

Elsevier Editorial System(tm) for Geomorphology
Manuscript Draft

Manuscript Number: GEOMOR-1305R2

Title: Erosion risk assessment of active coastal cliffs in temperate environments

Article Type: Research Paper

Keywords: Risk; hazard; impact; sea cliffs; cliff recession; index.

Corresponding Author: Dr. Laura del Rio, Ph.D.

Corresponding Author's Institution: University of Cadiz

First Author: Laura del Rio, Ph.D.

Order of Authors: Laura del Rio, Ph.D.; Francisco Javier Gracia, Ph.D.

Abstract: The potentially negative consequences resulting from cliff recession are a matter of serious concern in many coastal areas worldwide. The assessment of these kind of processes has traditionally been performed by calculating average cliff recession rates and projecting them into the future, without taking into consideration the diverse factors affecting cliff dynamics and stability. In this work a new, practical method is presented to evaluate cliff erosion risk on temperate environments, by analysing the main factors responsible for both the physical and the socioeconomic aspects of erosion, representing cliff loss potential and damage potential respectively. For this purpose an integration of 11 physical variables (such as cliff lithology, beach characteristics or rainfall regime) and 6 socioeconomic variables (such as land use type or population density) is proposed. These variables are weighted and combined into a Hazard Index and an Impact Index, which in turn are merged into a composite Risk Index, where the resulting values are normalized and expressed as a percentage of the maximum theoretical risk. The method is tested and validated by using data about cliff retreat rates and mass movement processes in the coast of Cádiz province (SW Spain). The proposed approach allows the zoning of coastal cliffs according to the risk, hazard and/or impact levels, including the recognition of critical

areas where specific intervention strategies should be adopted. It is believed that the method presented in this work is practical and at the same time scientifically valid, without requiring extensive and detailed surveys of the area where it is to be applied. This way, it constitutes an easy to use, valuable tool for decision-making regarding land use planning and management strategies in active coastal cliffs.

1 EROSION RISK ASSESSMENT OF ACTIVE COASTAL CLIFFS IN TEMPERATE
2 ENVIRONMENTS

3

4 Del Río, Laura^a (*) and Gracia, F. Javier^a

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6 ^aEarth Sciences Department, CASEM, University of Cádiz. Av. República Saharaui s/n

7 11510 Puerto Real, Cádiz, Spain. Tel. +34956016276. Fax +34956016195. E-mail

8 laura.delrio@uca.es

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10 (*) Corresponding author

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13 Abstract

14

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16 concern in many coastal areas worldwide. The assessment of such processes has

17 traditionally been performed by calculating average cliff recession rates and projecting

18 them into the future, without taking into consideration the diverse factors affecting cliff

19 dynamics and stability. In this work a new, practical method is presented to evaluate cliff

20 erosion risk in temperate environments, by analysing the main factors responsible for both

21 the physical and the socioeconomic aspects of erosion, representing cliff loss potential and

22 damage potential, respectively. For this purpose an integration of 11 physical variables

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27 percentage of the maximum theoretical risk. The method is tested and validated by using
28 data about cliff retreat rates and mass movement processes in the coast of Cádiz province
29 (SW Spain). The proposed approach allows the zoning of coastal cliffs according to the
30 risk, hazard and/or impact levels, including the recognition of critical areas where specific
31 intervention strategies should be adopted. The method presented in this work is deemed
32 both practical and scientifically valid, without requiring extensive and detailed surveys of
33 the area where it is to be applied. This way, it constitutes an easy to use, valuable tool for
34 decision-making regarding land use planning and management strategies for active coastal
35 cliffs.

36

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38 **Keywords**

39 Risk, hazard, impact, sea cliffs, cliff recession, index

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43 **1. Introduction**

44

45 Diverse types of cliffed and rocky coasts are estimated to represent about 80% of the
46 world's oceanic shorelines (Emery and Kuhn, 1982; Trenhaile, 1987), including plunging
47 sea cliffs, bluffs backing beaches and rocky shore platforms. Increasing population of
48 coastal zones has led to the accelerating occupation of cliff tops and faces by buildings and
49 infrastructure, that in some areas are seriously threatened by shoreline retreat. Moreover,
50 such increasing human pressure has indeed exacerbated these erosion problems at some
51 points. As a consequence, the conflicts between human occupation and the inherent
52 instability of cliffed coasts have become a problem of increasing magnitude (Moore and
53 Griggs, 2002).

54 In spite of this, most studies on coastal processes have traditionally been focused on
55 beaches and sandy coasts (Trenhaile, 1987; Naylor et al., 2009). The main reason lies in the
56 difficulties of studying sea cliff dynamics, especially regarding the performance of field
57 measurements and the prediction of the future behaviour of cliffs. This is particularly true
58 in the case of risk assessments and erosion hazard studies, due to the complexity of the
59 quantification of retreat rates on rocky coasts (Hapke, 2004). Such complexity is mainly
60 related to the fact that sea cliff retreat is an episodic, site-specific phenomenon: cliffed areas
61 usually recede at very slow rates until a low-frequency, high-energy event causes sudden
62 erosion episodes of much higher magnitude than average retreat (Griggs, 1994; Lee et al.,
63 2001; Trenhaile, 2002), generally in the form of different types of slope mass movement
64 (Dong, 2005; Teixeira, 2006). These episodes are sporadic and unpredictable, thus
65 rendering their observation and measurement difficult.

66 Besides, risk assessment on sea cliffs has often been based only on the aforementioned
67 quantification of recession rates, thus ignoring the anthropic factor which is inherent to the

68 concept of risk. It is well known that the risk can be generally defined as the potential
69 negative impact that may occur on elements on which there is some kind of interest,
70 including population, human infrastructure and environmental goods, as a consequence of a
71 given hazard (UNDP, 2004). Therefore, an adequate erosion risk assessment must
72 necessarily include the evaluation of the two separate components that constitute the risk:
73 on one hand, the physical hazard or threat that can potentially cause damage, and on the
74 other hand, the impact of this threat on human elements and activities located on the area;
75 the latter will, in turn, depend on the vulnerability of the system (i.e. the potential degree of
76 loss or damage) and the elements exposed to the hazard (Villa and McLeod, 2002; UNDP,
77 2004; Birkmann, 2007).

78 The analysis and evaluation of coastal risks, hazards and vulnerability is a very complex
79 issue, as there is a huge number of factors and variables, both natural and human-related,
80 that influence coastal behaviour in this sense. This way, various authors have designed
81 methods for the classification and mapping of coastal areas according to risk, hazard and/or
82 vulnerability criteria (e.g. Richmond et al., 2001; De Pippo et al., 2008). A wide review of
83 classification procedures existing in the literature for assessing coastal vulnerability can be
84 found in Cooper and McLaughlin (1998). One of these methods is the development of
85 numerical indices aimed at classifying coastal zones according to their response to a variety
86 of physical phenomena (e.g. Gornitz, 1990; Málvarez et al., 2000; McLaughlin et al.,
87 2002). These include episodic flooding (Dal Cin and Simeoni, 1994), storm- and hurricane-
88 related coastal erosion (Cambers, 1998) or sea-level rise (Gornitz et al., 1994), the latter
89 having received the greatest attention. However, apart from local scale approaches, to date
90 there are no indices specifically aimed at assessing erosion risk on cliffed coasts. As
91 previously mentioned, this type of risk has traditionally been estimated on the basis of its
92 consequences, i.e. from cliff retreat measurements (Priest, 1999; Moore and Griggs, 2002),

93 often without taking into consideration other factors that may influence cliff dynamics or
94 risk distribution (Teixeira, 2006; De Pippo et al., 2008).

95 This work aims to present a new method for the assessment of sea cliff erosion risk on
96 temperate coastal environments, by integrating data on diverse cliff parameters into a GIS.

97 The procedure is based on the selection, scaling and evaluation of a number of physical,
98 geomorphological and dynamic variables that determine the cliff loss potential (cliff
99 erosion *hazard*), together with additional socioeconomic, human-related variables
100 controlling the damage potential (*impact* of erosion). Hazard variables include cliff
101 lithology, exposure to storms or rainfall regime, while impact variables include land use
102 type or population density. These are combined into two separate indices, the Hazard Index
103 and the Impact Index, which together constitute the Risk Index as a single numerical
104 measure of the risk for a given area.

105 The method is tested and validated by using real data on cliff erosion and mass movements
106 on the Cádiz coast (SW Spain) (Fig. 1), a 200 km-long coastal area spanning a wide range
107 of physical environments from the geological, geomorphological and dynamic points of
108 view and supporting different levels of human occupation. Unlike previous site-specific
109 risk approaches in the literature, the proposed method is intended to be applicable for the
110 classification of most types of cliffed areas located on temperate coasts according to their
111 erosion risk level. This type of information is of prime importance for implementing
112 adequate land use planning and management strategies, especially on less developed coastal
113 areas.

114

115 ***APPROXIMATE LOCATION OF FIGURE 1***

116

117

118 2. Methodological basis

119

120 The general framework of the method proposed for the assessment of cliff erosion risk is
121 based on the aforementioned definition of risk as a combination of two components: the
122 erosion hazard and the impact of this hazard, the latter understood as the coupling of
123 exposure and vulnerability (Birkmann, 2007). For each component specific indices are
124 generated (the Hazard Index and the Impact Index) on the basis of certain physical and/or
125 socioeconomical variables which are considered to be determinant.

