

# UCC Library and UCC researchers have made this item openly available. Please let us know how this has helped you. Thanks!

Title	Development of a standardized chart review method to identify drug- related hospital admissions in older people	
Author(s)	Thevelin, Stefanie; Spinewine, Anne; Beuscart, Jean-Baptiste; Boland, Benoît; Marien, Sophie; Vaillant, Fanny; Wilting, Ingeborg; Vondeling, Ariel; Floriani, Carmen; Schneider, Claudio; Donzé, Jacques; Rodondi, Nicolas; Cullinan, Shane; O'Mahony, Denis; Dalleur, Olivia	
Publication date	2018-07-14	
Original citation	Thevelin, S., Spinewine, A., Beuscart, JB., Boland, B., Marien, S., Vaillant, F., Wilting, I., Vondeling, A., Floriani, C., Schneider, C., Donzé J., Rodondi, N., Cullinan, S., O'Mahony, D. and Dalleur, O. (2018) 'Development of a standardized chart review method to identify drugrelated hospital admissions in older people', British Journal of Clinical Pharmacology. doi: 10.1111/bcp.13716	
Type of publication	Article (peer-reviewed)	
Link to publisher's version	http://dx.doi.org/10.1111/bcp.13716 Access to the full text of the published version may require a subscription.	
Rights	© 2018, the British Pharmacological Society. Published by John Wiley & Sons, Inc. This is the peer reviewed version of the following article: Thevelin, S., Spinewine, A., Beuscart, JB., Boland, B., Marien, S., Vaillant, F., Wilting, I., Vondeling, A., Floriani, C., Schneider, C., Donzé J., Rodondi, N., Cullinan, S., O'Mahony, D. and Dalleur, O. (2018) 'Development of a standardized chart review method to identify drug-related hospital admissions in older people', British Journal of Clinical Pharmacology. doi: 10.1111/bcp.13716, which has been published in final form at https://doi.org/10.1111/bcp.13716. This article may be used for noncommercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions.	
Embargo information	Access to this article is restricted until 12 months after publication by request of the publisher.	
Embargo lift date	2 19-01-14	
Item downloaded from	http://hdl.handle.net/10468/6866	

Downloaded on 2021-11-27T05:35:38Z

#### **DEVELOPMENT OF A STANDARDIZED CHART REVIEW METHOD TO IDENTIFY**

#### DRUG-RELATED HOSPITAL ADMISSIONS IN OLDER PEOPLE

Stefanie Thevelin<sup>1</sup>, Anne Spinewine<sup>1,2</sup>, Jean-Baptiste Beuscart<sup>1</sup>, Benoit Boland<sup>3,4</sup>, Sophie Marien<sup>1,3</sup>, Fanny Vaillant<sup>5</sup>, Ingeborg Wilting<sup>6</sup>, Ariel Vondeling<sup>7</sup>, Carmen Floriani<sup>8</sup>, Claudio Schneider<sup>8</sup>, Jacques Donzé<sup>8,9,10</sup>, Nicolas Rodondi<sup>8,11</sup>, Shane Cullinan<sup>12,13</sup>, Denis O'Mahony<sup>14</sup>, Olivia Dalleur<sup>1,5</sup>

- 1) Clinical Pharmacy Research Group, Louvain Drug Research Institute, Université catholique de Louvain, Brussels, Belgium
- 2) Pharmacy department, CHU Dinant-Godinne UCL Namur, Université catholique de Louvain, Yvoir, Belgium
- 3) Department of Geriatric Medicine, Cliniques universitaires Saint-Luc, Université catholique de Louvain, Brussels, Belgium
- 4) Institute of Health and Society, Université catholique de Louvain, Brussels, Belgium
- 5) Pharmacy Department, Cliniques universitaires Saint-Luc, Université catholique de Louvain, Brussels, Belgium
- 6) Department of Clinical Pharmacy, Division Laboratory and Pharmacy, University Medical Centre Utrecht, Utrecht, the Netherlands
- 7) Department of Geriatric Medicine and Expertise Centre Pharmacotherapy in Older Persons (EPHOR), University Medical Centre Utrecht, Utrecht, the Netherlands
- 8) Department of General Internal Medicine, Inselspital, Bern University Hospital, University of Bern, Switzerland
- 9) Division of General Medicine, Brigham and Women's Hospital, Boston, Massachusetts, USA
- 10) Harvard Medical School, Boston, Massachusetts, USA
- 11) Institute of Primary Health Care (BIHAM), University of Bern, Switzerland
- 12) Pharmaceutical Care Research Group, School of Pharmacy, Cavanagh Pharmacy Building, University College Cork, College Road, Cork, Ireland
- 13) School of Pharmacy, Royal College of Surgeons in Ireland, Dublin, Ireland
- 14) Department of Geriatric Medicine, Cork University Hospital and Department of Medicine, University College Cork, Cork, Ireland

This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process which may lead to differences between this version and the Version of Record. Please cite this article as doi: 10.1111/bcp.13716

# **Corresponding author:**

Stefanie Thevelin

Clinical Pharmacy Research Group, Louvain Drug Research Institute

Avenue Mounier 72 bte B1.72.02, 1200 Brussels, Belgium.

Email: stefanie.thevelin@uclouvain.be Telephone: + 32 2 764 72 36

# **Key words:**

Elderly < Geriatrics, Medication safety < Clinical Pharmacology, Adverse Drug Reactions, Medication Errors < Clinical Pharmacology, Patient safety < Clinical Pharmacology

#### **ABSTRACT**

ACC

**Aim**: We aimed to develop a standardized chart review method to identify drug-related hospital admissions (DRA) in older people caused by non-preventable adverse drug reactions and preventable medication errors including overuse, underuse and misuse of medications: the DRA adjudication guide.

**Methods**: The DRA adjudication guide was developed based on design and test iterations with international and multidisciplinary input in 4 subsequent steps: literature review, evaluation of content validity using a Delphi consensus technique, a pilot test and a reliability study.

**Results**: The DRA adjudication guide provides definitions, examples and step-by-step instructions to measure DRA. A 3-step standardized chart review method was elaborated including 1) data abstraction, 2) explicit screening with a newly developed trigger tool for DRA in older people and 3) consensus adjudication for causality by a pharmacist and a physician using the World Health Organization-Uppsala Monitoring Centre and Hallas criteria. A 15-member international Delphi panel reached consensus agreement on 26 triggers for DRA in older people. The DRA adjudication guide showed good feasibility of use and achieved moderate inter-rater reliability for the evaluation of 16 cases by 4 European adjudication pairs (71% agreement, kappa = 0.41). Disagreements arose mainly for cases with potential underuse.

**Conclusions**: The DRA adjudication guide is the first standardized chart review method to identify DRA in older persons. Content validity, feasibility of use and inter-rater reliability were found to be satisfactory. The method can be used as an outcome measure for interventions targeted at improving quality and safety of medication use in older people.

# Accepted

# What is already known about this subject

- Drug-related hospital admissions represent a growing patient safety threat in older people.
- Identifying drug-related hospital admissions in older people is complex and there is lack of a standardized approach to identify drug-related hospital admissions.

# What this study adds

- We developed a standardised chart review method to measure drug-related hospital admissions in older persons.
- Content validity, feasibility of use and inter-rater reliability were found to be satisfactory.
- The method can be used as an outcome measure for interventions targeted at improving quality and safety of medication use in older people.

#### **INTRODUCTION**

Adverse drug events (ADEs) are a leading cause of iatrogenic harm globally.<sup>[1, 2]</sup> A significant proportion of ADEs results in hospitalisation and these so-called drug-related hospital admissions (DRA) have serious clinical and economic consequences.<sup>[3-6]</sup> DRA can result from non-preventable adverse drug reactions (ADR) or from preventable medication errors.

Older adults have almost a seven-fold increased risk of experiencing a DRA compared to younger persons due to several risk factors such as multi-morbidity and polypharmacy.<sup>[7]</sup> Around 70% of DRA in older people are caused by potentially preventable ADEs mainly resulting from poor medication adherence and inappropriate prescribing.<sup>[8-13]</sup> The latter includes the prescription or use of more drugs than are clinically needed (overuse), the incorrect prescription or use of drugs that are needed (misuse) and the failure to prescribe or use drugs that are needed (underuse).<sup>[14]</sup> Identifying DRA in older people is challenging because ADEs often present as common geriatric problems such as falls, confusion or renal impairment which might be due to the ageing process, underlying diseases or medications.<sup>[13, 15]</sup>

No standardised and validated method to identify DRA in older people exists in the literature. Yet measuring DRA is potentially an important issue in the light of the World Health Organisation's Global Patient Safety challenge on medication-related harm.<sup>[2]</sup> Studies have reported DRA prevalence rates ranging from 6% to 50% of all admissions in older adults.<sup>[16-20]</sup> The wide variance in prevalence rates is associated with the considerable heterogeneity in definitions and methods used to identify DRA, the study population and the setting.<sup>[20, 21]</sup> DRA identification often relies on a highly subjective and variable process and few attempts have been made to measure DRA resulting from underuse of medications.<sup>[12, 19, 22, 23]</sup>

We aimed to develop a standardized chart review method to identify DRAs resulting from ADR, overuse, misuse and underuse of medications, specific to older people: the DRA adjudication guide. In this paper we present the developmental pathway of the DRA adjudication guide and the evaluation of its content validity, feasibility of use and reliability, which are defined as desirable attributes of a quality measure by the Agency for Healthcare Research and Quality.<sup>[24]</sup>

The DRA adjudication guide will be used in 4 European centres to measure the primary outcome DRA in the OPERAM trial (<a href="http://operam-2020.eu">http://operam-2020.eu</a>) that will assess the impact of a pharmacotherapy optimisation intervention in 2000 multi-morbid older people.

