# Predominant Role of Nuclear Versus Membrane Estrogen Receptor $\alpha$ in Arterial Protection: Implications for Estrogen Receptor a Modulation in Cardiovascular Prevention/Safety

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**Background**—Although estrogen receptor  $\alpha$  (ER $\alpha$ ) acts primarily as a transcription factor, it can also elicit membrane-initiated steroid signaling. Pharmacological tools and transgenic mouse models previously highlighted the key role of ER $\alpha$  membrane-initiated steroid signaling in 2 actions of estrogens in the endothelium: increase in NO production and acceleration of reendothelialization.

Methods and Results—Using mice with ERα mutated at cysteine 451 (ERaC451A), recognized as the key palmitoylation site required for ERα plasma membrane location, and mice with disruption of nuclear actions because of inactivation of activation function 2 (ERaAF20 = ERaAF2°), we sought to fully characterize the respective roles of nuclear versus membrane-initiated steroid signaling in the arterial protection conferred by ERa. ERaC451A mice were fully responsive to estrogens to prevent atheroma and angiotensin II-induced hypertension as well as to allow flow-mediated arteriolar remodeling. By contrast, ERαAF20 mice were unresponsive to estrogens for these beneficial vascular effects. Accordingly, selective activation of nuclear ER $\alpha$  with estetrol was able to prevent hypertension and to restore flow-mediated arteriolar remodeling.

Conclusions—Altogether, these results reveal an unexpected prominent role of nuclear ERa in the vasculoprotective action of estrogens with major implications in medicine, particularly for selective nuclear ERa agonist, such as estetrol, which is currently under development as a new oral contraceptive and for hormone replacement therapy in menopausal women. (J Am Heart Assoc. 2018;7:e008950. DOI: 10.1161/JAHA.118.008950.)

Key Words: arteriolar remodeling • atherosclerosis • estrogen • hypertension • nuclear receptor

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Accompanying Figures S1 through S3 are available at http://jaha.ahajournals. org/content/7/13/e008950/DC1/embed/inline-supplementary-material-1.pdf \*Dr Guivarc'h, Dr Buscato, Dr Henrion, and Dr Fontaine contributed equally to

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ypertension affects 1 of 4 women worldwide, and its prevalence is particularly high among women >60 years of age. Indeed, the first decade after menopause is accompanied by an increase in blood pressure and has been associated with a higher risk of cardiovascular diseases, such as myocardial infarction and stroke. 1 In line with these epidemiological data, clinical and preclinical studies show considerable evidence that estrogen modulates cardiovascular physiological features and function.<sup>2</sup> Even if the publication of the Women's Health Initiative in 2002<sup>3,4</sup> did not initially confirm the expected protective action of estrogens against coronary heart disease and questioned their overall benefit, subgroup analysis suggested that women who initiated hormone therapy soon after the onset of menopause had reduced coronary artery events, in contrast to the increased risk in more aged women.<sup>5</sup> All experimental data demonstrate major atheroprotective actions of estrogens. In particular, 17β-estradiol strongly prevents lipid deposition in mouse models of atherosclerosis: apolipoprotein E-deficient<sup>6,7</sup> and low-density lipoprotein receptor-deficient (LDLr<sup>-/-</sup>)<sup>8</sup> mice. In

# **Clinical Perspective**

#### What Is New?

• Although most vasculoprotective effects studied until now in mouse models were shown to be mediated by membrane estrogen receptor  $\alpha$  actions, the present results reveal that experimental prevention against atheroma and hypertension required only  $17\,\beta$ -estradiol-mediated nuclear actions.

#### What Are the Clinical Implications?

• Estetrol, as a selective nuclear estrogen receptor  $\alpha$  agonist that does not alter circulating coagulation factors, could now be considered as an alternative estrogen for women's health treatment in contraception or menopause.

addition, in several experimental models of hypertension, ovariectomy exacerbates, whereas estrogen replacement attenuates, the course of hypertension  $^{9,10}$  and reduces arterial stiffening associated with hypertension or aging.  $^{11}$  17  $\beta$ -estradiol also increases basal nitric oxide (NO) production,  $^{12}$  accelerates reendothelialization,  $^{8,13}$  and prevents postinjury medial as well as neointimal hyperplasia after vessel injury.  $^{14}$  Finally, 17  $\beta$ -estradiol plays a crucial role in the ability of resistance arteries to remodel in response to a long-term increase in blood flow, which is necessary to optimize tissue perfusion.  $^{11,15}$ 

Two nuclear receptors, estrogen receptors (ERs)  $\alpha$  and  $\beta$ , have been reported to mediate cardiovascular protection conferred by estrogens. 16 ERB plays a key role in cardiac protection,  $^{17}$  and ER $\alpha$ , especially in the endothelium, is involved in the beneficial vascular actions of 17β-estradiol. 18 Indeed, most of the vascular actions of  $17\beta$ -estradiol are abrogated in mice lacking ER $\alpha$  in both endothelial and hematopoietic cells by breeding Tie2-Cre transgenic mice (expressing the Cre recombinase under the control of the endothelial tyrosine-protein kinase receptor (Tie2) promoter) with ER $\alpha$ -floxed mice at exon 2  $(ER\alpha^{lox/lox})$ . 8,15,19 Thus, the endothelium, a guardian of arterial integrity, represents a promising cellular target for 17βestradiol via the activation of ERa. 18 Beside the well-recognized role of nuclear ERα, which regulates target gene transcription (genomic action) through activation functions (AFs) 1 and  $2,^{16,20}$  a subpopulation of ER $\alpha$  is present at or near the plasma membrane, where it can elicit rapid, nongenomic, membraneinitiated steroid signaling (MISS) effects. 21-25

Recently, we generated a mouse model in which membrane  $\text{ER}\alpha$  localization was abrogated by a point mutation of the palmitoylation site of  $\text{ER}\alpha$  (mice with  $\text{ER}\alpha$  mutated at cysteine 451 [ER $\alpha$ -C451A]), leading to an abrogation of endothelial NO synthase (eNOS) phosphorylation and acceleration of reendothelialization in response to 17 $\beta$ -estradiol. The estrogen-dendrimer conjugate (EDC) and "pathway

preferential estrogens" (PaPEs) are highly effective in stimulating nonnuclear signaling, but they are inefficient in stimulating nuclear ER target gene expression. 23,26 More important, in vivo administration of EDC or PaPE-1 accelerates repair of endothelial damage. 23,26 In addition, EDC and PaPE-1 both favor the production of endothelial NO,<sup>22</sup> a vasculoprotective agent of the arteries, initially viewed as an important mechanism of the protective action of 17β-estradiol against the development of atheroma and hypertension.<sup>27</sup> Our arsenal of pharmacological tools has recently been enriched with estetrol, a fetal estrogen produced exclusively by the liver of humans (and to a smaller extent in large apes). We demonstrated that although estetrol nicely induced  $\mathsf{ER}\alpha$ target gene transcription, it failed to promote eNOS activation and to accelerate endothelial healing, but also antagonized both of these 17β-estradiol MISS-dependent effects.<sup>28</sup> Altogether, these powerful pharmacological tools allow us to uncouple nuclear and membrane actions of  $ER\alpha$  with a selective activation of MISS using PaPE-1 or EDC and of nuclear  $ER\alpha$  using estetrol. The novel understanding of action of this new class of selective ER modulator-like molecules led to the idea that they would decrease the proliferative action of estrogens<sup>23,29</sup> and, thereby, lower the risk of breast and/or uterine cancer induced by sex hormones. 30,31

In the present work, using these pharmacological tools of gain of function (EDC, PaPE-1, and estetrol) and genetically modified mouse models of ER $\alpha$  loss of function (Using mice with ERa mutated at cysteine 451, recognized as the key palmitoylation site required for ERa plasma membrane location (ERaC451A), and mice with disruption of nuclear actions because of inactivation of activation function 2 (ERaAF2°)), we sought to further explore the role of ER $\alpha$  MISS in the prevention of atherosclerotic lesions and hypertension and in the promotion of arteriolar remodeling, 3 crucial pathophysiological aspects of the vasculoprotective actions of estrogens.