126 The selection of the variables for both indices was made according to several important
127 principles. Although a sufficient number of representative variables should be selected, this
128 number should be kept low enough to avoid redundancy (i.e. variables that are closely
129 related and reflect the same processes) and to obtain a simple, feasible index. A key issue in
130 this sense is that, as stated by Cooper and McLaughlin (1998) and McLaughlin et al.
131 (2002), the resulting index should obviate the need for detailed studies in the area where it
132 is to be applied. This way, updated values of the variables chosen should be available and
133 relatively easy to obtain at any given area without requiring exhaustive survey work (Villa
134 and McLeod, 2002). Consequently, the resulting tool will not only be scientifically valid,
135 but also practical and easy to use.

136 Based on these premises, 11 factors determining both cliff erodibility and the erosivity of
137 dynamic agents were chosen as variables (a_n) for building the Hazard Index. The definition
138 of these variables was made according to the research by numerous authors who have
139 studied the influence of different factors on cliff stability (e.g. Sunamura, 1992; Benumof et
140 al., 2000; Trenhaile, 2002, among others). The variables selected were the following:

- 141 – Cliff lithology
- 142 – Cliff structure

- 143 – Cliff slope
- 144 – Presence and characteristics of a protective beach
- 145 – Presence and characteristics of a rocky shore platform
- 146 – Engineering structures at cliff foot
- 147 – Tidal range
- 148 – Wave exposure
- 149 – Difference between storm and modal wave height
- 150 – Relative sea-level trend
- 151 – Rainfall

152 Although the term *hazard* is often linked to phenomena of natural origin, in the present
153 approach the possibility of human contributions to cliff erosion is also considered, so some
154 of the variables in the Hazard Index are or can be influenced by human activities.

155 Regarding the Impact Index, it is constituted by a combination of exposure-related and
156 vulnerability-related variables, which altogether represent the socioeconomic factors
157 determining the impact of cliff erosion on human activities. These aspects are of prime
158 importance in coastal risk assessment, as highlighted by several authors (Málvarez et al.,
159 2000; McLaughlin et al., 2002; Boruff et al., 2005, among others). A total of 6 variables
160 were selected to build the index, namely:

- 161 – Main land use type
- 162 – Percentage of developed areas
- 163 – Presence of nature reserves
- 164 – Presence and type of transportation networks
- 165 – Population density
- 166 – Population rate of change

167 Even if impact assessments are often less advanced than hazard evaluations (Birkmann,
168 2007), this is an essentially geomorphological work and hence is more deeply focused on
169 physical hazard definition than on socioeconomic impact definition. For this reason,
170 monetary costs fall outside the scope of this study and therefore are not included in the
171 Impact Index.

172 For both the hazard and the impact each variable was divided into four classes, so that all
173 possible cases that can be found at any temperate coastal cliff would fall within one of the
174 classes. The classes were established on a numerical basis where possible, while for the
175 variables that could not be quantified a semi-quantitative approach was adopted by using an
176 ordinal scale, as recommended by Cooper and McLaughlin (1998). Then the classes on
177 each variable were ranked 1-4 from the lowest to the highest hazard for the Hazard Index,
178 and from the lowest to the highest impact for the Impact Index.

179 Before building the indexes, the variables were weighted with factors (f_n) according to their
180 relative importance in determining overall cliff erosion hazard and impact (Gornitz et al.,
181 1994). The aim was to avoid the underestimation of the most relevant variables at the local
182 level and the overestimation of the less significant ones, as well as to increase the
183 discriminating ability of the method (see section 3). In fact, the weighting of the variables is
184 acknowledged as a need in many coastal classification studies (Cooper and McLaughlin,
185 1998), but at the same time it is clear that the subjective decisions involved in weighting
186 processes constitute a complex issue (Rygel et al., 2006). Therefore, an important point in
187 this sense is the possibility for the user to adjust the weights when applying the index to a
188 given area, in order to take advantage of local knowledge on each particular case, for
189 instance by making use of expert judgement techniques (Mimura, 1999).

190 The weighted variables were then combined into the Hazard Index and the Impact Index.

191 Several methods were tested for this purpose, ranging from the sum of the variables to their

192 geometrical average (Gornitz, 1990). In the end the weighted scores of the variables were
193 added up and the absolute values obtained were normalized according to the maximum and
194 minimum values of the corresponding index, as suggested by McLaughlin et al. (2002).
195 Finally, the Hazard Index and the Impact Index were combined into the composite Risk
196 Index in order to obtain a single measure of cliff erosion risk. An important point is that the
197 proposed method is intended to be applied on a relative basis, that is to compare different
198 areas on the basis of cliff erosion hazard, impact and risk.

199 As will be discussed later, the Hazard Index was tested and validated prior to its inclusion
200 in the Risk Index by using real cliff erosion data recorded in the Cádiz coastal area (SW
201 Spain). Part of these data consisted of cliff recession rates calculated from four sets of
202 vertical aerial photographs of scales between 1:18.000 and 1:33.000, dating from 1956,
203 1977, 1982/1986 and 1992/1994, and two sets of digital orthophotographs from 2002 and
204 2005 with a 0.5 m resolution. The contact prints were scanned at a resolution of 600 dpi
205 (Mount et al., 2003) and geometrically corrected by means of GIS tools in order to
206 minimize photograph distortions (Moore, 2000). Around 20 ground control points were
207 selected on each photograph, obtaining an average RMSE (root mean square error) value of
208 0.48 m. The top of the cliff was digitized on the georectified images and orthophotographs,
209 except on those cliffed sections characterized by a rounded or densely vegetated edge,
210 where the cliff foot was used (Moore and Griggs, 2002; Pierre, 2006). The resulting
211 shorelines were compared in a GIS environment and cliff recession rates were calculated by
212 different statistical methods (Thieler et al., 2005) (Fig. 2).

213

214 ***APPROXIMATE LOCATION OF FIGURE 2***

215

216 In fact, the use of GIS tools is recognized as the most common way of deriving coastal risk
217 or vulnerability indices (Cooper and McLaughlin, 1998; Málvarez et al., 2000). The
218 aforementioned operations of index calculation are ideally performed in a GIS
219 environment, provided the data on the variables are available on GIS-useable formats such
220 as raster and vector layers. This allows one to take advantage of procedures such as spatial
221 analysis operations, interpolations, integration of data from different sources, etc. If this is
222 not possible, GIS can also simply be used as a convenient way of storing and retrieving the
223 information and obtaining graphical outputs (i.e. plotting maps) by organizing the data into
224 independent layers. In any case, the digital format facilitates the use of different weights or
225 mathematical combinations of the variables, as well as an easy updating of the information.

226

227 **3. Construction of the Hazard Index and the Impact Index**

228

229 3.1. Hazard index

230 3.1.1. *Index elements*

231 The application of the aforementioned methods led to the development of a cliff erosion
232 Hazard Index composed of 11 variables (a_n) that determine cliff loss potential to a great
233 extent. Table 1 shows the classes and ranking adopted for each variable, where a rank of 1
234 represents the lowest hazard and a rank of 4 the highest hazard.

235 It is commonly accepted that seacliff erosion is greatly determined by the relative intensity
236 of two groups of forces: the assailing force of waves and the resisting force of cliff
237 materials (Sunamura, 1983). Consequently, both types of forces are represented across the
238 Hazard Index variables.

239

240 ***POSITION OF TABLE 1***

241

242 First of all, *cliff lithology* (variable A) and *cliff structure* (variable B) constitute the most
243 important factors controlling cliff stability (Benumof and Griggs, 1999; Benumof et al.,
244 2000), according to a variety of attributes such as grain size, mineral content, presence of
245 bedding planes, density of fractures, etc. The lithological classes in Table 1 include the type
246 of materials that can be found on most coastal cliffs around the temperate coasts of the
247 world, ranked on the basis of their relative erodibility (Sunamura, 1983; Gornitz, 1990).
248 Classes are established in a general way, so “non-resistant metamorphics” include for
249 instance slates and schists, “fine consolidated sediments” include materials such as chalks,
250 and “fine unconsolidated materials” include recent sediments, clays, marls or volcanic
251 ejecta.

252 Regarding cliff discontinuities, they can be the dominant factor in determining recession in
253 some areas (Sunamura, 1983) by reducing the overall strength of the cliff, especially in
254 low-energy environments (Greenwood and Orford, 2008). The classes proposed in the
255 index cover the general types of discontinuities that can easily be identified on cliffed zones
256 and are commonly recognized as instability indicators. This includes not only internal cliff
257 features such as joints and faults, but also external indicators of active weathering and water
258 erosion features such as rills and gullies (Bush et al., 1999) (Fig. 3A).

259 A third significant factor regarding the nature of the cliff is *cliff slope* (variable C), which is
260 considered to be directly linked to cliff instability (De Pippo et al., 2008) so that the higher
261 the slope, the higher the hazard (Bush et al., 1999). It is clear that a strong relationship
262 exists between cliff lithology and internal structure and cliff slope, but the complex nature
263 of this relationship allows the use of cliff slope as a variable in the index without implying
264 a redundancy.

