# Climate and soil moisture content during development of the first palaeosol in the southern Loess Plateau

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## Summary

The scientific problems concerning Quaternary soil water content and the water cycle have not been researched. This study examined the soil water content and depth of distribution of gravitational water in the south Loess Plateau during development of the first palaeosol layer  $(S_1)$  by methods such as field investigation, electron microscopy, energy spectrum analysis, chemical analysis, and so on. The purpose was to reveal the climate, water balance and vegetation type at the time when  $S_1$  developed. The depth of migration of CaCO<sub>3</sub> and Sr were 4.2 m below the upper boundary of the  $S_1$  palaeosol, and the depth of weathered loess beneath the argillic horizon was 4.0 m. Ferri-argillans developed well in the argillic horizon and their depth of migration was 1 m below the argillic horizon. These findings suggest that the climate during the last interglacial was subtropical and humid, and the soil-water balance was positive. Gravitational water was present to a depth of least 4.2 m from the top of  $S_1$ , and the water content was adequate for tree growth. The chemical weathering index showed that this palaeosol has been moderately weathered.

## Highlights

- We studied the palaeo-water content index during development of the first palaeosol.
- Soil water balance during development of S<sub>1</sub> palaeosol in the south Loess plateau was positive.
- Available water content was 14% during development of S<sub>1</sub> palaeosol.
- We suggest that a subtropical climate and forest vegetation prevailed.

## Introduction

The uppermost palaeosol layer in Chinese loess deposits, the first palaeosol (S<sub>1</sub>), is reddish-brown (7.5 YR) and formed during the last interglacial period. It developed between 125 and 75 ka (Guo & Liu, 1996) and thus formed over ~ 50 ka ago. During the last interglacial the summer monsoon strengthened (Liu & Ding, 1998; Chen *et al.*, 2006a; Peng *et al.*, 2014), precipitation increased (Sun *et al.*, 1996; Guo *et al.*, 2012), vegetation was abundant and the southern boundary of the desert moved north (Sun *et al.*, 1996). Previous studies have examined the environment under which goethite formed in the palaeosol because it can indicate large soil

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water content (Jiang & Liu, 2016). However, these studies did not confirm the soil water content at this time.

Climate change on the Loess Plateau has been studied and this has provided some explanations for the periodic climate changes evident in loess strata. In addition, other research has shown both similarities and differences between the Chinese loess records and those from deep-sea sediments (Kukla, 1977; Gocke *et al.*, 2011). Loess from various parts of central Asia and Europe is also well developed, and cycles of climate change have been identified by analyses of strata from those sediments (Bronger *et al.*, 1995; Pecsi, 1997; Bokhorsta *et al.*, 2009).

Previously, age, climate, vegetation and soil properties were among the main areas of interest for those specializing in loess and palaeosol research, whereas research on soil water content and variation in the water cycle during loess deposition and soil formation have been limited. Microstructures in loess and

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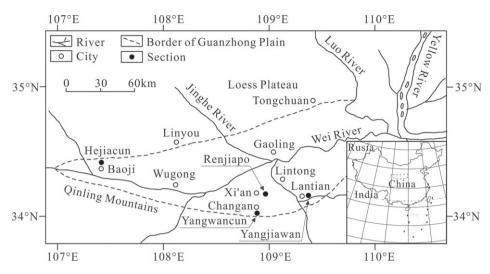


Figure 1 Locations of the study area and the profiles.

palaeo-humidity (Fedoroff & Glodberg, 1982; Guo & Liu, 1996) have been investigated, but there has been no attempt to quantify palaeo-water contents. Although soil structure in the Czech Republic and several humidity indices have been used in climate research (Kukla, 1977), information on palaeo water in palaoesols is very limited. The Quaternary loess and paleosol in South Korea formed under much stronger weathering conditions than in China (Hwang *et al.*, 2014). In Hungary, the variation in rainfall was large during the development of the loess and palaeosol (Schatz *et al.*, 2015). This research in South Korea and Hungary did not involve soil water contents, however.

Our review of existing work has shown that few direct signs of palaeo water in soil have remained, and that environmental indices that can be used to investigate soil–water relations are also limited. Although little information is available about palaeo-water–soil relations, it is important to expand the scope of Quaternary research to include this because the processes involve fundamental mechanisms controlled by the hydrological cycle and water balance. These issues are also relevant to both contemporary and future environmental change. Specific objectives of the present study were to characterize the S<sub>1</sub> palaeosol, to reconstruct soil water content and to relate that information to the climatic conditions at the time of the last interglacial.

### Materials and methods

### Profile sites

We observed and measured four  $S_1$  palaeosol profiles in the Guanzhong Plain, and collected samples from three of them. The locations of these profiles are shown in Figure 1. The Yangjiawan profile (34°10'N,109°18'E) was recorded from the second terrace of the Ba River, ~1 km to the east of Lantian, which is a county administered by Xi'an. The Yangwancun profile (34°05'N, 108°58'E) was taken from the western slope of the Shaoling Tableland, which is ~10 km to the south of Xi'an. Finally, the

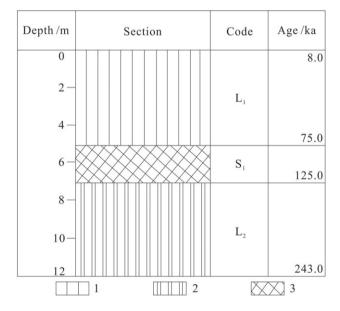


Figure 2 Distribution of  $S_1$  palaeosol in the loess profiles.  $L_1$ , first loess layer;  $L_2$ , second loess layer;  $S_1$ , first palaeosol layer.

Hejiacun profile  $(34^{\circ}23'N,107^{\circ}07'E)$  was from the north slope of the Ling Tableland, ~ 2 km to the north of Baoji.

