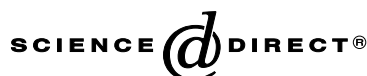


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## 2 Automatically identifying gene/protein terms in MEDLINE abstracts

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### 9 Abstract

10 *Motivation.* Natural language processing (NLP) techniques are used to extract information automatically from computer-  
11 readable literature. In biology, the identification of terms corresponding to biological substances (e.g., genes and proteins) is a  
12 necessary step that precedes the application of other NLP systems that extract biological information (e.g., protein-protein in-  
13 teractions, gene regulation events, and biochemical pathways). We have developed GPmarkup (for “gene/protein-full name mark  
14 up”), a software system that automatically identifies gene/protein terms (i.e., symbols or full names) in MEDLINE abstracts. As a  
15 part of marking up process, we also generated automatically a knowledge source of paired gene/protein symbols and full names (e.g.,  
16 *LARD* for *lymphocyte associated receptor of death*) from MEDLINE. We found that many of the pairs in our knowledge source do  
17 not appear in the current GenBank database. Therefore our methods may also be used for automatic lexicon generation.

18 *Results.* GPmarkup has 73% recall and 93% precision in identifying and marking up gene/protein terms in MEDLINE abstracts.

19 *Availability:* A random sample of gene/protein symbols and full names and a sample set of marked up abstracts can be viewed at  
20 <http://www.cpmc.columbia.edu/homepages/yuh9001/GPmarkup/>. *Contact.* [hy52@columbia.edu](mailto:hy52@columbia.edu). Voice: 718-796-2985; fax: 212-939-  
21 7028.

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23 *Keywords:* Automatic term recognition; Synonym; Mark up; Information extraction; Knowledge acquisition; Natural language processing

### 24 1. Introduction

25 The current MEDLINE database includes over 12  
26 million computer-readable records in the biomedical  
27 domain and is expanding rapidly; it is a rich resource for  
28 biological knowledge including protein-protein interac-  
29 tions [1], gene regulation events [2], sub-cellular locations  
30 of proteins [3], and pathway discovery [4]. One way to  
31 automatically extract information stored in MEDLINE  
32 is to apply an information extraction system such as a

natural language processing (NLP) parser [5]. Identify- 33  
ing gene/protein terms in MEDLINE abstracts is a nec- 34  
essary step towards an information extraction system. 35

Genes and proteins are usually represented by sym- 36  
bols and names in literature. The names usually are the 37  
long forms of their symbols and describe the functions 38  
of the genes or proteins. We hypothesize that authors 39  
define gene/protein symbols in their articles when the 40  
meanings are new in literature and the definitions can be 41  
captured by a computer program. We also hypothesize 42  
that if not all of the gene/protein symbols appearing in 43  
an abstract are defined, the definition may appear in 44  
other abstracts. Therefore literature redundancy (e.g., 45  
the same genes or proteins are represented by different 46  
authors in different articles) makes it plausible that we 47  
may obtain automatically a relatively exhaustive gene/ 48  
protein symbol and full name table from all of MED- 49  
LINE. In this study, we empirically tested all of the 50  
above hypotheses. 51

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52 This study presents an algorithm and its implemen-  
53 tation for automatic identification of gene and protein  
54 terms (i.e., symbols or full names) in MEDLINE ab-  
55 stracts. As a part of the algorithm, we also present a  
56 method for automatically generating a knowledge  
57 source of paired gene/protein symbols (e.g., *LARD*) and  
58 full names (e.g., *lymphocyte associated receptor of death*)  
59 from MEDLINE. Our results show that a large number  
60 of the pairs in our knowledge source do not appear in  
61 LocusLink, a public database of gene/protein symbols  
62 and corresponding full names [6,7].

63 A key step in our marking up methodology is to pair  
64 gene/protein symbols to their names, so that we can use  
65 biological function keywords (e.g., kinase) to differen-  
66 tiate the symbols from other technical terms. For ex-  
67 ample, by mapping abbreviation *PKA* to full name  
68 *protein kinase A*, not to full form *path of the kinematic*  
69 *axis*, we are able to identify *PKA* is a protein term since  
70 keywords *protein* and *kinase* appear in the full form of  
71 *PKA*.

72 We previously have developed a method that auto-  
73 matically maps biomedical abbreviations to full forms.  
74 In this study, we incorporated biological domain  
75 knowledge into the method of mapping abbreviations to  
76 full forms to enhance the mapping between gene/protein  
77 symbols and full names. The biological domain knowl-  
78 edge was obtained from manually reviewing published  
79 guidelines of the nomenclature of genes and proteins.  
80 We then developed a method to differentiate paired  
81 gene/protein symbols and full names from other bio-  
82 medical abbreviations and full forms.

83 To mark up gene/protein terms in MEDLINE ab-  
84 stracts, we first mark up gene/protein symbols and full  
85 names when the full names are defined. We then look up  
86 a knowledge source to mark up the remaining gene/  
87 protein terms. We generate the knowledge source by  
88 extracting all pairs of gene/protein symbols and full  
89 names from over eleven million MEDLINE records  
90 (year 1966–2001).