# Methods

The data, analytical methods, and study materials will not be made available to other researchers for purposes of reproducing the results or replicating the procedure.

#### Mice

All procedures involving experimental animals were performed in accordance with the principles and guidelines established by the National Institute of Medical Research and were approved by the local Animal Care and Use Committee. The investigation conforms to the directive 2010/63/EU of the European parliament. To generate the double-deficient mice,

LDLr<sup>-/-</sup> female mice, purchased from Charles River (L'Arbresle, France), were crossed with  $ER\alpha-C451A^{+/-}$  mice.<sup>22</sup> ERα-AF20 mice were generated, as previously described, <sup>32</sup> and were kindly provided by Professor P. Chambon (Strasbourg, France). Mice are on a C57BI/6 background, and genetically manipulated mice are systematically compared with their wild-type (WT) littermates controls (n=7-12 per group). For short-term 17β-estradiol treatment, bilateral ovariectomy was performed when the mice were 4 weeks old and then, 2 weeks after surgery, mice were injected SC with either vehicle or 17β-estradiol (8  $\mu$ g/kg). Mice were euthanized 6 hours after the injection to study the short-term effect of  $17\beta$ -estradiol on gene expression. Before surgical and euthanasia procedures, mice were anesthetized with a combination of ketamine hydrochloride (100 mg/kg; Panpharma) and xylazine (5 mg/kg; Sigma-Aldrich) by IP injection.

#### **Real-Time NO Production**

Aortas from intact mice (10–12 weeks/n=6 per group) were quickly harvested and maintained in 200  $\mu L$  Krebs-Ringer oxygenated solution containing 2.5 mmol/L glucose at 37°C. A NO-specific amperometric probe (ISO-NOPF100; World Precision Instruments, Sarasota, FL) was implanted directly in the tissue, and NO release was monitored. The aortas were exposed to  $17\beta\text{-estradiol}$  ( $10^{-8}$  mol/L) or vehicle (dimethyl sulfoxide). The concentration of NO gas in the tissue was measured in real time with the data acquisition system LabTrax (World Precision Instruments) connected to the free radical analyzer Apollo1000 (World Precision Instruments). Data acquisition and analysis were performed with DataTrax2 software (World Precision Instruments). The NO-specific amperometric probe was calibrated, as previously described.  $^{28}$ 

# **Analyses of Atherosclerosis Lesions**

Bilateral ovariectomy was performed, as previously described. Two weeks after surgery, mice were switched to a hypercholesterolemic-atherogenic diet (1.25% cholesterol, 6% fat, no cholate; TD96335; Harlan Teklad, Madison, WI) and concomitantly received long-term estrogenic treatments. Mice were implanted with subcutaneous pellets releasing either placebo or  $17\beta$ -estradiol (60-day release, 0.1 mg [ie, 80  $\mu$ g/kg per day]) at weeks 6 and 12. To evaluate the atheroprotective role of selective activation of ERa MISS, mice received subcutaneous miniosmotic pumps (Alzet, model 2004; 0.25 µL/h, 28 days), releasing either empty dendrimer or EDC (240 μg/ kg per day); or pellet, releasing either a placebo or equivalent dose of 17β-estradiol (pellet, 0.25 mg [ie, 240 μg/kg per day]; Innovative Research of America, Sarasota, FL) or PaPE-1 (8.5-mg compound mixed with cholesterol to a total weight of 20 mg) at weeks 6 and 10. At the end of the protocol, overnight fasted mice were anesthetized with a combination of ketamine hydrochloride (100 mg/kg; Panpharma) and xylazine (5 mg/kg; Sigma-Aldrich) via intraperitoneal injection, and blood was collected from retro-orbital venous plexus. After euthanization, the heart, the thoracic aorta, the liver, and the uterus were carefully dissected.

Lipid deposition size was estimated at the aortic sinus, as previously described.<sup>8,32</sup> Briefly, each heart was frozen on a cryostat mount with optimal cutting temperature compound. One hundred 10-µm-thick sections were prepared from the top of the left ventricle, where the aortic valves were first visible, up to a position in the aorta where the valve cusps were just disappearing from the field. After drying for 2 hours, the sections were stained with oil red O and counterstained with Mayer's hematoxylin. Ten sections of the 100, each separated by 90 µm, were used for specific morphometric evaluation of intimal lesions using a computerized Biocom morphometry system. The first and most proximal section to the heart was taken 90 µm distal to the point where the aorta first becomes rounded. The mean lesion size (expressed in μm<sup>2</sup>) in these 10 sections was used to evaluate the lesion size of each animal.

En face aorta analyses were analyzed at 8 months of age. The heart was perfusion fixed in situ with 10% phosphate-buffered formalin for 10 minutes. After the perfusion, the abdominal cavity was opened and the internal organs were removed. The aorta from the arch to bifurcation was carefully cleaned from periadventitial connective tissue. Then, the aorta was opened longitudinally by an incision along its ventral aspect. The thoracic and abdominal parts of the aorta were pinned out flat (using 10-mm tips of dental root-canal needles).

# **Determination of Plasma Lipids**

Overnight fasted mice were anesthetized, and blood samples were collected from the retro-orbital venous plexus. Total plasma cholesterol was assayed using the CHOD-PAD kit (Horiba ABX, Montpellier, France). The high-density lipoprotein fraction was isolated from 10  $\mu L$  of serum and assayed using the "C-HDL+Third generation" kit (Roche, Lyon, France).

# Analysis of mRNA Levels by Real-Time Quantitative Reverse Transcription—Polymerase Chain Reaction

Tissues were dissected and frozen. They were homogenized using a Precellys tissue homogenizer (Bertin Technology, Cedex, France), and total RNA from tissues was prepared using Trizol reagent (Invitrogen, Carlsbad, CA). A total of 500 ng (aorta) or 1  $\mu$ g (liver) was reverse transcribed for 10 minutes at 25°C and 2 hours at 37°C in a 20- $\mu$ L final

volume using the High Capacity cDNA reverse transcriptase kit (Applied Biosystems). Real-time quantitative polymerase chain reactions were performed on the StepOne instrument (Applied Biosystems). Primers were validated by testing polymerase chain reaction efficiency using standard curves  $(95\% \le \text{efficiency} \le 105\%)$ . Gene expression was quantified using the comparative threshold cycle method; tumor protein, translationally-controlled 1 (TPT1) (aorta) or Peptidyl-prolyl cis-trans isomerase A (PPIA) (liver) was used as reference.

#### **Blood Pressure Measurement**

As previously shown, 33 systolic blood pressure (SBP) was measured every day using photoplethysmography (BP-2000 Blood Pressure Analysis System; Visitech Systems). Female mice (aged 12-16 weeks) were divided into the following groups:  $ER\alpha^{-/-}$ ,  $ER\alpha$ -C451A, and  $ER\alpha$ AF20 mice associated with their corresponding littermates. In all groups, mice were acclimated to the technique for 2 weeks (week "-2" to "-1") before measuring basal SBP for 1 week (week "0"). Mice were then subjected to sham operation or were implanted SC with osmotic minipumps (Alzet, model 2004; 0.25 µL/h, 28 days) releasing angiotensin II (AngII; 0.5 mg/kg per day; Bachem, no. 4006473; solubilized in NaCl 0.9%) for 4 weeks to induce hypertension.<sup>34</sup> After surgery, mice were allowed to recover for 3 to 5 days, and SBP was measured daily during 4 weeks. The weekly average of SBP evolution pre- and post-Angll treatment was represented as mean of 5 days of SBP measurements (from week 0 to week 4). To assess the effect of estetrol in Angll-treated mice, ovariectomy was performed 2 weeks before implantation of osmotic minipump containing Angll and estetrol (Angll, 0.5 mg/kg per day, and estetrol, 6 mg/kg per day; solubilized in 50% PBS+50% dimethyl sulfoxide). Surgery was performed under isoflurane (2%) anesthesia. Analgesia was obtained with buprenorphine (Temgesic; 0.1 mg/kg, SC) before and after surgery.

