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# Gene transactivation without direct DNA binding defines a novel gain-of-function for PML-RAR $\alpha$

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**PML-RAR $\alpha$  is the causative oncogene in 5% to 10% of the cases of acute myeloid leukemia. At physiological concentrations of retinoic acid, PML-RAR $\alpha$  silences RAR $\alpha$  target genes, blocking differentiation of the cells. At high concentrations of ligand, it (re)activates the transcription of target genes, forcing terminal differentiation. The study of RAR $\alpha$  target genes that mediate**

**this differentiation has identified several genes that are important for proliferation and differentiation control in normal and malignant hematopoietic cells. In this paper, we show that the PML-RAR $\alpha$  fusion protein not only interferes with the transcription of regular RAR $\alpha$  target genes. We show that the *ID1* and *ID2* promoters are activated by PML-RAR $\alpha$  but, unexpectedly, not by wild-**

**type RAR $\alpha$ /RXR. Our data support a model in which the PML-RAR $\alpha$  fusion protein regulates a novel class of target genes by interaction with the Sp1 and NF-Y transcription factors, without directly binding to the DNA, defining a gain-of-function for the oncoprotein. (Blood. 2008;111:1634-1643)**

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## Introduction

Acute promyelocytic leukemia (APL) is characterized by an excess of immature promyelocytes in the bone marrow that fail to differentiate toward mature granulocytes. In approximately 98% of the cases, the retinoic acid receptor- $\alpha$  (RAR $\alpha$ ) gene is fused to the promyelocytic leukemia (PML) gene resulting in a PML-RAR $\alpha$  fusion protein. The PML-RAR $\alpha$  chimeric protein contains most of the PML sequence and a large part of RAR $\alpha$ , including its DNA- and nuclear hormone-binding domains. APL blasts can be forced to terminally differentiate using pharmacological doses of all-*trans* retinoic acid (ATRA). When treated with chemotherapy, APL patients can be cured in approximately 40% of the cases. The combination of ATRA with chemotherapy leads to a remarkably high cure rate of approximately 90%,<sup>1,2</sup> and APL currently represents the best prognostic group among the different forms of leukemia. This treatment constitutes one of the first examples of successful induction of differentiation of malignant cells yielding significant clinical results.

The role of PML-RAR $\alpha$  in transformation and terminal differentiation has been studied intensively in the past decade. PML-RAR $\alpha$  was shown to act as a dominant oncogene, interfering with the normal function of the unrearranged PML as well as the unrearranged RAR $\alpha$  protein. Expression of the fusion protein in immature hematopoietic cells induced a maturation block at the promyelocytic stage. Inoculation of PML-RAR $\alpha$ -transduced bone marrow cells into irradiated syngenic mice resulted in the development of retinoic acid-sensitive leukemia.<sup>3,4</sup> Furthermore, PML-RAR $\alpha$  transgenic mice developed a myeloproliferative syndrome that pro-

gressed to overt leukemia in 30% to 90% of the animals after 6 to 12 months, depending on the promoter that was used.<sup>5-7</sup>

PML is a ubiquitously expressed protein that localizes to nuclear substructures termed nuclear bodies. More than 50 protein partners with various biologic functions colocalize with PML.<sup>8</sup> In APL cells, these nuclear bodies are disrupted and dispersed into numerous small microspeckles.<sup>9</sup> PML has multiple tumor-suppressor functions and is involved in growth control, replicative senescence, and apoptosis.<sup>10</sup> PML<sup>-/-</sup> mice are prone to develop tumors in response to various forms of stress. In addition, PML-RAR $\alpha$  transgenic mice develop leukemia much faster in a PML<sup>-/-</sup> background.<sup>11</sup>

Retinoic acid receptors are transcription factors that activate genes in a ligand-dependent manner. RAR $\alpha$  binds to DNA as a heterodimer with RXR proteins. In the absence of ligand, both RAR $\alpha$ /RXR and PML-RAR $\alpha$  bind corepressors such as N-CoR and SMRT and recruit histone deacetylases leading to gene silencing. In the presence of ligand, the corepressors are replaced by coactivators, leading to transcriptional activation. However, PML-RAR $\alpha$  releases the corepressors at much higher concentrations of ligand compared with the unrearranged receptors. Since PML-RAR $\alpha$  competes with unrearranged receptors for the same DNA-binding sites, the presence of the fusion protein results in dominant silencing of retinoic acid receptor target genes at physiological concentrations of ligand. At higher, supraphysiological concentrations the fusion protein can still function as a transcriptional activator releasing the corepressor complex and allowing the

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transcription of genes that are important for granulocytic differentiation.<sup>12-15</sup> The release of the differentiation block by high concentrations of retinoic acid leads to terminal granulocytic differentiation of the leukemic cells and the induction of hematologic remissions in the patients. RAR $\alpha$  target genes are not restricted to genes that contain a consensus retinoic acid receptor-binding site in their promoter. Liganded RAR $\alpha$  is able to repress AP-1-mediated transcription.<sup>16-18</sup> PML-RAR $\alpha$  abnormally regulates AP-1 activity as it stimulates AP-1-dependent transcription in the presence of ligand, whereas unliganded PML-RAR $\alpha$  inhibits AP-1-dependent transcription.<sup>19</sup> In addition, RAR $\alpha$  may interfere with GATA-2-dependent transcription. Liganded RAR $\alpha$  enhances GATA-2-dependent gene transcription via direct protein-protein interaction.<sup>20</sup> This activity is retained in the PML-RAR $\alpha$  fusion protein.<sup>21</sup> Furthermore, interaction of normal retinoid receptors with the transcription factor Sp1 has been shown.<sup>22,23</sup> Together, the data support a model in which PML-RAR $\alpha$  interferes with RAR $\alpha$  target gene expression in a dominant fashion, and that restoration of target gene expression by high concentrations of ligand is important for the induction of differentiation.

Apart from interference with the function of both parental proteins in a dominant-negative manner, a gain-of-function for PML-RAR $\alpha$  is suggested by various observations. PML-RAR $\alpha$  may bind to DNA as a heterodimer with RXR, but also independently from RXR as a homodimer.<sup>24</sup> It may bind to regular retinoic acid receptor-binding sites consisting of a repeated consensus ((A/G)G(T/G)TCA) sequence, but the required spacing between the 2 half-sites is less stringent for the fusion protein. This allows the fusion protein to bind to a wider range of DNA-target sequences compared with normal receptors.<sup>25,26</sup> The importance of PML-RAR $\alpha$  as a transcriptional activator of differentiation-inducing genes was shown by in vitro experiments. Expression of PML-RAR $\alpha$  in the myeloid cell line U937 (that also expresses normal retinoic acid receptors) enhanced their sensitivity to the induction of differentiation by ATRA.<sup>27</sup> In addition, forced expression of PML-RAR $\alpha$ , but not RAR $\alpha$ , in an ATRA-resistant APL cell line with constitutive degradation of the chimeric protein restored ATRA sensitivity.<sup>28</sup> Importantly, in APL patients who became resistant to differentiation induction with ATRA during therapy, additional mutations were found in the ligand-binding domain of the RAR $\alpha$  part of the PML-RAR $\alpha$  fusion protein, indicating an important role for the fusion protein during the retinoic acid-induced differentiation of the leukemic cells.<sup>29</sup> Finally, experimental mouse models in which various natural and artificial RAR $\alpha$  fusion proteins were expressed also support a model in which interference with the function of RAR $\alpha$  and PML is important, but in addition, they suggest that the PML-RAR $\alpha$  fusion protein exhibits gain-of function characteristics, unique to the fusion protein.<sup>7,30</sup> So far, the mechanisms behind these observations remain largely unclear.

