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## Widespread occurrence of distinct alkenones from Group I haptophytes in freshwater lakes: Implications for paleotemperature and paleoenvironmental reconstructions

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## ABSTRACT

Alkenones are C<sub>35</sub>–C<sub>42</sub> polyunsaturated ketone lipids that are commonly employed to reconstruct changes in sea surface temperature. However, their use in coastal seas and saline lakes can be hindered by species-mixing effects. We recently hypothesized that freshwater lakes are immune to species-mixing effects because they appear to exclusively host Group I haptophyte algae, which produce a distinct distribution of alkenones with a relatively consistent response of alkenone unsaturation to temperature. To evaluate this hypothesis and explore the geographic extent of Group I haptophytes, we analyzed alkenones in sediment and suspended particulate matter samples from lakes distributed throughout the mid- and high latitudes of the Northern Hemisphere ( $n = 30$ ). Our results indicate that Group I-type alkenone distributions are widespread in freshwater lakes from a range of different climates (mean annual air temperature range:  $-17.3$ – $10.9$  °C; mean annual precipitation range:  $125$ – $1657$  mm yr<sup>-1</sup>; latitude range:  $40$ – $81$  °N), and are commonly found in neutral to basic lakes (pH > 7.0), including volcanic lakes and lakes with mafic bedrock. We show that these freshwater lakes do not feature alkenone distributions characteristic of Group II lacustrine haptophytes, providing support for the hypothesis that freshwater lakes are immune to species-mixing effects. In lakes that underwent temporal shifts in salinity, we observed mixed Group I/II alkenone distributions and the alkenone contributions from each group could be quantified with the RIK<sub>37</sub> index. Additionally, we observed significant correlations of alkenone unsaturation (U<sub>37</sub><sup>K</sup>) with seasonal and mean annual air temperature with this expanded freshwater lakes dataset, with the strongest correlation occurring during the spring transitional season (U<sub>37</sub><sup>K</sup> =  $0.029 * T - 0.49$ ;  $r^2 = 0.60$ ;  $p < 0.0001$ ). We present new sediment trap data from two lakes in

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northern Alaska (Toolik Lake, 68.632°N, 149.602°W; Lake E5, 68.643°N, 149.458°W) that demonstrate the highest sedimentary fluxes of alkenones in the spring transitional season, concurrent with the period of lake ice melt and isothermal mixing. Together, these data provide a framework for evaluating lacustrine alkenone distributions and utilizing alkenone unsaturation as a lake temperature proxy.

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## 1. Introduction

Long-chain alkenones (LCAs) are C<sub>35</sub>–C<sub>42</sub> aliphatic unsaturated ketones that are produced by a relatively limited number of species from the Isochrysidales order of Haptophyte algae. LCAs are globally distributed in oceans, estuaries and inland lakes. They have been studied extensively because the degree of LCA unsaturation is well correlated with the temperature of the water in which the lipids are produced, providing the basis for the widely used U<sub>37</sub><sup>K</sup> and U<sub>37</sub><sup>K'</sup> temperature proxies (Brassell et al., 1986; Prahl and Wakeham, 1987).

In the global oceans, LCA production is dominated by two closely related haptophyte species – *Emiliana huxleyi* and *Gephyrocapsa oceanica* (Volkman et al., 1980, 1995; Conte et al., 1998) – that are phylogenetically classified as Group III haptophytes (Theroux et al., 2010). Algal cultures of various strains of these organisms demonstrated that the temperature sensitivity of LCA unsaturation could vary as a function of the producing species (Prahl and Wakeham, 1987; Volkman et al., 1995). However, global core-top and water column calibrations of U<sub>37</sub><sup>K'</sup> vs. sea surface temperature (SST) indicated that temperature exerts a strong first-order control on the index in most open marine settings (Müller et al., 1998; Conte et al., 2006). This suggests that changes in species composition – defined here as “species effects” – generally do not impair marine SST reconstructions.

Coastal seas, estuaries and lakes, however, contain several different species of LCA-producing haptophyte algae that collectively exhibit more genetic diversity than their open marine relatives (Coolen et al., 2004; D'Andrea et al., 2006; Theroux et al., 2010; Bendif et al., 2013). Different haptophyte species often display disparate temperature sensitivities and LCA distributions (Prahl et al., 1988; Volkman et al., 1995; Sun et al., 2007; Ono et al., 2012; Nakamura et al., 2014, 2016; D'Andrea et al., 2016; Longo et al., 2016), which have caused species effects on LCA-based temperature reconstructions in saline lakes and coastal waters (Randlett et al., 2014; Wang et al., 2015; Warden et al., 2016). The diversity of LCA-producing haptophytes in these environments necessitates that species effects and associated ecological factors (e.g., production seasonality) be accounted for before LCA-based temperature reconstructions are pursued (e.g. Wang et al., 2015).

Recent observations have suggested that LCAs from freshwater lakes feature distinct distributions (Longo et al., 2016; Song et al., 2016) and are produced by a specific phylogenetic clade of haptophyte algae – the so-called Group I phylotype (D'Andrea et al., 2006; Theroux et al., 2010; Longo et al., 2016). Group I haptophyte species have yet to be physically described, however genetic and geochemical data have shown that these organisms produce a highly specific LCA distribution (Longo et al., 2013; Dillon et al., 2016) with a narrow range of temperature sensitivities across sites (D'Andrea et al., 2016; Longo et al., 2016). These findings prompted the hypotheses that freshwater lakes are potentially immune to species effects and furthermore, that new LCA indices involving the Group I-specific tri-unsaturated isomeric LCAs (RIK<sub>37</sub> and RIK<sub>38E</sub>) could be used to identify and quantify species mixing in sedimentary records (Longo et al., 2016). These indices would thereby establish metrics to assess the validity of LCA-based temperature estimations and concurrently reconstruct salinity-induced

shifts in haptophyte species assemblages. Longo et al. (2016) introduced and provided support for these hypotheses from a number of Arctic lakes in northern Alaska, yet they remain to be tested on a larger scale. Here, we address these hypotheses by investigating LCA distributions in sediments and suspended particulate matter (SPM) samples from lakes distributed throughout the mid- to high latitudes of the Northern Hemisphere. Concurrently, we provide an assessment of LCA occurrence, temperature sensitivity and production seasonality in freshwater lakes.

## 2. Methods

### 2.1. Samples and sample preparation

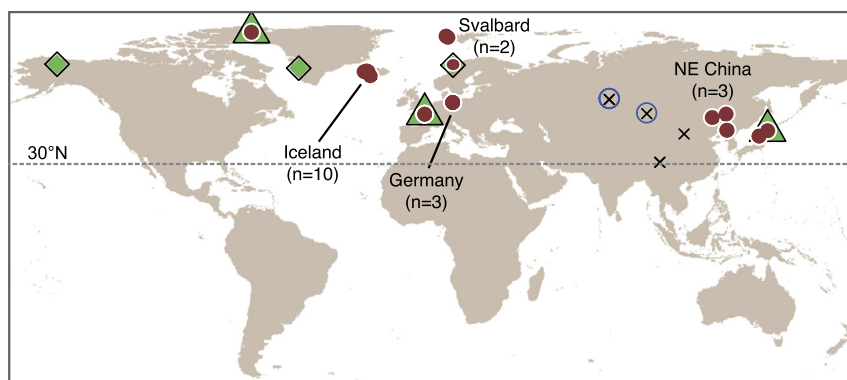
Samples were obtained from a number of sources including SPM, sediment traps, surface sediments and archived sediment cores (Fig. 1; Tables 1, S1).

#### 2.1.1. Suspended particulate matter

SPM samples were collected from Lake Ichino-megata, Japan (39.96°N, 139.74°E) on May 1, 2013 (G-09) and June 1, 2013 (G-10) by filtration of lake water (20 L) through glass fiber (GF/F) filters. Filters were freeze-dried and lipids were extracted with dichloromethane:methanol (9:1; v/v) using an automated solvent extraction system (100 °C and 1500 psi). The extracts were saponified in 0.5 mol L<sup>-1</sup> KOH in methanol at 80 °C for 2 h. The neutral fraction was separated into sub-fractions by silica-gel column chromatography using an automated sample preparation system (Rapid Trace SPE Workstation, Zymark Corp., Hopkinton, MA, USA). The solvents and sub-fractionation steps were the same as described previously (Harada et al., 2003). SPM samples were processed at the Japan Agency for Marine-Earth Science and Technology and shipped to Brown University, USA for LCA analysis.