# Histomorphometry

Angll arterial remodeling was evaluated on thoracic aorta. Transverse aortic segments were dissected, embedded in Tissue-tek (Sakura Finetek), and cut in 7-μm sections (Cryostat CM3050 S; Leica). Orceine was used to stain elastic fibers in the thoracic aorta media to measure media thickness/surface and lumen surface. Image acquisition was performed using Histolab, and measurements were performed using ImageJ 1.47v.

# **Blood Flow-Mediated Arterial Remodeling**

Increase in blood flow, applied to the mesenteric artery, was performed, as previously described, on 4- to 5-month-old female mice. 15 Briefly, 3 consecutive first-order mesenteric arteries were used, and surgery consisted of ligatures of second-order branches. The artery located between the 2 ligated arteries was designated as the high-flow artery. Arteries located at a distance of the ligated arteries were used as controls (normal flow). After 14 days, mice were euthanized and mesenteric arteries were collected. In each protocol, animals were anesthetized with isoflurane (2.5%). They were treated with buprenorphine (Temgesic; 0.1 mg/kg, SC) before and after surgery. The protocol was approved by the ethical committee (Protocol CEEA PdL 2012-105).

ORIGINAL RESEARCH

#### Flow-Mediated Dilation

First- or second-order mesenteric arterial segments were isolated and mounted onto an arteriograph system (Living System; LSI, Burlington, VT) in physiological saline solution (37°C, pH 7.4; partial pressure of O<sub>2</sub>, 160 mm Hg; and partial pressure of CO<sub>2</sub>, 37 mm Hg). This video-monitored perfusion system allowed us to follow changes in arterial internal diameter of pressurized arteries (Pression: 75 mm Hg; diameter, 270 µm) after they were cannulated on glass microcannulas connected to 2 peristaltic pumps, 1 controlling flow rate and 1 under the control of a pressure-servo control system.<sup>35</sup> Pressure at both ends of the artery segment was monitored using pressure transducers. After the arteries were pressurized at 75 mm Hg, a 20-minute equilibration period was provided and endothelial function was assessed through vasodilatory response to acetylcholine (1 μmol/L) after precontraction with phenylephrine (10<sup>-6</sup> mol/L). Arteries were then washed and allowed to recover for 20 minutes. After an equilibration period at low flow (3 µL/min) for 10 minutes, arteries were precontracted (phenylephrine, 10<sup>-6</sup> mol/L) and step increases in intraluminal flow (2-minute step from 3-50 μL/min) were generated through the distal pipette with a peristaltic pump. The effect of estetrol on flow-mediated dilation was evaluated ex vivo on arteries incubated with estetrol (10<sup>-6</sup> mol/L) for 30 minutes before assessing arterial responses to flow. At the end of the experiment, arteries were bathed in a Ca<sup>2+</sup>-free PSS containing ethylene-bis-(oxyethylenenitrolo) tetra-acetic acid (2 mol/L) and sodium nitroprusside (10 μmol/L) to measure maximal passive diameter.<sup>36</sup>

# Statistical Analyses

To test the respective roles of  $17\beta$ -estradiol treatment and genotype, a 2-way ANOVA was performed for atherosclerosis experiment. When an interaction was observed between the 2 factors, the effect of 17β-estradiol treatment was studied in each genotype using a Bonferroni post test. A 2-way ANOVA for repeated measures was used for hypertension, flowmediated remodeling (FMR), and flow-mediated dilation

experiments. To test the respective roles of 17β-estradiol and EDC treatment, a 1-way ANOVA was performed, followed by a Bonferroni post test. P<0.05 was considered statistically significant.

#### Results

# Short-Term 17β-Estradiol Treatment Induces Transcriptional Response But Not NO Production in Aorta From ERα-C451A Mice

To evaluate the potential cross talk between MISS and nuclear  $ER\alpha$  in blood vessels, we first compared gene expression profiles in the aorta from ER $\alpha^{-/-}$ , ER $\alpha$ -C451A, and ER $\alpha$ AF20 mice, including their littermate controls, after short-term 17βestradiol administration (6 hours). Two genes among the most induced by  $17\beta$ -estradiol in the aorta<sup>32,37</sup> (ie, Gremlin 2 and UDP-N-acetyl-α-d-galactosamine:polypeptide N-acetylgalactosaminyltransferase-like 2) were analyzed. Their transcriptional regulation is entirely ERa dependent because no gene regulation was observed in aortas from  $ER\alpha^{-/-}$  mice (Figure 1A). Similarly, induction of these ERα target genes was totally abrogated in ERαAF20 mice, whereas targeting membrane ERα, in ERα-C451A mice, has a minor impact, affecting only modestly the most regulated gene by 17βestradiol, Gremlin 2 (Figure 1A). By contrast, although 17 \u00b1estradiol  $(10^{-8} \text{ mol/L})$  rapidly induced NO production by aorta in WT mice, it failed to induce NO production in aorta from ERα-C451A mice (Figure 1B). These results further demonstrate that, in ERα-C451A mice, eNOS activation in response to 17β-estradiol is abrogated, indicating the abolition of ER $\alpha$  MISS, whereas nuclear ER $\alpha$  activity in the arterial wall is preserved.

# By Contrast With Nuclear ERα-AF2, ERα MISS Is Neither Necessary Nor Sufficient for Estrogen-**Mediated Atheroprotection**

We then investigated the role of ER $\alpha$  MISS actions on arteries submitted to an atherogenic stress using ER $\alpha$ -C451A mice bred with LDLr<sup>-/-</sup> mice (Figure 2A). As expected, ovariectomy led to uterine atrophy, whereas exogenous 17β-estradiol replacement induced uterine hypertrophy in both ERα-WT/  $LDLr^{-/-}$  and  $ER\alpha$ -C451A/LDLr<sup>-/-</sup> mice (Figure 2B and 2C). In addition, 17β-estradiol treatment similarly decreased fatty streak deposits at the aortic sinus of both  $ER\alpha-WT/LDLr^{-/-}$ and ERα-C451A/LDLr<sup>-/-</sup> mice (Figure 2D and 2E). Accordingly, gene expression of atherosclerosis markers, such as vascular cell adhesion molecule 1 or Monocyte Chemoattractant Protein-1 (MCP-1), was decreased in  $17\beta$ -estradioltreated mice aorta, irrespective of the genotype (Figure 2F). As expected, 17β-estradiol significantly decreased cholesterolemia in ovariectomized ERα-WT/LDLr<sup>-/-</sup> mice and in  $ER\alpha$ -C451A/LDLr<sup>-/-</sup> mice (Figure 2G).

In addition, endogenous estrogens decreased the lesion size on later stages of atheroma, as demonstrated by analysis of "en face" preparations of the aortic tree from 8-month-old ovariectomized versus sham-operated female WT mice (Figure 3A). This protective effect was abolished in ERαAF20/  $\mathrm{LDLr}^{-/-}$  mice (Figure 3B), confirming that atheroprotection required nuclear ERa, as previously shown in aortic sinus at earlier stages.<sup>32</sup> However, compared with ERα-WT/LDLr<sup>-/-</sup> mice, endogenous 17β-estradiol similarly prevented atheroma in intact ER $\alpha$ -C451A/LDLr $^{-/-}$  mice, demonstrating that ER $\alpha$ MISS is fully dispensable for the atheroprotective effect of endogenous estrogens (Figure 3C).

We then assessed whether selective activation of  $\mathsf{ER}\alpha$ MISS was sufficient to prevent early atheroma in LDLr<sup>-/-</sup> mice under an atherogenic diet using 2 unique pharmacological tools: PaPE-1 and EDC. As previously described, these 2 molecules did not induce uterine hypertrophy (Figure 4A and 4B) but were able to induce transcriptional responses, such as increase in adiponectin mRNA level in the liver (Figure 4C), as previously reported.<sup>26</sup> However, although 17β-estradiol decreases plasma cholesterol, PaPE-1 or EDC do not (Figure 4D). In addition, doses of EDC or PaPE-1, previously shown to accelerate reendothelialization, 23,26 did not prevent lipid deposition at the aortic sinus from LDLR<sup>-/-</sup> mice fed a hypercholesterolemic diet (Figure 4E and 4F). By contrast, equivalent dose of 17β-estradiol prevented atheroma deposition by up to 70%. Altogether, the use of both genetically deficient mice and pharmacological tools consistently demonstrated that  $ER\alpha$  MISS is neither necessary nor sufficient for the atheroprotective effect mediated by  $ER\alpha$  activation, by contrast with nuclear ER<sub>\alpha</sub>AF2.

