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1 **Distinct effects of HIV protease inhibitors and ERAD inhibitors on zygote to**
2 **ookinete transition of the malaria parasite.**

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25 **Abstract**

26 In an effort to eradicate malaria, new interventions are proposed to include
27 compound/vaccine development against pre-erythrocytic, erythrocytic and mosquito
28 stages of *Plasmodium*. Drug repurposing might be an alternative approach to new
29 antimalarials reducing the cost and the time required for drug development. Previous
30 *in vitro* studies have examined the effects of protease inhibitors on different stages of
31 the *Plasmodium* parasite, although the clinical relevance of this remains unclear. In
32 this study we tested the putative effect of three HIV protease inhibitors, two general
33 aspartyl protease inhibitors and three AAA-p97 ATPase inhibitors on the zygote to
34 ookinete transition of the *Plasmodium* parasite. Apart from the two general aspartyl
35 inhibitors, all other compounds had a profound effect on the development of the
36 parasites. HIVPIs inhibited zygote to ookinete conversion by 75%-90%, while the three
37 AAA-p97 ATPase inhibitors blocked conversion by 50%-90% at similar
38 concentrations, while electron microscopy highlighted nuclear and structural
39 abnormalities. Our results highlight a potential of HIV protease inhibitors and p97
40 inhibitors as transmission blocking agents for the eradication of malaria.

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42 **Keywords**

43 Malaria; ookinete development; HIV protease inhibitors; ERAD inhibitors

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51 Despite all efforts to control and eradicate malaria, the disease still poses a
52 major burden on human health. Drug resistance together with the absence of an
53 effective vaccine has worsened the prospects for a “malaria-free” world. New
54 antimalarial drugs are thus urgently needed, but the ultimate target of blocking malaria
55 is no longer considered a one-goal strategy. Malaria transmission blockage could be
56 theoretically achieved through the effective elimination of gametocytes from the
57 bloodstream rendering human hosts non-infective to mosquitoes, or by interfering with
58 the early parasite development inside the vector (gamete and zygote/ookinete stages).

59 Thus far though, transmission stages of *Plasmodium* parasites have largely
60 been unexplored in drug screening approaches. Nevertheless, gametes, zygotes and
61 ookinetes in the mosquito midgut lumen, are vulnerable stages, metabolically active,
62 unprotected by the host cell membrane and exposed to the hostile environment of the
63 mosquito midgut. In this study we tested the putative effect of 8 compounds, which
64 belong to 3 distinct classes of inhibitors, on the zygote to ookinete transition that takes
65 place in the mosquito midgut immediately after gamete fertilization and is an attractive
66 target for the development of transmission blocking strategies. For this, we have used
67 the rodent malaria model, *Plasmodium berghei*, where these stages differentiate
68 efficiently *in vitro* under defined experimental conditions [1].

69 The first class of compounds includes 3 HIV protease inhibitors (HIVPIs);
70 Nelfinavir, Ritonavir and Lopinavir. HIVPIs are specific protease inhibitors of the HIV
71 aspartyl protease, which has an essential role in the generation of mature infectious
72 virus particles [2]. Numerous studies over the last ten years have highlighted the
73 inhibitory effect of HIVPIs on pre-erythrocytic stages and the asexual development of
74 different *Plasmodium* species and also on gametocytogenesis, exflagellation and

75 viability of gametocytes, while also affecting mosquito infectivity [3-5]. Their effect
76 though on zygote to ookinete transition has not yet been examined. Since HIVPIs
77 inhibit the aspartyl protease of the HIV virus we also included two general aspartyl
78 protease inhibitors; pepstatin A, which is known to inhibit intra-erythrocytic growth of
79 *Plasmodium spp.* but has no effect on *P. gallinaceum* ookinete development *in vitro*
80 [6] and diazo-acetyl-norleucinemethylester (DAN), which has not been previously
81 tested in *Plasmodium spp.* cultures but has been used in *in vitro* proliferation assays
82 in *L. Mexicana*. In the presence of DAN, parasites had alterations in shape, from a
83 long slender form to a spherical one and cell division was blocked [7]. The last family
84 includes 3 compounds, which target the ERAD pathway by inhibiting the action of an
85 AAA ATPase (p97). Previous studies in mammalian cells have shown that
86 Eeyarestatin 1 (Eer) is a bi-modular compound comprised from two functionally
87 independent domains: an aromatic module (CBU) that targets ES to membranes, and
88 a nitrofuranyl-containing module (5-NA). The compounds tested here were, Eer, CBU-
89 028 and 5-nitrofuryl acrolein (NA), which consists of the nitrofuranyl domain of Eer [8].
90 Eer has been shown to inhibit growth of *Plasmodium falciparum* asexual stages with
91 IC₅₀ values of 3.5 μM [9].