## 91 2. Background

92 A number of rule-based, linguistic, statistical, ma-  
93 chine-learning, and hybrid approaches have been de-  
94 veloped to mark up gene/protein terms automatically in  
95 biological text. For example, Fukuda et al. (1998) ap-  
96 plied morphological cues to identify protein terms (e.g.,  
97 if a word contains uppercase letter(s) and special char-  
98 acter(s), the word is a protein term). Gaizauskas et al.  
99 (2000) identified protein terms through suffixes such as –  
100 *ase*. Proux et al. (1998) identified non-English words as  
101 gene terms. Linguistic approaches have mainly applied  
102 part-of-speech tagging [8] or shallow parsing [9] to  
103 identify noun phrases, from which gene/protein terms  
104 were obtained. Hybrid approaches have combined lin-

guistic with rule-based approaches for multi-word gene/  
protein term recognition. For example [8], applied Brill's  
tagger [10] in combination with rules such as “connect  
non-adjacent annotations if every word between them is  
either noun, adjective, or a numeral” to identify multi-  
word protein terms such as *ras guanine nucleotide ex-  
change factor SOS*. Tanabe and Wilbur [11] retrained  
Brill's tagger on the biomedical domain for gene/protein  
name-identification. Statistical approaches have clus-  
tered abstracts for keyword identification [12]. Machine-  
learning approaches have applied naïve Bayes [9], Hid-  
den Markov Models [13], and decision trees [14], to  
classify gene/protein terms. Other approaches include  
lookup in knowledge sources such as GenBank and  
SWISSPROT [15].

Our method of marking up gene/protein names is a  
mixture of pattern-recognition and knowledge-based  
approaches. We first map gene/protein symbols to full  
names when the full names are defined. Those gene/  
protein terms are then marked up. The rest of gene/  
protein terms are identified from the gene/protein sym-  
bol and full name knowledge source which we extracted  
automatically from MEDLINE.

### 2.1. Systems that automatically map gene and protein symbols to full names

A number of systems have been developed for auto-  
matic mapping between abbreviations and full names  
[16–23]. Those systems applied a variety of approaches  
including linguistic, rule, and statistical methods and  
reported precisions from 70–97%. Most of those systems  
tend to be domain independent and therefore may not  
perform ideally in a restricted domain such as biology.  
For example, most of pattern-recognition approaches  
[18,19] do not capture *ryk* (for *receptor tyrosine kinase  
related gene*) since *y* represents *tyrosine* and *y* is not the  
first letter of *tyrosine*. In addition, most of the systems  
do not differentiate gene/protein symbols from other  
abbreviations and full names.

A system that was developed specifically for mapping  
protein symbols to full names is PNAD-CSS (for “pro-  
tein full name abbreviation dictionary construction  
support system”) [24]. PNAD-CSS used morphological  
features to recognize proper nouns as protein terms in  
biological abstracts [8]. Knowing a phrase may contain a  
protein symbol and full name, PNAD-CSS recognized  
parentheses and determined whether the parenthetical  
phrase was an abbreviation of the outer phrase. To map  
a protein symbol to its name, PNAD-CSS broke up  
words of the preceding phrase, and determined whether  
the parenthetical abbreviation candidate maps to the  
initial letters of the broken-up phrase. For example,  
consider the phrase “*megestrol acetate (megace)*.”  
PNAD-CSS parsed “*megestrol acetate*” as “*meges trol ac  
etate*,” which is then matched to “*megace*.” For example,

Table 1

Guidelines that are useful for applying computational approaches to map a gene or a protein symbol to its full name

1. A gene symbol should stand for a description of a phenotype, a gene product or a gene function [26].
2. A gene symbol shall be short (between three to six characters) [26–32].
3. A gene symbol is an abbreviation of its full name [28].
4. If the symbol of a gene contains a character or property for which there is a recognized abbreviation, the abbreviation should be used; for example, the single-letter abbreviation for amino acids used in aminoacyl residues or approved biochemical Abbreviations such as GLC for glucose, GSH for glutathione [31] and *Bp* for *binding protein* [32].
5. The initial character should always be a letter [29–33].
6. All Greek symbols should be changed to letters in the Latin alphabet [31].
7. Amino acids have their special symbols [34].
8. The protein symbol is the same as the gene symbol [33].
9. The creator of a gene full name shall follow the guidelines and get consultation from curator of the guideline before journal publication [26].
10. Gene full names should be included in the abstracts of any relevant papers [26].

159 “*meg*,” “*ac*,” and “*e*” in “*megace*” match the initial  
160 letter(s) of “*meges*,” “*ac*,” and “*etate*,” respectively.

161 We find that PNAD-CSS has some limitations: it  
162 applies morphological cues for protein term recognition  
163 and the morphological cues may falsely identify as  
164 protein symbols other substances (e.g., *LSD-25* for *ly-*  
165 *sergic acid diethylamide*), cell types (e.g., *BHK-21* for  
166 *baby-hamster kidney-cell line*), procedures (e.g., *PCR* for  
167 *polymerase chain reaction*) as well as clinical syndromes  
168 and diseases (e.g., *CHF* for *congestive heart failure*). This  
169 is because many abbreviations that are not gene/protein  
170 symbols consist of upper-case letters and numbers. The  
171 PNAD-CSS’ pattern-matching rules also did not contain  
172 special rules for protein names (for example, *y* repre-  
173 sents *tyrosine*).