#### General situation and strata of the area studied

A temperate monsoon climate prevails in the region: the mean annual temperature is ~ 13°C and mean annual precipitation ~ 600 mm. Profiles in this area were complete; the thickness of loess from the early Pleistocene to Holocene is about 120 m. The S<sub>1</sub> palaeosol is about 1.8-m thick; it lies below the L<sub>1</sub> loess and above the L<sub>2</sub> loess (Figure 2). The thicknesses of L<sub>1</sub> and L<sub>2</sub> loess are about 5.0 and 4.5 m, respectively (Figure 2). Previous research (Liu, 1985; Guo & Liu, 1996) suggested that the ages of the lower bound of the  $L_2$  loess and  $S_1$  palaeosol are 243.0 and 125.0 ka, respectively, and the ages of the lower and upper bounds of  $L_1$  loess are 75.0 and 8.0 ka, respectively (Figure 2). Therefore, development of the  $S_1$  palaeosol continued for about 50 ka.

### Sampling and experiment

The upper part of the  $L_2$  loess was leached during development of the  $S_1$  palaeosol; the  $S_1$  palaeosol and  $L_2$  loess provided the materials for this study.

Samples were collected at 5-cm intervals from the Bt layer (a mineral horizon enriched in clay, in this case a reddish-brown (7.5 YR) argillic layer, the leached loess layer and an illuvial horizon of CaCO<sub>2</sub>. In total, 232 samples were collected. In addition, 18 samples were taken to determine porosity at 20-cm intervals from the Renjiapo profile in Xi'an. An Axios advanced PW4400 X-ray fluorescence (XRF) spectrometer was used for determining the concentrations of selected elements. For the XRF analyses, the samples were first dried, then ground and sieved through a 200-mesh screen. The samples were then pressed into pellets before the above analysis. All element concentrations were corrected for carbonate, which in turn was quantified by a volumetric method (Zhao et al., 2014). The soil microstructure was characterized with a scanning electron microscope and optical microscopy, and the chemical composition of those samples was determined by energy dispersive X-ray spectroscopy (EDX). The sample was sprayed with gold first before using the electron microscope to identify soil microstructure. The sample was processed into slides of 0.03-mm thickness before optical microscopy to identify soil microstructure. Analysis of the gases in the sample was used to determine CaCO<sub>3</sub> content. Porosity of the soil (%) was calculated from bulk density and the particle density of soil by the following equation (Hu & Yang, 1984):

Porosity = 100 (1 - bulk density/particle density).

The particle density of loess is 2.7, and of the  $S_1$  palaeosol it is 2.75 (Hu & Yang, 1984). Particle-size composition was determined with a laser grain analyser (Mastersizer 2000, Malvern Instruments, Malvern, UK). When soil particle-size composition was analysed, the CaCO<sub>3</sub> in soil samples was first dissolved by hydrochloric acid. The clay in samples was then decomposed by sodium hexametaphosphate, and finally samples were analysed by the laser grain analyser. Analytical methods used to quantify basic soil properties for the WRB classification are from the ISSS Working Group Reference Base (1998). The samples were prepared and analysed at the Institute of the Earth Environment of the Chinese Academy of Science and the Environmental Laboratory of Shaanxi Normal University, Xi'an City.

### Results

### Subdivisions of $S_1$ palaeosol profiles

The  $S_1$  palaeosols from all four profiles were well developed and had clear macroscopic horizontal zonation. Field observations show

Table 1 Stratification of S1 palaeosol sections from the Guanzhong Plain

Soil section site	Bt horizon Thicknes	Bc horizon s / m	Cl horizon	Ck horizon Depth / n	Red ferri-argillans
Yangjiawan, Lantian	1.8	1.0	1.2	4.0	2.8
Renjiapo, east of Xi'an	1.9	1.1	1.2	4.2	2.9
Yanwancun, south of Xi'an	2.0	1.2	1.1	4.3	3.0
Hejiacun, Baoji	1.8	1.2	1.4	4.4	3.0

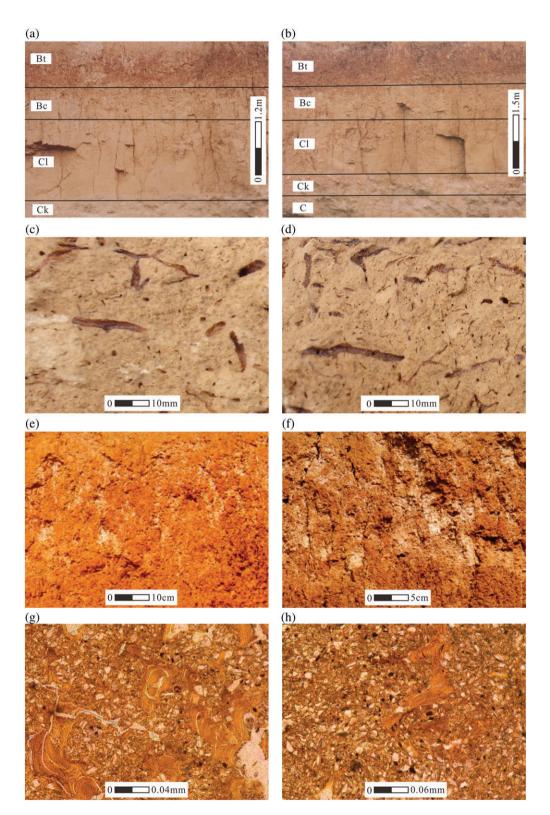
that the Quaternary loess section in the study area is extensive; the thickness of loess from the early Pleistocene to Holocene is ~ 120 m, and thickness of the  $S_1$  argillic horizon is 1.8 m. Argillans in the  $S_1$  palaeosols are well developed in the Bt horizon (Figure 3a–d) and also occur in the weathered and leached loess layer (Figure 3a,b; Bc and Cl horizon), which is ~ 2.2-m thick beneath the Bt horizon (Figure 3a,b, Table 1).