92 To assess the transmission blocking potential of these compounds, the
93 *P.berghei* CTRP-GFP strain was used that specifically expresses GFP after zygote
94 formation thus making feasible the identification of toxicity of the tested compounds in
95 early stages of development by FACS analysis [10]. All compounds used were added
96 after formation of the zygote and were present in the *in vitro* cultures throughout
97 ookinete development. Our results showed that only lopinavir at 50 μM and NA at 35
98 and 50 μM, had a significant toxic effect on parasite survival. The highest
99 concentration of NA has been excluded from further studies. In all other treatments

100 GFP levels were not statistically different relative to control cultures (Fig. 1A). Giemsa
101 stained smears of purified cultures were used to quantify the effect of each drug on
102 the conversion rate of zygotes to ookinetes and to identify the stage of arrest judged
103 by the morphology of the parasites. 35 μ M of nelfinavir and ritonavir decreased
104 development to mature ookinetes by 85% and 75%, respectively. At 50 μ M both drugs
105 caused an almost complete arrest at zygote/retort stages. Lopinavir treatment at 35
106 and 50 μ M led to an arrest at stage I-II for the vast majority of parasites (~90%) (Fig.
107 1B). Eer had also a profound effect on the zygote/ookinete transition at 35 and 50 μ M,
108 arresting parasites at stage I-II, a pattern also observed with NA at 10 μ M. 35 μ M of
109 NA led to an arrest in stage I zygotes (90%). Maximum inhibition exerted by CBU-028
110 was at 50 μ M, while at 35 μ M, 50% of parasites progressed to mature ookinetes. From
111 these data it seems plausible that the nitrofuranyl moiety is responsible for the effect
112 seen on zygote/ookinete transition. Surprisingly Pepstatin A and DAN had no effect
113 on ookinete development at any concentration used in our study.

114 To determine the infectivity of the drug-treated cells, purified ookinetes from *in*
115 *vitro* cultures were offered to mosquitoes in standard membrane feeding assays
116 (SMFA). As shown in Fig. 1C, in the presence of HIVPIs very few oocysts are formed
117 in the mosquito midguts suggesting that the ookinetes might have developmental
118 defects that render them unable to establish an infection in the mosquito, as was the
119 case with the p97 inhibitors. Treatment with pepstatin A and DAN, on the other hand,
120 did not result in lower oocyst numbers compared to the DMSO-treated control.

121 Immunofluorescence assays using specific antibodies were performed to
122 further investigate the defects in ookinetes derived from *in vitro* cultures to which the
123 HIVPIs and the p97 inhibitors had been added. The nuclear protein SET displayed
124 no obvious abnormal pattern in treated parasites with the exception of nelfinavir where

125 the pattern of SET looks fragmented (Fig. 2A). The surface protein p28, in all cases
126 but with varying degrees, was found in the cytoplasm indicating a possible defect in
127 the trafficking of p28 to the surface of the ookinetes (Fig. 2A). The micronemal protein
128 SOAP was present in the treated parasites, exhibiting though a more diffuse pattern
129 throughout the cytoplasm in parasites treated with the different p97 inhibitors (Fig. 2B).

130 In order to further examine the cellular defects TEM was employed. The apical
131 complex (AC) of HIV-treated parasites was detected in some cases, albeit in early
132 stages of development (Fig. 2C-ritonavir). Micronemes were detectable in the
133 cytoplasm (Fig. 2C, nelfinavir, lopinavir), and IMC was present in treated parasites. In
134 contrast, the nuclear membrane seemed to be distorted in nelfinavir treated parasites,
135 with expanded nuclei. Lopinavir treated parasites are characterized by extensive
136 vacuolation. Parasites treated with the p97 inhibitors show vacuoles throughout the
137 cytoplasm. A key characteristic though in all cases is the extended membrane
138 structures around the nucleus, a possible effect of prolonged ER stress, which has
139 been previously shown to induce changes in the structure of the ER [8, 11].