#### 2.1.2. Surface sediments and archived sediment core and sediment trap samples

Surface sediments were collected from lakes in Northeastern China, Germany, France, Japan and Inner Mongolia. Surface sediments were collected as the top 0–1 or 0–2 cm of sediment obtained from sediment cores collected by gravity or pole coring devices, or as Ekman grab samples. Whenever possible, sediment samples were collected from the deepest point in the lake, in order to provide an integrated signal of water column LCA production. Sediments were freeze-dried and shipped to Brown University for further processing. Extraction and purification were carried out using standard methods (Longo et al., 2016), plus an additional purification with silver-thiolate functionalized silica gel for samples that featured complex matrices. Briefly, freeze-dried sediments were extracted with dichloromethane:methanol (9:1, v/v) using a Dionex™ accelerated solvent extraction (ASE) system (120 °C and 1200 psi). The extracts were separated into acid and neutral fractions by flash column chromatography with Supelco Supelclean LC-NH<sub>2</sub> (45 μm, 60 Å). Neutral compounds were eluted with dichloromethane/isopropanol (2:1, %v/v), followed by acidic compounds with 4% glacial acetic acid in ethyl ether. The neutral fractions were further separated into alkane, ketone and polar fractions by flash column chromatography using silica gel (40–63 μm, 60 Å) and eluting with hexane, dichloromethane and methanol, respectively. The ketone fraction was saponified, then purified again



**Fig. 1.** Map showing the location of all LCA-containing samples analyzed in this study. Samples from freshwater lakes are marked with filled red circles; samples from saline lakes are marked with unfilled blue circles; samples from freshwater lakes with phylogenetically confirmed Group I LCA producers are marked with green triangles. Samples from previous studies with phylogenetically confirmed Group I haptophytes and Group I-type LCA distributions are marked with green diamonds (D'Andrea et al., 2006, 2016; Longo et al., 2013, 2016). Lakes analyzed that did not contain LCAs are marked with an "x." The 30°N parallel is shown to illustrate the northern mid- and high latitude spatial extent of the dataset. (For interpretation of the colors in the figure(s), the reader is referred to the web version of this article.)

**Table 1**

Lakes and samples analyzed in this study with water chemistry and LCA distribution parameters<sup>a</sup>.

ID	Lake name	Lat.	Long.	Sample type	pH	Salinity classification	LCA occurrence/distribution	U <sub>37</sub> <sup>K</sup>	RIK <sub>37</sub>	RIK <sub>38E</sub>	C <sub>37</sub> /C <sub>38</sub>	%C <sub>37:4</sub>
G-01	Baejarvotn	65.73	−21.43	core	7.4	fresh	Group I	−0.27	0.49	0.33	1.22	35.09
G-02	Breiter Luzin	53.35	13.46	SS	8.7	fresh	Group I	−0.45	0.64	0.48	1.53	49.50
G-03	Erlongwan	42.30	126.38	SS	8.7	fresh	Group I	−0.35	0.56	0.27	1.06	45.35
G-04	Étang des Vallées	48.69	1.92	SS	7.2	fresh	<b>Group I<sup>b</sup></b>	−0.32	0.60	0.57	1.54	36.47
G-05	Feldberger Haussee	53.35	13.45	SS	8.9	fresh	Group I	−0.40	0.63	0.40	1.19	46.69
G-06	Hajeren	79.26	11.52	core	6.6	fresh	Group I	−0.42	0.54	0.00	1.02	49.09
G-07	Hakluyvatnet	79.77	10.74	core	5.9	fresh	Group I	−0.60	0.49	0.15	1.03	62.18
G-08	Hakluyvatnet	79.77	10.74	core	5.9	fresh	Group I	−0.62	0.51	0.11	0.94	63.19
G-09	Ichi-no-Megata	39.95	139.74	SPM	7.2	fresh	Group I	−0.47	0.60	0.33	1.38	52.70
G-10	Ichi-no-Megata	39.95	139.74	SPM	7.2	fresh	Group I	−0.48	0.59	0.44	3.33	53.61
G-11	Lake Toyoni	42.09	143.27	SS	7.2	fresh	<b>Group I<sup>b</sup></b>	−0.48	0.54	0.17	0.84	51.06
G-12	Schmaler Luzin	53.32	13.44	SS	8.5	fresh	Group I	−0.42	0.63	0.45	1.39	46.02
G-13	Skufnavotn	65.89	−22.12	core	ND	fresh	Group I	−0.45	0.48	0.15	0.69	49.24
G-14	Svartagilsvatn	65.85	−21.88	core	ND	fresh	Group I	−0.59	0.55	0.08	0.75	63.39
G-15	Upper Murray Lake	81.33	−69.50	core	8.2	fresh	<b>Group I<sup>b</sup></b>	−0.55	0.57	0.30	0.88	59.83
G-16	Vatnsdalsvatn	65.61	−23.11	core	6.7	fresh	Group I	−0.63	0.58	0.16	0.94	62.91
G-17	Vestre Gisholtsvatn	63.95	−20.52	core	7.7	fresh	Group I	−0.57	0.60	0.23	0.97	57.29
G-18	Vikvatnet	68.20	13.58	ST	7.0	fresh	<b>Group I<sup>b</sup></b>	−0.25	0.60	0.48	1.07	32.10
G-19	Wudaliangchi	48.73	126.17	SS	7.8	fresh	Group I	−0.49	0.60	0.15	1.08	55.00
G-20	Xianhe	47.36	120.45	SS	7.8	fresh	Group I	−0.39	0.54	0.25	0.98	45.33
G-21	Khargis Nuur	49.20	93.40	SS	9.4	mesohaline	Group II	0.20	1.00	1.00	3.43	0.00
G-22	Yarkov Basin of Chany Lake	54.94	77.98	core	7.2	mesohaline	Group II	0.25	1.00	1.00	0.98	0.00
G-23	Yarkov Basin of Chany Lake	54.94	77.98	core	7.2	mesohaline	Mixed I/II	−0.18	0.76	0.52	1.23	34.11
G-24	Airag Nuur	48.90	93.47	SS	9.6	mesohaline	ND					
G-25	Baejarvotn	65.73	−21.43	core	7.4	fresh	ND					
G-26	Haukadalsvatn	65.05	−21.63	core	7.7	fresh	ND					
G-27	Hestvatn	64.01	−20.72	core	7.8	fresh	ND					
G-28	Hestvatn	64.01	−20.72	core	7.8	fresh	ND					
G-29	Hvitarvatn	64.60	−19.83	core	7.5	fresh	ND					
G-30	Hvitarvatn	64.60	−19.83	core	7.5	fresh	ND					
G-31	Kotuvatn	66.06	−21.87	core	ND	fresh	ND					
G-32	Lake Mongco	29.53	98.84	core	ND	fresh	ND					
G-33	Laugabolsvatn	65.98	−22.67	core	7.6	fresh	ND					
G-34	Longhupao	46.72	124.38	SS	8.4	fresh	ND					
G-35	Small Chany Lake	54.55	77.98	core	8.9	oligohaline	ND					
G-36	Small Chany Lake	54.55	77.98	core	8.9	oligohaline	ND					
G-37	Wuliangshuai	40.82	108.85	SS	7.8	oligohaline	ND					

SS = Surface sediment.

SPM = Suspended particulate matter.

ST = Sediment trap.

ND = Not detected (for LCAs) or no data (for environmental data).

<sup>a</sup> Core sample depths, climate data, environmental data and references for samples can be found in Table S1.

<sup>b</sup> Lake with phylogenetically confirmed Group I LCA producer.

by elution through a silica gel column with dichloromethane before analysis by GC-MS and GC-FID. When co-eluting compounds were present in the LCA region of a given chromatogram, samples were re-purified by way of silver-thiolate functionalized silica gel (AgTCM; Aponte et al., 2012) using flash column chromatog-

raphy (Zheng et al., 2017). Saponified ketone fractions purified in this manner were eluted through a 5 cm column of AgTCM with solvents of increasing polarity (hexane:dichloromethane [1:1], dichloromethane, and acetone). LCAs eluted in the acetone fraction and were re-analyzed by GC-FID and GC-MS. Nine samples

required AgTCM purification: G-01, G-02, G-04, G-05, G-12, G-13, G-14, G-16, G-17.

Sediment core or sediment trap samples archived from previous studies were either obtained from the University of Minnesota National Lacustrine Core Facility (LacCore), Minneapolis, Minnesota, USA, or directly from collaborators. Information on archived samples can be found in references listed in Table S1. Most archived samples were shipped to Brown University as freeze-dried sediments and processed in the same manner as the surface sediments. A portion of the samples underwent initial processing elsewhere, using analogous methods and were shipped to Brown University as lipid extracts. Samples G-06–G-08 and G-18 were processed at Lamont Doherty Earth Observatory, USA; samples G-21–G-24 and G-35 and G-36 were processed at the University of Hong Kong, Hong Kong.

