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# Representation of tropical subseasonal variability of precipitation in global reanalyses

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## Abstract

Tropical subseasonal variability of precipitation from five global reanalyses (RAs) is evaluated against Global Precipitation Climatology Project (GPCP) and Tropical Rainfall Measuring Mission (TRMM) observations. The RAs include the three generations of global RAs from the National Center for Environmental Prediction (NCEP), and two other RAs from the European Centre for Medium-Range Weather Forecasts (ECMWF) and the National Aeronautics and Space Administration/Goddard Space Flight Center (NASA/GSFC). The analysis includes comparisons of the seasonal means and subseasonal variances of precipitation, and probability densities of rain intensity in selected areas. In addition, the space-time power spectrum was computed to examine the tropical Madden-Julian Oscillation (MJO) and convectively coupled equatorial waves (CCEWs).

The modern RAs show significant improvement in their representation of the mean state and subseasonal variability of precipitation when compared to the two older NCEP RAs: patterns of the seasonal mean state and the amplitude of subseasonal variability are more realistic in the modern RAs. However, the probability density of rain intensity in the modern RAs show discrepancies from observations that are similar to what the old RAs have. The modern RAs show higher coherence of CCEWs with observed variability and more realistic eastward propagation of the MJO precipitation. The modern RAs, however, exhibit common systematic deficiencies including: i)

40 variability of the CCEWs that tends to be either too weak or too strong, ii) limited  
41 coherence with observations for waves other than the MJO, and iii) a systematic phase  
42 lead or lag for the higher-frequency waves.

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44 Key Words: reanalysis, precipitation, tropics, subseasonal variability, Madden-Julian  
45 oscillation, convectively-coupled equatorial waves

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## 47 1. Introduction

48 Global atmospheric reanalysis products (RAs) have been widely used in scientific  
49 research and applications, and they are now invaluable resources for weather and  
50 climate studies. Providing dynamically- and physically-consistent global atmospheric  
51 states, that are continuously constrained by observations in time and space, RAs have  
52 helped to enlarge our understanding of climate and its low-frequency variability. Since  
53 the first global, multi-decadal RA was produced by the National Center for  
54 Environmental Prediction and National Center for Atmospheric Research  
55 (NCEP/NCAR, Kalnay et al. 1996), the number of variables, time frequency, spatial  
56 resolution, and the analysis period have substantially increased. Examples include the  
57 NCEP-Department of Energy reanalysis (NCEP-DOE, Kanamitsu et al. 2002), the 40-  
58 year European Centre for Medium-Range Weather Forecast (ECMWF) reanalysis (ERA-  
59 40, Uppala et al. 2005), and the Japanese 25-year reanalysis (JRA-25, Onogi et al. 2007).  
60 The data quality has been improved significantly as well, by virtue of increased  
61 observational data over the globe, and improved global forecast models and data  
62 assimilation techniques. This has led to the production of the most recent RAs: the  
63 NCEP Climate Forecast System Reanalysis (CSFR, Saha et al. 2010), the ERA-interim  
64 Reanalysis (ERA-I, Dee et al. 2011), NASA's Modern-Era Retrospective Analysis for  
65 Research and Applications (MERRA, Rienecker et al. 2011), and the NOAA-CIRES  
66 Twentieth Century Reanalysis (20CR, Compo et al., 2011).

67 With these multiple modern RAs, it is now possible to objectively identify the  
68 common and discriminating features across RAs, as well as assessing improvements  
69 from the older RAs - a major focus of this study. Previous studies have already shown  
70 that there are substantial differences among the RAs. For example, Hodge et al. (2011)  
71 showed that the differences among RAs in their representation of mid-latitude storms  
72 were large and systematic. Another typical example is the representation of the tropical  
73 Madden-Julian Oscillation (MJO) and associated subseasonal variability, where the  
74 convective signal and precipitation in RAs are only weakly constrained by observations  
75 that are less frequent and larger scale than the typical characteristic time and space scale  
76 of tropical deep convection. Indeed, the representation of the tropical subseasonal  
77 variability hinges on the individual assimilation system, observation sources, and the  
78 parameterized moist physics in the global forecast model. This study focuses on  
79 examining the capability of RAs in representing the MJO and the associated  
80 subseasonal variability in precipitation in the tropics. Although atmospheric moisture  
81 content and precipitation<sup>1</sup> are assimilated in the modern RAs, the representation of  
82 clouds and precipitation is still significantly affected by errors in the parameterizations  
83 of cloud processes. It is often assumed that wind fields from RAs are more reliable than  
84 the precipitation. The winds are, however, tightly coupled to precipitation through  
85 dynamical balances especially over the tropical ocean where in-situ observations are

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<sup>1</sup> Precipitation is assimilated only in ERA-I and MERRA.

86 sparse. Therefore, one need to be aware of the quality and uncertainty of RA  
87 precipitation even when he works with wind data.

88 Tropical subseasonal variability occurs on various space and time scales. Mesoscale  
89 convective systems are often embedded in equatorially trapped waves referred to as  
90 convectively coupled equatorial waves (CCEWs). These CCEWs account for a  
91 significant portion of the subseasonal variability of precipitation. By modulating  
92 tropical deep convection, CCEWs have large impacts on a wide variety of climate  
93 phenomena across different spatial and temporal scales. Some examples include the  
94 onset and break of the Indian and Australian summer monsoons (e.g. Yasunari 1979;  
95 Wheeler and McBride 2005), the formation of tropical cyclones (e.g. Liebmann et al.  
96 1994; Maloney and Hartmann 2000a; Maloney and Hartmann 2000b; Bessafi and  
97 Wheeler 2006; Frank and Roundy 2006; Molinari et al. 2007) and the onset of some El  
98 Nino events (e.g. Takayabu et al. 1999; Bergman et al. 2001; Kessler 2001). For a more  
99 thorough review on the impacts of the CCEWs, the reader is referred to Kiladis et al.  
100 (2009) and Zhang et al. (2005). Clearly, RAs need to correctly represent CCEWs if they  
101 are to be used to study almost any aspects of tropical subseasonal variability.

102 Among the CCEWs, the Madden-Julian oscillation (MJO, Madden and Julian 1972) is  
103 the dominant mode of tropical subseasonal variability, characterized by planetary  
104 wavenumbers 1-3, a low-frequency period of 30-60 days, and prominent eastward  
105 propagation. Despite its importance, our level of understanding of the dynamics of the

106 MJO is still incomplete. For example, there is no single generally accepted theory for the  
107 MJO, though a number of theories have been suggested (see e.g., Zhang 2005 and Wang  
108 2005, 2011; Majda and Stechmann, 2011). This is reflected in generally poor simulations  
109 of the MJO with state-of-the-art general circulation models (GCMs) (e.g. Lin et al. 2006;  
110 Kim et al. 2009; Hung et al. 2013, Sperber et al. 2011).

111 With the exception of the MJO, the existence of CCEWs was predicted by a  
112 theoretical study of Matsuno (1966). Matsuno solved the shallow-water equations on an  
113 equatorial beta-plane and obtained solutions of the various equatorially trapped waves,  
114 including: the Kelvin wave, the  $n=1$  westward inertia-gravity wave, the mixed Rossby-  
115 gravity wave, the  $n=0$  eastward inertia-gravity wave, and the Equatorial Rossby wave.  
116 Subsequent analysis of long-term, global satellite data revealed the signature of these  
117 waves in the variability of tropical deep convection (Takayabu 1994; Wheeler and  
118 Kiladis 1999). Further studies have revealed the structure of the waves using the global  
119 RAs (e.g., Sperber 2003; Yang et al. 2007), but our understanding of these waves,  
120 especially the interaction between moist convection and atmospheric circulations is still  
121 limited (Kiladis et al. 2009).

122 Given the limited number of observations in the tropics, global RAs are our best  
123 choice for studying CCEWs. Unfortunately, there is currently very limited information  
124 about the quality of the RAs in representing CCEWs, while several studies examined  
125 CCEWs simulated in GCMs (Lin et al. 2006; Frierson et al. 2011; Hung et al. 2013). We

126 aim to provide such information through a detailed evaluation of the RAs' precipitation.

127 The paper is organized as follows. Section 2 describes the RAs and observations  
128 used in this study. The mean state and subseasonal variability of precipitation during  
129 boreal winter and summer are evaluated in Section 3. A wavenumber-frequency  
130 analysis is presented in Section 4. The summary and conclusions are given in Section 5.

131

## 132 **2. The Reanalyses and Observations**

133 The key observational dataset used in this study is version 1.1 of the Global  
134 Precipitation Climatology Project (GPCP) daily precipitation data (Huffman et al. 2001).  
135 The original  $1^\circ \times 1^\circ$  latitude-longitude data were interpolated onto a  $2.5^\circ \times 2.5^\circ$  grid. The  
136 Tropical Rainfall Measuring Mission (TRMM) 3B42 version 6 daily precipitation data  
137 (Huffman et al. 2007) is also used to address the uncertainty in the observed  
138 precipitation. Note that both products use 3-hourly global infrared brightness  
139 temperature maps to create daily-mean precipitation estimates. We restrict our analysis  
140 period to 1997-2008 (1998-2008 for TRMM), because GPCP data is available after 1  
141 January 1997, and we think more than 10 years of daily data is enough for an evaluation  
142 of the subseasonal variability.

143 Table 1 summarizes the five RAs to be compared in this study. For a full description  
144 of each RA the interested readers may refer to the papers listed in the table. Here we  
145 only describe a few features relevant to our discussion. The horizontal resolution of the



146 global atmospheric models used in the data assimilation systems ranges from 32 to 200  
147 km, where the T62 (~ 200 km) of NCEP/NCAR and NCEP-DOE is the lowest and the  
148 T382 (~ 38 km) of NCEP CFSR is the highest. The number of vertical levels also varies  
149 across the RAs, with 28 levels in NCEP/NCAR and NCEP-DOE, and more than 60 levels  
150 in CFSR (64), ERA-I (72), and MERRA (72 – this is the number of model levels) . Since  
151 most of RAs examined in this study except ERA-I and MERRA do not use the observed  
152 rainfall in the assimilation process<sup>2</sup>, the moist physics of the global model including the  
153 deep convective parameterization plays an important role in dictating the spatial and  
154 temporal variability of precipitation in the tropics. All the RAs use local buoyancy-  
155 based, mass-flux convection schemes, although the details of the closure assumption  
156 and convection triggering process are quite different across the global forecast models  
157 (Moorthi and Suarez, 1992; Pan and Wu, 1994; Hong and Pan, 1998; Bechtold et al. 2001).  
158 Regarding the assimilation technique, CFSR, ERA-I, and MERRA use techniques that  
159 performs in four-dimensional space. This enables the techniques to consider  
160 observations at the future times with respect to the target analysis time. The influence of  
161 the observations during the course of the assimilation occurs through, a first-order time  
162 interpolation scheme (Rancic et al. 2008), the four-dimensional variational assimilation  
163 technique, and the incremental analysis update scheme (Bloom et al, 1996) in CFSR,  
164 ERA-I, and MERRA, respectively. Daily-averaged RA precipitation was created using 6-

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<sup>2</sup> When MERRA assimilates precipitation observation over oceans, it is weighted only very weakly so that it effectively has almost no impact.

165 hourly datasets except for MERRA, where 3-hourly data was used. For this study, all  
166 the precipitation data were spatially interpolated onto the same 2.5°x2.5° latitude-  
167 longitude grid.

168 The quality of RA precipitation is affected significantly by the quality of  
169 tropospheric moisture analysis. In RAs, tropospheric moisture is constrained by data  
170 from various observational systems including radiosondes, air-borne sensors, and  
171 satellites, among which satellite radiances are the dominant source of moisture  
172 information over the tropical oceans. This suggests that the availability of satellite  
173 radiances will have a strong impact on the quality of the RA precipitation products.  
174 The list of satellites and the instruments used to retrieve atmospheric humidity (vertical  
175 profile or column-integrated) are given in Table 2. Also indicated in Table 2 is the use  
176 of these data in the five RAs. Note that in the earlier RAs (NCEP/NCAR, NCEP-DOE)  
177 satellite-based moisture observations were not used. On the other hand, all the modern  
178 RAs (CFSR, ERA-I, and MERRA) incorporate satellite-based moisture data. For more  
179 details about the usage of these data, readers are referred to Figure 4 in Saha et al. (2010),  
180 Figure 14 in Dee et al. (2011), and Table B3 in Rienecker et al. (2011).

181

### 182 **3. Results**

#### 183 *a) Mean state*

184 Kim et al. (2009) found that the quality of the spatial structure of the time-mean

185 precipitation is closely linked to the capability to simulate the MJO among other  
186 variables, so we begin this section by presenting the time mean precipitation patterns.

