywords
- 63 Rotator cuff tear
- 64 Tear completion
- 65 Transtendinous repair
- 66 Partial rotator cuff tear
- 67 Stiffness
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73 Introduction

Partial thickness rotator cuff tears (PTRCTs) were first described by Codman [1] and later classified by Ellman according to the depth and location of the tear. [2] PTRCTs may occur on the articular side, within the tendon, or on the bursal side, with articular-sided tears being 2–3 times more common than bursal-sided tears [3, 4]. Possible pathogenesis of tears includes intrinsic degeneration, extrinsic impingement and trauma [5]. Partial tears are shown to have a variable rate of progression with 28-40% eventually becoming full thickness tears [6-8].

81 While many patients with cuff tears that involve under 50% of the tendon improve clinically 82 with non-operative treatment modalities, surgical repair may be indicated if tears exceed 50% 83 or in those who have failed non-operative treatment [9, 10]. Weber et al. reported that 84 arthroscopic debridement and acromioplasty alone was associated with a higher reoperation 85 rate than observed in those that underwent repair when the tear extended to over 50% [10]. 86 Similarly, Ellman reported a high (25%) reoperation rate in patients treated with only 87 debridement and acromioplasty [2]. This has led to a trend in repairing lesions that extend to 88 more than 50% of the tendon thickness [2, 10-12]. Two common treatments are the 89 transtendon repair technique and formal repair after completion of PTRCTs. 90 The theoretical advantages of transtendinous repair are maintenance of the intact part of the 91 tendon and improved biomechanical properties (less gapping and higher mean ultimate 92 failure strength) [13-16]. However, there is concern that the tendon can become 93 overtensioned [15, 16], as repair of the articular side may cause bunching of the bursal layer 94 of the cuff resulting in unbalanced tendon tension and residual discomfort. [17] The 95 alternative technique is to convert the PTRCT to a full thickness tear before repair and this 96 has the potential advantages of better access to the tendon footprint for preparation of the 97 bony bed and removal of degenerative tissue [10, 18]. However, the procedure involves

98 removal of structurally sound bursal sided tendon and may potentially lead to a higher re-tear 99 rate [19]. Although previous reviews and meta-analysis have demonstrated that both 100 techniques can provide similar improvement in shoulder function [20, 21], the risk of post-101 operative stiffness and delay in functional recovery have not been thoroughly evaluated. The 102 aim of this study was to compare the two surgical techniques for treating articular-sided 103 PTRCTs, with respect to the association with these adverse early outcomes and also an 104 evaluation of the re-tear rate at long term follow-up.

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A systematic review of the literature was conducted in accordance with the PRISMA guidelines [22] using the online databases Medline and EMBASE. The review was registered on the PROSPERO database on 25th March 2017 (Reference CRD42017060207). The searches were performed independently by two authors on the 18th of March 2017 and repeated on the 25th of April 2017 to ensure accuracy. The Medline search strategy is illustrated in Table 1.

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Only studies that were published in English were included. Both cases series and comparative studies reporting outcomes after either transtendinous repair (TTR) or tear completion and repair (TCR) of PTRCTs were included. Studies reporting outcomes of patients with partial subscapularis or infraspinatus tears were excluded. Only arthroscopic repairs were included but any surgical technique was acceptable. The study must have reported the American Shoulder & Elbow Shoulder Surgeons Evaluation Form (ASES) or the Constant Score, and/or the incidence of post-operative stiffness and/or re-tear rate. In addition, only primary research

122 was considered for review with any abstracts, comments, review articles and technique articles123 excluded.

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Data from comparative studies and case series were presented together as a narrative synthesis of each individual outcome measure. The studies were appraised independently by two authors using the tool developed by the Grading of Recommendations, Assessment, Development and Evaluations (GRADE) Working Group [23]. In addition, the robustness of study methodology was appraised using the Methodological index for non-randomized studies (MINORS) [24].