174 Previously, we have developed a system, AbbRE (for  
175 “abbreviation and full name recognition and extrac-  
176 tion,” see [25]), that pairs biomedical abbreviations with  
177 full names. AbbRE first selected parenthetical expres-  
178 sions and the phrases preceding the parenthesis as can-  
179 didate abbreviations and full names. It then applied a set  
180 of the pattern-matching rules to map abbreviations to  
181 full names. The rules were obtained from the common  
182 conventions authors use to create abbreviations. The  
183 following rules were included: (1) *the first letter of an*  
184 *abbreviation matches the first letter of a meaningful word*  
185 *of the full name;* (2) *the abbreviation matches the first*  
186 *letter of each word in the full name;* (3) *the abbreviation*  
187 *letter matches consecutive letters of a word in the full*  
188 *name and* (4) *the abbreviation letter matches a middle*  
189 *letter of a word in the full name if the first letter of the*  
190 *word matches the abbreviation.* AbbRE had 70% recall  
191 and 95% precision in identifying paired abbreviations  
192 and full names in biomedical articles.

193 Though AbbRE’s pattern-matching rules did not  
194 contain special rules for protein names, AbbRE is robust  
195 and extensible. In this study (i.e., GPmarkup), we man-  
196 ually examined the published guidelines of the nomen-  
197 clature of genes and proteins and added to AbbRE special  
198 rules to enhance its mapping gene/protein symbols to full  
199 names. In addition, we added in rules for differentiating  
200 gene/protein terms from other biomedical terms.

### 3. Methods and results

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Our method section consists of six sub-sections: (1)  
Mapping gene/protein symbols to full names as well as  
abbreviations to full names. (2) Generating a knowledge  
source of paired abbreviations and full names from  
MEDLINE abstracts. (3) Filtering out other abbrevia-  
tion-full name pairs to produce a knowledge source of  
paired gene/protein symbols and full names. (4) Mark-  
ing up gene/protein terms in MEDLINE abstracts. (5)  
Evaluating GPmarkup. (6) Measuring the percentage of  
defined gene/protein symbols in MEDLINE abstracts.

#### 3.1. Mapping gene/protein symbols to full names

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To understand how gene/protein abbreviation-full  
name pairs are created in the first place, we examined a  
number of published guidelines for the nomenclature of  
genes and proteins. We found those guidelines are al-  
most always species-specific (that is applicable only to  
genes and proteins from, say, yeast, and not rat). Spe-  
cies-specific may be caused by the fact that the com-  
mittees for the nomenclature are formed by experts  
specializing on a particular model organism. Table 1  
lists guidelines that were useful for mapping abbrevia-  
tions to full forms.

Analysis of the published guidelines allowed us to  
identify some special abbreviations that are used for  
gene/protein nomenclature (see Table 2) and to develop  
the pattern-matching rules that map gene/protein sym-  
bols to names.

##### 3.1.1. Special abbreviations

see Table 2.

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##### 3.1.2. Pattern-matching rules

GPmarkup applies a set of pattern-matching rules to  
map gene/protein symbols to full names when the full  
names are defined within the documents. The pattern-  
matching rules adapted AbbRE’s (as described in Sec-  
tion 2.1) with the following modifications and exten-  
sions:

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238 Rule 1: Any number and special character is ignored  
239 for mapping gene/protein symbols to full names.

240 We added in a rule to map letters only. We ignored  
241 numbers and special characters (e.g., “+”) due to the  
242 following two reasons:

243 (1) Many numbers and special characters in a gene or a  
244 protein symbol do not appear in their full names.  
245 For example, *CYP2C19* for *cytochrome P450, sub-*  
246 *family IIC (mephenytoin 4-hydroxylase)*, where  
247 “19” is not represented and “2” is represented by  
248 “II.”

249 (2) Many numbers in gene or protein symbols order dif-  
250 ferently in their full names (e.g., *ALOX12* for *ara-*  
251 *chidonate 12-lipoxygenase*, where “12” in the  
252 symbol “*ALOX12*” is after “*LOX*” that represents  
253 *lipoxygenase*, but before “*lipoxygenase*” in the full  
254 name “*arachidonate 12-lipoxygenase*”).

255 Rule 2: Special abbreviation substitutions

256 We substitute some nouns with their special abbrevi-  
257 ations when we apply the pattern-matching rules. For  
258 example, instead of mapping *DYRK1A* to *dual-specific-*  
259 *ity tyrosine phosphorylation regulated kinase 1A*, we at-  
260 tempt to map *DYRK1A* to *dual-specificity Y*  
261 *phosphorylation regulated kinase 1A*, where *tyrosine* has  
262 been replaced by *Y*. After the mapping, we recover the  
263 original terms.

