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Subgenomic flaviviral RNAs: What do we know after the first decade of research

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22 Abstract

23 The common feature of flaviviral infection is the accumulation of abundant virusderived noncoding RNA, named flaviviral subgenomic RNA (sfRNA) in infected cells. 24 25 This RNA represents a product of incomplete degradation of viral genomic RNA by the cellular 5'-3' exoribonuclease XRN1 that stalls at the conserved highly structured 26 elements in the 3' untranslated region (UTR). This mechanism of sfRNA generation 27 was discovered a decade ago and since then sfRNA has been a focus of intense 28 research. The ability of flaviviruses to produce sfRNA was shown to be evolutionary 29 conserved in all members of Flavivirus genus. Mutations in the 3'UTR that affect 30 production of sfRNAs and their interactions with host factors showed that sfRNAs are 31 responsible for viral pathogenicity, host adaptation, and emergence of new pathogenic 32 33 strains. RNA structural elements required for XRN1 stalling have been elucidated and the role of sfRNAs in inhibiting host antiviral responses in arthropod and vertebrate 34 hosts has been demonstrated. Some molecular mechanisms determining these 35 36 properties of sfRNA have been recently characterized, while other aspects of sfRNA functions remain an open avenue for future research. In this review we summarize the 37 current state of knowledge on the mechanisms of generation and functional roles of 38 sfRNAs in the life cycle of flaviviruses and highlight the gaps in our knowledge to be 39 addressed in the future. 40

#### 42 **1. Introduction**

43 Flaviviruses have the unique ability to subvert host RNA degradation machinery for production of virus-derived noncoding RNA (subgenomic flaviviral RNA or sfRNA). 44 45 This RNA was found to be produced by all flaviviruses tested to date (Pijlman et al., 2008; MacFadden et al., 2018). It is shown to inhibit host antiviral response and is 46 required for viral pathogenicity (Pijlman et al., 2008; Esther Schnettler et al., 2012; 47 Schuessler et al., 2012). In this review we summarise the available information on the 48 structural determinants and molecular processes of sfRNA biogenesis in different 49 50 ecological groups of flaviviruses, mechanisms behind the inhibitory effect of sfRNA on host antiviral response in arthropod and vertebrate hosts and discuss the role of 51 sfRNA in evolution of flaviviruses. We also identify gaps in the current knowledge 52 53 about sfRNA functions that are yet to be addressed to fully understand interactions between sfRNA, other viral processes, and host antiviral defence. 54

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#### 2. Diversity of genus Flavivirus

57 Flavivirus genus can be divided into several ecological groups: mosquito-borne 58 flaviviruses (MBFs) that circulate between mosquito and vertebrates (avian, equine or human) hosts; tick-borne flaviviruses (TBFs) that are maintained in tick-vertebrate 59 cycle; viruses that only infect vertebrates and are thought to be transmitted horizontally 60 61 between vertebrates (no known vector flaviviruses, NKVFs), and insect-specific flaviviruses (ISFs) that infect mosquitoes and sand flies and are maintained in vertical 62 transmission cycles (Blitvich and Firth, 2015). Arthropod-borne flaviviruses (ABFs, 63 consisting of MBFs and TBFs) is the group of viruses that includes all human 64 pathogens and until recently was the most studied group. However, other ecological 65 groups of flaviviruses, and particularly ISFs, have recently attracted significant 66

67 attention due to their ability to inhibit replication of ABFs in co-infected mosquitoes and their potential use as agents of biocontrol (Bolling et al., 2012; Hall-Mendelin et al., 68 2016; Hobson-Peters et al., 2013). They are also considered to be a safe platform to 69 70 generate recombinant vaccine candidates against pathogenic flaviviruses (Piyasena et al., 2017). Due to the medical importance of pathogenic flaviviruses, this group of 71 viruses has been extensively studied and to date we have accumulated a wealth of 72 knowledge on their ecology, molecular biology and processes involved in antiviral 73 immunity and virus-host interactions. 74

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#### 3. Genome of flaviviruses and their 3'UTR

All flaviviruses have a relatively small genome of approximately 11kb in length, 77 which has one large open reading frame (ORF) (Brinton, 2013). Organisation of 78 flavivirus genome is schematically represented in Fig 1A. 79 Genomic RNA of flaviviruses has type I cap at the 5'-end (Ray et al., 2006) and lacks ploy(A)-tail at the 80 3'-end (Brinton et al., 1986). ORF encodes for 3 structural (C, PrM and E) and 7 non-81 structural (NS1, NS2A, NS2B, NS3, NS4A, NS4B and NS5) proteins (Chambers et al., 82 1990). Structural proteins form viral particles, whereas non-structural proteins are 83 involved in viral RNA replication and inhibition of host antiviral response (reviewed in 84 (Roby et al., 2012)). Viral ORF is translated as a single polyprotein, which is cleaved 85 86 into mature proteins by viral and host proteases. Cleavage at most sites occurs cotranslationally except prM/E junction, which is cleaved post-translationally (Lobigs M., 87 1993). Viral ORF is flanked by 5' and 3'-untranslated regions (UTRs) that are required 88 for replication of the viral genome (Khromykh et al., 2001; Ng et al., 2017) and 89 translation of viral polyprotein (Holden & Harris, 2004; Chiu et al., 2005). 3'UTRs of all 90 MBFs have conserved secondary structure (Fig 1B) and contain duplicated stem loop 91

92 elements (SLs) followed by one or two dumbbell structures (DBs) and terminal 3'-stem 93 loop (3'SL) preceded by a short hairpin (sHP) (Clarke et al., 2015). Due to the complex secondary and tertiary structure, 3'UTRs of flaviviruses are resistant to digestion by 94 95 the host 5'-3' exoribonuclease XRN-1 - the enzyme responsible for degradation of uncapped host and viral RNAs in the cytoplasm (Funk et al., 2010; Piilman et al., 96 2008). This resistance prevents complete degradation of flaviviral genomes and 97 results in accumulation of the abundant RNA fragments derived from the 3'UTR in 98 infected cells (Fig. 1B,C) (Piilman et al., 2008). These viral RNA species, referred as 99 sfRNAs, were shown to be produced in arthropod and vertebrate hosts by all 100 flaviviruses tested to date (Chapman et al., 2014; MacFadden et al., 2018; Pijlman et 101 102 al., 2008) and to be required for viral pathogenesis and evasion of host antiviral response (reviewed in (Clarke et al., 2015; Roby et al., 2014)). The unique ability of 103 flaviviruses to utilize host RNA degradation pathway for production of viral 104 pathogenicity factor and the important functions of sfRNA in flavivirus life cycle 105 attracted significant interest in recent years. This has led to rapid advance in our 106 understanding of molecular mechanisms of sfRNA biogenesis and different functions 107 of sfRNA as well as provided new insights into potential roles of sfRNA in flavivirus 108 109 evolution and host adaptation.

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#### 4. Mechanism of sfRNA biogenesis.

4.1. sfRNA is produced as a product of incomplete degradation of viral
 genomic RNA by the host exoribonuclease XRN-1.

114 Similar to cellular RNAs, genomic RNA of flaviviruses can become a subject to 115 degradation by the host mRNA decay machinery. Eukaryotic RNA degradation 116 machinery consists of coordinated endo- and exoribonucleases and multiple auxiliary

117 factors (reviewed in (Garneau et al., 2007; Houseley and Tollervey, 2009)). It acts to 118 maintain the balance of cellular mRNAs, prevents translation of aberrant transcripts and protects cells from exogenous infectious RNAs. As most cellular mRNA are 119 120 capped and polyadenylated, these RNA modifications serve as primary markers for RNA quality surveillance and determine the fate of cellular transcripts (Bernstein et al., 121 1989; Gao et al., 2000). Removal of either cap or poly(A)-tail is required to trigger 122 exonucleolytic mRNA degradation pathways. Deadenylation, which results from either 123 the enzyme activity of deadenylase or the edonucleolytic cleavage by nucleases such 124 as RNaseL and Ago, is usually the first step in eukaryotic mRNA decay (Schoenberg 125 and Maguat, 2012). RNAs lacking poly(A)-tail can be subjected to degradation by 3' -> 126 5' exoribonucleases in the multi-subunit RNA degradation complexes called exosomes 127 (Decker and Parker, 1993). In addition, deadenylation triggers decapping of mRNAs 128 and their degradation in 5' -> 3' direction by exoribonuclease 1 (XRN-1) (Tomecki and 129 Dziembowski, 2010), which is believed to be the major mRNA decay pathway in 130 eukaryotes (Garneau et al., 2007). Removal of the cap structure from cytosolic RNAs 131 is catalysed by decapping enzymes DCP1/DCP2 and involves a number of other 132 cofactors (Liu et al., 2002). XRN-1 recognises decapped RNA as they possess 5'-133 monophosphate, which interacts with a positively charged pocket in XRNA-1 molecule. 134 XRN-1 then unwinds target RNA due to its ATP-dependent RNA-helicase activity and 135 rapidly digests bound RNAs by removing nucleotides from the 5'-end one by one 136 generating no intermediate products (Jinek et al., 2011). Enzymes required for 5' -> 3' 137 RNA decay such as XRN-1 and decapping proteins are localized in the cytoplasm and 138 can assemble into multiprotein granular formation called P-bodies or stress granules. 139 Assembly of P-bodies is often triggered by stress conditions associated with 140 accumulation of large amounts of RNA subjected to degradation, including RNA virus 141 infection (Lloyd, 2013). 142