# **Endogenous Estrogens Prevent Hypertension in** ERα-C451A Mice But Not in ERα-AF20 Mice

We then explored the role of ER $\alpha$  MISS actions in animals submitted to hypertensive stress. Endogenous estrogens protected WT female mice from Angll-induced hypertension, as demonstrated by a greater increase in SBP in ovariectomized mice than in intact mice (Figure S1A). We then evaluated the respective protective role of ER $\alpha$ , ER $\alpha$  MISS, and nuclear ER $\alpha$  in Angll-induced hypertension using ER $\alpha^{-/-}$ , ERα-C451A, and ERα-AF20 intact mice and their respective littermate controls (Figure 5A). In the different WT littermate control mice, 4 weeks of AnglI infusion increased SBP. The hypertensive effect of AnglI was significantly exacerbated in  $ER\alpha^{-/-}$  mice (Figure 5B) but not in  $ER\beta^{-/-}$  mice (Figure S2), showing that the beneficial effect of endogenous estrogens is  $ER\alpha$  dependent. Moreover, the blood pressure increase

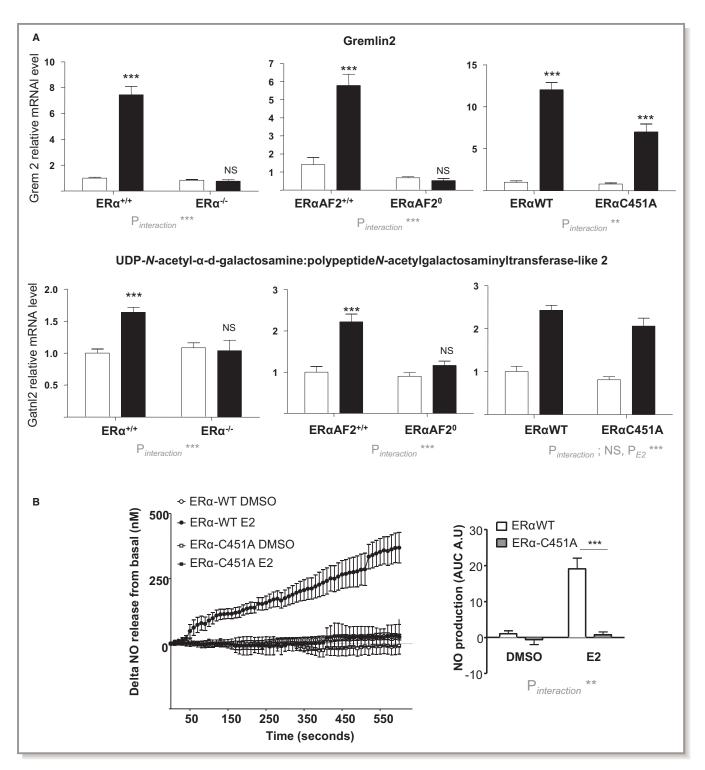


Figure 1. 17β-Estradiol (E2) induces transcriptional response but not NO production in aorta from estrogen receptor  $\alpha$  mutated at cysteine 451 (ER $\alpha$ -C451A) mice. A, Four-week-old ovariectomized ER $\alpha^{-/-}$ , deficient for activation function-2 ER $\alpha$ -AF20, and ER $\alpha$ -C451A mice or their respective littermates control mice (n=7–9 per group) received short-term E2 subcutaneous injection. Six hours after, mRNA levels of the indicated gene from aorta were quantified by real-time quantitative polymerase chain reaction and normalized to TPT1 mRNA levels. Results are expressed according to the level in aorta from vehicle-treated ER $\alpha$ -wild type (WT) set as 1. B, Representative trace of ex vivo amperometric measurements of NO release of aorta from 10- to 12-week-old ER $\alpha$ -C451A or their littermate control mice exposed to E2 (10<sup>-8</sup> mol/L) and area under the curve (AUC) quantification. Results are expressed as means±SEM. To test the respective roles of E2 treatment and genotype, a 2-way ANOVA was performed. When an interaction was observed between the 2 factors, effect of E2 treatment was studied in each genotype using a Bonferroni post test. AU indicates arbitrary unit; DMSO, dimethyl sulfoxide; Gatnl2, UDP-N-acetyl- $\alpha$ -d-galactosamine:polypeptide *N*-acetylgalactosaminyltransferase-like 2; Grem2, Gremlin 2; NS, not significant. \*\*\*P<0.001.

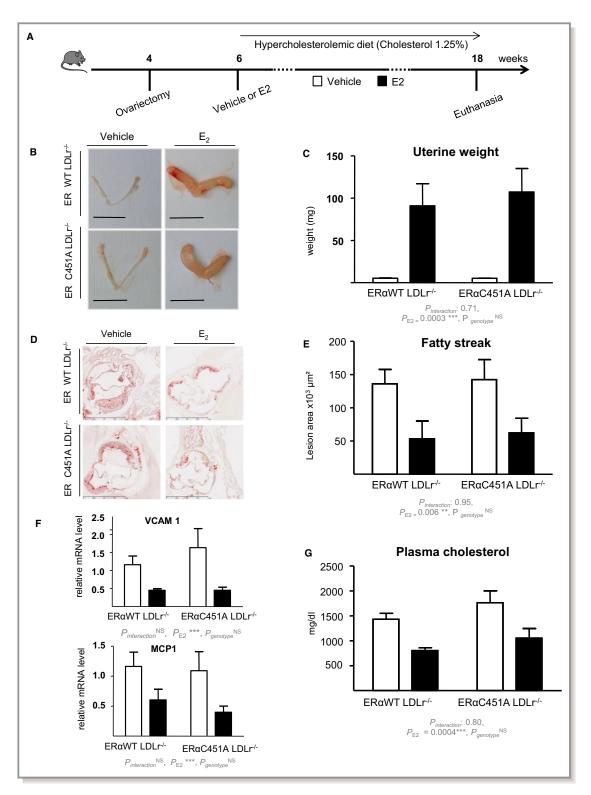


Figure 2. 17β-Estradiol (E2) decreases fatty streak deposits at the aortic sinus in estrogen receptor  $\alpha$  mutated at cysteine 451 (ER $\alpha$ -C451A)/low-density lipoprotein receptor-deficient (LDLr<sup>-/-</sup>) mice. A, Four-week-old ovariectomized ER $\alpha$ -wild-type (WT)/LDLr<sup>-/-</sup> and ER $\alpha$ -C451A/LDLr<sup>-/-</sup> mice were given either placebo or E2 during 12 weeks and switched to an atherogenic diet from the age of 6 to 18 weeks (n=7-10). Representative uteri (B), uterine weights (C), representative micrographs of oil red O lipid-stained cryosections of the aortic sinus (D), and quantification of lipid deposition (E) and vascular cell adhesion molecule (VCAM-1) and MCP1 mRNA levels from aorta, quantified by real-time quantitative polymerase chain reaction and normalized to TPT1 mRNA levels (F) and cholesterol content (G) from these mice.

