# Multiscale Analysis 

# of Reinforced Concrete Structures 

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## Abstract

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Arturo Moyeda Morales

A multiscale approach, coined as the High Order Computational Continua ( $\mathrm{HC}^{2}$ ), has been developed for efficient and accurate analysis and design of reinforced concrete structures. Unlike existing homogenization-like methods, the proposed multiscale approach is capable of handling large representative volume elements (RVE), i.e., the classical assumption of infinitesimally is no longer required, while possessing accuracy of direct numerical simulation (DNS) and the computational efficiency of classical homogenization methods.

The multiscale beam and plate elements formulated using the proposed $\mathrm{HC}^{2}$ methodology can be easily incorporated into the existing reinforced concrete design practices. The salient features of the proposed formulation are: (i) the ability to consider large representative volume elements (RVE) characteristic to nonsolid beams,waffle and hollowcore slabs, (ii) versatility stemming from the ease of handling damage, prestressing, creep and shrinkage, and (iii) computational efficiency resulting from model reduction, combined with the damage law rescaling methods that yield simulation results nearly mesh-size independent.

The multiscale formulation has been validated against experimental data for rectangular beams, I beams, pretensioned beams, continuous posttension beams, solid slabs, prestressed hollowcore slabs and waffle slabs.

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## Chapter 1

## Introduction

With an annual production of more than 23 billion tons of concrete - the most used material in construction worldwide [1] - efficient design of reinforced concrete structures is of the utmost importance to the society. Since environmental impact of concrete is considerable due to $\mathrm{CO}_{2}$ emissions, water consumption, impact on the landscape for aggregate mining, just to name a few, analysis and design of concrete structures should be more reliable and better optimized.

Since the early 1900 's, there have been considerable research efforts aimed at developing theories and methods to predict its behavior. The first code published in North America with specific recommendations for the analysis and design of reinforced concrete was published in 1910 by the American Concrete Institute (ACI), which now publishes the Building Code Requirements for Structural Concrete with its latest edition published in 2014 [2].

Practitioners have long realized that stiffness and strength of concrete structures must reflect among other things cracking, plasticity, creep and shrinkage; this has been done in the form
of semi empirical reduction factors and recommendations that are still used in codes today [2, 3].

Concrete frame-type structures have been analyzed for decades using beam-column elements along with code guidelines. Emery et al. [4], described the current state-of-the-practice and why engineering community should move towards more rigorous analysis and simulation approaches for reinforced concrete structures. Research efforts aimed at detailed numerical analysis of concrete structures span more than 40 years. From computational point of view, these efforts can be divided into two main categories:
a) Two-dimensional (2D) and three-dimensional (3D) finite element analysis using continuum elements with constitutive models, such as damage, plasticity, and a combination of the two or more specialized models, such as the microplane model [5] or lattice model [6]; and
b) Fiber beam-column elements [7] that discretize the cross-section into fibers in combination with uniaxial material models and more recently 3D material models [8].

Development of material models for three-dimensional analysis of concrete span more than half a century. Willam and Warnke [9] developed an elaborate plasticity model where elastic-
perfectly plastic behavior is assumed in compression while in tension, an elastic perfectly brittle behavior with softening plasticity branch is assumed. An excellent review of continuum damage models for concrete including isotropic and anisotropic models and their utilization in practice was given by Mazars and Pijaudier-Cabot [10]. A hybrid plasticitydamage model for cyclic loading of concrete structures proposed by Lee and Fenves [11] was adopted in various commercial codes and is widely used in practice. Material models developed in recent years, employ a combination of damage mechanics and plasticity, such as CDMP2 developed by Grassl et al. [12], the hybrid fracture-plasticity model proposed by Cervenka and Cervenka [13] and a recently developed triaxial constitutive model for concrete under cyclic loading by Moharrami and Koutromanos [14].

In the previous century (prior to year 2000) the literature on multiscale methods for the analysis of concrete has been rather limited, but interest in multiscale methods accelerated over the past two decades as can be seen from Figure 1. Maekawa et al. [15] presented a multiscale approach for the life-span simulation of concrete subjected to hydration, carbonation, corrosion and damage. Oliver et al. [16] developed a multiscale approach for concrete-like structures, but its utilization in practice has been very limited due to significant computational cost involved. This is because a direct computational homogenization employed requires repeated solution of sizeable unit cell (UC) or representative volume element (RVE) problems for nonlinear applications. Caballero et al. [17] considered multiscale analysis at the scale of the aggregate and the cement paste.

Zhang et al. [18] explicitly modeled at the scale of fibers and concrete to predict elastic properties and strength of the fiber-reinforced concrete. Meschke and Rumanus [19] employed Mori-Tanaka approach [20] to analyze reinforced concrete shell structures where the RVE consisted of the concrete matrix and the reinforcing steel in two directions. Wu et al. [21] presented a two-phase multiscale approach for the analysis of plain concrete using reduced order homogenization. For details on various micromechanical approaches see [22].


Fig. 1. Number of articles published with the phrase "Multiscale Concrete" in the text per Google Scholar (2018).

In large scale applications, modelling each beam, column or slab with multiple continuum elements is computationally not feasible. Furthermore, resolving three-dimensional
microstructural features of reinforced concrete for nonlinear problems where a discretized RVE problem has to be repeatedly solved is not computationally feasible either. Thus the primary goal of the multiscale framework developed in this thesis is to address the two aforementioned issues that preclude effective utilization of multiscale technologies in reinforced concrete structures. This will be accomplished by the following three main contributions:

1. Development of a multiscale approach for structural (beam/column) elements with considerable material-structural scale mixing. The main challenge here is that unlike in the classical homogenization framework, in concrete beams the size of RVE is finite, and consequently, the coarse-scale (macroscopic) fields may no longer be uniform within the RVE domain. Typically, the RVE in reinforced concrete beams or columns extends the entire depth and width of the beam/column cross-section. Furthermore, in the case of short beams or considerable refinement in the axial beam direction, the unit cell size even in the axial direction may "see" considerable macroscopic gradients, and thus introducing so-called scale coupling phenomenon. This scale coupling between the material scale and the structural elements will be addressed using the higher order computational continua formulation $\left(\mathrm{HC}^{2}\right)$, an extension of the computational continua framework [23].
2. Development of the multiscale plate element for the analysis of solid, waffle and hollowcore reinforced concrete slabs based on the higher order computational continua
$\left(\mathrm{HC}^{2}\right)$ formulation. The salient features of the proposed formulation are: (i) the ability to consider large representative volume elements (RVE) characteristic to waffle and hollowcore slabs, (ii) versatility due to ease of handling damage, prestressing, creep and shrinkage, and (iii) computational efficiency resulting from model reduction, combined with classical damage law rescaling methods that yield simulation results nearly mesh-size independent.
3. Development of a customized reduced order homogenization (ROH) for structural elements. The reduced order homogenization [24-30] is aimed at reducing the computational cost of solving complex inelastic RVE problems by constructing deformation modes which a priori satisfy equilibrium equations within RVE.

## Chapter 2

## The High Order Computational Continua ( $\mathbf{H C}^{\mathbf{2}}$ )

### 2.1 Governing Equations

Consider a heterogeneous body of reinforced concrete formed by either periodic repetition of steel reinforcement or periodic concrete structure or both in an open, bounded composite domain $\Omega^{\zeta} \subset \mathbb{R}^{3}$. The representative volume element (RVE) denoted by $\Theta \subset \mathbb{R}^{3}$ is assumed to be finite, i.e. unlike in the homogenization theory it is not insignificant compared to the coarse-scale domain features.

The following governing equations in the indicial notation on the composite $\mathbf{x} \in \Omega^{\zeta}$ are stated at the fine-scale of interest:

$$
\begin{equation*}
\sigma_{i j, j}^{\zeta}(\mathbf{x})+b_{i}^{\zeta}(\mathbf{x})=0 \text { on } \Omega^{\zeta} \tag{1}
\end{equation*}
$$

$$
\begin{gather*}
\sigma_{i j}^{\zeta}(\mathbf{x})=L_{i j k l}^{\zeta}(\mathbf{x})\left(\varepsilon_{k l}^{\zeta}(\mathbf{x})-\mu_{k l}^{\zeta}(\mathbf{x})\right) \text { on } \Omega^{\zeta}  \tag{2}\\
\varepsilon_{i j}^{\zeta}(\mathbf{x})=u_{(i, j)}^{\zeta}(\mathbf{x}) \equiv \frac{1}{2}\left(u_{i, j}^{\zeta}+u_{j, i}^{\zeta}\right) \text { on } \Omega^{\zeta}  \tag{3}\\
u_{i}^{\zeta}(\mathbf{x})=\bar{u}_{i}^{\zeta}(\mathbf{x}) \text { on } \partial \Omega^{u^{\zeta}}  \tag{4}\\
\sigma_{i j}^{\zeta}(\mathbf{x}) n_{j}^{\zeta}(\mathbf{x})=\bar{t}_{i}^{\zeta}(\mathbf{x}) \text { on } \partial \Omega^{t \zeta} \tag{5}
\end{gather*}
$$

where the superscript $\zeta$ denotes existence of fine-scale features, $\sigma^{\zeta}$ is the Cauchy stress, $\boldsymbol{b}^{\zeta}$ the body force, $\boldsymbol{L}^{\zeta}$ is the fourth order material tensor; $\boldsymbol{u}^{\zeta}$ denotes the displacements with $\overline{\boldsymbol{u}}^{\zeta}$ being prescribed displacements on the essential boundary $\partial \Omega^{u \zeta} ; \overline{\boldsymbol{t}}^{\zeta}$ is the traction acting on the natural boundary $\partial \Omega^{t \zeta}$. The essential and natural boundary conditions satisfy $\partial \Omega^{\zeta}=\partial \Omega^{u \zeta} \cup \partial \Omega^{t \zeta}$ and $\partial \Omega^{u \zeta} \cap \partial \Omega^{t \zeta}=\varnothing ; \quad \boldsymbol{\varepsilon}^{\zeta}$ is the total strain, $\boldsymbol{\mu}^{\zeta}$ the total eigenstrain that can be additively decomposed into mechanical ${ }_{\text {mech }} \boldsymbol{\mu}$ and initial ${ }_{0} \boldsymbol{\mu}$ eigenstrains, the latter describing the initial effects of prestressing, creep, shrinkage and/or temperature, such that $\boldsymbol{\mu}^{\zeta}={ }_{\text {mech }} \boldsymbol{\mu}+{ }_{0} \boldsymbol{\mu}$. The term eigenstrain is used for all inelastic strains such as plastic, damage, creep, shrinkage, thermal or initial strains. Einstein summation convention is employed throughout the manuscript and the use of commas denotes the spatial derivatives with parenthesis around the subscripts denoting symmetric derivative. Bold letters are reserved for tensors (italic) and matrices (non-italic).

### 2.2 Non-local Quadrature

To construct a weak form of (1)-(5) (with the test function space $\mathcal{W}^{\zeta}$ to be later defined) it is necessary to compute integrals over the composite domain $\Omega^{\zeta}$

$$
\begin{equation*}
\int_{\Omega^{\zeta}} \underbrace{\Psi^{\zeta}}_{\Psi_{i}^{\zeta}\left(\sigma_{i j, j}^{\zeta}+b_{i}^{\zeta}\right)} d \Omega=0 \quad \forall \boldsymbol{w}^{\zeta} \in \mathcal{W}^{\zeta} \tag{6}
\end{equation*}
$$

In the classical homogenization theory, multiple scales (and coordinates) are introduced $\Psi^{\zeta}=\Psi(\mathbf{x}, \mathbf{y})$ where $\mathbf{x} \in \Omega$ is the coarse-scale coordinate and $\mathbf{y} \in \Theta$ the RVE coordinate. Furthermore, due to the assumption of the infinitesimality of the RVE $(\zeta \rightarrow 0)$, the integration in the composite domain (6) can be computed as a sum of integrals over infinitesimal RVE domains

$$
\begin{equation*}
\lim _{\zeta \rightarrow 0^{+}} \int_{\Omega^{\zeta}} \Psi^{\zeta} d \Omega=\lim _{\zeta \rightarrow 0^{+}} \int_{\Omega}\left(\frac{1}{|\Theta|} \int_{\Theta} \Psi(\mathbf{x}, \mathbf{y}) d \Theta\right) d \Omega \tag{7}
\end{equation*}
$$

The computational continua formulation considers the case where the RVE is finite and possibly comparable to the coarse-scale domain features. By this approach [23,24,25,31], the
integration over the composite domain $\Omega^{\zeta}$ is replaced by the integration over the so-called computational continua domain $\Omega^{C}$, consisting of a disjoint union (sometimes called the direct sum or free union) of computational RVE (or simply RVE, hereafter) domains $\Theta_{\hat{X}_{t}}$ denoted as

$$
\begin{equation*}
\Omega^{C}=\coprod_{I=1}^{\hat{N}} \Theta_{\hat{X}_{I}} \tag{8}
\end{equation*}
$$

where $\hat{X}_{I}$ denotes the position of the so-called nonlocal quadrature point and $\hat{N}$ the number of integration points. The nonlocal quadrature scheme for a function $\Psi^{\zeta}$ over the composite domain is defined as

$$
\begin{equation*}
\int_{\Omega^{\varsigma}} \Psi^{\zeta} d \Omega=\sum_{I=1}^{\hat{N}} W_{I} J_{I} \frac{1}{\left|\Theta_{\hat{x}_{I}}\right|} \int_{\Theta_{\hat{x}_{I}}} \Psi^{\zeta}\left(\hat{X}_{I}, \chi\right) d \Theta \tag{9}
\end{equation*}
$$

where $W_{I}$ denotes the nonlocal quadrature weight; $\left|\Theta_{\hat{X}_{I}}\right|$ the volume of the RVE domain $\Theta_{\hat{X}_{I}}$ ; $J_{I}$ the Jacobian computed at the RVE centroid mapping the coarse-scale element into a biunit cube (square, interval); $\boldsymbol{\chi}$ the local Cartesian coordinate system with an origin placed at the RVE centroid. $W_{I}$ and $\hat{X}_{I}$ are chosen to exactly evaluate integrals (9) on the composite
domain with integrand $\Psi^{\zeta}$ approximated by a polynomial of the order $m$. The pair ( $W_{I}, \hat{X}_{I}$ ) depends on the RVE size relative to the coarse-scale finite element size. For the cuboidal element shapes [23,24,25,31] the nonlocal quadrature is obtained by a tensor product of onedimensional nonlocal quadrature. For instance, the two-point nonlocal quadrature rule is defined by

$$
\begin{equation*}
\hat{X}_{1,2}= \pm \sqrt{\frac{1}{3}-\frac{\Theta^{\prime 2}}{12}} ; \quad W_{1,2}=1 \tag{10}
\end{equation*}
$$

For recent work on computational continua for non-cuboidal element shapes see [32].

### 2.3 The RVE Problem.

Following the variational multiscale method [33] and the s-version of the finite element method [34], the strains are decomposed into a coarse-scale solution and a fine-scale perturbation as follows:

$$
\begin{equation*}
\varepsilon_{i j}^{\zeta}\left(\hat{\boldsymbol{X}}_{I}, \boldsymbol{\chi}\right)=\varepsilon_{i j}^{c}\left(\hat{\boldsymbol{X}}_{I}, \boldsymbol{\chi}\right)+\varepsilon_{i j}^{1}\left(\hat{\boldsymbol{X}}_{I}, \boldsymbol{\chi}\right) \tag{11}
\end{equation*}
$$

where the superscripts $c$ and $l$ denote the coarse-scale solution and fine-scale perturbation, respectively, with the fine-scale perturbation $\varepsilon_{i j}^{1}$ given by :

$$
\begin{equation*}
\varepsilon_{k l}^{1}\left(\hat{\boldsymbol{X}}_{I}, \boldsymbol{\chi}\right)=H_{(k, l)}^{m n}(\boldsymbol{\chi}) \varepsilon_{m n}^{c}\left(\hat{\boldsymbol{X}}_{I}, \boldsymbol{\chi}\right)+\int_{\Theta} \tilde{h}_{(k, l)}^{m n}(\boldsymbol{\chi}) \mu_{m n}^{\zeta}\left(\hat{\boldsymbol{X}}_{I}, \boldsymbol{\chi}\right) d \tilde{\Theta} \tag{12}
\end{equation*}
$$

where the interface discontinuity has been ignored for simplicity. In (12), $\boldsymbol{H}$ and $\tilde{\boldsymbol{h}}$ are the influence functions for the coarse-scale strain and the fine-scale eigenstrain, respectively. The influence functions are computed from the reduced order equilibrium equations as described below.