187 Figures 1 and 2 show the time-mean precipitation from the RAs and observations  
188 during boreal winter (November-April) and summer (May-October), respectively.  
189 The pattern correlations and normalized amplitudes against GPCP of the seasonal mean  
190 precipitation maps in the RAs and TRMM are shown in Figure 3 in a Taylor diagram  
191 (Taylor 2001). Note that the two observational estimates – GPCP and TRMM are similar  
192 to each other. The observed magnitude of the mean precipitation is well captured in  
193 NCEP/NCAR, ERA-I, and MERRA, while NCEP-DOE and CFSR tend to overestimate it  
194 (Fig. 3). Overall, the modern RAs exhibit an improved pattern compared to the old RAs.  
195 Regional biases in RAs over the inter-tropical convergence zone (ITCZ) and the south  
196 Pacific convergence zone (SPCZ) can be also identified in the comparison. During  
197 boreal winter over the eastern Pacific (Fig. 1), all RAs exhibit stronger ITCZs in the  
198 southern hemisphere, although this is very weak in the GPCP and TRMM observation.  
199 In the older RAs (NCEP-NCAR and NCEP-DOE), this double-ITCZ pattern is also  
200 prominent during boreal summer (Fig.2). The SPCZ in boreal winter (Fig.1) is well  
201 captured in all products, while the peak of precipitation in the SPCZ is somewhat  
202 shifted to the east in NCEP/NCAR and NCEP-DOE, compared to the observations and  
203 other RAs. During boreal summer (Fig. 2), the RAs capture the rain bands related to the  
204 south Asian and western Pacific monsoons.

205 In the maritime continent, the GPCP and TRMM observation show rainfall maxima  
206 over the big islands with elevated topography (e.g., Borneo and New Guinea), and  
207 relatively smaller mean rainfall in the adjacent oceanic areas. This feature is seen in both  
208 seasons, but is particularly recognizable during boreal winter. This distribution of  
209 mean rainfall over the maritime continent is well captured in the modern RAs, and is  
210 represented with lesser realism in NCEP/NCAR and NCEP-DOE. The precipitation  
211 around the islands over the maritime continent is underestimated in NCEP/NCAR, and  
212 the minimum around 130°E is not captured in NCEP-DOE. The increased horizontal  
213 resolution of the modern RAs (see Table 1) is obviously one factor that might have led  
214 to the improved representation over the maritime continent.

#### 215 b) Probability density of rain intensity

216 Another statistics that provide useful information is the frequency of rain  
217 intensity. When the RAs reproduce time mean value of precipitation in a location, they  
218 are expected to do it with the right distribution of rain intensity values. It could be,  
219 however, from a different distribution of rain intensity values. For example, it is  
220 possible that a RA with too-frequent light rain events reproduces an observed mean  
221 value, which is a result of a few heavy precipitation events. Such mismatches could be  
222 illustrative of differences in underlying storm type(s), vertical distributions of latent  
223 heat, etc., and users of the RA products need to be aware of these characteristics. The  
224 probability density of rain rates in observations and RAs is shown in Figure 4. Fifty-one

225 precipitation bins are used in the calculation of the probability density following Eq. (1),  
226 where lower ( $P_i^L$ ) and upper ( $P_i^U$ ) bounds of each (i-th) bin is defined.

$$\begin{aligned} P_i^L &= 0, P_i^U = 0.09797, \text{ for } i = 1 \\ 227 \quad P_i^L &= P_{i-1}^U, \log_{10} P_i^U - \log_{10} P_i^L = 0.065, \text{ for } i = 2, 3, \dots, 50 \quad (1) \\ P_i^L &= 150, P_i^U = 1000, \text{ for } i = 51 \end{aligned}$$

228 The probability density of rain rate is obtained using daily rain rates over the  
229 three areas: the Indo-Pacific Warm Pool (40-180°E, 20°S-20°N), the ITCZ (182.5-280°E,  
230 2.5-10°N), and the southeastern Pacific (220-280°E, 2.5-10°S). The warm pool and ITCZ  
231 areas are where mean precipitation is higher than surrounding areas. It is therefore of  
232 interest whether the RAs produce mean rainfall in these areas with similar statistics of  
233 intensity of rain events to those in observations. The southeastern Pacific area is an area  
234 dominated by low mean precipitation and where some RAs exhibit the double ITCZ  
235 bias (Figs. 1 and 2). Probability density of rain events might provide insights on the  
236 physical nature of the bias.

237 Overall, GPCP and TRMM show a good agreement in all three areas, and the  
238 difference between the two observational estimates is smaller than the difference  
239 between those and RAs. Nonetheless, a systematic difference between GPCP and  
240 TRMM is notable. In the warm pool and ITCZ areas, GPCP has the probability of weak  
241 rain rate ( $< 10 \text{ mm day}^{-1}$ ) lower than that in TRMM, while GPCP shows a higher  
242 probability density of the strong rain event ( $> 10 \text{ mm day}^{-1}$ ) than that in TRMM. The

243 frequency of weak rain event in TRMM is also higher than that in GPCP in the  
244 southeastern Pacific area. It should be noted that both GPCP and TRMM could have a  
245 systematic bias in the light-rain regime, due to the lack of sensitivity of IR-based sensors  
246 to warm rain events (Behrangi et al. 2012).

247 In the warm pool and ITCZ area, all RAs tend to overestimate the frequency of  
248 rain rates whose magnitude is near  $10 \text{ mm day}^{-1}$ . This is especially true in NCEP/NCAR,  
249 ERA-I, and MERRA. The RAs that overestimate these intermediate-intensity rain events  
250 underestimate the frequency of strong rain events. NCEP-DOE and CFSR exhibit  
251 relatively better statistics of the frequency of strong rain events. The probability density  
252 of strong rain events in those RAs is similar to those in GPCP and TRMM. MERRA has  
253 a peak near  $1 \text{ mm day}^{-1}$  rain rate in all areas considered, which is not seen in other RAs  
254 and observations. This suggests that the too-frequent light rain is an inherent feature of  
255 MERRA. Over the southeastern Pacific area, compared to the statistics over the warm  
256 pool and ITCZ areas, strong rain events are hardly observed in GPCP and TRMM. In  
257 this area, the RAs that have relatively larger time-mean double ITCZ bias (i.e. NCEP-  
258 NCAR and NCEP-DOE), overestimate the frequency of intermediate-to-strong rain  
259 events. In NCEP-NCAR, the frequency of the intermediate ( $1\text{-}10 \text{ mm day}^{-1}$ ) rain events  
260 is higher than the observed estimates, while NCEP-DOE overestimates the frequency of  
261 the strong ( $> 10 \text{ mm day}^{-1}$ ) rain events. On the contrary, the modern RAs overestimates  
262 the probability density of weak ( $< 1 \text{ mm day}^{-1}$ ) rain events. This suggests that the similar

263 bias in the time-mean pattern in different RAs originates from a different physical  
264 nature. There is no systematic difference between the old and modern RAs in Figure 4.

265  
266 *c) Subseasonal variability*

267 Subseasonal (20-100 day) variability accounts for a significant amount of the total  
268 variance in many tropical areas. Figure 5 and 6 display the variance of 20-100 day  
269 band-pass filtered precipitation during boreal winter and summer, respectively. The  
270 pattern correlation with that of GPCP and relative amplitude to that of GPCP is shown  
271 in Figure 7. Again, the two observations agree quite well, and the difference between  
272 GPCP and TRMM is much smaller than that between RAs and observations (Fig. 7),  
273 implying the observational uncertainty is smaller than errors in RAs.

274 The distribution of the subseasonal variability resembles that of the time-mean  
275 precipitation in general, but with a notable difference over land. In the observations  
276 during boreal winter (Figure 5), subseasonal variability has a minimum in the big  
277 islands over the maritime continent, whereas the seasonal-mean precipitation peaks  
278 there. During boreal summer (Figure 6), subseasonal variability in the Amazon and  
279 central Africa is much smaller than that over the Indian Ocean and the west Pacific,  
280 although mean precipitation is comparable in all these areas. This suggests that the  
281 time-mean precipitation over land and its time variance is also composed of shorter  
282 time scale phenomena such as diurnal convection (e.g. Tian et al. 2006) and other  
283 transients.

284 Sobel et al. (2008) suggested that the disagreement in relative magnitudes of time-  
285 mean precipitation and subseasonal variability over land is evidence of the importance  
286 of surface heat flux in driving subseasonal rainfall anomalies. That is, surface  
287 temperature and accompanying surface turbulent heat flux cannot generate low-  
288 frequency variability over land due to the negligible heat capacity there, consistent with  
289 the lack of subseasonal variability of precipitation over land. In all the RAs, this feature  
290 is well captured (Figs. 5 and 6), implying that the RAs are successfully segregating the  
291 subseasonal, low-frequency variability over ocean and relatively higher-frequency  
292 variability over land. The simulated amplitude of subseasonal variability over land  
293 (especially the islands over the maritime continent) is smaller than that over the oceanic  
294 area with comparable time-mean precipitation.

295 There are however large differences in the magnitude of precipitation variance in  
296 RAs, where NCEP-DOE and CFSR overestimate the variance and others underestimate  
297 it (Fig. 7). In Figure 8, we examine the ratio of the subseasonal (20-100 day)  
298 precipitation variance to the total variance. Here the total variance is defined as the  
299 squared averages of daily precipitation anomalies. In NCEP/NCAR, ERA-I, and  
300 MERRA, the fraction of rainfall variability explained by the subseasonal component is  
301 greater than that of observations (Fig. 8), although the overall subseasonal variability is  
302 underestimated (Fig. 7). NCEP-DOE and CFSR show stronger subseasonal variability  
303 than observed with comparable ratios of subseasonal to total variability (Fig. 8). This



304 indicates that NCEP/NCAR, ERA-I, and MERRA tend to produce weaker precipitation  
305 variance in the shorter-time scales (less than 20 days), compared with observations.

306 The relationship between time-mean precipitation and the subseasonal precipitation  
307 variance is illustrated in Fig. 9, in terms of a scatter diagram between tropics (0-360°E,  
308 30°S-30°N)–averaged standard deviation of subseasonal precipitation anomaly and the  
309 tropics time-mean precipitation. Relative to GPCP; NCEP/NCAR, ERA-I, and MERRA  
310 underestimate the subseasonal variability, while NCEP-DOE and CFSR overestimate it.  
311 Among the RAs, the magnitudes of the mean and subseasonal variability in the tropics  
312 show a monotonic relationship in which amplitude of subseasonal variability is  
313 expected to be high when the time-mean precipitation is high. The RAs, however, have  
314 a systematic wet bias compared to GPCP and TRMM.

315

316 *d) A wavenumber-frequency analysis*

317 In this subsection, we describe our analysis of the subseasonal variability of RA  
318 precipitation in zonal wavenumber and frequency space. First, the daily precipitation  
319 anomalies at latitude bands between 15°S and 15°N were separated into symmetric and  
320 antisymmetric components, following the method of Hendon and Wheeler (2008). For  
321 each component, a total of 83 segments of 256-day long time series, with a 206-day  
322 overlap between two consecutive segments, were prepared from the entire 4843-day  
323 (1997-2008) long time series. Using the fast Fourier transform, time series of daily

324 precipitation anomalies (either symmetric or antisymmetric with respect to the equator)  
325 in each segment and latitude are transformed into the wavenumber-frequency domain.  
326 Figures. 10 and 11 compare the power spectra of precipitation from the RAs and GPCP  
327 for the symmetric and antisymmetric components, respectively.

328 All power spectra from GPCP and RAs precipitation are red in both space and time,  
329 with maximum power in lower wavenumber and frequency. In a number of areas in  
330 Figs. 10 and 11, the spectral power exceeds the background spectrum. These signals  
331 follow, in the symmetric spectra, the dispersion curves of the Kelvin wave, the  $n=1$   
332 Equatorial Rossby (ER) wave, and the MJO, and in the antisymmetric spectra, the mixed  
333 Rossby-gravity (MRG) wave, the  $n=0$  eastward propagating inertia-gravity (EIG) wave,  
334 and the MJO. In the following, we focus on how well the RAs represent the amplitude  
335 of the spectrum, especially the large-scale convectively coupled wave signals in it.

