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1 Can hibernators sense and evade fires? Olfactory acuity and locomotor performance

2 during deep torpor

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11

12 Abstract

13 Increased habitat fragmentation, global warming and other human activities have caused a rise in 14 the frequency of wildfires worldwide. To reduce the risks of uncontrollable fires, prescribed burns are generally conducted during the colder months of the year, a time when in many mammals 15 16 torpor is expressed regularly. Torpor is crucial for energy conservation, but the low body 17 temperatures (T_b) are associated with a decreased responsiveness and torpid animals might 18 therefore face an increased mortality risk during fires. We tested whether hibernators in deep 19 torpor can a) respond to the smell of smoke and b) can climb to avoid fires at T_{bs} below 20 normothermic levels. Our data show that torpid eastern pygmy-possums (Cercartetus nanus) are able to detect smoke and also can climb. All males aroused from torpor when the smoke stimulus 21 22 was presented at an ambient temperature (T_a) of 15°C (T_b ~18°C), whereas females only raised their heads. The responses were less pronounced at T_a 10°C. The first coordinated movement of possums 23 24 along a branch was observed at a mean T_b of 15.6°C, and animals were even able to climb their 25 prehensile tail when they reached a mean T_b of 24.4°C. Our study shows that hibernators can sense 26 smoke and move at low T_b . However, our data also illustrate that at $T_b \leq 13^{\circ}$ C, C. nanus show 27 decreased responsiveness and locomotor performance and highlight that prescribed burns during winter should be avoided on very cold days to allow torpid animals enough time to respond. 28

29

30 Introduction

Detecting and responding to environmental stimuli is one of the defining features of living organisms. Endothermic vertebrates maximise sensory and locomotor function by maintaining a high body temperature (T_b) via combustion of fuels, but this comes at a high energetic cost especially in small species exposed to low ambient temperatures (T_a) (Tattersall et al. 2012). To deal with energetic challenges small endotherms may enter a state of torpor during which T_b and metabolic rate are substantially reduced (Geiser 2013; Ruf and Geiser 2015). However, a disadvantage is that both sensory and locomotor function are also diminished in these heterothermic mammals during
torpor (Rojas et al. 2012; Luo et al. 2014).

39 This reduction in sensory and locomotor function can be highly problematic during fast 40 spreading bush fires. Global warming and other human activities have caused an increase in the frequency of wild fires, mainly during summer, all over the world (Moritz et al. 2012). Moreover, 41 42 prescribed burns are conducted in the cold-season for fuel reduction and to help maintain a healthy ecosystem. These prescribed burns are lit usually between autumn and spring at a time when 43 44 heterothermic animals often express deep torpor or hibernation and probably are highly vulnerable to fire. Thus understanding and predicting behavioral and physiological responses of animals to fire 45 46 and other natural disasters are crucial for conservation management. Although previous studies have 47 shown that torpor facilitates post-fire survival, particularly when food availability is reduced (Stawski 48 et al. 2015a; Nowack et al. 2016), torpor use during fires can be risky. Inhalation of toxic smoke, 49 oxygen depletion and heat exposure during fires can cause injury or death to an animal. The length 50 of time that an organism is exposed to high temperature or smoke is critical, and detection and avoidance of fire are essential behaviors for survival (Whelan 1995). Mobile animals are expected to 51 52 either flee from the burning area or to shelter in underground burrows, caves or tree hollows 53 (reviewed in Engstrom 2010). Previous studies on African and Australian species show that torpid 54 terrestrial mammals are able to move at T_{bs} well below normothermic levels (Mzilikazi et al. 2002; 55 Warnecke et al. 2008; Warnecke and Geiser 2010) and several small marsupials are able to run while 56 torpid with T_bs as low as 14.8–17.9°C (Rojas et al. 2012). However, seeking shelter might not only 57 require the ability to run, but, especially in arboreal species, may also depend on the capacity to 58 climb. This will not only entail coordinated locomotor capabilities on a more or less flat, horizontal 59 surface that nevertheless are compromised by high speed (Wynn et al. 2015), but also clinging on to 60 a surface, and the challenge of moving vertically.