143 At the 5'-end genomic RNA of flaviviruses contains a cap structure with a 144 methyl groups in position N7 and at the 2'OH position of ribose of the first nucleotide (type I or m(7)GpppAmN cap) (Ray et al., 2006). The 3'-end of the viral genome does 145 146 not have poly(A)-tail and terminates with a stem loop structure (3'SL), which is very conserved among all flaviviruses and has high thermodynamic stability (Dong et al., 147 2008). Interestingly, cellular mRNAs encoding for histories are also lacking poly(A) and 148 contain 3' terminal stem loop (Zanier et al., 2002). This stem loop protects histone 149 mRNAs from 3' -> 5' digestion via interaction with stem loop binding protein (SLBP) 150 (Williams and Marzluff, 1995) and degradation of these mRNAs primarily occurs via 5' 151 -> 3' mechanism dependent on 3'-oligouridylation. 3'SL of flaviviruses is also believed 152 to protect viral RNA from 3' -> 5' exoribonucleases and determines RNA stability 153 despite the lack of poly(A)-tail (Ford and Wilusz, 1999). However, it is currently 154 unknown if 3'SL of flaviviruses has the same role as 3'SL of histone mRNA. Protection 155 of 3'-end from 3' -> 5' degradations by 3'SL implies that endonucleolytic cleavage by 156 RNase L (Samuel et al., 2006; Scherbik et al., 2006) and 5' -> 3' degradation by XRN-157 1 are likely to be the predominant pathways for flaviviral genomic RNA decay 158 (Narayanan and Makino, 2013). However, flaviviruses evolved to block complete 159 160 degradation of viral genomic RNA by XRN-1 at the beginning of 3'UTR in order to produce functional noncoding RNA. 161

Production of sfRNA by flaviviruses was first reported for MVEV (Urosevic et al., 1997) and later shown to be a common characteristics for flaviviruses in general. All ABFVs (Akiyama et al., 2016; Lin et al., 2004; Pijlman et al., 2008) and also recently ISFs and NKVFVs (MacFadden et al., 2018) have been shown to generate sfRNA. Biogenesis of sfRNA as XRN-1 dependent process (Fig 1B) was first described by our group for WNV in 2008 (Pijlman et al., 2008). Using recombinant constructs in which

168 genomic RNA of WNV with various deletions was transcribed from CMV promoter, we demonstrated that sfRNA is produced independently of virus replication and of viral 169 proteins. We hypothesised that sfRNA is generated as the result of incomplete 170 171 digestion of viral genomic RNA by XRN-1 and confirmed this hypothesis by demonstrating decreased production of WNV sfRNA in XRN-1-depleted cells, co-172 localization of XRN-1 with WNV sfRNA in infected cells, and the ability of XRN-1 to 173 convert viral genomic RNA into sfRNA in vitro (Pijlman et al., 2008). Later the role of 174 XRN-1 in generation of sfRNA was confirmed for other ABFs such as YFV (Silva et al., 175 2010), DENV (Chapman et al., 2014a) and ZIKV (Akiyama et al., 2016), as well as for 176 ISFV Cell Fusion Agent Virus (CFAV) (MacFadden et al., 2018) and several NKVFs 177 (MacFadden et al., 2018). 178

We determined that the 5'-end of WNV sfRNA aligns with the SL-II structure 179 within WNV 3'UTR (Pijlman et al., 2008). Deletion or disruption of this structure by 180 mutagenesis abolished generation of full-length sfRNA, indicating that SL-II was 181 182 required for stalling XRN-1 and producing sfRNA. In addition, three smaller sfRNA species were detected in cells infected with WNV showing that downstream SL-IV and 183 possibly dumb bell structures could also have the ability to stall XRN-1 (Funk et al., 184 2010; Pijlman et al., 2008). Later, using higher resolution gels, these smaller sfRNAs 185 (named sfRNA-2, sfRNA-3, and in some cases sfRNA4) were shown to be also 186 produced in cells infected with different flaviviruses (Fig 1C for DENV2) (Akiyama et 187 al., 2016; Chapman et al., 2014a; Filomatori et al., 2017), indicating that in some 188 instances XRN-1 can "slip" through the first resistant structure and stall at the 189 190 downstream structural elements. Two sfRNA species has been also detected in YFVinfected mammalian cells, however sfRNA-2 of YFV had the same 5'end as sfRNA-1 191 and was truncated by ~100nts at the 3'end (Silva et al., 2010). The mechanism that 192

determines generation of sfRNA-2 by YFVs has not yet been characterized and
production of 3'-truncated sfRNA has not been reported for other flaviviruses.

4.2. Structural determinants of sfRNA biogenesis are unique tertiary RNA
 structures that differ between phylogenetic groups of flaviviruses.

197 4.2.1. Secondary and tertiary structures of XRN-1 resistant elements in
 198 MBFVs

199 Currently two classes of XRN-1 resistant elements (xrRNAs) have been identified in 3'UTRs of MBFVs - xrRNAs formed by stem loops (SL) and those that 200 201 involve dumb bell (DB) structures (Fig. 2A) (Funk et al., 2010). All mosquito-borne flaviviruses tested to date have been shown to contain at least one xrRNA (Clarke et 202 al., 2015). YFV seem to have the simplest set of xrRNAs with only one SL (SLA) and 203 204 one DB (SLB) (Silva et al., 2010), but majority of MBFs have duplicated XRN-1resistant elements (Villordo et al., 2016). ZIKV, for instance, has two SLs (SL1, SL2), 205 and one DB (Akiyama et al., 2016), Rocio virus is predicted to have one SL (SL1) and 206 two DB (DB1, DB2) structures (Setoh et al., 2018) and WNV and DENV have 207 duplication of both – SLs (SLII and SLIV for WNV, SLI and SLII for DENV) and DBs 208 (DBI, DBII) (Filomatori et al., 2017; Funk et al., 2010). These elements are usually 209 designated as xrRNAs 1 to 4 (in 5' to 3' direction) and are required for production of 210 sfRNAs 1 to 4, respectively, with sfRNA-1 being the longest (Fig 1B,C). 211

212 XRN-1 is a highly processive enzyme that has an RNA-helicase activity and is 213 generally capable of digesting structured RNAs. When the ability of SLII, SLIV and DBI 214 of WNV 3'UTR to stall XRN-1 was discovered, only the homopolymer G stretches of 215 9+ nucleotides were known to efficiently halt RNA digestion by XRN-1, whereas stem 216 loops were thought to have very little effect on processivity of 5' -> 3' exoribonucleases 217 (Poole and Stevens, 1997). It was therefore puzzling why stem loops within the

218 faviviral genomes have such a dramatic effect on XRN-1 processing and at the same 219 time do not interfere with viral polymerase moving in 3' to 5' direction on the viral template (+) RNA strand while synthesising (-) RNA strand. It was hypothesised that 220 221 stem loops of flaviviruses must be involved in formation of higher order tertiary structures to confer XRN-1 resistance (Funk et al., 2010). To address this matter, 222 secondary and tertiary structures of MBFV 3'UTRs were assessed using 223 computational folding prediction, enzymatic/chemical RNA structure probing and 224 mutational analysis (Alvarez et al., 2005; Chapman et al., 2014a; Funk et al., 2010; 225 Kieft et al., 2015a; Silva et al., 2010). In addition, crystal structures of MVEV and ZIKV 226 xrRNAs have now been solved (Akiyama et al., 2016; Chapman et al., 2014). 227

First insights into the structural basis of XRN-1 resistance were provided by our 228 study (Funk et al., 2010) and the study by Silva et al (Silva et al., 2010). These studies 229 showed that XRN-1 resistant stem loops of WNV and YFV were involved in 230 pseudoknot (PK) interactions (Figs 1B and 2A). PKs are RNA structures that contain 231 232 two helical segments connected by single-stranded segments or loops. Most common 233 type of PK is the H-type fold, in which nucleotides in the loop of a hairpin form intramolecular pairs with nucleotides outside of the stem, which leads to formation of a 234 235 second stem and loop and results in a PK with two stems and two loops (Staple and Butcher, 2005). These type of PKs were shown to be formed between the loop regions 236 of SLs/DBs of WNV and YFV and the downstream single stranded regions (Fig 2A) 237 (Chapman et al., 2014a; Funk et al., 2010; Silva et al., 2010). Mutations in either loops 238 of SLs or the interacting regions outside the SLs that prevented PK formation were 239 240 shown to abolish production of corresponding sfRNA molecules, whereas mutations that didn't influence base pairing had no effect on the ability of the viruses to produce 241 sfRNAs (Funk et al., 2010; Silva et al., 2010). Importantly, compensatory mutations 242

restoring PK interactions also restored XRN-1 resistance and generation of sfRNAs
(Funk et al., 2010; Silva et al., 2010). Recently, similar PK-interactions were also
demonstrated to confer XRN-1 resistance of xrRNAs in ZIKV(Akiyama et al., 2016).