336 As shown in Figs. 10 and 11, the two older NCEP RAs show quite different features  
337 in the strength of precipitation variability; NCEP/NCAR exhibits variability that is too  
338 weak, while it is too strong in NCEP-DOE. This is further illustrated in Figure 12,  
339 which shows the spectral power of the waves identified in Figs. 10 and 11 divided by  
340 that of GPCP. In Figure 12, the sum of spectral powers over the wavenumber-frequency  
341 space for each wave is presented. We use same wavenumber-frequency spaces for the  
342 waves that were used in Wheeler and Kiladis (1999), except for the MJO where 30-80  
343 day band instead of 30-96 day is used. It shows that NCEP/NCAR underestimates the

344 variability of all waves. NCEP-DOE shows reasonable variability of the symmetric MJO  
345 and the Kelvin wave (close to the magnitude of GPCP), but it exhibits excessive  
346 variability in the  $n=1$  ER wave, the antisymmetric MJO and the MRG wave. Also, in  
347 both RAs the MJO signal is not as clearly distinguished from the red spectra as in GPCP  
348 (Figs. 10 and 11). Compared with the two early RAs, the overall variance pattern in the  
349 modern RAs is closer to that of GPCP (Fig. 12), and the MJO signal is more clearly  
350 distinguished from the background spectra (Figs. 10 and 11). In Figure 12, the  
351 amplitudes of precipitation variance in all waves in ERA-I and MERRA are comparable  
352 to each other. These two RAs show somewhat smaller magnitudes than that of GPCP,  
353 but much better than NCEP/NCAR. On the other hand, CFSR shows similar wave  
354 amplitudes with those from NCEP-DOE in general. The only exception is the  $n=1$  ER  
355 wave where the CFSR signal is about half of that in NCEP-DOE so that it is much closer  
356 to observed value.

357 To obtain a metric of the MJO, the sum of power over the MJO band (wavenumber  
358 1-5, period 30-60 days) is divided by that of the westward propagating counterpart.  
359 This East/West power ratio metric has been used in previous studies, mostly for  
360 evaluating climate models (Kim et al. 2009; Kim et al. 2011; Sperber and Kim 2012).  
361 Figure 13 shows the scatter plot of the East/West power ratios from the symmetric and  
362 antisymmetric spectra. In the observations, the eastward propagation is more dominant  
363 than the westward for MJO. The observed ratios are 1.86 for the symmetric component

364 and 1.23 for the antisymmetric component. All RAs tend to underestimate these ratios,  
365 which suggest that the westward propagating components are too strong in their  
366 precipitation products. Encouragingly, the modern RAs exhibit higher ratios than the  
367 older RAs, especially for the ratio of the symmetric MJO. For the symmetric MJO, the  
368 East/West power ratios of NCEP/NCAR and NCEP-DOE are smaller than 1.3, while it is  
369 close to (CFSR) or greater than 1.5 (ERA-I and MERRA) in the modern RAs. These are  
370 much closer to the observed values.

371 The coherence squared ( $\text{Coh}^2$ ) and the phase between the RA and GPCP were  
372 calculated using a cross-spectrum analysis, presented in Figs. 14 and 15 for the  
373 symmetric and the antisymmetric parts, respectively. The cross-spectra are first  
374 calculated for each segment and then averaged over all segments. The  $\text{Coh}^2$  and the  
375 phase of the RA precipitation with GPCP measure how closely precipitation anomalies  
376 of RAs follow that of GPCP in time. Ideally, if a RA perfectly reproduces GPCP, the  
377  $\text{Coh}^2$  and phase will be one and zero, respectively, for all wave components.  
378 Uncertainty exists in GPCP dataset (e.g., Huffman et al. 2007), however, so that we  
379 should not expect RAs to perfectly reproduce GPCP. To consider such uncertainties in  
380 observations, and to suggest an upper limit for RAs to achieve, the  $\text{Coh}^2$  and phase are  
381 also computed between two observational dataset - GPCP and TRMM.

382 In Figs. 14 and 15, the  $\text{Coh}^2$  between the RA precipitation and GPCP is actually  
383 much smaller than that between TRMM and GPCP for most wavenumber-frequency

384 components (especially for the older RAs). The overall  $\text{Coh}^2$  (shaded in Figs. 14 and 15)  
385 in the modern RAs is in fact considerably greater than that for the older RAs, with the  
386 improvement occurring at all waves (Fig. 16a). In NCEP/NCAR and NCEP-DOE, areas  
387 of  $\text{Coh}^2$  greater than 0.5 are mostly limited to within the MJO wave band, whereas CFSR,  
388 ERA-I, and MERRA show much broader areas with values more than 0.5. By  
389 comparison, TRMM exhibits  $\text{Coh}^2$  greater than 0.5 in most areas. In particular, the  $\text{Coh}^2$   
390 of the symmetric MJO is greater than 0.6 in ERA-I and MERRA. For the Kelvin wave  
391 and the MRG wave, these two RAs exhibit much greater coherence with the  
392 observations compared to the NCEP RAs.

393 In many regions of the space-time spectra (Figs. 14 and 15), the phase is near zero in  
394 the modern RAs. For all five RAs, the absolute value of the phase difference for the  
395 symmetric MJO, the  $n=1$  ER wave, and the phase difference for the antisymmetric MJO  
396 is smaller than 10 degree (Fig. 16b), except for the symmetric MJO of ERA-I. The  
397 modern RAs, however, show non-negligible phase differences from GPCP for the high-  
398 frequency waves, such as Kelvin and MRG waves. Figure 16b shows that the Kelvin  
399 wave components in the modern RAs systematically lag GPCP by 10-20 degrees, while  
400 the MRG components lead GPCP by about 20 degrees. This systematic difference  
401 cannot be attributed to the observational uncertainty as TRMM shows nearly zero phase  
402 difference for these waves.

403

#### 404 4. Summary and Conclusion

405 This study assessed the quality of the time-mean and subseasonal variability of the  
406 tropical precipitation produced by five global RAs. Twelve-year-long (1997-2008)  
407 precipitation data from three generations of RA products from NCEP (NCEP/NCAR,  
408 NCEP-DOE, and CFSR), and the recent RA products from ECMWF (ERA-I) and NASA  
409 (MERRA) were compared with GPCP observations. Eleven-year-long (1998-2008)  
410 TRMM precipitation data is also used in the evaluation, namely to assess observational  
411 uncertainties. The analysis includes an examination of the boreal winter and summer  
412 means, probability distribution of rain intensity, and subseasonal (20-100 day)  
413 variability, as well as wavenumber-frequency power spectra and cross-spectra with  
414 observed precipitation.

415 The three modern RAs (CFSR, ERA-I, and MERRA) exhibit an overall improved  
416 representation of the seasonal mean state when compared to the older RAs  
417 (NCEP/NCAR and NCEP-DOE). Over the Indian Ocean, where many MJO events are  
418 initiated, the modern RAs are able to capture the zonal gradient of precipitation (which  
419 increases to the east), while the older RAs exhibit peaks in the west or the center of the  
420 basin. The modern RAs show a weaker (improved) double ITCZ bias in the eastern  
421 Pacific. The contrast in magnitude between the time-mean precipitation and the  
422 subseasonal variance over land is well-captured in all RAs. Despite of the improvement  
423 in the pattern of seasonal mean precipitation, the probability distribution of daily rain

424 rates in the modern RAs exhibits no systematic difference from that in the old RAs. The  
425 amplitude of subseasonal variability over the tropics is closer to the observed in the  
426 modern RAs while it is either too weak (NCEP/NCAR) or too strong (NCEP-DOE) in  
427 the older RAs. It is also found that the magnitudes of mean and the subseasonal  
428 variance of precipitation anomalies in the tropics show a monotonic proportional  
429 relationship across RAs. But RAs also exhibit a systematic wet bias in their mean  
430 tropical rainfall.

431 A wave number frequency analysis shows that both observations and RAs contain a  
432 number of identifiable wave structures including: the symmetric and antisymmetric  
433 MJO, the Kelvin wave, the  $n=1$  ER wave, and the MRG wave. NCEP/NCAR  
434 underestimates the power of all waves considered here. NCEP-DOE reproduces the  
435 amplitude of the symmetric MJO and the Kelvin wave reasonably well, although it  
436 shows excessive power for the  $n=1$  ER wave, the antisymmetric MJO, and the MRG  
437 wave. CFSR is similar to NCEP-DOE in representing the amplitude of the waves,  
438 although the too-strong bias for the  $n=1$  ER wave in NCEP-DOE is significantly  
439 improved. ERA-I and MERRA underestimate the amplitude of all waves, but are an  
440 overall improvement over NCEP/NCAR. TRMM shows the coherence with GPCP  
441 greater than those of RAs for all waves, suggesting the bias in the coherence cannot be  
442 solely attributed to the observational uncertainties. Nonetheless, the modern RAs have  
443 greater coherence with GPCP than the older RAs. Especially, the coherence squared

444 between GPCP and precipitation from modern RAs in MJO band is much higher than  
445 that of old RAs. Despite of the notable improvement in the coherence for the MJO, the  
446 coherence for other CCEWs are still limited. Also, all RAs including the modern ones  
447 have a systematic phase bias for the high-frequency waves (the Kelvin and MRG waves).  
448 These limitations call for further improvement of the RAs, possibly through additional  
449 observational resources related to precipitation and through more holistic, multi-variate  
450 data assimilation methodology.

451 This study leaves a detailed analysis of impacts driven by assimilating moisture-  
452 related satellite radiances in the modern RAs for further study, which are speculated as  
453 at least one of the potential sources for the improvement from the old RAs in the  
454 representation of MJO and CCEWs. Because all components in the assimilation system  
455 (e.g., assimilated observations, assimilation technique, and forecast model) have their  
456 own influences on the quality of a resulted RA, it is not easy to disentangle specific  
457 contributions made by the moisture assimilation, and this is well beyond the scope of  
458 this study. A set of systematic data-denial experiments in a data assimilation mode will  
459 help us to identify the importance of the moisture assimilation in the quality of RAs in  
460 representing mean-state and subseasonal variability of precipitation.

461

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471

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609



610 **Table list**

611 Table 1. Description of the reanalyses used in this study.

612 Table 2. Summary of satellite radiance data used to constrain tropospheric humidity.

613

614 **Figure list**

615

616 Figure 1. November-April mean precipitation of a) NCEP/NCAR, b) NCEP-DOE, c)  
617 CFSR, d) ERA-I, e) MERRA, f) GPCP, and g) TRMM. Unit is mm day<sup>-1</sup>.

618 Figure 2. Same as Figure 1, except for May-October mean precipitation.

619 Figure 3. A Taylor diagram of November-April (open circles) and May-October (crosses)  
620 mean precipitation over the tropics (0-360°E, 30°S-30°N).

621 Figure 4. Probability density of precipitation over a) Warm Pool (40-180°E, 20°S-20°N), b)  
622 ITCZ (182.5-280°E, 2.5-10°N), and c) South Eastern Pacific (220-280°E, 2.5-10°S)  
623 regions.

624 Figure 5. As in Figure 1, except for variance of 20-100 day band pass filtered  
625 precipitation. The unit is mm<sup>2</sup> day<sup>-2</sup>.

626 Figure 6. Same as Figure 3, except for May-October variance of 20-100 day band pass  
627 filtered precipitation.

628 Figure 7. As in Figure 3, except for variance of 20-100 day band pass filtered  
629 precipitation.

630 Figure 8. Ratio of 20-100 day variability to total variability (November-April).

631 Figure 9. November-April (open circles) and May-October (crosses) scatter plot between  
632 standard deviation of 20-100 day filtered precipitation anomalies and tropics (0-  
633 360°E, 30°S-30°N) mean of precipitation. Units for both quantities are mm day<sup>-1</sup>.

634 Figure 10. Symmetric wavenumber-frequency spectra of a) NCEP/NCAR, b) NCEP-

635 DOE, c) CFSR, d) ERA-I, e) MERRA, and f) GPCP. Dispersion curves for the ( $n =$   
636 1) Kelvin,  $n = 1$  equatorial Rossby (ER) modes, corresponding to three equivalent  
637 depths ( $h = 12, 25, \text{ and } 50 \text{ m}$ ) in the shallow water equations are overlaid (red  
638 contours). MJO is defined as the spectral components within zonal wavenumbers  
639 1 to 3 and having periods 30 to 80 days. (add significance by dividing power by  
640 background power)

641 Figure 11. Same as Figure 10, except for antisymmetric spectra. Dispersion curves for  $n$   
642  $= 0$  eastward intertio-gravity (EIG), and mixed Rossby-gravity (MRG) modes,  
643 corresponding to three equivalent depths ( $h = 12, 25, \text{ and } 50 \text{ m}$ ) in the shallow  
644 water equations are overlaid (red contours). MJO is defined as the spectral  
645 components within zonal wavenumbers 1 to 3 and having periods 30 to 80 days.