The resulting fine-scale strain $\varepsilon_{k l}^{\zeta}$ and stress $\sigma_{i j}^{\zeta}$ are given by

$$
\begin{gather*}
\varepsilon_{k l}^{\zeta}=\left(I_{k l m n}+H_{(k, l)}^{m n}(\chi)\right) \varepsilon_{m n}^{c}\left(\hat{\boldsymbol{X}}_{I}, \chi\right)+\int_{\Theta} \tilde{h}_{(k, l)}^{m n}(\boldsymbol{\chi}) \mu_{m n}^{\zeta}\left(\hat{\boldsymbol{X}}_{I}, \boldsymbol{\chi}\right) d \tilde{\Theta}  \tag{13}\\
\sigma_{i j}^{\zeta}=L_{i j k l}^{\zeta}(\boldsymbol{\chi})\left(\left(I_{k l m n}+H_{(k, l)}^{m n}(\chi)\right) \varepsilon_{m n}^{c}\left(\hat{\boldsymbol{X}}_{I}, \chi\right)+\int_{\Theta} \tilde{h}_{(k, l)}^{m n}(\boldsymbol{\chi}) \mu_{m n}^{\zeta}\left(\hat{\boldsymbol{X}}_{I}, \chi\right) d \tilde{\Theta}-\mu_{k l}^{\zeta}\left(\hat{\boldsymbol{X}}_{I}, \boldsymbol{\chi}\right)\right) \tag{14}
\end{gather*}
$$

Model reduction necessary for computational efficiency is accomplished by a piece-wise constant discretization of eigenstrains over RVE partitions. Figure 2 depicts the RVE for a reinforced concrete beams where colors show different partitions in concrete and reinforcement over which the eigenstrains are assumed to be constant. For computational efficiency, steel reinforcements may be modeled as one-dimensional bar elements. The piecewise constant discretization of eigenstrains in $\tilde{M}$ (concrete and steel) partitions is given by

$$
\begin{equation*}
\mu_{i j}^{\zeta}\left(\hat{X}_{I}, \chi\right)=\sum_{\Phi=1}^{\tilde{M}} \tilde{N}^{(\Phi)}(\chi) \mu_{i j}^{(\Phi)}\left(\hat{X}_{I}\right) \tag{15}
\end{equation*}
$$

where

$$
\tilde{N}^{(\Phi)}(\chi)= \begin{cases}1 & \chi \in \Theta^{(\Phi)}  \tag{16}\\ 0 & \chi \notin \Theta^{(\Phi)}\end{cases}
$$



Fig. 2. Piecewise constant discretization of eigenstrains in a two-dimensional RVE.
Colors denote various partitions over which eigenstrains are assumed to be constant.

Inserting the eigenstrain discretization (15) into (13) and (14) yields an approximation of fine-scale strains and stresses stress

$$
\begin{gather*}
\varepsilon_{k l}^{\zeta}\left(\hat{\boldsymbol{X}}_{I}, \chi\right)=E_{k l m n}(\chi) \varepsilon_{m n}^{c}\left(\hat{X}_{I}, \chi\right)+\sum_{\Phi=1}^{\tilde{M}} P_{k l}^{m n(\Phi)}(\chi) \mu_{m n}^{(\Phi)}\left(\hat{\boldsymbol{X}}_{I}\right)  \tag{17}\\
\sigma_{i j}^{\zeta}\left(\hat{\boldsymbol{X}}_{I}, \chi\right)=\Sigma_{i j m n}(\chi) \varepsilon_{m n}^{c}\left(\hat{\boldsymbol{X}}_{I}, \chi\right)+\sum_{\Phi=1}^{\tilde{M}} \Lambda_{i j}^{m n(\Phi)}(\chi) \mu_{m n}^{(\Phi)}\left(\hat{\boldsymbol{X}}_{I}\right) \tag{18}
\end{gather*}
$$

where

$$
\begin{gather*}
\Lambda_{i j}^{m n(\Phi)}(\chi)=L_{i j k l}^{\zeta}(\chi)\left(P_{k l}^{m n(\Phi)}(\chi)-I_{k l m n}^{(\Phi)}\right)  \tag{19}\\
P_{k l}^{m n(\Phi)} \equiv h_{(k, l)}^{m n(\Phi)}  \tag{20}\\
E_{k l m n}(\chi)=I_{k l m n}+H_{(k, l)}^{m n}(\chi)  \tag{21}\\
\Sigma_{i j m n}(\chi)=L_{i j k l}^{\zeta}(\chi) E_{k l m n}(\chi) \tag{22}
\end{gather*}
$$

Since the RVE equilibrium equation, $\sigma_{i j, j}^{\zeta}=0$, should hold for arbitrary values of $\varepsilon_{m n}^{c}, \nabla \varepsilon_{m n}^{c}$ and $\mu_{m n}^{(\Phi)}$, yields the boundary value problems for the influence functions $H_{i}^{m n}$ and $P_{k l}^{m n(\Phi)}$

$$
\begin{gather*}
{\left[L_{i j k l}^{\zeta}(\chi)\left(I_{k l m n}+H_{(k, l)}^{m n}(\chi)\right)\right]_{, j}=0 \quad \text { on } \quad \Theta}  \tag{23}\\
{\left[L_{i j k l}^{\zeta}(\chi)\left(P_{k l}^{m n(\Phi)}(\chi)-I_{k l m n}^{(\Phi)}\right)\right]_{, j}=0 \quad \text { on } \quad \Theta \quad \forall \Phi} \tag{24}
\end{gather*}
$$

where

$$
I_{k l m n}^{(\Phi)}(\chi)=\left\{\begin{array}{cc}
I_{k l m n} & \chi \in \Theta^{(\Phi)}  \tag{25}\\
0 & \chi \notin \Theta^{(\Phi)}
\end{array}\right.
$$

Subjected to periodicity and homogeneous constraints on the average influence functions on each RVE bounding surface

$$
\begin{align*}
& \int_{\partial \Theta_{l}} H_{k}^{m n}(\boldsymbol{\chi}) d \gamma=0  \tag{26}\\
& \int_{\partial \Theta_{l}} h_{k}^{m n(\Phi)}(\boldsymbol{\chi}) d \gamma=0 \tag{27}
\end{align*}
$$

A discrete solution of (23)-(27) for $H_{i}^{m n}$ and $P_{k l}^{m n(\Phi)}$ can be obtained by using finite element method. For details see [23,24,25,31].

The reduced order computational RVE problem at each nonlocal quadrature point is constructed as follows. First, an average of $\varepsilon_{k l}^{(\Psi)}, \sigma_{i j}^{(\Psi)}$ in each concrete and steel partition is computed as

$$
\begin{equation*}
\varepsilon_{k l}^{(\Psi)}\left(\hat{\boldsymbol{X}}_{I}\right)=E_{k l m n}^{(\Psi)} \varepsilon_{m n}^{c}\left(\hat{\boldsymbol{X}}_{I}\right)+\sum_{\Phi=1}^{\tilde{M}} P_{k l}^{m n(\Psi \Phi)} \mu_{m n}^{(\Phi)}\left(\hat{\boldsymbol{X}}_{I}\right) \tag{28}
\end{equation*}
$$

$$
\begin{equation*}
\sigma_{i j}^{(\Psi)}\left(\hat{\boldsymbol{X}}_{I}\right)=\Sigma_{i j m n}^{(\Psi)} \varepsilon_{m n}^{c}\left(\hat{\boldsymbol{X}}_{I}\right)+\sum_{\Phi=1}^{\tilde{M}} \Lambda_{i j}^{m n(\Psi \Phi)} \mu_{m n}^{(\Phi)}\left(\hat{\boldsymbol{X}}_{I}\right) \tag{29}
\end{equation*}
$$

Where

$$
\begin{gather*}
E_{k l m n}^{(\Psi)}=\frac{1}{\left|\Theta^{(\Psi)}\right|} \int_{\Theta^{(\Psi)}} E_{k l m n}(\chi) d \Theta  \tag{30}\\
\Sigma_{i j m n}^{(\Psi)}=\frac{1}{\left|\Theta^{(\Psi)}\right|} \int_{\Theta^{(\Psi)}} \Sigma_{i j m n}(\chi) d \Theta  \tag{31}\\
P_{k l}^{m n(\Psi \Phi)}=\frac{1}{\left|\Theta^{(\Psi)}\right|} \int_{\Theta^{(\Psi)}} P_{k l}^{m n(\Phi)}(\chi) d \Theta  \tag{32}\\
\Lambda_{i j}^{m n(\Psi \Phi)}=\frac{1}{\left|\Theta^{(\Psi)}\right|} \int_{\Theta^{(\Psi)}} \Lambda_{i j}^{m n(\Phi)}(\chi) d \Theta \tag{33}
\end{gather*}
$$

Since partition eigenstrain $\boldsymbol{\mu}^{(\Phi)}$ is a function of partition strain $\boldsymbol{\varepsilon}^{(\Phi)}$ via constitutive equation of that partition, equations (28) comprises a set of $6 \times \tilde{M}$ nonlinear equations with the same number of unknowns that are solved using the Newton method for each nonlocal quadrature point, for every iteration of the macroscopic solution. For details see [23,24,25,31].

### 2.4 The Coarse-Scale Problem

The weak form of the coarse-scale problem is constructed by choosing the test functions in
(6) from the coarse-scale space $\boldsymbol{w}^{c} \in \mathcal{W}^{c}$

$$
\begin{equation*}
\int_{\Omega^{\zeta}} w_{i}^{c}\left(\sigma_{i j, j}^{\zeta}+b_{i}^{\zeta}\right) d \Omega=0 \quad \forall \boldsymbol{w}^{c} \in \mathcal{W}^{c} \tag{34}
\end{equation*}
$$

where $\boldsymbol{w}^{c}$ is the $C^{0}$ continuous test function on $\Omega$, satisfying homogeneous boundary conditions on $\partial \Omega^{u}$

$$
\begin{equation*}
\mathcal{W}^{c}=\left\{\boldsymbol{w}^{c} \text { defined in } \Omega, C^{0}(\Omega), \boldsymbol{w}^{c}=0 \text { on } \partial \Omega^{u}\right\} \tag{35}
\end{equation*}
$$

The space $\mathcal{W}^{c}$ will be defined in Chapter 3 for beams and Chapter 4 for plates. Integrating by parts the divergence term, inserting the expression of stress in equation (18) and applying the nonlocal quadrature scheme yields

$$
\begin{gather*}
\sum_{I=1}^{\hat{N}} W_{I} J_{I} \frac{1}{\left|\Theta_{\hat{X}_{I}}\right|} \int_{\Theta_{\tilde{X}_{I}}} w_{(i, j)}^{c}\left(\Sigma_{i j m n} \varepsilon_{m n}^{c}+\sum_{\Phi=1}^{\tilde{M}} \Lambda_{i j}^{m n \Phi}{ }_{m e c h} \mu_{m n}^{(\Phi)}\right) d \Theta=f \quad \forall \boldsymbol{w}^{c} \in \mathcal{W}^{c}  \tag{36}\\
f=\int_{\partial \Omega^{t}} w_{i}^{c} t_{i}^{\zeta} d \Gamma+\int_{\Omega} w_{i}^{c} b_{i}^{\zeta} d \Omega-{ }_{0} c \sum_{I=1}^{\hat{N}} W_{I} J_{I} \frac{1}{\left|\Theta_{\hat{X}_{I}}\right|} \int_{\Theta_{\hat{X}_{I}}} w_{(i, j)}^{c} \sum_{\Phi=1}^{\tilde{M}} \Lambda_{i j}^{m n \Phi}{ }_{0}^{m} \mu_{m n}^{(\Phi)} d \Theta \tag{37}
\end{gather*}
$$

Where the partition eigenstrain $\boldsymbol{\mu}^{(\Phi)}$ has been decomposed into the known initial eigenstrain ${ }_{0} \boldsymbol{\mu}^{(\Phi)}$ and the unknown mechanical eigenstrain ${ }_{\text {mech }} \boldsymbol{\mu}^{(\Phi)}$. Variable ${ }_{0} c$ is equal to 1 for creep and shrinkage eigenstrains and -1 for prestress and temperature.

### 2.5 Expansion of Coarse Scale Strain

In general the coarse-scale strain-displacement matrix is denoted by

$$
\mathbf{B}^{c}=\nabla_{s} \mathbf{N}^{c}=\left[\begin{array}{c}
\frac{\partial \mathbf{N}_{x}^{c}}{\partial x}  \tag{38}\\
\frac{\partial \mathbf{N}_{y}^{c}}{\partial y} \\
\frac{\partial \mathbf{N}_{z}^{c}}{\partial z} \\
\frac{\partial \mathbf{N}_{x}^{c}}{\partial y}+\frac{\partial \mathbf{N}_{y}^{c}}{\partial x} \\
\frac{\partial \mathbf{N}_{y}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial y} \\
\frac{\partial \mathbf{N}_{x}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial x}
\end{array}\right]
$$

where the coarse-scale shape functions $\mathbf{N}^{c}$ are described in Chapters 3 and 4 for beams and plates respectively, and $\nabla_{s}$ denoting symmetric gradient. Note that a reduced form of equation (38) is used for beams and plates as will be explained in Chapters 3 and 4.

To construct the higher order computational continua formalism, the strain-displacement matrix is expanded as

$$
\begin{equation*}
\mathbf{B}^{c}\left(\hat{\boldsymbol{X}}_{I}, \boldsymbol{\chi}\right)=\mathbf{g}(\boldsymbol{\chi}) \overline{\mathbf{B}}\left(\hat{\boldsymbol{X}}_{I}\right) \tag{39}
\end{equation*}
$$

where

$$
\left.\begin{array}{c}
\overline{\mathbf{B}}\left(\hat{X}_{I}\right)=\left[\begin{array}{llllll}
\left\langle\mathbf{B}^{c}\right\rangle_{\Theta} & \left\langle\partial_{\alpha} \mathbf{B}^{c}\right\rangle_{\Theta} & \left\langle\partial_{\alpha \beta} \mathbf{B}^{c}\right\rangle_{\Theta} & \left\langle\partial_{\alpha \beta \gamma} \mathbf{B}^{c}\right\rangle_{\Theta} & \left\langle\partial_{\alpha \beta \gamma \delta} \mathbf{B}^{c}\right\rangle_{\Theta} & \left\langle\partial_{\alpha \beta \gamma \delta \delta} \mathbf{B}^{c}\right\rangle_{\Theta}
\end{array}\right]^{T} \\
\mathbf{g}(\chi)=\left[\begin{array}{lllll}
1 & g_{\alpha}(\chi) & g_{\alpha \beta}(\chi) & g_{\alpha \beta \gamma}(\chi) & g_{\alpha \beta \gamma \delta}(\chi)
\end{array} g_{\alpha \beta \gamma \delta \varepsilon}(\chi)\right. \tag{41}
\end{array}\right]
$$

The differential operators $\partial_{\alpha} f, \partial_{\alpha \beta} f, \partial_{\alpha \beta \gamma} f, \partial_{\alpha \beta \gamma \delta} f$ and $\partial_{\alpha \beta \gamma \delta \delta} f$ are defined as

$$
\begin{equation*}
\partial_{\alpha . \varepsilon} f=\frac{\partial^{n} f}{\partial \chi_{\alpha} \ldots \partial \chi_{\varepsilon}} \tag{42}
\end{equation*}
$$

with the bracket operator $\langle f\rangle_{\Theta}$ denoting the average over $\Theta$

$$
\begin{equation*}
\langle f\rangle_{\Theta} \equiv \bar{f}=\frac{1}{|\Theta|} \int_{\Theta} f(\chi) d \Theta \tag{43}
\end{equation*}
$$

In (39)-(41), summation convention over repeated subscripts $\alpha, \beta, \gamma, \delta$ and $\varepsilon$ ranging from 1 to 3 is employed. $\mathbf{g}(\boldsymbol{\chi})$ depends on the choice of the coarse-scale element but is independent of its coordinates, which will prove very useful for computational efficiency. Various terms in $\mathbf{g}(\boldsymbol{\chi})$ are obtained by equating (38) and(39); their expressions will be detailed in Chapters 3 and 4.