265 A second group of factors influencing cliff erosion is related to the topographic boundary
266 conditions of the cliff. A major feature in this sense is the presence and characteristics of a
267 *protective beach* (variable D) at the cliff foot that can act as a buffer zone by dissipating
268 wave energy and protecting the cliff from wave action. Here the key issue is the width and
269 height of the beach, since a narrow and/or low beach will not only allow waves to reach the
270 cliff base, but will also provide them with sediment that can cause mechanical erosion
271 (Sunamura, 1983, 1992; Benumof and Griggs, 1999). Therefore, the ranking of this
272 variable is performed on the basis of the resulting frequency of waves reaching the cliff foot
273 according to beach characteristics (Fig. 3B), which at the same time renders the variable
274 more widely applicable than if classes were based on absolute beach width or height.
275 Seasonal variations in beach conditions over time can affect the degree of cliff protection in
276 this sense (Lee, 2008), so feasibility of use of the index in a worst-case approach would
277 require this factor to be evaluated according to the situation of minimum beach width and
278 height, that is generally winter conditions. In any case, the temporal variability of the
279 indices proposed is a crucial issue that will be discussed later.

280

281 ***APPROXIMATE LOCATION OF FIGURE 3***

282

283 In a similar manner, the *rocky shore platforms* (variable E) located at the foreshore or
284 shoreface control the dissipation of wave energy due to their topography and roughness,
285 hence providing protection against the erosion of cliff base. The definition of specific
286 platform width thresholds would not be suitable for an index aimed at general application,
287 so also here the ranking is built in a relative way in order to compare the situation on
288 different cliffed areas. Besides, the protective effect of shore platforms is not only

289 dependent on their width, but also on their continuity and location (Trenhaile, 1987) (Fig.
290 4A).

291 As was explained in section 2, most of the factors involved in hazard definition have a
292 natural origin but there can also be an important human component, as is the case of the
293 *engineering structures at cliff foot* (variable F). These structures (e.g. seawalls, rock
294 armours, revetments, gabions, rip-raps) prevent marine erosion at the base of the cliff,
295 hence reducing the hazard even if weathering and other subaerial processes continue acting
296 upon the cliff (Lee et al., 2001). If the structure is not covering the whole length of the cliff
297 foot, then the neighbouring unprotected cliff areas will suffer the effect of flanking erosion
298 (USACE, 1984) (Fig. 4B) and the hazard will be increased (Table 1). The common effect of
299 beach loss in front of the structures is ignored in this ranking, as beach width is already
300 included in variable D. Other types of engineering structures not located at the cliff foot,
301 such as jetties or breakwaters, are not considered in the index, mainly due to the complex
302 and indirect nature of the influence exerted by these structures upon cliff erosion.

303 The third and last group of factors controlling cliff erosion is that of the dynamic agents
304 that act upon the cliff, including waves, tides, rainfall and sea level. The *tidal range*
305 (variable G) determines to a great extent the elevation of daily water levels and so the limit
306 of cliffward wave propagation (Benumof et al., 2000), which is obviously higher in areas
307 with high tidal range. However, high tidal ranges also allow a better disipation of wave
308 energy, while in cliffs with a low tidal range the erosive efficiency of waves is maximized
309 due to the concentrated wave attack on a narrower zone. As a consequence, while in low
310 coasts higher tidal ranges represent higher hazards (Gornitz, 1990), in this approach for
311 cliffed shores higher tidal ranges are considered to imply a lower erosion hazard (Table 1).
312 Unlike beaches, cliffs have a limited ability to adapt their form to changing energetic
313 conditions. This way, regarding wave action, it is widely recognized that cliff stability is

314 mainly affected by storm wave fronts and not by modal, fair weather waves (Trenhaile,
315 1987; Sunamura, 1992; Lee, 2008). In the Hazard Index this fact is represented by the
316 *exposure to storm wave fronts* (variable H) and the *difference between storm and modal*
317 *wave height* (variable I). The exposure is expressed in terms of the angle between the
318 coastline and prevailing storm wave fronts, considering that shore-parallel storm waves
319 hitting the coast involve higher hazard levels than shore-normal wave fronts (Komar,
320 1998). The role of refraction processes induced by nearshore morphology is of great
321 importance in this respect, so visual evidence of wave approach directions should be used
322 wherever possible.

323 Regarding the difference between storm and modal wave height, this constitutes a measure
324 of the relative power of storm waves against that of modal ones, given that wave energy
325 depends directly on the square of wave height (USACE, 1984). The difference is calculated
326 on the basis of significant wave height (H_s), the most commonly used wave parameter in
327 coastal dynamics studies. In this sense, significant wave height during storms can at some
328 places be represented by maximum significant wave height (H_{smax}), already suggested as a
329 risk parameter by Gornitz et al. (1994). The classification and ranking of the difference
330 between storm and modal wave heights shown in Table 1 are the result of the study of
331 different coastal settings in Spain, including both high- and low-energy regimes, despite the
332 difficulties in establishing absolute values to be used in an index aimed at a broad
333 application.

334 The effect of *relative sea-level trend* (variable J) is obviously less important than wave
335 action in determining cliff erosion hazard (Lee, 2008), but even so it must be taken into
336 account when evaluating cliff loss potential (Naylor et al., 2009). The origin of such sea-
337 level trend is not relevant for the scope of this study, so the total relative changes resulting
338 from the composite of global eustatic sea-level trends plus local land motions are

339 considered (Gornitz et al., 1994). In view of recent estimates about accelerating sea-level
340 rise (IPCC, 2007), it is clear that the magnitude of relative sea-level change on a given area
341 will depend on the time span considered. This way, the data should be obtained from a
342 nearby tide gauge covering at least a 20-year record, for instance by using data supplied by
343 the Permanent Service for Mean Sea Level (POL, 2008), whose reliability should be
344 carefully considered in each particular location.

345 The last variable included in the Hazard Index is the *rainfall* (variable K), widely
346 acknowledged as playing a significant role in cliff stability (e.g. Sunamura, 1992). Rainfall
347 infiltration and surface runoff constitute two of the so-called “preparatory processes” that
348 reduce the strength of cliff materials (Greenwood and Orford, 2008), thus increasing their
349 erodibility by sub-aerial processes and triggering mass movements (Lee et al., 2001; Dong,
350 2005). However, rainfall is not generally included into coastal erosion hazard assessments
351 due to the lack of specific indices for estimating erosion risk on cliffed coasts and the
352 limited influence of this parameter on beach erosion. The annual rainfall limits shown in
353 Table 1 are intended to be suitable for most temperate locations around the world.

354

355 ***APPROXIMATE LOCATION OF FIGURE 4***

356

357 An important issue regarding the evaluation of the Hazard Index variables is the
358 convenience of adopting a worst-case approach when the proper classification is not clear
359 and there are two possibilities of ranking. On the other hand, in places where the numerical
360 value of a given variable is not available, the method could be adapted to compare the
361 characteristics of the variable on different areas in a qualitative way (Bush et al., 1999), for
362 instance by using an ordinal scale.

363 As explained in section 2, the calculation of the index requires an adequate weighting of the
364 variables (a_n) with factors (f_n) established on the basis of their relative influence on cliff
365 stability (Gornitz et al., 1994). This is a difficult task, as the specific role of each variable in
366 determining cliff erosion is not easy to evaluate. According to the aforementioned
367 considerations, the most relevant aspects are generally those related to cliff materials and
368 beach buffer characteristics, so variables A, C and D can be considered as *determinant*
369 *variables* and are weighted with a 1 factor. Conversely, the least significant parameters are
370 tidal range, sea-level trend and rainfall, so variables G, J and K are considered as *secondary*
371 *variables* and weighted with a 0.5 factor. The remaining components of the index, i.e.
372 variables B, E, F, H and I, show an intermediate importance and are considered as *indirect*
373 *variables* and weighted accordingly with a 0.8 factor.

374 Several mathematical options were tested to combine the weighted variables into a single
375 expression: arithmetic average, geometric average, square root of average, mean of squares,
376 sum of squares, square of geometric average, etc. The results obtained show that operations
377 involving products, other than expanding the range of values as stated by Gornitz (1990),
378 are quite problematic for subsequent normalization (see section 2), as they yield extremely
379 low hazard values. On the other hand, it is evident that sums are less sensitive than products
380 to possible errors in classification and ranking of the variables (Gornitz et al., 1994). The
381 use of squares is not feasible when weighting factors are used, because it tends to
382 underestimate low hazard values and strongly overemphasize medium and high hazard
383 values so that they become unrealistic. Therefore, the Absolute Hazard Index (HI_{abs}) was
384 built by simply adding up the weighted scores of the variables (Eq. 1):

$$385 \quad HI_{abs} = \sum a_n f_n \quad (1)$$

386 The normalization of the Absolute Hazard Index with respect to its maximum and
387 minimum theoretical values (Eq. 2 and 3) provided an adequate framework to the results.

388 This led to the final Relative Hazard Index (HI_{rel}), expressed as a percentage of the
389 maximum theoretical hazard.

$$390 \text{ range } HI_{abs} = \max HI_{abs} - \min HI_{abs} \quad (2)$$

$$391 HI_{rel} = [(HI_{abs} - \min HI_{abs}) / \text{range } HI_{abs}] * 100 \quad (3)$$

392

393 *3.1.2. Application of the Hazard Index*

394 Finally, the resulting Relative Hazard Index (hereafter referred to as Hazard Index or HI)
395 was applied to the assessment of cliff erosion hazard in the 200 km-long coast of Cádiz
396 province in SW Spain (Fig. 1). Cliffs in this NW-SE-oriented coast are mainly located at
397 the central and southern sector of the province, where they are mostly composed of
398 Miocene conglomerates, sandstones and shales with relatively smooth profiles. The few
399 cliffed areas existing in the northern part of the province are mainly low bluffs on soft
400 Neogene and Quaternary materials. The prevailing coastal dynamics are variable, ranging
401 from meso- to almost microtidal areas affected by different wave energy regimes, which in
402 general can be classified as of low-energy. Most cliffs are located backing sandy beaches of
403 different characteristics, and they support a wide variety of uses, from heavily urbanized
404 areas to well-preserved natural environments (Del Río and Gracia, 2007).