646 Figure 12. Ratio of powers corresponding to each wave in reanalysis and TRMM to that  
647 in GPCP.

648 Figure 13. Scatter plot between East/West power ratios of symmetric and antisymmetric  
649 MJO.

650 Figure 14. Coherence squared (colors) and phase lag (vectors) between GPCP  
651 precipitation and precipitation from a) NCEP/NCAR, b) NCEP-DOE, c) CFSR, d)  
652 ERA-I, e) MERRA, and f) TRMM. The symmetric spectrum is shown. Spectra  
653 were computed at individual latitude, and then averaged over  $15^{\circ}\text{S}$ – $15^{\circ}\text{N}$ .  
654 Computations are conducted using data in all seasons on 256-day segments,

655 overlapping by 206 days. Vectors represent the phase by which reanalysis  
656 precipitation lags GPCP, increasing in the clockwise direction. A phase of  $0^\circ$  is  
657 represented by a vector directed upward.

658 Figure 15. Same as Figure 14, except for antisymmetric spectra.

659 Figure 16. a) Coherence squared and b) the phase (deg) averaged for the waves from  
660 Figure 14 and 15.

661

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664

665 Table 1. Description of the reanalyses used in this study.

Reanalysis	Resolution	Convection scheme	Assimilation scheme
NCEP/NCAR (Kalnay et al, 1996)	T62/L28 (top: ~3hPa)	A simplified Arakawa-Schubert convective parameterization (Pan and Wu 1994)	SSI
NCEP-DOE (Kanamitsu et al. 2002)	T62/L28 (top: ~3hPa)	Minor tuning of one in NCEP/NCAR	Same as in NCEP/NCAR
CFSR (Saha et al. 2010)	T382/L64 (top: 0.2hPa)	Addition of Hong and Pan (1998) modification and momentum mixing to one in NCEP/NCAR	GSI, FOTO
ERA-I (Dee et al. 2011)	T255/L60 (top: 0.1 hPa)	A modified version of Bechtold et al. (2001)	GSI, IAU
MERRA (Rienecker et al. 2011)	0.67°x0.5°/L72 (top: 0.01 hPa)	A modified version of the relaxed Arakawa-Schubert convective scheme (Moorthi and Suarez 1992)	4DVAR

666

667 4DVAR: Four-dimensional variational assimilation

668 FOTO: First-order time interpolation to the observation (Rancić et al. 2008)

669 GSI: Gridded statistical interpolation (Kleist et al. 2009)

670 IAU: Incremental Analysis Update (Bloom et al. 1996)

671 SSI: Spectral Statistical Interpolation (Parrish and Derber, 1992, Derber et al., 1991)

672

673 Table 2. Summary of satellite radiance data used to constrain tropospheric humidity.

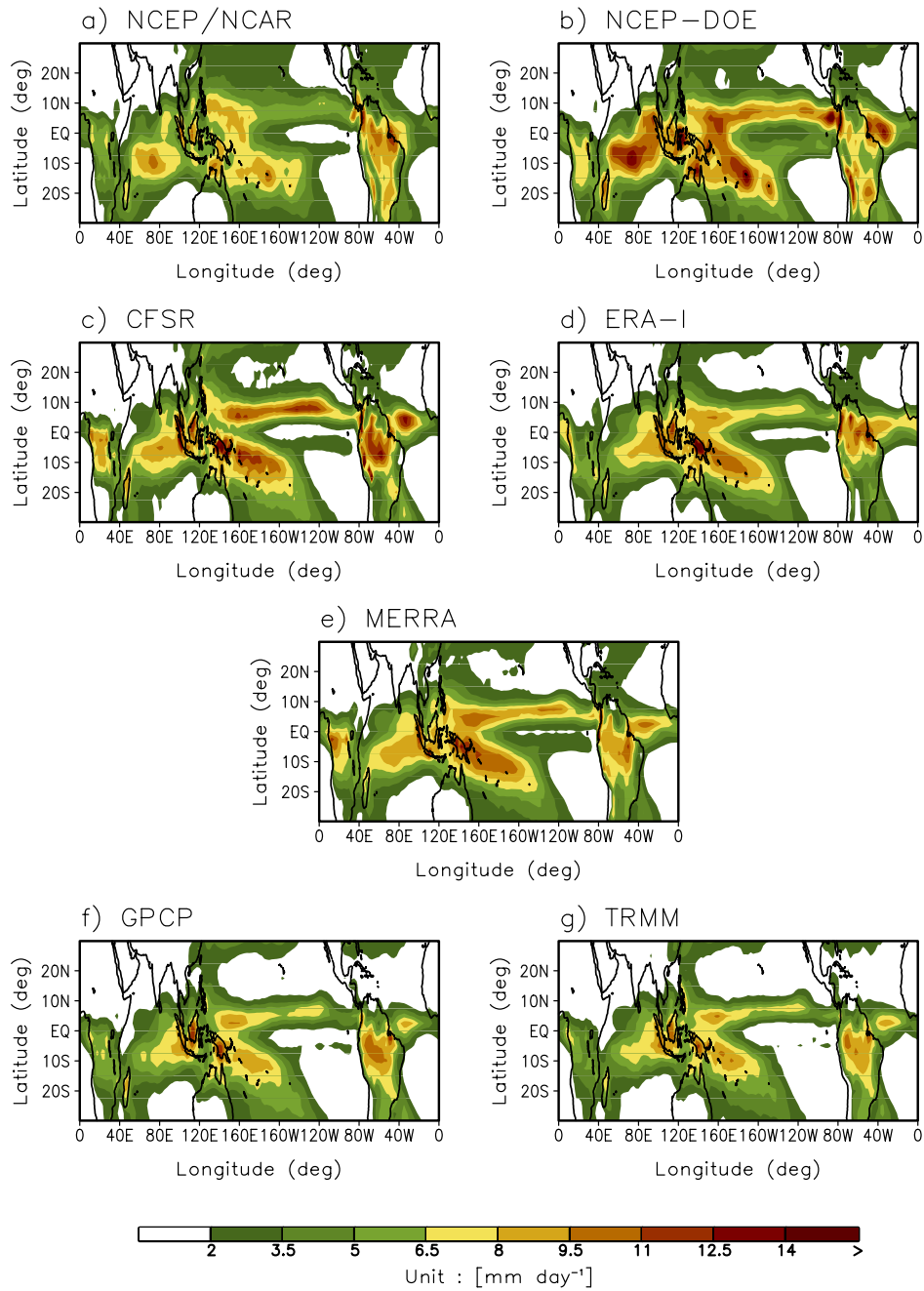
674

Satellites	Instruments	Reanalyses
NOAA-10, 11, 12, 14	HIRS	CFSR, ERA-I, MERRA
NOAA-15, 16, 17, 18, 19	AMSU-A, AMSU-B (16, 17), HIRS, MHS (18, 19)	CFSR, ERA-I, MERRA
METOP-A	AMSU-A, MHS, HIRS	CFSR, ERA-I
EOS-Aqua	AIRS, AMSR-E, AMSU-A	CFSR, ERA-I, MERRA
DMSP F-8, 10, 11, 13, 14, 15, 16	SSM/I (up to 15), SSMIS (16)	ERA-I, MERRA (except for 16)
GEOS-8, 9, 10, 11, 12, 13	Infrared imager	CFSR, ERA-I, MERRA
METEOSAT-5, 7, 8, 9	Infrared imager	ERA-I
MTSAT-1R	Infrared imager	ERA-I

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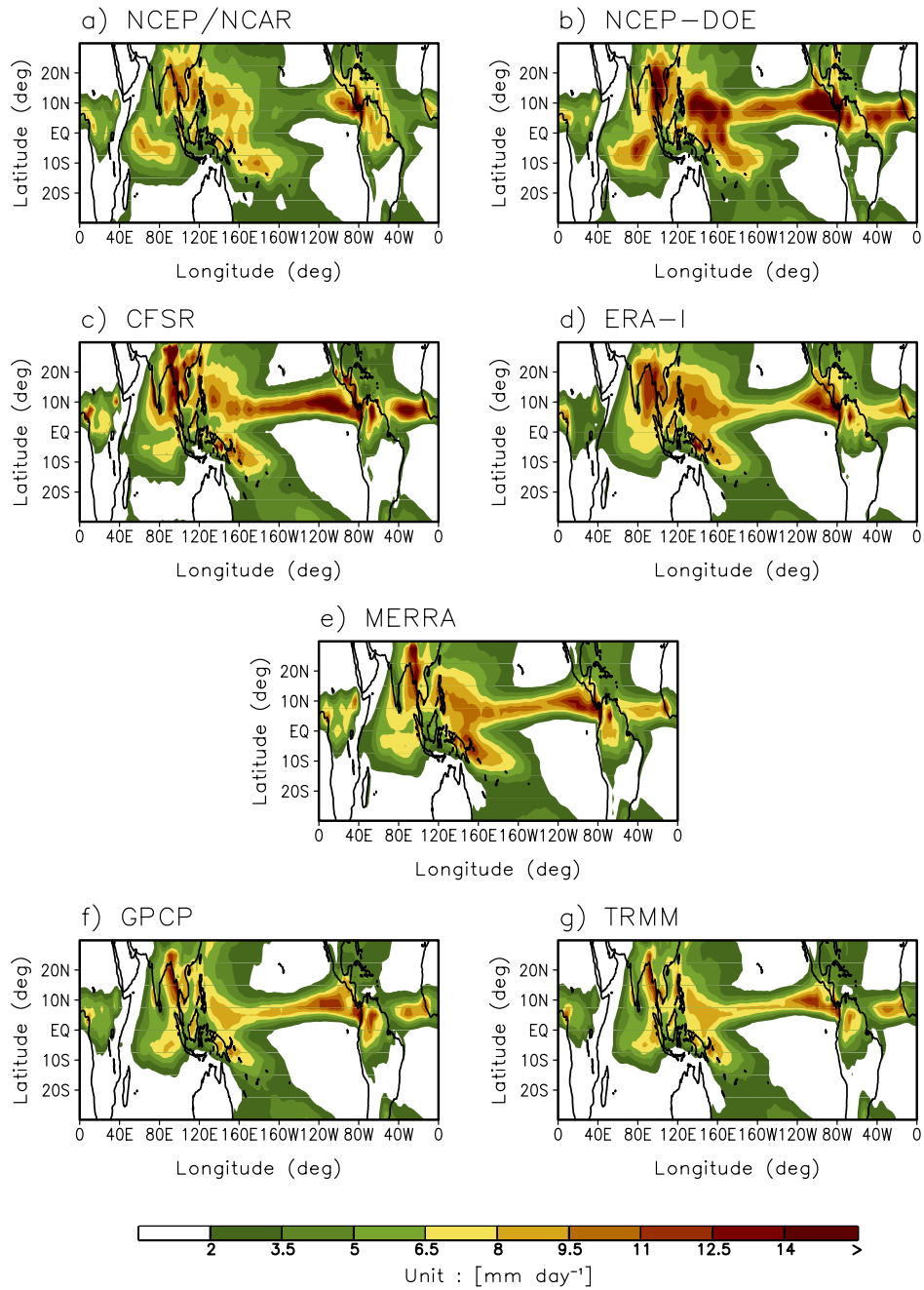