61 Since escape behavior likely is delayed due to slowed reactions of torpid animals, an early 62 detection of a fire is also of high importance, but currently few observations on escape behavior and 63 reaction to threatening stimuli during torpor are available (Grafe et al. 2002; Scesny and Robbins 64 2006). Recent studies have indicated that animals arouse from shallow torpor ($T_b \ge 25^{\circ}C$) when exposed to high amounts of smoke (Stawski et al. 2015b). However, thick smoke is only expected 65 66 when the fire has already reached the animals location. For a small animal, unable to cover long distances in a short time period, this may be too late to effectively respond to the fire or, if fleeing is 67 68 no option, seek shelter in a safe refuge.

The eastern pygmy-possum (*Cercartetus nanus*) is a small, nocturnal, arboreal marsupial
 hibernator, distributed mainly along the south-east coast of Australia and commonly found in or

71 near stands of Banksia. The species usually shelters individually in a nest of bark and leaves in tree 72 hollows, underground or in bird nests (Menkhorst 1995). Pygmy-possums are agile climbers, have 73 prehensile tails used for climbing, and can even climb up their own tails. Although not listed as 74 endangered on the IUCN red list, eastern pygmy-possums are considered vulnerable in Australia (NSW NSWP 2015). Pygmy-possums are known to enter deep, multiday torpor year-round (Geiser 75 76 2007; Turner et al. 2012) and are therefore not only at risk to fall victim to hazard reduction burns 77 during the colder season, but also during wildfires in summer. To survive fire animals must be able to 78 1. detect the fire and 2. get away from the fire. We therefore tested a) if torpid eastern pygmy-79 possums can respond to the smell of smoke during torpor and b) if and at which T_bs below 80 normothermic levels they can climb.

81

82 Material and methods

83 Ethics

The experiments were carried out in accordance with the approved guidelines and regulations for animal care at the University of New England. Approval to conduct this study was granted by the University of New England Animal Ethics Committee and New South Wales National Parks and Wildlife Service.

88

89 Animal captures and housing

Five adult (3 males and 2 females) eastern pygmy-possums were used in the study. Pygmy-possum were retrieved from wooden nest boxes near Dorrigo (NSW, 30° 22'S', 152° 34') or captured in box aluminium traps (Elliott type A, Elliott Scientific Ltd, Upwey, Melbourne, Vic.) baited with a mixture of peanut butter and oats in Guy Fawkes National Park (30°04'S, 152°20'E). All individuals had been held in captivity for at least six months. Weight of individuals varied over the duration of the study and individual weights ranged from 25.0 ± 4.5 g to 52.8 ± 4.1 g.

Animals were housed individually in cages (40 x 12 x 9cm) in an unheated room ($T_a \ge 10^{\circ}$ C) and natural photoperiod at the University of New England, Armidale. Animals were fed daily with apple and a nectar substitute consisting of high protein baby cereal, honey and a vitamin supplement. Water was provided *ad libitum*.

100

101 Body temperature

102 T_b was measured as subcutaneous temperature (T_{sub}). For small mammals, T_{sub} is closely related to 103 T_b , particularly during torpor when T_b-T_a differentials are often 1°C or less (Wacker et al. 2012). 104 Before the experiment, temperature-sensitive transponders (IPTT-300, Bio Medic Data Systems, 105 Delaware, USA; 0.13 g) were implanted subcutaneously. For implantation animals were 106 anaesthetized with general isoflurane/oxygen anaesthesia. A small (~3mm) incision was made in the 107 skin between the shoulder blades or in the lower ventral abdominal section for transponder 108 insertion. The insertion site was closed with a single suture (chromic gut, Ethicon, Somerville, MA, 109 USA). Prior to surgery the transponders were calibrated in a water bath against a precision mercury 110 thermometer (± 0.1°C) in 5°C-increments from 5°C to 40°C. All transponders continued to function below the manufacturer's recommended range of use (32-43°C) down to at least 5°C. All 111 112 transponders were well within the recommended range of implanted devices of <10 % of the body 113 mass of small terrestrial mammals; this recommendation was made because locomotion is not 114 negatively affected by devices of that weight (Rojas et al. 2010). T_{sub} was read from each animal with a DAS-7006/7R/S Handheld Reader (Bio Medic Data Systems). 115