# **METHODS**

# Design

The DRA adjudication guide was developed in 4 subsequent steps: (I) the first draft of the guide was developed based on literature review; (II) this version was subsequently refined based on evaluation of content validity by an expert panel; (III) user-feedback in a pilot test and (IV) a reliability study (Figure 1).

#### Literature review

Two literature searches were performed in PubMed by the first author for articles published between January 1, 1990 and August 1, 2015. Screening of titles and abstracts and data extraction was performed by the first author.

A first exploratory search aimed to review existing structured ADE or DRA identification approaches to inform the development of the overall DRA identification strategy. The search included the following medical subject headings (MeSH): 'Patient admission', 'Drug-related side effects and adverse reactions', 'Quality assurance, Health Care', 'Patient outcome assessment'. Studies published in English, French or Dutch that focused on defining, identifying and/or characterizing ADE or DRA in the adult in-hospital setting were included.

A second literature search aimed to review common causes for DRA in older people to inform the development of a trigger tool for DRA in older people for inclusion in the DRA adjudication guide. To improve efficiency and to standardize identification of ADEs, trigger-based chart review has been advocated as the premier ADE identification approach.<sup>[25-27]</sup> Triggers are defined as 'occurrences, prompts or flags' found upon chart review that 'trigger' further investigation to determine the presence or absence of an adverse event.<sup>[28]</sup> Trigger tools have been designed for a variety of clinical settings but to our knowledge, no trigger tool for identifying DRA in older people exists. To compile a preliminary trigger tool, the second literature search aimed to identify common causes for DRA in older people and to review previously developed adverse event triggers tools designed for other settings. PubMed was searched using the following search terms and/or combinations: 'Aged'[MeSH], 'Drug-Related

Side Effects and Adverse Reactions'[MeSH], 'Hospitalization'[MeSH], 'Trigger'[All fields], 'Adverse drug events trigger tool'[All fields], 'Pharmaceutical preparations'[MeSH], 'Underuse'[All fields], 'Prescribing omission'[All fields]. Studies on hospitalizations in people aged ≥65 years resulting from preventable ADEs and non-preventable ADRs were included. Studies on the development or evaluation of adverse event trigger tools designed for other settings were also included. Studies on DRA in patients younger than 65 years were excluded. Trigger tool studies focusing on specific patient groups such as surgical patients were also excluded.

A data extraction form was developed to document study characteristics including study aims, population, design, setting, methods used to detect ADE or DRA, causality algorithms used, professionals involved in ADE or DRA assessment, most frequent causes of DRA, most frequent medications involved or omitted in DRA, triggers and their positive predictive value.

# **Evaluation of content validity**

Content validity refers to the relationship between an instrument's content and the construct it is intended to measure. [29] In the absence of a gold standard to measure DRA, content validity of the DRA adjudication guide was assessed by an expert panel.

First, the overall DRA identification method suggested by the guide was agreed on a consensus basis through face-to-face discussions by 3 physicians (BB, JBB, JD) and 2 clinical pharmacists (AS, OD) with expertise in geriatric pharmacotherapy and medication safety.

Secondly, a 2-round online modified Delphi survey using LimeSurvey® software was conducted to validate the triggers derived from the literature review. The Delphi method is a consensus technique that is widely used for questions addressing medication safety in older adults. A modified online 2-round Delphi survey was selected in this study as a way to combine scientific rigor and pragmatism to obtain consensus from a geographically diverse expert panel. Experts were selected based on their recognised academic or clinical expertise on the subject of drugrelated morbidity in older patients or were personal contacts. Of the 29 experts invited, respectively 15 and 14 experts from 8 different countries took part in the first and second Delphi round (Table 1).

The Delphi panel was asked to assess the content validity of the preliminary trigger tool, to develop consensus on the most relevant triggers and to identify additional triggers.

Furthermore the panel was asked to assess 2 screening questions for non-triggered, spontaneously detected events. In the first Delphi round participants were asked to rate for each of the 29 triggers derived from the literature and for the 2 screening questions the 'relevance to screen for a DRA in older people' on a 5-point Likert scale (ranging from 'absolutely irrelevant' to 'absolutely relevant'; relevance was defined as 'the degree to which the item comprehensively includes the full scope of the outcome it intends to measure'). A free-text field was provided for each item, allowing comments to improve the trigger design or to suggest new triggers.

For each item, consensus measurement was based on the median Likert response and the interquartile range. The following cut-off values of consensus were defined before data analysis: consensus that a trigger should be retained if the median score on the 5-point Likert scale was  $\geq 4$  and the 25th percentile  $\geq 4$  (i.e.  $\geq 75\%$  of the experts considered the trigger as 'relevant'or 'absolutely relevant'); consensus that a trigger should be excluded if the median score was <3 and the 75th percentile <3 (i.e.  $\geq 75\%$  of the experts considered the trigger as 'irrelevant' or 'absolutely irrelevant'); no consensus for triggers that failed to meet either of the latter cut-off values.

Triggers that were accepted or rejected unanimously after the first round were not presented in the second round. In the second Delphi round, participants were asked to rate the triggers for which revisions were suggested in the first round. Furthermore, participants were asked to re-evaluate the equivocal triggers on the 5-point Likert scale, taking into account the groups' responses. Participants were provided with a reminder of their own responses from round 1, the median group rating and interquartile range and a summary of the comments made by participants. Equivocal triggers that were rated equivocal again, were not included in the final trigger tool (Supporting Information S1).

#### **Pilot test**

A pilot test was performed aimed at ensuring that the newly developed DRA adjudication guide was a workable instrument and to identify points for improvement. For this purpose, the DRA adjudication guide was piloted independently by a geriatrician and a pharmacist from one centre (JBB, ST). For the pilot test, 15 cases from a medical record database of frail older patients admitted to a teaching hospital were randomly selected by using a random number generator. The reviewers' suggestions for improvement were discussed within the OPERAM

research team and modifications were subsequently implemented in the DRA adjudication guide.

# **Reliability study**

A reliability study was conducted to assess whether the DRA adjudication guide yields reproducible results when applied by different raters. Raters were OPERAM research team members with clinical and/or research experience in geriatric medicine. Pairs of raters in 3 centres (Brussels, Cork and Utrecht) consisted of a pharmacist and physician (SM, FV, IW, AV, SC, DOM) whereas in 1 centre (Bern) the pair was composed of physicians only (CF, CS). The raters had no prior experience in using the DRA adjudication guide and were provided with a video training tutorial (https://www.youtube.com/watch?v=fadmO-WcCHM).

For the purpose of the reliability study, each centre provided 4 cases of multi-morbid older patients including the discharge and/or admission letter, laboratory values and medication lists. Translation of the cases was performed by OPERAM research team members from their mother tongue (Dutch, French, Swiss-German) to English. No formal back-translation process was undertaken.

Raters were asked to first assess the cases individually and subsequently to come to a consensus result on the case within the pair. The time needed to adjudicate a case was recorded. A dichotomous outcome variable (DRA identified yes/no) was defined and interrater reliability was determined by calculating percentage agreement and agreement corrected for chance *between* pairs of raters from 4 European centres (Fleiss' kappa) as well as *within* each pair (Cohen's kappa) for the dichotomous outcome variable. Kappa values were interpreted as slight agreement if <0.20, fair agreement if 0.21–0.40, moderate agreement if 0.41–0.60, substantial agreement if 0.61–0.8 and almost perfect agreement if 0.81–1.00.<sup>[31]</sup> Next, adjudication results and discrepancies were shared among all raters, who were asked for feedback. The primary goal was to determine whether discrepancies were due to difficulties in using the adjudication method, missed information or case interpretation.

#### **Ethics approval**

The ethics committee from the Cliniques universitaires Saint-Luc (Brussels, Belgium) provided approval for anonymous use of the medical record database (reference number B40201111806).

#### **RESULTS**

# Literature review and development of the DRA adjudication guide

Development of the overall DRA identification strategy

Twenty-five studies on ADE or DRA identification were reviewed.<sup>[3, 7, 12, 26, 27, 32-51]</sup> Chart review by 2 or more reviewers has been considered as a gold standard in many patient safety studies because of its high ADE yield and high specificity.<sup>[32]</sup> To evaluate the relationship between drug treatment and the occurrence of an adverse event, several causality assessment methods have been developed. No causality assessment method is universally accepted but expert judgement is the most widely used.<sup>[47]</sup> Chart review is however often conducted in an implicit and unstructured way, resulting in low inter-rater reliability.<sup>[32]</sup> Our method selected to adjudicate DRA therefore involved a structured chart review with the aid of a trigger tool to improve efficiency and standardization in ADE detection.<sup>[25]</sup> Previous research has demonstrated that by restricting ADE detection to trigger tools only, whole classes of ADE can be missed.<sup>[32, 52, 53]</sup> Therefore two screening questions for non-triggered, spontaneously detected events were also compiled.