678

679 Figure 1. November-April mean precipitation of a) NCEP/NCAR, b) NCEP-DOE, c)

680 CFSR, d) ERA-I, e) MERRA, f) GPCP, and g) TRMM. Unit is mm day<sup>-1</sup>.

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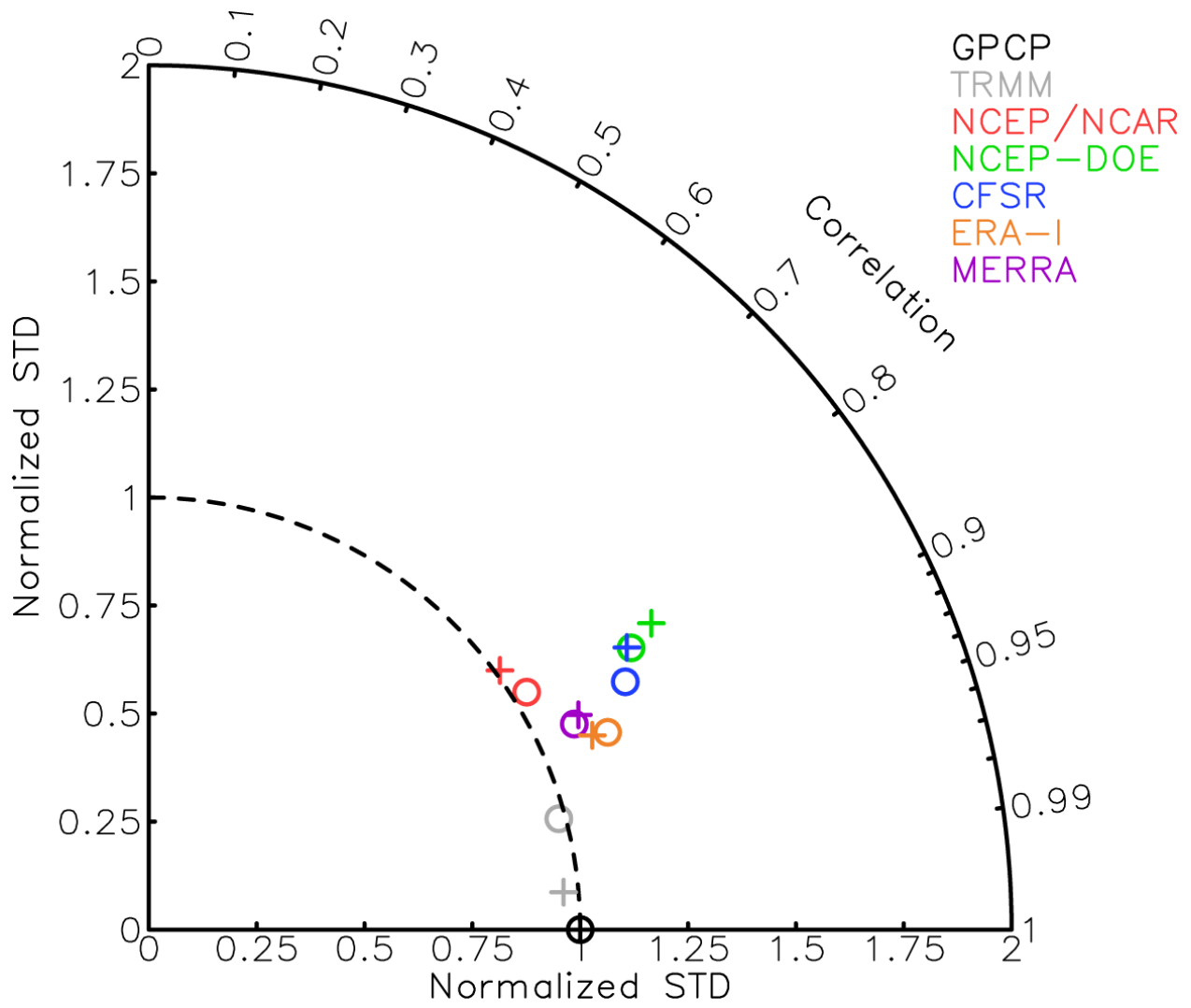


682

683 Figure 2. Same as Figure 1, except for May-October mean precipitation.

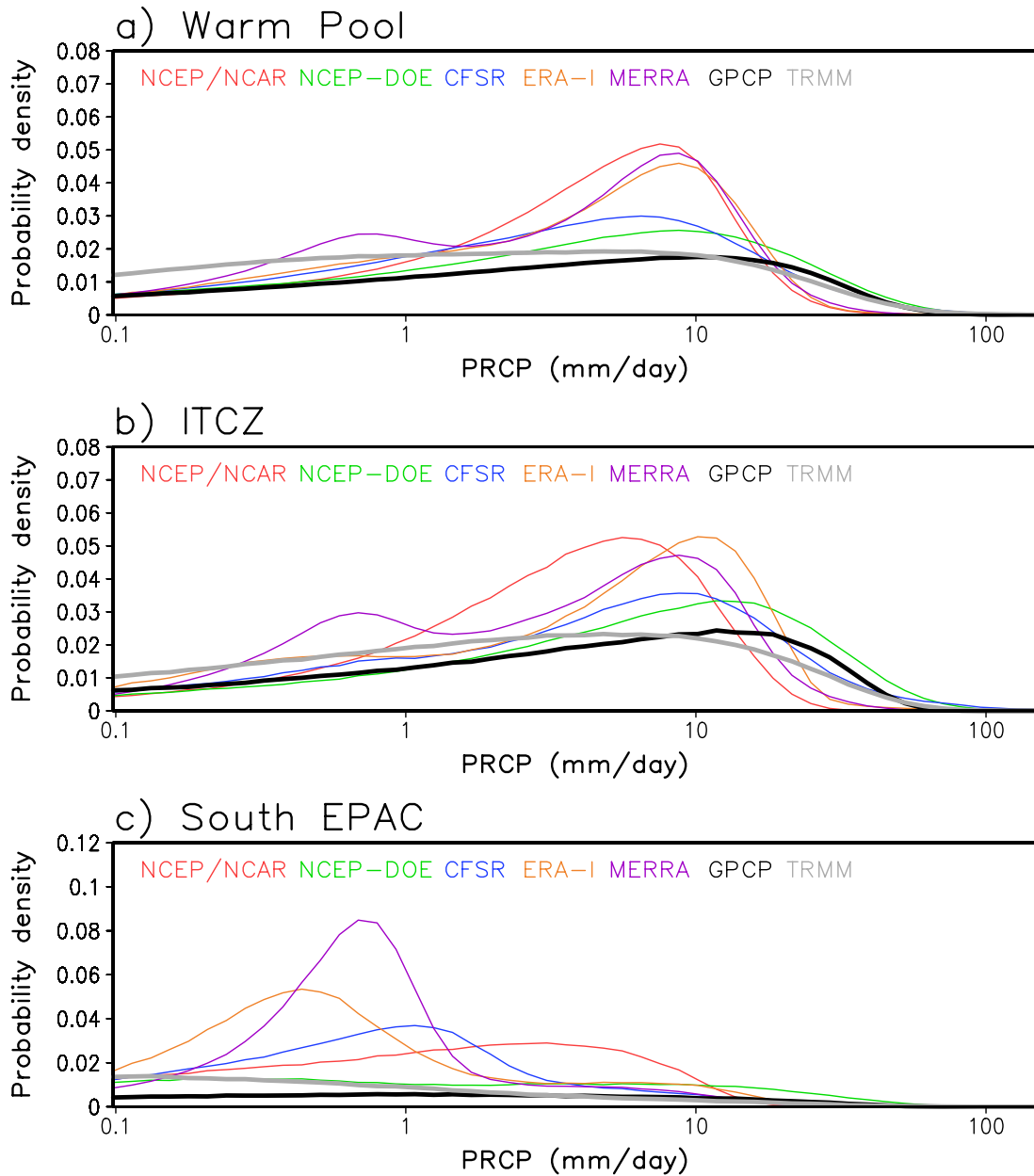
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685  
 686 Figure 3. A Taylor diagram of November-April (open circles) and May-October (crosses)  
 687 mean precipitation over the tropics (0-360°E, 30°S-30°N).