We have previously shown that the transcription factor inhibitors ID1 and ID2 are up-regulated upon treatment with ATRA and play a role in cell cycle arrest during APL differentiation.<sup>31</sup> In this study, we investigated the mechanism by which these genes are regulated in APL cells. We found that ID1 and ID2 are regulated by PML-RAR $\alpha$  through a novel mechanism, which is not shared with normal RAR $\alpha$ , defining an as-yet-unrecognized class of retinoic acid-induced genes in APL.

## Methods

### Cell culture

NB4, U937, and U937-PR9 cells (kindly provided by Dr P. G. Pelicci and Dr F. Grignani) were cultured in RPMI 1640 medium (Gibco, Gaithersburg,

MD); Hep3b cells, in IMDM (Gibco); and HEK293 cells, in DMEM + 2 mM L-glutamine. Media were supplemented with 10% FCS (Gibco). ATRA was used at a final concentration of 10<sup>-6</sup> M (Sigma-Aldrich, St Louis, MO) and ZnSO<sub>4</sub>, at 100  $\mu$ M. Cycloheximide (ICN, Costa Mesa, CA) was added 30 minutes prior to ATRA at 4  $\mu$ g/mL.

### DNA constructs and antibodies

The human ID1 promoter was obtained from J. Campisi (University of California, Berkeley). The ID2 promoter<sup>32</sup> was cloned into the *XhoI-HindIII* sites of the pGL3-basic vector (Promega, Madison WI) after amplification with the following primers: sense 5'-GTACGGTACCTC-GAGTTGGGCATGGTTTGAATA-3' and antisense 5'-GTACAGATCTA-AGCTTGAAGCCCGAGCCCGGC-3'. RARE<sub>3</sub>-tk-luc,<sup>33</sup> PML-RAR $\alpha$  $\Delta$ R,<sup>34</sup> and PML-RAR $\alpha$  $\Delta$ CC, PML-RAR $\alpha$ , RAR $\alpha$ , and RXR expression constructs<sup>25</sup> were as described. FLAG-PML-RAR $\alpha$  was from A. Tomita<sup>35</sup> and was recloned into a cytomegalovirus (CMV) expression vector. ID1 promoter deletion/mutation fragments were constructed by polymerase chain reaction (PCR) and cloned into the *XhoI-HindIII* sites of PGL3-basic (Promega). All constructs were sequence verified. The dominant-negative NF-YA (YAm29) construct and anti-NF-YB polyclonal antiserum were from R. Mantovani (University of Milan, Milan, Italy). pGEX-Sp1 was from H. Rotheneder (University of Vienna, Vienna, Austria). Anti-Sp1 (PEP-2), anti-NF-YA (H-209), anti-RAR $\alpha$  (C-20), and anti-ID1 (Z-8) antibodies were purchased from Santa Cruz Biotechnology (Santa Cruz, CA). Anti-PML rabbit antibody was as described.<sup>9</sup> Anti-FLAG (M2) antibody was from Sigma. As a control in the chromatin immunoprecipitation (ChIP) experiments, human IgG was used (Ivegam, Sanquin, The Netherlands).

### Northern blotting

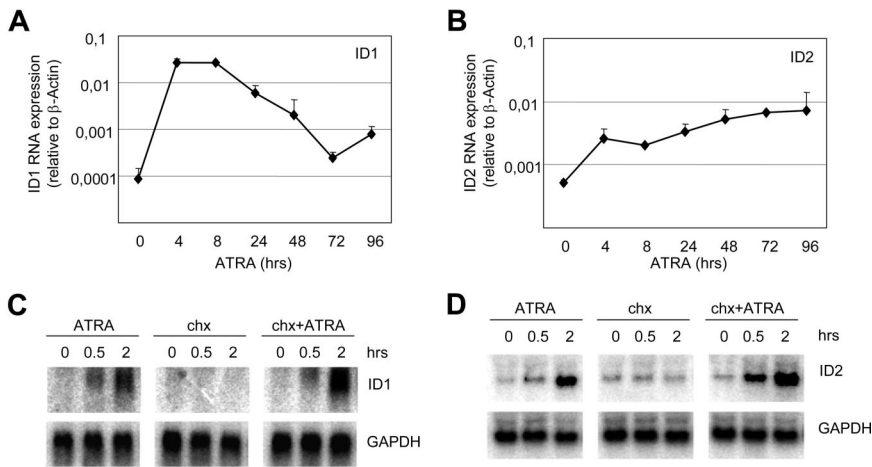
Total cellular RNA was isolated by guanidium-isothiocyanate lysis and centrifugation on a 5.7 M cesium chloride cushion. Total RNA (10  $\mu$ g) was size-fractionated by 1% agarose-formaldehyde gel electrophoresis and transferred onto Hybond N<sup>+</sup> nylon membranes (Amersham, Buckinghamshire, United Kingdom). Filters were hybridized at 65°C overnight in phosphate buffer (2N NaH<sub>2</sub>PO<sub>4</sub>, 7% sodium dodecyl sulfate [SDS], 1 mM EDTA, pH 8, and 1% BSA). DNA probes were labeled with [<sup>32</sup>P] $\alpha$ -dATP by random primed labeling (Boehringer, Mannheim, Germany). After hybridization, filters were washed in 0.2  $\times$  SSC/0.2% SDS for 15 minutes at 65°C. Northern blots were hybridized to radiolabeled human ID1 or ID2 probes (kindly provided by Dr S. Stegman). As a control for equal loading, filters were stripped and hybridized to a 777-bp *HindIII-EcoRI* human GAPDH fragment.

### Transactivation studies

Cells were transfected using calcium-phosphate precipitates with 0.25  $\mu$ g pGL3-ID1 or -ID2 promoter, 0.05  $\mu$ g nuclear receptor expression vector, 0.1  $\mu$ g Renilla vector (pRL-CMV; Promega), and 1  $\mu$ g YAm29 expression vector. The total amount of DNA was normalized to 1.4  $\mu$ g for all transfections using empty vectors. Cells were harvested 16 hours after ATRA treatment using 100  $\mu$ L Passive Lysis Buffer (Promega). Firefly luciferase and Renilla luciferase activities were measured on a luminometer (Lumat LB 9507; Perkin-Elmer/Applied Biosystems, Foster City, CA) using Dual-Luciferase Reporter Assay System reagents (Promega).