However, somewhat different results were obtained when the ability of DENV 246 SLs to form pseudoknots was tested by chemical probing (Chapman et al., 2014). 247 Despite SLI of DENV (equivalent of WNV SLII) was predicted to be involved in PK-248 formation by computational analysis, chemical structure probing revealed that both 249 RNA segments expected to interact were most likely unpaired (Chapman et al., 250 2014a). In addition, mutations that were predicted to prevent formation of PK were 251 shown to reduce, but not completely abolish production of DENV sfRNA-1 (Filomatori 252 et al., 2017). For DENV SLII (equivalent of WNV SLIV), PK-interactions were 253 confirmed, however elimination of this interactions by mutations only reduced 254 production of sfRNA-2 by less than 50% (Chapman et al., 2014a). These observations 255 led to the conclusion that PK interactions could be rather transient and not always 256 257 crucial for stalling XRN-1 (Chapman et al., 2014a; Kieft et al., 2015a).

Recently crystal structures of xrRNA-2 of MVEV (Chapman et al., 2014) and of 258 259 xrRNA-1 of ZIKV (Akiyama et al., 2016) have been solved (Fig 2B), which has become a turning point in our understanding of the structural basis of XRN-1 resistance and 260 sfRNA biogenesis. The structural analysis revealed that both xrRNA1 and xRNA2 form 261 three-way junctions with coaxial stacking of helices P1 and P2, while helix P3 262 263 positioned at the acute angel to P1 (Fig 2B). Three-way junctions are structural elements of "branched" nucleic acids in which three double helical arms are connected 264 265 at the junction point, with or without several unpaired bases in one or more of the three different strands (Lilley, 1998). Three-way junctions are common in highly structured 266 nucleic acids such as rRNA and hammerhead ribozymes (Lilley, 1998). They can 267

268 acquire three major types of topology and usually involve formation of non-canonical 269 base pairs such as base triplices and Hoogsteen hydrogen bonds (Lescoute, 2006). Surprisingly, three-way junctions that are formed in xrRNAs of MVEV and ZIKV have 270 271 the unique topology that have not been previously observed in any other RNAs and cannot be classified into any of 3 known types of three-way junctions. They acquire the 272 conformation in which 5'-end of the RNA passes through a ring-like structure (Fig 2B), 273 which is somewhat similar to a knot (Akiyama et al., 2016; Chapman et al., 2014). The 274 most likely model that explains XRN-1 resistance for this unusual fold suggests that 275 the ring-like structure creates a mechanical block for XRN-1 (MacFadden et al., 2018). 276 This model is based on the crystal structure of xrRNAs (Akiyama et al., 2016; 277 Chapman et al., 2014) and the experiments in which the resistance of xrRNAs to a 278 range of exonucleases unrelated to XRN-1 was tested (MacFadden et al., 2018). It 279 assumes that the ring surrounding 5' end of RNA braces against the surface of the 280 enzyme around the active site (Fig 2C) and prevents XRN-1 from assessing the next 281 nucleotide, which blocks progression of XRN-1 in 5' to 3' direction (Fig 2C). The 282 helicase activity of XRN-1 would not help to overcome this obstruction as the enzyme 283 would need to pull 5'-end through the structure rather than simply unwind the helix 284 (Chapman et al., 2014; Kieft et al., 2015a). The enzymes acting in 3' to 5' direction do 285 not encounter this obstacle as they enter the structure from the outside, which explains 286 why this structure for example does not halt viral RdRP. Formation of the ring-like 287 topology was shown to require base triples and base pairs between the 5'-end of 288 xrRNAs and three-way junction, and to be stabilised by a small PK and base pairing 289 (Watson-Crick and non-canonical) within the junction (Fig 2B). All nucleotides required 290 for the folding of ring-like structure were shown to be highly conserved amongst 291 MBFVs (Akiyama et al., 2016). 292

293 PK between the apical loop of xrRNA-forming SL and the downstream 294 complementary region of UTR was not evident in the crystal structure of MVEV xrRNA-2 although the RNA regions predicted to form PK were located in close 295 296 proximity and ready to pair (Chapman et al., 2014). However, in more recent crystal structure of ZIKV xrRNA-1, PK was clearly defined (Akiyama et al., 2016). These 297 results suggest that PKs can be transient and used to stabilise XRN-1 resistant fold 298 rather than being XRN-1 resistant themselves (Akiyama et al., 2016; Kieft et al., 2015). 299 Based on the cumulative data from structural and functional studies a model was 300 proposed that suggests stabilising role of PKs and explains how a complex tertiary 301 structure with RNA strand threading through the centre of the ring can be formed in the 302 303 context of full-length genomic RNA. According to this model, the entire xrRNA structure is unfolded and ring is open until the 5'-terminal nucleotides of xrRNA pair 304 with those in three-way junction. Once the pairing occurs, the junction forms around 305 the 5'-end of xrRNA, causing the single stranded RNA segments of the loop and 306 307 downstream region of 3'UTR to move into position where they can interact. As soon as they appear in the position that allows pairing, the PK is formed and the ring is 308 "latched" in the stable XRN-1 resistant conformation (Kieft et al., 2015). 309

Acquisition of high-resolution crystal structures of SL-based MVEV and ZIKV xrRNAs significantly advanced our understanding of how flaviviruses achieve unique resistance to 5'->3' exonucleolytic digestion. However, the structural basis of XRN-1 resistance in DB structures, predicted to be responsible for generation of shorter sfRNA species, remains to be determined.

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# 5 4.2.3. XRN-1 resistant elements in insect-specific flaviviruses (ISFs)

ISFs are phylogenetically heterogeneous group of flaviviruses that can onlyreplicate in mosquitoes and are maintained via vertical transmission. Their ability to

produce sfRNA has not been tested until recently (MacFadden et al., 2018) and the structural determinants of XRN-1 resistance have not been well characterized. Current knowledge about sfRNA biogenesis in ISFs is based on the chemical probing of the secondary structure in a single flavivirus Cell Fusion Agent Virus (CFAV) (MacFadden et al., 2018), and predominantly using *in silico* analyses (MacFadden et al., 2018; Roby et al., 2014; Villordo et al., 2016).

ISFs can be divided into two phylogenetically distinct groups (Fig 2A): 324 clade/lineage I or classic ISFs and clade/lineage II or dual-host associated ISFs (Hall 325 et al., 2016). Clade I ISFs are most phylogenetically distinct from ABFVs, are thought 326 to have evolved to replicate solely in insects, and are likely to represent the ancestors 327 of all flaviviruses (Hall et al., 2016). In contrast, dual-host associated ISFs display high 328 degree of sequence similarity to MBFVs and are thought to have diverged from 329 MBFVs by losing their ability to propagate in vertebrates and adapting to vertical 330 transmission in mosquitos (Hall et al., 2016). 331

As Clade II ISFs are very similar to MBFVs with a high degree of homology in 332 3'UTR, it is expected that they employ mechanisms of sfRNA biogenesis that 333 resemble those of MBFVs (Villordo et al., 2016). Computational sequence alignment 334 and secondary structure prediction for Clade II flavivirus Chaoyang Virus (CHAOV) 335 revealed that 3'UTR of this virus has high structural homology to the 3'UTR of MBFVs 336 337 and contain SL and DB structures capable of forming PKs. The SL element of CHAOV 338 3'UTR also shares with MBFVs the conserved nucleotides in the positions critical for the formation of the ring-like three-dimensional xrRNA fold (Villordo et al., 2016). It is 339 340 therefore believed that Clade II ISFs would produce sfRNA similar to MBFVs utilising this element as the structural determinant of XRN-1 resistance (Fig 2A) (MacFadden 341 et al., 2018; Villordo et al., 2016). However, production of sfRNA by Clade II 342

flaviviruses has not been experimentally demonstrated and no data exists to support
the XRN1-resistant structures predicted by computer modelling (Villordo et al., 2016).

In contrast to Clade II ISFs, 3'UTRs of viruses from Clade I have very little 345 sequence and structural similarity to those of MBFVs (Gritsun et al., 2014; Villordo et 346 al., 2016). Computational prediction revealed that the only structural element shared 347 between these two groups is the 3'-terminal stem loop (3'SL) (Gritsun et al., 2014). 348 3'UTRs of Clade I ISFs were also shown to be lacking sequences, that are strictly 349 conserved in MBFVs xrRNAs. Moreover, Clade I ISFs is a very heterogeneous group 350 that include three very distinct subgroups: viruses that infect Aedes mosquitos 351 (AeISFs), Culex-associated viruses (CxISFs), and recently discovered viruses 352 infecting only Anopheles mosquitoes (AnISFs) (Hall et al., 2016; Insect-specific, 2017). 353 354 The first attempt to predict secondary structures within the 3'UTR of Clade I ISFs did not reveal elements capable of forming high-order structures, but instead 355 demonstrated the presence of abundant short direct repeats and short hairpins 356 357 (Gritsun et al., 2014). Similar results were also obtained in the later finding that involved secondary structure prediction and estimation of structural similarities based 358 on tree alignment model (Villordo et al., 2016). This study, however, identified a 359 360 putative duplicated structure with a potential to form PK interaction in Aedesassosiated ISFs CFAV and AEFV (Aedes flavivirus), which could represent a potential 361 xrRNA structure (Villordo et al., 2016). 362