A 3-step approach for DRA identification based on chart review was elaborated (Figure 2). The 3 steps include: 1) abstraction of a standardized list of data from the medical record into an electronic case report form, the main source documents including the admission and discharge letter, laboratory values and medication lists; 2) explicit screening for ADE(s) that are potential DRA with the DRA trigger tool and screening questions for non-triggered events; 3) adjudication: consensus judgement in terms of ADE causality and ADE contribution to hospital admission with the World Health Organisation-Uppsala Monitoring Centre (WHO-UMC) and Hallas criteria respectively. Steps 2 and 3 are performed by an adjudication pair composed of a pharmacist and a physician given their complementary knowledge and experience. Definitions, step-by-step instructions for use and examples are contained in the DRA adjudication guide (Supporting Information S2).

# Development of the trigger tool

Twenty-three studies on common causes of DRA in older people<sup>[3, 7-10, 12, 16, 23, 38, 51, 57-69]</sup> and 12 trigger tools studies were reviewed.<sup>[30, 52, 53, 70-78]</sup> Based on the information from the literature and their own clinical expertise, the research team compiled a preliminary list of 29 triggers

and 2 screening questions for non-triggered events related to ADR, overuse, underuse or misuse of medications. Key considerations for selecting the triggers were the reported positive predictive value of the triggers, severity (i.e. the trigger should be severe enough to result in hospital admission) and ease of detection. The triggers were divided in 3 categories including diagnoses, abnormal laboratory values and 'other' triggers (e.g. antidote use). Each trigger was elaborated with potential causative drugs or potential causes for drug underuse based on the STOPP/START criteria version 2 and by consulting pharmacology and pharmacotherapy references.<sup>[79]</sup> Consequently, each trigger consists of a diagnosis or abnormal laboratory value and a corresponding list of potential causative drugs or causes for drug underuse allowing explicit chart screening for DRA.

#### **Evaluation of content validity**

None of the 29 triggers or screening questions were removed at the end of the first round by the 15-member Delphi panel. Twenty-five triggers and 2 screening questions for non-triggered events were rated 'relevant' or 'absolutely relevant' to screen for DRA in older people. Of the items on which the group agreed, 10 triggers and 2 screening questions were adopted without alteration in the final tool, whereas 15 triggers were revised according to the participants' suggestions. Revisions included changing cut-off thresholds of laboratory values, adding or removing medications associated with a trigger or adding more detail to the triggers. Four triggers (theophylline level >20  $\mu$ g/ml, rash, *Clostridium difficile* toxin positive stool, neutrophils <1400/mm³) were rated equivocal.

After the second round, all 15 triggers with revisions were rated 'relevant' or 'absolutely relevant'. Three out of 4 equivocal triggers from the first round were rated equivocal again and these were removed from the trigger tool. The trigger 'neutrophils <1400/mm<sup>3</sup>' was now rated relevant and was included in the final trigger tool (Supporting Information S1). Following last refinements, the final 26-item trigger tool was created (Table 2).

# Pilot test

The two reviewers involved in the pilot considered the trigger tool as a workable instrument for screening for DRA. The same sets of triggers were identified by the two reviewers, however adjudication of DRA was the part where most discrepancies arose. Based on feedback from the reviewers, the following modifications were made after the pilot:

- The Naranjo algorithm and Therapeutic Failure Questionnaire [63, 80], which were proposed as causality algorithms in the DRA adjudication guide v.1, were replaced by the WHO-UMC causality criteria because they reflect clinical practice better. The WHO-UMC criteria were adapted to allow causality assessment due to medication underuse in line with Klopotowska et al. [32]
- Discharge medications were added to the list of data to abstract to aid in the detection of potential underuse.
- The DRA identification strategy and instructions for use were adapted to the process that both reviewers considered as most practical.

# **Reliability study**

Table 3 provides the level of agreement on the presence of a DRA between all centres and within each pair per centre for 16 cases. The DRA adjudication guide achieved a moderate inter-rater reliability score *between* adjudication pairs from 4 European centres (71% agreement, Fleiss' kappa = 0.41). Agreement *within* each pair varied from fair to almost perfect agreement (69%–94% agreement, Cohens' kappa = 0.33-0.86). The mean time needed to assess a case individually was  $23\pm6$  minutes and the mean time needed for consensus discussion was  $13\pm5$  minutes.

No differences in inter-rater reliability for DRA identification were observed for triggered and non-triggered cases. Detailed analysis of the adjudication results showed that in the majority of cases the same triggers and potential ADEs were identified but discrepancies arose mainly on the level of assessment of contribution to hospital admission. Discrepancies arose for 8 cases with more subjective assessments including 5 triggered cases with potential underuse, 2 triggered cases with contributory reasons for admission (i.e. an ADE that is not the main reason for admission but plays a substantial role in the admission)<sup>[36]</sup> and 1 case with a non-triggered DRA (Supporting Information S3).

#### **DISCUSSION**

To our knowledge the DRA adjudication guide is the first standardized instrument to identify DRA in older persons caused by ADR, overuse, underuse and misuse of medications. The DRA adjudication guide provides definitions, examples and step-by-step instructions to measure DRA.

DRA identification is based on chart review with the aid of a trigger tool followed by structured consensus judgement, an approach that has been used successfully in previous ADE studies.<sup>[25]</sup> The novelty of our method lies in the development of a trigger tool for DRA, specific to older people and allowing explicit DRA screening. The DRA adjudication guide calls for a rigorous evaluation of DRA including triggered and non-triggered events as well as non-preventable ADR and preventable medication errors, which is the desired broader focus of studying DRA.<sup>[21, 32, 52, 53]</sup> Furthermore, an adjudication pair composed of a pharmacist and a physician is a recommended approach for evaluation of ADEs.<sup>[55, 56]</sup>

To improve safety and quality of care, a valid and practical method to measure and understand a problem is a critical approach to any patient safety threat.<sup>[1, 81, 82]</sup> It has been acknowledged that patient safety measures are often based on insufficient evidence and finding a balance between scientific soundness and feasibility is a challenge.<sup>[81]</sup> We addressed these requirements by utilizing a rigorous developmental pathway based on design and test iterations combining evidence from published literature with expert opinion and user-feedback from international and multidisciplinary sources. Content validity, feasibility of use and inter-rater reliability were found to be satisfactory.

Despite the development of a standardised procedure, variability in DRA determination remains. Inter-rater reliability (IRR) *between* adjudication pairs in 4 European centres was moderate, which is the most relevant criterion as it is the consensus judgement between the pharmacist and physician that is of importance. Achieving a good IRR score for ADE identification is a challenge inherent to retrospective chart review studies, with previous adverse event studies reporting kappa scores varying from -0.077 to 0.66.<sup>[19, 32, 56, 83-85]</sup> The trigger tool allowed to detect the same triggers, yet discrepancies arose mainly on the level of assessment of contribution to hospital admission. Expert judgement using causality criteria is not devoid of individual subjective judgements.<sup>[47]</sup> Exploring the reasons for discrepancies highlighted the need for further training and standardisation of consensus procedures for more subjective adjudications such as underuse. For example, 2 out of 4 centres in the present study considered omission of a statin in a 90-year old patient admitted for myocardial infarction as a DRA, whereas there is limited evidence of benefit of statins over the age of 80-85. [86]

Our reliability study is the first one evaluating DRA by international adjudication teams, yet rater pairs only came from 4 European countries. The IRR score can be considered as a satisfactory result taking into account the following considerations: (i) participants were at the beginning of their learning curve when IRR was evaluated; (ii) composition of adjudication teams varied with regards to profession, clinical experience and experience in ADE identification. It has been shown that IRR among different professions is lower, which explains the almost perfect agreement score in the team that was composed of only physicians<sup>[56]</sup>; (iii) cases were collected in 4 European hospitals and quality of information in source documents such as admission and discharge letters therefore varied. Furthermore, translation of cases into English was needed and was performed by research team members and not by a translation agency, which might have resulted in differences in case quality. Moreover, interpretation of cases and source documents from another country where guidelines and practices might vary, contributes to complexity. However even if the DRA adjudication procedure is applied correctly by all raters, a certain degree of disagreement is to be expected in adjudication of complex multi-morbidity cases.