### Electrophoretic mobility shift assay

Nuclear extracts were prepared as described,<sup>36</sup> and 5  $\mu$ g was incubated in a total volume of 15  $\mu$ L containing 1  $\mu$ g double stranded poly(dI)-poly(dC), 10 mM HEPES, 50 mM KCL, 1 mM DTT, 1 mM PMSF, 2.5 mM MgCl<sub>2</sub>, 10% (wt/vol) glycerol, 300  $\mu$ g/mL BSA, and 0.5 ng of a labeled double-stranded oligonucleotide probe: ID1 5'-CCGCCATTGGCT-GCTTTTGAACGT. To show specificity of binding, nonlabeled 100-fold excess of double-stranded oligonucleotides was added to compete for binding with the labeled probe. For this, either the ID1 probe (self-competition), a sequence not containing any CCAAT box (5'-TCAGAGT-TCAAGTTCTAGTCGCTGCGGC), or a NF-Y-binding site containing



**Figure 1. *ID1* and *ID2* are direct retinoic acid target genes in NB4 cells.** Quantitative PCR and Northern blot analysis of NB4 cells treated with ATRA. mRNA was isolated from NB4 cells and *ID1* (A) and *ID2* (B) expression was determined using specific primers and probes by quantitative PCR ( $n = 4$ ). Quantities were normalized based on  $\beta$ -actin expression. To investigate whether the induction of *ID1* and *ID2* was dependent on intermediate protein production, cells were treated with cycloheximide alone (4  $\mu$ g/mL) or with the combination of cycloheximide and ATRA ( $10^{-6}$  M). Blots were hybridized using radiolabeled *ID1*-specific (C) and *ID2*-specific (D) probes. As a control for equal loading, blots were stripped and rehybridized with GAPDH-specific probes.

oligonucleotide from the CD10 gene (5'-ATCCCGACCAATGAGCG-CACGGGGCCGGGT) was used.<sup>37</sup> DNA-Protein complexes were resolved on a 5% nondenaturing polyacrylamide gel in  $0.5 \times$  TBE buffer.

### GST pull-down

GST fusion proteins were produced in *E coli* BL21 which were induced at  $A_{600} = 0.5$  with 300  $\mu$ M IPTG for 4 hours. Proteins were released by sonication and loaded onto glutathione-agarose (Sigma-Aldrich) by incubation at 4°C for 2 hours in lysis buffer (0.15 M NaCl, 50 mM Tris [pH 8.3], 10 mM EDTA, 0.5% NP40, and protease inhibitors). Pull-down was performed using in vitro-translated PML-RAR $\alpha$  and PML-RAR $\alpha$ Δcc (reticulocyte lysate; Promega) or rHSp1 (Promega), by 2-hour incubation at 4°C. Beads were washed in lysis buffer and subsequently resuspended in SDS-loading buffer.

### Chromatin immunoprecipitation

DNA-protein cross-linking was done for 30 minutes at room temperature by adding formaldehyde at a final concentration of 1% directly to the culture medium. Cross-linking was stopped by the addition of glycine to a final concentration of 125 mM. Cells were washed with ice-cold phosphate-buffered saline, buffer B (10 mM EDTA, 0.5 mM EGTA, 0.25% Triton X-100, 20 mM HEPES [pH 7.6]), and buffer C (1 mM EDTA, 0.5 mM EGTA, 0.15 M NaCl, 50 mM HEPES [pH 7.6]) and resuspended in incubation buffer (0.15% SDS, 1% Triton X-100, 0.15 M NaCl, 1 mM EDTA, 0.5 mM EGTA, 20 mM HEPES [pH 7.6] and protease inhibitors) at  $33 \times 10^6$  cells/mL. Chromatin was sonicated using the Bioruptor (Cosmo Bio, Tokyo, Japan), at high intensity for 15 minutes with 0.5-minute intervals. Insoluble material was removed by centrifugation at 4°C for 15 minutes. Supernatant (120  $\mu$ L) was incubated with 30  $\mu$ L precoated protein A/G plus agarose beads 50% vol/vol (Santa Cruz Biotechnology), 0.1% BSA, 36  $\mu$ L  $5 \times$  incubation buffer, protease inhibitors and 2 to 5  $\mu$ g antibody (anti-NF- $\kappa$ B, anti-PML, anti-FLAG, or nonspecific IgG from human serum) and rocked at 4°C for 16 hours. Beads were harvested by centrifugation and washed twice with buffer 1 (0.1% SDS, 0.1% NaDOC, 1% Triton X-100, 0.15 M NaCl, 1 mM EDTA, 0.5 mM EGTA, and 20 mM HEPES [pH 7.6]), once with buffer 2 (0.1% SDS, 0.1% NaDOC, 1% Triton X-100, 0.5 M NaCl, 1 mM EDTA, 0.5 mM EGTA, and 20 mM HEPES [pH 7.6]), once with buffer 3 (0.25 M LiCl, 0.5% NaDOC, 0.5% NP-40, 1 mM EDTA, 0.5 mM EGTA, 20 mM HEPES [pH 7.6]), and twice with buffer 4 (1 mM EDTA, 0.5 mM EGTA, 20 mM HEPES [pH 7.6]). Chromatin antibody complexes were eluted by the addition of 1% SDS and 0.1 M NaHCO<sub>3</sub> to the pellet and incubated for 20 minutes at room temperature. Cross-linking was reversed by the addition of NaCl (0.44 M final concentration) and incubation of the eluted samples for at least 4 hours at 65°C. DNA was recovered by phenol-chloroform-isoamylalcohol extraction followed by chloroform-isoamylalcohol extraction and precipitated by the addition of 0.1 volume of 1 M sodium acetate (pH 5.2), and 2.5 volumes of ethanol. Precipitated DNA was dissolved in water, and input as well as

immunoprecipitated DNA were analyzed using quantitative PCR for genomic sequences from the *ID1*, *ID2*, *RAR $\beta$* , and *p21* promoter regions.<sup>38</sup>