### 2.1.3. Sediment trap collections

We also analyzed sediments collected in sediment traps from Toolik Lake (2013 and 2014; 68.632°N, 149.602°W) and Lake E5 (2014; 68.643°N, 149.458°W) to investigate the seasonality of LCA production. Accompanying water column and surface sediment LCA data for these lakes can be found in Longo et al. (2016). Sediment traps were initially deployed during full or partial spring season ice cover and were fixed 2 m above the lake bottom. Sediment traps were collected every 2 to 6 weeks during the ice-free season (June–September) to afford time series of LCA fluxes to the sediment. Sediment trap samples were processed in the same manner as surface sediment samples.

### 2.2. Analytical methods

All LCAs reported in this study were analyzed with an Agilent 7890B GC system equipped with a flame ionization detector (FID) and an Agilent VF-200ms capillary column (60 m × 250 μm × 0.10 μm). The analytical methods were identical to those used by Longo et al. (2016), which took advantage of improved LCA separation from the mid-polarity GC stationary phase (Longo et al., 2013). Briefly, internal standard (18-pentatricontanone) was added to the purified neutral fractions, which were dissolved in hexane and introduced to the GC system using pulsed splitless injection (20 psi at 320 °C) and a splitless single-taper liner with glass wool. H<sub>2</sub> was used as the carrier gas and the column flow rate was 36 cm s<sup>-1</sup>. The following oven program was used: initial temperature of 60 °C (hold 1 min), ramp 20 °C/min to 255 °C, ramp 3 °C/min to 320 °C (hold 10 min). LCA peak identification was accomplished by retention time comparison with a standard containing the 16 LCAs considered in this study and by GC-MS, performed using an Agilent 6890N GC system coupled to an Agilent 5973N quadrupole mass spectrometer. The GC conditions used for GC-MS analysis were the same as those used for GC-FID. The MS was set to an ionization energy of 70 eV and a scan range of 40–600 *m/z*. Quantitation of LCAs was accomplished by GC-FID using a single point internal standard method. Analytical precision was determined based on replicate analyses of samples and standards. The following analytical errors for the U<sub>37</sub><sup>K</sup> index as well as LCA distribution parameters are reported here as ±1SD (±SE): U<sub>37</sub><sup>K</sup>, 0.004 (0.0005); C<sub>37</sub>/C<sub>38</sub>, 0.015 (0.002); %C<sub>37:4</sub>, 0.003 (0.0004); RIK<sub>37</sub>, 0.002 (0.0003); RIK<sub>38E</sub>, 0.035 (0.0051).

### 2.3. Alkenone distribution parameters

Here we focus on four LCA distribution parameters for the purposes of quantitatively comparing and classifying LCA distributions in environmental samples. Traditional distribution parameters include %C<sub>37:4</sub> (%C<sub>37:4</sub> = 100\*(C<sub>37:4</sub>)/[C<sub>37</sub> LCAs]); Rosell-Melé, 1998) and C<sub>37</sub>/C<sub>38</sub> (C<sub>37</sub>/C<sub>38</sub> = [C<sub>37</sub> LCAs]/[C<sub>38</sub> LCAs]; Volkman et al.,

1995). We also considered LCA distribution parameters describing the tri-unsaturated isomeric LCAs, RIK<sub>37</sub> and RIK<sub>38E</sub> (Longo et al., 2016),

$$RIK_{37} = \frac{[C_{37:3a}]}{[C_{37:3a} + C_{37:3b}]} \quad (1)$$

$$RIK_{38E} = \frac{[C_{38:3aEt}]}{[C_{38:3aEt} + C_{38:3bEt}]} \quad (2)$$

where the “a” and “b” subscripts refer to the Δ<sup>7,14,21</sup> and Δ<sup>14,21,28</sup> tri-unsaturated LCAs, respectively (Longo et al., 2016).

### 2.4. Alkenone distribution synthesis data

Various datasets from algal culturing studies were compiled to determine species- and/or phylotype-specific LCA distribution parameters for comparison with the Northern Hemispheric freshwater lake dataset. We prioritized studies that i) reported concentration or fractional abundance data for all individual LCAs observed in the samples and ii) varied environmental and biological conditions (primarily growth phase and salinity) in addition to temperature, in order to best capture the full range of variability in LCA distribution parameters. Ranges for *E. huxleyi* distribution parameters were determined from strains B21, G1779Ga, M181, S. Africa and Van556 reported by Conte et al. (1998); strain Van556 reported by Longo et al. (2016); and strain NEP reported by Prahll et al. (1988). *G. oceanica* distribution parameters were determined from strain AB1 reported by Conte et al. (1998) and Strain JB02 reported by Volkman et al. (1995). *I. galbana* distribution parameters were determined from strains CCMP1323 reported by Longo et al. (2016) and strain UTEX LB 2307 reported by Ono et al. (2012). *Ruttnera lamellosa* distribution parameters were determined from strains CCMP1307 reported by Longo et al. (2016) and Nakamura et al. (2014); and strain LX reported by Sun et al. (2007). *Ti-sochrysis lutea* distribution parameters were determined from strain CCMP463 reported by Longo et al. (2016) and Nakamura et al. (2016); and strain NIES-2590 reported by Nakamura et al. (2016).

Because Group I haptophyte species have never been isolated and grown in culture, we report Group I distribution parameters based on environmental samples from lakes where phylogenetic analyses (based on 18S ribosomal RNA; Coolen et al., 2004) indicate Group I haptophytes are the LCA-producers. These samples include the *in situ* calibration data from Longo et al. (2016); phylogenetic analysis from Crump et al., 2012); surface sediment from Upper Murray Lake (LCAs analyzed for this study; phylogenetic analysis from Theroux et al., 2010); surface sediment from Lake Toyoni (LCAs analyzed for this study; phylogenetic analysis from McColl, 2016); Étang des Vallées (LCAs analyzed for this study; phylogenetic analysis from Simon et al., 2013); and Braya Sø (LCA analysis from Longo et al., 2013; phylogenetic analysis from D’Andrea et al., 2006).

### 2.5. Climate data and temperature regressions

Climate data for all sites were extracted from the WorldClim Global Climate Database (worldclim.org; Hijmans et al., 2005) using the Senckenberg data extraction tool (dataportal-senckenberg.de/dataExtractTool). WorldClim data are derived from monthly temperature and precipitation data compiled from globally distributed weather stations by the Global Historical Climatology Network, the World Meteorological Organization, the Food and Agriculture Organization of the United Nations, and others. Data are interpolated to 30 arc sec grids and corrected for elevation using NASA Shuttle Radar Topography Mission elevation data and established lapse rates.

Higher resolution climate data for sediment trap time series were downloaded from the Toolik Field Station Environmental Data Center (Environmental Data Center Team, 2017). Air temperatures were measured hourly at 5 m height using a Campbell Scientific HMP155A-L Temperature Probe. Lake temperatures were measured in 3-hourly time steps in Toolik Lake using a Campbell Scientific 107 Temperature Probe, fixed ~2 m below the lake surface. In the case of Lake E5, lake temperatures taken at 2 m depth were measured periodically throughout the season by the Arctic Long Term Ecological Research program (ARC LTER Database, 2016) using a Hach Hydrolab water column sensor array.