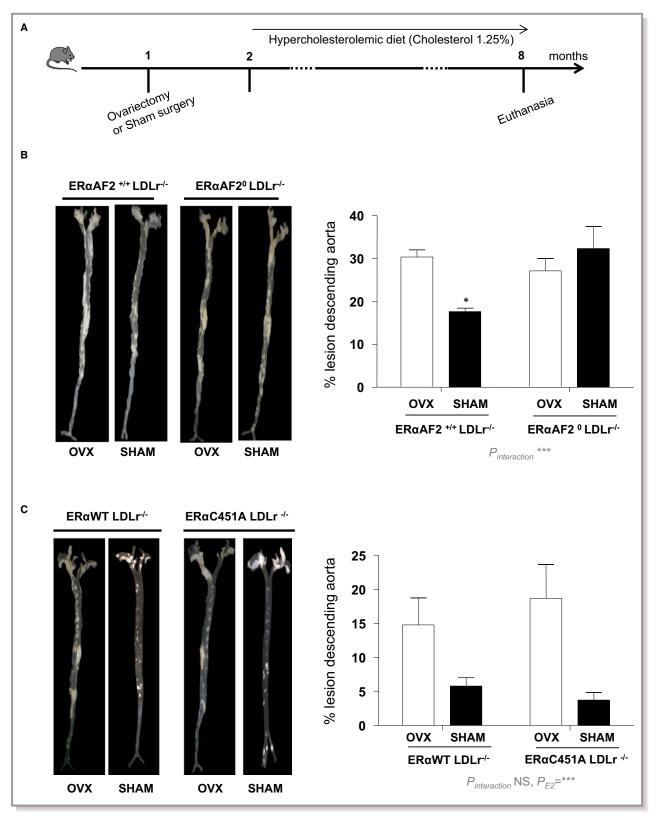


Figure 3. The atheroprotective effect of endogenous estrogens persists in 8-month-old estrogen receptor  $\alpha$  mutated at cysteine 451 (ER $\alpha$ -C451A)/low-density lipoprotein receptor–deficient (LDLr<sup>-/-</sup>) mice, whereas it is abolished in ER $\alpha$ -AF20/LDLr<sup>-/-</sup> mice. A, Fourweek-old mice (n=5–7) were ovariectomized (OVX) or not (SHAM) and switched to atherogenic diet from the age of 6 weeks until euthanasia. Representative en face aorta preparations are shown with respective quantification of lesions from descending aorta of ER $\alpha$ -AF20/LDLr<sup>-/-</sup> (B) or ER $\alpha$ -C451A/LDLr<sup>-/-</sup> (C) mice and their respective littermates. Data are mean  $\pm$  SEM. WT indicates wild type.

9

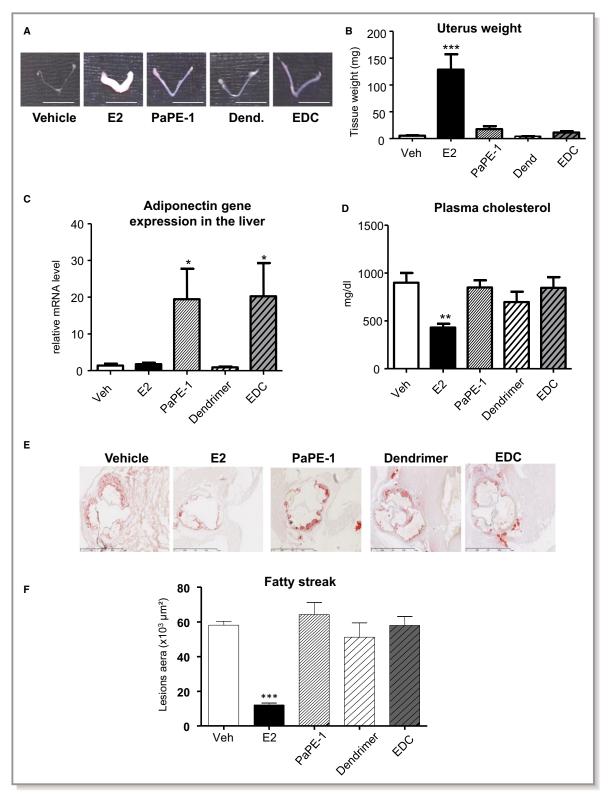
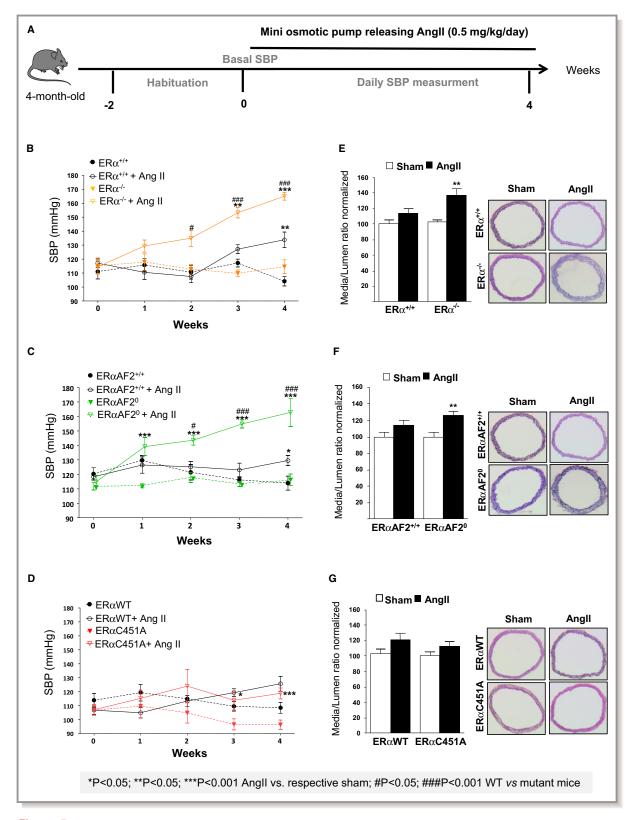


Figure 4. Selective activation of estrogen receptor  $\alpha$  membrane-initiated steroid signaling does not prevent early plaque formation. Four-week-old ovariectomized low-density lipoprotein receptor–deficient mice were given 17β-estradiol (E2), pathway preferential estrogen (PaPE)-1 pellet or vehicle (Veh), or empty dendrimer (Dend) or estrogen-Dend conjugate (EDC) (n=6–9) and switched to an atherogenic diet from the age of 6 to 14 weeks. Representative uteri (A), uterine weight (B), adiponectin mRNA levels from liver quantified by real-time quantitative polymerase chain reaction and normalized to PPIA mRNA levels (C), plasma cholesterol content (D), representative micrographs of oil red O lipid-stained cryosections of the aortic sinus (E), and quantification of lipid deposition from these mice (F). Data are mean ± SEM. To test the impact of the different treatments, a 1-way ANOVA was performed. \* $^*P$  <0.001.



**Figure 5.** Endogenous estrogens prevent hypertension and medial remodeling in estrogen receptor  $\alpha$  mutated at cysteine 451 (ER $\alpha$ -C451A) mice but not in ER $\alpha$ -AF20 mice. A, Intact female mice were implanted with osmotic minipumps delivering angiotensin II (AnglI; 0.5 mg/kg per day, 1 month). Mean of systolic blood pressure (SBP) measurements for 5 days was represented as weekly evolution of blood pressure pre-AnglI treatment (week 0) and post-AnglI treatment (weeks 1–4). SBP measurements (B through D) and and medial remodeling (E through G) from thoracic aorta were evaluated in ER $\alpha$ -/- (n=8; B and E), ER $\alpha$ -AF20 (n=7; C and F), ER $\alpha$ -C451A (n=7; D and G) intact mice and their respective littermate controls (n=7). WT indicates wild type.

induced by AnglI infusion was significantly greater in ERα-AF20 mice than in WT mice (Figure 5C), demonstrating that the nuclear ERaAF2 is necessary for the protective effect of  $ER\alpha$  in hypertension. By contrast, the increase in blood pressure was similar in ERα-C451A and WT mice, demonstrating the dispensable role of membrane  $ER\alpha$  in this process (Figure 5D). Histomorphometric analysis showed the absence of aortic smooth muscle hypertrophy in intact WT mice treated with Angll, contrasting with the media remodeling in ovariectomized WT mice given Angll (Figure S1B). Interestingly, AnglI treatment led to arterial remodeling in  $ER\alpha^{-/-}$ and ERα-AF20, but not in ERα-C451A, intact mice (Figure 5E through 5G), confirming that aortic structural changes paralleled the level of hypertension. Because hypertension was induced by Angll, we measured the gene expression level of the angiotensin-converting enzyme (Ace) and angiotensinconverting enzyme 2 (Ace2) and of the AnglI type 1 receptors (AT1Ra and AT1Rb) in arteries isolated, and we found no difference in expression level in ER $\alpha^{-/-}$ , ER $\alpha$ -C451A, and ERα-AF20 compared with WT mice (Figure S3).