The S<sub>1</sub> palaeosol profiles we examined are similar in many ways to each other (Table 1), and they can be divided into four horizons (Figure 3a,b). The first of these is a reddish-brown (7.5 YR) argillic horizon (Bt), which is intensely weathered, shows well-developed ferri-argillans and is 1.8–2.0-m thick. The second layer (Bc) is a weathered and leached loess layer ~1-m thick that contains ferri-argillans, most of which are distributed along root passages. The horizon boundaries between Bt and Bc are diffuse. The third layer (Cl) is a weathered and leached loess layer (1.1–1.4-m thick) that does not contain reddish brown (7.5RY) ferri-argillans and has large fissures along which leaching occurred; the Bc and Cl horizons grade into one another gradually. The fourth layer (Ck) is an illuvial horizon in the loess that marks the horizon with CaCO<sub>3</sub> concretions; it is ~2 m beneath the Bt horizon and is 0.2–0.3-m thick.

Observations of the S<sub>1</sub> palaeosol profiles from Yangjiawan, Yangwancun and Hejiacun show that the CaCO<sub>3</sub> illuvial horizon is not at the bottom of the argillic horizon (Bt), but at a depth of ~ 2 m in the weathered and leached loess layer under the argillic horizon (Figure 3a,b). The CaCO<sub>3</sub> illuvial horizon is stable and ~ 0.2-m thick, and is composed of CaCO<sub>3</sub> nodules ~ 10–15 cm in length and greyish-white (N9) in colour. In the upper ~ 0.6 m of the layer, there are numerous CaCO<sub>3</sub> films that are distributed over the surface of the fractured argillic horizon, which are prismatic in structure (Figure 3e,f).

### Element and particle-size analysis of the $S_1$ palaesol

The results from the chemical analysis (Figure 4, Table 2) in the  $S_1$  palaeosol of Yangwancun show that the mean concentrations of Fe<sub>2</sub>O<sub>3</sub>, Al<sub>2</sub>O<sub>3</sub> and SiO<sub>2</sub> in the Bt horizon are 5.95, 13.74 and 56.49%, respectively. This is 0.87, 1.72 and 3.04% more than in the parent loess horizon (C horizon), respectively, but concentrations of CaO and Na<sub>2</sub>O are smaller than in the parent loess horizon (Figure 4, Table 2). The concentrations of Fe<sub>2</sub>O<sub>3</sub>, Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, CaO and Na<sub>2</sub>O in the Bc and Cl horizons are slightly smaller than those in



**Figure 3** Stratification and microstructure of the  $S_1$  palaeosol sections: (a, b) Hejiacun and Yangjiawan profiles, (c, d) distribution of red ferri-argillans in weathered and leached loess at Hejiacun and Yangjiawan, (e, f) numerous greyish-white CaCO<sub>3</sub> films in the upper Bt horizon of the  $S_1$  palaeosol in the Renjiapo and Yangjiawn profiles, respectively, and (g, h) microstructure in Bt horizon of  $S_1$  palaeosol in Yangjiawan and Hejiacun, respectively. Bt, argillic horizon of paleosol; Bc, weathered and leached loess layer with thin flakes and spot ferri-argillans; Cl, weathered and leached loess without weathering.

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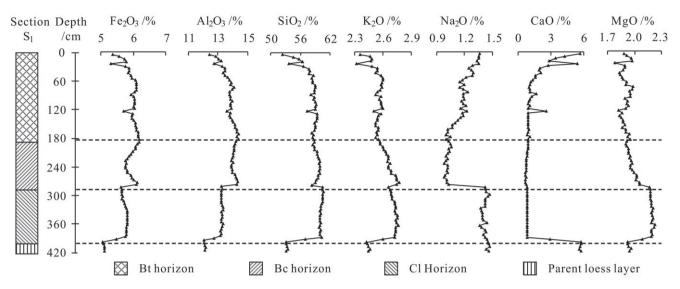


Figure 4 Change of chemical concentrations in the  $S_1$  palaeosol section of the Yangwancun profile.

Table 2 Main	oxide content and	weathering index in the	he Yangwancun profile

Oxide	Bt horizon		Bc horizon		Cl horizon		C horizon	
	Range / %	Mean / %						
Fe <sub>2</sub> O <sub>3</sub>	5.33-6.17	5.95	5.61-6.17	5.92	5.49-5.83	5.75	4.91-5.13	5.08
$Al_2O_3$	12.45-14.39	13.74	13.23-14.35	14.05	12.75-13.35	13.23	11.84-12.20	12.06
SiO <sub>2</sub>	52.42-59.42	56.49	58.36-59.97	59.39	55.48-60.66	59.96	50.94-57.01	53.45
K <sub>2</sub> O	2.31-2.59	2.53	2.56-2.76	2.66	2.59-2.75	2.71	2.34-2.45	2.41
Na <sub>2</sub> O	1.00-1.36	1.12	0.98 - 1.42	1.04	1.36-1.45	1.41	1.43-1.47	1.45
CaO	0.89-5.68	1.69	0.71-0.95	0.81	0.83-2.96	0.95	5.61-7.43	6.01
MgO	1.78 - 1.98	1.90	1.90-2.17	1.98	2.09-2.23	2.19	1.87-1.96	1.92
Index	57.00-76.50	71.98	75.40-76.20	75.90	72.50-73.00	72.70	51.40-64.60	56.40

Index in the table is weathering index.

the Bt horizon (Figure 4, Table 2). The chemical composition shows that the Bt, Bc and Cl horizons are clearly weathered.