264 In reality, not all the authors use the special abbrevi-  
265 ations (listed in Table 2) for their nomenclature. An  
266 example is *PTK2B* for *protein tyrosine kinase 2 β*, where  
267 *tyrosine* is represented by its common abbreviation *T*  
268 instead of *Y*. Therefore, our algorithm considers both  
269 types of mapping (with and without substitution of a  
270 special noun with a shorthand) and selects the best  
271 matching version.

272 For example, we attempt to map *PTK2B* to both  
273 *protein tyrosine kinase 2 β* and *protein Y kinase 2 β*; we  
274 map *DYRK1A* to both *dual-specificity tyrosine phos-*  
275 *phorylation regulated kinase 1A* and *dual-specificity Y*  
276 *phosphorylation regulated kinase 1A*.

277 When a full name has more than one word that has  
278 many abbreviations, we include all of the combinations  
279 for substitution. For example, in case of *NK AIF* for  
280 *sodium-potassium ATPase inhibitory factor*, we attempted  
281 to map *NK AIF* to *sodium-potassium ATPase inhibitory*  
282 *factor*, *Na-potassium ATPase inhibitory factor*, *sodium-K*  
283 *ATPase inhibitory factor*, and *Na-K ATPase inhibitory*

*factor*. We found that *Na-K ATPase inhibitory factor* was 284  
mapped and we recovered the original full name. 285

### 3.1.3. Parenthetic pattern 286

287 Prior to pattern-matching rules, GPmarkup selects  
288 candidate abbreviations and full names. For this task,  
289 GPmarkup recognizes special patterns such as “<ab-  
290 breviation>( <full name>)” or “<full name>( <abbrevi-  
291 ation>”. Recall AbbRE also recognized these patterns.  
292 However, AbbRE can not recognize gene/protein terms  
293 that incorporate nested parentheses. For example, Ab-  
294 bRE fails to map *acyl-coenzyme A (acyl-CoA) dehydro-*  
295 *genases* to *ACD* from the following string extracted  
296 from [35] *the expression of various acyl-coenzyme A*  
297 *(acyl-CoA) dehydrogenases (ACD)* since it parses into  
298 the following two components:

*the expression of various acyl-coenzyme A (acyl-CoA) and dehy-* 299  
*drogenases (ACD)* 300

301 To correct for this shortcoming, we introduced into  
302 the newer algorithm (GPmarkup) an additional rule to  
303 recognize gene/protein full names that incorporate pa-  
304 rentheses. It then parses the above string into the fol-  
305 lowing two components:

*the expression of various acyl-coenzyme A (acyl-CoA) and the ex-* 306  
*pression of various acyl-coenzyme A (acyl-CoA) dehydrogenases* 307  
*(ACD)* 308

309 where the phrases preceding and within the parentheses  
310 in each component incorporate candidate abbreviations  
311 and full names, to which GPmarkup further applies its  
312 pattern-matching rules to map abbreviations to full  
313 names.

### 3.2. Generating a knowledge source of paired abbrevia- 314 tions/full names from MEDLINE abstracts 315

316 We applied GPmarkup to 11 million MEDLINE re-  
317 cords (1966–2001), which contain the same number of  
318 titles and over six million abstracts (note that not all  
319 MEDLINE records contain abstracts). We obtained a  
320 knowledge source that consisted of 574,327 unique pairs  
321 of abbreviations and full names. The most frequently  
322 defined abbreviations were *PCR* (*polymerase chain re-*  
323 *action*, which appeared in 7988 abstracts) and *NO* (*nitric*  
324 *oxide*, which appeared in 7855 abstracts).

Table 2  
Special abbreviations that are used in gene/protein nomenclature

Type	
Amino acids	We use all one letter codes where these differ from the first letter of the amino acid. For example, <i>tyrosine</i> — <i>Y</i> ( <i>SYK</i> for <i>spleen tyrosine kinase</i> )
Two chemical symbols used	<i>Sodium</i> — <i>Na</i> , <i>potassium</i> — <i>K</i> ( <i>NK AIF</i> for <i>sodium-potassium ATPase inhibitory factor</i> )
Three other symbols used	<i>Inhibitor</i> — <i>N</i> or <i>NH</i> , <i>box</i> — <i>X</i> ( <i>CDKN1A</i> for <i>cyclin-dependent kinase inhibitor 1A</i> ( <i>p21</i> , <i>Cip1</i> ), <i>CDX1</i> for <i>caudal type homeo box transcription factor 1</i> )

325 3.3. Filtering out other abbreviation-full name pairs to  
 326 produce a knowledge source of paired gene/protein  
 327 symbols and full names

328 The algorithm outlined above also identifies a large  
 329 number of general abbreviations that are not gene/pro-  
 330 tein symbols and full names. We therefore developed a  
 331 rule-based approach to partition our knowledge source  
 332 of abbreviation-full name pairs into gene/protein sym-  
 333 bol-full name pairs and other abbreviation-full name  
 334 pairs.