405 The variables in Table 1 were carefully evaluated for each cliffed sector in Cádiz coast by
406 field inspection and analysis of the information in the literature about the area. Then the
407 Hazard Index was calculated by means of the expressions above, yielding values between
408 39% and 62% of the maximum theoretical hazard for this area. Results show how the
409 northernmost end of the province is the area with the highest erosion hazard, with Grajuela-
410 Montijo and La Ballena-Peginas low cliffs reaching the maximum HI values. Lithology is
411 the main factor involved in determining the distribution and extent of cliff erosion hazard in
412 this zone, as lateral changes in cliff facies expose soft Plio-Quaternary materials like clays

413 and palaeosols to wave action. Even with the presence of protecting beaches and rocky
414 shore platforms, such erodible materials give rise to a considerably high retreat hazard.
415 Besides, shoreline orientation predisposes storm wave fronts to hit the coast directly with
416 very little dissipation of energy. Such high HI values are also found in the resistant
417 Miocene calcareous sandstones of La Breña cliff, where the most important hazard factors
418 are the nearly vertical cliff slope and the practical absence of a buffering beach or shore
419 platform.

420 Fairly high hazard values arise for the sandstones and conglomerates located in the central
421 coast between Cape Roche and Fuente del Gallo, mainly due to the general lack of
422 protection by beaches (Fig. 3B), rocky shore platforms or engineering structures, as well as
423 to the relatively low angle between prevailing storm wave fronts and the shoreline.

424 Similarly, narrow beaches, the practical absence of engineering structures and the quite soft
425 cliff lithology consisting of marls and sands are behind the 53-54% values of the HI for the
426 NATO Base and El Retin cliffs (Fig. 4A). On the other hand, moderate erosion hazard
427 values at Torre Bermeja, Torre del Puerco, Punta Camarinal and La Peña are primarily
428 related to fairly resistant cliff-forming materials like sandstones and conglomerates,
429 generally gentle slope and oblique shoreline orientation, although the specific features are
430 different on each coastal trait. For instance, Torre del Puerco shows the widest cliff-fronting
431 beach in the whole study area, thus providing significant protection against wave attack.

432 Cliffs at Santa Catalina, Caños de Meca and Cape Plata-Gracia exhibit a relatively low
433 erosion hazard around 48% mainly because of their resistant lithology and gentle slope.

434 Finally, the lowest HI values can be found at Punta Paloma and especially Vistahermosa
435 areas, where cliff structure, cliff slope and beach characteristics reduce erosion hazard,
436 together with the wide rocky shore platform at Punta Paloma and the seawall located at the

437 foot of Vistahermosa cliff, as both features prevent these cliffs from being directly affected
438 by energetic storm waves.

439 This way, the overall distribution of the Hazard Index shows that in general the factors
440 determining the highest cliff erosion hazard in the study area are cliff lithology, beach
441 characteristics and engineering structures. Additionally, tidal range and sea-level trends
442 present quite high values along the whole Cádiz coast, hence precluding distinction
443 between higher and lower hazard zones. In this sense, the variables which are most helpful
444 in discriminating hazard levels are cliff slope, beach and rocky shore platform
445 characteristics and engineering structures, due to their wide variety along Cádiz coast.

446

447 3.2. Impact index

448 3.2.1. *Index elements*

449 The methods described in section 2 also led to the development of a cliff erosion Impact
450 Index composed of 6 variables influencing socioeconomic damage potential, including
451 exposure and vulnerability aspects. Table 2 shows the classes and ranking adopted for each
452 of these variables, where the ranks 1 and 4 represent the lowest and highest impact,
453 respectively.

454 This way, *main land use type* (variable A) is deemed as a key factor in determining cliff
455 erosion impact, since it controls to a great extent the economic value of the area. In this
456 sense, the ranking of land use type is established on the basis of a qualitative assessment of
457 such value as suggested by McLaughlin et al. (2002). On the other hand, it was found that
458 the best way to define the area where this variable should be evaluated on any given cliff is
459 the delimitation of a 100 m-wide buffer zone located inland of the cliff foot. The
460 determination of main land use type on this area is easily accomplished by means of recent
461 maps, aerial photographs or satellite images.

462 The *percentage of developed areas* (variable B) is a more specific concept than land use
463 type, as it includes different types of features which are indicative of development and
464 significant economic value, e.g. buildings, gardens, roads or golf courses. It must be noted
465 that a higher level of development entails a higher erosion impact not only due to the
466 increased exposure, but also because human activities tend to intensify cliff vulnerability by
467 negatively influencing cliff stability. For instance, the building of houses and infrastructure
468 on cliff top increases the load on the cliff, thus decreasing cliff resistance, and the
469 vibrations related to vehicular traffic, works and other activities on cliff top can affect cliff
470 internal structure. Besides, watering of gardens increases groundwater levels, thus
471 increasing the chances of landslide activation (Benumof and Griggs, 1999). As in land use
472 type, this variable is assessed on a 100 m-wide strip located inland of the cliff foot and can
473 be easily evaluated on recent aerial photographs or satellite images. In any case, the
474 percentage ranges defined in the variable (Table 2) are broad enough to allow an easy
475 assessment of this factor.

476

477 ***POSITION OF TABLE 2***

478

479 The socioeconomic value of non-developed, ecologically relevant natural areas is
480 represented in the Impact Index by the *presence of nature reserves* (variable C), since the
481 existence of a conservation designation (e.g. Natural Park, National Reserve and so on)
482 increases the impact of erosion affecting these natural zones (McLaughlin et al., 2002). The
483 rationale is that protected natural areas on cliffed coasts have an intrinsic value that might
484 be threatened by cliff erosion even if no human infrastructure is at risk. In this sense, the
485 difficulties in standardizing the types of conservation designation in the index (McLaughlin
486 et al., 2002) to make it widely applicable determine the use of a simple scheme of

487 presence/absence, with a relatively small difference in perceived value and thus in resulting
488 scores between both cases.

489 The fourth element in the index is the *presence and type of transportation networks*
490 (variable D), considering that the potential loss of railways and roads due to cliff recession
491 entails a serious socioeconomic impact. Pedestrian paths and tracks are not included, as the
492 social impact of their loss in case of cliff erosion and the monetary cost of protecting,
493 restoring or relocating them is relatively low. As with the previous variables, transportation
494 networks are to be evaluated on a 100 m-wide strip inland of the cliff foot.

495 Undoubtedly, the number of people living on the area is a major issue when analysing any
496 type of risk, and although its use is not common in published indices (McLaughlin et al.,
497 2002), most coastal classifications acknowledge the need for this type of data (Cooper and
498 McLaughlin, 1998). Its importance arises from its direct relationship with both exposure
499 (being affected by the risk) and, in the case of cliff erosion, also to vulnerability
500 (contributing to the phenomenon), as explained in variable B. This way, *population density*
501 (variable E) constitutes a key factor in the Impact Index, and due to its relative nature it is
502 obviously more widely applicable than absolute population figures (Rygel et al., 2006). It
503 must be noted that population is not equivalent to development or urbanization, as
504 population only accounts for residents while variable B includes the infrastructure
505 developed for tourists, such as hotels or holiday homes, which can be quite important in
506 certain coastal areas (Málvarez et al., 2000). On the other hand, the aforementioned
507 procedure of assessing the variable in a 100 m-wide zone located inland of the cliff foot is
508 obviously not feasible in this case, as such detailed population information is seldom
509 available. Consequently, up-to-date data at the most locally available level (e.g.
510 municipality, borough, district or other similar administrative entity) are used, thus
511 implying the assumption of a homogeneous distribution of population across the whole

512 entity. This is not realistic, but it represents the most practical way of approaching
513 population data in the index, and the availability of information is a key issue as explained
514 in section 2 (McLaughlin et al., 2002); moreover, population growth at any given
515 municipality will probably imply more pressure of visitors to cliffed areas in the
516 municipality, even if new inhabitants concentrate on inland areas. The classes proposed in
517 Table 2 are based on information about population density collected for numerous coastal
518 municipalities in different countries across the world.

519 The last variable included in the Impact Index is the *population rate of change* (variable F),
520 which represents demographic variations over time and therefore provides some kind of
521 approach to temporal changes in erosion impact (Griggs, 1994). The periodic updating of
522 databases from which indices are derived is clearly an important subject (Cooper and
523 McLaughlin, 1998; Bush et al., 1999), and as stated by McLaughlin et al. (2002)
524 socioeconomic impact factors generally show greater variations in a given direction over
525 time than physical hazard elements. For this reason, a measure of socioeconomic changes is
526 included in the index by means of variable F, given that population is the most relevant
527 socioeconomic factor and information about its changes is generally easier to obtain than,
528 for instance, quantitative evolution of developed areas or land use type. As in variable E,
529 the evaluation of population rate of change is performed on the most detailed local
530 administrative entity available, assuming a homogeneous variation in population density.
531 With the purpose of facilitating wide applicability of the index, the variable is expressed as
532 an annual rate, that is percentage of population growth or decrease per year. Ideally this is
533 computed over the last 10-year period in order to take account of recent demographic
534 trends, although the annual rate allows the calculation of the variable over the time span
535 available in each particular case. The classes in Table 2 are established on the basis of data

536 on population rate of change collected from numerous different coastal locations around the
537 world.