Based on the grain size analysis of the  $S_1$  palaeosol in Yangwancun, the particle size is mainly less than 0.005 mm (Table 3); the next important size fraction is silt. The average particle-size composition of each layer is different. The argillic horizon (Bt layer) has the largest clay content, followed by the Bc and Cl layers. Clay content is smallest in the parent loess layer; the average content here is about 13% less than in the argillic horizon (Table 3).

#### The $CaCO_3$ content in the $S_1$ palaesol

Results of gasometric analyses show that the CaCO<sub>3</sub> concentrations in most parts of the three profiles are very small, and the variation in CaCO<sub>3</sub> with depth is also mostly small (Table 4, Figure 5). The CaCO<sub>3</sub> content is in general 0-1.5% from the middle of the argillic horizon to the bottom of the weathered-fractured loess horizon, but it is slightly larger, from 0.2 to 8.9%, in the upper 30 cm of the argillic horizon. The CaCO<sub>3</sub> concentration is large, from 40 to 60%, in the CaCO<sub>3</sub> illuvial horizon.

## Leaching fractures and weathered loess horizons in the $S_1$ palaeosol profiles

Field observations show that microfissures developed not only in the argillic horizon of the S<sub>1</sub> palaeosol in profiles from the Bailu, Shaoling and Ling Tablelands, but also in the middle–upper part of the underlying loess (L<sub>2</sub>) (Figure 3a,b). The characteristics of the fractures vary with depth: those in the upper parts of the profiles are relatively dense with intervals between them of ~ 10 cm, whereas the lower fractures are relatively thin with larger intervals of 20–30 cm.

Well-developed fractures exist from the bottom boundary of the argillic horizon to the upper boundary of the  $CaCO_3$  illuvial layer (Figure 3a,b); they differ from the original vertical joints. First, the leaching fractures are relatively dense and their density is greater than the original fractures in the loess layer; the leaching fractures were formed by strong eluviation and adhesive action of loess. Second, the fracture density changes with depth, decreasing from the top to the bottom of the weathered loess horizon; this was caused by more eluviation in the upper part of the layer than at the

	$< 0.005  \rm{mm}$		0.005 - 0.01  mm		0.01 - 0.05  mm	
Layers	Range / %	Mean / %	Range / %	Mean / %	Range / %	Mean / %
Bt	67.82-78.91	73.41	18.17-21.50	19.84	6.17-9.28	6.04
Bc	65.10-79.05	69.37	19.04-24.13	21.49	7.31-10.05	8.30
Cl	61.46-72.48	67.25	20.73-25.10	23.16	7.42-12.74	8.27
С	54.18-64.51	60.54	24.32-31.06	27.43	8.59-14.17	11.01

Table 3 Composition of particle sizes in the S1 palaeosol of Yangwancun

Table 4 The CaCO<sub>3</sub> and Sr concentrations in S<sub>1</sub> in Guanzhong Plain

		Yangjiawan profile	Yangjiawan profile			Yangwancun profile	
Туре	Layer	Content range	Mean	Content range	Mean	Content range	Mean
CaCO <sub>3</sub> / %	Bt	0-7.6	1.8	0-8.2	2.1	0-8.9	2.5
-	Bc	0-0.9	0.4	0-0.7	0.5	0-0.6	0.3
	Cl	0-1.3	0.8	0-1.5	0.7	0-0.9	0.5
	Ck	46.3-67.7	61.6	44.1-54.7	52.4	28.7-43.8	36.3
Sr / 10 <sup>-6</sup>	Bt	174.3-188.4	180.2	174.3-202.9	183.8	102.6-125.2	109.4
	Bc	104.3-208.7	162.6	147.1-177.5	159.9	114.7-129.8	123.1
	Cl	163.3-214.7	182.7	143.6-153.4	150.4	128.3-147.7	136.9
	Ck	180.3-224.7	212.8	220.2-219.1	223.3	150.3-167.5	156.3

bottom. Third, the distribution of the leaching fractures is controlled by the eluvial horizon; this type of fracture does not exist in the unweathered and unleached loess layer below the illuvial CaCO<sub>3</sub> horizon.

Beneath the  $S_1$  there are weathered loess layers that do not exist under most of the other palaeosol layers (Figure 3; Bc layer, Cl layer). The weathered loess horizon has three obvious characteristics. First, it shows evidence of the eluviation of CaCO<sub>3</sub> as the  $S_1$  developed. Second, the loess layer is slightly more yellow and red because of oxidation; this was probably caused by the relatively high ambient air temperatures and rainfall during the development of  $S_1$ . Third, leaching fractures exist in the weathered loess horizons; these relate to palaeo-moisture content as discussed below.

## Distribution of ferri-argillans and microstructures in the $S_1$ palaeosol

Field observations indicate that the red argillans developed in the argillic horizon of the S<sub>1</sub> palaeosol, but smaller amounts of reddish-brown (7.5 YR) ferri-argillans also occur ~ 1 m below that horizon (Figure 3a–d). The ferri-argillans that developed on particles in the argillic horizon appear as bright films; they also occur ~ 1 m beneath that layer in slender empty channels with spot-like or linear forms (Figure 3a–d). The reddish-brown (7.5 YR) ferri-argillans migrated ~ 1 m into the loess, and this provides convincing evidence for the migration of the ferruginous components out of S<sub>1</sub>.

Five samples of the material in the ferri-argillans were examined with the scanning electron microscope (SEM), which showed that the ferri-argillans have two types of pattern. One type has minimal clay mineral crystals (Figure 6a,b) with obvious directional alignment. The other type of ferri-argillan comprises uncrystallized fine clay and  $Fe_2O_3$  with colloidal morphologies with typically spherical or amorphous shapes (Figure 6c,d).