140 Our study on the effect of 8 compounds on the early mosquito stages of the
141 malaria parasite have shown a potent negative effect of HIVPIs and p97 inhibitors on
142 ookinete formation. Consequently oocyst formation was significantly decreased when
143 these parasites were offered to mosquitoes. The target(s) of HIVPIs in *Plasmodium*
144 spp have yet to be identified. The initial hypothesis of them targeting the vacuolar
145 aspartyl proteases termed plasmepsins in erythrocytic stages (plasmepsin I, II, II, IV),
146 which are responsible for the haemoglobin degradation, has proven wrong, since their
147 effect persists in mutant knock-out parasites [12]. In the case of *P. falciparum*, two
148 transporters (PfHT and PfCRT) have been suggested as potential targets of lopinavir
149 and saquinavir respectively [13, 14], alongside the plasmepsin family but data are far

150 from conclusive. The lack of any effect with pepstatin A in our SMFA is not in
151 agreement with the previous study in *P. gallinaceum* but in their experimental set up,
152 infected blood with gametocytes was offered to the mosquitoes instead of purified
153 ookinetes [6]. This might suggest that pepstatin A has an effect on gametocytes or
154 gametogenesis. Overall, our results suggest that a *Plasmodium* aspartyl protease is
155 not the primary target of HIV protease inhibitors on the zygote/ookinete conversion
156 stage.

157 P97 inhibitors have not been extensively interrogated in *Plasmodium* as only
158 Eer and another reversible inhibitor of p97, DBeQ, have been examined in *in vitro* *P.*
159 *falciparum* cultures. This study showed that *Plasmodium* parasites have a very basic
160 ERAD machinery compared to mammalian cells and thus they are much more
161 sensitive to specific compounds that were designed to inhibit their mammalian
162 molecular targets [15].

163 Although one would expect that HIVPIs and p97 inhibitors are quite distinct in
164 their mode of action and on their molecular targets, they do share some interesting
165 similarities. HIVPIs, mainly nelfinavir, ritonavir and saquinavir, have been repurposed
166 for cancer treatment and clinical trials are currently ongoing with nelfinavir being
167 predominantly the drug of choice [16]. But what is that makes HIVPIs promising
168 candidates for anti-cancer treatment? HIVPIs and particularly nelfinavir have been
169 shown to inhibit the Akt kinase pathway and also induce ER stress leading to activation
170 of the unfolded protein response pathway (UPR). Inhibition of the ERAD pathway with
171 the use of p97 inhibitors also leads to UPR activation [11, 17]. The inhibition of kinases
172 by nelfinavir raises an interesting observation as a similar mis-localisation of p28
173 (Figure 2A) has been observed in CDPK1 mutant parasites [18]. Could it be that
174 nelfinavir inhibits CDPK1 or other kinases thus affecting trafficking of this surface

175 antigen? Further studies are necessary to validate this observation. Overall, in
176 *Plasmodium* spp, it seems more plausible that the severe effects of HIVPIs on all
177 stages of the life cycle might be attributed to the off targets of the drugs by inhibition
178 of kinases or by acting as general ER stressors. Further studies are needed in order
179 to identify the potential molecular targets of each class of compounds (HIVPIs or p97
180 inhibitors).

181 The early mosquito stages are attractive targets for transmission blocking
182 agents, as they take place soon after uptake of a blood meal by the mosquito and they
183 are still present in the mammalian blood meal. Many groups are pursuing this path
184 with a substantial amount of work been done for the identification of targets and
185 inhibitors. Bumped kinase inhibitors are a good example of potential transmission
186 blocking agents since they can prevent exflagellation of gametocytes in *P. falciparum*
187 in the nM range and preliminary studies show a blockade in oocyst formation in
188 mosquitoes [19]. There are however substantial challenges. Drugs to be given to the
189 human host (HIVPIs for example), should be stable for a long period required for
190 gametocyte maturation and in high concentrations, which will require new formulations
191 and drug delivery strategies. Building on already available drugs, new scaffolds might
192 provide better pharmacokinetic properties, or development of prodrugs could
193 significantly enhance bioavailability. One could envisage HIVPIs *per se*, or as starting
194 points for compound development, to be used for parallel application in malaria/HIV
195 coinfection or as antimalarial drugs and transmission blocking compounds.

196 The approach of targeting the parasite in the vector is yet a largely unexplored
197 option. Although the vector is not an obvious system for drug delivery, alternative
198 approaches can be pursued to deliver drugs directly to the mosquito [20]. Recent
199 advances in nanoparticle technology in combination with the development of sugar

200 traps to attract mosquitoes might provide an alternative route for targeting the parasite.
201 Studies in *P. falciparum* using drug containing liposomes have provided some
202 interesting data and could pave the way for new nanoparticles to be used as carriers
203 for drugs or transmission blocking agents [21] The results presented here suggest that
204 HIVPI's should be interrogated as suitable compounds for such strategies.