335 Our rule-based approach combines morphological  
 336 cues, functional keywords, and position-functional  
 337 keywords to filter out non-gene/protein terms. The ap-  
 338 proach is described as follows:

339 If an abbreviation contains a number, the abbrevia-  
 340 tion and full name is a gene/protein symbol-full name  
 341 pair only if the full name contains one or more of the  
 342 following keywords (denoted as set K1): *protein(s)*,  
 343 *gene(s)*, *peptide(s)*, *molecule(s)*, *enzyme(s)*, *ligand(s)*,  
 344 *compound(s)*, *receptor(s)*, *channel(s)*, *transcriptor(s)*,  
 345 *regulator(s)*, *inhibitor(s)*, *antibody*, *antibodies*, *globu-*  
 346 *lin(s)*, *factor(s)*, *motif*, *domain(s)*, *compound(s)*, *seg-*  
 347 *ment(s)*, *subunit(s)*, *locus*, *loci*, *cassette(s)*, *chain*,  
 348 *complex(es)*, *homeobox(es)*, *box(es)*, *member(s)*, *dele-*  
 349 *tion*, *axon*, *family*, *families*, *chromosome(s)*, *sequence*,  
 350  $\alpha$ ,  $\beta$ ,  $\gamma$ , *interleukin* and any words except for *disease*  
 351 that ends in *-ase*.

352 If an abbreviation does not contain a number, the ab-  
 353 breviation and full name is gene/protein symbol-full  
 354 name pair only if the last word of the full name is a  
 355 keyword in set K1.

356 We obtained functional keywords by manually ex-  
 357 amining all of the entries in LocusLink. Note that some  
 358 keywords (e.g., “gene”) in set K1 can appear as both the  
 359 last word or the middle word of a gene/protein term  
 360 (e.g., *Btg4* for *B-cell translocation gene 4* and *AFG3L1*  
 361 for *AFG3 (ATPase family gene 3, yeast)-like 1*). On the  
 362 other hand, some keywords (e.g., “chromosome”) do  
 363 not appear as the last word of, but only within a gene/  
 364 protein term (e.g., *C10ORF2* for *chromosome 10 open*  
 365 *reading frame 2*).

366 We applied the rules to abbreviations and full names  
 367 and generated a knowledge source of 86,767 unique  
 368 pairs of gene/protein symbols and full names. The most  
 369 frequently defined gene/protein symbols included *egf*  
 370 (for *epidermal growth factor*, appears in 2023 ab-  
 371 stracts), *il* (for *interleukin*, appears in 2183 abstracts),  
 372 and *ldl* (for *low density lipoprotein*, appears in 2673  
 373 abstracts).

374 3.4. Marking up gene/protein terms in MEDLINE  
 375 abstracts

376 We further developed and implemented an algorithm  
 377 to mark up gene/protein terms in MEDLINE abstracts.

GPmarkup first maps abbreviations to full names and  
 then performs the markup for any abbreviation with an  
 identified full name (details in Sections 3.2 and 3.3). For  
 the remaining terms in abstracts, we looked up the  
 knowledge sources of paired abbreviations and full  
 names and paired gene/protein symbols and names. As  
 an effort to achieve a higher precision, we only looked  
 up multi-word gene/protein terms, since a single word  
 term could be ambiguous (for example, *aap* denotes  
*antiarrhythmic peptide* or *automatic action potential*, the  
 former is a protein name, and the latter is not).

When a string can be mapped to several terms stored  
 in our knowledge sources, GPmarkup favors longer  
 term mapping and markup. It does not mark up a term  
 which is used as a modifier of entity other than genes  
 and proteins. For example, GPmarkup does not markup  
 the protein term *amyloid  $\beta$  protein* in a string of *cerebral*  
*amyloid  $\beta$  protein angiopathy*, because the protein name  
 is used as a modifier for the disease term *angiopath*.

GPmarkup applies direct matching (i.e., the string in  
 text exactly appears in our knowledge sources) except  
 that GPmarkup includes a word that immediately fol-  
 lows a gene or a protein symbol or full name if the word  
 either consists of a number or is a functional keyword  
 including “gene,” “protein,” “homologue,” and “re-  
 ceptor.” For example, knowing a  $\beta$  and *il12 p40* as gene  
 or protein symbols, GPmarkup also identifies a  $\beta 40$  and  
*il12 p40 homologue*.

### 3.5. GPmarkup evaluation

We performed evaluation in the following three  
 steps: (1) mapping abbreviations to full names, (2) fil-  
 tering out other terms to produce a knowledge source  
 of paired gene/protein symbols and names, and (3)  
 marking up gene/protein terms in MEDLINE ab-  
 stracts. We therefore evaluate GPmarkup phase by  
 phase. We also compared the knowledge source of  
 paired gene/protein symbols and full names with the  
 ones in LocusLink. We evaluated by recall (i.e., num-  
 ber of correct answers identified by our system divided  
 the total number of correct answers) and precision (i.e.,  
 number of correct answers divided by the total number  
 of answers specified by our system). We estimated  
 confidence intervals for these measures based on the  
 binomial distribution.