# **Endogenous Estrogens Allow Flow-Mediated** Arteriolar Remodeling in ERα-C451A Mice But Not in ER<sub>α</sub>-AF<sub>20</sub> Mice

Besides pressure-associated medial hypertrophic remodeling that characterizes hypertension, at the level of arterioles, outward remodeling occurs in response to increased blood flow and shear stress at the surface of endothelial cells. This remodeling is essential in postischemic revascularization or collateral artery growth.<sup>38</sup> To better characterize the respective role of nuclear versus ERa MISS in this remodeling, we investigated in vivo FMR of resistance arteries from ERα-AF20 and ERα-C451A mice. Two weeks after arterial ligation, arterial diameter was determined in vitro in response to stepwise increases in intraluminal pressure (Figure 6A). As expected, passive arterial diameter was significantly higher in high-flow than in normal-flow arteries in WT (Figure 6B), but not in  $ER\alpha^{-/-}$ , mice (Figure 6C). Interestingly, the effect of endogenous estrogens on FMR was normal in  $ER\alpha$ -C451A (Figure 6D), whereas it was completely abrogated in ERαAF20 (Figure 6E), highlighting the crucial role of nuclear  $ER\alpha$  in FMR.

# Selective Activation of Nuclear ERa Using **Estetrol Prevents Angli-Induced Hypertension** and Favors Flow-Mediating Remodeling

Because nuclear  $ER\alpha$  appears to be crucial to the prevention of atheroprotection and hypertension as well as to allow FMR, we decided to evaluate the impact of estetrol (Figure 7A). This natural selective ER modulator-like molecule was recently recognized to activate selectively nuclear ER $\alpha$  and to be devoid of vascular MISS action. <sup>28</sup> We also previously demonstrated that estetrol conferred atheroprotection.<sup>28</sup> In addition, although short incubation with estetrol was not able to modulate the acute vasodilating response to flow (Figure 7B), a long-term treatment with estetrol allowed flow arteriolar remodeling to occur in ovariectomized mice (Figure 7C). Estetrol also prevented the increase in SBP induced by AnglI treatment in ovariectomized mice (Figure 7D). These data clearly show that nuclear  $\mathsf{ER}\alpha$  activation is sufficient to attenuate AnglI-induced hypertension and to promote FMR.

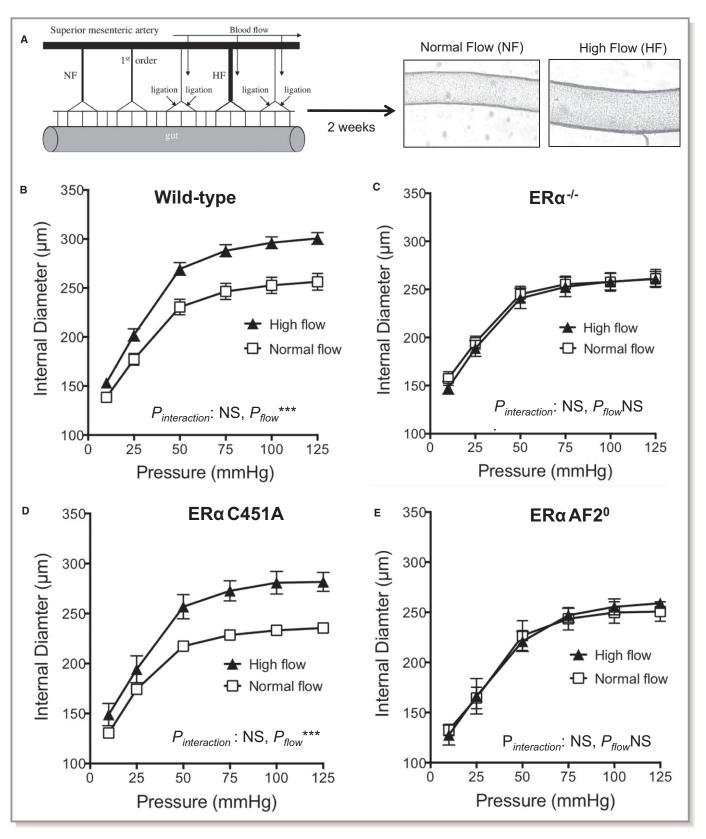
# Discussion

Estrogen effects are mainly mediated by ERa, which acts primarily as a nuclear receptor/transcriptional factor, but which can also elicit rapid MISS. Because  $ER\alpha$  MISS is necessary and sufficient to increase NO production and to accelerate postinjury reendothelialization without impact on sex targets, it was initially proposed that selective activation of membrane  $ER\alpha$  could confer arterial protection with minimal risk of uterine or breast cancer. 23,26 In the present study, we investigated the role of  $ER\alpha$  eliciting membrane actions in the prevention of atheroma and hypertension as well as in the promotion of arteriolar outward remodeling.

First, we addressed the question of the necessary role of  $ER\alpha$  MISS on 3 major vasculoprotective effects of estrogens. To this aim, on the basis of in vitro work demonstrating that the palmitoylation site at Cys447 of human  $ER\alpha$  (the mouse counterpart of  $ER\alpha$  Cys451) is crucial for the membrane localization of  $ER\alpha$ , <sup>39</sup> we generated a mouse model with a point mutation of this amino acid (ERα-C451A).<sup>22</sup> The decrease of membrane localization of ERa was confirmed in primary hepatocytes and resulted in female infertility, an increase in luteinizing hormone levels, and hemorrhagic ovaries lacking corpora lutea.<sup>22</sup> By contrast, the transcriptional action of  $17\beta$ -estradiol on the uterus was preserved: gene expression was similar in ERα-C451A and WT mice.<sup>22</sup> Herein, we show that the impact of MISS on short-term  $17\beta$ estradiol-dependent gene regulation in the aorta is also minimal, extending the previous observation to an arterial tissue. We also demonstrate that palmitoylation of ER $\alpha$ -C451, and thereby the activation of ER $\alpha$  MISS, is dispensable for the prevention by 17β-estradiol of early stages of atheroma development at the aortic sinus, but also of more advanced lesions on the thoracic and abdominal aorta.

In addition, long-term treatment with AnglI induced a significantly higher increase in SBP and media aortic hypertrophy in the absence of endogenous estrogens (ovariectomized mice) or of ER $\alpha$  (ER $\alpha^{-/-}$  mice). Our finding is in agreement with previous works showing that hypertension

11



**Figure 6.** Endogenous estrogens allow flow-mediated outward remodeling of resistance arteries in estrogen receptor  $\alpha$  mutated at cysteine 451 (ER $\alpha$ -C451A) mice but not in ER $\alpha$ -AF2<sup>0</sup> mice. A, Arterial diameter was measured in response to stepwise increases in pressure in mesenteric arteries submitted chronically to high flow (HF) or to normal flow (NF). Arteries were isolated from wild-type (n=12; B), ER $\alpha$ -(n=8; C), ER $\alpha$ -C451A (n=5; D), or ER $\alpha$ -AF20 (n=7; E) mice. NS indicates not significant.