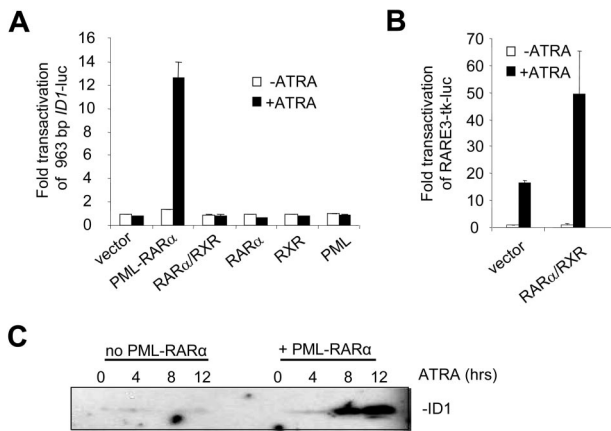
### Quantitative PCR

Quantitative PCR to measure *ID1* and *ID2* mRNA expression was performed with the ABI/PRISM 7700 Sequence Detection system (ABI/PE). As a reference gene, *PBGD* was used. The primer/probe sequences for the *ID1* gene were as follows: sense 5'-GTTACTCACGCCTCAAG-GAGCT, antisense 5'-GAGAATCTCCACCTTGCTCACC, and probe FAM 5'-CCCACCTGCCCCAGAACC; for *ID2*: sense 5'-GACTGCTACTC-CAAGTCAAGGA, antisense 5'-CGTGTGTCAGGATTTCAT, and probe FAM 5'-CCCAGCATCCCCAGACAAGAAGG. PCRs were done in a 50- $\mu$ L reaction mixture (1.25 U AmpliTaq Gold,  $1 \times$  buffer A [both ABI/PE], 250 mM dNTPs [Pharmacia], and 5 mM MgCl<sub>2</sub>) for 10 minutes at 95°C followed by 45 cycles of 15 seconds at 95°C and 1 minute at 62°C. Quantitative PCR following ChIP was done using Sybr Green PCR (ABI/PE). Primer sequences for the *ID1* promoter were as follows; sense 5'-CACTGCGAGCAGGCACTAGAC and antisense 5'-AGCCACA-GCTTGTCTTT; for the *ID2* promoter: sense 5'-CTGTACTAT-TTACCACCCAGCTG and antisense 5'-GGCGTGGGCTTGGTCTT; for the *RAR $\beta$*  promoter: sense 5'-TTGGGTCATTGAAGGTTAGCA and antisense 5'-CACACAGAATGAAAGATTGAATTGC; for the *p21* gene, sense 5'-GGCGGGGCGGTTGTAT and antisense 5'-AAG-GAACTGACTTCGGCAGC.

## Results

### *ID1* and *ID2* are direct retinoic acid-responsive genes in APL cells

Basic helix-loop-helix (bHLH) transcription factors and their inhibitors, ID proteins, play crucial roles in the regulation of differentiation in various cell types. We have shown that *ID1* and *ID2* are up-regulated in APL cells upon exposure to ATRA.<sup>31</sup> Up-regulation of *ID1* and *ID2* mRNA was confirmed with qPCR (Figure 1A,B), showing clear up-regulation within 4 hours after the addition of ATRA. To investigate whether *ID1* and *ID2* were directly up-regulated by ATRA, cells were treated with cycloheximide prior to the addition of ATRA to inhibit protein translation. Both *ID1* and *ID2* mRNAs were up-regulated within 0.5 hours by ATRA, regardless of the addition of cycloheximide (Figure 1C,D). This indicates that both genes were directly up-regulated, without intermediate protein production.



**Figure 2. The *ID1* promoter is transactivated by PML-RAR $\alpha$  but not by RAR $\alpha$ /RXR.** (A) Hep3B cells were transfected with the 963-bp *ID1* promoter-luciferase reporter construct (*ID1-luc*) together with a control vector expressing Renilla luciferase. In addition, vectors coding for the various proteins indicated in the figure were transfected. Transactivation is expressed as arbitrary units and is corrected for transfection efficiency measured by Renilla luciferase. Background luminescence of the cells transfected with only the reporter construct without nuclear receptors and in the absence of ATRA was set at 1. Cells were cultured for 16 hours without (□) and with (■) ATRA. Mean values and standard deviations from 3 independent experiments ( $\pm$  SD) are shown. (B) To show transactivation by unarranged retinoic acid receptors, cells were transfected with the RARE3-tk-luc vector, containing 3 bona fide RAREs. Mean values of 3 independent experiments ( $\pm$  SD) are shown. (C) *ID1* expression is increased by PML-RAR $\alpha$  in the presence of ATRA. The zinc-inducible PML-RAR $\alpha$  cell line U937-PR9 was grown in the absence (left panel) or presence (right panel) of zinc for 16 hours. Cells were treated with ATRA and harvested at the indicated time points. Proteins were size-fractionated by SDS-polyacrylamide gel electrophoresis (SDS-PAGE). Immunostaining was done with anti-*ID1* antibody.

**The *ID1* upstream promoter is transactivated by PML-RAR $\alpha$  but not by RAR $\alpha$ /RXR**

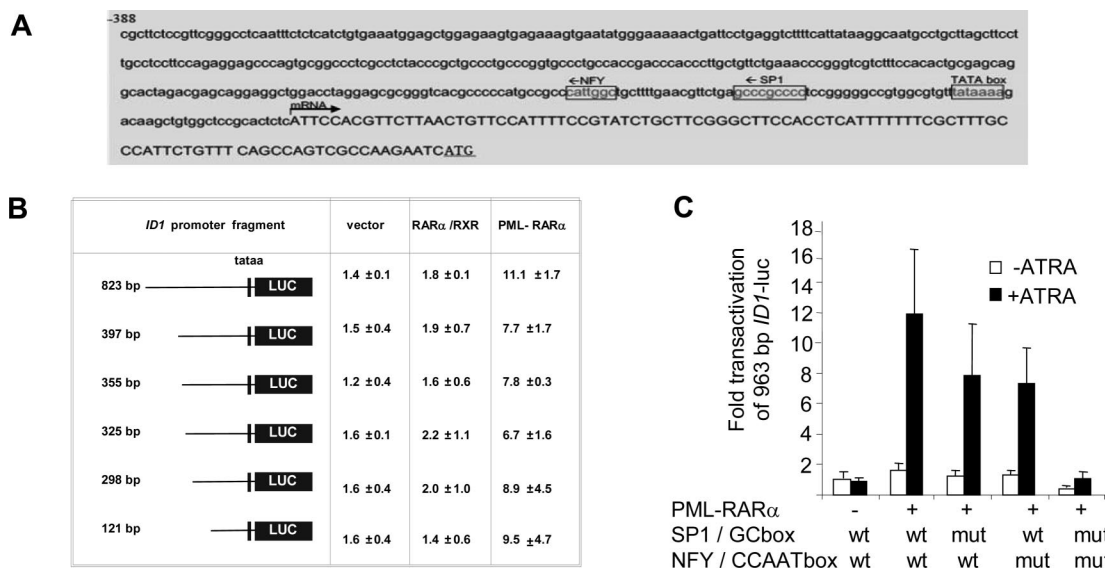
To identify the regulatory DNA sequences through which the induction of *ID1* by retinoic acid was mediated, we analyzed the 5' upstream promoter sequence in transactivation assays. A 963-bp

fragment of the promoter, including the putative TATA box, was cloned into a luciferase reporter construct. When expressed alone, RAR $\alpha$ , RXR, and PML were not able to transactivate the *ID1* promoter both in the absence and presence of ATRA (Figure 2A). In contrast, PML-RAR $\alpha$  transactivated the promoter more than 12-fold in an ATRA-dependent fashion. Surprisingly, the combination of RAR $\alpha$ /RXR did not transactivate the promoter, both in the absence and presence of ATRA. To verify that RAR $\alpha$  and RXR were expressed and functional, a control luciferase construct was used containing 3 bona fide RAREs from the RAR $\beta$  promoter (RARE<sub>3</sub>-tk-luc<sup>39</sup>). This construct was strongly transactivated in the presence of ATRA through endogenous retinoic acid receptors (Figure 2B left bars). This was further enhanced when RAR $\alpha$  and RXR were cotransfected (Figure 2B right bars). Together this shows that PML-RAR $\alpha$  may regulate *ID1* expression through the upstream 963-bp promoter, whereas RAR $\alpha$ /RXR cannot.