### 2.6 Discrete Coarse Scale Equations.

The coarse-scale trial and test function approximation spaces are denoted as

$$
\begin{align*}
& \mathcal{W}^{c h}=\left\{\mathbf{w}^{c h} \text { defined in } \Omega, \boldsymbol{w}^{c h}=\mathbf{N}^{c} \mathbf{c}^{c}, \mathbf{w}^{c h}=\mathbf{0} \text { on } \partial \Omega^{u}\right\}  \tag{44}\\
& \mathcal{U}^{c h}=\left\{\mathbf{u}^{c h} \text { defined in } \Omega, \mathbf{u}^{c h}=\mathbf{N}^{c} \mathbf{d}^{c}, \mathbf{u}^{c h}=\overline{\mathbf{u}} \text { on } \partial \Omega^{u}\right\} \tag{45}
\end{align*}
$$

Inserting the discretization of the test and trial functions (44) into the matrix form of (36) and requiring arbitrariness of the test function coefficients $\mathbf{c}^{c}$, yields the discrete coarse-scale equilibrium equations

$$
\begin{equation*}
\mathbf{r}^{c}=\mathbf{f}_{i n t}^{c}-\mathbf{f}_{e x t}^{c}=\mathbf{0} \tag{46}
\end{equation*}
$$

where

$$
\begin{gather*}
\mathbf{f}_{\text {int }}^{c}=\sum_{I=1}^{\hat{N}} W_{I} J_{I} \frac{1}{\left|\Theta_{\hat{X}_{I}}\right|} \int_{\hat{X}_{\hat{X}_{I}}} \mathbf{B}^{c T}\left(\Sigma \mathbf{B}^{c} \mathbf{d}^{c}+\sum_{\Phi=1}^{\tilde{M}} \Lambda^{(\Phi)}{ }_{\text {mech }} \boldsymbol{\mu}_{I}^{(\Phi)}\right) d \Theta  \tag{47}\\
\mathbf{f}_{e x t}^{c}=\int_{\hat{\alpha} \Omega} \mathbf{N}^{c T} \mathbf{t} d \Gamma+\int_{\Omega} \mathbf{N}^{c T} \mathbf{b} d \Omega-{ }_{0} c \sum_{I=1}^{\hat{N}} W_{I} J_{I} \frac{1}{\left|\Theta_{\hat{X}_{I}}\right|} \int_{\Theta_{\hat{X}_{I}}} \mathbf{B}^{c T} \sum_{\Phi=1}^{\tilde{M}} \Lambda^{(\Phi)}{ }_{0} \boldsymbol{\mu}_{I}^{(\Phi)} d \Theta \tag{48}
\end{gather*}
$$

$\mathbf{f}_{i n t}^{c}$ and $\mathbf{f}_{e x t}^{c}$ are the internal and external forces, respectively. Further inserting expansion of the coarse-scale strain-displacement matrix (39) into (47) and (48) yields

$$
\begin{gather*}
\mathbf{f}_{i n t}^{c}=\sum_{I=1}^{\hat{N}} W_{I} J_{I} \frac{1}{\left|\Theta_{\hat{X}_{I}}\right|} \overline{\mathbf{B}}_{I}^{T}\left(\overline{\mathbf{L}} \overline{\mathbf{B}}_{I} \mathbf{d}^{c}+\sum_{\Phi=1}^{\tilde{M}} \overline{\mathbf{Z}}^{(\Phi)}{ }_{\text {mech }} \boldsymbol{\mu}_{I}^{(\Phi)}\right)  \tag{49}\\
\mathbf{f}_{\text {ext }}^{c}=\int_{\tilde{\delta} \Omega} \mathbf{N}^{c T} \mathbf{t} d \Gamma+\int_{\Omega} \mathbf{N}^{c T} \mathbf{b} d \Omega-{ }_{0} c \sum_{I=1}^{\hat{N}} W_{I} J_{I} \frac{1}{\left|\Theta_{\hat{X}_{I}}\right|} \overline{\mathbf{B}}_{I}^{T} \sum_{\Phi=1}^{\tilde{M}} \overline{\mathbf{Z}}^{(\Phi)}{ }_{0}{ }_{0} \boldsymbol{\mu}_{I}^{(\Phi)} \tag{50}
\end{gather*}
$$

where

$$
\begin{align*}
& \overline{\mathbf{L}}=\int_{\Theta_{\hat{\chi}_{I}}} \mathbf{g}^{T}(\chi) \boldsymbol{\Sigma}(\chi) \mathbf{g}(\chi) d \Theta  \tag{51}\\
& \overline{\mathbf{Z}}^{(\Phi)}=\int_{\Theta_{\dot{\chi}_{I}}} \mathbf{g}^{T}(\chi) \Lambda^{(\Phi)}(\chi) d \Theta \tag{52}
\end{align*}
$$

$$
\begin{gather*}
\overline{\mathbf{B}}_{I}=\overline{\mathbf{B}}\left(\hat{X}_{I}\right)  \tag{53}\\
{ }_{\text {mech }} \boldsymbol{\mu}_{I}^{(\Phi)}={ }_{\text {mech }} \boldsymbol{\mu}^{(\Phi)}\left(\hat{X}_{I}\right)  \tag{54}\\
{ }_{0} \boldsymbol{\mu}_{I}^{(\Phi)}={ }_{0} \boldsymbol{\mu}^{(\Phi)}\left(\hat{X}_{I}\right) \tag{55}
\end{gather*}
$$

The tangent stiffness matrix is obtained by linearization

$$
\begin{equation*}
\mathbf{K}^{c}=\frac{\partial \mathbf{r}^{c}}{\partial \mathbf{d}^{c}}=\frac{\partial \mathbf{C}_{i t t}^{c}}{\partial \mathbf{d}^{c}} \tag{56}
\end{equation*}
$$

which yields

$$
\begin{equation*}
\mathbf{K}^{c}=\sum_{I=1}^{\hat{N}} W_{I} J_{I} \frac{1}{\left|\Theta_{\hat{X}_{I}}\right|} \overline{\mathbf{B}}_{I}^{T}\left(\overline{\mathbf{L}} \overline{\mathbf{B}}_{I}+\sum_{\Phi=1}^{\bar{M}} \overline{\mathbf{Z}}^{(\mathcal{)}} \frac{\partial_{\text {mech }} \boldsymbol{\mu}_{I}^{(\mathcal{)}}}{\partial \mathbf{d}_{c}}\right) \tag{57}
\end{equation*}
$$

Differentiating $\frac{\partial_{\text {mech }} \mathbf{\mu}_{I}^{(\Phi)}}{\partial \mathbf{d}_{c}}$ using the chain rule

$$
\begin{equation*}
\frac{\partial_{\text {mech }} \boldsymbol{\mu}_{I}^{(\Phi)}}{\partial \mathbf{d}_{c}}=\frac{\partial_{\text {mech }} \boldsymbol{\mu}_{I}^{(\Phi)}}{\partial \boldsymbol{\varepsilon}_{c}} \frac{\partial \boldsymbol{\varepsilon}_{c}}{\partial \mathbf{d}_{c}} \tag{58}
\end{equation*}
$$

The tangent stiffness $\mathbf{K}^{c}$ can be expressed as

$$
\begin{equation*}
\mathbf{K}^{c}=\sum_{I=1}^{\hat{N}} W_{I} J_{I} \frac{1}{\left|\Theta_{\hat{\mathbf{X}}_{I}}\right|} \overline{\mathbf{B}}_{I}^{T}\left(\overline{\mathbf{L}}+\sum_{\Phi=1}^{\tilde{M}} \overline{\mathbf{A}}^{(\Phi)} \frac{\partial_{\text {mecc }} \boldsymbol{\mu}_{I}^{(\Phi)}}{\partial \boldsymbol{\varepsilon}^{c}}\right) \overline{\mathbf{B}}_{I} \tag{59}
\end{equation*}
$$

where

$$
\begin{equation*}
\overline{\mathbf{A}}^{(\Phi)}=\int_{\Theta_{\dot{x}_{1}}} \mathbf{g}^{T}(\chi) \Lambda^{(\Phi)}(\chi) \mathbf{g}(\chi) d \Theta \tag{60}
\end{equation*}
$$

with $\overline{\mathbf{L}}$ and $\overline{\mathbf{A}}^{(\Phi)}$ being symmetric matrices and $\frac{\partial_{\text {mech }} \boldsymbol{\mu}^{(\Phi)}}{\partial \mathbf{\varepsilon}^{c}}$ in (59) depending on the choice of the material model used for the microconstituents. One of the salient features of the proposed $\mathrm{HC}^{2}$ formulation is that a variety of materials models can be utilized depending on the application without overall framework changes.

Remark 1: Observing equation (49) it follows that $\boldsymbol{\sigma}^{t r}=\overline{\mathbf{L}} \overline{\mathbf{B}}_{I} \mathbf{d}^{c}$ is a higher order trial stress corresponding to the response of linear elastic solid, whereas $\boldsymbol{\eta}=\sum_{\Phi=1}^{\tilde{M}} \overline{\mathbf{Z}}^{(\Phi)}{ }_{m} \boldsymbol{\mu}^{(\Phi)}$ is a higher
order eigenstress, which a correction in case the deformation process is inelastic. In the $\mathrm{O}(1)$ homogenization theory, the expansion (39) would include a single (constant) term, i.e. $g(\chi)$ $=1$, in which case $\overline{\mathbf{L}}$ in (51) reduces to overall (homogenized) elastic properties.

Remark 2: Note that tensors $\overline{\mathbf{L}}, \overline{\mathbf{Z}}^{(\Phi)}$ and $\overline{\mathbf{A}}^{(\Phi)}$, are independent of coarse scale coordinates therefore they only need to be precomputed once, and will be used for every coarse scale geometry and loading condition.

## Chapter 3

## The Multiscale Beam

### 3.1 Introduction to Concrete Beam Elements

The formulation of reinforced concrete structural elements (beams or columns) that incorporates non-linear behavior is typically based on so-called fiber beam-column formulation [7], with one-dimensional models for steel and confined concrete. Cuellar and Gallegos [35] presented a fiber beam-column element that incorporates an isotropic damage model. Recent developments in the fiber beam-column element formulation were detailed by Mohr et al. [8] where three-dimensional behavior was attained by enriching the displacement field with cross-sectional warping and distortion deformation modes and where the crosssection was modeled by planar elements rather than by uniaxial fiber elements.

Beam elements specific for prestressed concrete have been developed as well. Aalami [36] coupled the prestressing steel to the beam element using the tendon as a load resisting element which enables it to capture the prestressing losses. Ayoub and Filippou [37] used a fiberbeam element to model pretension concrete elements. Ayoub [38] extended the formulation
to post-tension beams by using a link element between the fiber-beam and tendon elements, but the shear stresses and prestress losses were not considered in the formulation.

The multiscale beam element for analysis of concrete proposed herein, maintains the simplicity characteristic to three-dimensional beam elements, while providing comparable accuracy of three-dimensional nonlinear continuum elements. The proposed multiscale beam element accurately predicts the creep and shrinkage strains, accounts for tendon losses and variable eccentricity, and captures both the shear and bending failures, all in the computational efficient manner. To ensure simulation results are insensitive to the beam element size, a unique constitutive law rescaling procedure that substantially differs from rescaling employed for continuum elements [39,40,41] is also developed.

### 3.2 Selection of the Coarse Scale Space for the Beam Element

One of the simplest beam elements is the Euler-Bernoulli beam. Due its simplicity, it lacks higher order terms and assumes no shear deformation. Filonova and Fish [42] developed computational continua formulation for linear elastic plates based on the Reissner-Mindlin kinematical assumption. Due to lack of higher order terms, the shear stresses were not resolved directly, but rather computed by post-processing.

In order to directly, i.e. not by postprocessing [42], resolve the transverse shear strain distribution, we adopt the general third order theory developed by Reddy [43]. In the following, we briefly summarize the kinematics employed in the third-order beam theory

$$
\begin{gather*}
u_{1}=u_{1}^{0}-x_{3} \theta_{2}+f\left(x_{3}, h_{3}\right) \gamma_{2}-x_{2} \theta_{3}+f\left(x_{2}, h_{2}\right) \gamma_{3}  \tag{61}\\
u_{2}=u_{2}^{0}-x_{3} \theta_{1}  \tag{62}\\
u_{3}=u_{3}^{0}+x_{2} \theta_{1} \tag{63}
\end{gather*}
$$

where $u^{0}, v^{0}$ and $w^{0}$ are the mid-surface displacements of the plate in the $\mathrm{x}, \mathrm{y}$ and z directions, $u_{i}$ denotes the three-dimensional displacements; $u_{i}^{0}$ the displacement at the centerline of the beam; $\theta_{1}$ the rotation around the $x_{1} ; \quad \theta_{2}=\frac{\partial^{c} u_{3}^{0}}{\partial x_{1}}$ and $\theta_{3}=\frac{\partial^{c} u_{2}^{0}}{\partial x_{1}} ; \gamma_{2}$ and $\gamma_{3}$ are the generalized rotations for the beam around the $x_{2}$ and $x_{3}$ axis respectively. Following [43], $f\left(x_{2}, h_{2}\right)=x_{2}\left[1-\frac{4}{3}\left(\frac{x_{2}}{h_{2}}\right)^{2}\right]$ and $f\left(x_{3}, h_{3}\right)=x_{3}\left[1-\frac{4}{3}\left(\frac{x_{3}}{h_{3}}\right)^{2}\right]$ where $h_{3}$ is the beam height parallel to $x_{3}$, and $h_{2}$ is the beam width parallel to $x_{2}$.

For the finite element discretization, we employ a three-node beam element, where $u_{2}^{0}, u_{3}^{0}$, $\theta_{2}$ and $\theta_{3}$, are approximated by cubic Hermitian interpolants; $u_{1}^{0}$ by linear interpolants; $\gamma_{2}$ and $\gamma_{3}$ by quadratic interpolants [44].

The beam has a total number of 18 degrees-of-freedom; the end nodes have 8 degrees-offreedom each and the middle node has rotations $\gamma_{2}$ and $\gamma_{3}$. The degrees-of-freedom at the interior node can be condensed out so that a 16 by 16 element stiffness matrix is considered for the coarse-scale analysis.

The matrix form of the finite element discretization of coarse-scale displacements is given by

$$
\left\{\begin{array}{l}
{ }^{c} u_{1} \\
c_{1} u_{2} \\
{ }^{c} u_{3}
\end{array}\right\}=\mathbf{N}^{c}\left\{\begin{array}{lllllllllllllllllll} 
& { }^{c} u_{1}^{1} & { }^{c} u_{2}^{1} & { }^{c} u_{3}^{1} & \theta_{1}^{1} & \theta_{2}^{1} & \theta_{3}^{1} & \gamma_{2}^{1} & \gamma_{3}^{1} & { }^{c} u_{1}^{2} & { }^{c} u_{2}^{2} & { }^{c} u_{3}^{2} & \theta_{1}^{2} & \theta_{2}^{2} & \theta_{3}^{2} & \gamma_{2}^{2} & \gamma_{3}^{2} & \gamma_{2}^{3} & \gamma_{3}^{3}
\end{array}\right\}^{T} \text { (64) }
$$

For the beam three-dimensional plane stress conditions are assumed by which $\varepsilon_{22}, \varepsilon_{33}$ are obtained from the condition $\sigma_{22}=\sigma_{33}=0$. The coarse-scale strain-displacement matrix $\mathbf{B}^{c}$ in equation (38) reduces to only four strain components defined as

$$
\mathbf{B}^{c}=\nabla \mathbf{N}^{c}=\left[\begin{array}{c}
\frac{\partial \mathbf{N}_{x}^{c}}{\partial x}  \tag{65}\\
\frac{\partial \mathbf{N}_{x}^{c}}{\partial y}+\frac{\partial \mathbf{N}_{y}^{c}}{\partial x} \\
\frac{\partial \mathbf{N}_{y}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial y} \\
\frac{\partial \mathbf{N}_{x}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial x}
\end{array}\right]
$$

The functions $\mathbf{g}(\boldsymbol{\chi})$ in equation (41) follow from substituting (65) into the left hand of (39) such that

$$
\left[\begin{array}{c}
\frac{\partial \mathbf{N}_{x}^{c}}{\partial x}  \tag{66}\\
\frac{\partial \mathbf{N}_{x}^{c}}{\partial y}+\frac{\partial \mathbf{N}_{y}^{c}}{\partial x} \\
\frac{\partial \mathbf{N}_{y}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial y} \\
\frac{\partial \mathbf{N}_{x}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial x}
\end{array}\right]=\begin{gathered}
\left\langle\mathbf{B}^{c}\right\rangle_{\Theta}+g_{\alpha}(\chi)\left\langle\partial_{\alpha} \mathbf{B}^{c}\right\rangle_{\Theta}+g_{\alpha \beta}(\chi)\left\langle\partial_{\alpha \beta} \mathbf{B}^{c}\right\rangle_{\Theta}+g_{\alpha \beta \gamma}(\chi)\left\langle\partial_{\alpha \beta \gamma} \mathbf{B}^{c}\right\rangle_{\Theta}+g_{\alpha \beta \gamma \gamma}(\chi)\left\langle\partial_{\alpha \beta \gamma \gamma} \mathbf{B}^{c}\right\rangle_{\Theta}+g_{\alpha \beta \beta \gamma \delta \varepsilon}(\chi)\left\langle\partial_{\alpha \beta \gamma \gamma \delta \delta} \mathbf{B}^{c}\right\rangle_{\Theta} \\
\\
\\
\hline
\end{gathered}
$$

The coarse scale shape functions $\mathbf{N}^{c}$ and the corresponding functions $\mathbf{g}(\boldsymbol{\chi})$ used for the Reddy beam [43] are presented in Section 3.7.

