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3 **Soil sterilisation methods for use in OECD 106: How effective are they?**

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12 **Abstract**

13 Under many circumstances chemical risk assessments for pharmaceuticals and other
14 substances are required to differentiate between ‘loss’ of a chemical from the aqueous phase as
15 a result of abiotic (sorption or precipitation reactions) or biotic (biodegradation) processes. To
16 distinguish only abiotic processes, it is necessary to work under sterile conditions. Reported
17 methods include poisoning the soil with sodium azide, irradiation and autoclaving. However,
18 a key aspect of any testing is the representativeness of the matrix and so any sterilisation
19 procedure needs to ensure that the integrity of the sample is maintained, in particular particle
20 size distribution, pH and organic carbon partitioning potential. A number of controlled
21 laboratory experiments were performed on 3 different types of soil. Results indicated that none
22 of the methods successfully sterilised the soils and some physico-chemical changes in soils
23 were identified post-treatment. Autoclaving destroyed the soil structure, therefore potentially
24 affecting its sorption behaviour and sodium azide changed the pH of the loam soil solution by
25 0.53 pH units. Gamma irradiation exhibited least disruption to the tested soils physico-chemical
26 properties. It was therefore concluded that gamma irradiation was the best available method
27 for sterilising soils in preparation for sorption-desorption experiments; however care needs to
28 be taken with this method to ensure that microbial activity is absent, or quantified if present.
29 The changes to soils after sterilisation varied depending on the individual soil properties,
30 indicating that soils should be studied on a case-by-case basis.

31 **Keywords: soil, sterilisation, abiotic, OECD106, pharmaceuticals**

32

33 **1 Introduction**

34 Active pharmaceutical ingredients (APIs) are frequently ionisable compounds; their fate
35 and behaviour is intrinsically controlled by a combination of their physico-chemical properties
36 and those exhibited by the matrix they find themselves in. Depending on the API and the
37 environmental conditions loss from soil pore waters through sorption to soil particles,
38 biodegradation, abiotic degradation (e.g. photodegradation), volatilisation and leaching into
39 groundwater or other water sources are all potential pathways through the environment
40 (ECETOC 2013; Lees et al. 2016). When undertaking environmental risk assessments of
41 chemicals in soil matrices it can be difficult to distinguish the pathways of loss from soil pore
42 waters and ultimate fate of the chemical in the environment. To separate biodegradation from
43 other loss mechanisms, soil must be sterilised by an appropriate chemical or physical method
44 (OECD 2000). The OECD 106 guideline (OECD, 2000), like many other standardised
45 methods, does not define sterilisation or recommend a method to achieve it. The aim of
46 sterilisation is to remove or kill all living microbes (bacteria, fungi, and their spores) and other
47 microorganisms in soils (Oxford University Press 2002). In contrast, other methods have been
48 used which suppress microbial activity, and at the same time reduce the biodiversity of the soil
49 fauna, or keep the microbial population stable throughout the length of experiments; these
50 include the addition of sodium azide and mercuric chloride. It should be noted that the use of
51 the term “suppress” does not imply, nor quantify, any acceptable level of sterility.
52 Consequently, it renders uncertain any statements made thereafter regarding abiotic vs biotic
53 chemical behaviour.

54 When sterilising soils the physico-chemical characteristics must remain unchanged so
55 that the results can be robustly compared with those from non-sterile experiments. For
56 recalcitrant, non-polar compounds the relative importance of some environmental variables is

57 of little concern. However, for ionisable compounds, such as many APIs and pesticides, the
58 stability of certain physico-chemical properties of the soil are critical in controlling the fate of
59 the substance and to interpret data obtained from any given experiment. The most important
60 soil variables affecting interactions of soil with ionisable compounds include, pH, dissolved
61 organic carbon (DOC), cation exchange capacity (CEC), clay structure, ionic strength and
62 particle size (Lees et al. 2016). A decision tree showing the pathways for identifying a suitable
63 sterilisation method for an OECD 106 experiment is presented in Figure S1.

64 The heterogeneity of soil matrices and the presence of particulate material increases the
65 levels of complexity when considering sterilisation compared with aqueous samples where
66 filtration to $< 0.2 \mu\text{m}$ is often considered sufficient, or at least practical, to remove bacteria
67 from the sample (Jornitz and Meltzer, 2000) although complete removal is not always achieved
68 (Leuf et al., 2015). The methods of sterilisation which are the subject of this work are those
69 typically used for soil matrices, namely; autoclaving, gamma irradiation and addition of sodium
70 azide. Autoclaving and gamma irradiation have been used to sterilise soils (Al-Rajab et al.
71 2010; Redshaw et al. 2008; Xu et al. 2009; Zhang et al. 2013) while sodium azide has been
72 used to suppress the microbial activity within a soil (Lin and Gan 2011; Zhang et al. 2013).
73 Other sterilisation methods have included dry heat, microwave radiation and other chemical
74 additions such as mercuric chloride or chloroform (Trevors 1996; Wolf et al. 1989). These
75 chemicals were not used in the current study as reports have shown them not to be successful;
76 as well as being dangerous to handle, they have consequently been banned from most
77 applications (Wolf et al. 1989).