688

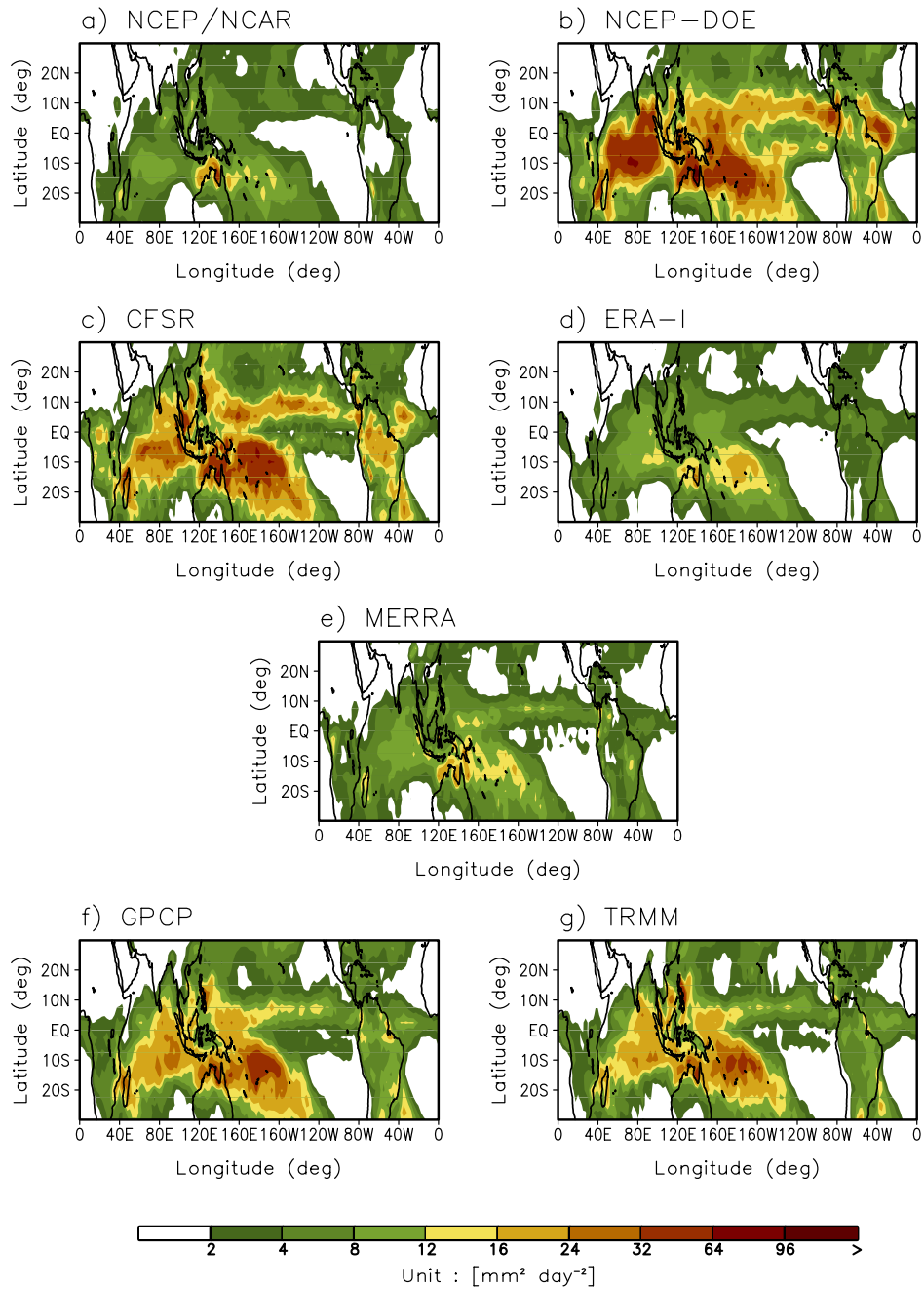


689

690 Figure 4. Probability density of precipitation over a) Warm Pool (40-180°E, 20°S-20°N), b)

691 ITCZ (182.5-280°E, 2.5-10°N), and c) South Eastern Pacific (220-280°E, 2.5-10°S) regions.

692

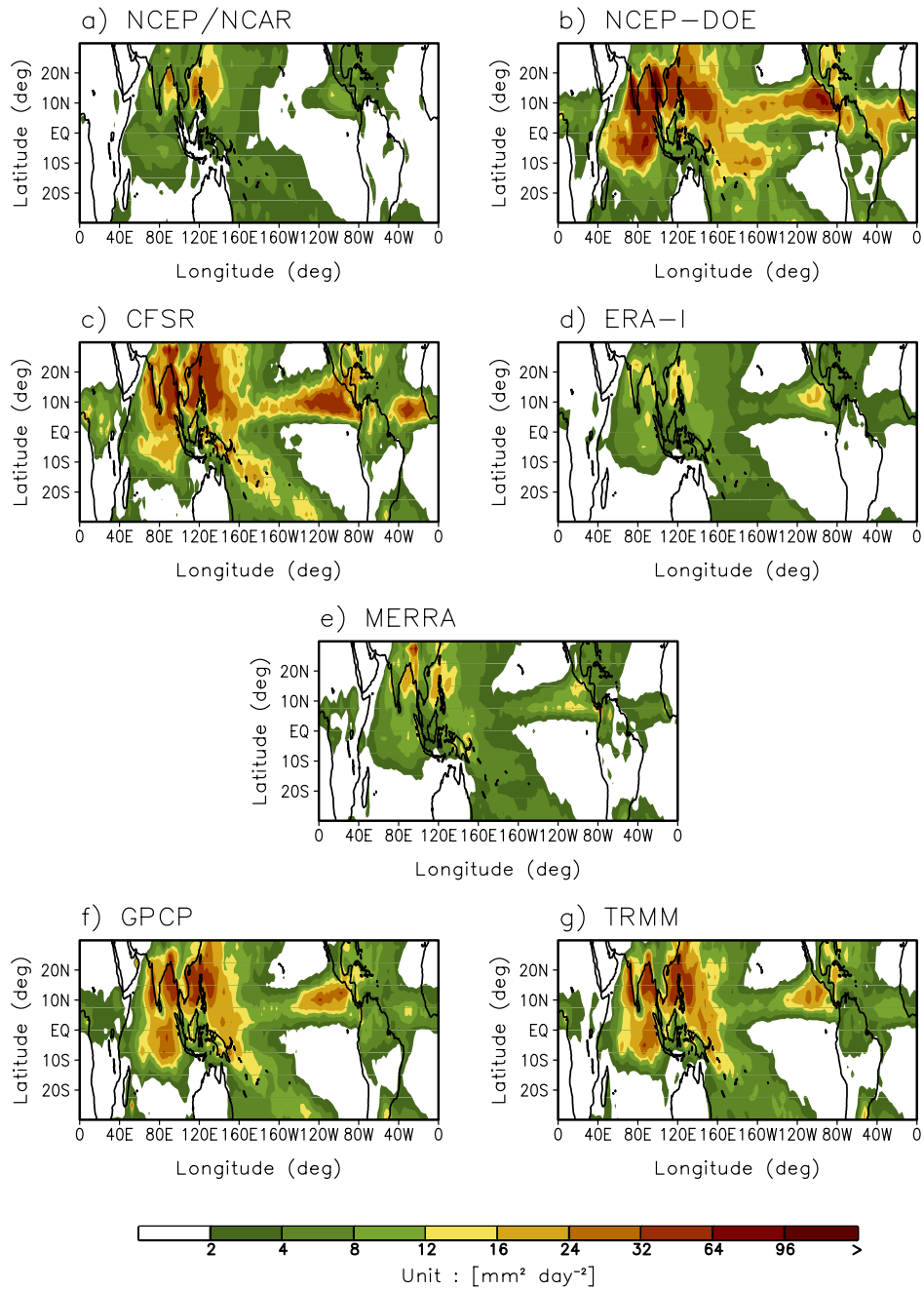


693

694 Figure 5. As in Figure 1, except for variance of 20-100 day band pass filtered

695 precipitation. The unit is  $\text{mm}^2 \text{day}^{-2}$ .

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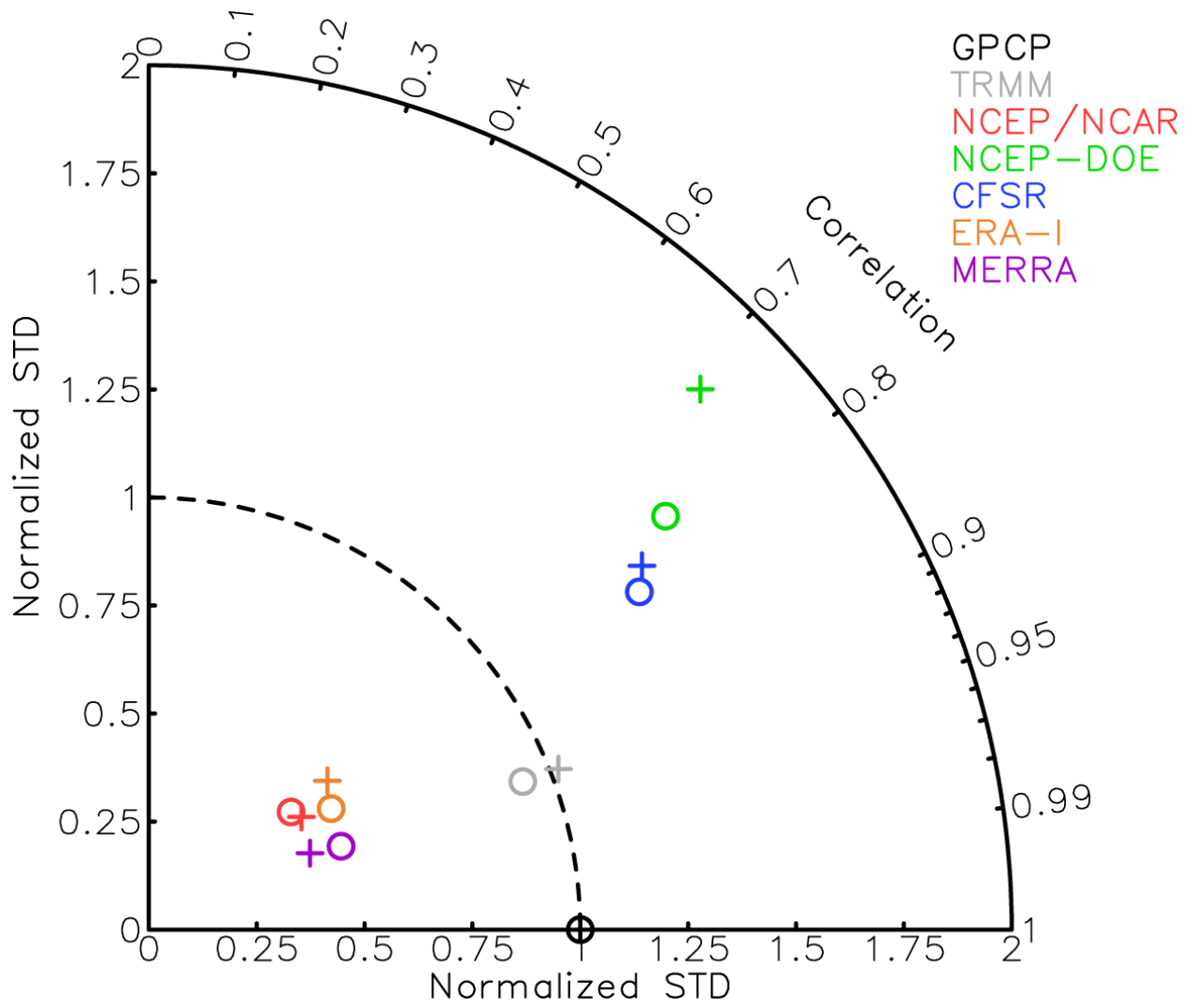


697

698 Figure 6. Same as Figure 3, except for May-October variance of 20-100 day band pass

699 filtered precipitation.

700

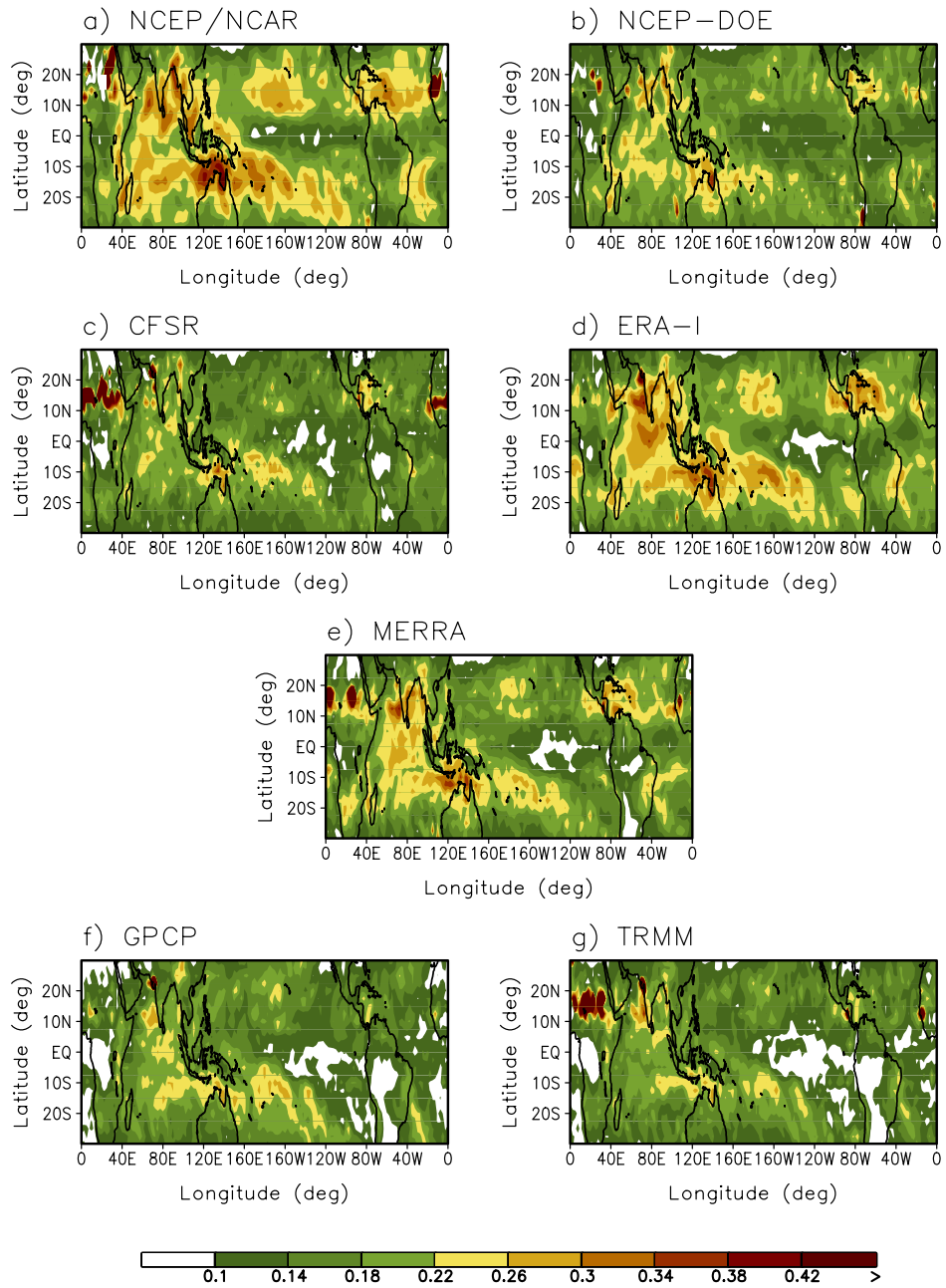


701

702 Figure 7. As in Figure 3, except for variance of 20-100 day band pass filtered  
 703 precipitation.

704

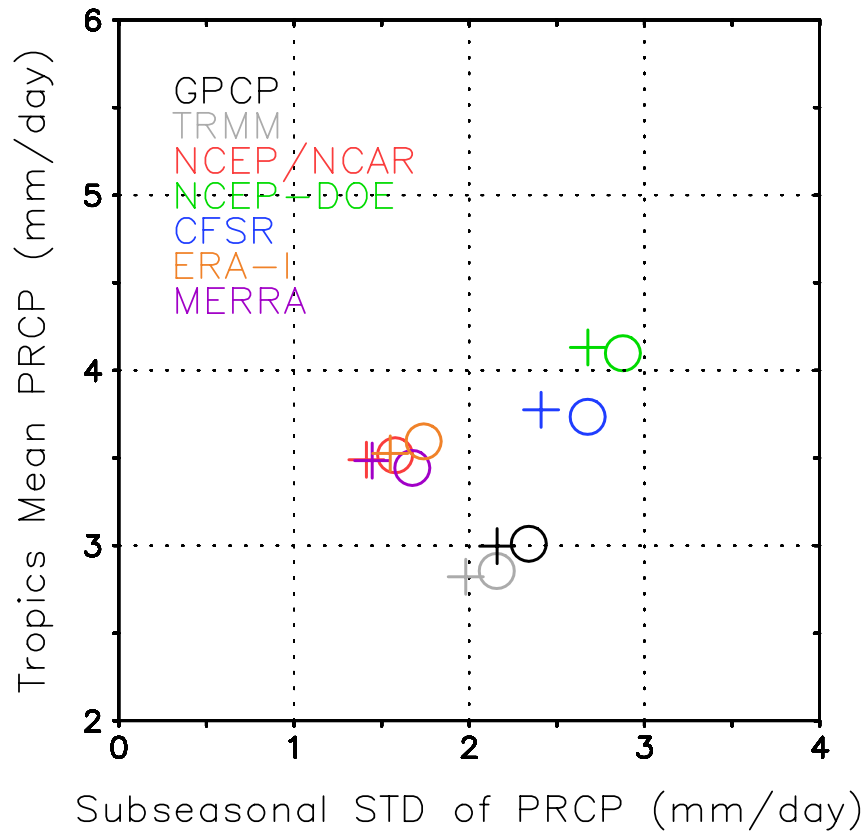
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707 Figure 8. Ratio of 20-100 day variability to total variability (November-April).

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711 Figure 9. November-April (open circles) and May-October (crosses) scatter plot between  
712 standard deviation of 20-100 day filtered precipitation anomalies and tropics (0-360°E,  
713 30°S-30°N) mean of precipitation. Units for both quantities are mm day<sup>-1</sup>.