Recently, experimental evidence for sfRNA production by CFAV has been obtained and the secondary structure of the 3'UTR element responsible for XRN-1 stalling has been resolved by chemical probing (MacFadden et al., 2018). Production of sfRNA in CFAV-infected C6/36 cells was detected by Northern blot. The 5'-end of CFAV sfRNA was determined by primer extension and found to align with an SL

368 structure (Fig 2A). The ability of this structure to resist XRN-1 digestion was 369 demonstrated in in vitro assay thus confirming that this element is bona fide xrRNA (MacFadden et al., 2018). Secondary structure of CFAV sfRNA was then determined 370 371 by SHAPE analysis and computational folding. It was shown to form three-way junction and PKs similar to those in MBFV xrRNAs (Fig 2A). The nucleotides at the 5'-372 end of CFAV xrRNA and in the critical positions of three-way junctions were different 373 to those found in MBFVs xrRNAs (Fig 2A), but able to form similar base triples and 374 base pairs. Thus, xrRNA of CFAV was suggested to also fold into a similar ring-like 375 tertiary structure as xrRNAs of MBFVs despite lacking sequence similarity. In addition, 376 sequence alignment identified second homologous structure in the CFAV 3'UTR, 377 suggesting presence of a putative xrRNA-2. MacFadden and co-authors also 378 demonstrated that nucleotides critical for formation of three-way junction, PK and ring-379 like structure identified in CFAV xrRNA were conserved between CFAV, Aedes 380 flavivirus (AeFV) and Kamiti river virus (KRV) and suggested that all Clade I ISFs may 381 employ similar to CFAV mechanism for sfRNA production (MacFadden et al., 2018). 382 However, the sequence alignment of MacFadden et al. only included Aedes-383 associated ISFs - the only Clade I ISFs previously predicted to contain high-order 384 structures in 3'UTRs (Villordo et al., 2016). The ability of Culex and Anopheles 385 associated ISFs to produce sfRNA has not yet been tested and the secondary 386 structures of their 3'UTRs remain either only predicted (CxISFs) or not assessed at all 387 (AnISFs). 388

# 389 4.2.3. Structural determinants of sfRNA biogenesis in tick-borne and no 390 known vector flaviviruses.

391 Tick-born flaviviruses (TBFVs) are another group of dual-host flaviviruses. They 392 circulate between ticks and vertebrates and include several human pathogens

393 (reviewed in (LaSala and Holbrook, 2010)). Together with MBFVs they were among 394 other flaviviruses demonstrated to produce sfRNA (Pijlman et al., 2008; Schnettler et al., 2014). TBFVs, however, have 3'UTRs that are rather dissimilar to 3'UTRs of 395 396 MBFVs (MacFadden et al., 2018). XRN1-resistant structures in TBFVs were determined and analysed by chemical probing (Fig 2A) (MacFadden et al., 2018). 397 They were shown to also contain three-way-junction and PK between apical loop and 398 downstream 3'UTR sequence (MacFadden et al., 2018; Schnettler et al., 2014). 399 However, the three-way junction was positioned on a longer stem compared to MBFVs 400 and sfRNA start site was located in a bulging region within the stem, whereas in 401 MBFVs it is preceding the stem region. The three-way-junctions in TBFVs could not be 402 403 assigned to any known classes and thus their tertiary fold was impossible to predict without direct structural data (e.g. X-ray crystallography). Interestingly, the PK in 404 TBFVs xrRNAs was shown to be critically important for XRN-1 resistance and sfRNA 405 generation by mutational analysis (MacFadden et al., 2018). 406

407 No known vector flaviviruses (NKVFVs) are members of *Flavivirus* genus that 408 exhibit restriction of replication to vertebrate (rodent or bat) host only (reviewed in (Blitvich and Firth, 2017)). This is a non-taxonomic group, which includes at least two 409 phylogenetic subgroups of viruses – one related to MBFVs and one related to TBFVs 410 (Blitvich and Firth, 2017). The ability of these viruses to produce sfRNA was tested 411 only recently (Kieft et al., 2015; MacFadden et al., 2018). MBFV-like virus Yokose 412 virus (YOKV) was found to contain conserved sequences responsible for xrRNA 413 folding in MBFVs and predicted to have similar to MBFVs structure of 3'UTR (Fig 2A). 414 It was suggested to be capable for sfRNA production via the same mechanism 415 employed by MBFVs, but it was not experimentally tested (MacFadden et al., 2018). 416

417 NKVFVs that are similar to TBFVs were recently shown to produce sfRNA in infected cells (MacFadden et al., 2018). Montana myotis leukoencephalitis virus 418 (MMLV), Apoi virus (APOIV), Modoc virus (MODV) and Rio Bravo virus (RIBV) were 419 tested in this study. The 5'-ends of sfRNAs produced by these viruses were 420 determined and shown to align with the structural elements similar to xrRNAs of 421 422 TBFVs (Fig 2A). By the combination of in vitro XRN-1 resistance assay, SHAPE analysis and mutational study these NKVFVs were shown to contain the same 423 structural determinants of XRN-1 resistance and sfRNA biogenesis as TBFVs 424 425 (MacFadden et al., 2018).

The fact that TBFVs and related NKVFVs produce sfRNA but seem to have XRN-1 resistant elements different from those of MBFVs emphasizes the importance of sfRNA in the life cycle of flaviviruses replicating in variety of hosts and indicates that different groups of flaviviruses may have developed different ways to stall XRN-1. Crystallisation of xrRNAs for these viruses and generation of structural data is required to obtain more complete understanding on how they interact with and stall XRN-1 to generate sfRNAs.

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#### 4.3. Alterations between sfRNA isoforms and host adaptation

The majority of flaviviruses contain duplications of structural elements in the 3'UTR able to resist XRN-1 degradation. These can be SLs, DBs, or both. It has been known for a while that presence of these duplicated elements results in production of several sfRNA isoforms with different 5'-ends (sfRNA-1, sfRNA-2, sfRNA-3, etc), however the functional implications of producing different sfRNA species remained unclear (Villordo et al., 2016). However, recent studies provided the evidence that different sfRNA species can be beneficial for flavivirus replication in different hosts and

442 that DENV life cycle involves genetic alterations that switch between production of sfRNA-1 and sfRNA-2 depending on the host virus replicates in (Filomatori et al., 443 2017; Villordo et al., 2015). DENV contains four putative xrRNA resistant structures 444 445 represented by two SLs (SLI and SLII) and two dumb bells (DBI and DBII) and produces three or four sfRNA species (Kieft et al., 2015). Villordo et al and Filomatori 446 et al demonstrated that natural populations of DENV include viruses with mutations 447 within SL-structures of the 3'UTR. Upon infection of mosquitos or passaging in 448 mosquito cell line, the selective pressure favoured replication of viruses with mutations 449 in SLII that disrupt xrRNA2. These viruses quickly overpopulated other genotypes and 450 represented the vast majority of DENV population in mosquitos. In mammalian cells, 451 however, the opposite effect was observed: viruses with mutations in xrRNA2 induced 452 stronger type I IFN response and the selective pressure acted against the viruses with 453 impaired xrRNA2 formation. As the result, viruses with intact SLII quickly became the 454 majority of DENV population in mammalian host. In addition, they accumulated 455 mutations that stabilize PK within xrRNA1. Disruption of xrRNA2 was shown to benefit 456 DENV replication in mosquito cells, whereas presence of both intact xrRNAs was 457 found to slightly improve viral fitness in mammalian cells (Villordo et al., 2015). These 458 mutations were shown to alter the patterns of sfRNAs produced by DENV. Viruses 459 with intact xrRNAs, adapted to mammalian host, were demonstrated to produce 460 predominantly sfRNA-1, and, to some extent, sfRNA-3. However, mosquito-adapted 461 viruses with mutations in xrRNA2, had reduced production of longer sfRNA-1 and 462 sfRNA-2 and generated the abundance of shorter sfRNA-3 and sfRNA-4. Why 463 mutations in xrRNA2 and not in xrRNA1 result in such profound changes in production 464 of sfRNA-1 remains unclear. Allegedly, this involves not yet characterized interactions 465 between SLI and SLII in which SLII stabilizes xrRNA1. Similar sfRNA patterns were 466 also observed in DENV-infected mosquitos. Based on these observations and 467

468 correlation between sfRNA-1 accumulation and viral fitness in mammalian cells, the
469 hypothesis was proposed that DENV replication in different hosts requires different
470 sets of sfRNAs and that SLII/xrRNA2 structure acts as a genetic switch between their
471 production during alternation between the hosts (Filomatori et al., 2017).