116

117 Olfactory acuity during torpor

Animals were placed in a glass respirometry chamber (500ml) situated within a temperaturecontrolled cabinet in the early evening and metabolic rate (MR), measured as the rate of oxygen consumption, was monitored overnight and throughout the following day(s) to allow animals to undergo their usual daily thermal cycle. Animals were weighed before and after the experiments and a linear loss of body mass during the time of measurement was assumed. Respirometry chamber T_a was measured with a thermocouple probe and maintained at T_a 10.3 ± 0.3°C or T_a 15.4 ± 1.0°C and natural photoperiod for Armidale was simulated in the climate chamber.

125 Energy expenditure of animals was determined with open flow respirometry using an 126 oxygen analyser (Sable Systems FC-1B Oxygen Analyzer, USA). The metabolic chamber was 127 connected to the oxygen analyser with airtight tubes (push mode). Flow rate was maintained between 200 ml min⁻¹ (normothermic) and 100 ml min⁻¹ (torpid). Water vapour was scrubbed prior 128 129 to oxygen analysis using silica gel. We measured sample air for 15 min and then switched to outside 130 air for reference readings (4 min) using solenoid valves to account for any drift of the oxygen sensor 131 (sampling frequency every 60 sec). All values are presented as mass specific values ($mIO_2g^{-1}h^{-1}$). 132 Outputs of the digital thermocouple thermometer, flowmeter and oxygen analyzer were recorded 133 using custom-written data-acquisition software onto a personal computer. Behavioral reactions 134 were monitored via an infrared web camera and recorded with Simple Webcam Recorder (AviFromWebcam, V.1.0.0.0, © 2010). 135

Experiments were performed when animals were in steady state torpor (between 11:30pm and 2pm) to measure metabolic rate during torpor (TMR). For each experiment 6 ml of smoke water (Regen 2000 Smokemaster, Grayson; ingredients: water, wood smoke (IMB-B9), emulsifier, food 139 colour (129)) together with 1 ml of hot water were placed into a test tube with cotton wool. The test 140 tube was inserted in between the airtight tubes of the system upstream of the animal chamber and 141 outside of the temperature-controlled cabinet for a duration of 10 min. All animals were tested with 142 the smoke stimulus at T_a 10°C and 15°C as well as with a water control at 15°C. The order of 143 experiments was randomized and spread over two to three months to ensure that the results were 144 not caused by a habituation effect. For control measurements animals were tested using the same protocol as stated above, but replacing the 6ml of smoke water with water. Due to the low detection 145 146 range of the handheld reader we were not able to gain continuous measurements of T_{sub} during the 147 smoke experiments and instead assumed T_b to be <3°C above T_a during steady-state torpor, based 148 on Song et al. (1997): T_b-T_a=1.9 ± 0.9°C.

A response to the olfactory stimulus was defined as one of the following: a visible behavioral response, such as 'head raising' or an increase of torpor metabolic rate (TMR). 'Delayed arousal' was defined as a slow but steady increase of the TMR that led to final arousal within the measuring period (MR $\ge 2mlO_2 h^{-1}g^{-1}$ only after $\ge 60min$) and 'arousal' describes an immediate and fast arousal from torpor (MR $\ge 2mlO_2 h^{-1}g^{-1}$ within 30min).

154

155 *Climbing experiments*

Torpid individuals were retrieved from their nest boxes in the morning and initial body T_{sub} was recorded. For measurement at low $T_{b}s$ animals were fasted overnight in a temperature-controlled cabinet at T_a 10°C.