538 Analogously to the calculation of the Hazard Index (see section 3.1), the Impact Index also
539 requires the weighting of the variables with certain factors according to their relative
540 influence on total erosion impact. In this sense, land use and population density (variables
541 A and E) can be considered as determinant elements, and so they are weighted with a 1
542 factor. Conversely, nature reserves and population rate of change (variables C and F) are
543 deemed as the least significant aspects and weighted with a 0.6 factor. Percentage of
544 development and transportation networks (variables B and D) are considered of
545 intermediate importance and weighted with a 0.8 factor. Once the variables are weighted,
546 the Relative Impact Index is built in the same way as the Relative Hazard Index (see section
547 3.1), by adding up the weighted scores of the variables and normalizing the results.

548

549 *3.2.2. Application of the Impact Index*

550 The final Impact Index (ImI) was applied to the assessment of erosion impact in the cliffed
551 sections of the Atlantic Cádiz coast. The evaluation of the variables in Table 2 and the
552 calculation of the index yielded values ranging between 9% and 59% of the maximum
553 theoretical impact for this area. According to the obtained results, the highest erosion
554 impact corresponds to the densely urbanized tourist area of Caños de Meca and the
555 residential zone of Santa Catalina (Fig. 5A), both of them characterized by a high level of
556 human occupation. Moderate values of the Impact Index between 44-47% appear in the
557 northern sector of the study area (cliffs from Grajuela-Montijo to Vistahermosa inclusive)
558 and at Fuente del Gallo, mainly because of the type of land use and the relatively high
559 population density. At Torre del Puerco, La Peña, Torre Bermeja and El Retin cliffs the
560 erosion impact is relatively low due to several reasons, such as the low perceived value of

561 the land use types, mainly croplands and natural zones, or the scarcity of developed surface.
562 The same factors together with the lack of important transportation networks determine the
563 lower levels of impact (between 17-23%) appearing at the cliffs of Cape Roche, Cape Plata-
564 Gracia, Calas de Conil and La Breña. Finally, extremely low values of the ImI are found in
565 the southern sector of the province, namely at Punta Camarinal (Fig. 5B) and Punta Paloma
566 cliffs, which belong to a recently created nature reserve and are characterized by a near
567 total absence of population, buildings, infrastructure, roads or any other human-related
568 features at risk of suffering damage by cliff recession.

569

570 *APPROXIMATE LOCATION OF FIGURE 5*

571

572 This way, the general distribution of the Impact Index shows that the factors determining
573 the highest erosion impact for the Cádiz coastal cliffs are those directly related to
574 population, that is population density and population rate of change. The latter, however, is
575 quite uniform along the whole study area, thus hindering an adequate distinction between
576 higher and lower impact sectors. Conversely, the variability of land use type in this area
577 renders it the most effective variable assisting in the discrimination of impact levels.

578

579 **4. Discussion**

580

581 4.1. Validation of the Hazard Index

582 It is generally accepted that new approaches to risk or hazard assessment should be tested
583 and validated before being considered adequate for their specific purposes (Cooper and
584 McLaughlin, 1998). The validity of the cliff erosion Hazard Index proposed in this work
585 was tested by using real cliff erosion data recorded in Cádiz coastal area. In this sense, it is

586 worth noting that many approaches to coastal risk or hazard include in their formulations
587 the very consequence of such risk or hazard, thus constituting partly response-based
588 approaches (e.g. Dal Cin and Simeoni, 1994; Gornitz et al., 1994; De Pippo et al., 2008).
589 Conversely, in the present study cliff erosion itself is not included as a variable, instead
590 being used to ground-truth the results in Cádiz coast and thus to validate the index.

591 For this purpose two types of information representing real cliff erosion were employed,
592 specifically cliff recession rates for the period 1956-2005 and data on mass movement
593 processes occurring in the area. The latter were included in the validation due to the widely
594 acknowledged fact that using only mean cliff retreat rates is inadequate for defining erosion
595 hazard (Griggs, 1994; Teixeira, 2006; Lim et al., 2009), and also episodic slope failures
596 need to be taken into consideration (Dong, 2005).

597 Cliff recession rates were calculated according to the method explained in section 2, while
598 mass movements were carefully analysed by field inspection. The main classical types of
599 slope failure processes (Dikau et al., 1996) were identified on different points along the
600 study area: falls, slides, topples and flows. A simple scheme was adopted to translate mass
601 movements on each cliffed sector into a quantitative expression, by assigning a value of 1
602 to the sparse presence of a given type of slope failure process and a value of 2 to the
603 abundant presence of a given type of slope failure process, without making a distinction
604 between the severity associated with each mass movement type. For instance, if sparse falls
605 and topples were found in a given area, the numerical value of the mass movements was
606 $1+1 = 2$; if abundant falls and sparse topples were found, then the numerical value was $2+1$
607 $= 3$; if abundant falls, sparse slides and sparse topples were found, then the numerical value
608 was $2+1+1 = 4$, and so on. In this way a numerical value representing the presence of mass
609 movements was calculated for each cliffed area along Cádiz coast.

610 Linear multiple regression was used on each cliffed section to test the correlation between
611 the calculated Hazard Index (HI), the cliff recession rate (RR) and the mass movements
612 (MM), by means of an expression of the type: $HI = f(RR, MM)$. Two different recession
613 rates were taken into consideration, namely the average retreat rate for the whole cliffed
614 section (ARR) and the maximum retreat rate (MRR) found at any given point along the
615 section. Results of the analysis (Table 3) show an acceptable goodness of fit of the multiple
616 regression model according to the coefficient of multiple determination R^2 , with around 63-
617 65% of the variation in the HI being explained by the model. The goodness of fit expressed
618 by the regression coefficients is similar both for average and maximum cliff retreat rates.

619

620 ***POSITION OF TABLE 3***

621

622 Additionally, the weighting scheme chosen was also tested by performing further multiple
623 regression calculations with values of the HI resulting from different combinations of
624 weighting factors. The results showed poorer correlations than in the scheme chosen, with
625 maximum R^2 values of 0.54 against the aforementioned values of 0.63-0.65 obtained for the
626 data of the present work.

627 If the values of the Hazard Index were not in acceptable accordance with real cliff erosion
628 data, this would mean that other important factors not included in the index are influencing
629 cliff loss potential. As this is not the case, the proposed Hazard Index represents a valid
630 approach to the estimation of cliff erosion hazard.

631

632

633 4.2. General risk assessment

634 As mentioned above, the evaluation of any type of risk should necessarily include the two
635 separate components that constitute the risk, that is the physical hazard and the
636 socioeconomic impact (Birkmann, 2007). For this purpose the Hazard Index and the Impact
637 Index were combined into the Risk Index (RI), a single numerical value obtained by means
638 of a weighted average of both indexes according to their number of variables. The rationale
639 behind this procedure is that a simple average would actually overestimate the individual
640 weight of the ImI variables (which are 6) against the HI variables (which are 11) in the total
641 Risk Index. On the other hand, the Risk Index obtained by this procedure is expressed as a
642 percentage of its maximum theoretical value in a similar way to HI and ImI. In this sense, it
643 must be noted that although risk is often defined in terms of probabilities (UNDP, 2004),
644 the percentage values of the RI obtained by the proposed method do not bear a direct
645 relationship with probabilities.

646 The method was applied to the assessment of erosion risk for the Cádiz coastal cliffs,
647 yielding values between 33% and 57% of the maximum theoretical risk (Table 4). The
648 highest risk levels are found at the northernmost end of the study area, due to the high
649 values of both erosion hazard and impact existing at Grajuela-Montijo and La Ballena-
650 Peginas cliffs (Fig. 6). Remarkably high risk levels (RI over 50%) are also present in the
651 NATO Base, Fuente del Gallo, Santa Catalina and Caños de Meca areas, the two former
652 being mainly due to the physical characteristics of the cliffs and the two latter mostly
653 related to human occupation aspects. Moderate values of RI appear in the central sector of
654 the province, at Torre del Puerco, Cape Roche and La Breña cliffs; in all three cases,
655 especially at La Breña, erosion hazard is the main reason behind these risk levels, given the
656 relatively low degree of human occupation and, hence, erosion impact. Slightly lower
657 values of the Risk Index (between 41-43%) are found at several points along the coast,
658 namely at Vistahermosa, Torre Bermeja, Calas de Conil, El Retín and La Peña cliffs. Here

659 cliff loss potential is the main contribution to erosion risk in all cases except Vistahermosa,
660 where socioeconomic damage potential is the key factor. Finally, cliffs located at Cape
661 Plata-Gracia, Punta Camarinal and Punta Paloma show the lowest risk levels in the study
662 area, mostly related to the limited human influence coupled with moderate to low hazard
663 levels.

664

665 ***POSITION OF TABLE 4***

666

667 The higher number of variables and hence the higher weight allocated to the HI with
668 respect to the ImI obviously leads to a stronger contribution of the hazard component in the
669 total risk. This way, at some sites very low impact values are balanced by high hazard
670 values, thus resulting in moderately high risk levels (Table 4). Nevertheless, from a general
671 point of view cliff erosion risk in Cádiz coast can be considered as moderate to low
672 according to the proposed method, with an absence of high or very high risk zones (Figure
673 6) and few areas showing a RI value above 50% (Table 4). On the other hand, the inclusion
674 of a wide range of variables into the Risk Index adds a significant discriminating ability to
675 the overall risk assessment procedure, as shown in Cádiz case study. However, a complete
676 differentiation is precluded by the fact that some variables such as tidal range or rainfall
677 regime are quite homogeneous along the study area.