### 3.3 Representative Volume Element for Beams.

The RVE's for the multiscale beam must have transverse dimensions, equal to the cross section of the beam. For beams with no stirrups the RVE size in the axial direction can be infinitesimal. For three-dimensional RVE's with stirrups, the length in the axial direction must be equal to the stirrup spacing. A typical RVE for a beam with and without stirrups is shown in Figure 3.


Fig. 3. Two-dimensional (2D) and three-dimensional (3D) RVEs showing different microstructures.

For the RVE depicted in Figure 3b, higher computationally efficiency can be achieved by degenerating the three-dimensional RVE into two-dimensions, as shown in Figure 4. In order to account for the presence of stirrups in the two-dimensional RVE, the constitutive properties of stirrups are modified from an isotropic material in 3D to an orthotropic material in 2D with vanishing axial modulus, $E_{11}=0$, and the stirrups cross-sectional area in twodimensions matching the three-dimensional model. This reflects the fact that in the threedimensional model, stirrups are placed at discrete locations with prescribed spacing affecting only the shear behavior of the beam as opposed to continuous representation of stirrups in two-dimensional model.


Fig. 4. Degeneration of the three-dimensional RVE to two dimensions.

The width for the stirrup $b_{s t}$ in a 2D RVE is given by:

$$
\begin{equation*}
\frac{b_{s t}}{1}=\frac{A_{s t}}{s_{s t}^{2}} \tag{67}
\end{equation*}
$$

where $A_{s t}$ is the area of the stirrup and $s_{s t}$ is the stirrup spacing.

### 3.4 Prestressing Tendon Eccentricity.

In the prestressed concrete beam, the position of the prestressing steel is changing along the beam so that the action of the prestressing balances out the effect of external load acting on the beam [45]. Due to varying position of prestressing, RVEs vary along the beam length. Figure 5 depicts a simply supported beam with a parabolic tendon profile, showing the corresponding RVEs, in meshes consisting of 1, 2 and 4 coarse-scale (beam) elements.


Fig. 5 Position of prestressing steel shown in circles, for a parabolic tendon profile in finite element meshes having 1, 2 and 4 beam elements.

For comparison, the equivalent load for a simply supported beam with a parabolic tendon profile is given by [45]:

$$
\begin{equation*}
w_{e q}=\frac{8 P y}{S^{2}} \tag{68}
\end{equation*}
$$

where P is equal to prestress load, S is the beam span, and y is the cable sag at a midpoint measured from a line passing through the cable end points. For a 10 m span beam the theoretical deflection is 6.98 mm , while the results using two and four multiscale beam elements are 6.99 mm for an error of $0.13 \%$.

### 3.5 Initial Strain in the Prestressing Steel.

For the posttension beam, instantaneous losses in the prestressing force [46] due to frictional forces and anchorage slip, must be taken into account. As shown in Figure 5 positions of RVEs depends on the number of coarse-scale elements. The prestressing force less the losses due to friction and anchorage slip, is evaluated at the position of RVEs along the span and the initial strain in the prestressing steel. The initial strain over the steel partitions is used in equation (50) to calculate the equivalent loads for the element.

### 3.6 Consideration for Creep and Shrinkage.

Creep and shrinkage has been recognized $[47,48]$ as an intricate phenomenon in concrete structures. Modern design codes $[3,49]$ provide estimates of creep and shrinkage strains. To
account for creep and shrinkage effects in the multiscale beam context, requires consideration of the appropriate strains in various partitions throughout the time history. The eigenstrains induced due to creep and shrinkage are calculated for every concrete partition, at every construction stage, and subsequently, time-varying equivalent force vector is computed based on equation (50) throughout the coarse-scale analysis.

### 3.7 Regularization of the Multiscale Beam Formulation.

Strain softening resulting from damage is known to give rise to mesh size dependency. Among the well-known regularization techniques that circumvent (at least partially) solution dependence on the mesh size are nonlocal methods, rescaling or smeared crack methods and viscous regularization methods (see $[39,50]$ for details and additional references). From a practical point of view, smeared crack or rescaling approaches [39,40,41,51] are advantageous as they only require rescaling of constitutive equations based on the mesh size. The basic idea of these approaches is as follows. When the blunt crack is formed as a result of element removal (or reduction of stress to nearly zero value without element removal) the energy removed from the mesh divided by the new surface introduced should be invariant to the element size. It is a trivial exercise to show that for this to be true, the area under the effective stress-strain curve has to be rescaled by a factor inversely proportional to the characteristic size of the element. The rescaling by a factor proportional to characteristic
element size is a consequence of the fact that the volume of a continuum element removed divided by a new surface introduced is of the order of characteristic element size.

In this section we show that for concrete beams, the rescaling factor should be different than for continuum elements. Consider a beam of span $S$ (Figure 6) subjected to a constant moment that is sufficiently large to induce cracking. In the computational beam model, cracks are formed at the beam element quadrature points. Thus, a new surface area introduced by cracking is equal to the product of the total number of quadrature points, the crack height and the beam width. The volume of the concrete (depicted by a shaded are in Figure 6) where the stress drops to zero (or nearly zero) can be approximated by a product of the distance between the two cracks oriented on the opposite side of the beam, the crack height and the beam width. Figure 6 depicts the ratio of volume and surface area for the beam discretized into 1, 2, 3, 4 and 5 elements of length $L_{e}$.


Fig. 6 Ratio of cracked volume to crack surface area for different element lengths

The beam of two elements is considered, $S=2 L_{e}$, as the baseline for which the constitutive equations were calibrated to fit experimental data. In case of span to element size ratio different than 2 , the material model needs to be rescaled as follows

$$
\begin{equation*}
R=\left(\frac{2 L_{e}}{S}\right)^{-0.64} \tag{69}
\end{equation*}
$$

to provide the best fit of the volume to surface area ratios depicted in Figure 3. In the next section we show that with the rescaling introduced in (69) the results are nearly independent of the beam element length.

### 3.8 Shape Functions for Multiscale Reddy Beam.

The shape functions that satisfy the displacement field described by equations (61) through (63) are defined as

$$
\begin{align*}
& 1-x_{1} / L \quad 0 \\
& \frac{6\left(L-x_{1}\right) x_{1} x_{2}}{L^{3}} \\
& \frac{\left(L-x_{1}\right)^{2}\left(L+2 x_{1}\right)}{L^{3}} \\
& \frac{6\left(L-x_{1}\right) x_{1} x_{3}}{L^{3}} \\
& 0 \\
& \left(-1+\frac{x_{1}}{L}\right) x_{3} \\
& \frac{\left(L-x_{1}\right) x_{2}}{L} \\
& \frac{\left(L-3 x_{1}\right)\left(L-x_{1}\right) x_{3}}{L^{2}} \\
& 0 \\
& -\frac{\left(L-x_{1}\right)^{2} x_{1}}{L^{2}} \\
& -\frac{\left(L-3 x_{1}\right)\left(L-x_{1}\right) x_{2}}{L^{2}} \\
& \frac{\left(L-x_{1}\right)^{2} x_{1}}{L^{2}} \\
& 0 \\
& \frac{\left(L-2 x_{1}\right)\left(L-x_{1}\right) x_{3}\left(1-\frac{4 x_{3}^{2}}{3 h^{2}}\right)}{L^{2}} \\
& 0 \\
& \frac{\left(L-2 x_{1}\right)\left(L-x_{1}\right) x_{2}\left(1-\frac{4 x_{2}^{2}}{3 b^{2}}\right)}{L^{2}} \\
& x_{1} / L \\
& 0 \\
& \frac{6 x_{1}\left(-L+x_{1}\right) x_{2}}{L^{3}} \\
& \frac{6 x_{1}\left(-L+x_{1}\right) x_{3}}{L^{3}} \\
& \frac{\left.3 L-2 x_{1}\right) x_{1}^{2}}{L^{3}} \\
& \begin{array}{c}
0 \\
0 \\
0 \\
\frac{\left(3 L-2 x_{1}\right) x_{1}^{2}}{L^{3}}
\end{array} \\
& N^{c}= \\
& 0 \\
& \frac{x_{1}\left(-2 L+3 x_{1}\right) x_{3}}{L^{2}} \\
& -\frac{x_{1} x_{3}}{L} \\
& \frac{x_{1} x_{2}}{L} \\
& 0 \\
& \frac{\left(L-x_{1}\right) x_{1}^{2}}{L^{2}} \\
& \frac{\left(2 L-3 x_{1}\right) x_{1} x_{2}}{L^{2}} \\
& \frac{x_{1}^{2}\left(-L+x_{1}\right)}{L^{2}} \\
& 0 \\
& -\frac{\left(L-2 x_{1}\right) x_{1} x_{3}\left(1-\frac{4 x_{3}^{2}}{3 h^{2}}\right)}{L^{2}} \\
& -\frac{\left(L-2 x_{1}\right) x_{1} x_{2}\left(1-\frac{4 x_{2}^{2}}{3 b^{2}}\right)}{L^{2}}  \tag{70}\\
& 0 \\
& 0 \\
& 0 \\
& 0 \\
& 0 \\
& \frac{4\left(L-x_{1}\right) x_{1} x_{2}\left(1-\frac{4 x_{2}^{2}}{3 b^{2}}\right)}{L^{2}} \\
& 0
\end{align*}
$$

### 3.9 Determination of $\mathbf{g}(\chi)$ Functions for Multiscale Reddy

## Beam.

The family of $\mathbf{g}(\boldsymbol{\chi})$ functions corresponding to the Reddy [43] beam formulation are obtained by substituting the known values of $\frac{\partial \mathbf{N}_{x}^{c}}{\partial x}, \frac{\partial \mathbf{N}_{x}^{c}}{\partial y}+\frac{\partial \mathbf{N}_{y}^{c}}{\partial x}, \frac{\partial \mathbf{N}_{y}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial y}, \frac{\partial \mathbf{N}_{x}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial x},\left\langle\mathbf{B}^{c}\right\rangle_{\Theta},\left\langle\partial_{\alpha} \mathbf{B}^{c}\right\rangle_{\Theta},\left\langle\partial_{\alpha \beta} \mathbf{B}^{c}\right\rangle_{\Theta},\left\langle\partial_{\alpha \beta \gamma} \mathbf{B}^{c}\right\rangle_{\Theta},\left\langle\partial_{\alpha \beta \gamma \delta} \mathbf{B}^{c}\right\rangle_{\Theta}$ and $\left\langle\partial_{\alpha \beta \gamma \delta \delta} \mathbf{B}^{c}\right\rangle_{\Theta}$ in (66), and solving for the different values of $\mathbf{g}(\boldsymbol{\chi})$.

The corresponding nonzero $\mathbf{g}(\boldsymbol{\chi})$ functions in (39) expressed in RVE coordinates ( $\chi_{1}=x_{1}$, $\chi_{2}=x_{2}, \chi_{3}=x_{3}$ ) are given by:

$$
\begin{gather*}
g_{2}=x_{2}  \tag{71}\\
g_{3}=x_{3}  \tag{72}\\
g_{12}=x_{1} x_{2} \tag{73}
\end{gather*}
$$

$$
\begin{gather*}
g_{13}=x_{1} x_{3}  \tag{74}\\
g_{22}=-\frac{h_{2}^{2}}{24}+\frac{x_{2}^{2}}{2}  \tag{75}\\
g_{33}=-\frac{h_{3}^{2}}{24}+\frac{x_{3}^{2}}{2}  \tag{76}\\
g_{122}=-\frac{1}{8} x_{1}\left(h_{2}^{2}-4 x_{2}^{2}\right)  \tag{77}\\
g_{133}=-\frac{1}{8} x_{1}\left(h_{3}^{2}-4 x_{3}^{2}\right)  \tag{78}\\
g_{222}=-\frac{1}{24} x_{2}\left(h_{2}^{2}-4 x_{2}^{2}\right)  \tag{79}\\
g_{333}=-\frac{1}{24} x_{3}\left(h_{3}^{2}-4 x_{3}^{2}\right)  \tag{80}\\
g_{1122}=\frac{1}{192}\left(h_{2}^{2}-4 x_{2}^{2}\right)\left(|\Theta|_{1}^{2}-12 x_{1}^{2}\right)  \tag{81}\\
g_{1333}=\frac{1}{24} x_{3}\left(-h_{3}^{2}+4 x_{3}^{2}\right) x_{1}  \tag{82}\\
g_{1133}=\frac{1}{192}\left(h_{3}^{2}-4 x_{3}^{2}\right)\left(|\Theta|_{1}^{2}-12 x_{1}^{2}\right)  \tag{83}\\
24  \tag{84}\\
x_{2}\left(-h_{2}^{2}+4 x_{2}^{2}\right) x_{1} \\
g_{1} \\
g_{1}
\end{gather*}
$$

where $|\theta|_{1}$ is equal to length of the RVE in the x direction.

For a three-dimensional RVE there are 14 non zero $\mathbf{g}(\chi)$ functions whereas, for a twodimensional RVE only $6 \mathbf{g}(\boldsymbol{\chi})$ functions are needed, to exactly reproduce the variation of coarse scale strain in the RVE.

## Chapter 4

## The Multiscale Plate Element

### 4.1 Review of Plate and Shell Elements for Concrete.

The present work builds on an impressive body of literature on plate and shell finite elements for concrete. A glimpse to some of the pertinent research works is given below. Hand et al [52] developed so-called layered approach for a solid concrete slab. Lackner and Mang studied various reinforced concrete models that account for the interaction between concrete and reinforcement [53]. Concrete solid and shell elements were developed in [54] and [55,56], respectively. Mesh-free approaches based on the reproducing kernel particle method have been shown to be very attractive for concrete slab perforation problems [57,58].

Multiscale approaches for shells and plates have recently attracted significant attention. Williams [59] developed a multiscale approach based on the superposition of global and local effects including delamination. Effective utilization of computational homogenization for
reinforced concrete, fiber-reinforced concrete and asphalt concrete can be found in [19,60,61,62,63,64]. Oskay and Pal [65] developed a reduced order homogenization approach for thin plates. A two-level multiscale enrichment approach for analysis of heterogeneous plates was developed in [66] using so-called multiscale enrichment based on the partition of unity (MEPU) approach [67]. A multiscale approach for laminate microstructures accounting for large strains were developed in [68] and later extended to consider dissolution precipitation creep [69]. Multiscale modeling of fiber-reinforced composites by means of proper orthogonal decomposition was studied in [70]; an extension incorporating delamination was given in [71]. Filonova and Fish [42] developed a computational continua formulation for linear elastic plates based on the Reissner-Mindlin kinematical assumption. Due to lack of higher order terms, the shear stresses were not resolved directly, but rather computed by post-processing. Moyeda and Fish [72,73] developed a multiscale beam element for the analysis of reinforced concrete beams with sizeable microstructure and with consideration of initial eigenstrains. For additional noteworthy contributions on the constitutive and multiscale modelling of concrete we refer to a recent book compilation [74].

The existing reinforced concrete plate and shell elements, however, have been mostly limited to analysis of solid reinforced concrete slabs. They are not well-suited to model large representative volume elements (RVEs) characteristic to hollow, ribbed or waffle slabs, commonly used in construction, due to lack of scale separation typical to non-solid slabs. The proposed multiscale approach for reinforced concrete plates is intended to accommodate
for non-solid slabs with sizeable periodic structure as well as for the effects of prestressing, creep, shrinkage and temperature. The manuscript presents a multiscale plate element based on the higher order computational continua $\left(\mathrm{HC}^{2}\right)$ formulation. The salient features of the proposed formulation are: (i) the ability to consider large representative volume elements (RVE) characteristic to waffle and hollowcore slabs, (ii) versatility stemming from the ease of handling damage, prestressing, creep and shrinkage, and (iii) computational efficiency resulting from model reduction, combined with the classical damage law rescaling method that yields simulation results nearly mesh-size independent.