159 For the experiments the individuals were placed on a horizontal branch (35cm length, 160 diameter: ~1cm) that was turned slowly and movement and behavior of the animals were 161 quantified. T_{sub} was measured regularly during the experiment. We recorded T_{sub} from which an 162 animal was able to (i) hold on to the stick, and (ii) move on the stick (Tab. 1). In a second experiment 163 individuals were held at the tip of their prehensile tail for up to one minute and T_{sub}s from which 164 individuals were (i) trying to grab their tails and climb and (ii) actually were able to climb up their tail 165 were recorded to assess coordination and muscle function necessary during escape behavior (Tab. 166 1). All experiments were recorded via video camera (Canon, PowerShot SX1IS). Animals were weighed after the experiments to the nearest 0.1 g with an electronic balance. Experiments were 167 168 repeated at least five times for each animal (range: 5-8). In order to keep data comparable we chose 169 onlythree measurements (T_{sub} <15°C) per individual for analyses.

170

171 Data analyses

172 Data are presented as mean ± 1 standard deviation; *n* denotes the number of individuals, *N* the 173 number of observations. Statistical analyses were conducted in R, version 3.2.2 (R Development Core 174 Team 2014). The increase of metabolic rate in response to the water stimulus during control 175 measurements was analysed with a paired t-test after testing for normality and homogeneity of 176 variance using Shapiro–Wilk test and Bartlett's test, respectively. The metabolic response to smoke 177 water at the different temperatures was analysed with generalized linear mixed effect models using `individual` as a random factor to account for repeated measures, followed by an ANOVA (Ime in 178 179 library 'nlme' (Pinheiro et al. 2014)). The same approach was used to define the relationship 180 between body mass and minimal T_{sub} for the various locomotor tasks (holding, moving, trying to 181 climb, climbing) as well as the difference in minimal T_{sub} between the tasks. Post-hoc analyses were 182 performed as Tukey tests (*glht* in library 'multcomp' (Hothorn et al. 2008)).

183

184 Results

185 Olfactory acuity during torpor

All individuals were in steady-state torpor when the experiments were conducted (TMR at T_a 15°C: 0.08 ± 0.03 ml O₂ g⁻¹ h⁻¹, N=10 (control and experiment), n=5; T_a 10°C: 0.07 ± 0.04 ml O₂ g⁻¹ h⁻¹, N=5, n=5). Control measurements (water) did not lead to arousal of individuals, nor to a significant increase of TMR (t-test: t₄=-2.35, p = 0.08, n=5).

190 The response to smoke water was individual-specific and we observed a temperature effect 191 (figure 1). Average TMR was significantly increased (on average >52-fold) between the control measurements and treatment at 15°C (T_b~18°C), but not at 10°C (T_b~13°C) (ANOVA: F_{2,8}=5.07, p= 192 193 0.04; Post hoc: 10-15°C and control-15°C: z≥2.50, p≤0.03; control-10°C: z=0.45 p=0.89). All 194 individuals responded to the smoke stimulus at a T_a of 15°C (T_{sub}~ 18.3 ± 1.4°C; n=5); the three males 195 responded with arousal (two aroused within 30 min, one male showed a delayed arousal after 43 196 min; example in figure 1), whereas both females only raised their heads in response to the stimulus 197 (after 6 min and 8.5 min, respectively) and only slightly increased their TMR. One of the three males 198 re-entered torpor after the arousal and again displayed minimal energy expenditure about two 199 hours after the presentation of the stimulus. At $T_a 10^{\circ}C (T_{sub} \sim 13.3 \pm 0.3^{\circ}C; n=5)$ only three animals (2 200 males, 1 female) responded with an marked increase of TMR that in one male eventually led to an 201 arousal within 110 min (delayed arousal).

202

203 *Climbing experiment*

Animals were able to hold on to the branch from the beginning of the experiment during most trials (N=12 of 15 trials). The minimum T_{sub} for successful holding on to the branch was 10.7°C, with a 206 mean of 12.7 \pm 1.6°C (N=15, n=5) (figure 2). While the T_{sub} at which animals were able to hold on to 207 the branch was similar among individuals, locomotor capability for moving and climbing differed 208 among individuals (figure 3). The first coordinated movement on the turning horizontal branch 209 ('moving') was observed at 13.4°C and all but one individual, which only started moving ~18°C, 210 moved at T_{sub} ≤15.4°C (mean: 15.6 \pm 1.1°C, n=5, N=15).