678

679 ***APPROXIMATE LOCATION OF FIGURE 6***

680

681 4.3. Methodological considerations

682 The combined Risk Index for the evaluation of cliff erosion risk is aimed at being an easy
683 to use, scientifically sound planning tool that takes into account the major factors behind

684 cliff erosion hazard and impact. Nevertheless, several considerations need to be made on
685 the development and application of the proposed Hazard, Impact and Risk Indices.

686 A common procedure for assessing cliff erosion risk is the projection of past recession rates
687 into the future; however, this is deemed as a skewed and unreliable method due to the
688 spatially and temporally variable nature of cliff retreat. For this reason a qualitative
689 approach was adopted in this work, by analysing the main physical and socioeconomic
690 factors involved in the causes and consequences of cliff erosion. The proposal is a relatively
691 simple index that is applicable to many different coastal settings worldwide, constituting a
692 general and not site-specific method. In this sense, as mentioned in section 2, the weighting
693 of the variables included in the indices can be used as a tool for adjusting the different
694 elements to local conditions in order to obtain an adequate “contextualisation” of the risk
695 assessment (Birkmann, 2007) without the need for developing site-specific risk approaches
696 as claimed by Rygel et al. (2006). In any case, this weighting obviously entails a
697 component of subjectivity that should be carefully handled, for instance by taking
698 advantage of local knowledge as proposed by Mimura (1999).

699 Regarding the application of the indices, an important subject is that of zoning, i.e. the
700 method by which individual cliffed sections are defined. The best way to establish a zoning
701 scheme is to apply the indices on homogeneous units or traits of coast, each of them
702 showing fairly uniform lithology, slope, land use, etc. This was easily accomplished for the
703 Cádiz sites due to the previous knowledge of the area, where cliffed sections are clearly
704 separated from each other and well defined in terms of their characteristics. If either
705 previous knowledge is scarce or the cliffs extend uninterruptedly alongshore, then the
706 possibility exists of establishing the units based on one or two cliff features that can be
707 clearly identified as homogeneous in given coastal traits (e.g. coastal orientation), even if
708 the remaining characteristics are not uniform along each of the resultant sections. In most

709 cases this will imply the need for ulterior computations of the non-homogeneous
710 characteristics by different means according to the specific purposes of the work. This way,
711 on one hand, weighted averages could be calculated in order to obtain an objective
712 assessment of the non-uniform variables. For instance, if the chosen cliff section partly
713 covers two adjacent municipalities with different population density, then a weighted
714 average of population density could be calculated for the cliff according to the percentage
715 of cliff included in each municipality. On the other hand, sometimes a worst-case approach
716 may be needed, and in this case the highest possible rank should be taken when ranking a
717 non-homogeneous variable. In any case, it is important to be consistent with the criteria
718 selected to define the units.

719 Another related issue of great concern is the scale, as the spatial resolution of the zoning
720 will be partly determined by the scale of work. This way, given that risk assessments are
721 often aimed at management purposes, the spatial resolution of the zoning should be in
722 accordance with the level (local, regional, etc.) at which it is intended to support
723 management decision-making, in order to provide useful information. In this sense, any
724 type of index should indicate the approximate range of areas or distances over which it is
725 valid, since the scale of the index greatly influences the feasibility and convenience of
726 inclusion of certain variables (Cooper and McLaughlin, 1998). For instance, factors such as
727 rainfall regime would not be suitable for discriminating erosion risk levels along a small
728 cliffed zone on a local scale, while detailed cliff structure would not be feasible for risk
729 analysis on large regions. For this reason the index proposed in this work can be considered
730 as a medium-scale approach which can be applied over coastal areas at scales between
731 several hundred meters and a few hundred kilometers.

732 With respect to the relationships between hazard, impact and risk, several authors add to
733 this scheme the response of the system in terms of its resilience or ability to cope with,

734 adapt to and/or recover from the negative consequences of hazardous events (Mimura,
735 1999; Birkmann, 2007). The resilience would then be the opposite to the vulnerability and
736 hence its evaluation should be included in impact assessments. However, in the present
737 work the features that determine cliff resistance or resilience to erosion from a
738 geomorphological point of view are incorporated as variables in the Hazard Index. This
739 way, vulnerability is only regarded from the point of view of the socioeconomic impact of
740 cliff erosion (not the physical one), so the response or recovery ability would be restricted
741 to policy decisions such as planning strategies, rebuilding of infrastructure and so on, and
742 these issues are not within the scope of this study.

743 The Hazard Index and the Impact Index were designed in order to be scientifically valid
744 and at the same time as general as possible, for them to be applied in a wide range of cliffed
745 coasts. In this sense, Cooper and McLaughlin (1998) point to the need for considering
746 different variables depending on the study area, but the present proposal is aimed at being
747 useful for management purposes on many different coastal zones worldwide. Nevertheless,
748 it must be noted that this method is only applicable to temperate environments, as erosion
749 factors which are important in tropical cliffs (presence of coral reefs, karst dynamics) or in
750 paraglacial coasts (gelifraction processes, winter ice sheets avoiding wave attack) are not
751 taken into consideration.

752 Further refinements in the building of the indices can obviously be made, for instance by
753 including more variables that can influence cliff erosion hazard and impact. The Hazard
754 Index could incorporate elements such as joint width and spacing, annual probability of
755 storms, fetch distance, nearshore slope, beach sediment size and so on (Sunamura, 1983;
756 Benumof and Griggs, 1999; De Pippo et al., 2008). The Impact Index could include factors
757 such as cultural heritage elements, importance of coastal tourism activities, per capita
758 income and so on (McLaughlin et al., 2002; Boruff et al., 2005). In any event, redundancy

759 and ambiguity should be avoided when selecting the variables; for instance, a common
760 topic when dealing with cliff stability is vegetation cover, but it was not included in the
761 Hazard Index due to its dependence on rainfall regime, cliff slope and cliff lithology. On
762 the other hand, increasing the number of variables implies increasing the complexity of the
763 index, so in any case a balance should be found between applicability, scientific validity
764 and ease of use.

765

766 **5. Conclusions**

767

768 In this work a method is presented to evaluate cliff erosion risk on temperate coasts,
769 understood as the potentially damaging consequences resulting from cliff recession
770 processes. For this purpose a necessary integration of physical variables and socioeconomic
771 factors is proposed in the form of a Hazard Index and an Impact Index, the latter including
772 both exposure- and vulnerability-related parameters. The indices are subsequently
773 combined into a single, easily understood value by means of a Risk Index.

774 The Hazard Index was validated by using real cliff erosion data from the Cádiz coast (SW
775 Spain). Nonetheless, for the whole process it is important to acknowledge the uncertainty
776 inherent in the determination of the particular influence of each variable in the final hazard,
777 impact or risk. In this sense, there is the possibility of adapting the procedure to specific
778 zones by changing the weighting factors according to the particular features existing in the
779 area. The selection of homogeneous cliff units over which the indices are to be calculated is
780 also a key issue that should be carefully considered in any case.

781 The proposed method is intended to be used instead of the quantification of cliff recession
782 rates, as it constitutes a holistic approach to risk evaluation that includes both physical and
783 socioeconomic causes and consequences of cliff erosion processes. From a management

784 perspective, analysis performed by this procedure allows the zonation of cliffed coasts
785 according to the risk, hazard and impact levels, and the recognition of critical areas where
786 specific intervention strategies should be adopted. On the other hand, helpful information
787 can also be obtained for assisting in an appropriate land use planning on undeveloped
788 cliffed coasts, so as to prevent infrastructure from being developed on high-risk zones. This
789 way, the method is aimed at being a practical, valuable management tool that is at the same
790 time scientifically sound and easy to use.

791 Further research is, however, needed in order to ensure adequate assessment of the real
792 importance of each individual variable in the total erosion risk. Additionally, the proposed
793 Hazard Index should be tested against cliff recession and mass movements data in other
794 locations with cliff characteristics different from those in Cádiz area, so as to validate it for
795 more diverse coastal settings. On the other hand, a more detailed approach to the Impact
796 Index could be adopted by including cost-benefit analysis, taking into consideration the
797 specific value placed on different coastal elements and activities, as well as policy-related
798 factors such as management decisions regarding future coastal planning schemes. Finally,
799 although the method described in this work is only valid for temperate environments, a very
800 similar framework could be applied to develop indices for tropical and paraglacial cliffs, by
801 adjusting the variables to incorporate specific processes distinctive of those environments.

802

803 **7. Acknowledgements**

804

805 This work is a contribution to the projects MICORE (EU grant 202798), RESISTE (CGL
806 2008-00458/BTE) and IGCP 495 “Quaternary Land–Ocean Interactions: Driving
807 Mechanisms and Coastal Responses”, and to the research group RNM-328 of the PAI. The

808 useful suggestions of Dr. Andrew Plater and two anonymous referees are greatly
809 acknowledged.

810

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911

912 **Figure captions**

913

914 Figure 1. Location map of main cities (capital letters) and cliffed areas (grey zones, names
915 in italics) along Cádiz coast, in SW Spain.

916 Figure 2. Example of digitized shorelines corresponding to cliff top position between 1956
917 and 2005, and shore-normal transects along which shoreline changes are measured.