### 4.2 Selection of the Discrete Coarse Scale Space Based on Third Order Shear Deformation Plate Element.

Kirchhoff plate elements, which neglect shear deformations, as well as the first order shear deformation elements like the Mindlin-type plate elements, are available in most commercial finite element codes. These types of elements are attractive due to their accuracy and ease of implementation. However, Kirchhoff or Mindlin type plate elements do not accurately capture shear dominated failures typical to concrete slabs. The generalized third-order shear deformation theory developed by Reddy [43] that accounts for a realistic shear distribution throughout the plate thickness is adopted herein for the coarse-scale space. There are several
variants of the third-order plate elements [75], of them conforming four-node plate element plate element is considered herein.

To define the spaces in (45), consider a four-node plate element with eight degrees-offreedom per node, consisting of three displacements $u, v, w$, the derivatives of the transverse displacement $\frac{\partial w}{\partial x}, \frac{\partial w}{\partial y}, \frac{\partial^{2} w}{\partial x \partial y}$ and the generalized rotations $\phi_{x}$ and $\phi_{y}$ [43] that account for the shear deformation.

The displacement field is expressed as

$$
\begin{align*}
& u=u^{0}-z \frac{\partial w}{\partial x}+z\left[1-\frac{4}{3}\left(\frac{z}{h}\right)^{2}\right] \phi_{x} \\
& v=v^{0}-z \frac{\partial w}{\partial y}+z\left[1-\frac{4}{3}\left(\frac{z}{h}\right)^{2}\right] \phi_{y}  \tag{85}\\
& w=w^{0}
\end{align*}
$$

where $u^{0}, v^{0}$ and $w^{0}$ are the mid-surface displacements of the plate in the $\mathrm{x}, \mathrm{y}$ and z directions, respectively. The shape functions for $u, v, \phi_{x}$ and $\phi_{y}$ are the Lagrangian bilinear
interpolation functions, while for $w, \frac{\partial w}{\partial x}, \frac{\partial w}{\partial y}$ and $\frac{\partial^{2} w}{\partial x \partial y}$ are the conforming cubic shape functions [76].

The matrix form of the finite element discretization of coarse-scale displacements is given by

$$
\left\{\begin{array}{l}
c_{u} u \\
c \\
c_{w} \\
{ }_{w}
\end{array}\right\}=\mathbf{N}^{c}\left\{\begin{array}{cccccccc}
c^{i} u^{i} & { }^{c} v^{i} & { }^{c} w^{i} & \frac{\partial}{} \frac{\partial w^{i}}{\partial x} & \frac{\partial}{}{ }^{c} w^{i} & { }^{c} & \frac{\partial^{2} w^{i}}{\partial y} & \\
& & & & \phi_{x}^{i} & { }^{c} \phi_{y}^{i}
\end{array}\right\}^{T} \text { for } \quad i=1,2,3,4 \text { (86) }
$$

For the plate a three-dimensional stress state with vanishing normal stress $\sigma_{z}$ is assumed, therefore the coarse-scale strain-displacement matrix $\mathbf{B}^{c}$ in equation (38) reduces to only five strain components defined as:

$$
\mathbf{B}^{c}=\nabla_{s} \mathbf{N}^{c}=\left[\begin{array}{c}
\frac{\partial \mathbf{N}_{x}^{c}}{\partial x}  \tag{87}\\
\frac{\partial \mathbf{N}_{y}^{c}}{\partial y} \\
\frac{\partial \mathbf{N}_{x}^{c}}{\partial y}+\frac{\partial \mathbf{N}_{y}^{c}}{\partial x} \\
\frac{\partial \mathbf{N}_{y}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial y} \\
\frac{\partial \mathbf{N}_{x}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial x}
\end{array}\right]
$$

The functions $\mathbf{g}(\boldsymbol{\chi})$ in equation (41) follow from substituting (87) into the left hand of (39) such that

$$
\left.\left[\begin{array}{c}
\frac{\partial \mathbf{N}_{x}^{c}}{\partial x}  \tag{88}\\
\frac{\partial \mathbf{N}_{y}^{c}}{\partial y} \\
\frac{\partial \mathbf{N}_{x}^{c}}{\partial y}+\frac{\partial \mathbf{N}_{y}^{c}}{\partial x} \\
\frac{\partial \mathbf{N}_{y}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial y} \\
\frac{\partial \mathbf{N}_{x}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial x}
\end{array}\right]=\begin{array}{r}
\left\langle\mathbf{B}^{c}\right\rangle_{\Theta}+g_{\alpha}(\chi)\left\langle\partial_{\alpha} \mathbf{B}^{c}\right\rangle_{\Theta}+g_{\alpha \beta}(\chi)\left\langle\partial_{\alpha \beta} \mathbf{B}^{c}\right\rangle_{\Theta}+g_{\alpha \beta \gamma}(\chi)\left\langle\partial_{\alpha \beta \gamma} \mathbf{B}^{c}\right\rangle_{\Theta}+g_{\alpha \beta \gamma \delta}(\chi)\left\langle\partial_{\alpha \beta \gamma \delta} \mathbf{B}^{c}\right\rangle_{\Theta}+g_{\alpha \beta \gamma \delta \delta}(\chi)\left\langle\partial_{\alpha \beta \gamma \delta \varepsilon} \mathbf{B}^{c}\right\rangle_{\Theta} \\
\\
\hline
\end{array}\right]
$$

The coarse scale shape functions $\mathbf{N}^{c}$ and its corresponding functions $\mathbf{g}(\boldsymbol{\chi})$ used for the Reddy plate [43] are presented in Sections 4.5 and 4.6 respectively.

### 4.3 Representative Volume Element for Plates.

In a similar manner to the beam, the RVE's for the multiscale plate must have depth equal to the slab depth, and its dimensions in x and y must be able to represent the periodicity in the geometry, the reinforcement or both. A typical RVE for a solid and waffle slabs with
reinforcement is shown in Figure 3. Note that for the plate all RVE's must be threedimensional, even for the solid slab, due to the fact that the dimension of the RVE in $x$ and $y$ also represent the rebar spacing.


Fig. 7 The RVE of a solid reinforced concrete slab (top) and a waffle reinforced concrete slab (bottom). Each of the two RVEs consist of five concrete partitions and two steel partitions

### 4.4 Regularization of Plate Elements.

In order to address the mesh size dependency typical to damage models [39,40], a simple regularization approach proposed by Bazant and Oh [77] and Liu et. al. [41] is adopted by which the stress-strain curve is rescaled in proportion to the ratio of the characteristic size of the material $h_{c}$ and the element size [41,77]. The characteristic size depends on the fracture energy of concrete $G_{f}$ and the specific energy $g_{f}$ as follows:

$$
\begin{equation*}
h_{c}=G_{f} / g_{f} \tag{89}
\end{equation*}
$$

where

$$
\begin{equation*}
g_{f}=\int_{0}^{\infty} \sigma_{i j} d \varepsilon_{i j} \tag{90}
\end{equation*}
$$

In (89), the fracture energy is a given material parameter that can be found in the fib CEBFIP model code [49] among other places. For all numerical examples involving steel
reinforcement, the strain in the steel reinforcement is assumed to be identical to the strain in the concrete partition where steel is positioned.

### 4.5 Shape Function for Plate Elements

The shape functions used for the Reddy plate [43], consistent with displacement field defined in (85) are given by:

$$
\mathbf{N}^{c}[1: 8]=\left\{\begin{array}{ccc}
\frac{\left(a-x_{1}\right)\left(b-x_{2}\right)}{4 a b} & 0 & 0  \tag{91}\\
0 & \frac{\left(a-x_{1}\right)\left(b-x_{2}\right)}{4 a b} & 0 \\
\frac{3\left(a-x_{1}\right)\left(a+x_{1}\right)\left(b-x_{2}\right)^{2}\left(2 b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{3\left(a-x_{1}\right)^{2}\left(2 a+x_{1}\right)\left(b-x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(a-x_{1}\right)^{2}\left(2 a+x_{1}\right)\left(b-x_{2}\right)^{2}\left(2 b+x_{2}\right)}{16 a^{3} b^{3}} \\
\frac{\left(a-x_{1}\right)\left(a+3 x_{1}\right)\left(b-x_{2}\right)^{2}\left(2 b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{3\left(a-x_{1}\right)^{2}\left(a+x_{1}\right)\left(b-x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(a-x_{1}\right)^{2}\left(a+x_{1}\right)\left(b-x_{2}\right)^{2}\left(2 b+x_{2}\right)}{16 a^{3} b^{3}} \\
\frac{3\left(a-x_{1}\right)\left(a+x_{1}\right)\left(b-x_{2}\right)^{2}\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(a-x_{1}\right)^{2}\left(2 a+x_{1}\right)\left(b-x_{2}\right)\left(b+3 x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(a-x_{1}\right)^{2}\left(2 a+x_{1}\right)\left(b-x_{2}\right)^{2}\left(b+x_{2}\right)}{16 a^{3} b^{3}} \\
\frac{\left(a-x_{1}\right)\left(a+3 x_{1}\right)\left(b-x_{2}\right)^{2}\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(a-x_{1}\right)^{2}\left(a+x_{1}\right)\left(b-x_{2}\right)\left(b+3 x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(a-x_{1}\right)^{2}\left(a+x_{1}\right)\left(b-x_{2}\right)^{2}\left(b+x_{2}\right)}{16 a^{3} b^{3}} \\
0 & \frac{1}{4}\left(1-\frac{x_{1}}{a}\right)\left(1-\frac{x_{2}}{b}\right) x_{3}\left(1-\frac{4 x_{3}^{2}}{3 h^{2}}\right) & 0 \\
\frac{1}{4}\left(1-\frac{x_{1}}{a}\right)\left(1-\frac{x_{2}}{b}\right) x_{3}\left(1-\frac{4 x_{3}^{2}}{3 h^{2}}\right) & 0 & 0
\end{array}\right\}
$$

$$
\mathbf{N}^{c}[9: 16]=\left\{\begin{array}{ccc}
\frac{\left(a+x_{1}\right)\left(b-x_{2}\right)}{4 a b} & 0 & 0 \\
0 & \frac{\left(a+x_{1}\right)\left(b-x_{2}\right)}{4 a b} & 0 \\
-\frac{3\left(a-x_{1}\right)\left(a+x_{1}\right)\left(b-x_{2}\right)^{2}\left(2 b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{3\left(2 a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(2 a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)^{2}\left(2 b+x_{2}\right)}{16 a^{3} b^{3}} \\
\frac{\left(a-3 x_{1}\right)\left(a+x_{1}\right)\left(b-x_{2}\right)^{2}\left(2 b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & -\frac{3\left(a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & -\frac{\left(a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)^{2}\left(2 b+x_{2}\right)}{16 a^{3} b^{3}} \\
-\frac{3\left(a-x_{1}\right)\left(a+x_{1}\right)\left(b-x_{2}\right)^{2}\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(2 a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)\left(b+3 x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(2 a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)^{2}\left(b+x_{2}\right)}{16 a^{3} b^{3}} \\
\frac{\left(a-3 x_{1}\right)\left(a+x_{1}\right)\left(b-x_{2}\right)^{2}\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & -\frac{\left(a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)\left(b+3 x_{2}\right) x_{3}}{16 a^{3} b^{3}} & -\frac{\left(a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)^{2}\left(b+x_{2}\right)}{16 a^{3} b^{3}} \\
0 & \frac{x_{2}}{\left(a+x_{1}\right)\left(1-\frac{x_{2}}{b}\right) x_{3}\left(1-\frac{4 x_{3}^{2}}{3 h^{2}}\right)} \\
\frac{\left(a+x_{1}\right)\left(1-\frac{x_{2}}{b}\right) x_{3}\left(1-\frac{4 x_{3}^{2}}{3 h^{2}}\right)}{4 a} & 0
\end{array}\right\}
$$

(92)

$$
\mathbf{N}^{c}[17: 24]=\left\{\begin{array}{ccc}
\frac{\left(a+x_{1}\right)\left(b+x_{2}\right)}{4 a b} & 0 & 0  \tag{93}\\
0 & \frac{\left(a+x_{1}\right)\left(b+x_{2}\right)}{4 a b} & 0 \\
-\frac{3\left(a-x_{1}\right)\left(a+x_{1}\right)\left(2 b-x_{2}\right)\left(b+x_{2}\right)^{2} x_{3}}{16 a^{3} b^{3}} & -\frac{3\left(2 a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(2 a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(2 b-x_{2}\right)\left(b+x_{2}\right)^{2}}{16 a^{3} b^{3}} \\
\frac{\left(a-3 x_{1}\right)\left(a+x_{1}\right)\left(2 b-x_{2}\right)\left(b+x_{2}\right)^{2} x_{3}}{16 a^{3} b^{3}} & \frac{3\left(a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} \\
\frac{3\left(a-x_{1}\right)\left(a+x_{1}\right)\left(b-x_{2}\right)\left(b+x_{2}\right)^{2} x_{3}}{16 a^{3} b^{3}} & \frac{\left(2 a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-3 x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & -\frac{\left(a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(2 b-x_{2}\right)\left(b+x_{2}\right)^{2}}{16 a^{3} b^{3}} \\
-\frac{\left(a-3 x_{1}\right)\left(a+x_{1}\right)\left(b-x_{2}\right)\left(b+x_{2}\right)^{2} x_{3}}{16 a^{3} b^{3}} & -\frac{\left(a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-3 x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} \\
0 & \frac{\left(a-x_{1}\right)\left(a+x_{1}\right)^{2}\left(b-x_{2}\right)\left(b+x_{2}\right)^{2}}{16 a^{3} b^{3}} \\
\frac{\left(a+x_{1}\right)\left(b+x_{2}\right) x_{3}\left(1-\frac{4 x_{3}^{2}}{3 h^{2}}\right)}{4 a b} & 0 \\
\frac{\left(a+x_{1}\right)\left(b+x_{2}\right) x_{3}\left(1-\frac{4 x_{3}^{2}}{3 h^{2}}\right)}{4 a b} & 0 & 0
\end{array}\right\}
$$

$$
\mathbf{N}^{c}[25: 32]=\left\{\begin{array}{ccc}
\frac{\left(a-x_{1}\right)\left(b+x_{2}\right)}{4 a b} & 0 & 0  \tag{94}\\
0 & \frac{\left(a-x_{1}\right)\left(b+x_{2}\right)}{4 a b} & 0 \\
\frac{3\left(a-x_{1}\right)\left(a+x_{1}\right)\left(2 b-x_{2}\right)\left(b+x_{2}\right)^{2} x_{3}}{16 a^{3} b^{3}} & -\frac{3\left(a-x_{1}\right)^{2}\left(2 a+x_{1}\right)\left(b-x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(a-x_{1}\right)^{2}\left(2 a+x_{1}\right)\left(2 b-x_{2}\right)\left(b+x_{2}\right)^{2}}{16 a^{3} b^{3}} \\
\frac{\left(a-x_{1}\right)\left(a+3 x_{1}\right)\left(2 b-x_{2}\right)\left(b+x_{2}\right)^{2} x_{3}}{16 a^{3} b^{3}} & -\frac{3\left(a-x_{1}\right)^{2}\left(a+x_{1}\right)\left(b-x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & \frac{\left(a-x_{1}\right)^{2}\left(a+x_{1}\right)\left(2 b-x_{2}\right)\left(b+x_{2}\right)^{2}}{16 a^{3} b^{3}} \\
-\frac{3\left(a-x_{1}\right)\left(a+x_{1}\right)\left(b-x_{2}\right)\left(b+x_{2}\right)^{2} x_{3}}{16 a^{3} b^{3}} & \frac{\left(a-x_{1}\right)^{2}\left(2 a+x_{1}\right)\left(b-3 x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} & -\frac{\left(a-x_{1}\right)^{2}\left(2 a+x_{1}\right)\left(b-x_{2}\right)\left(b+x_{2}\right)^{2}}{16 a^{3} b^{3}} \\
-\frac{\left(a-x_{1}\right)\left(a+3 x_{1}\right)\left(b-x_{2}\right)\left(b+x_{2}\right)^{2} x_{3}}{16 a^{3} b^{3}} & \frac{\left(a-x_{1}\right)^{2}\left(a+x_{1}\right)\left(b-3 x_{2}\right)\left(b+x_{2}\right) x_{3}}{16 a^{3} b^{3}} \\
0 & -\frac{\left(a-x_{1}\right)^{2}\left(a+x_{1}\right)\left(b-x_{2}\right)\left(b+x_{2}\right)^{2}}{16 a^{3} b^{3}} \\
\frac{\left(1-\frac{x_{1}}{a}\right)\left(b+x_{2}\right) x_{3}\left(1-\frac{4 x_{3}^{2}}{3 h^{2}}\right)}{4 b} & 0 \\
\frac{\left(1-\frac{x_{1}}{a}\right)\left(b+x_{2}\right) x_{3}\left(1-\frac{4 x_{3}^{2}}{3 h^{2}}\right)}{4 b} & 0 & 0
\end{array}\right\}
$$

where $a$ and $b$ are equal to half the length of the plate in the $x$ and $y$ directions respectively and $h$ is equal to the thickness of the plate.