The mean minimal T_{sub} at which animals tried to climb their prehensile tail was 20.9 ± 1.3°C (n=5, N=15) and more than 90% of the attempts were observed at T_{sub} s between 19°C and 23°C. Mean minimal T_{sub} at which animals were able to climb was 24.4°C ± 2.0°C. The difference between T_{sub} for holding and the initial T_{sub} was not significant, while T_{subs} of all other tasks were significantly different to each other (ANOVA; F_{4,66}=162.97, p <0.001; Tukey posthoc: holding z=0.43, p=0.993; rest z>4.83, p<0.001).

Although some individuals were significantly heavier than others the T_{sub} and body mass of the individuals for any of the tasks were not correlated (ANOVA; holding: $F_{1,9}$ = 4.67, p= 0.06; moving; $F_{1,9}$ = 1.08, p= 0.33; trying to climb: $F_{1,9}$ = 0.02 p=0.88, p=0.33, climbing: $F_{1,9}$ = 0.45, p= 0.52).

220

221 Discussion

Our data reveal that pygmy-possums can perceive and respond to olfactory stimuli during torpor and perform advanced locomotor tasks with $T_{b}s$ well below normothermic values, but show decreased responsiveness and locomotor performance at lower $T_{sub}s$. Furthermore, we illustrate that slow locomotion is possible at $T_{b}s$ as low as 13.4°C, climbing along a horizontal branch at $T_{b} ~15.4$ °C, whereas advanced locomotor tasks, such as climbing up the tail, can only successfully be performed with a $T_{b} ~24$ °C. Our study shows that hibernators can sense olfactory stimuli, such as smoke and move at low $T_{b}s$.

229 In the past torpor was often viewed as a risky state because it had been assumed that torpid 230 individuals are easy prey for predators. More recently, this dogma has been challenged because 231 survival rates of individuals during the hibernation season are higher than during the active season 232 (Turbill et al. 2011). Moreover, it appears that heterothermic species are at a lower risk of becoming 233 extinct than homeothermic species that are unable to express torpor (Geiser and Turbill 2009; 234 Hanna and Cardillo 2014). A reason for the better survival rate seems to be that torpid mammals are 235 less likely to be discovered by predators as they are typically hiding in a sealed burrow or other 236 protected shelters while being motionless and cold, therefore emitting less stimuli detectable by 237 predators (Turbill et al. 2011). On the other hand, impaired locomotion during torpor is a 238 disadvantage when it comes to threats that require an active response, such as wildfires. A torpid 239 individual most likely cannot respond very quickly when its hibernaculum/resting site is on the 240 threat of burning. Dunnarts, small insectivorous marsupials, responded immediately to high 241 amounts of smoke and aroused from shallow torpor (Tb~25°C) (Stawski et al. 2015b). However, it 242 was not clear from that study whether the response was triggered by the smell or rather by the 243 smoke particles or the high concentration of CO. Our study confirms that the presentation of the 244 smoke stimulus alone can be perceived by torpid pygmy-possums with a body temperature as low as 245 13°C. Our data are in line with another study that has previously shown that torpid bats (~18°C) respond to noise stimuli with an increase of T_b (Luo et al. 2014) and suggests that sensory signals, 246 247 other than mainly tactile stimuli (Speakman et al. 1991), can be perceived and processed during 248 deep torpor.

249 However, while all pygmy-possums showed a clear response at a T_b of about 18°C, individuals only responded with delayed arousal or a slight increase of TMR at low T_bs, indicating a 250 251 diminished responsiveness to sensory cues. Neurobiological studies have shown that the brain 252 becomes less responsive with declining T_b (Larkin and Heller 1996) and it is likely that responsiveness 253 to external stimuli diminishes at even lower T_bs than tested in this study. This is also emphasised by 254 the fact that at a core T_b of below 11°C, the electroencephalogram of the brain appears as a flat line 255 and activity can only be observed in deeper brain areas responsible for thermoregulation (Larkin and 256 Heller 1999).