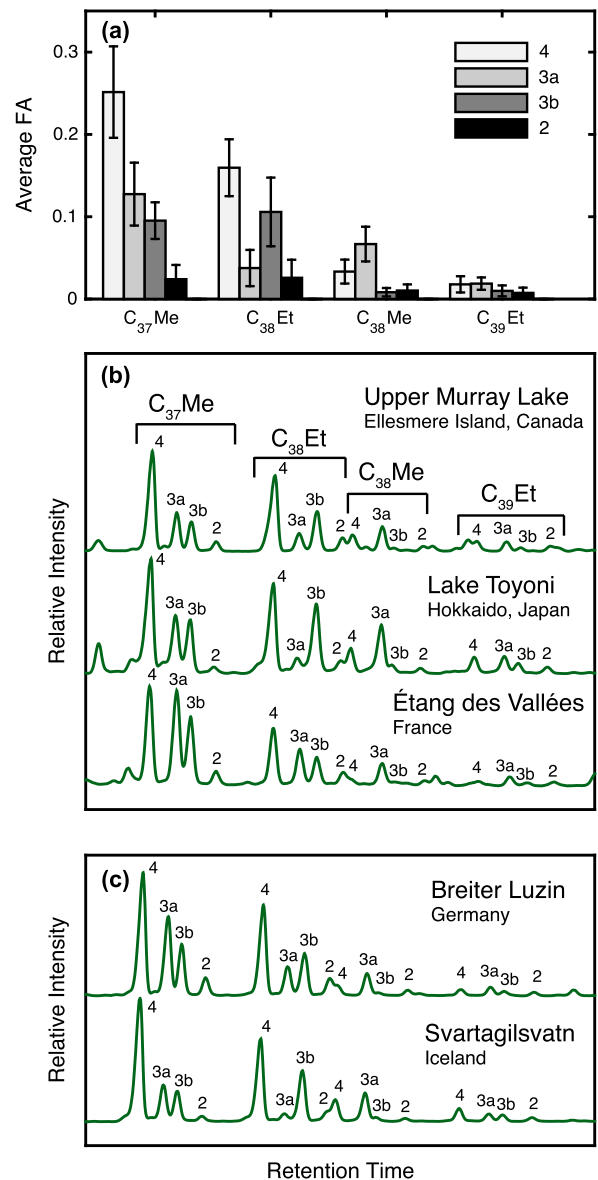
For the purposes of temperature regression with the Northern Hemispheric dataset, we explored and used various WorldClim data products including mean monthly temperatures and bioclimatic variables. We focused on mean annual air temperature (MAAT), mean temperature of the spring isothermal season (average temperature of the four months centered on the spring isotherm; MTSI), and mean temperature of the warmest quarter (MTWQ). MTSI represents the spring melt or ice-off season, which has been shown to coincide with high LCA production in freshwater lakes (Longo et al., 2016). In the case of Étang des Vallées, mean monthly temperatures remained above zero all year, and the four months beginning with the coldest month of the year were used for MTSI. Climate zone classifications are according to the Köppen classification system. Water chemistry and lake morphometric data were compiled from published sources referenced in Table S1.

Linear regressions of various temperature metrics vs. unsaturation indices were performed on a subset of the freshwater lakes dataset (Table S2) including all surface sediments and all sediment core samples from <5 cm depth below the lake floor (cmblf). Deeper core samples, core samples with poorly constrained cmblf, and SPM samples were omitted because they are not representative of the decadal-averaged contemporary temperatures from the WorldClim database. We also included 8 representative fresh and oligohaline lacustrine surface sediment samples with Group I-type distributions (RIK<sub>37</sub> <0.63) from northern Alaska and interior Canada (Longo et al., 2016), and 5 oligohaline lacustrine surface sediments from Greenland that have phylogenetically confirmed Group I LCA-producers (D'Andrea and Huang, 2005).

### 3. Results and discussion

#### 3.1. Confirmation of distinct alkenone distributions from Group I haptophytes

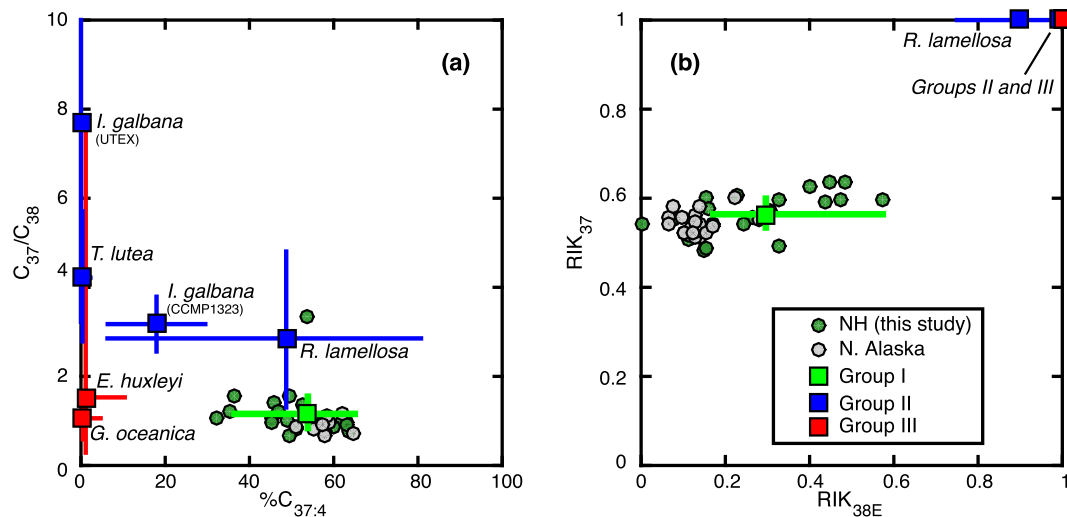
New LCA analyses from three lakes that host LCA-producers from the Group I phylotype (confirmed by phylogenetic analysis of 18S ribosomal RNA) support the hypothesis that these organisms produce a specific LCA distribution. Lake Toyoni (G-11), Upper Murray Lake (G-15), and Étang des Vallées (G-04) were previously shown to host Group I haptophytes (Theroux et al., 2010; Simon et al., 2013; Longo et al., 2016; McColl, 2016). Our new LCA analyses revealed that their surface sediments all featured Group I-type LCA distributions, characterized by abundant C<sub>37:4</sub>, the presence of C<sub>38:3</sub>Me LCAs (D'Andrea and Huang, 2005; D'Andrea et al., 2006), and the full complement of 16 C<sub>37</sub>–C<sub>39</sub> LCAs including 4 tri-unsaturated isomers with  $\Delta^{14,21,28}$  double bond positioning (Tables 1, S1; Fig. 2b). Previous studies describing Group I-type LCA distributions were restricted to samples collected in Arctic lakes (Longo et al., 2013, 2016; D'Andrea et al., 2016). The addition of samples from lakes in continental and temperate climates (Lake Toyoni and Étang des Vallées) suggest that these LCA distributions are derived from phylotype-specific biosynthetic pathways that are not physiologically restricted to cold environments.



**Fig. 2.** (a) Mean fractional abundance of LCAs in all freshwater lake samples analyzed in this study (Table 1). Error bars represent  $\pm 1SD$ . Also shown are partial gas chromatograms of LCAs in (b) surface sediments with phylogenetically confirmed Group I haptophyte producers and (c) sediments from German (Zink et al., 2001) and Icelandic freshwater lakes.

The distinctive LCA distribution shared by Group I haptophytes is intriguing considering that the phylotype, which appears to include the “Greenland Haptophyte” clade (D'Andrea et al., 2006; Theroux et al., 2010) as well as the “EV” clade (Simon et al., 2013), contains considerable genetic diversity. Phylogenetic analyses combining several EV sequences with a *Braya SØ* water column sequence from Greenland indicate more genetic variation within the Group I phylotype than is observed between Group I and its adjacent Group II phylotype (Longo et al., 2016), which commonly occurs in saline lakes (Coolen et al., 2004, 2013). This suggests there are likely subgroups within Group I that may be adapted to different environmental conditions. Currently, however, too few environmental DNA sequences exist to quantitatively test this hypothesis.

Group I LCA distributions are consistent despite genetic variation within the phylotype potentially because the aspects of LCA biosynthesis that differentiated the Group I distribution evolved early in the clade's divergence from its marine ancestors. Later genetic differentiation occurring after freshwater lakes were col-



**Fig. 3.** LCA distribution parameters for surface sediments from the Northern Hemispheric and northern Alaskan (Longo et al., 2016) freshwater lakes datasets. LCA distribution parameters are also plotted by haptophyte species and phylotype based on our synthesis of published culture data (section 2.4). Error bars represent the ranges in distribution parameters and square points represent mean values. (a) Traditional distribution parameters ( $\%C_{37:4}$  and  $C_{37}/C_{38}$ ) are insufficient for differentiating *R. lamellosa* distributions from the Group I phylotype. (b) The RIK<sub>37</sub> and RIK<sub>38E</sub> indices fully differentiate all species and phylotypes from the Group I phylotype.

onized by Group I, potentially was not associated with changes in LCA biosynthesis and therefore allowed for the Group I distribution to persist even as the Group I haptophytes continued to disperse and evolve. This explanation would be corroborated by the phylogenetic evidence that the divergence of the monophyletic EV clade represents a discrete colonization of freshwater environments by marine haptophytes (Simon et al., 2013). Furthermore, a potential driver of the significant genetic diversity within Group I could be that the organisms are symbionts and therefore have accelerated evolutionary rates (Simon et al., 2013). To determine the true genetic diversity of the Group I phylotype and further test for its effects on LCA distributions, future studies will need to physically describe Group I haptophytes and subject them to controlled culture experiments.