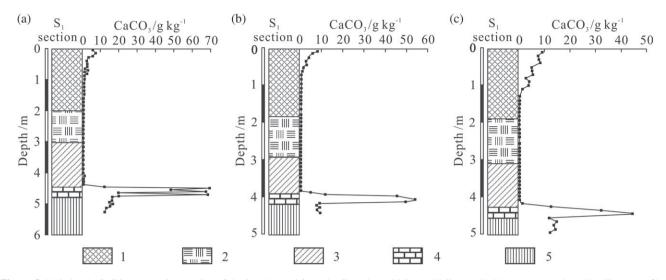
Observations by an optical microscope of 36 thin-sections from Bt horizons in the three  $S_1$  profiles showed that argillans with strong optical properties developed well. They mostly form irregular lumps and flow structure is clear (Figure 3g,h). The argillans with strong optical properties can indicate soil moisture content, as described below in the discussion.

The EDX analysis (Table 5, Figure 7a–d) shows that  $Fe_2O_3$  and  $Al_2O_3$  account for 12–16 and 23–26% of the ferri-argillan mass, respectively. The material observed by SEM and the argillans analysed by EDX is insoluble clay matter.

## Strontium content and migration from the S<sub>1</sub> palaeosol

The characteristics of Sr and Ca are similar; Sr migration in the soil profile is usually very obvious and can indicate the palaeo environment (Chen *et al.*, 2006a).

Concentrations of Sr (Figure 8, Table 4) in the three profiles studied vary from 110 to 240  $\mu$ g g<sup>-1</sup> and show distinct distributional differences in the various strata. Changes in Sr concentrations can be used to divide the profiles into five layers. The Sr content in the first layer (of 0–0.5-m depth in the palaeosol layer) is relatively large and corresponds to the upper part of the argillic horizon. Field observations indicated that large quantities of white CaCO<sub>3</sub> films also occur in this part of the palaeosol, and the large Sr content can be explained by the migration of Sr from the overlying loess



**Figure 5** Variation in  $CaCO_3$  content in a section of the S<sub>1</sub> palaeosol from the Guanzhong Plain: (a) Hejiacun, (b) Yangwancun and (c) Yangjiawan profiles. Key to fill patterns: 1, palaeosol; 2, weathered and leached loess layer with red ferri-argillans; 3, weathered and leached loess layer without red ferri-argillans; 4, illuvial horizon of  $CaCO_3$ ; 5, unweathered loess.

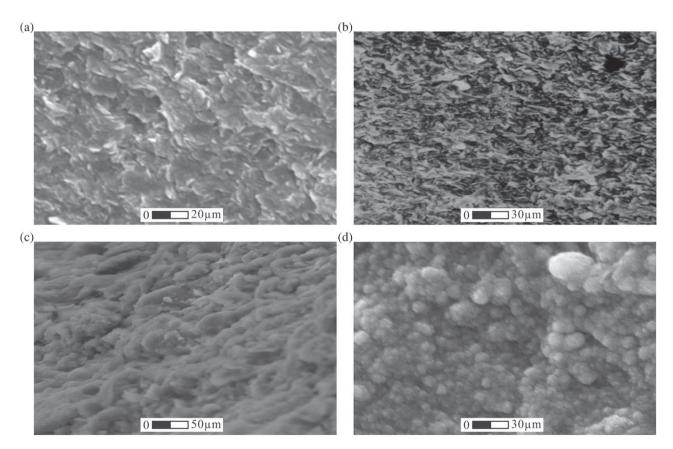
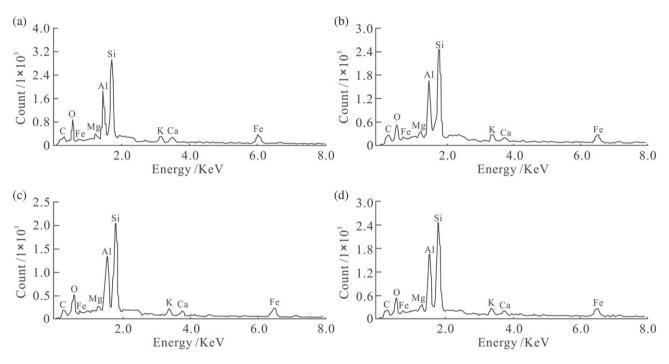


Figure 6 Forms of red ferri-argillans in the  $S_1$  palaeosol from the Guanzhong Plain. Microcrystals of clay minerals in the argillans under the  $S_1$  palaeosol at (a) Yangwancun and (b) Hejiacun. Ferri-argillans with colloidal morphologies in the  $S_1$  palaeosol from (c) Yangjiawan and (d) Hejiacun.

Table 5 Chemical constituents of ferri-argillans in the S1 palaeosol from Guanzhong Plain

		SiO <sub>2</sub>					
Sites	Sample number	1%	$Al_2O_3$	Fe <sub>2</sub> O <sub>3</sub>	K <sub>2</sub> O	MgO	CaO
Yangjiawan	X01	53.4	23.8	15.4	2.3	2.1	2.9
Yangwancun	X02	53.4	24.8	13.0	3.3	2.6	2.1
Hejiacun	X03	53.3	25.1	12.9	3.3	2.7	2.7
Hejiacun	X04	54.1	24.8	13.0	3.3	2.6	2.8
Yangwancun	X05	53.9	23.4	15.3	3.0	2.4	2.2



**Figure 7** Energy spectra of argillans from the S<sub>1</sub> palaeosol in Guanzhong Plain. Element composition of argillans from the S<sub>1</sub> palaeosol from (a) Yangjiawan, (b) Yangwancun and (c, d) Hejiacun profiles.

layer (not shown). The second layer at a depth of 0.5-2.2 m in the palaeosol-loess profile corresponds with the middle and lower parts of the argillic horizon. The Sr content in the second layer is the smallest of the five layers (Figure 8), indicating that Sr was transported out of this layer. The third layer is 2.2-4.0 m from the top of S1 and its depth varies among the profiles, but corresponds to the leached loess horizon. The Sr content of the third layer is larger than that of the first two layers of the Yangjiawan and Hejiacun profiles. The Sr concentrations in the third layer are smaller than those in the second layer of the Yangwancun profile (Figure 8). They increase from the upper to lower part of the third layer in each profile; this is evidence for Sr migration. The fourth layer, from 4.0 to 4.3 m in the palaeosol profiles, corresponds to the CaCO<sub>3</sub> illuvial horizon and has the largest Sr content of the five layers (Figure 8). The Sr concentration in the horizon with CaCO<sub>3</sub> concretions is very large, presumably because of illuviation and accumulation of Sr in the CaCO<sub>3</sub>. The fifth layer, below 4.0-4.3 m in the profiles, corresponds to the loess parent material below

the  $CaCO_3$  illuvial horizon. The Sr concentration in layer five is relatively large, but slightly less than that of the  $CaCO_3$  illuvial horizon.