### 4.6 Derivation of $g(\chi)$ Function for the Multiscale Reddy Plate.

The $\mathbf{g}(\boldsymbol{\chi})$ functions for the Reddy Plate [XX] formulation are obtained by substituting the known $\quad$ values $\quad$ of $\frac{\partial \mathbf{N}_{x}^{c}}{\partial x}, \frac{\partial \mathbf{N}_{y}^{c}}{\partial y}, \frac{\partial \mathbf{N}_{x}^{c}}{\partial y}+\frac{\partial \mathbf{N}_{y}^{c}}{\partial x}, \frac{\partial \mathbf{N}_{y}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial y}, \frac{\partial \mathbf{N}_{x}^{c}}{\partial z}+\frac{\partial \mathbf{N}_{z}^{c}}{\partial x}$,
$\left\langle\mathbf{B}^{c}\right\rangle_{\Theta},\left\langle\partial_{\alpha} \mathbf{B}^{c}\right\rangle_{\Theta},\left\langle\partial_{\alpha \beta} \mathbf{B}^{c}\right\rangle_{\Theta},\left\langle\partial_{\alpha \beta \gamma} \mathbf{B}^{c}\right\rangle_{\Theta},\left\langle\partial_{\alpha \beta \gamma \delta} \mathbf{B}^{c}\right\rangle_{\Theta}$ and $\left\langle\partial_{\alpha \beta \gamma \delta \boldsymbol{E}} \mathbf{B}^{c}\right\rangle_{\Theta} \quad$ in (88), and solving for the different values of $\mathbf{g}(\chi)$.

The corresponding nonzero $\mathbf{g}(\boldsymbol{\chi})$ functions in (39) expressed in RVE coordinates ( $\chi_{1}=x$, $\chi_{2}=y, \chi_{3}=z$ ) are given by:

$$
\begin{gather*}
g_{1}=x  \tag{95}\\
g_{2}=y  \tag{96}\\
g_{3}=z  \tag{97}\\
g_{13}=x z  \tag{98}\\
g_{23}=y z  \tag{99}\\
g_{33}=\frac{z^{2}}{2}-\frac{h^{2}}{24}  \tag{100}\\
g_{113}=\frac{1}{24} z\left(12 x^{2}-|\theta|_{x}^{2}\right)  \tag{101}\\
g_{123}=x y z  \tag{102}\\
g_{133}=-\frac{1}{24} x\left(h^{2}-12 z^{2}\right)  \tag{103}\\
g_{223}=\frac{1}{24} z\left(12 y^{2}-|\theta|_{y}^{2}\right)  \tag{104}\\
g_{233}=-\frac{1}{24} y\left(h^{2}-12 z^{2}\right) \tag{105}
\end{gather*}
$$

$$
\begin{align*}
& g_{333}=-\frac{1}{24} z\left(h^{2}-4 z^{2}\right)  \tag{106}\\
& g_{1113}=\frac{1}{24} x z\left(4 x^{2}-|\theta|_{x}^{2}\right)  \tag{107}\\
& g_{1123}=\frac{1}{24} y z\left(12 x^{2}-|\theta|_{x}^{2}\right)  \tag{108}\\
& g_{1223}=\frac{1}{24} x z\left(12 y^{2}-|\theta|_{y}^{2}\right)  \tag{109}\\
& g_{1233}=-\frac{1}{8} x y\left(h^{2}-4 z^{2}\right)  \tag{110}\\
& g_{1333}=\frac{1}{24} x z\left(-h^{2}+4 z^{2}\right)  \tag{111}\\
& g_{2223}=\frac{1}{24} y z\left(4 y^{2}-|\theta|_{y}^{2}\right)  \tag{112}\\
& g_{2333}=\frac{1}{24} y z\left(-h^{2}+4 z^{2}\right)  \tag{113}\\
& g_{11123}=\frac{1}{24} x y z\left(4 x^{2}-|\theta|_{x}^{2}\right)  \tag{114}\\
& g_{11223}=\frac{1}{576} z\left(12 x^{2}-|\theta|_{x}^{2}\right)\left(12 y^{2}-|\theta|_{y}^{2}\right)  \tag{115}\\
& g_{12223}=\frac{1}{24} x y z\left(4 y^{2}-|\theta|_{y}^{2}\right) \tag{116}
\end{align*}
$$

where $|\theta|_{x}$ and $|\theta|_{x}$ are the dimensions of the RVE in the $x$ and $y$ directions respectively.

For a plate RVE there are 22 non zero $\mathbf{g}(\boldsymbol{\chi})$ functions to exactly reproduce the variation of coarse scale strain in the RVE.

## Chapter 5

## Numerical Examples

### 5.1 Verification for the Elastic Layered Beam

A layered cantilever beam with a rectangular cross-section is considered herein. All dimensions are normalized. The beam depth is 2.0 units; its width is 1.0 ; the top and bottom layers are 0.5 in depth with a Young's Modulus $\mathrm{E}_{1}=100$ and Poisson's ratio of 0.0 ; the middle layer is softer with thickness of 1.0 and Young's Modulus $\mathrm{E}_{2}=10$ and Poisson's ratio of 0.0 . The length of the beam is equal to 16.0 ; a load of 0.04 units is applied at the tip of the cantilever as shown in Figure 8. The RVE is shown in Figure 9. Note that the RVE size in the axial direction can be arbitrary. One may consider it to be very small in comparison to the beam length, in which case the RVEs are positioned in the Gauss quadrature points. Otherwise, RVE centroids have to be positioned based on their relative size to the beam span as described by the nonlocal quadrature points. One of the salient features of the $\mathrm{HC}^{2}$ formulation is that the final results are invariant to the RVE size in the axial direction.


Fig. 8. Beam Elevation


Fig. 9. RVE mesh

The $\mathrm{HC}^{2}$ simulation results of the cantilever bending are compared to the direct numerical simulation (DNS) where the finite element mesh consists of 6,144 eight-node hexahedral elements. The results as obtained by the $\mathrm{HC}^{2}$ and DNS simulations are summarized in Table 1.


Fig. 10. Normal and shear stresses computed in a cross-section at $x=2$.

Table 1. Comparison of the $\mathrm{HC}^{2}$ and DNS simulations for the elastic layered beam.

| Analysis <br> Type | Number of <br> Coarse- <br> Scale | Tip <br> Deflection |  | Error | Maximum <br> Normal <br> Elements |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Stress @ |  | Error <br> $\mathbf{x = 2 . 0}$ |  | Shear |  |  |  |
| DNS | 6144 | -0.9731 | $0.0 \%$ | 0.933 | $0.0 \%$ | N.A. | N.A. |
| $\mathrm{HC}^{2}$ | 1 | -0.9647 | $-0.9 \%$ | 0.927 | $-0.6 \%$ | 0.0291 |  |
| $\mathrm{HC}^{2}$ | 2 | -0.9647 | $-0.9 \%$ | 0.931 | $-0.2 \%$ | 0.0282 |  |
| $\mathrm{HC}^{2}$ | 4 | -0.9646 | $-0.9 \%$ | 0.937 | $0.4 \%$ | 0.0270 |  |
| $\mathrm{HC}^{2}$ | 8 | -0.9646 | $-0.9 \%$ | 0.919 | $-1.6 \%$ | 0.0268 |  |

The accuracy of the layered beam problem was compared for different RVE's sizes, using conventional Gauss integration and nonlocal quadrature points used in $\mathrm{HC}^{2}$ formulation. The error in the tip displacement is plotted in Figure 11. It can be seen that the use of the nonlocal quadrature scheme is necessary to maintain accuracy as the size of the RVE increases.
$\rightarrow \mathrm{HC}^{2}$ Homogenization $-\mathrm{O}(1)$


Fig. 11. Comparison of error in the tip displacement for the layered beam versus RVE size using $\mathrm{HC}^{2}$ and $\mathrm{O}(1)$ homogenization approaches

### 5.2 In Plane Bending of a Plate with Soft Filling.

A cantilever plate with soft filling is studied using $\mathrm{HC}^{2}$ and compared to the DNS. The geometry of the plate is shown in Figure 12. Various RVEs used in the analysis are depicted in Figure 13. Since the plate properties vary in two directions $x_{1}$ and $x_{3}$, it is necessary to consider three-dimensional unit cell.

The plate has a depth of 2.0 units and a width of 0.2 ; the soft filling inclusions have a depth of 1.6 units and axial length of 1.8 . The cantilever length is 8.0 and the load at the tip is 0.001 units. The plate has a modulus of elasticity $E_{1}=100$ and a Poisson's ratio $v_{1}=0$; the soft inclusion filling has a modulus of elasticity $E_{2}=10$ and a Poisson's ratio $v_{2}=0$.


Fig. 12. Bending of a cantilever plate with a soft filling.


Fig. 13. Comparison of error in tip displacement of the cantilever plate versus RVE size using $\mathrm{HC}^{2}$ and the $\mathrm{O}(1)$ homogenization.

Table 2. Comparison of the $\mathrm{HC}^{2}$ and $\mathrm{O}(1)$ approaches for the cantilever plate.

| Analysis | Number of | Size | $\Theta^{\prime}$ | Tip | Error | Tip | Error |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Type | Coarse-Scale | of |  | Deflection |  | Deflection |  |
|  | Elements | RVE |  | $\mathbf{H C}^{2}$ |  | Order 1 |  |
| DNS | 3200 | N.A. | N.A. | 0.0270 | $0.0 \%$ | 0.0270 | $0.0 \%$ |
| $\mathrm{HC}^{2}$ | 1 | 2 | 0.5 | 0.0265 | $-1.9 \%$ | 0.0262 | $-3.0 \%$ |
| $\mathrm{HC}^{2}$ | 1 | 4 | 1 | 0.0263 | $-2.6 \%$ | 0.0254 | $-5.9 \%$ |
| $\mathrm{HC}^{2}$ | 1 | 8 | 2 | 0.0260 | $-3.7 \%$ | 0.0234 | $-13.3 \%$ |

With the classical $\mathrm{O}(1)$ homogenization the error increases with increase in the size of the RVE, whereas using $\mathrm{HC}^{2}$ formulation the error remains constant and is independent of the RVE size. This invariance is due to the fact that positions of the quadrature points in the $\mathrm{HC}^{2}$ formulation are a function of the RVE size. The results obtained by $\mathrm{HC}^{2}$ and $\mathrm{O}(1)$ homogenization are summarized in Figure 13.

### 5.3 Validation for the Leonhardt Inelastic Shear Beam.

We consider a simply supported reinforced concrete beam subjected to a pair of loads applied near the center of the beam as shown in Figure 14. This problem known as the "Stuttgart shear test", has been experimentally studied by Leonhardt and Walther [78].


Fig. 14 Leonhardt shear beam test setup [78].
The beam of depth of 0.32 m and width of 0.19 m , is reinforced with $1060 \mathrm{~mm}^{2}$ steel positioned at 0.05 m from the bottom of the beam. The beam has no stirrups. The steel has a
yield stress of 560 MPa , a modulus of elasticity of 208 GPa , and a Poisson's ratio of 0.3. The steel is modeled as an elastic - perfectly plastic material.

Throughout the thesis, for the constitutive modeling of concrete, we assume an isotropic damage model proposed by Pijaudier-Cabot and Mazars [10] with damage parameters At, $\mathrm{Bt}, \mathrm{Ac}$ and Bc obtained using the following two-step procedure. We first reconstruct the stress-strain curves (in tension and compression) based on the guidelines suggested in [49] for the specified concrete grade. Secondly, we employ inverse optimization procedures to identify damage model parameters that provide the best fit to the reconstructed stress-strain curves in step one. The concrete was assumed to have the properties presented in Table A.1. A vertical displacement at the location of point loads in the amount of 5 mm was prescribed over 200 equal load increments. The plot of the displacement at the center of the beam versus the reaction force at one of the supports is shown in Figure 15. Simulation results were compared to the experimental results reported in [78].


Fig. 15 Leonhardt shear beam: Deflection at a midspan. $\mathrm{HC}^{2}$ simulations versus experiments [78].

Using the direction of the maximum principal strain obtained from equation (11) and the value of the damage parameter in each RVE positioned at the macroscopic quadrature points, a qualitative plot of the cracking pattern is obtained and compared to the experimental cracking reported in [78]. Cracks are drawn perpendicular to the maximum principal strain in all RVE partitions where damage parameter reaches the value of 1.0. In the present example, the RVE in the axial direction is assumed to be sufficiently small so that the nonlocal quadrature points coincide with the Gauss quadrature points in the axial direction. The results for the cracking pattern are shown in Fig. 16.


Fig. 16. Cracking pattern in the RVEs positioned at the coarse-scale Gauss quadrature points compared with the experimental cracks [78].

### 5.4 Numerical Simulation of a Beam with Stirrups.

We consider a simply supported beam, which was tested at the University of California at Berkeley by Bresler and Scorderlis [79]. A diagram showing the test setup for the specimen A-3 is shown in Figure 17. The test results were compared to the results obtained using the $\mathrm{HC}^{2}$ formulation.


Fig. 17 Simply supported beam setup. The specimen A-3 [79].

The beam of depth and width of 0.56 m and 0.31 m , respectively, is reinforced with 3948 $\mathrm{mm}^{2}$ steel bars positioned at 0.095 m from the bottom of the beam. The stirrups are No. 2 bars with an area of $32 \mathrm{~mm}^{2}$ spaced @ 0.21 m . The yield stress of the steel is 552 MPa for the longitudinal bars and 325 MPa for the stirrups. The Young's modulus is 200 GPa , and the Poisson's ratio is 0.3 . The steel is modeled as an elastic - perfectly plastic material.

The isotropic damage model of Mazars [10] was again employed for the concrete. The materials parameters considered for the concrete are listed in Table A.1.

The analysis was conducted by prescribing the vertical displacement in the amount of 0.35 mm at the midspan over 200 equal increments. The plot of the displacement at the center of the beam versus the reaction force in one of the supports is shown in Figure 18. The $\mathrm{HC}^{2}$ simulation results were compared with the experimental results reported in [79]. Figure 3 shows the two-dimensional and three-dimensional RVEs used in the analysis.


Fig. 18 Simply supported beam with stirrups: Deflection at the midspan. $\mathrm{HC}^{2}$ simulations versus experiments [79].

As in the Leonhardt beam the cracking pattern is obtained and compared to the experimental solution as reported in [79].


Fig. 19 Cracking pattern in the RVEs positioned at the coarse-scale Gauss quadrature points compared with the experimental cracks in example 4.4. [79].

### 5.5 Verification of Rescaling Approach.

We first study the rescaling approach introduced in equation (69) by considering the beam tested by Saqan and Frosch [80]. The beam is simply supported with a span of 4.06 m , a width of 356 mm and a depth of 711 mm as shown in Figure 20. The beam is reinforced with four 12.7 mm straight prestressing strands with an ultimate strength of 1862 MPa . The concrete has a compressive strength of 52.1 MPa . For the experimental beam in [80] the material parameters are listed in Table A.2.


Fig. 20 Beam test setup for simply supported rectangular beam [80].

For the finite element model, we consider two, four and six beam elements, and the material model is rescaled by equation (69). The 30 mm displacement at a midspan is applied in 100 steps, and the results are shown in Figure 21. It can be seen that without rescaling or with the
classical rescaling proportional to the characteristic element size, the results are highly sensitive to the mesh size. On the hand, with the proposed rescaling, practically no mesh sensitivity is observed and the numerical simulations results (tagged as $\mathrm{HC}^{2}$ ) are in good agreement with the experimental results. Figure 5 also depicts the failure load based on the ACI-318 code [2], which shows a reasonable agreement with the experimental results.


Fig. 21 Mesh sensitivity studies and comparison to ACI-318 code and experimental results [80].