13

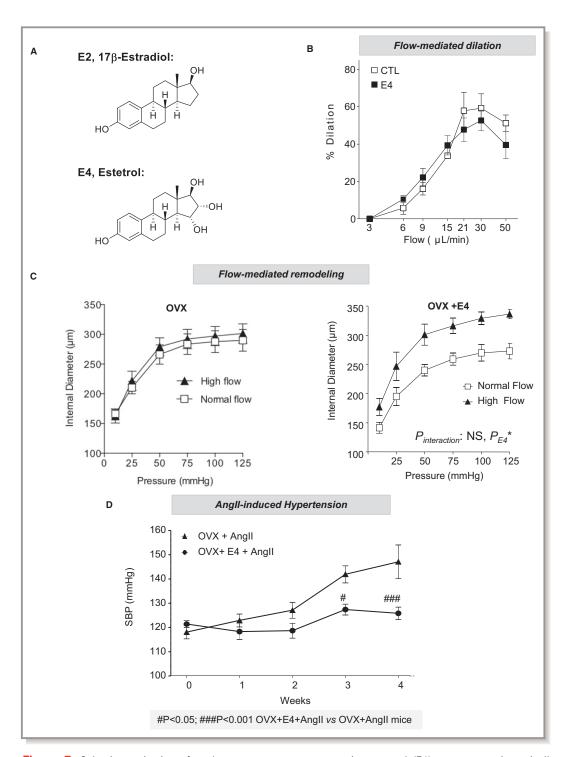


Figure 7. Selective activation of nuclear estrogen receptor α using estetrol (E4) prevents angiotensin II (Angll)-induced hypertension and favors flow-mediating remodeling. A, Chemical structures of 17β-estradiol (E2) and E4. B, Short-term flow-mediated dilation was assessed in pressurized precontracted mesenteric arteries. Vasodilating response to stepwise increase in intraluminal flow was evaluated in the presence of E4 (30 minutes) or vehicle (n=8). C, Flow-mediated remodeling was evaluated in mesenteric arteries isolated from ovariectomized (OVX) mice treated chronically with vehicle or E4 over 2 weeks (n=6). Arterial diameter was measured in response to stepwise increases in pressure in mesenteric arteries submitted chronically to high flow or to normal flow. D, Effect of E4 on AnglI treatment was evaluated in wild-type female mice OVX 2 weeks before sham surgery or simultaneous implantation with osmotic minipumps delivering Angll (1 month) and E4 (n=7). Mean of systolic blood pressure (SBP) measurements for 5 days were represented as weekly evolution of blood pressure pre-AnglI (week 0) and post-AnglI treatment. CTL indicates control.

induced by Angll is lower in female than in male mice. 40,41 The protective effect of 17β-estradiol is, at least in part, mediated by the Angll type 2 receptor. 42 This receptor is counteracting the effect of the type 1 receptor with vasodilator effect not subjected to desensitization, 43 although in hypertension, this balance is not necessarily in favor of a vasodilator tone. 44,45 The measurement of blood pressure in mice using plethysmography (tail cuff) can be considered as a limitation, because this technique is less accurate than telemetry. However, this limitation has to be taken with caution as regard to baseline or central blood pressure with the tail cuff method and some concern about the impact of the surgery for telemetry. 46 Nevertheless, the increase in pressure induced by AnglI is the same whether it is measured using plethysmography or telemetry.46 Our work is in agreement with previous works showing that  $ER\alpha$  was involved in the protective effect of 17β-estradiol against Angll-induced hypertension.<sup>47</sup> Interestingly, a protective role for ER $\alpha$  has been also suggested in pulmonary hypertension.<sup>48</sup> The present study definitively demonstrates the role of  $\text{ER}\alpha$  in this beneficial effect of endogenous estrogens independently on  $ER\alpha$  MISS.

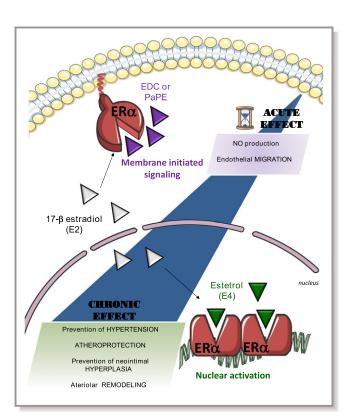
Nevertheless, a role for  $\text{ER}\beta$  has been also shown in basal arterial blood pressure. Indeed, basal arterial blood pressure increases more in  $ER\beta^{-/-}$  mice during aging than in agematched control mice.<sup>49</sup> In addition, the pharmacological activation of ERB leads to blood pressure lowering in spontaneously hypertensive rats with reduced myocardial hypertrophy.  $^{50}$  Arias-Loza et al also show that both  $\text{ER}\alpha$  and ERβ activation attenuates cardiovascular remodeling in aldosterone salt-treated rats.<sup>51</sup> In the present study, we observed no protective role of ERβ against Angll-mediated hypertension in adult female mice (Figure S2). Thus, both ER $\alpha$  and ER $\beta$  could contribute to the control of arterial blood pressure, according to the context (aging versus Angll-induced hypertension), and their precise role and how they interact remain to be further investigated. In addition, beside ERs, G protein-coupled estrogen receptor 1 (GPER), has emerged as a third ER. Despite conflicting results obtained with the different mice models of GPER inactivation, 52 GPER activation has been reported to exert several beneficial effects in the cardiovascular system, including protection against atherosclerosis and hypertension. 53,54 Treatment with the selective agonist G-1 reduces atherosclerosis in ovariectomized mice, and the beneficial effects of GPER in this model are associated with a reduction in macrophage and T-cell recruitment, indicating an anti-inflammatory mechanism.<sup>55</sup> In addition, activation of GPER has been reported to protect female mice from saltand pressure-induced vascular damage. 56,57 Altogether, although activation of nuclear, but not membrane,  $ER\alpha$  is necessary to induce a protection against atherosclerosis and hypertension, we cannot exclude the participation of other membrane-initiated steroid signal elicited through GPERmediated pathway.

Because hypertension is a major risk factor for cardiovascular diseases and especially for ischemic disorders, we also investigated FMR, which has a major role in the homeostasis of tissue perfusion. Indeed, FMR consists of vascular enlargement or outward remodeling induced by flow (shear stress) in small collateral arteries surrounding ischemic areas.38 It contributes to the prevention of further tissue injury (eg, in limb or myocardial ischemia). FMR has been shown to be reduced by hypertension, 58,59 diabetes mellitus, 60,61 and aging. 11,62 More important and rather unexpectedly, we previously reported that FMR depends on the presence of  $ER\alpha^{15,63}$  and could contribute to the better resistance to ischemia/necrosis of female compared with male mice. Herein, we report that  $ER\alpha$  MISS is also dispensable to mediate this beneficial action of estrogens.

We previously demonstrated<sup>22</sup> and confirmed herein, using another experimental approach, that ERa MISS is critical for the production of NO. Because NO is a well-recognized vasculoprotective mediator and guardian of arterial integrity,  $^{27}$  and because 17 $\beta$ -estradiol stimulates endothelial NO production through ERα MISS activation, 22 one of the main surprises and unexpected findings in the present study is the absence of a role of ER $\alpha$  MISS on vascular protection. Indeed, it is largely believed that the increase in endothelium-derived NO plays an important role in the vasculoprotective actions of estrogens, in particular in their atheroprotective action. 18,64 Interestingly, present finding is strikingly consistent with our previous work published 20 years ago, reporting that the atheroprotective effect of exogenous  $17\beta$ -estradiol was not altered by NOS inhibition.<sup>65</sup> Maeda's group subsequently refined this conclusion using hypercholesterolemic eNOS<sup>-/-</sup> mice, showing that eNOS gene inactivation favored atheroma through hypertension, whereas the lack of local endothelial NO after blood pressure normalization did not contribute to the atheroprotective effect of 17β-estradiol. 66 In addition, the absence of caveolin-1, which is involved in  $ER\alpha$ -associated eNOS activation at the level of the plasma membrane, had no impact on FMR.67

Then, in addition to genetic model of loss of function, pharmacological tools, such as PaPE and EDC, represent a complementary approach to evaluate  $ER\alpha$  MISS action. Contrary to their stimulatory action on reendothelialization and on NO production, 22,23 none of these molecules was able to prevent atheroma  $LDLr^{-/-}$  mice. Interestingly, a similar finding was previously reported in EDC-treated apolipoprotein E-deficient mice.<sup>68</sup> Accordingly, we previously showed that 17β-estradiol failed to induce any atheroprotective action using mouse model in which nuclear effects are abrogated  $(ER\alpha-AF20/LDLr^{-/-}$  mice), highlighting the requirement of nuclear/transcriptional actions of  $ER\alpha$ for

atheroprotection at the level of the aortic sinus.<sup>32</sup> Herein, we further extend the crucial role of ERαAF2 in atheroma prevention by endogenous estrogens through en face analysis of the thoracic and abdominal aorta (ie, in arterial sites where the shear stress is less dramatically altered compared with that at the aortic sinus). Moreover, the present study allows us to identify the crucial role of ERαAF2 in the protective effect of estrogens against high blood pressure and to promote FMR. In line with the genetic approach showing the necessary role of nuclear ERα, the response of estetrol, which has an unusual profile of  $ER\alpha$  activation, and uncoupling nuclear and membrane activation<sup>28</sup> demonstrate the vasculoprotective potential of nuclear  $ER\alpha$  activation alone. Indeed, we previously reported the potent atheroprotective effect of estetrol<sup>28</sup> as well as its capacity to prevent neointimal hyperplasia after endovascular femoral artery injury. 14 Herein, we show that estetrol prevents hypertension and vascular hypertrophy induced by Angll and allows FMR. These experimental data complement previous clinical studies reporting that estetrol has less effect than ethinyl-estradiol on hepaticderived hemostatic biomarkers<sup>69</sup> and, therefore, could be the only oral estrogen that does not increase the risk of