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218

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Figure legends

Figure 1

A. Cytotoxic effect of compounds on *P.berghei* ookinete development.

307 HIVPIs were obtained from Sequoia Research products Ltd. Diazoacetyl-DL-
308 norleucine methyl ester (DAN) and pepstatin A were purchased from Sigma-Aldrich.
309 Stocks ($\times 1000$) were prepared in DMSO. Dilutions of the drug were carried out in
310 culture medium. CTRP-GFP expressing *P.berghei* ookinete cultures were analysed
311 using FACS. For the *in vitro* ookinete cultures, blood from 2-3 mice was pooled,
312 leucocytes removed and the blood was diluted 1:10 in ookinete medium (RPMI1640
313 containing 25 mM HEPES (Sigma), 10% FCS, 100 μ M xanthurenic acid, pH 7.5).
314 Samples were dispensed in 6 well-plates, incubated for 1h at 18°C, after which the
315 drug or DMSO alone, was added. After incubation at 18°C for 24h, ookinete cultures
316 were enriched and concentrated by Nycodenz gradient centrifugation. Increasing
317 concentrations of the compounds were added after formation of the zygote and were
318 present throughout ookinete development. Cell viability measured in Relative
319 Fluorescence Units is shown relative to control. Bars represent means \pm SE from three
320 independent experiments. Asterisk symbols on columns indicate differences between
321 control and compound treated groups. *** $p \leq 0.001$, ** $p \leq 0.01$, * $p \leq 0.05$ (one-way
322 ANOVA followed by Dunett's multiple comparison test).

323 **B. Phenotypic analysis of compound treated ookinete cultures.** Compounds were
324 added to the ookinete cultures after zygote formation and 18-24hrs after fertilisation
325 purified parasites were stained with Giemsa and observed under light microscope
326 (1000x). Morphologies of Giemsa stained untreated and treated parasites were
327 grouped in four categories (stage I, II, III-IV, V-VI). Representative pictures of each
328 stage are shown. Different morphological shapes were recorded in 12-15 optical fields
329 in three independent experiments. The majority of control parasites have transformed
330 to elongated ookinetes but compound treated parasites failed to reach this stage in
331 the case of HIV-PIs and p97 inhibitors. Aspartyl-protease specific inhibitors do not
332 affect parasite transition to mature ookinetes.

333 **C. Infectivity of compound treated *P.berghei* ookinetes.** *An. gambiae* mosquitoes
334 were fed using SMFA with DMSO (control) or compound treated *P. berghei* ookinetes.
335 Enriched ookinetes, treated with drugs as mentioned above, were mixed in fresh blood
336 from naive mice at a density of $3-5 \times 10^3$ ookinetes/ μ L. Graphs illustrate three
337 independent experiments. Each dot represents the number of oocysts counted per
338 individual *An. gambiae* midgut 6 days after feeding. The horizontal lines indicate the
339 median numbers of oocysts. Error bars represent the interquartile range. Statistical
340 significance between control and compound treated groups is shown when necessary
341 by asterisks and was determined using one-way Anova followed by Dunett's multiple
342 comparison tests (*** $p \leq 0.001$, ** $p \leq 0.01$, * $p \leq 0.05$). Median number of oocysts
343 with 25%-75% percentile range is indicated above each column.

344

Figure 2

A and B. Expression pattern of nuclear, secreted and micronemal markers in control and treated parasites.