78 Although previous studies have compared sterilisation techniques, these either pre-date
79 the OECD 106 test methodology now used routinely for soil risk assessment (Skipper and
80 Westermann 1973; Wolf et al 1989) or were undertaken on a restricted set of sterilisation
81 methodologies (McNamara et al., 2003). The objectives of this study were, therefore, to

82 investigate the efficacy of common methods of soil sterilisation in reducing the microbial
83 population, and how soil structure may be physically influenced by the process that may
84 therefore impact sorption experiments described in the OECD 106 guideline. Two analytical
85 techniques were used to estimate the extent of sterilisation; fluorescein diacetate (FDA)
86 hydrolysis (Adam and Duncan 2001) and counting colony forming units on tryptone-glucose-
87 yeast agar plates (Eaton et al. 1995).

88

89 **2 Materials and Methods**

90 **2.1 Soils**

91 Characteristics of the soils used for this work are summarised in Table 1. The sandy
92 loam and loam were pre-characterised ‘standard’ soils purchased from LUFA Speyer in
93 Germany and which are routinely used in soil experiments. The Welltown soil was analysed to
94 compare fluorescein diacetate (FDA) measurements on a soil that had been stored in the dark
95 at room temperature for 2 years (the LUFA soils) to a fresh soil sourced from Welltown near
96 Kingston, Cornwall in July 2016 (named ‘Welltown’ soil here). All soils were air-dried and
97 sieved to < 2 mm prior to use. OECD 106 test guidance provides physico-chemical ranges for
98 up to 7 soils, with pH ranging from < 4.5 to > 7.5, organic carbon content < 0.5 to > 10 % and
99 clay content from < 10 to 80 %. The soils selected for this study provided a wide range of
100 physico-chemical parameters; pH ranged across 3 pH units from acidic to basic, organic carbon
101 content ranged between the lowest to the second highest of the 7 recommended soils and clay
102 content was between 2.9 and 26 %, encompassing 5 of the 7 OECD 106 soils. These soils were
103 therefore considered to cover the range of key physico-chemical properties likely to play a key
104 role in controlling the fate of APIs in soil.

105

106

107

108 **Table 1 Properties of the selected soils (sandy loam and loam are mean values of different**
109 **batch analyses \pm S.D. (LUFA Speyer, 2015))**

	Sandy loam	Loam	Welltown
pH (10 mM CaCl₂)	5.7 \pm 0.6	7.3 \pm 0.1	4.4
Organic carbon (%)	0.67 \pm 0.03	2.03 \pm 0.22	4.94
Clay content (%)	6.3 \pm 1.9	26.0 \pm 1.9	2.85 \pm 0.09
Silt content (%)	33.8 \pm 0.2	41.0 \pm 1.4	73.0 \pm 1.22
Sand content (%)	59.9 \pm 1.9	33.0 \pm 2.0	24.2 \pm 1.30
Cation exchange capacity (MEQ 100 g⁻¹)	7.5 \pm 0.9	33.0 \pm 4.5	27.8

110 **2.2 Sterilisation methods**

111 Three commonly reported sterilisation methods were compared in this study:
112 autoclaving, gamma irradiation and sodium azide.

113 **2.2.1 Autoclaving**

114 Soils (6.00 \pm 0.01 g) were autoclaved at 126 °C for 35 minutes under vacuum in
115 polypropylene centrifuge tubes (Powlson and Jenkinson 1976). This process can be repeated
116 with a room temperature incubation (approx. 24 hour) between autoclave cycles to ensure that
117 all microbes and spores are eliminated. The 24 hour delay allows heat-resistant spores to
118 germinate and then be killed on the next autoclave cycle (Miyaki et al. 1996). However, to
119 establish the impact of autoclaving on physico-chemical characteristics of the soil, one one
120 cycle was applied in this study.