### 3.2. Alkenone occurrence and distributions in freshwater lakes

Although the pioneering discovery and temperature calibrations for lacustrine LCAs originated from freshwater lakes (Cranwell, 1985; Zink et al., 2001), LCAs are rarely reported from freshwater systems potentially because concentrations can be low compared with saline and oligohaline lakes (Chu et al., 2005; D'Andrea and Huang, 2005; Longo et al., 2016; Plancq et al., 2018). We found that LCAs were relatively common in freshwater lakes from a range of different climate zones (tundra, subarctic, humid continental and temperate oceanic; mean annual air temperature range:  $-17.3$ – $10.9$  °C; mean annual precipitation range:  $125$ – $1657$   $\text{mm yr}^{-1}$ ; latitude range:  $40$ – $81$  °N). Of the 15 freshwater lakes we analyzed that (to our knowledge) had never been investigated for LCAs, 9 of these lakes contained LCAs, which all featured Group I-type distributions (Table 1; Fig. 2).

Longo et al. (2016) found that LCA concentrations from freshwater lakes in Alaska were positively correlated with pH, conductivity, alkalinity and mean depth, and efforts were made to sample lakes with similar or higher pH and mean depth values than the LCA-containing northern Alaskan lakes. We focused some of our sampling on volcanic lakes because the mafic bedrock and basin morphometry of these systems may provide optimal ranges for the aforementioned environmental variables. The four volcanic lakes analyzed in this study all contained LCAs (Erlongwan; Ichinomegata; Wudaliangchi; Xianhe; Table S1). Interestingly, we did not detect LCAs in some lakes with elevated pH (7.4–9.6), and

furthermore, geography did not appear to affect LCA occurrence within our sample set. This suggests that additional variables, such as water chemistry, lake morphometry and mixing dynamics likely play roles in determining the occurrence Group I LCAs through their effects on haptophyte ecology (e.g. Toney et al., 2010; Plancq et al., 2018).

Freshwater lakes that had been previously analyzed for LCAs in Germany (G-02, G-05, G-12; Zink et al., 2001), northeast China (G-19; Chu et al., 2005), Hokkaido, Japan (G-11; McColl, 2016), and Ellesmere Island, Canada (G-15; Theroux et al., 2010) were originally analyzed with methods that did not fully separate LCA distributions. Our new analyses demonstrated that these samples all contained the tri-unsaturated isomers and that their distributions were characteristic of Group I-type haptophytes (Table 1; Fig. 2). In total, 20 new analyses from LCA-containing freshwater lakes all produced Group-I type LCA distributions with relatively little variability in the fractional abundances of the 16 LCAs analyzed (Fig. 2a).

To quantitatively assess the similarity between LCA distributions in our Northern Hemispheric freshwater lakes sample set and those of known Group I LCA-producers, we report distribution parameters for the Group I phylotype. Group I distribution parameters were derived from samples with phylogenetically confirmed Group I LCA producers (section 2.4). Mean values and absolute ranges were as follows:  $C_{37}/C_{38}$ , 1.16 (range: 0.84–1.54);  $\%C_{37:4}$ , 53.9 (range: 36.5–65.0); RIK<sub>37</sub>, 0.56 (range: 0.53–0.60); RIK<sub>38</sub>, 0.30 (range: 0.17–0.57). While some of these distribution parameters are temperature sensitive, we note that our phylogenetically confirmed Group I samples are derived from sites that experience a broad temperature range (MAAT:  $-17.3$ – $10.4$  °C; MTSI:  $-4.35$ – $5.75$  °C; MTWQ:  $0.9$ – $18.9$  °C) and therefore should be representative.

Samples from the Northern Hemispheric freshwater lakes (Table 1) and previously published freshwater samples from northern Alaska (Longo et al., 2016) all plotted within or close to the Group I ranges for all of the distribution parameters (Fig. 3). Overall, the freshwater lake samples indicated slightly more variability in LCA distributions than was observed from the phylogenetically confirmed Group I samples. In particular,  $C_{37}/C_{38}$ , RIK<sub>37</sub> and RIK<sub>38E</sub> all included values that were marginally lower than the Group I range and one SPM sample from lake Ichinomegata (G-09) gave a  $C_{37}/C_{38}$  value significantly greater than the Group I range (Fig. 3).

The estimated ranges for these parameters may be too narrow and more samples with phylogenetically confirmed Group I producers may be needed to define the full range of variability in Group I distribution parameters. Nonetheless, the consistency in the LCA distributions (Figs. 2, 3) suggests that LCA production in freshwater lakes is dominated by haptophytes of the Group I phylotype.

### 3.3. Identifying species effects using alkenone distribution parameters

We examined Group I-type LCA distributions in the context of other species and phylotypes to evaluate methods for differentiating LCA distributions based on the phylogenetic placement of their producers (Fig. 3; Table S3). Traditional distribution parameters (%C<sub>37:4</sub> and C<sub>37</sub>/C<sub>38</sub>) differentiated the Group I/freshwater LCA distributions from many of the Group II and III species distributions, however there were notable deficiencies. The range of %C<sub>37:4</sub> values for *R. lamellosa* (a lacustrine Group II haptophyte) completely overlapped with the Group I range. C<sub>37</sub>/C<sub>38</sub> ranges for all Group III species and *R. lamellosa* overlap with the Group I range, rendering C<sub>37</sub>/C<sub>38</sub> a poor metric for resolving species or phylotypes. Used in tandem, %C<sub>37:4</sub> and C<sub>37</sub>/C<sub>38</sub> were unable to fully differentiate Group I-type distributions from *R. lamellosa* distributions of the Group II phylotype (Fig. 3a). This supports the findings of Theroux et al. (2010) that traditional LCA distribution parameters (%C<sub>37:4</sub> and C<sub>37</sub>/C<sub>38</sub>) are not always sufficient for relating LCA distributions to their parent phylotype.

In contrast, the freshwater lakes dataset confirmed that the tri-unsaturated LCA isomers are robust chemotaxonomic indicators for the Group I phylotype. The RIK<sub>37</sub> and RIK<sub>38E</sub> indices quantify the abundance of the normal  $\Delta^{7,14,21}$  tri-unsaturated LCAs relative to their respective  $\Delta^{14,21,28}$  isomers. It was proposed that Group I haptophytes produce C<sub>37</sub> tri-unsaturated isomers in roughly equal abundance with slight preference for the  $\Delta^{7,14,21}$  isomer (C<sub>37:3a</sub>). This led to the definition of an RIK<sub>37</sub> range of 0.51–0.60 for the Group I phylotype, based on SPM and surface sediment samples from northern Alaska (Longo et al., 2016). The Group I RIK<sub>37</sub> distribution parameter reported here from phylogenetically confirmed samples is in strong agreement, with values ranging from 0.53–0.60. The entire Northern Hemispheric freshwater lakes dataset also largely agrees with the proposed RIK<sub>37</sub> range for Group I haptophytes, with values ranging from 0.48–0.63 (Table 1; Fig. 3b). Importantly, analyses of several Group II and Group III cultures (Longo et al., 2013, 2016; Theroux et al., 2013; Nakamura et al., 2016; Zheng et al., 2016) have indicated that these phylotypes invariably do not produce the C<sub>37:3b</sub> isomer, restricting their RIK<sub>37</sub> values to 1, and fully differentiating them from the Group I/freshwater distributions (Fig. 3b).

The RIK<sub>38E</sub> index was shown to be sensitive to changes in temperature potentially making it a new LCA-based temperature proxy (Longo et al., 2016). It could offer benefits over U<sup>K</sup> indices when LCAs are differentially degraded based on their degree of unsaturation. Rontani et al. (2013) demonstrated that LCA distributions can be significantly augmented from to prolonged oxygen exposure, bacterial degradation, and thiyl radical-induced stereomutation. Therefore, the RIK<sub>38E</sub> index could be useful for samples from depositional environments where these processes bias U<sup>K</sup> measurements. Here we show that the index can also be used to differentiate LCA distributions by phylotype. RIK<sub>38E</sub> shows a relatively large range in values for the phylogenetically confirmed Group I samples (0.17–0.57) and a larger range when considering both Northern Hemispheric and northern Alaskan freshwater samples (0–0.57; Fig. 3b). Although *I. galbana* and *R. lamellosa* were shown to produce C<sub>38:3bEt</sub> LCAs in culture, their abundance relative to C<sub>38:3aEt</sub> is low, resulting in RIK<sub>38E</sub> values of 0.75–1 (Longo et al., 2016). This allows for the full separation of Group I/freshwater distributions from Group II and III distributions based on

RIK<sub>38E</sub>. Used in tandem or individually, the RIK<sub>37</sub> and RIK<sub>38E</sub> indices completely differentiate Group I-type LCA distributions from Group II or Group III distributions, offering improved parameters for identifying species mixing in environmental samples (Fig. 3b).