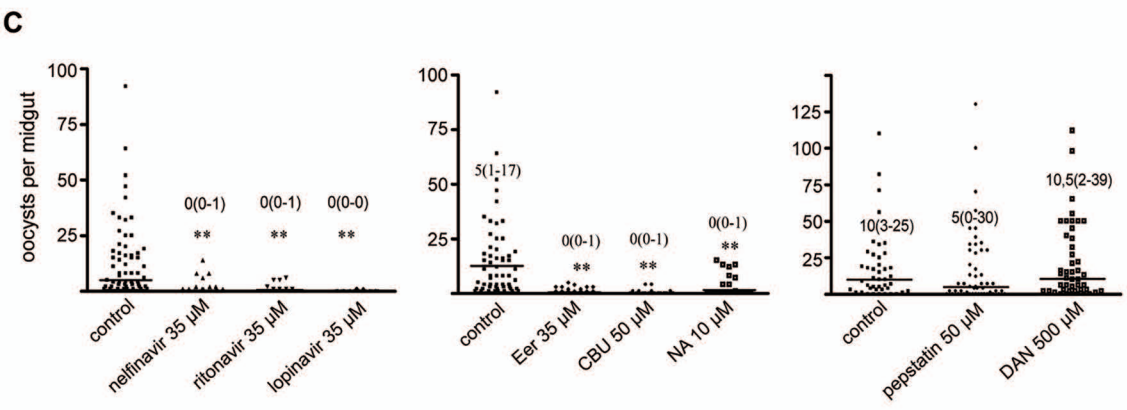
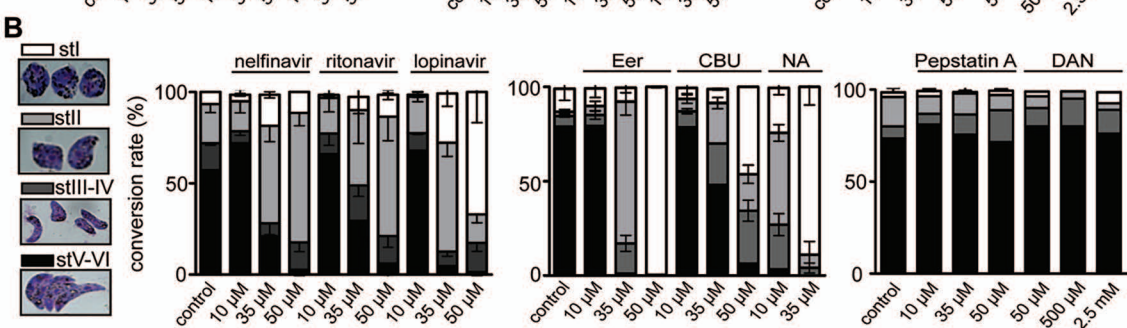
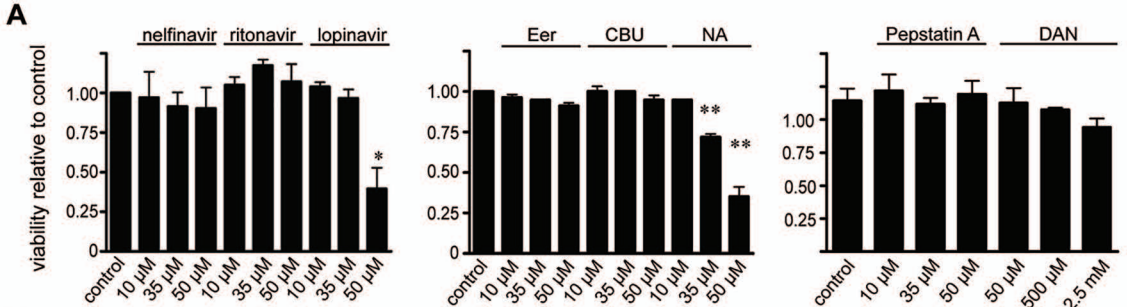
348 Indirect Immunofluorescence detection of a nuclear (SET), secreted (P28) (**panel A**)
349 and micronemal (SOAP) (**panel B**) protein in control and compound treated parasites.
350 SET distribution did not show any difference in the treated compared to untreated
351 parasites apart from nelfinavir treated ookinetes. P28 localisation was membrane and