918 Figure 3. A: Rills caused by surface runoff on marls, sands and gravels cliff at Torre del
919 Puerco (Conil). B: Waves reaching the base of a sandstone cliff at Fuente del Gallo (Conil).

920 Figure 4. A. Discontinuous rocky shore platform backed by a sandy cliff on Quaternary
921 materials at El Retín (Barbate). B: Effect of flanking erosion generated by a riprap at
922 Peginas sand cliff (Rota).

923 Figure 5. A. Residential development at Santa Catalina cliff (Puerto de Santa María). B:
924 Undeveloped cliff located in a military zone at Punta Camarinal (Tarifa).

925 Figure 6. Distribution of the Hazard (H), Impact (I) and Risk (R) Indices calculated on
926 cliffed areas along Cádiz coast.

927

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929 **Table captions**

930

931 Table 1. Classification and ranking of the variables included in the Hazard Index (1-
932 minimum hazard, 4-maximum hazard).

933 Table 2. Classification and ranking of the variables included in the Impact Index (1-
934 minimum impact, 4-maximum impact).

935 Table 3. Results of the linear multiple regression analysis performed on cliff recession and
936 Hazard Index data. HI: Hazard Index. ARR: Average recession rate. MRR: Maximum

937 recession rate. MM: Mass movements. Multiple R: Multiple correlation coefficient.

938 Multiple R^2 : Coefficient of multiple determination. Adjusted R^2 : Coefficient of

939 determination adjusted by the number of independent variables.

940 Table 4. Values of the Hazard, Impact and Risk Indices calculated on cliffed areas along

941 Cádiz coast.

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943

Figure 1 in EPS format



Figure 2 in TIFF format
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Figure 6 in TIFF format (black and white for printed version)
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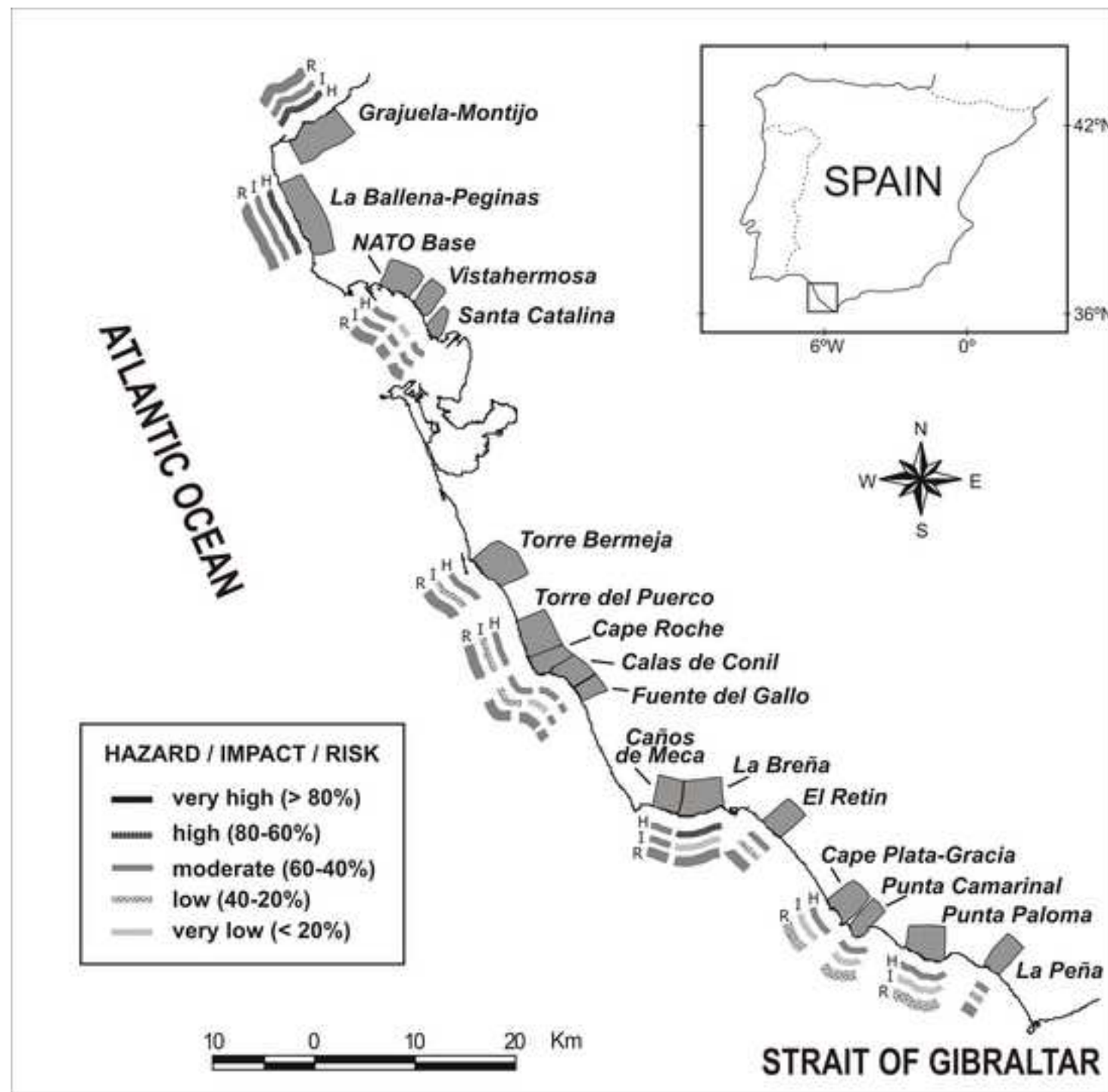


Figure 6 in TIFF format (color for electronic version)
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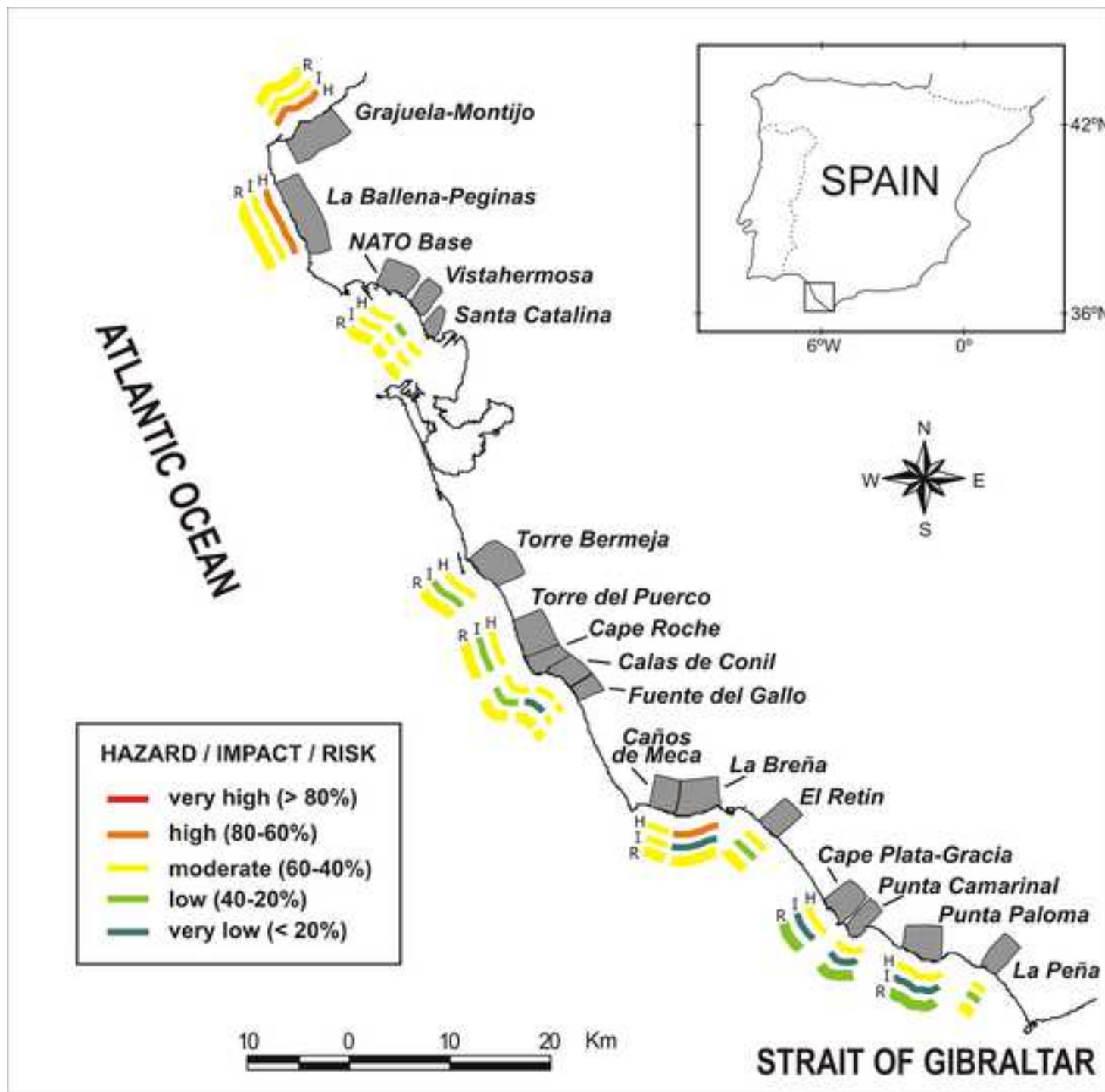