The following recommendations to optimize IRR will be implemented in the OPERAM trial: (i) intensification of training and involvement of experienced clinicians in the adjudication teams, (ii) close monitoring of IRR at different time-points to identify discrepancies and (iii) prompt feedback and sharing of questions and experiences among teams.<sup>[84, 87]</sup>

The adjudication guide has several limitations. Firstly, data are collected retrospectively and hence are limited to the information available in medical charts. For assessment of underuse in particular, information on patient preferences, life expectancy or adherence are often undocumented in medical charts.<sup>[81]</sup> To obtain an accurate picture, prospective identification of DRA in combination with patient, caregiver and healthcare professional interviews would be desirable.<sup>[33, 88, 89]</sup> Hindsight bias is another limitation of retrospective chart review; knowing the outcome and its severity may influence the adjudication of causation.<sup>[90]</sup> Furthermore, the response rate of the experts invited to the Delphi survey was limited to 48%, nevertheless the Delphi panel represented various disciplines and countries. Moreover, we did not specify an age cut-off for older people in the Delphi survey, which might have influenced the outcome. However in the literature review on which the preliminary list of triggers was based, we only included studies of patients aged 65 years and older. We therefore believe that our trigger

tool is broad enough to trigger DRA in people aged 65 years and older, which corresponds to the World Health Organization's age cut-off to define older people. Finally, we did not compare the adjudication results from the 4 teams with a gold standard such as adjudication by an expert panel.

The DRA adjudication guide is time-consuming for use in clinical practice and is designed for research purposes. The method may be used to study the incidence of DRA or drug-related emergency department visits or as an outcome measure for interventions targeted at improving quality and safety of medication use in older people.

The performance of the trigger tool for detecting DRA has not yet been evaluated. A future study will determine the predictive validity, sensitivity and specificity of the trigger tool to detect DRA in the OPERAM dataset. An electronic trigger tool consisting of drug-disease combinations with adequate specificity could help identify patients at risk of medication-related harm in electronic patient records.<sup>[91]</sup>

#### **ACKNOWLEDGEMENTS**

This work is part of the project 'OPERAM: OPtimising the European Union's Horizon 2020 admissions in the Multi-morbid elderly' supported by the European Union's Horizon 2020 research and innovation programme under the grant agreement No 6342388, and by the Swiss State Secretariat for Education, Research and Innovation (SERI) under contract number 15.0137. The opinions expressed and arguments employed herein are those of the authors and do not necessarily reflect the official views of the EC and the Swiss government.

We gratefully acknowledge the valuable input of the expert panel in the validation of the trigger tool. Participating experts included: Christine Baumgartner, University of Bern; Manuel Blum, University of Bern; Dominique Bonnet-Zamponi, Assistance Publique Hôpitaux de Paris, Centre of Pharmaco-epidemiology; Pascale Cornette, Université catholique de Louvain; Paul Jansen, University Medical Centre Utrecht; Louise Mallet, Université de Montréal; Zachary Marcum, University of Washington; Ariane Mouzon, Université catholique de Louvain; Denis O'Mahony, University College Cork; Mirko Petrovic, Ghent University; Sarah Slight, Newcastle University; Annemie Somers, Ghent University; Stephane Steurbaut, Vrije Universiteit Brussel; Patricia van den Bemt, Erasmus University Medical Centre; Tischa van der Cammen, Delft University of Technology.

We thank Stefanie Hossmann and Sven Trelle from the Clinical Trials Unit of the University of Bern, for the work performed to embed the DRA adjudication method in the electronic data collection for the OPERAM trial. We also thank Séverine Henrard from the Université catholique de Louvain for her advice in statistical analysis.

#### **CONTRIBUTORS**

ST conceptualized and designed the study, performed the literature review and pilot test, performed analysis and interpretation of data resulting from the validation, pilot and reliability studies and drafted the DRA adjudication guide. OD and AS conceptualized and designed the study, participated in the development and validation of the DRA adjudication guide and performed analysis and interpretation of data resulting from the validation, pilot and reliability studies. JBB participated in the development and validation of the DRA adjudication guide and performed the pilot test. BB, JD and NR participated in the development and validation of the DRA adjudication guide. SM, FV, IW, AV, CF, CS, SC and DOM participated in the reliability study. ST drafted the initial manuscript with contributions from OD, AS, JBB, BB, SM, DOM, SC, JD, CF and IW. All authors read and approved the final manuscript.

#### **CONFLICTS OF INTEREST**

All authors have no conflicts of interest to declare.

#### REFERENCES

- 1. Makary MA, Daniel M. Medical error-the third leading cause of death in the US. Bmj. 2016;353:i2139.
- 2. Donaldson LJ, Kelley ET, Dhingra-Kumar N, Kieny MP, Sheikh A. Medication Without Harm: WHO's Third Global Patient Safety Challenge. Lancet. 2017;389(10080):1680-1.
- 3. Pirmohamed M, James S, Meakin S, Green C, Scott AK, Walley TJ, et al. Adverse drug reactions as cause of admission to hospital: prospective analysis of 18 820 patients. BMJ. 2004;329(7456):15-9.
- 4. Leendertse AJ, Van Den Bemt PM, Poolman JB, Stoker LJ, Egberts AC, Postma MJ. Preventable hospital admissions related to medication (HARM): cost analysis of the HARM study. Value Health. 2011;14(1):34-40.
- 5. Lazarou J, Pomeranz BH, Corey PN. Incidence of adverse drug reactions in hospitalized patients: a meta-analysis of prospective studies. Jama. 1998;279(15):1200-5.
- 6. Ernst FR, Grizzle AJ. Drug-related morbidity and mortality: updating the cost-of-illness model. J Am Pharm Assoc (Wash). 2001;41(2):192-9.

- 7. Leendertse AJ, Egberts AC, Stoker LJ, van den Bemt PM. Frequency of and risk factors for preventable medication-related hospital admissions in the Netherlands. Arch Intern Med. 2008;168(17):1890-6.
- 8. Hamilton H, Gallagher P, Ryan C, Byrne S, O'Mahony D. Potentially inappropriate medications defined by STOPP criteria and the risk of adverse drug events in older hospitalized patients. Arch Intern Med. 2011;171(11):1013-9.
- 9. Dalleur O, Spinewine A, Henrard S, Losseau C, Speybroeck N, Boland B. Inappropriate prescribing and related hospital admissions in frail older persons according to the STOPP and START criteria. Drugs Aging. 2012;29(10):829-37.
- 10. Franceschi M, Scarcelli C, Niro V, Seripa D, Pazienza AM, Pepe G, et al. Prevalence, clinical features and avoidability of adverse drug reactions as cause of admission to a geriatric unit: a prospective study of 1756 patients. Drug Saf. 2008;31(6):545-56.
- 11. Dalleur O, Beeler PE, Schnipper JL, Donze J. 30-Day Potentially Avoidable Readmissions Due to Adverse Drug Events. J Patient Saf. 2017.
- 12. Marcum ZA, Pugh MJ, Amuan ME, Aspinall SL, Handler SM, Ruby CM, et al. Prevalence of potentially preventable unplanned hospitalizations caused by therapeutic failures and adverse drug withdrawal events among older veterans. J Gerontol A Biol Sci Med Sci. 2012;67(8):867-74.
- 13. Davies EA, O'Mahony MS. Adverse drug reactions in special populations the elderly. Br J Clin Pharmacol. 2015.
- 14. Spinewine A, Schmader KE, Barber N, Hughes C, Lapane KL, Swine C, et al. Appropriate prescribing in elderly people: how well can it be measured and optimised? Lancet. 2007;370(9582):173-84.
- 15. Lavan AH, Gallagher P. Predicting risk of adverse drug reactions in older adults. Ther Adv Drug Saf. 2016;7(1):11-22.
- 16. Alhawassi TM, Krass I, Bajorek BV, Pont LG. A systematic review of the prevalence and risk factors for adverse drug reactions in the elderly in the acute care setting. Clin Interv Aging. 2014;9:2079-86.
- 17. Oscanoa TJ, Lizaraso F, Carvajal A. Hospital admissions due to adverse drug reactions in the elderly. A meta-analysis. Eur J Clin Pharmacol. 2017.
- 18. Parameswaran Nair N, Chalmers L, Peterson GM, Bereznicki BJ, Castelino RL, Bereznicki LR. Hospitalization in older patients due to adverse drug reactions -the need for a prediction tool. Clin Interv Aging. 2016;11:497-505.
- 19. Malet-Larrea A, Goyenechea E, Garcia-Cardenas V, Calvo B, Arteche JM, Aranegui P, et al. The impact of a medication review with follow-up service on hospital admissions in aged polypharmacy patients. Br J Clin Pharmacol. 2016;82(3):831-8.
- 20. El Morabet N, Uitvlugt EB, van den Bemt BJF, van den Bemt P, Janssen MJA, Karapinar-Carkit F. Prevalence and Preventability of Drug-Related Hospital Readmissions: A Systematic Review. J Am Geriatr Soc. 2018.
- 21. Leendertse AJ, Visser D, Egberts AC, van den Bemt PM. The relationship between study characteristics and the prevalence of medication-related hospitalizations: a literature review and novel analysis. Drug Saf. 2010;33(3):233-44.
- 22. Soong C, Bell C. Identifying preventable readmissions: an achievable goal or waiting for Godot? BMJ Qual Saf. 2015;24(12):741-3.
- 23. Budnitz DS, Lovegrove MC, Shehab N, Richards CL. Emergency hospitalizations for adverse drug events in older Americans. N Engl J Med. 2011;365(21):2002-12.
- 24. Agency for Healthcare Research and Quality (AHRQ). Desirable attributes of a quality measure [Available from: <a href="https://www.qualitymeasures.ahrq.gov/help-and-about/quality-measure-tutorials/desirable-attributes-of-a-quality-measure">https://www.qualitymeasures.ahrq.gov/help-and-about/quality-measure-tutorials/desirable-attributes-of-a-quality-measure</a>]
- 25. Sharek PJ. The Emergence of the Trigger Tool as the Premier Measurement Strategy for Patient Safety. AHRQ WebM&M. 2012;2012(5).