### 3.4. Quantifying phylotype mixing using the RIK<sub>37</sub> index

Distribution parameters suggest that RIK<sub>37</sub> is most effective in differentiating Group I-type LCA distributions (Fig. 3). Therefore, we employed RIK<sub>37</sub> in a simple binary mixing model to quantify phylotype mixing in samples with mixed Group I/II distributions. Mixed distributions can occur in oligohaline or brackish environments where the salinity ranges for both phylotypes overlap, or in sediment samples that integrate temporal salinity changes within the lake. This analysis assumes Group I and Group II phylotypes to be the only possible end members because the samples of interest are derived from lakes and therefore should not include Group III marine haptophyte species. The model takes the form,

$$f_{GI}(\text{RIK}_{37,GI}) + f_{GII}(\text{RIK}_{37,GII}) = \text{RIK}_{37,sample} \quad (3)$$

$$f_{GI} + f_{GII} = 1 \quad (4)$$

where  $f$  is the percent contribution of LCAs from Group I or Group II, as defined by the subscripts  $GI$  and  $GII$ , respectively.  $\text{RIK}_{37,GI}$  is the Group I RIK<sub>37</sub> end member calculated from our phylogenetically confirmed samples ( $0.56 \pm 0.028SD$ ) and  $\text{RIK}_{37,GII}$  is held at 1.00 since Group II species have never been observed to produce the C<sub>37:3b</sub> isomer. The error in the Group I end member is propagated through the mixing model after Phillips and Gregg (2001).

We used the model to quantify phylotype mixing in surface sediment samples with clear evidence of mixed Group I/II distributions, including two oligohaline sites in interior Canada (Shannon Lake and Humboldt Lake; Toney et al., 2011; Longo et al., 2016) and sample G-23 from this study, a sediment sample from 32.5 cm depth in core Yarkov-18 from Yarkov Basin, Chany Lake, Siberia (Song, 2016). Yarkov Basin is currently mesohaline (Table 1), however the mixed distribution at 32.5 cm suggests a fresher lake in the past. For each of the mixed distributions, the model estimates percent contributions from both phylotypes with low error, because of the well-resolved RIK<sub>37</sub> end members for Group I and Group II distributions (Table 2; Fig. 4).

The RIK<sub>37</sub> approach to quantifying species mixing is ideal for validating temperature reconstructions from freshwater lakes. Available genetic data indicate that Group I haptophytes occur in fresh to oligohaline conditions, whereas Group II species occupy a wide range of oligohaline to hyperhaline environments (Coolen et al., 2004, 2013; Theroux et al., 2010; Longo et al., 2016). In theory, LCA-based temperature reconstructions from freshwater lakes are unaffected by species effects. However, past periods of elevated salinity recorded in any given sedimentary record, potentially induced species shifts from Group I to Group II haptophytes. Here we demonstrate that RIK<sub>37</sub> can be used to determine if and when U<sup>K</sup> temperature reconstructions are compromised in this manner.

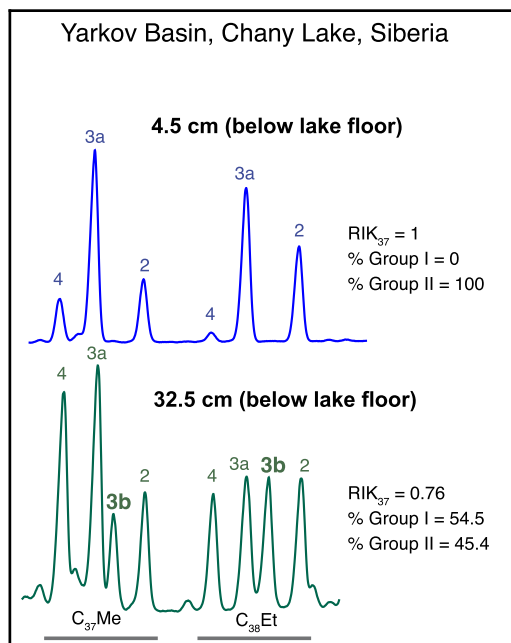
Although species mixing complicates temperature estimates, it can provide valuable information on changes in paleosalinity. LCA distributions have been used to reconstruct salinity and/or effective moisture changes in a number of settings (e.g. Coolen et al., 2004; He et al., 2013; Warden et al., 2016). The mechanisms through which distributions track salinity likely include a minor physiological response in %C<sub>37:4</sub> production (Blanz et al., 2005), but are influenced to a much greater extent by salinity-induced shifts in haptophyte species assemblages (e.g. Coolen et al., 2004, 2013; Chivall et al., 2014; Randlett et al., 2014; Longo et al., 2016). Our mixing model results demonstrate that the

**Table 2**  
Phylogroup mixing model results from three samples with mixed Group I/II distributions.

Sample	Salinity (psu)	RIK <sub>37</sub>	Group I (% contribution) <sup>a</sup>	Group II (% contribution) <sup>a</sup>	Reference
Yarkov Basin (32.5 cm) <sup>b</sup>	unknown (modern = 6)	0.76	54.5 ± 1.55	45.4 ± 1.55	This study; Song et al., 2016
Shannon Lake	2.4	0.69	70.4 ± 2.01	29.5 ± 2.01	Toney et al., 2011; Longo et al., 2016
Humboldt Lake	1.5	0.78	50.0 ± 1.42	50.0 ± 1.42	Toney et al., 2011; Longo et al., 2016

<sup>a</sup> % of total C<sub>37:3</sub> alkenones derived from respective phylogroup ± standard error.

<sup>b</sup> Sample G-23 (this study).

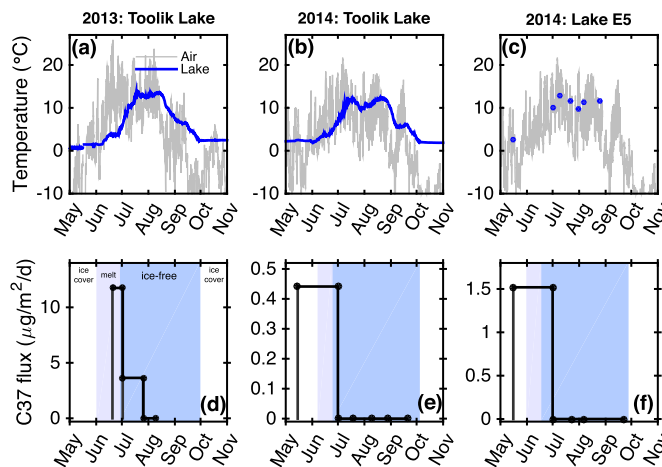


**Fig. 4.** Partial gas chromatograms of LCAs from two depths in core Yarkov-18, Yarkov Basin, Chany Lake, Siberia. The modern lake is mesohaline and the recent (4.5 cm-blf) LCA distribution reflects a Group II LCA producer. At 32.5 cm depth in the core, a mixed Group I/II LCA distribution is indicated by the presence of C<sub>37:3b</sub>. % contributions of Group I and II LCAs to each sample are determined from the binary mixing model and used to infer changes in salinity between the samples.

RIK<sub>37</sub> index can be employed to reconstruct paleosalinity changes with mixed Group I/II LCA distributions indicating oligohaline conditions in which both Group I and II haptophytes thrive. Group I-type distributions (RIK<sub>37</sub> = 0.48–0.63) indicate a fresh to oligohaline salinity range and Group II-type distributions (RIK<sub>37</sub> = 1) indicate oligohaline to hypersaline environments (Longo et al., 2016). In time series, secular increases (decreases) in RIK<sub>37</sub> between 0.63 and 1 can be interpreted to indicate increasing (decreasing) lake salinity. Thus, the RIK<sub>37</sub> index has applications for both paleotemperature and paleosalinity reconstructions.