#### 3.5.1. Mapping abbreviations to full names

We randomly (by time of publication) selected 30  
 MEDLINE abstracts and asked three biomedical ex-  
 perts (all with PhD or MD) to map abbreviations to full  
 names when the full names are defined within the ab-  
 stracts. The gold standard was determined by a majority  
 vote of experts. GPmarkup correctly mapped 56 ab-  
 breviations and full names out of a total of 59 pairs that  
 were determined by experts. GPmarkup wrongly iden-

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431 tified one pair that was not an abbreviation and full  
432 name. GPmarkup's recall and precision in identifying  
433 and extracting abbreviations and full names were, with  
434 95% confidence intervals, 0.95 (0.86–0.99) and 0.98  
435 (0.91–1.00), respectively.

### 436 3.5.2. Filtering out other terms

437 We then evaluated our rule-based approach for par-  
438 titioning the knowledge source of abbreviation-full name  
439 pairs into gene/protein symbol-full name pairs and other  
440 abbreviation-full name pairs. We randomly selected 1000  
441 pairs of gene/protein symbols and full names and 1000  
442 pairs of other abbreviations and full names partitioned  
443 by GPmarkup and evaluated recall and precision of the  
444 partitioning. We asked experts (see 3.5.1) for help in  
445 defining a gold standard. Table 3 lists the results of the  
446 evaluation. Note that GPmarkup included some in-  
447 complete-matches of abbreviations and full names (e.g.,  
448 {*il-6*, *interleukin*}). Since the ratio of gene/protein sym-  
449 bol-names to other abbreviation-full name pairs was  
450 1:5.6 (86,767/[574,327–86,767]); the numbers were de-  
451 scribed in Sections 3.2 and 3.3), GPmarkup had an ac-  
452 curacy of  $0.95 \pm 0.02$ , with 95% confidence. The figure  
453 0.95 comes from the ratio  $(982 + 949 * 5.6)/(1000 +$   
454  $1000 * 5.6)$  which is based on the numbers in Table 3  
455 and their relative frequencies as just computed.

### 456 3.5.3. Marking up gene/protein terms in MEDLINE 457 abstracts

458 We then evaluated GPmarkup in marking up gene/  
459 protein terms in MEDLINE abstracts. We randomly (by  
460 time of publication) selected 50 MEDLINE abstracts,  
461 which consists of a total of 539 sentences (including the  
462 title). Some selected abstracts did not cover biological  
463 domain and therefore did not have gene/protein terms at

464 all. Therefore, we did not select only biological abstracts  
465 for evaluation because we judge a false markup is as bad  
466 as a missing markup. We therefore judged that a ran-  
467 dom selection of abstracts best reflects our system's re-  
468 call and precision.

469 Table 4 lists the evaluation results of the 50 abstracts.  
470 GPmarkup applies XML format for term mark up. For  
471 example, the tag “phr”(for “phrase”) has attributes in-  
472 cluding “sem” (for “semantic category”) that has value  
473 “gp” (for “gene and protein terms”) and “t” (for “tar-  
474 get”) that represents gene/protein full names. We count  
475 any appearance of gene/protein terms. For example, if  
476 protein “*amyloid  $\beta$  protein*” appears three times in the  
477 abstract, we count three instead of one for this case. We  
478 posted a sample set of marked up abstracts at [http://](http://www.cpmc.columbia.edu/homepages/yuh9001/GPmarkup/)  
479 [www.cpmc.columbia.edu/homepages/yuh9001/GPmark-](http://www.cpmc.columbia.edu/homepages/yuh9001/GPmarkup/)  
480 [up/](http://www.cpmc.columbia.edu/homepages/yuh9001/GPmarkup/).

481 From Table 4, if we count a partial-matching as a  
482 match, the recall and the precision of GPmarkup were,  
483 with 95% confidence,  $0.73 \pm 0.05$   $(222 + 15)/(222 +$   
484  $15 + 88)$  and  $0.93 \pm 0.03$   $(222 + 15)/(222 + 15 + 17)$ ,  
485 respectively. We found all partial matches represent valid  
486 proteins. However, if we do not include a partial-match-  
487 ing as a match, the recall and precision of GPmarkup  
488 were, with 95% confidence,  $0.68 \pm 0.05$   $222/(222 + 15 +$   
489  $88)$  and  $0.87 \pm 0.04$   $(222/(222 + 15 + 17))$ , respectively.

### 490 3.5.4. Comparing gene/protein symbols and full names 491 extracted from MEDLINE with LocusLink

492 We downloaded the knowledge source of paired gene/  
493 protein symbols and full names from LocusLink [36].  
494 LocusLink is maintained by the National Center for  
495 Biotechnology Information. It presents information on  
496 official nomenclature of genes and lists a total of 115,890  
497 manually annotated paired gene symbols and full

Table 3

Evaluation results of GPmarkup in filtering the knowledge source of paired abbreviations and full names to produce a knowledge source of paired gene/protein symbols and full names

Evaluation cases	Expert judgments		
	Number of gene/protein symbol-full name pairs	Number of other abbreviation-full name pairs	Number of non abbreviation-full name pairs
1000 pairs of gene/protein symbols and full names as identified by GPmarkup	982	9 (e.g. <i>srg</i> for <i>spent restaurant grease</i> )	9 (e.g., <i>gene</i> for <i>genes</i> )
1000 pairs of other abbreviations and full names as identified by GPmarkup	1 (i.e., <i>A-Igg</i> for <i>Anti-human Igg</i> )	949	50 (e.g., <i>ph2</i> for <i>phages</i> )