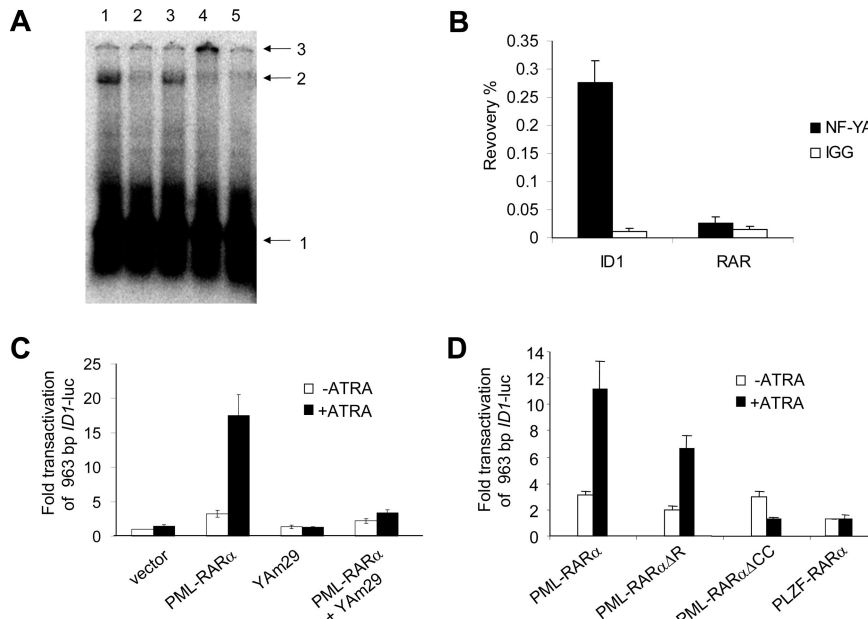
To test whether PML-RAR $\alpha$  expression would result in ATRA-dependent induction of the endogenous *ID1* gene, we used the U937-PR9 cell line that is stably transfected with a Zn<sup>2+</sup>-inducible PML-RAR $\alpha$  expression cassette.<sup>34</sup> In PML-RAR $\alpha$ -expressing U937 cells, ATRA strongly induced *ID1* expression (Figure 2C right panel), in contrast to U937 cells that did not express PML-RAR $\alpha$  (Figure 2C left panel).

**Transactivation of the *ID1* promoter is dependent on GC and CCAAT box motifs**

To determine the DNA sequences that are relevant for the observed PML-RAR $\alpha$ -dependent transactivation, we analyzed the 963-bp upstream promoter construct of the *ID1* gene further. The consensus RAR/RXR-binding sequence (RARE) consists of a repeated (A/G)G(T/G)TCA sequence that is separated by 2 or 5 base pairs. However, in the 963-bp *ID1* promoter, no consensus RARE was found. As PML-RAR $\alpha$  may bind to a much wider variety of DNA sequences,<sup>25,26</sup> we made luciferase constructs with different promoter truncations. All the truncation mutants could still be



**Figure 3. The CCAAT and GC box in the *ID1* promoter are required for PML-RAR $\alpha$ -mediated transactivation.** (A) *ID1* promoter region showing the presence of consensus Sp1- and NF-Y-binding sites. (B) Transactivation of *ID1* promoter-luciferase constructs. Several deletion constructs were generated and transfected in combination with RAR $\alpha$  plus RXR, or with PML-RAR $\alpha$ . Transactivation assays were performed as described in Figure 2. Mean values from 3 independent experiments ( $\pm$  SD) are shown. (C) To investigate the importance of the putative Sp1- and NF-Y-binding sites in the -121-bp upstream promoter sequence of the *ID1* gene for transactivation by PML-RAR $\alpha$ , these sites were mutated in the context of the -963-bp promoter fragment. Mutations were introduced either alone, or in combination. Transactivation by PML-RAR $\alpha$  was performed as described in Figure 1. Sequences were mutated as follows: GC box: CCGCCC was replaced by CTATCC; NF-Y site: ATTGG was replaced by ACACG. Transactivation was significantly lower in the promoter fragments with one mutated binding site in comparison with the wt promoter fragment ( $P < .01$ ).



**Figure 4. NF-Y binds to the CCAAT box element from the *ID1* promoter and is required for PML-RAR $\alpha$ -mediated transactivation.** (A) EMSA showing binding of NF-Y to the putative NF-Y-binding site from the *ID1* promoter. Hep3B nuclear extracts were incubated with a labeled DNA probe containing the NF-Y box from the *ID1* promoter region. A clearly shifted protein-DNA complex was seen (lane 1). Competition experiments were done with 100  $\times$  cold *ID1* probe (lane 2) and with an unlabeled probe containing the confirmed NF-Y-binding site from the CD10 gene promoter (lane 5). In addition, a sequence without any recognizable NF-Y-binding site was used for competition (lane 3). Addition of anti-NF-YB antibody shifted the complex to a higher molecular weight complex (lane 4). Arrows indicate free probe (1), shifted DNA-protein complex (2), and the supershifted DNA-protein-antibody complex (3). (B) To detect DNA-binding of NF-Y in intact cells, ChIP assays were performed using anti-NF-YA antibodies. As a control, the nonspecific IgG fraction from human serum was used. The y-axis shows the recovery (%) of *ID1* or *RAR $\beta$*  sequences relative to the input. In U937 cells, NF-Y clearly bound to the *ID1* promoter (right bars), but not to the *RAR $\beta$*  gene (left bars). Mean values from 3 independent experiments plus or minus SD are shown. (C) To further show the importance of NF-Y for the PML-RAR $\alpha$ -mediated transcriptional activation of *ID1*, transfections (as described in Figure 2) were performed using the dominant-negative NF-YA subunit (Yam29) and the 963 *ID1* promoter construct. In the presence of Yam29, PML-RAR $\alpha$ -mediated transcription was severely diminished (right bars). (D) To investigate the importance of different domains of PML-RAR $\alpha$ , vectors encoding the DNA-binding-defective PML-RAR $\alpha$ ΔR mutant, and a mutant lacking the coiled-coil protein-protein interaction domain of PML (PML-RAR $\alpha$ ΔCC), were used. In addition, a PLZF-RAR $\alpha$  expression construct was used. Transfections were performed as in Figure 2 using the 963 *ID1* promoter construct; mean values of 3 independent experiments plus or minus SD are shown.