All the results and observations described above indicate that Sr had leached and migrated in the profiles, and there are hierarchical characteristics in the palaeosol profile. The general order of Sr concentrations is Ck > C (parent material layer) > Cl > Bt > Bc horizons. The Sr content in the Bt horizon is probably less than in the Bc and Cl horizons because the Bt horizon is argillic and a fraction of the Sr would have been adsorbed by clay minerals. The Sr data for the profile suggest a migration depth similar to or the same as that of  $CaCO_3$ ,  $\sim 4.0$  m.

## Porosity in the $S_1$ palaeosol profile

Results of the determination of porosity (Table 6) show that it varies between 43.84 and 47.28% (the average is 45.71%) in the argillic horizon of the  $S_1$  palaeosol in Yangwancun. The porosity in weathering–leaching loess horizons (Bc and Cl horizon) varies

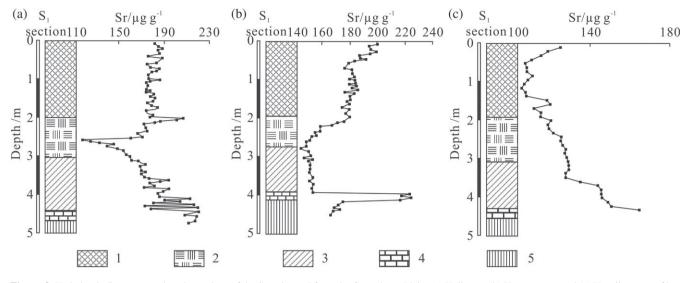


Figure 8 Variation in Sr concentrations in sections of the  $S_1$  palaeosol from the Guanzhong Plain: (a) Hejiacun, (b) Yangwancun and (c) Yangjiawan profiles. Key to fill patterns: 1, palaeosol; 2, weathered and leached loess layer with red ferriferous argillans; 3, weathered and leached loess layer without red ferriferous argillans; 4, illuvial horizon of CaCO<sub>3</sub>; 5, unweathered loess.

Table 6 Porosity in the S1 palaeosol profile of Yangwancun (%)

Layer	Bt	Bc	Cl	С
Content range / %	43.84-47.28	46.39-49.13	48.26-49.02	48.26-50.14
Mean content / %	45.92	47.34	48.30	49.19
Sample number	9	5	4	5

between 47.39 and 50.73% (the average is 48.93%); this is about 3% less than that in the argillic horizon.

## Discussion

### Soil type and weathering stage of $S_1$ palaeosol

The argillic horizon of the  $S_1$  palaeosol is well developed (Figure 3a,b, Table 3); it has new argillans with optical properties (Figure 3g,h), newly formed clay minerals (Figure 6) and shows clear evidence of the migration of Fe<sub>2</sub>O<sub>3</sub> and CaCO<sub>3</sub> (Figure 5). It is known that the  $S_1$  was subject to a strong chemical weathering process and was an eluvial soil. The migration of Fe<sub>2</sub>O<sub>3</sub> occurs under acidic conditions, indicating that development of the palaeosol reached the acid leaching stage. Compared with other soils in the world, the  $S_1$  palaeosol is equivalent to a Luvisol in the soil classification system (ISSS Working Group Reference Base, 1998).

There are many indices for determining the strength of weathering of a soil (Nesbitt & Young, 1982; Brahy *et al.*, 2000; Chadwick *et al.*, 2003). The chemical weathering index (CIA) was used here to determine the degree of weathering of the S<sub>1</sub> palaeosol. The CIA (%) is calculated by the following formula:

$$CIA = [Al_2O_3 / (Al_2O_3 + CaO + Na_2O + K_2O)] \times 100,$$

where CaO represents the CaO in silicate minerals only in the formula. The results of the calculations with this formula are given in Table 2. In the S<sub>1</sub> palaeosol of Yangwancun, the average CIA of the Bt, Bc, Cl and C layers is 72.0, 75.9, 72.7 and 56.4%, respectively. According to the study by Nesbitt & Young (1982), CIA values between 50 and 65% reflect a low degree of chemical weathering in a cold and dry climate, whereas a CIA between 65 and 85% reflects moderate chemical weathering under warm and humid conditions and a CIA between 85 and 100% reflects strong chemical weathering under hot and humid tropical and subtropical conditions. Therefore, the Bt, Bc and Cl horizons of S<sub>1</sub> were subjected to moderate chemical weathering under warm and humid climate conditions.

## *Gravitational and available water content during development* of the $S_1$ palaeosol

The vertical zonation from soil water to groundwater is generally divided into three zones, namely gravitational, adsorbed and underground water (Huang *et al.*, 1998). Adsorbed water in soil is that adsorbed on the surface of soil particles by adhesion. Gravitational water is the water that moves through soil under the influence of gravity (Huang *et al.*, 1998; Yang & Shao, 2000). Less than 20% of the soil water in the Guanzhong Plain is adsorbed and more than 20% is gravitational water (Yang & Shao, 2000).