### 3.5. Alkenone temperature response and production seasonality in freshwater lakes

Evidence demonstrating coherent U<sub>37</sub><sup>K</sup> temperature sensitivities among Group I haptophytes upholds the possibility that a global Group I temperature calibration could be developed. Three *in situ* temperature calibrations from lakes with phylogenetically confirmed Group I haptophyte producers (D'Andrea et al., 2011, 2016; Longo et al., 2016) demonstrate linear responses of U<sub>37</sub><sup>K</sup> to temperature, with calibration slopes ranging from 0.021 to 0.030. Another surface sediment calibration from German freshwater lakes also falls within this range (0.0211; Zink et al., 2001) and our analyses indicate that lakes used in the calibration (G-02; G-05; G-12) contain Group I-type distributions. The range in slopes among these four Group I temperature calibrations is narrow compared with the



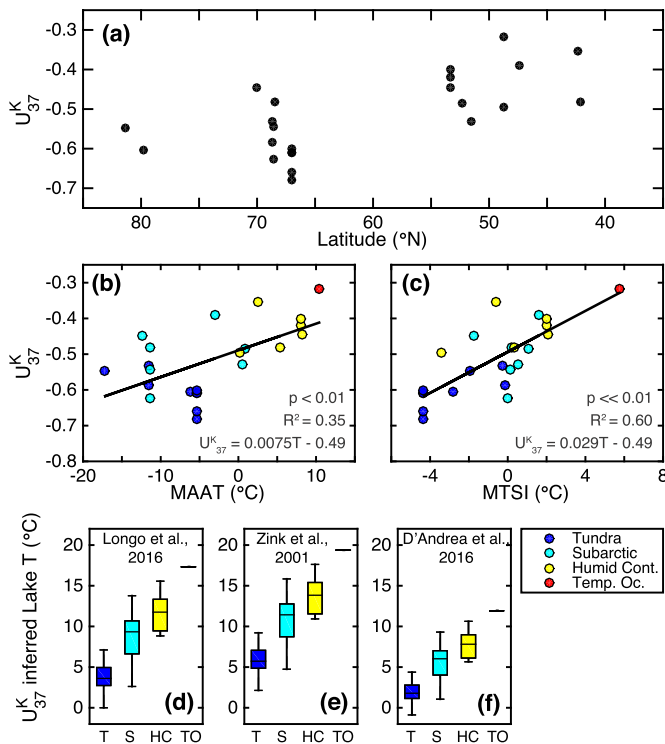
**Fig. 5.** Sedimentary C<sub>37</sub> LCA fluxes recorded in sediment traps deployed in two northern Alaskan lakes (d)–(f) plotted along with lake and air temperature data (a)–(c). Sediment fluxes were calculated for discrete sediment trap deployment periods, which lasted from 2 to 6 weeks and are delineated by the filled black circles. Shading (in (d)–(f)) represents periods of full lake ice cover (white), partial lake ice cover (light blue), and the summer ice-free period (blue).

variability in calibration slopes between phylogroups (D'Andrea et al., 2016; Longo et al., 2016). Therefore, we examined relationships between LCA unsaturation and temperature to assess whether a global Group I temperature calibration might be feasible.

The seasonality of LCA production has the potential to significantly affect various temperature calibration statistics; therefore we carried out sediment trap experiments from two dimictic freshwater lakes in northern Alaska to first determine the seasonality of sedimentary fluxes of LCAs. Toolik Lake and Lake E5 show the highest sedimentary fluxes of LCAs during the spring transitional season (Fig. 5; Table S4). In each of three time series (Toolik Lake 2013; 2014; Lake E5 2014) LCA fluxes peaked in the first sediment trap collection, indicating that LCA production occurred during the period of partial ice cover, isothermal mixing and incipient stratification. The LCA fluxes were attenuated or reached zero before the lakes reached their maximum temperature and U<sub>37</sub><sup>K</sup> inferred temperatures of the sediment trap LCAs were consistent with lake temperatures during ice melt and isothermal mixing (Table S4; Longo et al., 2016). Notably, there were significant lake-to-lake and year-to-year differences in the magnitude of LCA fluxes, however the seasonality of the flux was consistent among all time series (Fig. 5).

While these findings only represent one geographic location, additional studies focusing on Group I haptophytes from lakes in Norway and Greenland have demonstrated high sedimentary fluxes of LCAs during the spring transitional season (D'Andrea et al., 2011, 2016). Alkenones from Group II haptophytes in Lake George, in the continental United States also indicate high water column concentrations of LCAs during the spring, which decrease significantly with the onset of thermal stratification (Toney et al., 2010). Together, these studies along with our new data from northern Alaskan lakes suggest that lacustrine LCA-producing haptophytes bloom and produce LCAs during the spring.





**Fig. 6.** (a)  $U_{37}^K$  vs. latitude for surface sediments from the Northern Hemispheric freshwater lakes dataset (this study), including representative samples from Greenland, Interior Canada and northern Alaska (D'Andrea and Huang, 2005; Toney et al., 2011; Longo et al., 2016). Unsaturations of  $C_{37}$  LCAs increases with decreasing latitude reflecting the large-scale response of Group I LCAs to temperature.  $U_{37}^K$  is significantly positively correlated with MAAT (b) and MTSI (c).  $U_{37}^K$  inferred lake temperatures range from  $-1$  to  $20$  °C based on Group I freshwater lake calibrations ((d), Longo et al., 2016; (e), Zink et al., 2001; (f), D'Andrea et al., 2016). Points and boxplots are colored by climate zone (T, tundra; S, subarctic; HC, humid continental; TO, temperate oceanic).

In addition to seasonality, the depth habitats of LCA-producing haptophytes also have the potential to greatly affect temperature calibrations. While some members of the Group II phylotype are benthic species (Rontani et al., 2004), recent work suggests that Group I haptophytes bloom and produce LCAs in the photic zone of the water column. For example, Theroux et al. (2012) observed concurrent maxima in LCA concentrations and Group I haptophyte rRNA gene copies in the metalimnion of Lake Braya Sø, Greenland. Additional studies have demonstrated high concentrations of LCAs with Group I-type distributions in the epilimnion and metalimnion of lakes in Alaska and Greenland, with their  $U_{37}^K$  values responding to short-term water temperature fluctuations, indicative of *in situ* water column production (D'Andrea et al., 2011; Longo et al., 2016). Consequently, these data including Group I haptophyte DNA and biomarker analyses demonstrate that Group I LCAs record the lake temperature of the photic zone during the spring transitional season, which is sensitive to lake ice accumulation in the winter and the rate of lake ice melt and lake warming in the spring.

With the seasonality and depth habitat of Group I haptophytes accounted for, we used the Northern Hemispheric dataset to investigate the large-scale response of LCA unsaturation to temperature (Fig. 6).  $U_{37}^K$  values generally increased with decreasing latitude, indicating that  $C_{37}$  LCAs produced at warmer sites were more saturated than those produced in colder environments (Fig. 6a). MAAT, MTSI and MTWQ were all significantly positively correlated with  $U_{37}^K$ . MAAT was weakly correlated with  $U_{37}^K$  ( $U_{37}^K = 0.0075 * T - 0.49$ ;  $r^2 = 0.35$ ;  $p = 0.0028$ ; Fig. 6b) compared with the season-specific temperature metrics MTSI ( $U_{37}^K = 0.029 * T - 0.49$ ;

$r^2 = 0.60$ ;  $p < 0.0001$ ; Fig. 6c) and MTWQ ( $U_{37}^K = 0.013 * T - 0.66$ ;  $r^2 = 0.52$ ;  $p < 0.001$ ; not shown). The strongest correlation of  $U_{37}^K$  with MTSI is consistent with our observations of high sedimentary fluxes of lacustrine LCAs occurring in the spring transitional season.

In addition to showing the strongest correlation, the regression of  $U_{37}^K$  with MTSI also produces a slope (0.029) that is within the range in slopes shown by the four known Group I calibrations (0.021–0.030; Zink et al., 2001; D'Andrea et al., 2011, 2016; Longo et al., 2016), whereas the MTWQ and MAAT regressions both result in slopes well below this range. We applied the three calibrations available from freshwater lakes with evidence of Group I LCA-producers (Zink et al., 2001; D'Andrea et al., 2016; Longo et al., 2016) to reconstruct lake temperatures for the Northern Hemispheric freshwater lakes dataset, and grouped the lakes by their climate zones (Fig. 5e–5f). Predicted lake temperatures differ somewhat among the calibrations, however all predicted temperatures fell within a reasonable range ( $-1$ – $20$  °C) and the prevalence of predicted temperatures below  $15$  °C for mid-latitude sites and below  $8$  °C for Arctic tundra sites is consistent with our interpretation that  $U_{37}^K$  records spring lake temperature.