### 5.6 Shear Test on TxDOT Type A Beam.

We consider a simply supported I beam, a cross section known as the Texas Department of Transportation (TxDOT) A beam, tested at the University of Houston by Laskar et. al. [81]. The beam is simply supported with a span of 7.32 m and a depth of 713 mm as shown in Figure 22. The beam is reinforced with twelve 12.7 mm straight prestressing strands with an ultimate strength of 1862 MPa . The beam is reinforced with four No. 5 rebars on the top and stirrups No. 2 at with 0.25 m spacing. The reinforcing steel has a yield strength of 410 MPa and the concrete has a strength of 71 MPa . The concrete is modeled using isotropic damage model [10] with material parameters listed in Table A.2.


Fig. 22 Experimental setup and finite element model for the TxDOT A beam. Beam elements are shown in the center of the beam [81].

The analysis was performed using 4 coarse-scale elements with the interior nodes located under loads and at a midspan. The prescribed displacement of 35 mm was applied over 50
load increments at the points of load application. The multiscale $\left(\mathrm{HC}^{2}\right)$ simulation was compared in Figure 23 with the experimental results [81] and the ACI-318 code [2]. It can be seen that the multiscale beam accurately predicts the failure load and the overall behavior of the beam, whereas the ACI-318 underestimates the capacity by more than $25 \%$.


Fig. 23 TxDOT Type A beam. Comparison of RC2, ACI-318 code and experimental results. Also simulation results with no rescaling and with classical rescaling are show [81].

### 5.7 Continuous Posttensioned Beam.

A continuos posttensioned beam was tested at the RWTH Aachen University by Herbrand et. al [82]. The beam has a rectangular cross section of a depth of 800 mm and a width of 250
mm . The beam has two tendons with a parabolic profile as shown in Figure 24. Each prestressing tendon is made up of three 0.6 " strands with an ultimate load of 1950 MPa . The beam is reinforced with six rebars 25 mm in diameter at the top and bottom; stirrups are 10 mm in diameter spaced at 0.25 m ; the yield stress for the rebars is 557 MPa for the longitudinal rebars and 520 for the stirrups. The concrete constitutive material parameters are listed in Table A.2. The experimental setup is shown in Figure 8.


Fig. 24 Experimental setup for continuous posttensioned beam [82].

The analysis was performed using four coarse-scale elements, a displacement under the loads was applied in 100 steps. Figure 25 depicts the load-deflection curves obtained by the multiscale beam formulation and the ACI-318 code both of which are in good agreement with the experimental results.


Fig. 25 Continuous posttensioned beam. Comparison of $\mathrm{HC}^{2}$, ACI-318 code and experimental results [82].

### 5.8 Verification of Nonlocal Quadrature Scheme for Plates.

Herein, we investigate the nonlocal quadrature scheme for problems where the size of the RVE is comparable to the coarse-scale element size. As a test problem, we consider a 3.0 m by 3.0 m simply supported waffle slab subjected to a localized load at the center. The direct numerical simulation (DNS) consisting of 54,144 elements, as shown in Figure 26, is employed as a reference solution to estimate deflection error at the center of the plate. The
waffle slab has a rib spacing of 0.50 m ., a web thickness of 0.10 m ., a total depth of 0.10 m and a flange depth of 0.05 m . The RVE is shown in Figure 27. The deflection error predicted by $\mathrm{O}(1)$ homogenization and the higher order computational continua $\left(\mathrm{HC}^{2}\right)$ are shown in Figure 28 for different values of $\Theta^{\prime}$, which is defined as the ratio of twice the RVE size to the coarse-scale element size. Plate models on the right of Figure 28, depict the waffle slab (in black), coarse-scale mesh (in blue), and finite element nodes (in red) for the 2 by 2,4 by 4 and 6 by 6 meshes.


Fig. 26 Isometric view of the DNS model for the waffle slab consisting of 54,144 elements


Fig. 27 The RVE of the waffle slab consisting of four concrete partitions

It can be seen from Figure 28 that as $\Theta^{\prime}$ approaches zero, the nonlocal and Gauss quadrature points coincide giving rise to the same $\mathrm{HC}^{2}$ solution, whereas when the RVE size approaches the coarse-scale element size, $\mathrm{HC}^{2}$ with nonlocal quadrature points performs better than with Gauss quadrature points. Note that the $\mathrm{O}(1)$ formulation is essentially assumes a solid plate with a concrete and steel microstructure in Figure 3 being infinitesimal, which nonsurprisingly produces very stiff response.


Fig. 28 Center deflection error (\%) versus RVE size ratio as obtained using $\mathrm{O}(1)$ homogenization and $\mathrm{HC}^{2}$ formulation.

### 5.9 Validation of Rescaling Procedure for Solid Slab.

Consider a simply supported square solid slab with a concentrated load at the center, which has been tested by Abdul-Wahab and Khalil [83]. The slab has in-plane dimensions of 1.5 m . by 1.5 m . and depth of 0.075 m . It is reinforced with 8 mm bars spaced at 0.167 m in both
directions and placed at 0.08 mm from the bottom of the slab. The constitutive model parameters are listed in Table A.3.

The load is applied over one hundred increments. The deflection obtained for two different meshes at the center of the slab are compared to the test results in Figure 29. It can be seen that the simulation results of the load versus center deflection, are in good agreement with the experimental results for different mesh sizes and corresponding rescaling ratio.


Fig. 29 Load versus deflection for a solid slab using different meshes and rescaling ratios.

### 5.10 Validation for a Hollowcore Prestressed Slab.

Consider a precast hollowcore slab fabricated using an extruder or slip former that creates a periodic hollow pattern. The hollowcore is a one-way slab system with prestressed reinforcement only in the direction of the bending span, other than that it has no transverse or vertical reinforcement. Since the reinforcement is in one direction only it has a brittle behavior in the transverse direction and its capacity is sensitive to the concentrated loads acting on the slab. Current design provisions use empirical methods for the distribution of the concentrated loads.

The slab tested by Buettner \& Becker [84] has a span of $6.0 \mathrm{~m}, 9.0 \mathrm{~m}$ width, and 0.20 m depth; it is manufactured in panels of 1.20 m width. Each hollowcore slab is reinforced with 10 prestressing strands of 9 mm in diameter with an ultimate strand strength of $1,724 \mathrm{MPa}$, and initial prestressing force of $65 \%$ the ultimate strength, made of concrete with a compressive strength of 34.4 MPa . Figure 30 depicts the sketch of the test setup.


Fig. 30 Test setup for the hollowcore slab [84].

The constitutive model parameters are listed in Table A.3. The rescaling factor for the mesh shown in Figure 6 is 0.48 . Figure 7 shows the results of the numerical simulation, which are in good agreement with experiments for both the center deflection and the ultimate load capacity. The RVE employed in the $\mathrm{HC}^{2}$ simulations is also shown in Figure 31.


Fig. 31 Load versus deflection for a hollowcore prestressed slab [84].

### 5.11 Validation for a Waffle Slab.

Consider a simply supported 1.5 m by 1.5 m waffle slab tested by Abdul-Wahab and Khalil [83]. The load is applied at the center on a square steel plate with a side length of 0.3 m . The slab is reinforced with steel rebars of 8 mm in diameter. The cross-section dimensions of the RVE for the waffle slab are shown in Figure 32. The constitutive model parameters are summarized in Table A.3.


Fig. 32 Dimensions of the waffle slab [83].

The results of the center displacement versus load are shown in Figure 33. Good agreement can be observed between the $\mathrm{HC}^{2}$ simulation and the experimental results.


Fig. 33 Load versus deflection for a waffle slab [83].

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## Chapter 6

## Conclusions

A computationally efficient, accurate and versatile multiscale approach for the analysis of beams and concrete slabs has been developed. The accuracy stems from the higher order computational continua $\left(\mathrm{HC}^{2}\right)$ formulation that enables consideration of large representative volume elements (RVE) characteristic to beams, waffle and hollowcore slabs without significant deterioration in solution accuracy. The striking accuracy of the formulation for beams and plates of various geometries is truly remarkable.

The computational efficiency is a direct consequence of model reduction where certain finescale information (matrices $\overline{\mathbf{L}}, \overline{\mathbf{Z}}^{(\Phi)}$ and $\overline{\mathbf{A}}^{(\Phi)}$ ) is precomputed prior to nonlinear analysis. For the numerical examples considered herein, at each plate nonlocal quadrature point where damage evolves, it necessary to solve 24 to 30 nonlinear equations (with the same number of unknowns). While in comparison to the phenomenological reinforced concrete model there is an added cost in solving 24 or 30 nonlinear equations at each nonlocal quadrature point
where damage takes place, it is a small fraction of the computational cost of the threedimensional finite element analysis.

The proposed $\mathrm{HC}^{2}$ for the multiscale beam and plate elements is capable of capturing flexural, shear and torsion modes of failure. Prestressing tendons with variable eccentricity are accommodated by the formulation and consideration of effects due to creep and shrinkage are accounted for, combined with a well-established simple damage law rescaling that has been shown to yield nearly mesh-size independent simulation results.

Future research will focus on:
a) Validation of $\mathrm{HC}^{2}$ multiscale beam and plate subjected to dynamic loadings, extension to shear walls and moment resisting frames for seismic applications;
b) Inclusion of bond-slippage effects in the concrete and rebar or strand; and
c) Consideration of the three-scale formalism accounting for concrete microstructure consisting of mortar and aggregate phases.

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## Appendix A - Material Properties for Examples.

|  |  | Units | Leonhardt Shear Beam 5.3 | Beam with Stirrups 5.4 |
| :---: | :---: | :---: | :---: | :---: |
| Concrete <br> Properties | Compressive Strength | MPa | 28.5 | 35.0 |
|  | Modulus of Elasticity | MPa | 25,200 | 27,600 |
|  | Tensile Strength | MPa | 1.3 | 4.4 |
|  | At |  | 1.2 | 1.0 |
|  | Bt |  | 25,000 | 9,800 |
|  | Ac |  | 1.5 | 1.4 |
|  | Bc |  | 2,400 | 1,800 |
| Steel Properties | Modulus of Elasticity | MPa | 208,000 | 200,000 |
|  | Yield Stress Longitudinal Bars | MPa | 560 | 552 |
|  | Yield Stress Stirrups | MPa | N.A. | 325 |

Table A.1. Material parameters of concrete and steel used in numerical examples 5.3 and 5.4

|  |  | Units | Verification of Rescaling Approach 5.5 | Shear Test on TxDOT Type A Beam 5.6 | Continuous Posttensioned Beam 5.7 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Concrete Properties | Compressive Strength | MPa | 52.1 | 71.0 | 51.0 |
|  | Modulus of Elasticity | MPa | 30,411 | 41,700 | 25,824 |
|  | Tensile Strength | MPa | 3.0 | 5.8 | 5.4 |
|  | At |  | 2.0 | 1.5 | 1.1 |
|  | Bt |  | 21,000 | 21,000 | 15,000 |
|  | Ac |  | 1.6 | 1.9 | 2.2 |
|  | Bc |  | 1,600 | 1,500 | 1,500 |
| Steel Properties | Modulus of Elasticity | MPa | 200,000 | 200,000 | 200,000 |
|  | Yield Stress Longitudinal Bars | MPa | N.A. | 410 | 557 |
|  | Yield Stress Stirrups | MPa | N.A. | 410 | 520 |
|  | Yield Stress Prestress | MPa | 1,676 | 1,676 | 1,729 |

Table A.2. Material parameters of concrete and steel used in numerical examples 5.5 thru 5.7

|  |  | Units | Validation of Rescaling for Solid slab 5.9 | Validation for a Hollowcore Prestressed Slab 5.10 | Validation for a Waffle Slab 5.11 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Concrete Properties | Compressive Strength | MPa | 36.0 | 34.4 | 28.9 |
|  | Modulus of Elasticity | MPa | 28,400 | 27,700 | 25,500 |
|  | Tensile Strength | MPa | 3.3 | 2.9 | 2.8 |
|  | Fracture Energy | $\mathrm{N} / \mathrm{mm}$ | 0.144 | 0.143 | 0.140 |
|  | Characteristic Size | mm | 338 | 391 | 390 |
|  | At |  | 1.0 | 1.0 | 1.0 |
|  | Bt |  | 20,000 | 20,000 | 20,000 |
|  | Ac |  | 1.1 | 1.1 | 1.1 |
|  | Bc |  | 1,300 | 1,400 | 1,400 |
| Steel Properties | Modulus of Elasticity | MPa | 200,000 | 200,000 | 200,000 |
|  | Yield Stress Longitudinal Bars | MPa | 398 | N.A. | 398 |
|  | Yield Stress Prestress | MPa | N.A. | 1,552 | N.A. |

Table A.3. Material parameters of concrete and steel used in numerical examples 5.9 thru 5.11

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# Appendix B - Derivation of Beam Stiffness Matrix using the Constitutive Tensor. 

The stiffness matrix for three different types of beam will be obtained for a homogeneous isotropic beam, using the shape functions that accurate describe the 2D or 3D displacement field and the constitutive material tensor. The results are shown to be identical to those obtained from the classical solutions derived using the beam cross sectional properties (i.e. area and inertia).

## B. 1 Two Node Euler-Bernoulli 2D Beam

The displacement of the Euler-Bernoulli beam is given as:

$$
\begin{gather*}
u=-z \frac{\partial w}{\partial x}  \tag{117}\\
w=w_{0} \tag{118}
\end{gather*}
$$

Using a 2 node beam with degrees of freedom $w^{i}$ and $\frac{\partial w^{i}}{\partial x}$ on each node, the matrix form of the discretized displacements is expressed as:

$$
\left\{\begin{array}{l}
u  \tag{119}\\
v
\end{array}\right\}=\mathbf{N}\left\{\begin{array}{llll}
w^{1} & \frac{\partial w^{1}}{\partial x} & w^{2} & \frac{\partial w^{2}}{\partial x}
\end{array}\right\}^{T}
$$



Fig. 34 Hermitian shape functions for beams.

Using the Hermitian interpolation functions, and the displacement field in (117) and (118) the full field shape functions are:

$$
\mathbf{N}=\left\{\begin{array}{cccc}
\frac{6(L-x) x z}{L^{3}} & -\frac{(L-3 x)(L-x) z}{L^{2}} & \frac{6 x(-L+x) z}{L^{3}} & \frac{(2 L-3 x) x z}{L^{2}}  \tag{120}\\
\frac{(L-x)^{2}(L+2 x)}{L^{3}} & \frac{(L-x)^{2} x}{L^{2}} & \frac{(3 L-2 x) x^{2}}{L^{3}} & \frac{x^{2}(-L+x)}{L^{2}}
\end{array}\right\}
$$

The strain displacement matrix for a 2D beam is given by:

$$
\mathbf{B}=\nabla \mathbf{N}=\left[\begin{array}{c}
\frac{\partial \mathbf{N}_{x}}{\partial x}  \tag{121}\\
\frac{\partial \mathbf{N}_{x}}{\partial z}+\frac{\partial \mathbf{N}_{z}}{\partial x}
\end{array}\right]
$$

Inserting the expression in (120) into (121) the strain displacement matrix is expressed as:

$$
\mathbf{B}=\left\{\begin{array}{cccc}
\frac{6(L-2 x) z}{L^{3}} & \frac{4 L z-6 x z}{L^{2}} & -\frac{6(L-2 x) z}{L^{3}} & \frac{2(L-3 x) z}{L^{2}}  \tag{122}\\
0 & 0 & 0 & 0
\end{array}\right\}
$$

The stiffness matrix $\mathbf{K}$ is evaluated as:

$$
\begin{equation*}
\mathbf{K}=\int_{\Omega} \mathbf{B}^{T} \mathbf{L} \mathbf{B} d \Omega \tag{123}
\end{equation*}
$$

Where L is the 3D plane stress constitutive material tensor, which for a 2D beam is expressed as:

$$
L=\left\{\begin{array}{cc}
E & 0  \tag{124}\\
0 & \frac{E}{2(1+v)}
\end{array}\right\}
$$