257 While a previous study of dunnarts exposed to high amounts of smoke, entailing not only 258 the smell, but also irritating particles and high levels of CO, showed that animals increased activity 259 and acted alarmed for a few hours (Stawski et al 2015), this response was not observed in the 260 pygmy-possums. Although all pygmy-possums responded to the smoke stimulus at the higher T_a, one 261 animal re-entered torpor immediately after the arousal, while the females did not arouse at all and 262 only showed a behavioral response. This indicates that more cues than just the smell of smoke are 263 necessary to mimic an approaching fire. It is also conceivable that the response was only triggered 264 by the unfamiliar smell but not identified as a potential threat. More work is needed to investigate if 265 torpid animals can differentiate between threatening stimuli, such as the smell of a predator or a 266 fire, and harmless cues.

Arousal from torpor in response to the smell of smoke does not suffice and will not guarantee the survival of the individual when the animal cannot also move quickly enough to evade the danger. It is well known that running speed in ectothermic lizards is a negative function of T_b and similar relationships have been established recently in two species of ground-dwelling heterothermic marsupials (Rojas et al. 2012). In contrast, a decrease of T_b by 7-8°C to just above a T_b of 30°C did not seem to not visibly affect running speeds of heterothermic ground squirrels (Wooden and Walsberg 2003). Our data show that pygmy-possums are able to move on a horizontal branchat T_{sub} of about 274 15°C, which is in the same range as found for movements on the ground for terrestrial species (14.8– 275 17.9°C (Rojas et al. 2012)), although the movement on the branch is likely more difficult than on a 276 straight smooth surface. However, our experiment also indicates that co-ordinated flight behavior, 277 i.e. climbing required to evade fires in arboreal species, can only be performed by pygmy-possums 278 from about T_b 20°C and above. At a T_b of about 20°C individuals were trying to climb their tails, which 279 requires the involvement of main abdominal muscles and entails bending and stretching. 280 Interestingly, pygmy-possums seem to be able to cling on the branch from the beginning of the 281 experiment and therefore perhaps even at lower Tb during torpor. That advanced locomotor 282 performance can only be performed at higher T_bs is also shown by a study on bats that found that 283 although bats were able to crawl at a T_b of 8°C, flapping of wings only occurred at 22°C and aerial 284 flight was only possible at temperatures around 28°C (Choi et al. 1998).

285 In conclusion, our data show that pygmy-possums can perceive and respond to olfactory 286 stimuli during torpor and perform advanced locomotor skills with T_bs well below normothermic 287 values, but show decreased responsiveness and locomotor performance at $T_{bs} \le 13^{\circ}$ C. Wildfires are 288 usually related to high T_a in summer and even prescribed burns are usually conducted on cool (16-289 25°C, pers communication NSWNP) rather than cold days in Australia. Therefore, we can assume 290 that if torpid animals are present in an area of a burn they are able to arouse before the fire has 291 reached their nest location and have the chance to seek a safe refuge during the fire. However, if 292 deaths of small mammals due to fires are to be minimised prescribed burns should not be conducted 293 during the coldest part of winter.

294

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Behavior	Definition
Holding	Clinging on the branch without falling off
Moving	Co-ordinated directed locomotor capability on the branch
Trying to climb	Twisting or bending of body to reach tail
Climbing	Successful climbing up the tail

Table 1: Ethogramm of behaviors and their definitions



Figure 1abc: Metabolic response of a male individual to a) smoke stimulus at 15°C (arousal, within 30 min), b) smoke stimulus at 10°C (delayed arousal, after 110 min), and c) control (water stimulus) at 15°C. The arrow marks the moment the stimulus was presented to the individual. The depicted individual was the only one that re-entered torpor after the arousal.



Figure 2: Locomotor performance for different tasks in regard to body temperature. Boxplots represent median, as well as 5-, 25-, 75- and 95-percentiles. Outliners are depicted as black dots. Animals were able to hold on to the branch from the beginning of the experiment; $T_{sub}s$ of all other tasks were significantly different from each other. Significant differences are indicated by different letters.



Figure 3: Individual locomotor performance for successful tail climbing performance. Depicted are the three trials with the lowest subcutanous temperatures (T_{sub}) for all five individuals in regard to body mass. Individuals are represented by different symbols; males are indicated as filled symbols; females are represented by open symbols.