Therefore, it was suggested that duplication of structural elements in 3'UTRs of 472 flaviviruses occurred in conjunction with transition to the dual-host life cycle to enable 473 adaptation to switching between the hosts (Kieft et al., 2015; Villordo et al., 2016). 474 However, further studies are required to validate this assumption as so far 475 accumulation of adaptive mutations in xrRNA2 leading to switching between different 476 sets of sfRNA species has only been demonstrated for DENV (Filomatori et al., 2017; 477 Villordo et al., 2015). ZIKV, on the other hand, was shown to produce the same 478 patterns of sfRNAs in both, mosquito and mammalian, cells (Filomatori et al., 2017). In 479 addition, lack of sfRNA-1 was shown not to significantly affect replication of WNV in 480 mosquitoes and mosquito cell lines, whereas replication of the mutant virus deficient in 481 482 both, sfRNA-1 and sfRNA-2, was significantly reduced in mosquito but not in 483 mammalian cells (Funk et al, 2010; Goertz, 2016). At the same time, production of sfRNA-1 was shown to be required for WNV pathogenicity in mice (Pijlman 2008, Funk 484 2010) and for WNV penetration of mosquito gut barrier and virus dissemination into 485 salivary glands (Göertz et al., 2016). These observations emphasize the importance of 486 different sfRNA species for replication of ABFVs in different hosts while also 487 highlighting further need to investigate their role in determining tissue/host-specific 488 replication, transmission and pathogenesis for each individual virus. 489

Duplicated SLs capable of folding into xrRNAs have recently been identified in clade I ISFs CFAV, KRV and AeFV by computational prediction with reference to a sequence that has experimentally validated structure (MacFadden et al., 2018; Villordo

493 et al., 2016). Generation of long sfRNA (sfRNA1) was also demonstrated for ISF 494 CFAV (MacFadden et al., 2018). It is unclear in the context of the hypothesis suggesting structure duplications as a mechanism allowing adaptation to different 495 496 hosts why viruses that don't alternate between different hosts also have duplicated 3'UTR structures and predominantly generate sfRNA-1. More detailed and extensive 497 investigation of the role for different sfRNAs in the context of flavivirus evolution and 498 virus-host interactions therefore represents important future research direction in this 499 500 area.

Another important implication from the studies with host-adapted DENV variants 501 is a possible role of xrRNA2 in biogenesis of sfRNA-1 (Filomatori et al., 2017). 502 Previously sfRNA-1 was thought to be produced due to XRN-1 stalling at xrRNA-1 and 503 this structure was believed to be self-sufficient barrier for XRN-1. However, DENV 504 mutants with disrupted xrRNA-2 were shown to have impaired production of both 505 sfRNA species - sfRNA-2 and sfRNA-1. Similar phenomenon was also observed 506 507 previously when xrRNA-2 of WNV was mutated (Funk et al., 2010). This opens 508 another avenue for future studies of the interplay between xrRNAs in sfRNA biogenesis. 509

510 5. Functions of sfRNA

Together with the discovery of mechanism for sfRNA generation, we reported the requirement of sfRNA for viral pathogenicity (Pijlman et al., 2008). WNV mutants lacking production of sfRNA1, or sfRNA1 and sfRNA2 were shown to exhibit reduced pathogenicity in mice (Pijlman et al., 2008). Later studies demonstrated the pivotal role of sfRNA in replication, dissemination and transmission of a wide range of flaviviruses (Chang et al., 2013; Donald et al., 2016; Filomatori et al., 2017; Junglen et al., 2017). In addition, host pathways targeted by sfRNA to facilitate virus replication,

518 dissemination and transmission were identified. The evidence were obtained that sfRNA impairs host mRNA turnover (Moon et al., 2012), inhibits RNAi and miRNA 519 pathways (Moon et al., 2015b; Esther Schnettler et al., 2012; Schnettler et al., 2014), 520 521 supresses type I IFN response in vertebrates (Chang et al., 2013; Schuessler et al., 2012) and Toll pathway in mosquitos (Pompon et al., 2017). In addition, sfRNA was 522 shown to promote apoptosis of infected cells and virus-induced cytopathic effect (Liu 523 et al., 2014; Pijlman et al., 2008). These known functions of sfRNA in flavivirus-host 524 interactions are summarized in Fig 3. In this section we will analyse the current 525 knowledge on the functional implications of sfRNA in the flavivirus life cycle and 526 molecular targets of sfRNA. 527

# 528 **5.1. sfRNA inhibits host exoribonuclease XRN-1 and dysregulates host** 529 **mRNA turnover.**

Considering the ability of the secondary structures in the 3'UTR of flaviviruses 530 to stall XRN-1 (Funk et al., 2010), the effect of sfRNA on XRN-1 activity was among 531 the first putative functions of sfRNA to be assessed. The competition experiments in 532 which degradation of labelled reporter RNA by yeast, mammalian and mosquito XRN1 533 was assessed in the presence of sfRNA or unrelated competitor RNA, demonstrated 534 that DENV and WNV sfRNA strongly inhibit activity of XRN-1 of any origin. XRN1 535 suppressor activity of sfRNA was shown to require monophospate at 5'-end and 536 xrRNA secondary structures (Moon et al., 2012). Taking into account the important 537 538 role of XRN1 in maintaining the balance of host RNA transcripts (Nagarajan, 2013), the effect of sfRNA on mammalian transcriptome was assessed. 539 It revealed 540 accumulation of uncapped mRNAs and increased stability of hundreds of host transcripts in cells infected with sfRNA-producing WNV in comparison to sfRNA-541 deficient mutant, suggesting that sfRNA strongly impairs mRNA turnover in flavivirus-542

543 infected cells (Moon et al., 2012). It was speculated that inhibition of mRNA decay can 544 misbalance production of antiviral proteins and pro-inflammatory cytokines that are predominantly encoded by short-lived mRNAs and thus prevent development of the 545 546 functional innate immune response to the virus. Alternatively, excess mRNAs, including uncapped RNAs was suggested to potentially contribute to the cytopathic 547 effect associated with sfRNA (Moon et al., 2012). Both these hypotheses can explain 548 why flaviviruses evolved to inhibit host 5'->3' RNA decay but require further 549 experimental validation. In addition, the effect of sfRNA on stability of viral genomic 550 RNA can also be a potential target for future studies as inhibition of XRN1 by sfRNA 551 may be required to maintain the balance between genomic RNA and sfRNA in infected 552 cells. 553

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#### 5.2. sfRNA interferes with generation of siRNAs and miRNAs

Innate immune response to RNA virus infection in invertebrates, including 555 mosquitoes, relies primarily on RNA interference (RNAi) pathway (Olson and Blair, 556 2015; Wu et al., 2010). RNAi response involves recognition of double stranded viral 557 RNA by RNase III-like enzyme Dicer, which cleaves it into 21-nt double-stranded 558 fragments (Bernstein et al., 2001; Fire et al., 1998). Another important class of Dicer-559 produced small RNAs is microRNAs (miRNAs) that are 18-24nt in length and, unlike 560 561 siRNAs, are encoded by host genes and target endogenous mRNAs, establishing 562 regulation of gene expression at posttranscriptional level (Lee, 1993). Both small RNA pathways have been extensively studied in the last two decades and several 563 comprehensive reviews are available on this subject e.g. (Daugaard and Hansen, 564 565 2017; Ha and Kim, 2014; Wilson and Doudna, 2013).

566 Being generally similar, siRNAs and miRNAs are produced by different 567 subtypes of Dicer (Lee et al., 2004) and act via different Ago proteins (Schott et al.,

568 2012). In invertebrates and plants Dicer 1 is responsible for the processing of miRNA 569 precursors and Dicer 2 is required for siRNA generation (Lee et al., 2004). Vertebrates are believed to be lacking Dicer 2 and therefore incapable in processing viral RNA 570 571 genomes into siRNAs (Cullen, 2014). Thus, siRNA pathway is limited to plants and invertebrates, whereas miRNAs are produced in all multicellular organisms (Chen and 572 Rajewsky, 2007). Although RNA viruses have evolved to avoid direct miRNA targeting 573 of their genomes (Cullen, 2013), flavivirus infection alters expression of numerous 574 miRNAs in mosquito and vertebrate hosts that have a profound antiviral effect, acting 575 indirectly via regulation of antiviral genes and host factors required for virus replication 576 (Ashraf et al., 2016; Chen et al., 2014; Hussain et al., 2011; Kumari et al., 2016; 577 Ouyang et al., 2016; Slonchak et al., 2015, 2014, Smith et al., 2017, 2012; 578 579 Thounaojam et al., 2014; Zhou et al., 2014; Zhu et al., 2015).

Given the important role of siRNAs in antiviral defence in invertebrates, RNA 580 viruses that infect insects have developed mechanisms to evade or inhibit RNAi 581 582 response that rely on viral RNA silencing suppressor (RSS) proteins (Cirimotich et al., 2009; Lu et al., 2005; Nayak et al., 2010). The evidences for RSS activity of flavivirus 583 proteins are currently conflicting as studies conducted with different flaviviruses 584 assigned RSS to different viral factors. For instance, the RSS activity was 585 demonstrated for NS4B and NS3 in DENV2 (Kakumani et al., 2013), whereas capsid 586 protein was identified as RNAi inhibitor in YFV (Samuel et al., 2016). RSS activity was 587 also shown for WNV, as cells carrying WNV replicon had reduced ability to develop 588 shRNA-mediated gene silencing (Schnettler et al., 2012). In addition, decreased 589 590 processing of pre-miRNAs into mature miRNAs was demonstrated in WNV-infected human cells using deep sequencing of small RNAs (Slonchak et al., 2015). However, 591 RNA-binding activity required for RSS was not detected for any WNV non-structural 592

593 proteins or capsid protein while WNV sfRNA was shown to possess RSS activity 594 (Schnettler et al., 2012).