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134 **Results**

The search strategy identified 21 studies eligible for inclusion; 4 comparative studies [19,25-27], 15 TTR case series [14, 17, 18, 28-39] and, 2 TCR case series. [40, 41]. A flow chart of the search strategy is shown in Figure 1. The total number of participants in all studies was 797. 214 participants were included in the comparative studies with sample sizes ranging from 32 to 74 [19, 25-27]. The TTR case series included 511 patients and the TCR case series 72. Concise details of the included studies are given in Table 2 to 5.

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142 Functional Outcomes

Three comparative studies reported functional scores; the Constant score in all three and the ASES in two studies. All demonstrated statistically significant improvement in functional outcomes with both surgical techniques as demonstrated in Table 2. However, there was no difference between the groups at final follow up [19, 25, 26]. Only one comparative study

reported functional outcomes in the early post-operative period, demonstrating a significantly 147 148 slower recovery in the TTR group at 3 months [19]. After 3 months the ASES had improved 149 significantly more in the TCR group (49.2 to 64.6) compared to the TTR group (50.8 to 150 54.9), (p=0.037). Similarly the Constant Score (p=0.019) had significantly improved more in 151 the TCR group (59.0 to 70.8) compared to the TTR group (54.8 to 57.9). Early recovery was 152 not reported in the other three comparative studies. The evidence reviewed relating to functional outcomes was of moderate quality (see Table 6). 153 In the TTR case series a variety of functional outcome measures were used with the most 154 155 common being the ASES in 6 studies and the Constant score in 3 studies. All case series 156 reviewed reported improvement in functional outcomes after TTR as shown in Table 3. The 157 ASES was reported in both TCR case series which demonstrated statistically significant 158 improvement as demonstrated in Table 4. However, the studies lacked information on early 159 functional recovery with outcomes reported at final follow up only; mean range of follow up

160 was 12 to 62 months in the TTR case series and 24 to 38 months in the TCR case series.

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163 **Pain**

164 Two comparative studies reported improvements in pain using the VAS score (see Table 2); 165 Shin et al. demonstrated a rise of 4.1 in the TTR group and 4.2 in the TCR group whilst Castagna et al. a rise of 3.4 and 3.6 respectively [19, 25]. Only Shin et al. reported early pain 166 167 relief where pre-operative pain had worsened in the TTR group from 5.5 to 5.9 and reduced from 5.3 to 2.8 in the TCR group (p=0.001) [19]. However, these authors report that from six 168 169 months onwards there was no statistical difference between the groups [19]. Nine TTR and 170 one TCR case series reported pain with improvements ranging from 3.8 to 6.7 after TTR and 171 being 5.7 after TCR [17, 18, 28, 31-33, 36-38, 40] (see Tables 3 and 4).

172 **Re-tear**

173 The re-tear rate was reported in three comparative studies and four TTR case series, these results are demonstrated in Table 5. In the comparative studies, the re-tear rate ranged from 174 175 0% to 5.9% in the TTR group and from 0% to 8.3% in TCR group [19, 26, 27]. None of the 176 comparative studies demonstrated any statistically significant difference between the groups 177 at final follow up (mean range 19 to 38 months) [19, 26, 27]. The incidence of re-tear in TTR case series ranged from 0 to 12% but this outcome was not reported in the TCR case series. 178 179 The use of the GRADE tool highlights that this should be considered very low quality 180 evidence (see Table 6).

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183 **Post-operative stiffness**

Two comparative studies reported the incidence of post-operative stiffness. Franceschi et al. reported a rate of 9.3% in the TTR group and 10.7% in the TCR group during the first six months following surgery suggesting. All cases in the TTR group resolved but two thirds of cases in the TCR group required arthroscopic capsular release [26]. Shin et al. reported a slightly higher rate of post-operative stiffness after TTR 12.5% versus 8.3% after TCR [19]. The GRADE tool suggested that the evidence reviewed on this topic was deemed to be of very low quality (see Table 6).

Five studies reported the rate of post-operative stiffness in the TTR case series (n=244) with the rate ranging from 0 to 18%. Vinanti et al. performed the largest TTR case series and suggest that 18% of their patients had stiffness at 3 months although all had improved by 6 months (n=100) [37]. Both TCR case series reported the incidence of post-operative stiffness ranging from 0 to 2.8%.