From field observations and laboratory analysis, we found that three types of data are useful for understanding water content and water zonation; these are (i) the migration depth of  $CaCO_3$  and Sr, (ii) the depth of weathered and leached loess horizons and (iii) the migration depth of ferri-argillans. We propose that it is gravitational water that causes the chemical constituents in the soil to dissolve and migrate. Previous researchers have suggested that adsorbed water could dissolve the soluble

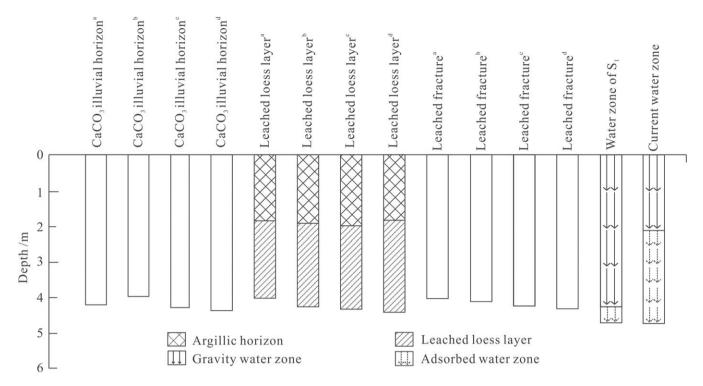
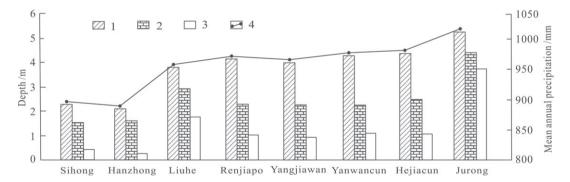


Figure 9 The depth of gravitational water and adsorbed water during development of the  $S_1$  palaeosol on the Guanzhong Plain. The index of zonation of gravitational water from the profiles at (a) Yangjiawan, (b) Renjiapo, (c) Yangwancun and (d) Hejiacun.



**Figure 10** Relation between climate indices and mean annual precipitation of the  $S_1$  palaeosol and yellow-brown forest soil. The bar charts for Sihong, Liuhe and Jurong in Jiangsu and Hanzhong in Shannxi represent the profile horizon thickness of modern subtropical yellow-brown forest soil. The bar charts for Yangjiawan, Yangwancun and Hejiacun represent the thickness of the  $S_1$  palaeosol layer. Key to fill patterns: 1, migration distance of the CaCO<sub>3</sub> illuvial horizon from the upper boundary of the argillic multi-fracture horizon and the lower boundary of the illuvial horizon of CaCO<sub>3</sub> concretions; 2, migration distance of Fe<sub>2</sub>O<sub>3</sub> from the bottom boundary of the argillic horizon to the lower boundary of red argillans; 3, thickness of the weathered and leached loess horizon; 4, the average annual precipitation curve.

salts, but the process has been found to be slow (Huang *et al.*, 1998). Results from some of our earlier research showed that adsorbed water can dissolve soluble salts but only negligible amounts of carbonate, and that this type of water migrates slowly and does not cause  $CaCO_3$  to precipitate (Zhao, 2003; Zhao *et al.*, 2014).

From measurements of the depth of gravitational water in Xi'an, Luochuan and Changwu in 2003 (Chen *et al.*, 2006a; Liu *et al.*, 2010; Zhao *et al.*, 2011), which was a wet year, we can conclude that when  $S_1$  developed, the depth of gravitational water should have been at the lower boundary of the  $CaCO_3$  illuvial layer. In the three  $S_1$  profiles, the lower boundary depth of  $CaCO_3$  and Sr migration is 4.2 m from the top of  $S_1$ , indicating that gravitational water reached at least that depth (Figure 9).

The leaching fractures in the weathered loess horizon resulted in the migration of soluble components and the transport of colloidal  $Fe_2O_3$ . Transport is favoured in soils with large gravitational water contents (Zhao *et al.*, 2014). These fractures extend downwards from the top of the palaeosol to the upper boundary of the Ck horizon (Figure 3a,b), a depth of ~4 m. Therefore, the weathered

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Sites Soil or palaeosol	Yangjiawan S <sub>1</sub>	Yangwancun S <sub>1</sub>	Hejiacun S <sub>1</sub>	Sihong Ye	Liuhe Ye	Jurong Ye
Migration depth of CaCO <sub>3</sub> / m	4.0	4.3	4.4	2.2	3.4	4.5
Migration depth of $Fe_2O_3$ / m	1.0	1.0	1.2	0.3	1.2	1.6
Thickness of weathered parent loess / m	2.2	2.3	2.6	0.3	2.0	3.0
Mean annual precipitation / mm	1000	1000	1100	890	1050	1100
Mean annual temperature / °C	16	16	16	15	16	16

Table 7 Migration depth of  $CaCO_3$  and  $Fe_2O_3$ , thickness of weathered parent losss and climate of  $S_1$  palaeosol and modern subtropical soils

Migration depth of  $CaCO_3$  refers to vertical distance between the upper boundary of the Ck horizon and the upper boundary of the Bt horizon. Migration depth of  $Fe_2O_3$  refers to vertical distance between the upper boundary of distribution of red ferri-argillans and the lower boundary of the Bt horizon. Ye represents modern subtropical yellow-brown forest earth.

and leached loess horizons also indicate that gravitational water reached a depth of at least 4 m (Figure 9).

The argillans (Figures 3g,h, 6) with optical properties are well developed in the  $S_1$  palaeosol, and the formation of the argillans required soil water content to be close to saturation (Fedoroff & Glodberg, 1982; Guo & Liu, 1996). The porosity of the Bt horizon in the  $S_1$  palaeosol is about 46% (Table 6), which shows that palaeo-moisture content in the Bt horizon was about 46% in the rainy season.