The potential RSS activity of sfRNA was suggested based on highly structured 595 nature of the falviviral 3'UTR containing multiple stem loops and double-stranded 596 regions that could potentially interact with RNAi processing proteins (Schnettler et al., 597 2012). The effect of WNV sfRNA on siRNA and miRNA silencing was then assessed 598 and the ability of sfRNA to inhibit RNAi-silencing of reporter gene was demonstrated in 599 mosquito and mammalian cells (Moon et al., 2015b; E. Schnettler et al., 2012) and in 600 601 mosquitoes (Moon et al., 2015b). The same effect was demonstrated for DENV2 sfRNA in mammalian cells (Moon et al., 2015b). Slight suppression of RNAi-mediated 602 knockdown of reporter gene was also detected in *I. scapularis* (tick) cells expressing 603 604 LGTV and TBEV sfRNAs (Schnettler et al., 2014). Furthermore, WNV sfRNA was also shown to interact with Dicer 2 in vitro (E. Schnettler et al., 2012) and to co-precipitate 605 with Dicer and Ago2 in infected human cells (Moon et al., 2015b). In addition, the 606 607 ability of Dicer to process sfRNA into small RNAs was demonstrated in vitro 608 (Schnettler et al., 2012) and in vivo (Göertz et al., 2016). It was therefore concluded that sfRNA can act as a sink for the protein components of host RNAi machinery thus 609 preventing their access to viral genomic RNA and RNA replication intermediates 610 (Göertz et al., 2016). 611

Although the body of evidence suggesting inhibitory effect of sfRNA on RNAi pathway seems solid, the differences in RNAi silencing efficiency between the cells infected with WT and sfRNA-deficient flaviviruses were relatively mild, 2 to 3 fold or even less (Moon et al., 2015b; E. Schnettler et al., 2012; Schnettler et al., 2014). Moreover, generation of abundant virus-derived siRNA was detected in mosquito cells infected with sfRNA-producing wild type WNV (Göertz et al., 2016) and an up-

618 regulation of certain antiviral miRNAs was reported in WNV-infected human cells (Slonchak et al., 2015; Smith et al., 2012) indicating that host cells can develop 619 functional RNAi and miRNA response regardless of the presence of sfRNA. In 620 621 addition, WNV deficient in production of sfRNA-2 showed no difference in replication comparing to WT virus in either RNAi-competent or RNAi-deficient mosquito cell lines 622 (Göertz et al., 2016). Although replication of the WNV mutant with impaired production 623 of sfRNA-1 and sfRNA-2 was highly compromised comparing to WT in RNAi 624 competent cell line (Göertz et al., 2016), there was no evidence that this difference 625 was related to the effect of sfRNA on RNAi pathway as replication of the same viruses 626 in RNAi-deficient cells was not assessed. Therefore, it is currently unclear if RSS 627 628 activity of sfRNA is potent enough to determine the evasion of RNAi response by flaviviruses. Further side by side comparison of the wild type and mutant flavivirus 629 deficient in production of all sfRNA species in RNAi- competent and RNAi-deficient cell 630 lines as well as quantification of virus-derived siRNAs produced in infection with both 631 632 viruses should clarify the biological relevance of RSS activity exhibited by sfRNAs.

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#### 5.3. sfRNA inhibits RNAi-independent Toll antiviral pathway in mosquitos

Intriguingly, recent study demonstrated that sfRNA-1 of WNV was critical for replication of WNV in mosquito midgut and crossing the midgut barrier, while it did not affect virus replication in either RNAi-competent or RNAi-deficient mosquito cell lines. (Göertz et al., 2016). This indicates that sfRNA-1 could also inhibit RNAi-independent antiviral pathways in mosquitoes. RNAi-independent antiviral defence in mosquitoes relies on Toll, IMD and Jack-STAT pathways (reviewed in (Sim et al., 2014) and on recently characterized Vago pathway (Paradkar et al., 2014, 2012).

Toll pathway is somewhat similar to NF-kB pathway of vertebrates and was shown to be activated in DENV-infected *Aedes sp* mosquitoes (Xi et al., 2008). It

643 involves detection of pathogen-associated molecular patterns (PAMPs) by pattern 644 recognition receptors (PRRs) PGRP-SA and PGRP-SD and subsequent signalling cascade which results in translocation of transcription factor Rel1 into the nucleus and 645 646 activation of antiviral genes (Moon et al., 2015a). The IMD pathway was also shown to be involved in protection against DENV in mosquito cells (Sim and Dimopoulos, 2010) 647 and functionally resembles cJun/JNK pathway of the vertebrates (Myllymaki et al., 648 2014). The Janus kinase/signal transducers and activators of transcription – signal 649 transducer and activator of transcription (JAK-STAT) pathway in mosquitos is similar 650 to interferon-induced JAK-STAT-signalling of vertebrates and has been shown to 651 mediate the mosquito immune response to DENV but not ZIKV (Jupatanakul et al., 652 2017; Souza-Neto et al., 2009). Vago pathway acts in conjunction with JAK-STAT 653 pathway and represents mosquito equivalent of RIG-I/MDA-5 signalling pathway. Vago 654 pathway involves sensing of dsRNA by Dicer-2 and results in release of Vago peptide. 655 Secreted Vago binds to the specific receptor and activates JAK-STAT pathway in 656 657 bystander cells similar to IFN in vertebrates. This pathway has been shown to contribute to innate immune response against WNV in *Culex* mosquitos (Paradkar et 658 al., 2012). 659

Recently, the first study adressing the effect of sfRNA on RNAi-independent 660 antiviral pathways in mosquitoes was reported (Pompon et al., 2017). In this study 661 mosquitos were infected with PR6452 and PR315022 strains of DENV2 that have low 662 and high production of sfRNA per a copy of viral genome, respectively. The expression 663 of innate immunity genes in salivary glands, bodies and carcases of infected 664 mosquitos was then compared to those produced in uninfected mosquitoes. Infection 665 with DENV2 strain, which produced high amount of sfRNA was shown to prevent 666 activation of Toll-pathway component Rel1a and to inhibit expression of another Toll-667 668 pathway effector CecG in mosquito salivary glands, whereas increased expression of

both proteins was observed upon infection with the virus that generated small amount of sfRNA. The results were futher validated using chimeric viruses in which 5'UTR and coding sequence of PR6452 was combined with the 3'UTR of PR315022 and vice versa. The results showed that inhibition of Toll pathway-associated genes was caused by the 3'UTR sequence and not by the coding region. However, no significant correlaton between sfRNA production and expression of genes related to IMD, JAK-STAT and Vago pathways was observed in this study (Pompon et al., 2017).

676 These recent findings suggest that DENV sfRNA inhibits Toll pathway in infected Aedes sp mosquitos, however studies with other flaviviruses that replicate in 677 different mosquitoe species need to be performed to determine if this function of 678 sfRNA is unique to DENV or universall for all flaviviruses. In addition, it would be 679 680 interesting to use flavivirus mutant completely deficient in sfRNA and compare the expression of wider range of genes related to IMD, JAK-STAT and Vago signalling. 681 Modern methods of high-throughpout transcriptome and proteome profiling make this 682 683 task relatively easy to achive and the resits should give us furher insights into the 684 effect of sfRNA on RNAi-independent innate immunity in mosquitos.

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#### 5.4. sfRNA inhibits type I IFN response in vertebrates

Within vertebrate cells flaviviruses encounter potent antiviral activity of the type 686 1 interferon (IFN) innate immune response, which has been a subject to a few 687 688 comprehensive reviews e.g. (Cumberworth et al., 2017; Miorin et al., 2017). Briefly, IFN response begins with the detection of a viral PAMPs by a cellular pattern 689 recognition receptor (PRR), that triggers a signalling cascade, which activates 690 691 transcription factors known as IFN regulatory factors (IRFs) and NF-<sub>K</sub>B (Quicke & Suthar, 2013). These transcription factors drive the expression of pro-inflammatory 692 cytokines including type I IFN (Quicke & Suthar, 2013). Once produced and secreted, 693

type I IFNs bind to the IFN- $\alpha/\beta$  receptor (IFNAR) and activate JAK-STAT signalling cascade, which leads to expression of >300 IFN-stimulated antiviral genes (ISGs) (reviewed in (Randall and Goodbourn, 2008)).