transactivated by PML-RAR $\alpha$  (Figure 3B), including the smallest, 121-bp promoter construct. This construct does not contain any sequence that even remotely resembles a retinoic acid receptor-binding site (Figure 3A). Analysis of the 121-bp promoter sequence for putative binding sites for other transcription factors showed a perfect consensus-binding site for the transcription factors NF-Y (CCAAT box) and Sp1 (GC box). To test their relevance, we mutated these sites individually and in combination in the context of the 963-bp promoter fragment. Mutation of the GC box or the CCAAT box alone partially impaired transactivation by PML-RAR $\alpha$ , while deletion of the GC box and the CCAAT box in combination almost completely abolished transactivation (Figure 3C; raw Renilla and Firefly luciferase data are given in Figure S1, available on the *Blood* website; see the Supplemental Materials link at the top of the online article). This indicated that transactivation of the *ID1* promoter by PML-RAR $\alpha$  was dependent on the GC and CCAAT motifs.

#### Functional NF-Y is necessary for transactivation of the *ID1* promoter by PML-RAR $\alpha$

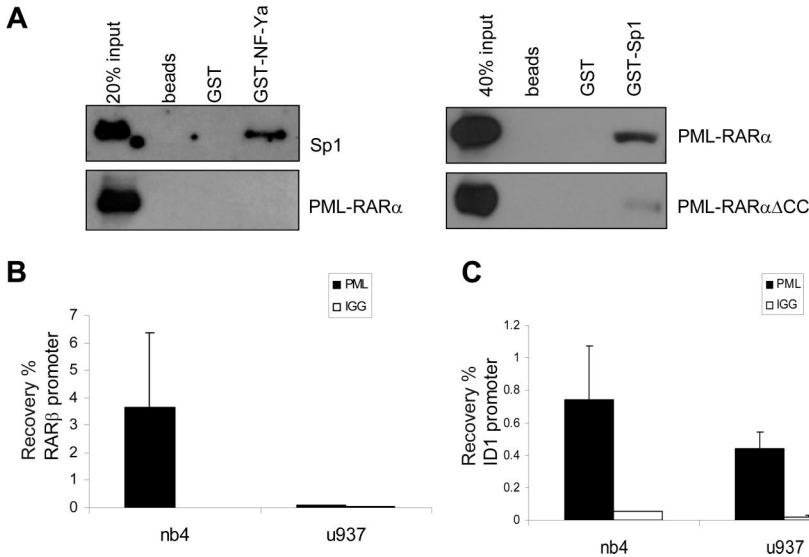
Sp1 and NF-Y are ubiquitously expressed transcription factors. NF-Y is a trimeric protein complex consisting of the subunits NF-YA, NF-YB, and NF-YC. All 3 subunits are necessary for the complex to bind to the DNA.<sup>40</sup> Using real-time PCR, we confirmed that Sp1 and all 3 NF-Y subunits were expressed in primary APL cells (Figure S2). Binding of Sp1 to the promoter of *ID1* was shown previously.<sup>41</sup> Therefore, we tested whether NF-Y was able to bind to the CCAAT site from the *ID1* promoter. Incubation of a radioactively labeled DNA probe containing the CCAAT box with

cellular protein extracts resulted in a clear shifted complex (Figure 4A lane 1). This shift was competed by a 100-fold excess of nonlabeled probe (Figure 4A lane 2) and by an excess of an oligo containing the NF-Y-binding site of the CD10 promoter (Figure 4A lane 5<sup>42</sup>), but not by an excess of probe lacking a NF-Y-binding site (Figure 4A lane 3). When anti-NF-YB antiserum was added (Figure 4A lane 4) the protein-DNA complex was supershifted, identifying the DNA-binding protein complex as NF-Y. To further test whether NF-Y is present on the endogenous *ID1* promoter, we performed chromatin immunoprecipitation (ChIP) assays. Using anti-NF-YA antibody, recovery of the *ID1* promoter sequences from U937 cells was more than 70 times higher than recovery with nonspecific IgGs (Figure 4B right bars). In the same experiment, no enrichment was seen for the *RAR $\beta$*  promoter (Figure 4B left bars), indicating that NF-Y is present on the endogenous *ID1* promoter but not on the *RAR $\beta$*  promoter.

A dominant-negative form of NF-YA has been described (Yam29).<sup>43</sup> This mutated NF-YA subunit can still bind to the NF-YB and NF-YC subunits, but is not able to bind to DNA, preventing the formation of a functional trimeric NF-Y complex. To investigate whether functional NF-Y was necessary for the transactivation of *ID1*, the Yam29 mutant was tested in a transactivation assay. Transactivation of *ID1* by PML-RAR $\alpha$  upon ATRA treatment was severely decreased in the presence of dominant-negative NF-YA (Figure 4C). This effect was promoter specific as Yam29 did not influence transactivation of the *RAR $\beta$*  promoter construct (RARE<sub>3</sub>-tk-luc) by PML-RAR $\alpha$  or RAR $\alpha$ /RXR (data not shown).