- 26. Resar RK, Rozich JD, Classen D. Methodology and rationale for the measurement of harm with trigger tools. Qual Saf Health Care. 2003;12 Suppl 2:ii39-45.
- 27. Manias E. Detection of medication-related problems in hospital practice: a review. Br J Clin Pharmacol. 2013;76(1):7-20.
- 28. Classen DC, Pestotnik SL, Evans RS, Lloyd JF, Burke JP. Adverse drug events in hospitalized patients. Excess length of stay, extra costs, and attributable mortality. JAMA. 1997;277(4):301-6.
- 29. Cook DA, Beckman TJ. Current concepts in validity and reliability for psychometric instruments: theory and application. Am J Med. 2006;119(2):166.e7-16.
- 30. Handler SM, Hanlon JT, Perera S, Roumani YF, Nace DA, Fridsma DB, et al. Consensus list of signals to detect potential adverse drug reactions in nursing homes. J Am Geriatr Soc. 2008;56(5):808-15
- 31. Viera AJ, Garrett JM. Understanding interobserver agreement: the kappa statistic. Fam Med. 2005;37(5):360-3.
- 32. Klopotowska JE, Wierenga PC, Stuijt CC, Arisz L, Dijkgraaf MG, Kuks PF, et al. Adverse drug events in older hospitalized patients: results and reliability of a comprehensive and structured identification strategy. PLoS One. 2013;8(8):e71045.
- 33. Morimoto T, Gandhi TK, Seger AC, Hsieh TC, Bates DW. Adverse drug events and medication errors: detection and classification methods. Qual Saf Health Care. 2004;13(4):306-14.
- 34. Murff HJ, Patel VL, Hripcsak G, Bates DW. Detecting adverse events for patient safety research: a review of current methodologies. J Biomed Inform. 2003;36(1-2):131-43.
- 35. Howard RL, Avery AJ, Howard PD, Partridge M. Investigation into the reasons for preventable drug related admissions to a medical admissions unit: observational study. Qual Saf Health Care. 2003;12(4):280-5.
- 36. Hallas J, Harvald B, Gram LF, Grodum E, Brosen K, Haghfelt T, et al. Drug related hospital admissions: the role of definitions and intensity of data collection, and the possibility of prevention. J Intern Med. 1990;228(2):83-90.
- 37. Bero LA, Lipton HL, Bird JA. Characterization of geriatric drug-related hospital readmissions. Med Care. 1991;29(10):989-1003.
- 38. Chan M, Nicklason F, Vial JH. Adverse drug events as a cause of hospital admission in the elderly. Intern Med J. 2001;31(4):199-205.
- 39. Forster AJ, Murff HJ, Peterson JF, Gandhi TK, Bates DW. Adverse drug events occurring following hospital discharge. J Gen Intern Med. 2005;20(4):317-23.
- 40. Hohl CM, Nosyk B, Kuramoto L, Zed PJ, Brubacher JR, Abu-Laban RB, et al. Outcomes of emergency department patients presenting with adverse drug events. Ann Emerg Med. 2011;58(3):270-9 e4.
- 41. Zegers M, de Bruijne MC, Wagner C, Groenewegen PP, Waaijman R, van der Wal G. Design of a retrospective patient record study on the occurrence of adverse events among patients in Dutch hospitals. BMC Health Serv Res. 2007;7:27.
- 42. Klopotowska JE, Wierenga PC, de Rooij SE, Stuijt CC, Arisz L, Kuks PF, et al. The effect of an active on-ward participation of hospital pharmacists in Internal Medicine teams on preventable Adverse Drug Events in elderly inpatients: protocol of the WINGS study (Ward-oriented pharmacy in newly admitted geriatric seniors). BMC Health Serv Res. 2011;11:124.
- Baker GR, Norton PG, Flintoft V, Blais R, Brown A, Cox J, et al. The Canadian Adverse Events Study: the incidence of adverse events among hospital patients in Canada. CMAJ. 2004;170(11):1678-86.
- 44. Edwards IR, Aronson JK. Adverse drug reactions: definitions, diagnosis, and management. Lancet. 2000;356(9237):1255-9.
- van den Bemt PM, Egberts TC, de Jong-van den Berg LT, Brouwers JR. Drug-related problems in hospitalised patients. Drug Saf. 2000;22(4):321-33.
- 46. Gillespie U, Alassaad A, Hammarlund-Udenaes M, Morlin C, Henrohn D, Bertilsson M, et al. Effects of pharmacists' interventions on appropriateness of prescribing and evaluation of the

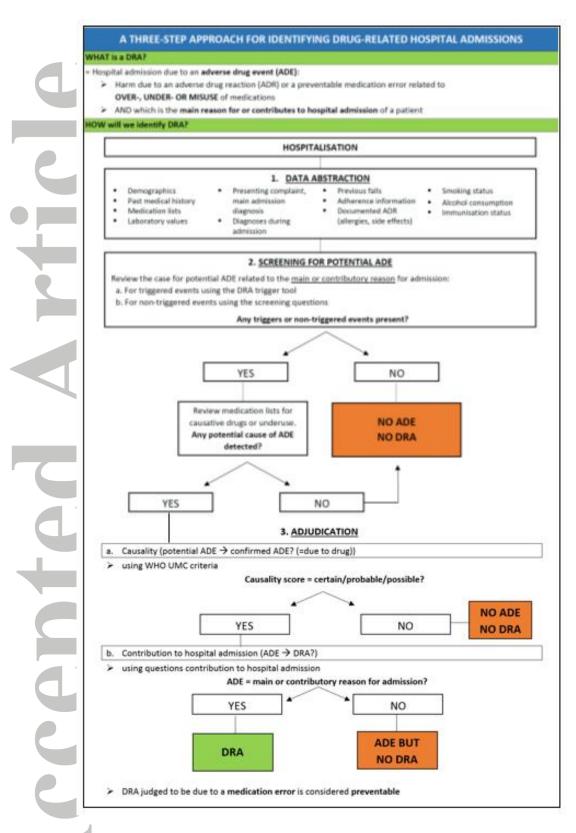
instruments' (MAI, STOPP and STARTs') ability to predict hospitalization--analyses from a randomized controlled trial. PLoS One. 2013;8(5):e62401.

- 47. Agbabiaka TB, Savovic J, Ernst E. Methods for causality assessment of adverse drug reactions: a systematic review. Drug Saf. 2008;31(1):21-37.
- 48. Schneeweiss S, Hasford J, Gottler M, Hoffmann A, Riethling AK, Avorn J. Admissions caused by adverse drug events to internal medicine and emergency departments in hospitals: a longitudinal population-based study. Eur J Clin Pharmacol. 2002;58(4):285-91.
- 49. Walsh D, Lavan A, Cushen AM, Williams D. Adverse drug reactions as a cause of admission to a Dublin-based university teaching hospital. Ir J Med Sci. 2015;184(2):441-7.
- 50. Bates DW, Cullen DJ, Laird N, Petersen LA, Small SD, Servi D, et al. Incidence of adverse drug events and potential adverse drug events. Implications for prevention. ADE Prevention Study Group. JAMA. 1995;274(1):29-34.
- 51. Bonnet-Zamponi D, d'Arailh L, Konrat C, Delpierre S, Lieberherr D, Lemaire A, et al. Drug-related readmissions to medical units of older adults discharged from acute geriatric units: results of the Optimization of Medication in AGEd multicenter randomized controlled trial. J Am Geriatr Soc. 2013;61(1):113-21.
- 52. Carnevali L, Krug B, Amant F, Van Pee D, Gerard V, de Bethune X, et al. Performance of the adverse drug event trigger tool and the global trigger tool for identifying adverse drug events: experience in a Belgian hospital. Ann Pharmacother. 2013;47(11):1414-9.
- Franklin BD, Birch S, Schachter M, Barber N. Testing a trigger tool as a method of detecting harm from medication errors in a UK hospital: a pilot study. Int J Pharm Pract. 2010;18(5):305-11.
- 54. The Uppsala Monitoring Centre. The use of the WHO-UMC system for standardised case causality assessment [Available from: <a href="http://www.who.int/medicines/areas/quality">http://www.who.int/medicines/areas/quality</a> safety/safety efficacy/WHOcausality assessment.pdf
- 55. Phansalkar S, Hoffman JM, Nebeker JR, Hurdle JF. Pharmacists versus nonpharmacists in adverse drug event detection: a meta-analysis and systematic review. Am J Health Syst Pharm. 2007;64(8):842-9.
- van Doormaal JE, Mol PG, van den Bemt PM, Zaal RJ, Egberts AC, Kosterink JG, et al. Reliability of the assessment of preventable adverse drug events in daily clinical practice. Pharmacoepidemiol Drug Saf. 2008;17(7):645-54.
- 57. Alexopoulou A, Dourakis SP, Mantzoukis D, Pitsariotis T, Kandyli A, Deutsch M, et al. Adverse drug reactions as a cause of hospital admissions: a 6-month experience in a single center in Greece. Eur J Intern Med. 2008;19(7):505-10.
- 58. Wierenga PC, Buurman BM, Parlevliet JL, van Munster BC, Smorenburg SM, Inouye SK, et al. Association between acute geriatric syndromes and medication-related hospital admissions. Drugs Aging. 2012;29(8):691-9.
- 59. Gillespie U, Alassaad A, Henrohn D, Garmo H, Hammarlund-Udenaes M, Toss H, et al. A comprehensive pharmacist intervention to reduce morbidity in patients 80 years or older: a randomized controlled trial. Arch Intern Med. 2009;169(9):894-900.
- 60. Onder G, Pedone C, Landi F, Cesari M, Della Vedova C, Bernabei R, et al. Adverse drug reactions as cause of hospital admissions: results from the Italian Group of Pharmacoepidemiology in the Elderly (GIFA). J Am Geriatr Soc. 2002;50(12):1962-8.
- 61. San-Jose A, Agusti A, Vidal X, Formiga F, Gomez-Hernandez M, Garcia J, et al. Inappropriate prescribing to the oldest old patients admitted to hospital: prevalence, most frequently used medicines, and associated factors. BMC Geriatr. 2015;15:42.
- 62. Conforti A, Costantini D, Zanetti F, Moretti U, Grezzana M, Leone R. Adverse drug reactions in older patients: an Italian observational prospective hospital study. Drug Healthc Patient Saf. 2012;4:75-80.
- 63. Kaiser RM, Schmader KE, Pieper CF, Lindblad CI, Ruby CM, Hanlon JT. Therapeutic failure-related hospitalisations in the frail elderly. Drugs Aging. 2006;23(7):579-86.