To enable replication in vertebrate hosts flaviviruses have evolved multiple 697 strategies to evade and inhibit type I IFN response, including inhibition of RNA sensing 698 by PRRs, signal transduction to IRFs, and JAK-STAT cascade (reviewed in 699 700 (Cumberworth et al., 2017; Diamond, 2009). Inhibitory activity against IFN response 701 have been demonstrated for viral non-structural proteins NS5 (Best, 2017; Grant et al., 2016; Laurent-Rolle et al., 2010), NS4B (Muñoz-jordán et al., 2005; Wang et al., 702 703 2005), NS3 (Setoh et al., 2017, 2015; Wang et al., 2005), NS2B (Aguirre et al., 2012; Wang et al., 2005), NS2A (Liu et al., 2004; Setoh et al., 2015; Wang et al., 2005), 704 705 NS1(Xia et al., 2018) and for sfRNA (Bidet et al., 2014; Chang et al., 2013; Donald et al., 2016; Manokaran et al., 2015; Schuessler et al., 2012). 706

The interferon antagonist activity of sfRNA was first suggested based on 707 observation that although sfRNA-deficient WNV mutant replicated to lower titres and 708 did not cause mortality in IFN-competent WT mice, both sfRNA-deficient and sfRNA-709 competent viruses, exhibited no difference in replication in IFN-deficient vertebrate cell 710 lines e.g. Vero-76 and BHK-21(Pijlman et al., 2008). To address the possible role of 711 sfRNA in evasion of type I IFN response, replication of WT and sfRNA-deficient WNV 712 713 mutant was assessed in IFN-competent wild type mouse embryonic fibroblasts (MEF) 714 and in MEFs deficient in transcription factors IRF3 and IRF7. In this experiment similar replication of WT and sfRNA-deficient mutant viruses was observed in IRF-3/7-715 716 deficient MEFs, whereas replication of the sfRNA-deficient mutant virus was significantly reduced in IRF-3/7-competent cells compared to that of WT virus 717 (Schuessler et al., 2012). Moreover, replication of sfRNA-deficient WNV in IRF3/7-718

deficeint MEFs was reduced drastically and in dose-dependent manner in response to the addition of exogenous IFN-alpha, whereas the addition of IFN-alpha had lesser effect on the replication of WT WNV. In addition, replication and neurovirulence of sfRNA-deficient WNV was partially restored in mice lacking functional IRF-3/7 or IFNAR (Schuessler et al., 2012). These experiments strongly indicated that sfRNA inhibits IFN signalling and that this inhibition is happening downstream of IFN sensing by IFNAR.

726 Moreover, it was shown that transfection of in vitro transcribed 5'monphosphate WNV 3'UTR RNA was able to rescue replication of Semliki forest virus 727 in IFN-treated cells, whereas the effect was not observed if mutated 3'UTR RNA 728 unable to be processed into sfRNA was transfected (Schuessler et al., 2012). This 729 730 indicates that sfRNA has a direct inhibitory effect on IFN signalling. Furthermore, other studies showed that transfection of in vitro transcribed JEV sfRNA was shown to 731 reduce phosphorylation and nuclear translocation of IRF3 and IRF7 in JEV-infected 732 733 cells and led to ~2-fold decease in the expression of a reporter gene from IFN-B promoter (Chang et al., 2013). Although JEV sfRNA findings suggests the additional 734 inhibitory effect of sfRNA on the IFN-pathway upstream of IFN secretion/sensing, the 735 736 performed experiments had some serious limitations. The in vitro transcribed JEV sfRNA contained 5'-triphosphates, which is different from 5'-monophosphate-737 containing sfRNA produced in infected cells. As 5'-triphosphates can be recognised by 738 PRRs as a PAMP, this can trigger the whole range of antiviral responses and lead to 739 rapid elimination of viral infection, thus producing the results that cannot be properly 740 741 interpreted. The effect of 5'-monophosphorylated sfRNA and of the infection with sfRNA-competent and sfRNA-deficient flaviviruses on phosphorylation and nuclear 742

translocation of IRFs should be assessed to confirm the ability of sfRNA to suppresssignalling factors upstream of IFN production.

More recently the inhibitory effect of sfRNA on type I IFN response was also 745 demonstrated for DENV2 (Manokaran et al., 2015) and ZIKV infection (Donald et al., 746 2016). In particularly, sfRNA production and expression of IFN-β was compared in 747 infection of human hepatocellular carcinoma cells with two groups (clades) of DENV2 748 749 strains from Puerto Rico - pre-epidemic clade PR-1 and epidemic clade PR-2B strains. 750 Epidemic strains were shown to contain mutations in the 3'UTR that resulted in production of higher amounts of sfRNA per copy of genomic RNA than pre-epidemic 751 752 strains. Although both clades of DENV replicated at similar levels at later time points, epidemic strains induced weaker production of IFN-B and replicated similarly in IRF3-753 754 deficient cells while replication of pre-epidemic strains was increased in IRF3-deficent cells. In addition, transfection of in vitro transcribed PR-2B sfRNA together with IFN-755 response stimulator polyIC resulted in reduced expression of IFN-β compared to 756 757 transfection of polyIC with pre-epidemic strain sfRNA. Notably, transfection with any DENV sfRNA reduced IFN-β expression compared to transfection with polyIC alone, or 758 with nonspecific RNA of the same size, thus further confirming IFN antagonist activity 759 760 of DENV sfRNA (Manokaran et al., 2015). Moreover, expression of DENV sfRNA from plasmid DNA was shown to reduce by ~2-fold the expression on reporter gene 761 controlled by IFN-ß promoter in polyIC-stimulated cells. Similar effect was also 762 763 observed if ZIKV sfRNA was expressed from plasmid DNA in the same system, providing the evidence for IFN antagonist activity of ZIKV sfRNA (Donald et al., 2016). 764

Several attempts have been also made to identify the molecular targets of sfRNA in RNA-sensing and IFN-signalling pathways. RNA binding proteins (RBPs) G3BP1, G3BP2 and CAPRIN1 were identified as novel mediators of IFN response

768 against DENV2. These proteins were found to be required for translation of mRNAs 769 encoding for ISGs such as PKR and IFITM2. Intriguingly, sfRNA produced in DENV2 infection was shown to co-localize with G3BP1, G3BP2 and CAPRIN1 by 770 771 immunofluorescent analysis and RNA FISH, and to co-precipitate with these proteins in antibody pull downs. SLII was found to be required for interactions of DENV2 sfRNA 772 773 with G3BP1, G3BP2 and CAPRIN1 and mutated in vitro transcribed sfRNA and DENV2 replicons lacking binding site were used to assess functional outcomes of 774 sfRNA-RBPs interactions. Binding of DENV2 sfRNA to G3BP1, G3BP2 and CAPRIN1 775 776 was shown to inhibit translation of selected ISGs and to protect DENV2 replicons from antiviral activity of IFN-B (Bidet et al., 2014). This study was the first to link IFN 777 778 antagonist effect of sfRNA with the specific molecular components of the IFN response pathway. Later, DENV2 sfRNA was also shown to interact with the ubiquitin ligase 779 triptate motif protein 25 (TRIM25) and to inhibit deubiquitination of TRIM25 and 780 subsequent ubiquitination of RIG-I, ultimately leading to inhibition of viral RNA sensing 781 782 by RIG-I. More efficient binding of sfRNA to TRIM25 was linked to the weaker IFN response to epidemic PR-2B strains of DENV2 and was proposed to be responsible 783 for the increased fitness of epidemic strains (Manokaran et al., 2015). Interestingly, the 784 inhibitory effect of sfRNA on RIG-I pathway was also demonstrated by the experiment 785 in which the expression of the reporter gene from IFN-ß promoter was assessed in 786 cells co-transfected with ZIKV or DENV sfRNA and the reporter plasmid upon 787 treatment with RIG-I agonist. Cells expressing either of these sfRNAs showed ~2-fold 788 lower IFN-β promoter activity than those expressing unrelated control RNA (Donald et 789 790 al., 2016).

Thus, so far TRIM25 has been identified as molecular target of sfRNA upstream
 of IFN-β production while G3BP1/2 and CAPRIN1 have been identified as molecular

793 targets of sfRNA downstream of IFN- $\alpha/\beta$  signalling. However, it is likely that this is only 794 the tip of the iceberg and we are still far from identifying complete map of molecular interactions that mediate IFN antagonist effect of sfRNA. First of all, binding of 795 796 G3BP1/2 and CAPRIN1 was shown to occur only with sfRNA from clinical isolate of DENV2, and not for sfRNAs from DENV3, YF vaccine strain 17D or Kunjin strain of 797 798 WNV (Bidet et al., 2014). Therefore, it is premature to extrapolate these findings to other flaviviruses. In addition, binding of TRIM25 to sfRNA was only assessed for 799 DENV2 and was shown to be increased upon mutations in the 3'UTR specific to 800 epidemic strain of DENV2 from Puerto Rico (Manokaran et al., 2015). The sequence 801 of 3'UTRs is highly variable between flaviviruses although their structural organisation 802 803 is rather conserved (Clarke et al., 2015; Göertz and Pijlman, 2015; Roby et al., 2014). 804 Thus, considering high variability of the 3'UTR sequence and large effect of point mutations in the DENV2 3'UTR on TRIM25 binding it seems rather unlikely that this 805 interaction will also occur with sfRNAs from other flaviviruses. In summary, a large 806 807 body of evidence has been accumulated to date demonstrating the inhibitory effect of sfRNA on IFN response pathway, both upstream and downstream of IFN production, 808 however, further studies are clearly required to identify molecular targets in IFN 809 810 response pathway for sfRNAs of different flaviviruses.

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# 5.5. sfRNA is required for viral cytopathicity and pathogenicity.

Infection with Flaviviruses has been shown to induce cytopathic effect in cultured cells and promote apoptosis via activation of several signalling cascades such as endoplasmic reticulum stress response and AKT/PI3K pathway (reviewed in (Okamoto et al., 2017)). It is generally believed that apoptosis of the infected cells is the part of host antiviral response aimed to clear the infection. However, the real role of apoptosis in flavivirus infection can be more complex than that as pro-apoptotic

activity has been reported for viral structural proteins C (Netsawang et al., 2010), M
(Catteau et al., 2003) and E (Prikhod'ko et al., 2001) and non-structural NS2A (Liu et
al., 2006; Melian et al., 2013), NS2B (Yang et al., 2009) and NS3 (Shafee and
AbuBakar, 2003)), suggesting that induction of apoptosis can be also required for viral
propagation.