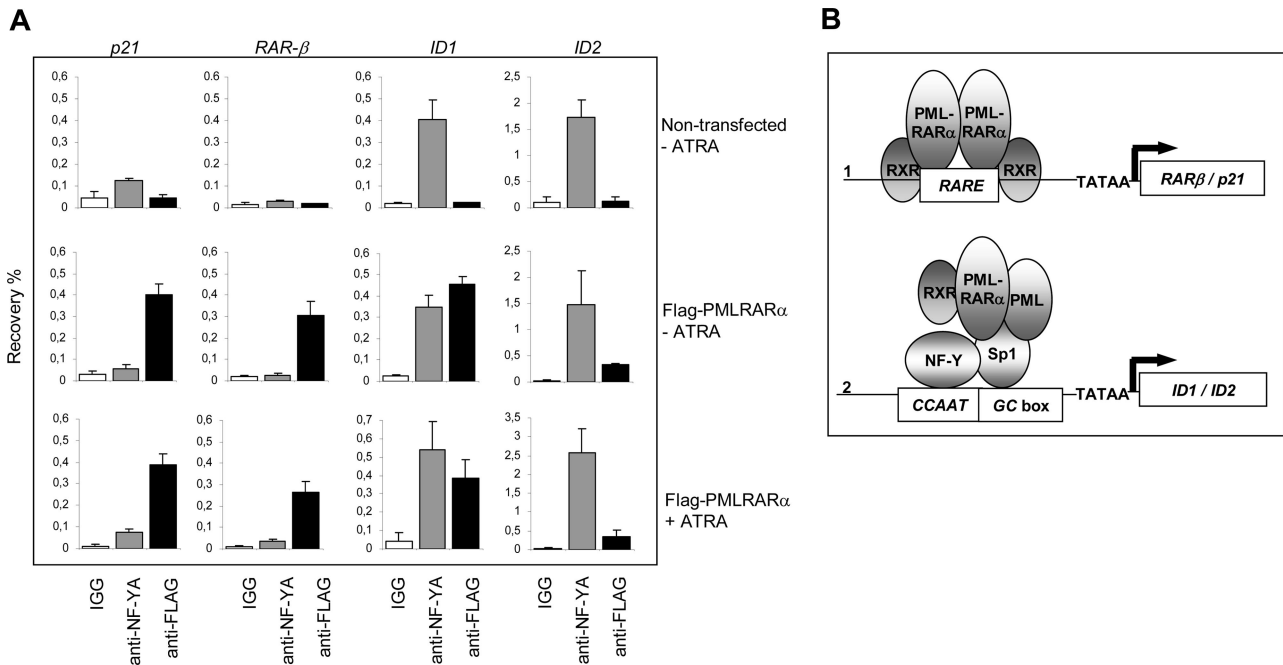




**Figure 6. PML-RAR $\alpha$  binds Sp1 and binds to the endogenous *ID1* promoter.** (A) To test binding of PML-RAR $\alpha$  to Sp1 and NF-Y, GST pull-down experiments were performed. Empty beads and beads loaded with GST or GST-NF-YA (left panels) were incubated with in vitro-translated Sp1 (positive control) or in vitro-translated PML-RAR $\alpha$ . GST-Sp1 (right panels) was incubated with in vitro-translated PML-RAR $\alpha$  or PML-RAR $\alpha$ ΔCC. Beads were washed and subsequently resuspended in loading buffer. Protein was resolved on SDS-PAGE. Immunostaining was performed with anti-Sp1 or anti-RAR $\alpha$  antibody. Clear interactions between NF-YA and Sp1 and between PML-RAR $\alpha$  and Sp1 were observed. To show binding of PML-RAR $\alpha$  to the endogenous *RARβ* (B) and *ID1* (C) genes, ChIP assays were performed in PML-RAR $\alpha$ -positive NB4 cells and in PML-RAR $\alpha$ -negative U937 cells. Cells were treated with ATRA for 30 minutes. ChIP was done with anti-PML antiserum. As a control, the nonspecific IgG fraction from human serum was used. The Y-axis shows the recovery (%) of *ID1* or *RARβ* sequences relative to the input. Mean values plus or minus SD of 4 independent experiments are shown.

investigate this further, we used a FLAG-tagged PML-RAR $\alpha$  expression construct, allowing us to unequivocally identify binding by the PML-RAR $\alpha$  fusion protein. HEK-293 cells were transfected with FLAG-PML-RAR $\alpha$  (Figure 7A). As a negative control, ChIP was performed using nonspecific IgGs. We compared the presence of (FLAG-tagged) PML-RAR $\alpha$  and NF-Y on the 2 classical ATRA target genes *RARβ* and *p21*, and on *ID1* and *ID2*. Enrichment of all 4 promoters was found using anti-FLAG antibody, indicating that PML-RAR $\alpha$  was bound to these 4 genes in intact cells (Figure 7A, compare top and middle

rows). Using anti-NF-Y antibody, enrichment of the *ID1* and *ID2* promoters was found but no enrichment of *RARβ* and *p21*, indicating that NF-Y was present on *ID1* and *ID2*, but not on the 2 classical ATRA target genes *RARβ* and *p21*. To determine whether the presence of PML-RAR $\alpha$  and NF-Y on the different promoters would be altered by the presence of retinoic acid, ChIP assays were performed in the presence and the absence of ATRA (Figure 7A middle and bottom rows). ATRA did not influence the binding of NF-Y or PML-RAR $\alpha$  to these promoters, showing that their binding is ligand independent.



**Figure 7. Binding of PML-RAR $\alpha$  to the endogenous *p21*, *RAR-β*, *ID1*, and *ID2* genes.** (A) To show binding of PML-RAR $\alpha$  and NF-Y to the endogenous *p21*, *RAR-β*, *ID1*, and *ID2* genes, ChIP assays were performed. As anti-PML antibodies do not discriminate between the unrearranged PML protein and the PML-RAR $\alpha$  fusion protein, FLAG-tagged PML-RAR $\alpha$  was used. Cells were transfected with or without FLAG-PML-RAR $\alpha$  and cultured in the presence or absence of  $10^{-6}$  M ATRA. ChIP was performed using anti-FLAG antibodies, anti-NF-YA antibodies, and control IgGs. The Y-axis shows the recovery (%) of *ID1/ID2/p21* or *RARβ* sequences relative to the input. Mean values of 3 independent experiments ( $\pm$  SD) are shown. (B) Dominant-negative and gain-of-function model for PML-RAR $\alpha$ . Genes that are regulated through a retinoic acid-responsive element (RARE) may be bound by PML-RAR $\alpha$  (1). Competition with normal, unrearranged retinoid receptors results in a dominant-negative silencing of the gene by PML-RAR $\alpha$  in the absence of ligand. Addition of high-dose retinoic acid may reverse the silencing, allowing transcription. Sp1- and NF-Y-regulated genes may be targeted by PML-RAR $\alpha$  through interaction with Sp1 (2). Tethering of PML-RAR $\alpha$  to these promoters renders them responsive to retinoic acid, representing a gain-of-function for the PML-RAR $\alpha$  fusion protein.

Together, these data show that there are 2 different mechanisms by which PML-RAR $\alpha$  may regulate the transcription of target genes (Figure 7B). The first class of target genes consists of genes that contain a retinoic acid response element in their promoter. These genes are normally regulated by unrearranged retinoic acid receptors and may be deregulated by PML-RAR $\alpha$  in a dominant-negative manner in the absence of ligand. In the presence of high concentrations of ligand, their expression is restored. The second class of target genes consists of genes that are not normally regulated by retinoic acid receptors. Fusion of the RAR $\alpha$  moiety to PML renders these genes responsive to retinoic acid, which defines a novel gain of function for the PML-RAR $\alpha$  fusion protein.

## Discussion

The successful treatment of APL with high-dose retinoic acid has shown that the differentiation block of the malignant cells can be overcome, leading to terminal differentiation of the leukemic cells and disappearance of the disease. As this is one of the first examples of successful differentiation-induction therapy in cancer, many studies focused on the molecular mechanisms that contribute to the transformation to leukemia, and on the mechanisms that mediate the retinoic acid-induced differentiation of the cells. PML-RAR $\alpha$  was shown to interfere with the expression of normal RAR $\alpha$  target genes. RAR $\alpha$  is an important modulator of granulopoiesis and acts either by direct binding to the DNA, or through interaction with other transcription factors. In this report, we show that PML-RAR $\alpha$  interacts with Sp1 and may interfere with the expression of genes that are not normally regulated by retinoic acid receptors. Previously, it was shown that both unrearranged PML<sup>46</sup> and unrearranged RAR/RXR complexes<sup>22,23</sup> are able to interact with Sp1. Therefore, the interaction of PML-RAR $\alpha$  with Sp1 could be mediated by the RAR $\alpha$  part as well as the PML part of the fusion protein. Here we show that PML-RAR $\alpha$  binds Sp1 and that this binding is significantly less efficient when the coiled-coil domain is deleted. The lack of response of the ID1 and the ID2 promoters to RAR $\alpha$ /RXR, PLZF-RAR $\alpha$ , and PML-RAR/ $\Delta$ CC (Figures 2,5) suggests that the PML part of the fusion protein is essential for regulating transcription.