Figure 3



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Figure 4



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Figure 5

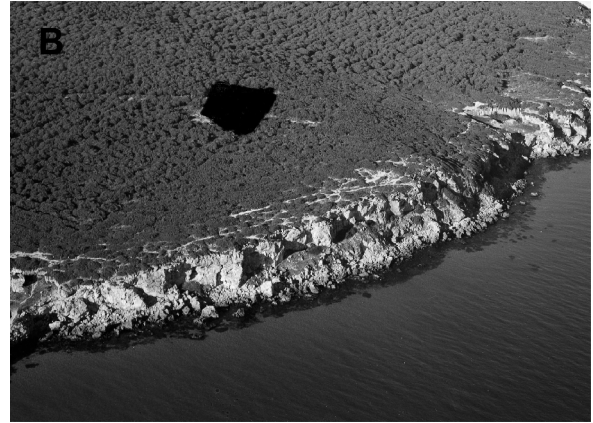


Figure 5A in TIFF format
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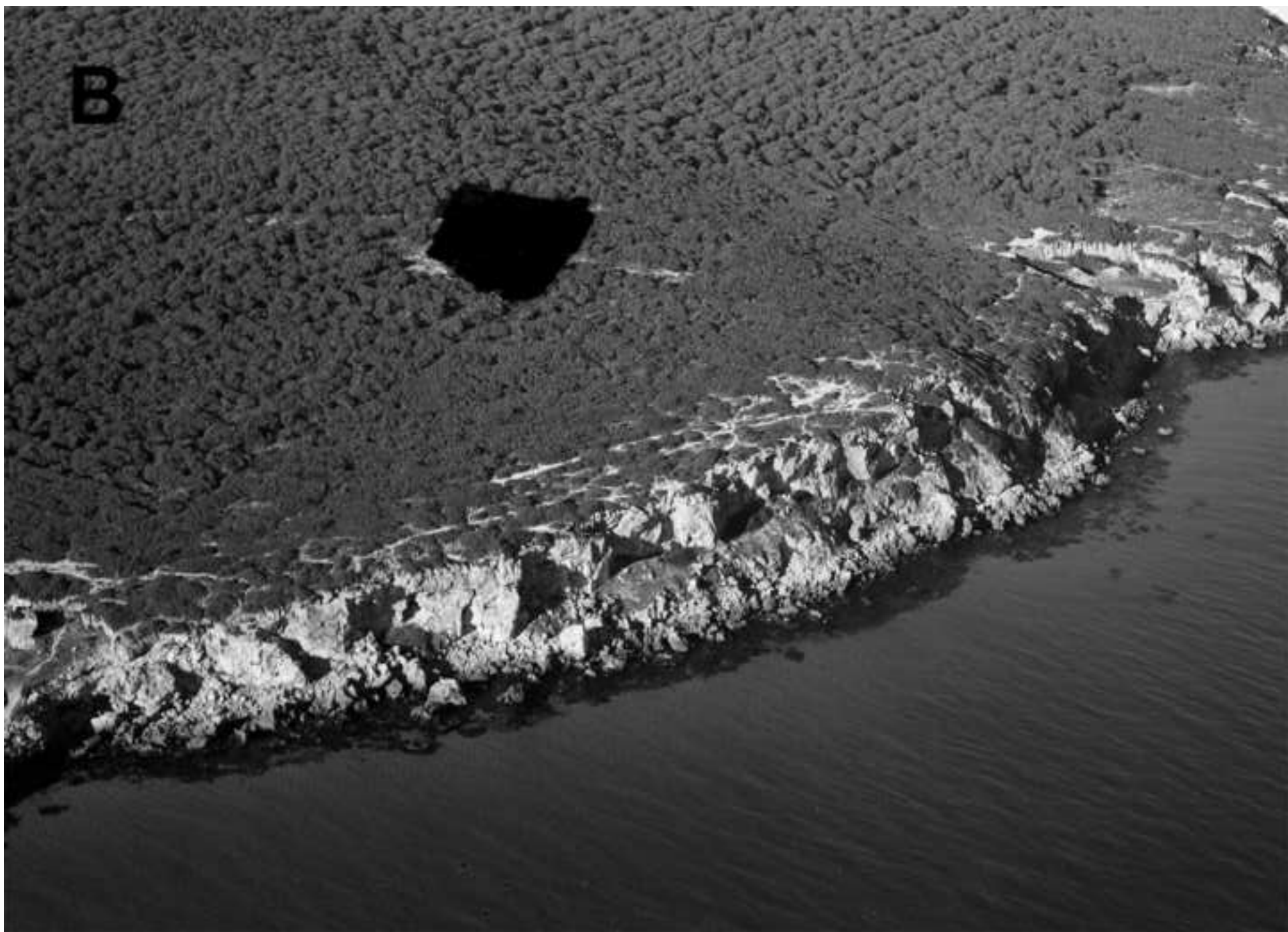


Table 1

VARIABLE	HAZARD RANKING
A- Cliff lithology	1- plutonic, volcanic, resistant metamorphics 2- limestones, sandstones, conglomerates 3- non-resistant metamorphics, fine consolidated sediments, coarse unconsolidated sediments 4- fine unconsolidated materials
B- Cliff structure	1- no significant discontinuities 2- alternate sequences of soft and hard materials 3- isolated gullies and/or evident groundwater flow and/or moderate cracks/joints/faults 4- coastal badlands and/or dense cracks/joints/faults
C- Cliff slope	1- slope < 25° 2- slope 26°-50° 3- slope 51°-75° 4- slope > 75°
D- Protective beach	1- wide/high beach (waves reach the cliff at spring tides coinciding with storm surges) 2- intermediate beach (waves reach the cliff at spring tides or during storm surges) 3- narrow/low beach (waves reach the cliff during daily high tide) 4- no beach
E- Rocky shore platform	1- wide, continuous intertidal rocky shore platform 2- narrow, discontinuous intertidal rocky shore platform 3- submerged rocky shore platform 4- no rocky shore platform
F- Engineering structures at cliff foot	1- seawall or revetment at the cliff foot (whole) 2- not considered 3- seawall or revetment at the cliff foot (partial) 4- no structure at cliff foot
G- Tidal range	1- hypertidal (MSTR > 6 m) 2- macrotidal (MSTR 4-6 m) 3- mesotidal (MSTR 2-4 m) 4- microtidal (MSTR < 2 m)
H- Exposure to storm wave fronts	1- roughly shore-normal storm wave fronts (angle 81° - 90°) 2- angle 46° - 80° 3- angle 11° - 45° 4- shoreline subparallel to main storm wave fronts (angle < 10°)
I- Difference between storm and modal wave height	1- difference < 0.5 m 2- difference 0.5 m - 2 m 3- difference 2 m - 3.5 m 4- difference > 3.5 m
J- Relative sea level trend	1- change < -1 mm/yr (RSL fall) 2- change -1 mm/yr to +1 mm/yr (RSL stable) 3- change +1 mm/yr to +2.5 mm/yr (RSL moderately rising) 4- change > +2.5 mm/yr (RSL strongly rising)
K- Rainfall	1- mean annual precipitation < 500 mm 2- mean annual precipitation 500-1000 mm 3- mean annual precipitation 1000-1500 mm 4- mean annual precipitation > 1500 mm

Table 2

VARIABLE	IMPACT RANKING
A- Main land use type	1- natural areas 2- cropland 3- sparse buildings and/or parking lots 4- densely urbanized areas and/or industrial areas
B- Percentage of developed areas	1- development 0-25% 2- development 26-50% 3- development 51-75% 4- development 76-100%
C- Presence of nature reserves	1- not considered 2- absent 3- present 4- not considered
D- Presence and type of transportation networks	1- no structures for vehicular traffic 2- minor roads 3- major roads 4- motorways and/or railways
E- Population density	1- density ≤ 50 persons/km ² 2- $51 \text{ persons/km}^2 \leq \text{density} \leq 300 \text{ persons/km}^2$ 3- $301 \text{ persons/km}^2 \leq \text{density} \leq 1000 \text{ persons/km}^2$ 4- density > 1000 persons/km ²
F- Population rate of change	1- annual change $\leq 0\%$ 2- $0.1\% \leq \text{annual change} \leq 2\%$ 3- $2.1\% \leq \text{annual change} \leq 5\%$ 4- annual change $> 5\%$

Table 3

HI = f (ARR, MM)		HI = f (MRR, MM)	
Multiple R	0.81	Multiple R	0.79
Multiple R²	0.65	Multiple R²	0.63
Adjusted R ²	0.60	Adjusted R ²	0.58

Table 4

	Hazard Index	Impact Index	RISK INDEX
Grajuela-Montijo	62.0	47.0	56.7
La Ballena-Peginas	60.4	47.0	55.7
NATO Base	54.1	47.0	51.6
Vistahermosa	39.2	47.0	42.0
Santa Catalina	48.6	53.0	50.2
Torre Bermeja	50.2	24.2	41.0
Torre del Puerco	50.2	36.4	45.3
Cape Roche	55.7	22.7	44.1
Calas de Conil	56.5	16.7	42.4
Fuente del Gallo	57.3	43.9	52.6
Caños de Meca	48.6	59.1	52.3
La Breña	60.4	16.7	45.0
El Retín	53.3	24.2	43.1
Cape Plata-Gracia	48.2	18.2	37.6
Punta Camarinal	50.6	9.1	35.9
Punta Paloma	46.3	9.1	33.2
La Peña	49.4	28.8	42.1