Intriguingly, production of sfRNA appears to be paramount for flavivirus-induced 823 cytopathic effect. Mutants of WNV (Pijlman et al., 2008) and DENV (Liu et al., 2014) 824 deficient in generation of sfRNA-1 have been shown to have drastically reduced ability 825 to form plaques on Vero and BHK-21 cells, respectively. Crystal violate staining and 826 lactate dehydrogenase secretion assays further confirmed reduced cytopathicity of 827 828 sfRNA-deficient WNV by demonstrating that at 6 days post infection with 100% infection rate it resulted in death of only 10% of cells versus 70% caused by sfRNA-829 competent virus. Complementation with sfRNA produced in trans from the plasmid 830 partially rescued plaque-forming and cytopathic properties of sfRNA-deficient WNV 831 832 and DENV mutants, providing strong indication for the requirement of sfRNA for virusinduced cytopathicity (Liu et al., 2014; Pijlman et al., 2008). In cells infected with 833 sfRNA-deficient DENV reduced cleavage of caspase 3 and of Annexin V translocation 834 of to the cell surface compared to the WT DENV infected cells were observed, 835 suggesting that sfRNA facilitates activation of caspase 3 - dependent apoptotic 836 pathways. This was accompanied by high phosphorylation of Akt and high expression 837 of anti-apoptotic protein Bcl-2 at the later time points post infection, whereas WT 838 DENV infection resulted in decreased expression of Bcl-2 and no detected 839 phosphorylated Akt after 48h post infection (Liu et al., 2014). These data indicate that 840 sfRNA may trigger apoptosis by suppressing Bcl-2, however the biological relevance 841 of this effect and its role in viral pathogenesis is yet to be determined. 842

843 Furthermore, sfRNA required for WNV-induced was shown to be 844 neuropathogenicity in vertebrates as all mice infected with sfRNA-deficient virus failed to develop symptoms of encephalitis and survived the infection, which was 100% 845 846 lethal in animals challenged with sfRNA-competent virus. Both groups of animals had similar viral loads in the brain, which indicates that lack of mortality in mice infected 847 with sfRNA-deficient virus was not due to its inability to penetrate the blood-brain 848 barrier and replicate in the brain (Pijlman et al., 2008). The likely explanation for the 849 850 lack of neuropathogenicity associated with the loss of sfRNA is the inability of the virus 851 to induce apoptosis and kill infected brain cells.

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#### 6. Conclusions and future directions

854 Generation of highly structured nuclease resistant noncoding RNA via halting digestion of viral genomic RNA by the host exoribonuclease XRN-1 is the evolutionary 855 conserved process within flavivirus genus. This indicates for a crucial role of sfRNA in 856 857 propagation of flaviviruses in all types of hosts. Despite conservation of sfRNA biogenesis by XRN-1, different taxonomical groups of flaviviruses employ somewhat 858 dissimilar structural determinants for XRN-1 resistance (Fig 2A). Structural similarity of 859 XRN-1-resistant elements generally correlates with evolutional relationships between 860 flaviviruses. xrRNAs of MBFVs share structural but not sequence similarity with those 861 862 of ISFs, while TBFVs have xrRNAs more similar to phylogenetically related NKVFs. This suggests that the ability to stall XRN-1 for generation of sfRNA appeared very 863 early in evolution of flaviviruses before current taxonomic groups within the genus had 864 diverged. Further evolution of XRN-1 resistance probably dictated accumulation of 865 changes in the structure of xrRNAs related to adaptation for replication in different 866 hosts. Why different structures of xrRNAs were selected in MBFVs/ISFs and 867

868 TBFVs/NKVFVs groups and whether these differences are in fact the result of 869 adaptation to different hosts remain to be elucidated.

sfRNA also appears to determine microevolution of flaviviruses as accumulation of mutations in 3'UTR that shift the balance between genomic RNA and sfRNA have been reported to contribute to the emergence of new epidemic strains of DENV. In the future this property of flaviviruses can potentially be used for predicting flavivirus outbreaks but it will definitely require more studies on the relationships between virus fitness and sfRNA generation for different flaviviruses.

Highly conserved production of sfRNA is most likely determined by its ability to 876 inhibit major antiviral pathways in arthropods and vertebrates - RNAi and type I IFN 877 response, respectively. sfRNA was shown to be a dicer substrate and believed to 878 879 saturate the enzyme thus preventing its access to viral genomic RNA. The molecular mechanisms that mediate IFN-antagonist activity of sfRNA, however, remain elusive. 880 DENV sfRNA interactions with RIG-I cofactor TRIM25 and translational activators of 881 ISGs have been reported but whether these interactions can be extrapolated to 882 sfRNAs of other flaviviruses remains unclear. Identification of other molecular targets 883 of sfRNA in IFN response pathway should be a priority direction in the field as it could 884 produce significant new knowledge required for full understanding of the critical role of 885 sfRNA in the flavivirus life cycle. Considering low sequence and high structural 886 conservation of flavivirus 3'UTRs, proteins that recognise structural (stem loops and 887 dumb bells) or biochemical (5'-monophosphate) motifs will be the most likely sfRNA-888 interacting partners of functional importance. In addition, the effect of sfRNA on RNAi-889 independent antiviral pathways in arthropods can be another attractive target to look at 890 in the future. Currently the inhibitory effect of DENV sfRNA on Toll-pathway has been 891 demonstrated in a single study and testing if sfRNA can modulate additional pathways 892

of mosquito antiviral response may advance our understanding of the mechanisms by
which sfRNA facilitates replication of flaviviruses in invertebrate host.

Finally, the requirement of sfRNA for virus-induced apoptosis and neuropathogenicity may open a new avenue in the design of the attenuated vaccines. If sfRNA-deficient mutant flaviviruses prove unable to induce encephalitis in nonhuman primates while retaining their immunogenicity, similar to what is observed in mice (Funk et al, 2010), they can be considered as a novel promising flavivirus vaccine platform.

901

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907 Figures legends

Fig 1. Subgenomic flaviviral RNA is produced as a result of incomplete 908 degradation of genomic RNA by host enzyme XRN-1. (A) Schematics of the 909 flavivirus (DENV2) genome organization. (B) Schematic representation of sfRNA 910 biogenesis via XRN-1 digestion. The schematics shows secondary structure of 911 flavivirus (DENV2) 3'UTR with stem loops (SL) dumb bells (DB), short hairpin and 3'-912 terminal stem loop (3'SL); pseudoknots (PK) and sfRNA start sites. The model is 913 based on SHAPE reactivity data from (Chapman et al., 2014a) (C) Northern blot 914 demonstrating generation of several sfRNA species in DENV2-infected mosquito cells 915 due to stalling of XRN-1 at different halt sites. (B) and (C) are reproduced with 916 permission from (Filomatori et al., 2017). 917

918 Fig.2 Structural determinants of sfRNA biogenesis. (A) XRN-1 resistant structures formed by stem loops (SL) and dumb bells (DB) in the 3'UTRs of representative 919 flaviviruses from different taxonomical groups of *Flavivirus* genus. Dendrogram shows 920 921 phylogenetic relationships between flavivirus clades. Red lines indicate pseudoknots, orange lines show base pairs (internal small pseudoknots), blue lines show base triple 922 and green lines show noncanonical base pairing. sfRNA start sites (where known) are 923 indicated with a blue arrow. (B) Tertiary structure of xrRNA from 3'UTR of ZIKV. 924 Different elements of RNA structures are color-coded. Bases holding the 5'-end inside 925 the circle are shown in red. (C) Model of interactions between XRN-1 and xrRNA of 926 ZIKV. (B) and (C) are reproduced with permission and minor modifications from 927 928 (Akiyama et al., 2016).

Fig. 3. Functions of sfRNA in arthropod and vertebrate hosts. sfRNA inhibits XRN-929 1 and Dicer in both hosts, causing disruption of mRNA decay and siRNA/miRNA 930 production, respectively. In vertebrates sfRNA inhibits IFN- $\alpha/\beta$  response and induces 931 932 apoptosis. Inhibitory effect of sfRNA on IFN- $\alpha/\beta$  response is in part mediated by sfRNA binding to TRIM25 and to CAPRIN1/G3BP1/2 and inhibiting their functions in IFN 933 induction and IFN signalling, respectively. In mosquitoes, sfRNA inhibits expression of 934 Toll-pathway components CecG and Rel1a and supresses Toll-signalling in addition to 935 inhibiting RNAi response. 936

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- sfRNAa are produced via incomplete digestion of flaviviral genomic RNA by XRN-1.
- RNA elements in 3'UTRs that form a 3-way junctions (xrRNAs) and pseudoknots are required to stall XRN-1 and produce sfRNAs.
- Generation of sfRNAs is highly conserved amongst all flaviviruses, whereas the structure of xrRNAs varies.
- xrRNA structures are duplicated in some flaviviruses resulting in the production of up to four sfRNA species of different sizes
- Different sfRNA species may be required for adaptation of some flaviviruses to replication in arthropod or vertebrate hosts
- sfRNA is required for pathogenesis of flaviviruses in vertebrates and transmission by arthropods
- sfRNA inhibits RNAi response and Toll pathway in arthropods and type I interferon IFN response in vertebrates.