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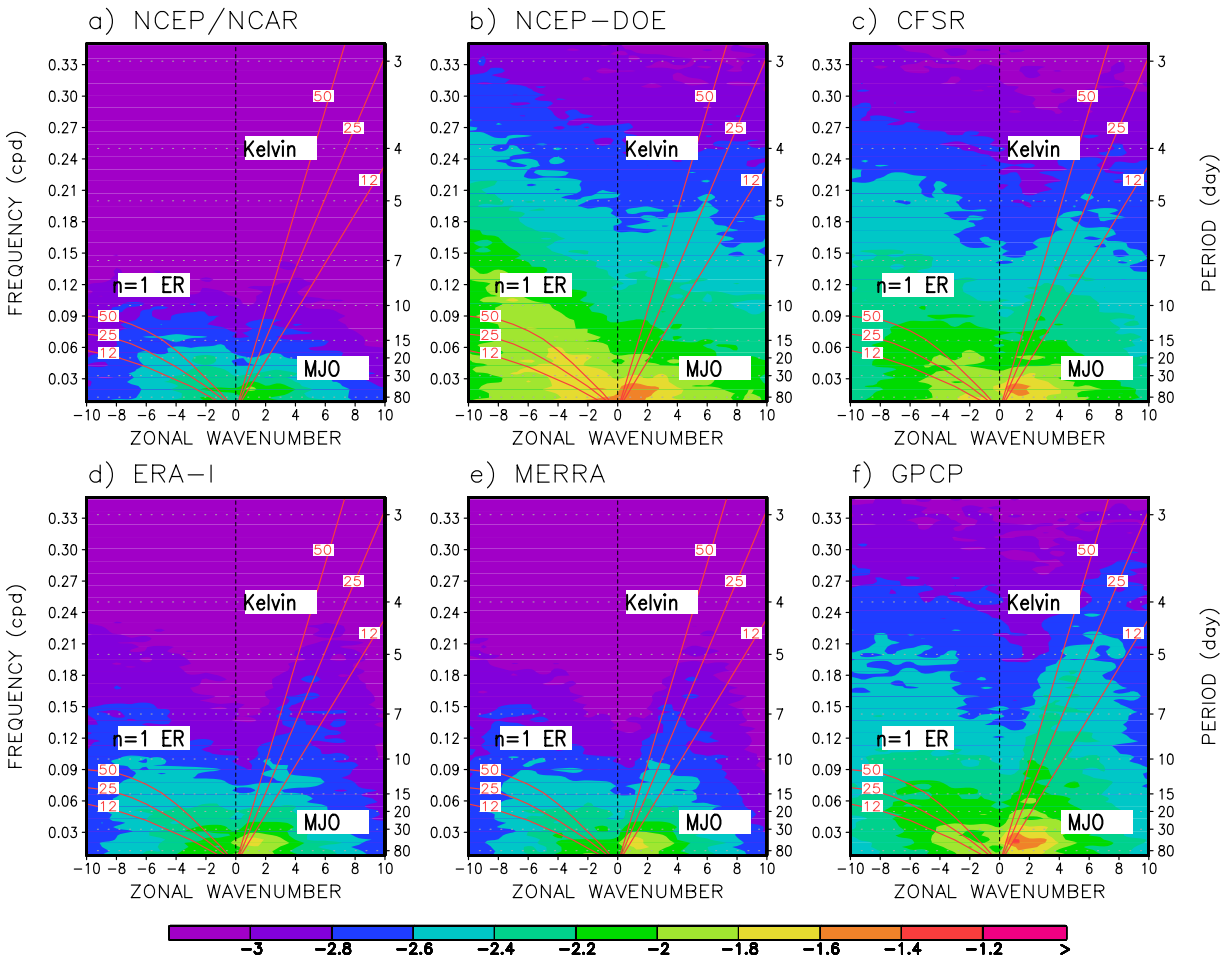
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722 Figure 10. Symmetric wavenumber-frequency spectra of a) NCEP/NCAR, b) NCEP-

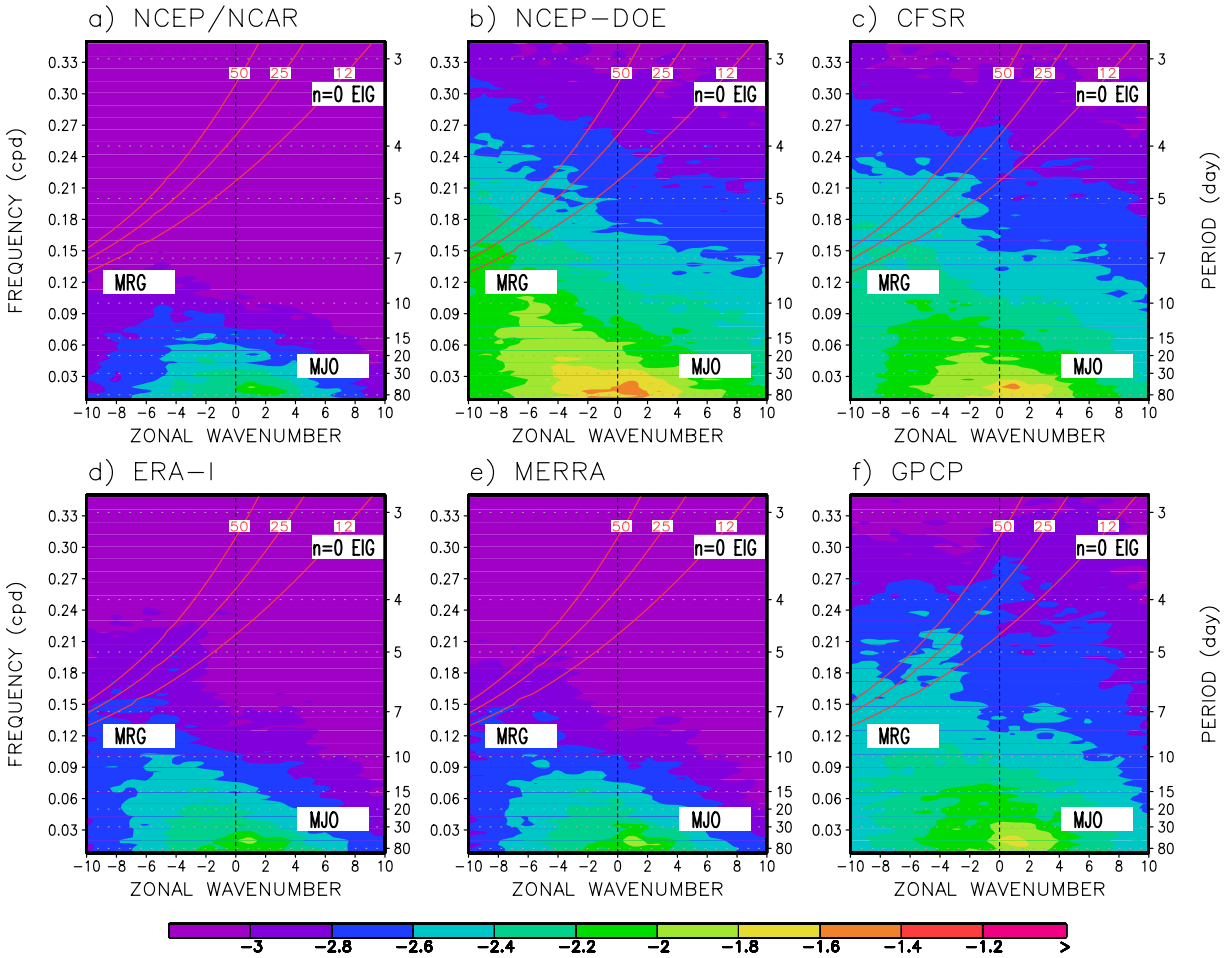
723 DOE, c) CFSR, d) ERA-I, e) MERRA, and f) GPCP. Dispersion curves for the ( $n = -1$ )724 Kelvin,  $n = 1$  equatorial Rossby (ER) modes, corresponding to three equivalent depths725 ( $h = 12, 25,$  and  $50$  m) in the shallow water equations are overlaid (red contours). MJO is

726 defined as the spectral components within zonal wavenumbers 1 to 3 and having

727 periods 30 to 80 days. (add significance by dividing power by background power)

728





729

730 Figure 11. Same as Figure 10, except for antisymmetric spectra. Dispersion curves for  $n$   
 731 = 0 eastward intertio-gravity (EIG), and mixed Rossby-gravity (MRG) modes,  
 732 corresponding to three equivalent depths ( $h = 12, 25,$  and  $50$  m) in the shallow water  
 733 equations are overlaid (red contours). MJO is defined as the spectral components within  
 734 zonal wavenumbers 1 to 3 and having periods 30 to 80 days.

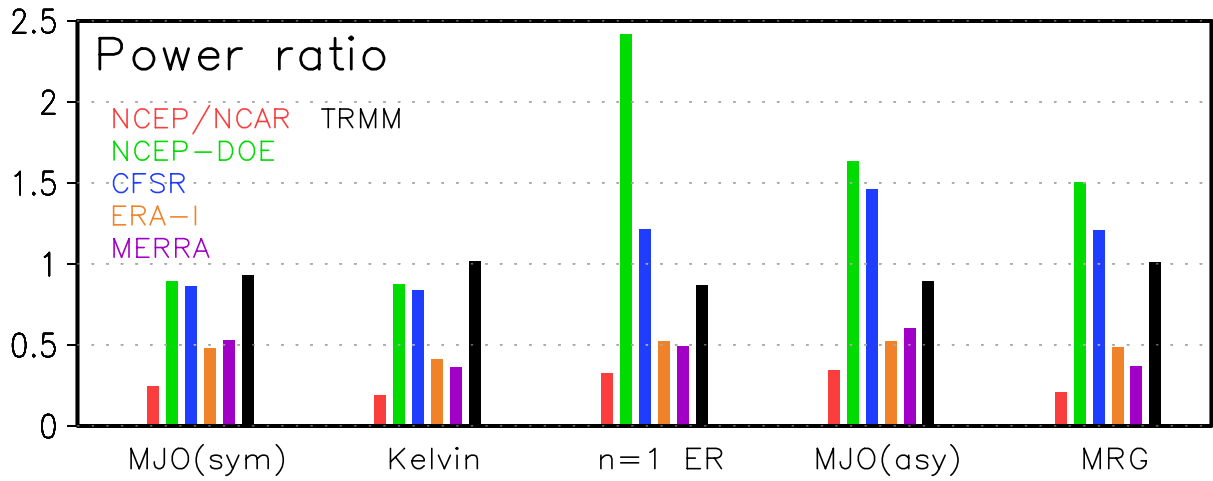
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741 Figure 12. Ratio of powers corresponding to each wave in reanalysis and TRMM to that

742 in GPCP.