197 **Discussion**

198 The results of this review demonstrate that early functional recovery and pain relief after 199 TCR is superior when compared to TTR (ASES p=0.037, Constant Score p=0.019 and pain 200 p=0.001). These findings originate from a good quality RCT conducted by Shin et al. that 201 provided level 1 evidence [19] and are further supported by case series which report a higher 202 rate of post-operative stiffness after TTR. Shin et al. [19] suggest that inferior early outcomes 203 in the TTR groups could be due to a mismatch in tension between the articular and bursal layers in the initial period after repair and that completion allows for repair with correct 204 205 tensioning of the rotator cuff [19]. This has led to some authors describing surgical 206 techniques to minimise this discrepancy in tension but these initial reports consist of small 207 series without comparative groups [29, 33].

208 Although all three comparative studies reporting functional outcomes at final follow up demonstrated significant improvements with both TTR and TCR, none demonstrated any 209 210 difference in outcome between the techniques at final follow up [19, 25, 26], this supports the 211 findings of a previous meta-analysis [21]. Furthermore, no significant differences in re-tear 212 rate were reported. The case series similarly demonstrated the ability of both TTR and TCR 213 to provide good pain relief and functional improvement after treatment of PTRCTs at final 214 follow up. It is therefore suggested that if there is no difference between the procedures at 215 long term follow up, that early functional recovery and the rate of post-operative stiffness 216 should be important clinical considerations. If TCR can provide significantly better early 217 functional scores and less post-operative stiffness, then it should be considered as the 218 preferred approach particularly when it has not been shown to be disadvantageous with 219 respect to re-tear rates at long term follow-up. However, only one comparative study reported 220 the early recovery of patients undergoing repair for PTRCTs and this highlights that early 221 outcomes following these procedures have thus far been neglected in the literature. This

review suggests that further research is required to validate the work of Shin et al that has demonstrated improved early functional recovery and pain relief in the TCR group when compared to TTR [19].

225 Although the risk of stiffness after TTR is a concern, its incidence was reported in only two 226 RCTs and seven case series. Shin et al. demonstrated a trend to a higher incidence after TTR 227 (12.5% versus 8.3%) [19], whereas Franceschi et al. reported similar rates of stiffness (TTR 228 9.3% versus TCR 10.7%) [26]. In addition, the case series suggest a higher rate of stiffness in the TTR group (range 0 to 18% compared to 0 to 2.8% after TCR). Shin et al. suggested that 229 230 any potential increase in stiffness after TTR could also be a result of a mismatch in tension 231 between the articular and bursal layers in the initial period restricting motion [19]. The risk of 232 post-operative stiffness after either TTR or TCR was higher than the previously reported 233 3.3% for repair of full thickness rotator cuff tears [42]. This higher risk of stiffness after repair of partial tears has previously been described, Huberty et al. retrospectively studied 234 235 489 patients showing that 4.9% had post-operative stiffness but those with PTRCTs had a 236 higher risk at 15% [43]. The results of this systematic review would support the view that 237 partial tendon tears are at higher risk of stiffness post-operatively regardless of the surgical technique used to repair them. 238

239 Re-tear rate is another important outcome that was reported in three RCTs and four TTR case 240 series. The three comparative studies utilised post-operative MRI scans to identify those with 241 re-tears and but did not show consensus between studies [19, 26, 27]. Shin et al. reported 242 more re-tears in the TCR group (8.3% vs 0%) [19], Franceschi demonstrated similar re-tear 243 rates (TTR 3.1% vs TCR 3.6%) [26] and Kim et al. demonstrated a higher re-tear rate in the 244 TCR group (5.9% versus 0%) [27]. The three TTR case series reporting re-tear ranged from 245 0% to 12%, whereas values for re-tear were not available from the TCR case series. Previously authors have raised concern over the risk of an increased re-tear rate after TCR 246

due to the risk of poor tendon healing after the intact rotator cuff has been completely taken 247 248 down [35] but the reviewed studies have not demonstrated any increased rate of re-tear in the 249 TCR group. The reported re-tear values after both TTR and TCR patients compare favourably 250 against the incidence of re-tears after repair of full thickness rotator cuff tears (17% to 46%) 251 [44, 45]. A previous meta-analysis suggests that both TCR and TTR have a relatively high rate of healing and that partial thickness tears intrinsically have good healing potential when 252 253 compared to large full thickness tears [20]. This may explain the low rate of re-tears reported 254 after repair of partial tears reported in this review.