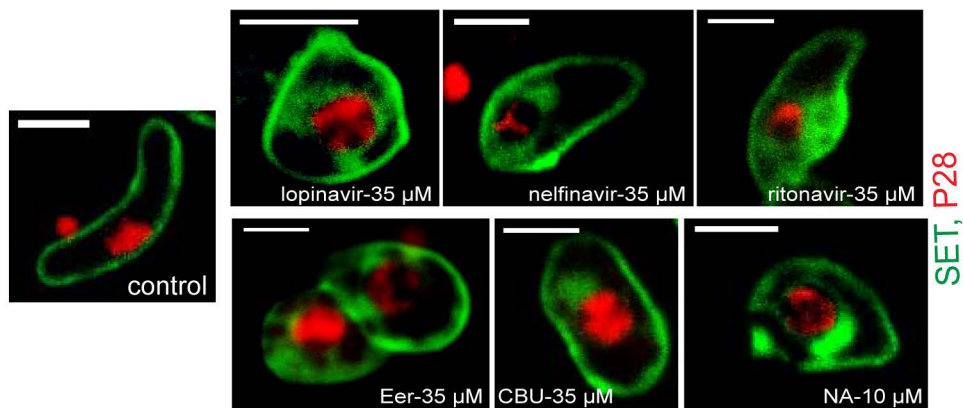
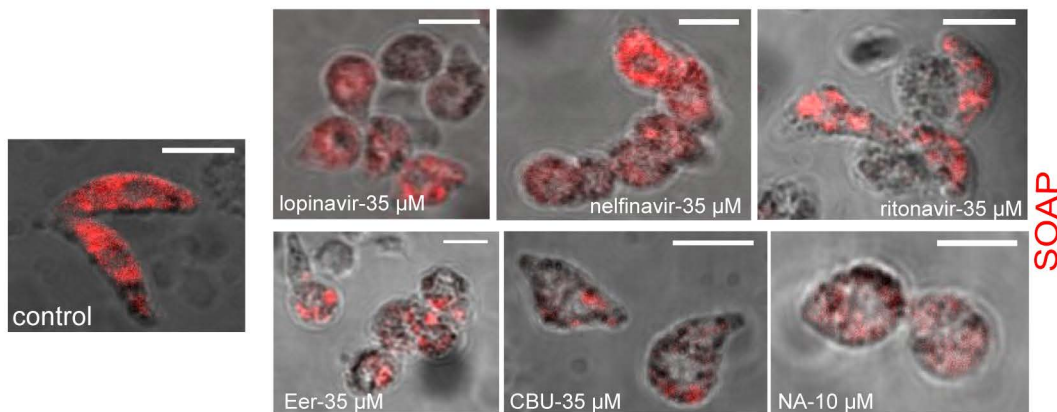
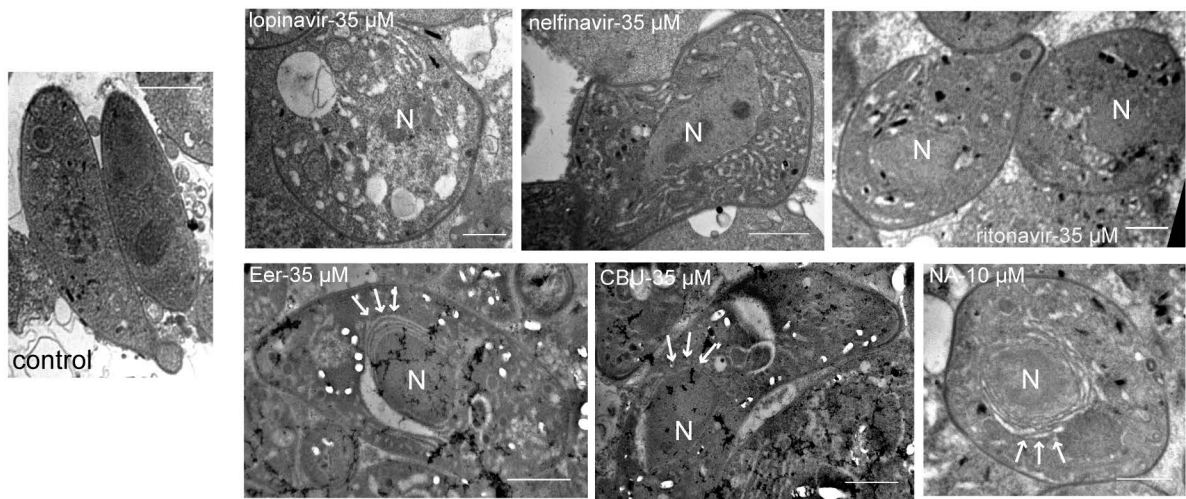
352 cytoplasmic in the treated parasites in contrast with the intense membrane staining of
353 control parasites. Scale bar indicates 5 μ M.

354 **C. Ultrastructural analysis of control and compound treated parasites.**

355 TEM images of HIV-PIs and Eer-related compound treated parasites. All drugs used
356 at 35 μ M apart from NA that was used at 10 μ M. In the case of nelfinavir treatment
357 parasites exhibit prominent nucleoli (asterisk) and enlarged nuclei. Eer, CBU-028 and
358 NA treated parasites show an extended membrane structure around the nucleus
359 (white arrows). Abbreviations: Nucleus; N. Scale bar indicates 2 μ M.

360



A**B****C**

Distinct effects of HIV protease inhibitors and ERAD inhibitors on zygote to ookinete transition of the malaria parasite.

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Summary

HIV protease inhibitors and ERAD inhibitors block zygote to ookinete transition in *P. berghei* highlighting them as potential transmission blocking agents for the eradication of malaria.