While the correlation of  $U_{37}^K$  with MTSI is strong and statistically significant, only 60% of the variability in  $U_{37}^K$  is explained by temperature, indicating that a predictive global freshwater temperature calibration using surface sediments may not be appropriate. Many factors complicate a global calibration including variability in water chemistry, lake depth and morphometry (which introduce site differences in lake temperature sensitivity to air temperature; Livingstone et al., 1999), and sedimentation rates (which affect duration represented in our surface sediments). Furthermore, some variability among the intercepts of *in situ* Group I calibrations has been documented (D'Andrea et al., 2016; Longo et al., 2016) and while there is not currently an explanation for this phenomenon, it likely adds noise to our regressions. Regardless of these confounding variables, the samples demonstrate the large-scale response of LCA unsaturation to temperature in Group I freshwater lakes.

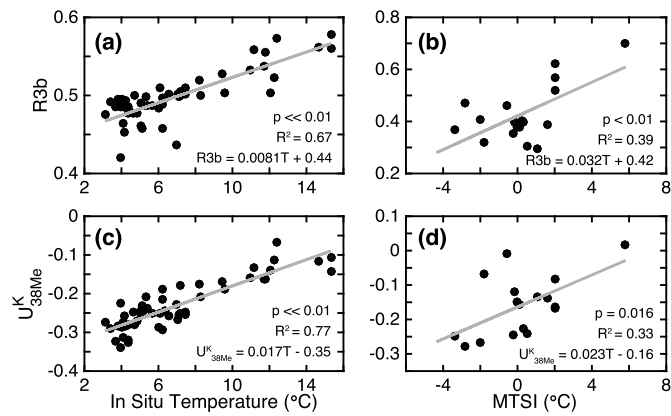
### 3.6. Alkenone-based temperature reconstruction in lakes with mixed distributions

The Northern Hemispheric freshwater lakes dataset suggests that mixed LCA distributions should be rare or absent in freshwater lakes; however, phylotype mixing is likely prevalent in oligohaline lakes or lakes with temporally varying salinity (e.g. glacial or snow-melt fed lakes in arid regions). Lakes with mixed LCA distributions highlight the need to develop new temperature proxies that are resilient to mixed LCA distributions. The  $C_{37:3b}$  and  $C_{38:3b}Et$  LCAs present a potential solution to this problem since these compounds are either exclusively ( $C_{37:3b}$ ) or predominantly ( $C_{38:3b}Et$ ) produced by Group I haptophytes in high abundance and therefore likely reflect the temperature response of the Group I phylotype, even in mixed LCA distributions.

LCA distributions derived from SPM samples in Toolik Lake, Alaska indicated that the fractional abundance of the  $C_{37:3b}$  isomer was significantly positively correlated with *in situ* lake temperature, whereas the  $C_{38:3b}Et$  isomer was relatively invariant across a large temperature range (Longo et al., 2016). Therefore the following LCA ratio, R3b,

$$R3b = \frac{[C_{37:3b}]}{[C_{38:3b}Et + C_{37:3b}]} \quad (5)$$

should covary with temperature as  $C_{37:3b}$  is preferentially produced at warmer water temperatures. In the Toolik lake *in situ* calibration dataset (Longo et al., 2016), the correlation is highly significant



**Fig. 7.** (a) 3b isomer ratio (R3b) vs. *in situ* temperature from the Toolik Lake calibration (Longo et al., 2016). The strong positive correlation demonstrates the potential for use of R3b as a temperature proxy. (b) The Northern Hemispheric lakes dataset also shows a significant positive correlation between R3b and MSTI. (c)  $U_{38Me}^K$  vs. *in situ* temperature from the Toolik Lake calibration (Longo et al., 2016) and (d)  $U_{38Me}^K$  vs. MSTI from the Northern Hemispheric lakes dataset.

( $R3b = 0.0081 * T + 0.44$ ;  $r^2 = 0.67$ ;  $p \ll 0.01$ ; Fig. 7a). Removing the two outliers with the lowest R3b values improves the relationship ( $R3b = 0.0078 * T + 0.45$ ;  $r^2 = 0.76$ ;  $p \ll 0.01$ ). The Northern Hemispheric lakes dataset also demonstrates a significant yet weak positive correlation between R3b and MSTI ( $R3b = 0.032 * T + 0.42$ ;  $r^2 = 0.39$ ;  $p < 0.01$ ; Fig. 7b). There is significant variability in the linear regression that may arise from several factors including those previously discussed (section 3.5).

$U_{38Me}^K$  is a temperature proxy based on the unsaturation of  $C_{38}Me$  LCAs (Conte and Eglinton, 1993) that could be utilized when mixed Group I/II LCA distributions occur, because Group II species do not appear to produce  $C_{38}Me$  LCAs (Conte et al., 1994; Coolen et al., 2004; Rontani et al., 2004; Sun et al., 2007). We consider the following form of  $U_{38Me}^K$ , which includes the  $C_{38:4}Me$  and  $C_{38:3b}Me$  LCAs:

$$U_{38Me}^K = \frac{[C_{38:2}Me - C_{38:4}Me]}{[C_{38:2}Me + C_{38:3a}Me + C_{38:3b}Me + C_{38:4}Me]} \quad (6)$$

The *in situ*  $U_{38Me}^K$  calibration from Toolik Lake ( $U_{38Me}^K = 0.017 * T - 0.35$ ;  $r^2 = 0.77$ ;  $p \ll 0.01$ ; Fig. 7c) is robust. While there is also a significant relationship between  $U_{38Me}^K$  and temperature in the Northern Hemispheric lakes dataset, the correlation is weak ( $U_{38Me}^K = 0.023 * T - 0.16$ ;  $r^2 = 0.33$ ;  $p = 0.016$ ; Fig. 7d) and greatly improves when the two outliers (G-03, INI-004) are removed ( $U_{38Me}^K = 0.030 * T - 0.19$ ;  $r^2 = 0.71$ ;  $p \ll 0.01$ ) indicating that environmental variables in addition to temperature may affect  $U_{38Me}^K$  at larger spatial scales. For both  $U_{38Me}^K$  and R3b, more studies are needed to determine whether these indices could be viable alternatives to  $U_{37}^K$  for samples with mixed LCA distributions.

#### 4. Conclusions

In this study we analyzed a compilation of samples from freshwater lakes throughout the Northern Hemisphere to address the hypothesis that LCAs in these systems share a distribution derived from one specific phylotype of Isochyridales haptophyte algae – the Group I phylotype. Our results provided support for this hypothesis, suggesting that freshwater lakes are generally immune to species effects, which have hindered LCA-based temperature reconstructions in saline lakes and coastal waters. Our analyses show that the  $RIK_{37}$  and  $RIK_{38E}$  indices can be used to quantitatively differentiate LCAs produced by Group I haptophytes from those produced by other phylotypes, deeming them extremely useful for

validating LCA-based temperature reconstructions and for reconstructing salinity-induced shifts in species assemblages. We show for the first time that when species effects are ruled out using the  $RIK_{37}$  index, the cross-continental response of LCA unsaturation to temperature is significant and observable in lakes. Furthermore, we introduce the R3b index as a potential new temperature proxy to be utilized when mixed Group I/II distributions are present.

The widespread occurrence of Group I-type LCA distributions provides evidence that Group I haptophytes are not specific to Arctic environments. Therefore, caution should be taken when analyzing LCAs from any environment (lake, estuary or coastal sea), which may have been subjected to periods of low salinity (fresh to mesohaline). Analysis of such samples with methods that do not fully separate the tri-unsaturated isomers, can result in the loss of valuable paleoecological and paleoclimatic information. As we have shown here, the quantification of tri-unsaturated isomers in these samples is prerequisite for determining whether species effects have compromised temperature reconstructions from these environments.

Future studies should aim to further describe the Group I phylotype and isolate its species in pure culture. Even with their consistent LCA distributions, Group I haptophyte species contain considerable genetic diversity and phylogenetic analyses have yet to determine whether the Group I phylotype includes subgroups. More proxy development studies from mid-latitude sites will allow for a better understanding of the seasonality of and environmental controls on LCA production and their effects on temperature reconstruction. Nonetheless, our Northern Hemispheric freshwater lakes dataset has provided a foundation for detecting and controlling for species-effects and interpreting  $U_{37}^K$  as a spring lake temperature proxy. Because the majority of mid- and high latitude continental temperature proxies represent summer temperatures, the development of a new spring lake temperature proxy sets the foundation for seasonally resolved temperature reconstructions.

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#### Appendix A. Supplementary material

Supplementary material related to this article can be found online at <https://doi.org/10.1016/j.epsl.2018.04.002>. These data include the Google map of the most important areas described in this article.

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