The gravitational water content in modern silt soils from the Guanzhong Plain and the Loess Plateau at depths of 0-4.2 m is ~ 22% during the growing seasons of rainy years (Liu *et al.*, 2010; Zhao *et al.*, 2011). This can be taken as an approximation of the gravitational water content during the period of S<sub>1</sub> development. Although gravitational water becomes adsorbed water as sorption proceeds, the change occurs gradually and adsorbed water in the soil is still large, usually ~ 16% over a depth range of 4.3–6.0 m (Chen *et al.*, 2006a; Liu *et al.*, 2010; Zhao *et al.*, 2011). This suggests that when S<sub>1</sub> developed, the soil water content was large between 0 and 6 m (Figure 9).

Total soil moisture includes both available water and water tightly sorbed to particles, which is unavailable and called 'bound' water (Yang & Shao, 2000). The latter cannot be absorbed and utilized by plants, thus the term 'available water' refers to the total soil water content minus the bound water. In the Guanzhong Plain, the bound water content of loess is ~8% (Yang & Shao, 2000; Zhao *et al.*, 2011). Assuming a total soil water content of 22%, which is the average from 0 to 4.2 m as discussed above, one can show that the available water content after all kinds of consumption during the development of the S<sub>1</sub> palaeosol was ~14%.

Studies of carbon isotopes have led some researchers to suggest that the biomes were mainly grasslands and forest-steppes in Lantian from 800 ka (Lin & Liu, 1992). A large soil water content of 22% indicates that broad-leaved forests probably dominated the plant communities during the development of the S<sub>1</sub> palaeosol.

### Climate during development of the $S_1$ palaeosol

The argillans of the  $S_1$  palaeosol are reddish-brown in colour (Figure 3c,d), and this is a feature common to subtropical soils (Fedoroff & Glodberg, 1982; Guo & Liu, 1996). The rubefaction

of the soil presumably occurred when the ambient temperature and precipitation were both high because abundant precipitation favours mineral hydrolysis, and higher temperatures can accelerate rates of chemical reaction. Under warm and humid conditions, iron-containing silicates decompose to clay minerals and Fe<sub>2</sub>O<sub>3</sub>, which makes the soil appear red. According to a study by Xiong & Li (1987), there is no indication of rubefaction in semi-humid and semi-arid temperate areas of northern China because of the low temperatures and scant precipitation, but soils in southern China generally do develop the reddish colour.

The depths of migration of  $CaCO_3$ , red ferri-argillans, and the thickness of the weathered and leached loess horizons observed in the S<sub>1</sub> profile were greater than those in the modern Luvisols from Sihong and Liuhe in Jiangsu Province (Figures 3a–d, 10, Table 7), which are in the northern and the middle parts of the north subtropics, respectively (Xiong & Li, 1987). The depths of migration or of development of the four indictors in the S<sub>1</sub> profile were less than those in the modern Luvisols from Jurong with more precipitation (Figure 10, Table 7), which are in the southern part of the north subtropics (Xiong & Li, 1987). These macroscopic features observed are consistent with the experimental results of CaCO<sub>3</sub> and Sr contents, and so we can conclude that the climate during the development of S<sub>1</sub> was wetter than that of the Luvisols in Sihong and Liuhe.

The average annual temperature and precipitation at Liuhe at present are 15.1°C and ~ 990 mm, respectively (Xiong & Li, 1987). From this we can infer that the mean annual temperature on the Guanzhong Plain when the  $S_1$  palaeosol developed was probably 15–16°C, and the mean annual precipitation was ~ 1000 mm. This suggests that the climate in our study area was subtropical when  $S_1$  developed, and because of this, there can be little doubt that the Qinling Mountains did not act as a boundary between the subtropical and temperate climate zones at that time.

### Water balance during the development of the $S_1$ palaeosol

Years of observations have shown that when the mean annual rainfall on the relatively flat Loess Plateau region is 600 mm, the total annual evaporation and precipitation for woodland soils are roughly in balance (Yang & Shao, 2000); that is, evaporation, transpiration and canopy interception, as well as runoff, are almost equal to the rainfall (Yang & Shao, 2000).

On the other hand, the soil-water balance is positive when the annual rainfall is > 600 mm on the Loess Plateau. Conversely, the soil-water balance is negative when the average annual rainfall is < 600 mm (Yang & Shao, 2000). It is generally agreed that leached soils develop in areas where the mean annual precipitation is greater than the total annual water consumption (Xiong & Li, 1987).

Data presented above (Figures 3, 5, 8, Table 7) show that the  $S_1$  palaeosol was strongly leached; therefore, the annual average rainfall was probably > 900 mm when  $S_1$  developed. Furthermore, the annual average rainfall exceeded total water consumption when the  $S_1$  palaeosol developed.

Studies of chemical migration in Chinese loess suggest that it is likely that the annual precipitation is greater than total water consumption when the CaCO<sub>3</sub> illuvial layer of a soil is more than 2 m deep (Xiong & Li, 1987; Zhao *et al.*, 2014). The CaCO<sub>3</sub> illuvial layer of the S<sub>1</sub> palaeosol developed at a depth of 4.2 m. This indicates that annual precipitation was considerably greater than total water consumption and that the water balance was positive when S<sub>1</sub> formed.

### Conclusions

Weathered and leached loess layers and leached fractures, the depths of distribution of the illuvial  $CaCO_3$  horizon, Sr and reddish-brown ferri-argillans provide important indicators of the palaeo-environment. They all provide information related to mean annual precipitation, soil water content and the depth of distribution of gravitational water when the S<sub>1</sub> palaeosol developed. Our analyses indicate that a subtropical climate prevailed in the Guanzhong Plain when the S<sub>1</sub> palaeosol developed. The moisture input to the soil was considerably more than that used or lost, and the water balance was positive at that time and provided enough water for forests to grow.

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