121 **2.2.2 Gamma irradiation**

122 Soils were irradiated by BD Ltd. (Plymouth UK). Sub-samples of the soils were
123 weighed into polyethylene sample bags (approximately 18 or 30 g depending on intended
124 purpose) and double bagged. The dose applied to the soils was 25.6-26.1 kGy which has been
125 applied in previous studies (Lensi et al. 1991; Bank et al. 2008; Buchan et al. 2012; Redshaw
126 et al. 2008). Once the soils were returned to the laboratory they were handled aseptically under
127 a laminar flow hood (Bassaire, class 100) to minimise contamination.

128 **2.2.3 Sodium azide**

129 Sodium azide (Aldrich Chemicals Ltd or Acros Organics, UK) was chosen as the
130 chemical inhibitor for microbial activity in soils due to its reported use in pharmaceutical fate
131 studies (Chefetz et al. 2006; Lin and Gan 2011; Vasudevan et al. 2009). The concentration of
132 sodium azide used in soil solutions (6 g soil, 30 mL 10 mM CaCl₂) was 0.2 g L⁻¹ (equivalent
133 of 6 mg of sodium azide per 30 mL soil solution) as used previously (Lin and Gan 2011;
134 Yamamoto et al. 2009). Addition to the soil solution prior to shaking ensured full mixing.

135 **2.3 Sterility assessment**

136 Two standard methods were employed to estimate the total enzyme activity and
137 quantify colony forming units in the soils before and after each sterilisation treatment. These
138 methods are estimates because of the diverse nature of microbial populations in soils, meaning
139 that not all microbial types will produce measurable effects.

140 **2.3.1 Fluorescein diacetate hydrolysis**

141 Fluorescein diacetate (FDA) is widely used to estimate total microbial activity in a
142 range of environmental samples (Adam and Duncan 2001). The method reported by Adam and
143 Duncan (2001) was followed; it was adapted to optimise sensitivity by lengthening the
144 incubation period. Colourless FDA is hydrolysed by a number of different cell-bound and free

145 enzymes (e.g. proteases, lipases and esterases) providing a broad-spectrum indicator of soil
146 biological activity (Adam and Duncan 2001; Bandick and Dick 1999; Green et al. 2006). The
147 hydrolysis releases a yellow-coloured end product, fluorescein, which is measured by at a
148 wavelength of 490 nm.

149 A 120 mM phosphate buffer was prepared by dissolving 19.67 g sodium phosphate
150 tribasic anhydrous (AlfaAesar, UK) in 1 L high purity water (HPW). Sodium phosphate
151 monobasic dihydrate was added to achieve a pH of 7.6. A 60 mM buffer solution was prepared
152 by diluting the 120 mM buffer using HPW and adjusting the pH as required with sodium
153 phosphate monobasic dihydrate. Buffer solutions were stored at 4 °C for up to one week and
154 the pH checked before use. A pH 7.6 buffer solution was used in all FDA hydrolysis
155 experiments because FDA has been found to reach a maximum rate of hydrolysis at this pH
156 (Green et al. 2006). Maintaining the pH at 7.6 also reduces the risk of solubilising organic
157 matter that can interfere with the UV-visible spectrophotometry and produce very high
158 background blanks (Adam and Duncan 2001; Swisher and Carroll 1980). The FDA solution
159 was prepared by dissolving 0.1 g FDA (AlfaAesar, UK) in 100 mL AR grade acetone (Acros
160 Organics, UK); it was stored at 4 °C for up to one week.

161 Calibration solutions were prepared on the day of analysis using fluorescein sodium
162 salt (Sigma Aldrich, UK) in 60 mM sodium phosphate buffer solution. Calibration graphs were
163 prepared in the concentration range of 0-10 mg L⁻¹ and provided a straight line ($R^2 > 0.999$
164 with equation (Absorbance = 0.2015X + 0.0276; where X= fluorescein concentration in mg L⁻¹
165 ¹) (Figure S2). Standard deviations were calculated with a maximum standard deviation of
166 0.023 AU for the 10 mg L⁻¹ standard (Table S1).

167 **2.3.2** The method outlined by Adam (2001) and Schofield (2015) was followed with the
168 incubation time extended to maximise fluorescein production and make analytical
169 measurements more robust by improving the limit of detection and reduce RSD to less
170 than 10%. Soil (2.00 ± 0.01 g) was accurately weighed into sterile 50 mL polypropylene
171 centrifuge tubes and 15 mL 60 mM sodium phosphate buffer (pH 7.6) added. A 200 μ L
172 aliquot of FDA ($1000 \mu\text{g FDA mL}^{-1}$) solution was added and the tubes mixed by inversion.
173 The tubes were incubated in a water bath at 30 °C for 3 hours, then centrifuged (2000
174 RPM, 5 minutes) and immediately analysed at 490 nm on a Hewlett-Packard 3454 UV-
175 VIS spectrophotometer. No termination step was used as this can reduce the fluorescein
176 signal (Adam and Duncan 2001; Schumacher et al. 2015). As a result, incubations were
177 staggered to allow for immediate analysis once the incubation period was complete.
178 **Estimation of colony forming units**