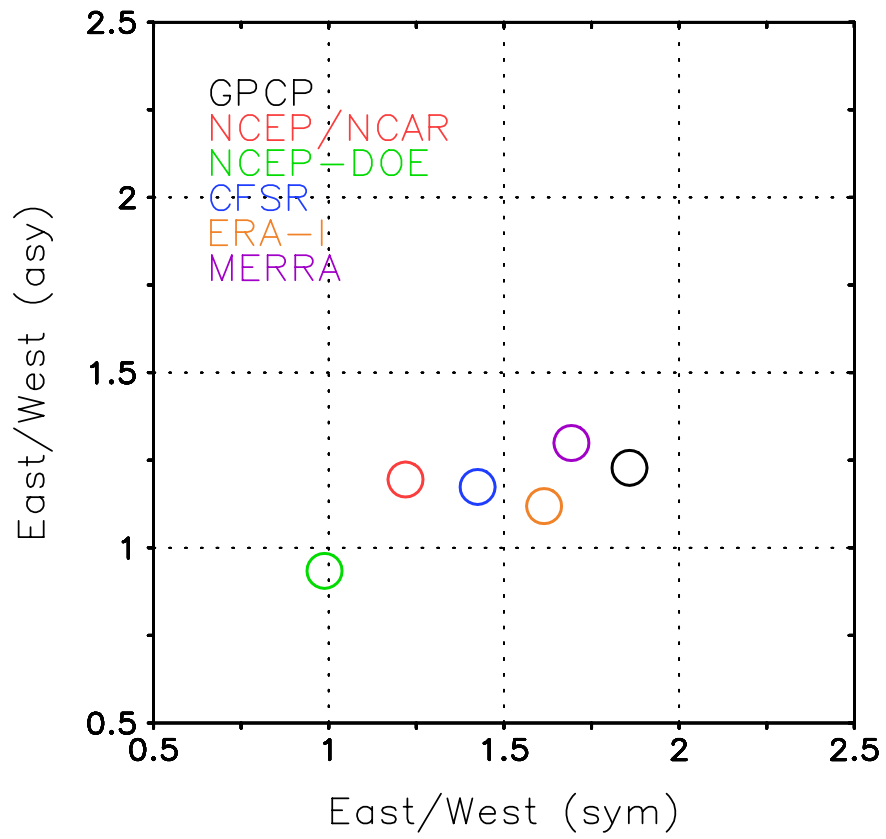
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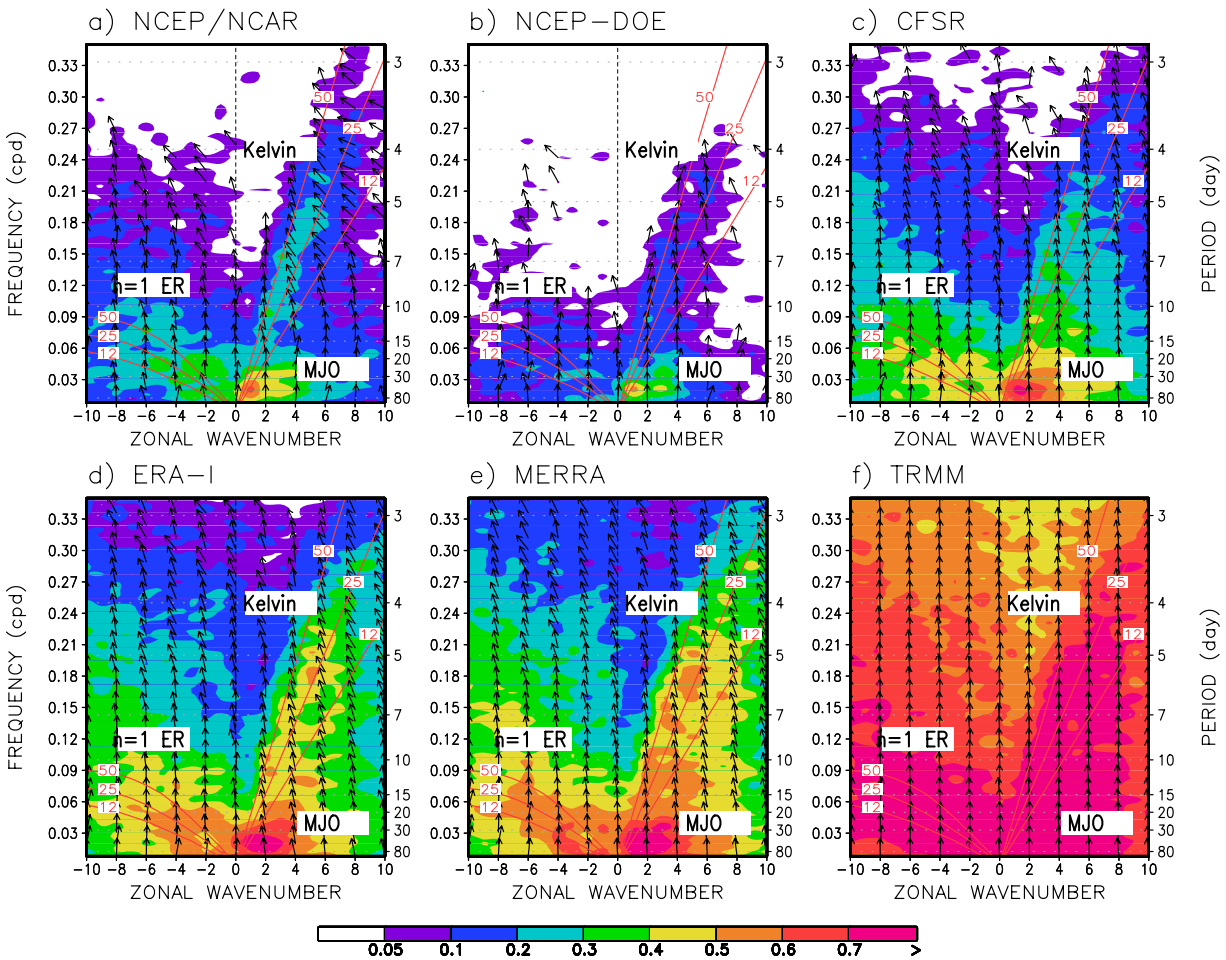


749

750 Figure 13. Scatter plot between East/West power ratios of symmetric and antisymmetric

751 MJO.

752



754

755 Figure 14. Coherence squared (colors) and phase lag (vectors) between GPCP

756 precipitation and precipitation from a) NCEP/NCAR, b) NCEP-DOE, c) CFSR, d) ERA-I,

757 e) MERRA, and f) TRMM. The symmetric spectrum is shown. Spectra were computed at

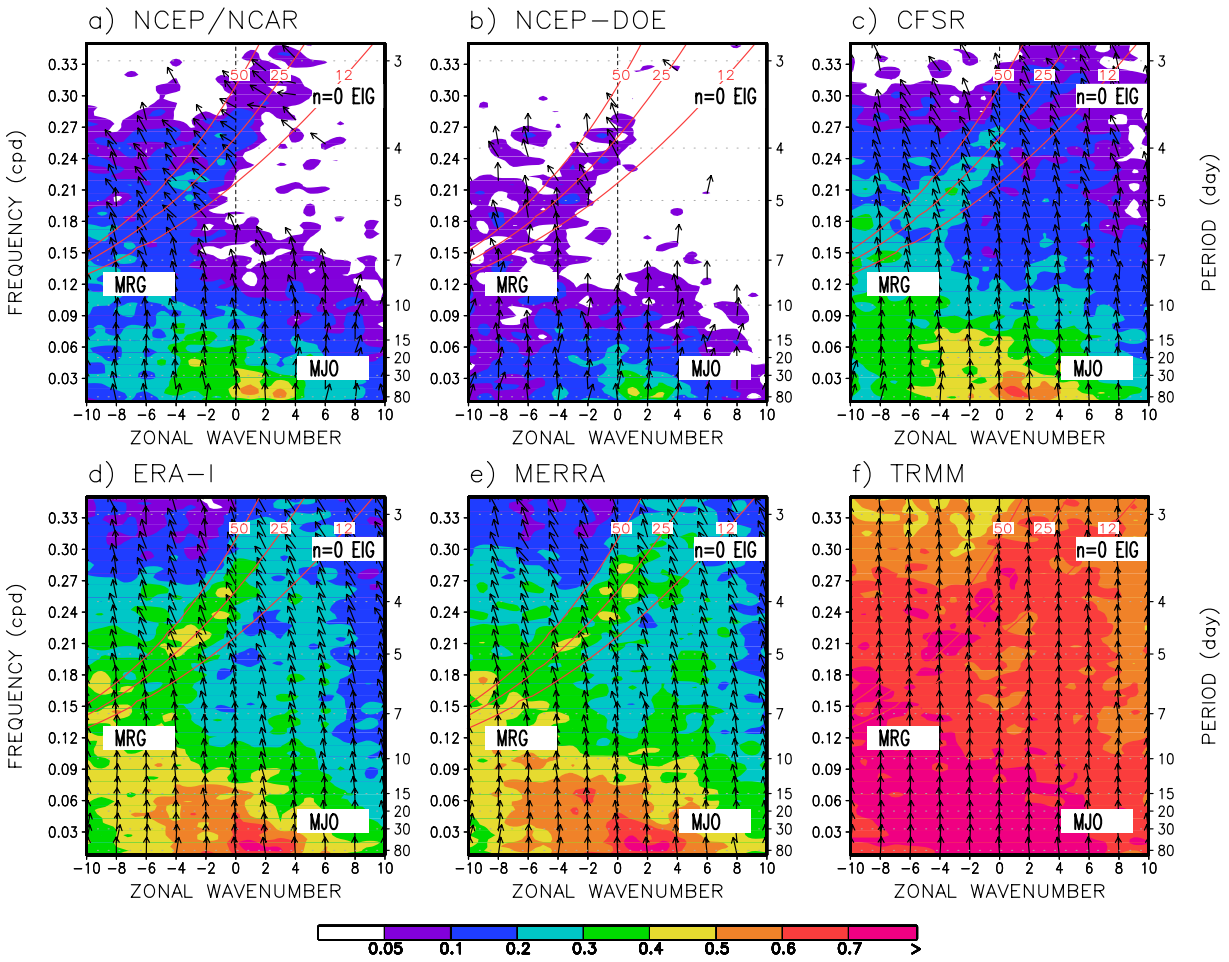
758 individual latitude, and then averaged over 15°S–15°N. Computations are conducted

759 using data in all seasons on 256-day segments, overlapping by 206 days. Vectors

760 represent the phase by which reanalysis precipitation lags GPCP, increasing in the

761 clockwise direction. A phase of 0° is represented by a vector directed upward.

762

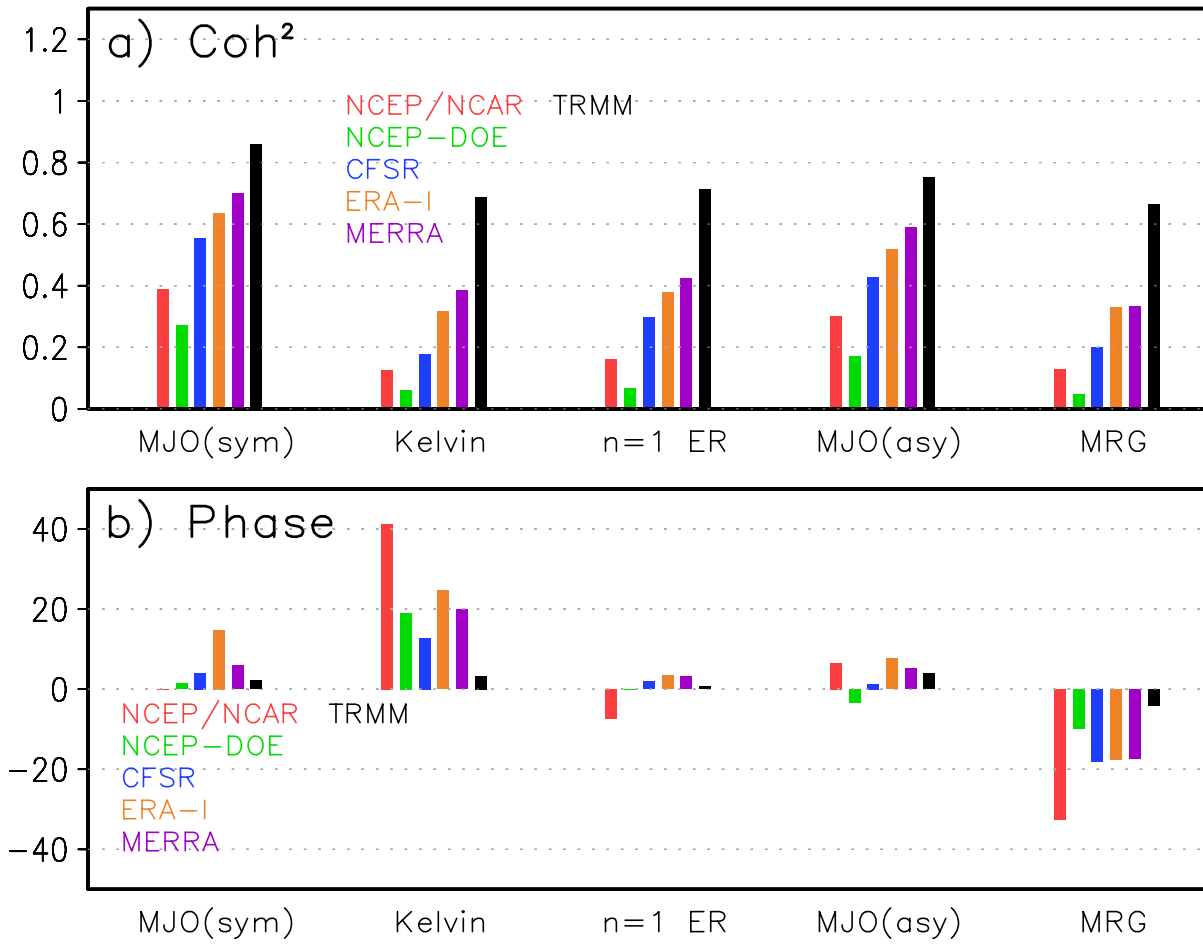


763

764 Figure 15. Same as Figure 14, except for antisymmetric spectra.

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766



767

768 Figure 16. a) Coherence squared and b) the phase (deg) averaged for the waves from

769 Figure 14 and 15.

770