**Figure 8.** The beneficial actions of estrogen receptor  $\alpha$  (ER $\alpha$ ) activation on atheroma, hypertension, neointimal hyperplasia, and arterial remodeling all rely on nuclear ER $\alpha$ , whereas ER $\alpha$  membrane-initiated signaling is restricted to the rapid endothelial actions. E2 indicates 17β-estradiol; E4, estetrol; EDC, estrogendendrimer conjugate; and PaPE, pathway preferential estrogen.

thromboembolic events. Because estetrol, in combination with a progestin, is able to block ovulation, this fetal estrogen is being evaluated as both a new contraceptive and a new hormonal treatment for menopause.<sup>69</sup>

To conclude, the combination of genetically modified mouse models (membrane and nuclear loss of function) and pharmacological approaches (membrane and nuclear gain of function) is unique in the area of nuclear receptors. This combination has led to the following conclusions, summarized in Figure 8: (1)  $ER\alpha$  MISS is necessary and sufficient to promote 2 short-term actions of  $17\beta$ -estradiol on the endothelium: the acceleration of endothelial wound healing, which involves mainly endothelial cell migration, 70 and the increase in endothelial NO production. (2) By contrast,  $\mathsf{ER}\alpha$ MISS is neither necessary nor sufficient for eliciting the longterm effect of estrogens on arteries (ie, protection against neointimal hyperplasia, 14 atheroma, 28 hypertension, and induction of FMR). (3) Accordingly, the nuclear  $ER\alpha$  activation elicited by estetrol (that does not activate  $ER\alpha$  MISS) is sufficient to confer these 4 major vasculoprotective actions. These results shed a new light on the mechanisms of the vasculoprotective effects of estrogens and will help to understand the tissue-specific actions of selective ER modulators, one of the major mysteries of ER biological features.

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15

#### **Disclosures**

Foidart is a consultant at Mithra, the company that develops estetrol-based women's healthcare products and has received a research grant. This relationship is significant. The remaining authors have no disclosures to report.

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16

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17

# SUPPLEMENTAL MATERIAL



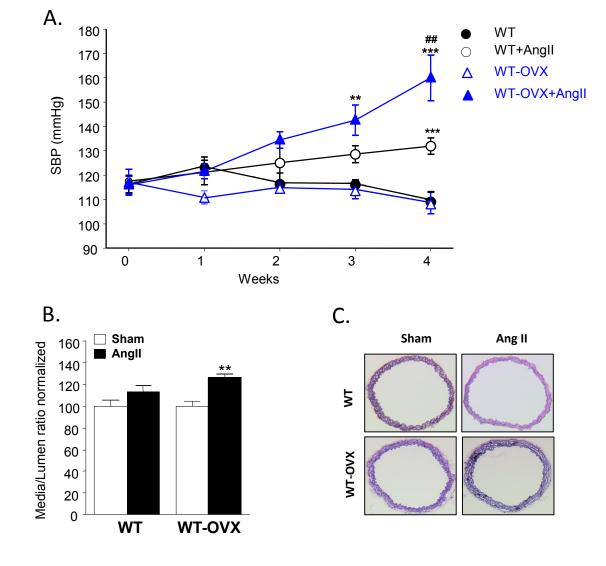
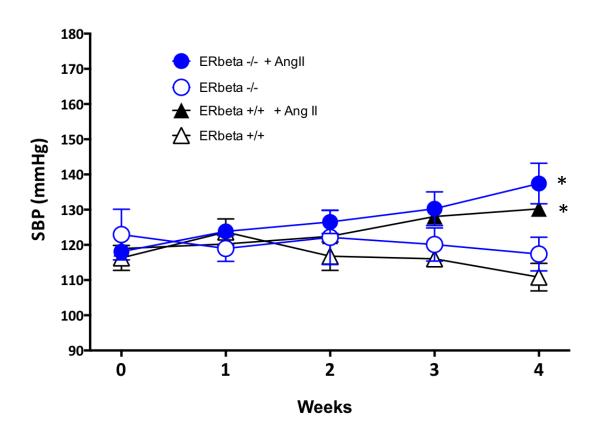


Figure S1. Effect of estrogen depletion on systolic blood pressure and aortic remodeling.

(A) Systolic blood pressure measurements in intact wild type (WT) and in ovariectomized (OVX) mice implanted with osmotic minipumps delivering angiotensin II (AngII, 500 ng/kg per day, 1 month) to induce hypertension or sham operated (Sham). (B) Media/Lumen Ratio and (C) Representative images of aortic remodeling in WT and WT-OVX mice.

Values are presented as mean  $\pm$  SEM (n=6), and statistically compared to respective control group. \*: p<0.05; \*\*: p<0.01 \*\*\*: p<0.001 Ang II vs respective sham; # p<0.01 WT vs WT-OVX.



**Figure S2.** Effect of the absence of estrogen receptor beta (ERbeta) on systolic blood pressure in mice Systolic blood pressure measurements in intact wild type (ERbeta +/+) and in mice lacking ERbeta (ERbeta -/-) mice implanted with osmotic minipumps delivering angiotensin II (AngII, 500 ng/kg per day, 1 month) to induce hypertension or sham operated.

Values are presented as mean  $\pm$  SEM (n=6 per group), and statistically compared to respective control group. \*: p<0.05; Ang II vs respective control

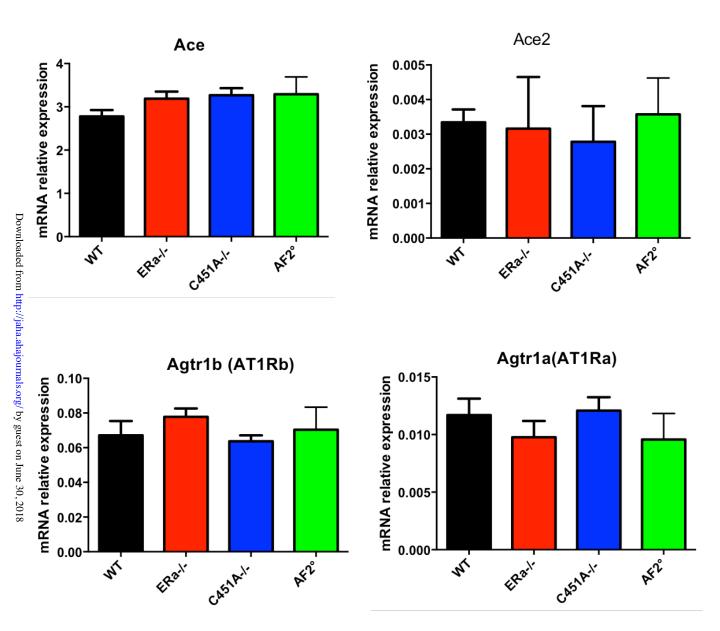


Figure S3. Gene expression level of the angiotensin converting enzymes Ace and Ace2 and of the angiotensin II type 1 receptor (AT1Ra and AT1Rb) measured in intact wild type (WT) and in ER $\alpha$ --, ER $\alpha$ C451A and ER $\alpha$ AF20 mice. Values are presented as mean  $\pm$  SEM (n=5 per group), and statistically compared to the WT group. No significant difference was observed between groups.