- 64. Franceschi A, Tuccori M, Bocci G, Vannozzi F, Di Paolo A, Barbara C, et al. Drug therapeutic failures in emergency department patients. A university hospital experience. Pharmacol Res. 2004;49(1):85-91.
- 65. Lang PO, Hasso Y, Drame M, Vogt-Ferrier N, Prudent M, Gold G, et al. Potentially inappropriate prescribing including under-use amongst older patients with cognitive or psychiatric co-morbidities. Age Ageing. 2010;39(3):373-81.
- 66. Peron EP, Marcum ZA, Boyce R, Hanlon JT, Handler SM. Year in review: medication mishaps in the elderly. Am J Geriatr Pharmacother. 2011;9(1):1-10.
- 67. Cunningham G, Dodd TR, Grant DJ, McMurdo ME, Richards RM. Drug-related problems in elderly patients admitted to Tayside hospitals, methods for prevention and subsequent reassessment. Age Ageing. 1997;26(5):375-82.
- 68. Gallagher P, Lang PO, Cherubini A, Topinkova E, Cruz-Jentoft A, Montero Errasquin B, et al. Prevalence of potentially inappropriate prescribing in an acutely ill population of older patients admitted to six European hospitals. Eur J Clin Pharmacol. 2011;67(11):1175-88.
- 69. Gallagher P, O'Mahony D. STOPP (Screening Tool of Older Persons' potentially inappropriate Prescriptions): application to acutely ill elderly patients and comparison with Beers' criteria. Age Ageing. 2008;37(6):673-9.
- 70. Griffin FA, Resar RK. IHI Global Trigger Tool for Measuring Adverse Events (Second Edition). IHI Innovation Series white paper. Cambridge, MA: Institute for Healthcare Improvement 2009 [Available from: www.IHI.org]
- 71. Rozich JD, Haraden CR, Resar RK. Adverse drug event trigger tool: a practical methodology for measuring medication related harm. Qual Saf Health Care. 2003;12(3):194-200.
- 72. Singh R, McLean-Plunckett EA, Kee R, Wisniewski A, Cadzow R, Okazaki S, et al. Experience with a trigger tool for identifying adverse drug events among older adults in ambulatory primary care. Qual Saf Health Care. 2009;18(3):199-204.
- 73. Mull HJ, Nebeker JR, Shimada SL, Kaafarani HM, Rivard PE, Rosen AK. Consensus building for development of outpatient adverse drug event triggers. J Patient Saf. 2011;7(2):66-71.
- 74. Hebert G, Netzer F, Ferrua M, Ducreux M, Lemare F, Minvielle E. Evaluating iatrogenic prescribing: development of an oncology-focused trigger tool. Eur J Cancer. 2015;51(3):427-35.
- 75. Marcum ZA, Arbogast KL, Behrens MC, Logsdon MW, Francis SD, Jeffery SM, et al. Utility of an adverse drug event trigger tool in Veterans Affairs nursing facilities. Consult Pharm. 2013;28(2):99-109.
- 76. Szekendi MK, Sullivan C, Bobb A, Feinglass J, Rooney D, Barnard C, et al. Active surveillance using electronic triggers to detect adverse events in hospitalized patients. Qual Saf Health Care. 2006;15(3):184-90.
- 77. Mull HJ, Rosen AK, Shimada SL, Rivard PE, Nordberg B, Long B, et al. Assessing the potential adoption and usefulness of concurrent, action-oriented, electronic adverse drug event triggers designed for the outpatient setting. EGEMS (Wash DC). 2015;3(1):1116.
- 78. Brenner S, Detz A, Lopez A, Horton C, Sarkar U. Signal and noise: applying a laboratory trigger tool to identify adverse drug events among primary care patients. BMJ Qual Saf. 2012;21(8):670-5.
- 79. O'Mahony D, O'Sullivan D, Byrne S, O'Connor MN, Ryan C, Gallagher P. STOPP/START criteria for potentially inappropriate prescribing in older people: version 2. Age Ageing. 2015;44(2):213-8.
- Naranjo CA, Busto U, Sellers EM, Sandor P, Ruiz I, Roberts EA, et al. A method for estimating the probability of adverse drug reactions. Clin Pharmacol Ther. 1981;30(2):239-45.
- 81. Pronovost PJ, Goeschel CA, Marsteller JA, Sexton JB, Pham JC, Berenholtz SM. Framework for patient safety research and improvement. Circulation. 2009;119(2):330-7.
- 82. Vincent C, Burnett S, Carthey J. Safety measurement and monitoring in healthcare: a framework to guide clinical teams and healthcare organisations in maintaining safety. BMJ Qual Saf. 2014;23(8):670-7.

- 83. Zegers M, de Bruijne MC, Wagner C, Groenewegen PP, van der Wal G, de Vet HC. The interrater agreement of retrospective assessments of adverse events does not improve with two reviewers per patient record. J Clin Epidemiol. 2010;63(1):94-102.
- Zegers M, de Bruijne MC, Wagner C, Hoonhout LH, Waaijman R, Smits M, et al. Adverse events and potentially preventable deaths in Dutch hospitals: results of a retrospective patient record review study. Qual Saf Health Care. 2009;18(4):297-302.
- 85. Tangiisuran B, Auyeung V, Cheek L, Rajkumar C, Davies G. Inter-rater reliability of the assessment of adverse drug reactions in the hospitalised elderly. J Nutr Health Aging. 2013;17(8):700-5.
- 86. Stone NJ, Intwala S, Katz D. Statins in very elderly adults (debate). J Am Geriatr Soc. 2014;62(5):943-5.
- 87. Liddy C, Wiens M, Hogg W. Methods to achieve high interrater reliability in data collection from primary care medical records. Ann Fam Med. 2011;9(1):57-62.
- 88. Basch E. The missing voice of patients in drug-safety reporting. N Engl J Med. 2010;362(10):865-9.
- 89. Howard-Anderson J, Lonowski S, Vangala S, Tseng CH, Busuttil A, Afsar-Manesh N. Readmissions in the era of patient engagement. JAMA Intern Med. 2014;174(11):1870-2.
- 90. Fischhoff B. Hindsight not equal to foresight: the effect of outcome knowledge on judgment under uncertainty. 1975. Qual Saf Health Care. 2003;12(4):304-11; discussion 11-2.
- 91. Warrer P, Jensen PB, Aagaard L, Jensen LJ, Brunak S, Krag MH, et al. Identification of possible adverse drug reactions in clinical notes: The case of glucose-lowering medicines. J Res Pharm Pract. 2015;4(2):64-72.