Table 4

Evaluation results of GPmarkup

Type of category	GPmarkup identified
Complete-matching (e.g., $\langle \text{phr sem} = \text{"gp"} \text{ t} = \text{"signaling lymphocyte activation molecule"} \rangle \text{slam} \langle / \text{phr} \rangle$ )	222
Partial-matching <sup>a</sup> (e.g., $\langle \text{phr sem} = \text{"gp"} \rangle \text{interleukin 1} \langle / \text{phr} \rangle \text{ receptor ii}$ )	15
Missing (e.g., <i>2b4</i> )	88
False-matching <sup>b</sup> (e.g., $\langle \text{phr sem} = \text{"gp"} \rangle \text{acupuncture points and channels} \langle / \text{phr} \rangle$ )	17

<sup>a</sup> The correct full name is “interleukin 1 receptor ii”.

<sup>b</sup> False-matching includes those non-gene and non-protein terms that are identified by GPmarkup.



498 names, though we found that only 65,987 entries have  
499 both gene/protein symbols and full names.

500 We randomly selected 100 entries that incorporate  
501 both symbols and full names from the LocusLink and  
502 manually identify their existence in our knowledge  
503 source of paired gene/protein symbols and full names.  
504 We also randomly selected 100 unique gene/protein  
505 symbol and full name pairs from our knowledge source  
506 and manually identified their existence in LocusLink.

507 We found that 62 out of 100 selected pairs in our  
508 knowledge source did not appear in LocusLink. Exam-  
509 ples included {*ACY1-ACP*, *acyl-acyl carrier protein*},  
510 {*GCDFP*, *gross cyst disease fluid protein*}, {*CCK-OP*,  
511 *cholecystokinin octopeptide*} and {*l-PK*, *l* pyruvate ki-  
512 *nase*} though some of the missing pairs represent protein  
513 products instead of direct genes. For example, {*l-PK*, *l*  
514 *pyruvate kinase*} is a spliced product of its gene {*PKLR*,  
515 *pyruvate kinase*}<sup>2</sup> which appears in LocusLink and there  
516 is no gene for {*CCK-OP*, *cholecystokinin octopeptide*}<sup>3</sup>.  
517 Eight pairs partially matched to LocusLink. For exam-  
518 ple, *PPI*, *peptide prolyl cis trans isomerase* appears in our  
519 knowledge source. In LocusLink, we found {*PPIa*,  
520 *peptidylprolyl isomerase a (cyclophilin a)*}.”

521 On the other hand, we found that only 40 LocusLink  
522 entries could be found in our knowledge source (16 of  
523 them have variations). We judged that four of those 60  
524 failed entries are not gene/protein symbols and full  
525 names (e.g., {*shs*, *sutherland-haan x-linked mental re-*  
526 *tardation syndrome*}). To find whether the remaining 56  
527 entries exist in MEDLINE, we searched 12 million  
528 MEDLINE records (1966–2002). We applied direct  
529 matching (case insensitive) and manually analyzed ab-  
530 stracts that contained either the symbol or the full name  
531 of those 56 failed entries. We failed to find the existence  
532 of 50 of them in MEDLINE, either symbols or full  
533 names. Examples include {*2700088m22rik*, *riken cDNA*  
534 *2700088m22 gene*} and {*atp5b1l*, *atp synthase, h+*  
535 *transporting, mitochondrial f1 complex, β polypeptide-*  
536 *like 1*}. Of the rest of six entries, we could find symbols  
537 in MEDLINE, but failed to find full names. Examples  
538 include {*aspa*, *aspartoacylase (aminoacylase 2, canavan*  
539 *disease*)} and {*assp6*, *argininosuccinate synthetase*  
540 *pseudogene 6*}, for the former we found the full name  
541 with variations, for the latter we found that the full  
542 name did not exist in the MEDLINE record where the  
543 symbol appeared.

#### 544 3.6. The percentage of undefined gene/protein symbols and 545 full names

546 If all the gene/protein symbols and full names were  
547 defined in MEDLINE abstracts, then GPmarkup would

also serve the purpose for disambiguation by assigning 548  
full names to symbols. However, not all the gene/protein 549  
symbols are defined in the abstracts. 550

551 We measured the percentage of defined gene/protein  
552 symbols in MEDLINE abstracts. We randomly selected  
553 100 abstracts (according to the time of publication) from  
554 a total of 782,560 MEDLINE abstracts (1966–2001)  
555 that were retrieved by the keyword “protein.” Those  
556 abstracts contain 1069 sentences (including titles). We  
557 measured the percentage of undefined gene/protein  
558 symbols. We counted unique appearance of gene/protein  
559 symbols within abstracts. Based on the authors’ judg-  
560 ment, the numbers of defined and undefined gene/pro-  
561 tein symbols were 92 and 27, respectively. The  
562 percentage of defined gene/protein symbols and full  
563 names was, with 95% confidence,  $0.77 \pm 0.08$ .