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257 Limitations

The main limitations of this SR were the failure of the comparative series to report early 258 functional recovery in two studies [25, 26] and rate of stiffness in another two studies [25, 259 260 27]. The heterogeneity between studies with respect to the population, the functional outcome measures reported and reporting of early functional recovery precluded pooling of data and 261 262 meta-analysis. The studies failed to uniformly report additional details of the tear including tendon quality, presence of delamination and the degree of retraction of the deep layer which 263 264 are all factors that can independently impact on patient outcomes. The availability of only 265 small samples sizes in the comparative studies risks underpowering of the studies and may result in failure of these studies to demonstrate any significant difference even if present. In 266 267 addition, the discrepancy between the number of TTR case series (n = 15) and TCR case series (n= 2) restricted comparison of the groups. 268

Table 6 illustrates the GRADE assessment of comparative studies and reported that the quality of this evidence ranged from moderate to low quality. The case series provided only level IV evidence and hence had significant limitations that must be taken into account when

interpreting the results. Tables 7 and 8 illustrate the appraisal of the studies according to the
MINORS criteria and demonstrated that the scores ranged from 3 to 7 against the 12 criteria.
This demonstrated significant weaknesses in these studies with common limitations being the
loss of patients to follow up, risk of outcome bias and lack of a comparative group.

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277 Despite these limitations the review highlights that TTR is associated with a higher incidence 278 of post-operative stiffness, higher pain scores and slower functional recovery than tear 279 completion and repair. This warrants further study but also suggests that in the absence of 280 higher quality evidence, and a lack of significant difference in long term outcomes in 281 previous systematic review comparing the two techniques, that TCR should be considered for 282 surgical management of PTRCTs.

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286 Conclusion

The results of this systematic review suggest that transtendinous repairs are associated with more pain and worse function during the first 3 months after surgery. This suggests that tear completion and repair should be the preferred option as comparative studies do not demonstrate any advantage of transtendinous repair at long term follow-up.

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293 Conflict of Interest and Source of Funding

294 Professor A Saithna is a consultant for Arthrex.

295 None of the authors has any additional financial, consultant, institutional and other

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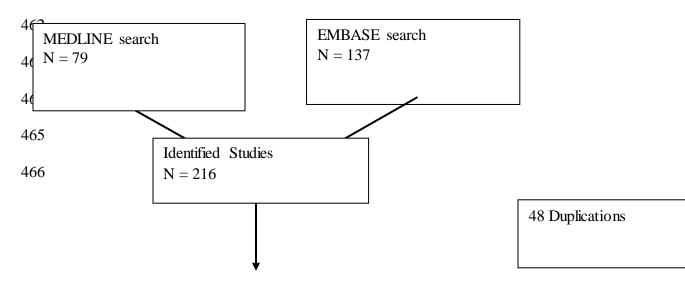
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| 441 | Figure 1: Flow diagram of review process |
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| 443 | Table 1: Search strategy for Medline |
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| 445 | Table 2 – Summary of the comparative studies included |
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| 447 | Table 3 – Summary of the transtendinous repair (TTR) case series |
| 448 | |
| 449 | Table 4 – Summary of the tear completion and repair (TCR) case series |
| 450 | |
| 451 452 | Table 5 – Summary of the re-tear rates after TTR and TCR |
| 453 | Table 6: GRADE assessment of outcome measures of interest |
| 454 | |
| 455 | Table 7: Methodological items for non-randomized studies (MINORS) Scores for |
| 456 | transtendinous repair case series |
| 457 | |
| 458 | Table 8: Methodological items for non-randomized studies (MINORS) Score for t |
| 459 | completion and repair case series |
| 460 | |

461 Figure 1: Flow diagram of review process



tear