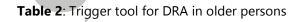
Figure 1: DRA adjudication guide development process



**Figure 2:** Three-step approach for identifying DRA. ADE = Adverse drug event; DRA = Drug-related hospital admission

**Table 1:** Characteristics of Delphi panellists

<b>D</b>	Experts invited n (%)	Participation Round 1 n (%)	Participation Round 2 n (%)
Total	29 (100)	15 (52)	14 (48)
Profession, area of expertise			
Physician, geriatric medicine	10 (34)	6 (40)	6 (43)
Physician, internal medicine	8 (28)	2 (13)	2 (14)
Physician, primary care	1 (3)	-	-
Pharmacist, geriatric medicine	5 (17)	4 (27)	3 (21)
Pharmacist, medication safety	5 (17)	3 (20)	3 (21)
Country			
Belgium	5 (17)	5 (33)	4 (29)
Canada	1 (3)	1 (7)	1 (7)
Italy	1 (3)	-	-
Ireland	2 (7)	1 (7)	1 (7)
France	2 (7)	1 (7)	1 (7)
Switzerland	4 (14)	2 (13)	2 (14)
The Netherlands	6 (21)	3 (20)	3 (21)
United Kingdom	2 (7)	1 (7)	1 (7)
United States	6 (21)	1 (7)	1 (7)
Sex			
Female	15 (52)	9 (60)	8 (57)
Male	14 (48)	6 (40)	6 (43)



TRIGGER TOOL TO SCREEN FOR DRUG-RELATED HOSPITAL ADMISSIONS IN OLDER PERSONS			
Trigger on admission up to 48h of admission	Suspected causative dr	ugs or causes for underuse	
Diagnoses			
Fall and/or fracture	Use of any of the following drugs?  Benzodiazepines  Non-benzodiazepine hypnotics e.g. zopiclone, zolpidem Antipsychotics Antidepressants  Use of any drugs causing orthostatic hypotension?  Calcium channel blockers Diuretics A1-receptor blockers Nitrates B-blockers	Sedating antihistamines Opioids Anticholinergic drugsa Other ( <i>Please specify</i> ):  Angiotensin receptor blockers Direct renin inhibitors (e.g. aliskiren) Anti-Parkinson drugs Antidepressants (mainly tricyclic) Antipsychotics Gliflozines (SGLT2-inhibitors)	
	□ ACE-inhibitors □ Other (Please specify):  If a fall is caused by hypoglycaemia, look for <b>use</b> of drugs contributing to hypoglycaemia (check trigger hypoglycaemia) <b>Underuse</b> of any of the following drugs in patients with known osteoporosis and/or history of fragility fracture(s) and/or Bone Mineral Density T-scores of -2.5 or lower in multiple sites?  □ 800 IU Vitamin D/day (+ 1000-1200 mg calcium/day if dietary intake is <1200-1000mg/day)  □ Bone anti-resorptive therapy (e.g. bisphosphonates, strontiumranelate, teriparatide, denosumab)		
	Underuse of any of the following drugs in patients on corticos  □ 800 IU Vitamin D/day (+ 1000-1200 mg calcium/day if dietary intake is <1200-1000mg/day)		

2	

	<b>Underuse</b> of vitamin D in patients who are housebound and/or experiencing falls or with osteopenia with Bone Mineral Density T-score between -1 and -2.5 in multiple sites?	
Confusion/delirium <sup>b</sup>	Use of any of the following drugs?  ☐ Benzodiazepines ☐ Non-benzodiazepine hypnotics e.g. zopiclone, zolpidem ☐ Antipsychotics ☐ Anti-epileptics ☐ Antihistamines (H1- and H2-receptor blockers) ☐ Antidepressants	<ul> <li>□ Opioids</li> <li>□ Dopaminergic agonists</li> <li>□ Digoxin</li> <li>□ Fluoroquinolones (dose adjustment in renal impairment required)</li> <li>□ Acetylcholinesterase-inhibitors (new onset confusion in patients with dementia)</li> <li>□ Other anticholinergic drugs<sup>a</sup> (Please specify):</li> </ul>
	Abrupt discontinuation/rapid dose reduction of any of the form Benzodiazepines  Non-benzodiazepine hypnotics e.g. zopiclone, zolpidem  Corticosteroids  Dopaminergic agonists  Antidepressants	Illowing drugs?  Opioids Lithium Antipsychotics Other ( <i>Please specify</i> ):
Acute renal impairment <sup>b</sup>	Use of any of the following drugs?  Non-steroidal anti-inflammatory drugs ACE-inhibitors Angiotensin receptor blockers Diuretics Sulphonamides Cephalosporins Quinolones (ciprofloxacin) Aminoglycosides Vancomycin Pentamidine	<ul> <li>□ Rifampicin</li> <li>□ Acyclovir, valacyclovir, gancyclovir, valgancyclovir, foscarnet, cidofovir</li> <li>□ Lithium</li> <li>□ Calcineurin Inhibitors (e.g. cyclosporine, tacrolimus)</li> <li>□ Cisplatin</li> <li>□ Radiology contrast medium</li> <li>□ Amphotericin</li> <li>□ Bisphosphonates</li> <li>□ Other nephrotoxic drugs (<i>Please specify</i>):</li> </ul>
Dehydration	Use of any of the following drugs?  Diuretics Gliflozines (SGLT2-inhibitors) Laxatives	<ul> <li>Any drugs causing vomiting</li> <li>Any drugs causing diarrhoea</li> <li>Other (Please specify):</li> </ul>

	Use of any of the following drugs?		
	☐ Antiplatelets	Low molecular weight heparins	
	☐ Vitamin K antagonists	☐ Selective serotonin reuptake inhibitors	
	☐ Direct oral anticoagulants	Non-steroidal anti-inflammatory drugs	
	☐ Unfractionated heparin	Other ( <i>Please specify</i> ):	
Bleeding <sup>b</sup>	·	Cutter (Fredse speedyy)	
	Underuse of proton pump inhibitors prophylaxis w	hile	
'	- NSAIDs monotherapy (≥ 70 years old) or on concurrer		
		y with a history of peptic ulcer disease/gastrointestinal bleeding while	
	on these drugs	, , , , , , , , , , , , , , , , , , , ,	
	Underuse of any of the following drugs in patients with	known chronic atrial fibrillation?	
	☐ Vitamin K antagonists		
	Direct oral anticoagulants (except valvular atrial fib	rillation)	
Underuse of adequate antihypertensive therapy?			
Stroke	* Note: Adequate antihypertensive therapy is defined according to the recommendations for older patients in the 2013 European ESH/ESC guidelines for the management of arterial hypertension.  Underuse of any of the following drugs in patients with history of coronary, cerebral or peripheral vascular disease?		
	Antiplatelets	Statins** (unless end-of-life or > 85 years old)	
	,	d and clinical judgement should guide decisions in the very old, taking into account life	
	expectancy, serious adverse events, possible drug interactions. Low to mod	erate intensity statin regimens are recommended. (low: simvastatin 10mg, pravastatin 10-20mg,	
		mvastatin 20-40mg, pravastatin 40-80 mg, Fluvastatin 80 mg, Fluvastatin 40 mg BID)	
Thromboembolic event	Underuse of adequate anticoagulation?	Direct and anti-security	
	☐ Unfractionated heparin☐ Low molecular weight heparins	☐ Direct oral anticoagulants	
(DVT or PE)	Low molecular weight heparins	☐ Vitamin K antagonists	
	Underuse of cardiovascular secondary prevention?		
(Daguwant) was andi-l	Antiplatelets (unless already anticoagulated)	β-blocker/ACE-inhibitor or angiotensin receptor blocker	
(Recurrent) myocardial infarction or ischaemic	Statins** (unless end-of-life or > 85 years old)	/adequate anti-anginal therapy in case of ischaemic	
disease		disease	
uisease	Underuse of adequate antihypertensive therapy? *		
	onaciase of adequate antinypertensive therapy:		

	Use of any drugs that could precipitate heart failure exacerbati	on?
	☐ Non-steroidal anti-inflammatory drugs	☐ Non-dihydropyridine calcium channel blockers
	☐ Corticosteroids	(verapamil, diltiazem)
	☐ Thiazolidinediones (glitazones)	☐ Sodium-containing formulations (effervescent,
		dispersible and soluble medications)
		Other ( <i>Please specify</i> ):
Heart failure exacerbation	Underuse of any of the following drugs?	
	□ β-blockers <sup>¥</sup>	
	☐ ACE-inhibitors <sup>¥</sup>	
	□ Diuretics	
	Note: $^{4}$ β-blocker and ACE-inhibitors in heart failure due to left ventricular d	vsfunction
	Use of any drugs that could precipitate COPD exacerbation?	
	☐ Benzodiazepines with acute or chronic respiratory failure	
	□ Opioids	Other ( <i>Please specify</i> ):
COPD exacerbation	Underuse of any of the following drugs?	
Single or dual inhaled bronchodilator therapy i.e. a β2 agonist and/or anticholinergic bronchodilator a		nist and/or anticholinergic bronchodilator according to the
	GOLD (Global Initiative for Chronic Obstructive Lung Diseas	
	(0.000	0,8.440
	Underuse of adequate pain treatment (according to the WHO	analgesic ladder)?
Uncentrolled (non	☐ A strong opioid in moderate to severe pain if	☐ Short-acting opioids for break-through pain during
Uncontrolled (non-	paracetamol, NSAIDs or weak opioids are not appropriate	treatment with long acting opioids
neuropathic) pain	(e.g. because of insufficient pain relief)	☐ Other ( <i>Please specify</i> ):
	Use of any of the following drugs?	
	☐ Antibiotics	
Gastrointestinal disorders	Laxatives	☐ Opioids
(severe diarrhoea,	Selective serotonin reuptake inhibitors	☐ Non-steroidal anti-inflammatory drugs
vomiting)	Digoxin	☐ Chemotherapy ( <i>Please specify</i> ):
	Cholinesterase-inhibitors	Other ( <i>Please specify</i> ):
	— Chomiesterase-inimitors	