#### 564 4. Discussion

565 Many public databases such as GenBank have gene/  
566 protein synonym knowledge sources. However, the da-  
567 tabases are largely maintained manually and therefore  
568 are not always up to date. GPmarkup can generate  
569 automatically a knowledge source of paired gene/protein  
570 symbols and full names from MEDLINE abstracts. The  
571 automated fashion may reduce manual efforts. In addi-  
572 tion, GPmarkup may capture the most up-to-date gene/  
573 protein symbols and full names if the full names are  
574 defined in abstracts and follow the guidelines of no-  
575 menclature of genes and proteins.

576 We also found that a majority of gene/protein sym-  
577 bols and full names extracted in our knowledge source  
578 did not appear in LocusLink. Recall LocusLink consists  
579 of a large number of mainly manually annotated paired  
580 gene/protein symbols and full names. In addition, we  
581 found a majority of pairs in LocusLink did not appear  
582 in our knowledge source either; most of those pairs did  
583 not even appear in MEDLINE by keyword search. The  
584 results suggest that there is a gap between LocusLink  
585 knowledge source and the actual text. This difference  
586 may make it difficult to apply LocusLink directly for  
587 looking up terms in MEDLINE. On the other hand,  
588 since our knowledge source of paired gene/protein  
589 symbols and names were directly extracted from  
590 MEDLINE, they may be more useful as a knowledge-  
591 based markup.

592 One limitation of GPmarkup is that not all the gene/  
593 protein symbols and full names are defined in the ab-  
594 stracts and therefore GPmarkup may not capture some  
595 gene/protein symbols and full names. However, two  
596 other factors alleviate this problem: authors are en-  
597 couraged to define gene/protein full names in the ab-  
598 stracts of any relevant papers [26], and the literature is  
599 redundant. Therefore, applying GPmarkup to all of  
600 MEDLINE abstracts is likely to capture a majority of

<sup>2</sup> GenBank Accession No. U47654.

<sup>3</sup> For details see <http://arbl.cvmb.colostate.edu/hbooks/pathphys/endocrine/gi/cck.html>.

601 gene/protein symbols and full names that appear in the  
602 text.

603 GPmarkup may also miss gene/protein symbols and  
604 full names when authors do not follow the guidelines for  
605 naming genes and proteins. To capture these gene/pro-  
606 tein symbols and full names, we may integrate into  
607 GPmarkup statistical approaches such as Hisamitsu and  
608 Niwa's approach [18,20] of selecting phrases associated  
609 with parentheses that were statistically significant. In  
610 addition, GPmarkup may also miss abbreviations and  
611 full names that are introduced through syntactic pat-  
612 terns (e.g., appositions). In the near future we plan to  
613 utilize the approaches of [37] that enumerated syntactic  
614 patterns for abbreviation detection.

615 Other limitations include the ambiguity in usage of  
616 gene/protein terms. For example, we do not differentiate  
617 a gene term from a protein one. We do not differentiate  
618 a general gene/protein term (e.g., *growth factors*) from a  
619 specific one (e.g., *protein kinase A*). We also do not  
620 identify to which organism, tissue, cell type, and sub-  
621 location a gene/protein term refers. We propose to in-  
622 tegrate the approach of [38] for disambiguating gene/  
623 protein terms. We also hope to develop statistical NLP  
624 approaches for further disambiguation.

625 Our study shows that many gene/protein symbols  
626 (77%) are defined within the abstracts, GPmarkup can  
627 map a majority of gene/protein symbols to full names.  
628 GPmarkup does not mark up undefined gene/protein  
629 symbols if the symbols have several full names in the  
630 knowledge source of abbreviation-full name pairs. For  
631 example, *aap* denotes *antiarrhythmic peptide*, *alkyl ac-*  
632 *ceptor protein*, *alzheimer amyloid precursor protein*, *am-*  
633 *inoantipyrine*, and *automatic action potential* in our  
634 knowledge source and GPmarkup thus does not mark  
635 up “*aap*” as a gene/protein term when it is not defined in  
636 the abstract. We therefore sacrifice GPmarkup's recall  
637 for high precision. In the future, we will integrate a  
638 disambiguation method that assigns the full names from  
639 our knowledge source to the ambiguous symbols. Once  
640 a symbol is assigned to its full name, we can apply our  
641 rule-based approach (see Section 3.3) determining whe-  
642 ther the symbol is a gene/protein term.

643 Note that we recognized a gene/protein term if the  
644 term actually represents a gene/protein in the abstract.  
645 We described earlier that we did not mark up “*cerebral*  
646 *amyloid  $\beta$  protein angiopathy*” as a protein name even  
647 though “*cerebral amyloid  $\beta$  protein*” by itself is a protein  
648 name. Other researchers may do differently [11].

## 649 5. Conclusion

650 This study shows that GPmarkup is efficient (73%  
651 recall and 93% precision) in marking up gene/protein  
652 terms in MEDLINE abstracts. Our results may provide  
653 a useful supplement to manually curated resources such

as LocusLink (GenBank). A method to more accurately  
654 identify the full names of undefined abbreviations would  
655 increase the recall of GPmarkup and enhance its use-  
656 fulness. 657

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