Substituting equations (122) and (124) into (123) $\mathbf{K}$ is expressed as:

$$
\left.\mathbf{K}=\int_{0}^{L} \int_{\frac{B}{2}}^{2} \frac{B}{2} \frac{-H}{2} \frac{H}{2} \int^{\frac{36(L-2 x)^{2} z^{2} \mathrm{E}}{L^{6}}} \quad \begin{array}{cccc}
\frac{12(2 L-3 x)(L-2 x) z^{2} \mathrm{E}}{L^{5}} & -\frac{36(L-2 x)^{2} z^{2} \mathrm{E}}{L^{6}} & \frac{12(L-3 x)(L-2 x) z^{2} \mathrm{E}}{L^{5}}  \tag{125}\\
\frac{12(2 L-3 x)(L-2 x) z^{2} \mathrm{E}}{L^{5}} & \frac{4(2 L-3 x)^{2} z^{2} \mathrm{E}}{L^{4}} & -\frac{12(2 L-3 x)(L-2 x) z^{2} \mathrm{E}}{L^{5}} & \frac{4(L-3 x)(2 L-3 x) z^{2} \mathrm{E}}{L^{4}} \\
-\frac{36(L-2 x)^{2} z^{2} \mathrm{E}}{L^{6}} & -\frac{12(2 L-3 x)(L-2 x) z^{2} \mathrm{E}}{L^{5}} & \frac{36(L-2 x)^{2} z^{2} \mathrm{E}}{L^{6}} & -\frac{12(L-3 x)(L-2 x) z^{2} \mathrm{E}}{L^{5}} \\
\frac{12(L-3 x)(L-2 x) z^{2} \mathrm{E}}{L^{5}} & \frac{4(L-3 x)(2 L-3 x) z^{2} \mathrm{E}}{L^{4}} & -\frac{12(L-3 x)(L-2 x) z^{2} \mathrm{E}}{L^{5}} & \frac{4(L-3 x)^{2} z^{2} \mathrm{E}}{L^{4}}
\end{array}\right\} d z d y d x
$$

Evaluating the integral in (125) yields the expression for the stiffness matrix:

$$
\mathbf{K}=\left\{\begin{array}{cccc}
\frac{B H^{3} \mathrm{E}}{L^{3}} & \frac{B H^{3} \mathrm{E}}{2 L^{2}} & -\frac{B H^{3} \mathrm{E}}{L^{3}} & \frac{B H^{3} \mathrm{E}}{2 L^{2}}  \tag{126}\\
\frac{B H^{3} \mathrm{E}}{2 L^{2}} & \frac{B H^{3} \mathrm{E}}{3 L} & -\frac{B H^{3} \mathrm{E}}{2 L^{2}} & \frac{B H^{3} \mathrm{E}}{6 L} \\
-\frac{B H^{3} \mathrm{E}}{L^{3}} & -\frac{B H^{3} \mathrm{E}}{2 L^{2}} & \frac{B H^{3} \mathrm{E}}{L^{3}} & -\frac{B H^{3} \mathrm{E}}{2 L^{2}} \\
\frac{B H^{3} \mathrm{E}}{2 L^{2}} & \frac{B H^{3} \mathrm{E}}{6 L} & -\frac{B H^{3} \mathrm{E}}{2 L^{2}} & \frac{B H^{3} \mathrm{E}}{3 L}
\end{array}\right\}
$$

Substituting the moment of inertia I for $\frac{B H^{3}}{12}$ the expression in (126) can be written as:

$$
\mathbf{K}=\left\{\begin{array}{cccc}
\frac{12 \mathrm{E} I}{L^{3}} & \frac{6 \mathrm{E} I}{L^{2}} & -\frac{12 \mathrm{E} I}{L^{3}} & \frac{6 \mathrm{E} I}{L^{2}}  \tag{127}\\
\frac{6 \mathrm{E} I}{L^{2}} & \frac{4 \mathrm{E} I}{L} & -\frac{6 \mathrm{E} I}{L^{2}} & \frac{2 \mathrm{E} I}{L} \\
-\frac{12 \mathrm{E} I}{L^{3}} & -\frac{6 \mathrm{E} I}{L^{2}} & \frac{12 \mathrm{E} I}{L^{3}} & -\frac{6 \mathrm{E} I}{L^{2}} \\
\frac{6 \mathrm{E} I}{L^{2}} & \frac{2 \mathrm{E} I}{L} & -\frac{6 \mathrm{E} I}{L^{2}} & \frac{4 \mathrm{E} I}{L}
\end{array}\right\}
$$

Equation (127) is identical to the stiffness matrix for a 2D Euler-Bernoulli beam [85].

## B. 2 Two Node Reddy 2D Beam

The displacement of the Reddy beam as presented in [86] is given as:

$$
\begin{gather*}
u=z\left[\psi-\frac{4}{3}\left(\frac{z}{h}\right)^{2}\left(\psi+\frac{\partial w}{\partial x}\right)\right]  \tag{128}\\
w=w_{0} \tag{129}
\end{gather*}
$$

Note that with the change of variable $\gamma=\frac{\partial w}{\partial x}+\psi$ the displacement field in (128) yields the same results as (61)

Using a 2 node beam with degrees of freedom $w^{i}, \frac{\partial w^{i}}{\partial x}$ and $\psi^{i}$ on each node, the matrix form of the discretized displacements is expressed as:

$$
\left\{\begin{array}{l}
u  \tag{130}\\
v
\end{array}\right\}=\mathbf{N}\left\{\begin{array}{llllll}
w^{1} & \frac{\partial w^{1}}{\partial x} & \psi^{1} & w^{2} & \frac{\partial w^{2}}{\partial x} & \psi^{2}
\end{array}\right\}^{T}
$$

Using the Hermitian interpolation functions for $w^{i}, \frac{\partial w^{i}}{\partial x}$, linear Lagrange interpolants for $\psi^{i}$, and the displacement field in (128) and (129) the full field shape functions are:

$$
\mathbf{N}=\left\{\begin{array}{cc}
\frac{8(L-x) x z^{3}}{H^{2} L^{3}} & \frac{(L-x)^{2}(L+2 x)}{L^{3}}  \tag{131}\\
\frac{4(L-3 x)(L-x) z^{3}}{3 H^{2} L^{2}} & -\frac{(L-x)^{2} x}{L^{2}} \\
\frac{(L-x) z\left(3 H^{2}-4 z^{2}\right)}{3 H^{2} L} & 0 \\
\frac{8 x(-L+x) z^{3}}{H^{2} L^{3}} & \frac{(3 L-2 x) x^{2}}{L^{3}} \\
\frac{4 x(-2 L+3 x) z^{3}}{3 H^{2} L^{2}} & \frac{(L-x) x^{2}}{L^{2}} \\
\frac{x z\left(3-\frac{4 z^{2}}{H^{2}}\right)}{3 L} & 0
\end{array}\right\}
$$

Inserting the expression in (131) into (121) the strain displacement matrix is expressed as:

$$
\mathbf{B}=\left\{\begin{array}{cc}
\frac{8(L-2 x) z^{3}}{H^{2} L^{3}} & -\frac{6(L-x) x\left(H^{2}-4 z^{2}\right)}{H^{2} L^{3}}  \tag{132}\\
\frac{8(-2 L+3 x) z^{3}}{3 H^{2} L^{2}} & -\frac{(L-3 x)(L-x)\left(H^{2}-4 z^{2}\right)}{H^{2} L^{2}} \\
z\left(-3+\frac{4 z^{2}}{H^{2}}\right) \\
\frac{z}{3 L} & \frac{(L-x)\left(H^{2}-4 z^{2}\right)}{H^{2} L} \\
-\frac{8(L-2 x) z^{3}}{H^{2} L^{3}} & \frac{6(L-x) x\left(H^{2}-4 z^{2}\right)}{H^{2} L^{3}} \\
-\frac{8(L-3 x) z^{3}}{3 H^{2} L^{2}} & \frac{(2 L-3 x) x\left(H^{2}-4 z^{2}\right)}{H^{2} L^{2}} \\
z\left(3-\frac{4 z^{2}}{H^{2}}\right) & \frac{x\left(H^{2}-4 z^{2}\right)}{H^{2} L}
\end{array}\right\}
$$

Substituting equations (132) and (124) into (123) and integrating over the domain, the stiffness matrix $\mathbf{K}$ is expressed as:

$$
\mathbf{K}=\left\{\begin{array}{cccccc}
B\left(\frac{H^{3} \mathrm{E}}{21 L^{3}}+\frac{8 H \mathrm{E}}{25 L(1+v)}\right) & B\left(-\frac{H^{3} \mathrm{E}}{42 L^{2}}-\frac{2 H \mathrm{E}}{75(1+v)}\right) & -\frac{2 B H \mathrm{E}}{15(1+v)} & B\left(-\frac{H^{3} \mathrm{E}}{21 L^{3}}-\frac{8 H \mathrm{E}}{25 L(1+v)}\right) & B\left(-\frac{H^{3} \mathrm{E}}{42 L^{2}}-\frac{2 H \mathrm{E}}{75(1+v)}\right) & -\frac{2 B H \mathrm{E}}{15(1+v)}  \tag{133}\\
B\left(-\frac{H^{3} \mathrm{E}}{42 L^{2}}-\frac{2 H \mathrm{E}}{75(1+v)}\right) & B\left(\frac{H^{3} \mathrm{E}}{63 L}+\frac{8 H L \mathrm{E}}{225(1+v)}\right) & \frac{B H \mathrm{E}\left(4 H^{2}-\frac{7 L^{2}}{1+v}\right)}{315 L} & B\left(\frac{H^{3} \mathrm{E}}{42 L^{2}}+\frac{2 H \mathrm{E}}{75(1+v)}\right) & B\left(\frac{H^{3} \mathrm{E}}{126 L}-\frac{2 H L \mathrm{E}}{225(1+v)}\right) & B H \mathrm{E}\left(-4 H^{2}+\frac{7 L^{2}}{1+v}\right) \\
-\frac{2 B H \mathrm{E}}{15(1+v)} & \frac{B H \mathrm{E}\left(4 H^{2}-\frac{7 L^{2}}{1+v}\right)}{315 L} & B\left(\frac{17 H^{3} \mathrm{E}}{315 L}+\frac{4 H L \mathrm{E}}{45(1+v)}\right) & \frac{2 B H \mathrm{E}}{15(1+v)} & B H \mathrm{E}\left(-4 H^{2}+\frac{7 L^{2}}{1+v}\right) & B\left(-\frac{17 H^{3} \mathrm{E}}{315 L}+\frac{2 H L \mathrm{E}}{45(1+v)}\right) \\
B\left(-\frac{H^{3} \mathrm{E}}{21 L^{3}}-\frac{8 H \mathrm{E}}{25 L(1+v)}\right) & B\left(\frac{H^{3} \mathrm{E}}{42 L^{2}}+\frac{2 H \mathrm{E}}{75(1+v)}\right) & \frac{2 B H \mathrm{E}}{15(1+v)} & B\left(\frac{H^{3} \mathrm{E}}{21 L^{3}}+\frac{8 H \mathrm{E}}{25 L(1+v)}\right) & B\left(\frac{H^{3} \mathrm{E}}{42 L^{2}}+\frac{2 H \mathrm{E}}{75(1+v)}\right) & \frac{2 B H \mathrm{E}}{15(1+v)} \\
B\left(-\frac{H^{3} \mathrm{E}}{42 L^{2}}-\frac{2 H \mathrm{E}}{75(1+v)}\right) & B\left(\frac{H^{3} \mathrm{E}}{126 L}-\frac{2 H L \mathrm{E}}{225(1+v)}\right) & \frac{B H \mathrm{E}\left(-4 H^{2}+\frac{7 L^{2}}{1+v}\right)}{315 L} & B\left(\frac{H^{3} \mathrm{E}}{42 L^{2}}+\frac{2 H \mathrm{E}}{75(1+v)}\right) & B\left(\frac{H^{3} \mathrm{E}}{63 L}+\frac{8 H L \mathrm{E}}{225(1+v)}\right) & B H \mathrm{E}\left(4 H^{2}-\frac{7 L^{2}}{1+v}\right) \\
-\frac{2 B H \mathrm{E}}{15(1+v)} & B H \mathrm{E}\left(-4 H^{2}+\frac{7 L^{2}}{1+v}\right) & B\left(-\frac{17 H^{3} \mathrm{E}}{315 L}+\frac{2 H L \mathrm{E}}{45(1+v)}\right) & \frac{2 B H \mathrm{E}}{15(1+v)} & B H \mathrm{E}\left(4 H^{2}-\frac{7 L^{2}}{1+v}\right) & B\left(\frac{17 H^{3} \mathrm{E}}{315 L}+\frac{4 H L \mathrm{E}}{45(1+v)}\right)
\end{array}\right\}
$$

Substituting the moment of inertia I for $\frac{B H^{3}}{12}$, the area A for $B H$ and $G=\frac{E}{2(1+v)}$ the expressions in (133)can be written as:

$$
K=\left\{\begin{array}{cccccc}
\frac{4 E I}{7 L^{3}}+\frac{16 G A}{25 L} & -\frac{2 E I}{7 L^{2}}-\frac{4 G A}{75} & -\frac{4 G A}{15} & -\frac{4 E I}{7 L^{3}}-\frac{16 G A}{25 L} & -\frac{2 E I}{7 L^{2}}-\frac{4 G A}{75} & -\frac{4 G A}{15} \\
-\frac{2 E I}{7 L^{2}}-\frac{4 G A}{75} & \frac{4 E I}{21 L}+\frac{16 G A L}{225} & \frac{16 E I}{105 L}-\frac{2 G A L}{45} & \frac{2 E I}{7 L^{2}}+\frac{4 G A}{75} & \frac{2 E I}{21 L}-\frac{4 G A L}{225} & -\frac{16 E I}{105 L}+\frac{2 G A L}{45} \\
-\frac{4 G A}{15} & \frac{16 E I}{105 L}-\frac{2 G A L}{45} & \frac{68 E I}{105 L}+\frac{8 G A L}{45} & \frac{4 G A}{15} & -\frac{16 E I}{105 L}+\frac{2 G A L}{45} & -\frac{68 E I}{105 L}+\frac{4 G A L}{45}  \tag{134}\\
-\frac{4 E I}{7 L^{3}}-\frac{16 G A}{25 L} & \frac{2 E I}{7 L^{2}}+\frac{4 G A}{75} & \frac{4 G A}{15} & \frac{4 E I}{7 L^{3}}+\frac{16 G A}{25 L} & \frac{2 E I}{7 L^{2}}+\frac{4 G A}{75} & \frac{4 G A}{15} \\
-\frac{2 E I}{7 L^{2}}-\frac{4 G A}{75} & \frac{2 E I}{21 L}-\frac{4 G A L}{225} & -\frac{16 E I}{105 L}+\frac{2 G A L}{45} & \frac{2 E I}{7 L^{2}}+\frac{4 G A}{75} & \frac{4 E I}{21 L}+\frac{16 G A L}{225} & \frac{16 E I}{105 L}-\frac{2 G A L}{45} \\
-\frac{4 G A}{15} & -\frac{16 E I}{105 L}+\frac{2 G A L}{45} & -\frac{68 E I}{105 L}+\frac{4 G A L}{45} & \frac{4 G A}{15} & \frac{16 E I}{105 L}-\frac{2 G A L}{45} & \frac{68 E I}{105 L}+\frac{8 G A L}{45}
\end{array}\right\}
$$

Equation (134) is identical to the stiffness matrix for the 2D Reddy beam [86].

## B. 3 Three Node Reddy 3D Beam

The displacement for the 3D Reddy beam is given in equations (61) thru (63), the corresponding shape functions are given in (70). The finite element discretization is listed in equation (64).

The strain displacement matrix is obtained by evaluating equation (65) :

The stiffness matrix is obtained by:

$$
\int_{0}^{L} \int_{-\frac{B}{2}}^{\frac{B}{2}} \int_{-\frac{H}{2}}^{\frac{H}{2}} \mathbf{B}^{T} \cdot\left\{\begin{array}{ccc}
E & 0 & 0  \tag{136}\\
0 & \frac{E}{2(1+v)} & 0 \\
0 & 0 & \frac{E}{2(1+v)}
\end{array}\right\} \mathbf{B} \quad d z d y d x
$$

Integrating equation (136) results in the stiffness matrix for the 3D beam described in section 3.2 as follows:

$$
\mathbf{K}[13: 15]=\left\{\begin{array}{ccc}
0 & 0 & 0  \tag{141}\\
0 & \frac{B^{3} H \mathrm{E}}{2 L^{2}} & 0 \\
-\frac{B H^{3} \mathrm{E}}{2 L^{2}} & 0 & -\frac{4 B H^{3} \mathrm{E}}{15 L^{2}} \\
0 & 0 & 0 \\
\frac{B H^{3} \mathrm{E}}{6 L} & 0 & \frac{B H^{3} \mathrm{E}}{15 L} \\
0 & \frac{B^{3} H \mathrm{E}}{6 L} & 0 \\
0 & -\frac{B^{3} H \mathrm{E}}{2 L^{2}} & 0 \\
0 & 0\left(\frac{17 H^{3} \mathrm{E}}{945 L}-\frac{2 H L \mathrm{E}}{225(1+v)}\right.
\end{array}\right)
$$


(142)

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