	Use of any of the following drugs?		
	☐ Chronic (stimulant) laxative use	☐ Aluminium antacids	
Major constipation or	Opioids (look for <b>underuse of laxatives</b> with regular	☐ Atypical antipsychotics	
faecal impaction	opioid use)	☐ Tricyclic antidepressants	
laecar impaction	☐ Calcium antagonists (Mainly verapamil)	☐ Bladder antimuscarinics	
	☐ Calcium	☐ Other anticholinergic drugs <sup>a</sup>	
	☐ Oral iron	Other ( <i>Please specify</i> ):	
Laboratory values			
INR > 5	Look for evidence of bleeding (see trigger) to determine if an a	adverse drug event (ADE) has occurred. A raised INR in itself i	5
IIII > 3	not an ADE.		
Digoxin level > 2ng/ml	Look for signs or symptoms of digoxin toxicity (bradycardia, na	ausea, diarrhoea, confusion) to determine if a potential ADE h	as
	occurred. Not all levels above normal will result in an ADE.		
	Look for symptoms such as lethargy, tremor, confusion, faintn <b>Use</b> of any of the following drugs?	less or administration of intravenous or oral glucose.	
Hypoglycaemia			
(blood glucose < 4 mmol/L	Insulin	☐ MAO – inhibitors	
or 72 mg/dl)	Oral hypoglycaemic agents (except metformin in monotherapy)	β-blockers (masking symptoms of hypoglycaemia)	
	<b>Use</b> of any drugs that may cause or worsen hyperglycaemia?		
	Corticosteroids	Protease-inhibitors	
Hyperglycaemia	Atypical antipsychotics (mainly olanzapine & clozapine)	☐ Calcineurin Inhibitors (cyclosporine, sirolimus,	
(blood glucose > 11	Thiazide diuretics less frequent	tacrolimus)	
mmol/L or 198 mg/dl)	$\square$ $\beta$ -blockers (except carvedilol and nebivolol) <i>less frequent</i>	☐ Other ( <i>Please specify</i> ):	
	In case hyperglycaemia is part of diabetic ketoacidosis or hype	erosmolar hyperglycaemic state in a patient, review for	
	<b>underuse</b> of insulin or oral hypoglycaemic agents.		
	Use of any the following drugs?		
	☐ Intravenous or oral potassium	$\Box$ Heparins (seldom, mainly when treated > 7days and	
I le mante da ameia	Potassium-sparing diuretics	concomitant other risk factors)	
Hyperkalaemia	☐ ACE-inhibitors	☐ Trimethoprim-sulfamethoxazole	
(K⁺ > 5.5 mmol/L)	☐ Angiotensin receptor blockers	☐ Cyclosporine	
	Direct renin inhibitors (e.g. aliskiren)	☐ Tacrolimus	
	☐ Non-steroidal anti-inflammatory drugs	☐ Other ( <i>Please specify</i> ):	

2	

	<b>Use</b> of any of the following drugs?	☐ Laxatives
Hypokalaemia	☐ Loop diuretics	☐ Salbutamol (IV or aerosol)
(K <sup>+</sup> < 3 mmol/L)	Thiazide and thiazide-like diuretics	☐ Theophylline
	☐ Corticosteroids	Other ( <i>Please specify</i> ):
	Use of any of the following drugs?	
	☐ Diuretics	Angiotensin receptor blockers
Hyponatraemia	☐ Selective serotonin reuptake inhibitors	☐ Carbamazepine & oxcarbazepine
(Na <sup>+</sup> < 130 mmol/L)	☐ Tricyclic antidepressants	☐ High dose cyclophosphamide
	☐ ACE-inhibitors	☐ Other ( <i>Please specify</i> ):
	Use of any of the following drugs?	
	☐ Carbamazepine & oxcarbazepine	
White blood cells	Antipsychotics (mainly clozapine)	$\Box$ Chemotherapy ( <i>Please specify</i> ):
< 3000 /mm³ or	☐ Thyreostatics	☐ Mirtazapine (first 6 weeks of treatment)
< 3 x 10³/μL	☐ Ganciclovir	☐ Voriconazole
	☐ Immunosuppressants	☐ Other ( <i>Please specify</i> ):
	Use of any of the following drugs?	
	☐ Carbamazepine & oxcarbazepine	☐ Quinine sulfate
Platelet count	☐ Ganciclovir	☐ Sulfamides Less frequent
< 50000 /mm³ or	☐ Unfractionated heparin	☐ Chemotherapy ( <i>Please specify</i> ):
< 50 x 10³/μL	Low molecular weight heparins	☐ Other ( <i>Please specify</i> ):
	☐ Immunosuppressants	Utilet (Fleuse specify).
	Thienopyridines (mainly ticlopidine)	
	Use of any of the following drugs?	
	Ganciclovir	☐ Chemotherapy ( <i>Please specify</i> ):
Neutrophils < 1400/mm <sup>3</sup>	Antipsychotics (mainly clozapine)	☐ Other ( <i>Please specify</i> ):
or < 1.4 x 10 <sup>3</sup> /μL	Thyreostatics	— Other (i lease specify).
	Thienopyridines (mainly ticlopidine)	





Other		
Antidote use or treatments that suggest a potential ADE	Use of any of the following drugs on the day of admission?  ☐ Flumazenil in a patient on benzodiazepines  ☐ Naloxone in a patient on opioids  ☐ Phytonadione (vitamin K) in a patient on VKA  ☐ Protamine sulphate in a patient on heparins  ☐ Oral or intravenous glucose or glucagon in a patient taking hypoglycaemic drugs  ☐ Potassium supplements in case of hypokalaemia  ☐ Sodium polystyrene (Kayexalate) in case of hyperkalaemia	<ul> <li>□ Adrenaline, antihistamines and corticosteroids (general drug allergy)</li> <li>□ Acetylcysteine (paracetamol overdose)</li> <li>□ Digoxin antibodies in a patient with supratherapeutic digoxin levels</li> <li>□ Oral metronidazole or vancomycin in a patient who has recently been treated with an antibiotic that may cause Clostridium difficile associated diarrhoea</li> </ul>
Mention of a (potential)  ADE in the medical record	Assess causality using the WHO-UMC criteria	
Abrupt medication stop within 24h of admission	l · · · · · · · · · · · · · · · · · · ·	medications taken at home, look for reasons why this was done. er investigation for cause. A sudden change in patient condition DE.

ADE, adverse drug event; ADR, adverse drug reaction; COPD, chronic obstructive pulmonary disease; DVT, deep vein thrombosis; FEV<sub>1</sub>, forced expiratory volume in 1 second; ESH/ESC, European Society of Hypertension/European Society of Cardiology; INR, international normalised ratio, NSAIDS, non-steroidal anti-inflammatory drugs; PE, pulmonary embolism; VKA, Vitamin K antagonists <sup>a</sup>A list of medications with clinically relevant anticholinergic properties is available in the DRA adjudication guide; <sup>b</sup>Detailed definition of trigger available in the DRA adjudication guide





SCREENING QUESTIONS FOR NON-TRIGGERED, SPONTANEOUSLY DETECTED EVENTS			
<ol> <li>Could the main or contributory reason for admission be related to a</li> <li>Adverse drug reaction (non-preventable side effect, first allergic reaction)</li> <li>Overuse of medication(s) (drug without an indication, too long duration of therapy, therapeutic duplication)</li> <li>Inappropriate discontinuation (removal or dosage decrease) leading to</li> </ol>	<ul> <li>Wrong drug</li> <li>Wrong dose (supratherapeutic or subtherapeutic)</li> <li>Clinically significant drug-drug or drug-food interactions</li> </ul>		
physiological withdrawal signs/symptoms or return of the underlying disease signs/symptoms	Other (e.g. drug not correctly dispensed/prepared/administered)		
<ul> <li>Could the main or contributory reason for admission be related to a</li> <li>Omission of an indicated drug</li> <li>Too short duration of medication therapy</li> </ul>	underuse?  Suspected adherence concerns		

Accepted

**Table 3**: Inter-rater reliability for DRA presence between 4 adjudication pairs and per centre for the evaluation of 16 cases. \*Respectively Fleiss' and Cohen's kappa were calculated to determine the level of agreement between the 4 adjudication pairs and within each centre.

Raters	% Agreement	Карра*
4 adjudication pairs	71%	0.41
Centre 1 (2 physicians)	94%	0.86
Centre 2 (physician + pharmacist)	75%	0.42
Centre 3 (physician + pharmacist)	69%	0.33
Centre 4 (physician + pharmacist)	88%	0.74