179 Colony forming units were estimated using the standard method outlined in Eaton et al.
180 (1995). A representative soil slurry was decanted from tubes containing 1 : 5 soil : 10 mM
181 CaCl_2 solutions, into sterile containers under a laminar flow hood. A single 100 μ L aliquot of
182 a 1 : 10 dilution (using HPW) was spread across the surface of a tryptone glucose yeast agar
183 plate. Plates were incubated at 30 °C for 72 hours and colony forming units were counted.

184 Plating was used for soils containing sodium azide as the azide interfered with the FDA
185 measurement. This was shown by adding 0.2 g L^{-1} of sodium azide to HPW and comparing
186 FDA results with HPW only. HPW containing sodium azide had measured fluorescein
187 concentrations three times higher than in HPW alone (2.49 and 0.8 mg L^{-1} , respectively).

188 **2.3.3 DOC**

189 DOC was measured by high temperature catalytic combustion using a Shimadzu TOC-
190 V analyser after Badr et al (2003). Prior to analysis, filtered samples (0.7 μm ashed glass fibre

191 filters) were acidified to ca. pH 2 using 6 M AR grade HCl. HPW, acidified to ca. pH 2, was
192 used if samples required dilution. DOC standards were prepared using potassium hydrogen
193 phthalate in a concentration range of 0 – 677 $\mu\text{M C}$.

194 **2.3.4 pH**

195 Soil (10.00 ± 0.01 g) and 25 mL 10 mM CaCl_2 were transferred to polypropylene
196 centrifuge tubes (50 mL; Fisher Scientific UK) in triplicate. Tubes were shaken for 15 minutes
197 before pH was measured using a HANNA HI 9025 microcomputer pH meter fitted with a
198 Camlab epoxy tough single junction combination pH electrode (Rowell 1994). This was
199 calibrated daily before use with buffers at pH 4.01 and 7.00 (Thermo Scientific).

200 **3 Results**

201 **3.1 Fluorescein method performance**

202 Matrix blanks were determined using 200 μL of AR grade acetone used instead of FDA.
203 These absorbance values were subtracted from sample data to account for matrix effects (Table
204 2).

205 **Table 2 FDA results for soil samples and blanks**

Soil	Sample ($\mu\text{g g}^{-1} \text{L}^{-1}$)*	Blank ($\mu\text{g g}^{-1} \text{L}^{-1}$)*
Loam	26.9 ± 0.8	3.94 ± 0.01
Irradiated loam	24.7 ± 0.7	4.39 ± 0.00
Sandy loam	12.8 ± 0.1	0.70 ± 0.04
Irradiated sandy loam	9.75 ± 0.27	0.64 ± 0.10
Welltown soil	28.5 ± 3.8	6.16 ± 0.01

206 *data as $\bar{x} \pm \text{S.D.}$ n=9 or 6 for samples (blank not subtracted) and 3 for blanks

207 The instrumental LOD was estimated to be 0.4 mg L^{-1} based on calculations using blank
208 + 3 times the standard deviation of the blank; all sample concentrations were above this value
209 before converting to fluorescein production rate to take into account the incubation time.