We show that PML-RAR $\alpha$  physically interacts with Sp1 in the absence of DNA (Figure 6). Roder et al have shown that NF-Y and Sp1 interact physically in the absence of DNA,<sup>45</sup> and we obtained the same result (Figure 6). This suggests that the PML-RAR $\alpha$ /Sp1/NF-Y complex may form before binding to DNA. Figure 3C suggests that the PML-RAR $\alpha$ /Sp1/NF-Y complex still binds, although less efficiently, to the ID1 promoter when one transcription factor-binding site is mutated. Figure 4C shows that the presence of a dominant-negative form of NF-Y abolishes transactivation of the ID1 promoter by PML-RAR $\alpha$ . We hypothesize that this dominant-negative form of NF-Y disrupts the PML-RAR $\alpha$ /Sp1/NF-Y complex and thereby impairs binding to and transactivation of the ID1 promoter. We propose a model in which PML-RAR $\alpha$  binds to a DNA-bound Sp1-NF-Y complex, rendering the expression of these genes sensitive to ATRA, and defining a novel gain-of-function for the fusion protein (Figure 7B). In the ChIP experiments, we show that unrearranged PML is recruited to the *ID1* gene (Figure 6C). The physiological meaning of this remains unclear as no effect of PML was observed in the transactivation assays.

As Sp1 is involved in the transcriptional control of various myeloid-specific genes,<sup>47,48</sup> deregulation of its target genes may be relevant in APL. For *ID1* and *ID2*, a role in myelopoiesis and in

APL was described previously. Overexpression of ID1 or ID2 in NB4 cells inhibits their proliferation and induces a G0/G1 arrest.<sup>31</sup> In addition, ectopic expression of ID1 in CD34<sup>+</sup> cells inhibited eosinophil development, whereas neutrophilic differentiation was enhanced. Expression of ID2 accelerated the definitive maturation of myeloid cells.<sup>49</sup> Other genes may be targeted by a similar mechanism as well. The promoter of the important retinoic acid-responsive gene *C/EBP $\beta$*  was transactivated by PML-RAR $\alpha$ , but also lacks a consensus RARE within the tested region.<sup>50,51</sup> Possibly, also this gene is (de)regulated by tethering of PML-RAR $\alpha$  to the promoter through protein-protein interactions rather than by direct DNA binding.

Apart from the DNA-binding domain from RAR $\alpha$ , the coiled-coil domain of PML was shown to be important for optimal induction of terminal differentiation by the fusion protein.<sup>52</sup> This suggested that homodimerization of PML-RAR $\alpha$  is an important feature of the fusion protein, but might also indicate that other protein-protein interactions mediated through the PML part are involved. Several alternative RAR $\alpha$  fusion proteins occur at low frequency (2% of the cases) in APL patients, in which the same part of RAR $\alpha$  is fused to other proteins than PML (reviewed in Zelent et al<sup>44</sup>). Common to the various RAR $\alpha$  partner proteins is the presence of a dimerization domain, suggesting that homodimerization is an important property of these fusion proteins. However, depending on the fusion partner, the sensitivity to retinoic acid differs, suggesting a broader role for the RAR $\alpha$  partner protein than just the provision of a dimerization domain. Specifically, PLZF-RAR $\alpha$ -positive leukemia appears to be more resistant to ATRA, which has been explained by the recruitment of corepressors by the PLZF part of the fusion protein that are not released upon treatment with ATRA.<sup>15,53</sup> This insensitivity could be reversed, as treatment with a combination of ATRA and granulocyte colony-stimulating factor (G-CSF) induced granulocytic differentiation in a synergistic manner.<sup>54</sup> In addition, in transgenic mice, different types of disease with different sensitivities to ATRA developed for the various fusion proteins.<sup>7,30</sup> Furthermore, when RAR $\alpha$  fusion proteins were made by the coupling of RAR $\alpha$  to artificial dimerization domains, several characteristics of PML-RAR $\alpha$  were recapitulated in vitro, but in vivo these proteins induced leukemia with very low efficiency.<sup>55</sup> Interestingly, when these fusion proteins were expressed in a PML<sup>-/-</sup> background, the animals still did not develop leukemia efficiently, leading to the conclusion that the mere combination of disruption of PML and dimerization of RAR $\alpha$  does not recapitulate the full oncogenic potential of PML-RAR $\alpha$ , and that the fusion protein has gain-of-function characteristics. Together, this showed that dimerization of RAR $\alpha$  is important but not sufficient to reproduce the complete phenotype of PML-RAR $\alpha$ .

It remains to be investigated whether PML-RAR $\alpha$  recruits corepressors to the promoters of Sp1 and NF-Y target genes. This would lead to repression of gene expression in the absence of ATRA, possibly contributing to transformation of the cells, similar to the effect of PML-RAR $\alpha$  on regular retinoic acid receptor target genes. So far, we did not observe important down-regulation of ID1 and ID2 mRNA in freshly isolated leukemia cells from APL patient cells compared with non-APL acute myeloid leukemia cells, suggesting that this is not the case (data not shown). However, as *ID1* and *ID2* expression in most leukemic samples was low, further studies are required.

Potentially, RXR may also be present in the PML-RAR $\alpha$ /Sp1/NF-Y complex. RXR is an essential part of the PML-RAR $\alpha$  complex during transformation, and synergy has been shown between ATRA- and RXR-specific agonist on transcriptional

activation.<sup>24-26,56</sup> Therefore, RXR-specific agonist may also affect transcription of Sp1 and NF-Y target genes.

In summary, we define a novel, Sp1- and NF-Y-dependent mechanism by which the PML-RAR $\alpha$  fusion protein interferes with gene transcription. This implicates that PML-RAR $\alpha$  (de)regulates an additional class of genes that is normally not regulated by retinoid receptors and defines a gain-of-function for the PML-RAR $\alpha$  fusion protein.

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## Authorship

Contribution: S.W. designed and performed research, analyzed data, and wrote the paper; M.C.B.-R. designed and performed research, and analyzed data; J.N., G.N., and C.A.J.E.-V. performed research and analyzed data; B.L., T.W., and B.A.R. designed research; D.G.T. analyzed data; J.H.J. designed research, analyzed data, and wrote the paper.

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