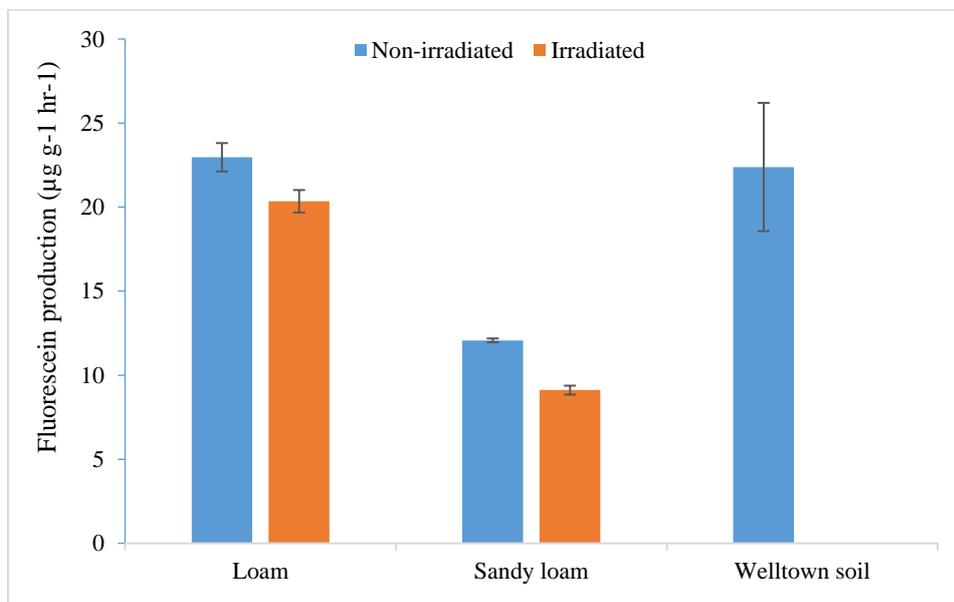
210 **3.2 Autoclaving**

211 Autoclaving changed the soil structure and visibly altered it to a powder, significantly
212 increasing the surface area available for sorption of APIs. Measurement of the DOC
213 concentrations in soil : water (1:5) showed that the concentration had increased after
214 autoclaving. The concentration of DOC in the loam soil increased from 3.3 mM to
215 approximately 10.8 mM (43 to 130 mg L^{-1} respectively) and the sandy loam soil increased from
216 5 to 44 mg L^{-1} . As such, this method was not appropriate for sorption experiments, as the soils

217 could not be compared with non-sterile soils. Consequently, the sterility of the autoclaved soils
218 was not measured.

219 3.2.1 Gamma irradiation

220 Gamma irradiation did not successfully sterilise the loam and sandy loam soils (Figure
221 1). A small but statistically significant decrease in the total soil enzyme activity was measured
222 in both soils after gamma irradiation (unpaired t-test, unequal variances, two-tailed, $p \leq 0.01$).
223 The Welltown soil was tested as it had not been stored for a long period of time (2 months),
224 unlike the loam and sandy loam soils, so that the total enzyme activity should not have been
225 affected. Total enzyme activity in the fresh Welltown soil was not significantly different to the
226 loam soil, which had been stored for 2 years (unpaired t-test, unequal variances, two-tailed,
227 $p=0.01$).



228

229 **Figure 1 Fluorescein production in irradiated and non-irradiated soils**
230 **(presented as $\bar{x} \pm S.D.$; n = 6 or 9)**

231

232 3.2.2 Sodium azide

233 Colony forming units were counted after incubation on tryptone glucose yeast agar
234 plates. Sandy loam soil had numerous swarming colonies which hampered quantification of
235 numbers; however, diversity was similar across untreated and treated soils and across all time
236 points. Loam samples had different diversity depending on the treatment; untreated loam soils
237 contained swarming filamentous species (probably *Bacillus spp.*) whereas the treated soil did
238 not, but had a number of colourful isolates instead. Overall, no microbial inhibition was
239 observed after the addition of 0.2 g L⁻¹ sodium azide to the two LUFA soils.

240 3.2.3 pH

241 An increase in soil solution pH occurred in the loam soil (0.53 pH units) after the
242 addition of 0.2 g L⁻¹ sodium azide (Table 3). No other treatments in the loam soil produced
243 significant differences compared to an unaltered ‘normal’ sample. Sandy loam soil had a
244 decrease in pH, after all treatments, of approximately 0.3 pH units.

245 **Table 3 pH of soil solutions (10 mM CaCl₂) after sterilisation.**

	Loam*	Sandy loam*
Untreated	6.36 ± 0.05	5.83 ± 0.05
Autoclaved	6.44 ± 0.04	5.55 ± 0.01
Gamma irradiated	6.38 ± 0.11	5.53 ± 0.02
Sodium azide	6.93 ± 0.02	5.54 ± 0.01

246 *Data presented as $\bar{x} \pm S.D.$ (n=3)

247 4 Discussion

248 Microbial communities in soils vary considerably between soil samples, depending on
249 many environmental factors, including soil moisture, aeration, land use, pH, temperature,

250 organic matter and nutrient levels (van Elsas et al. 2006). Variations in microbial populations
251 may lead to different biodegradation rates for chemicals between soil types. This poses
252 challenges for environmental risk assessments as separating biodegradation from sorption is
253 vital for a risk assessment to be carried out. Thorough sterilisation of soils to be used in methods
254 such as OECD 106 is needed to ensure that the specific biogeochemical processes can be
255 investigated. To distinguish these two processes a sorption profile in sterile, or microbial-
256 activity suppressed, and natural soil needs to be performed, so that the physico-chemical
257 structure of the soil is maintained after sterilisation.

258 Autoclaving is the most common sterilisation method for soils, due to the ease of access
259 to an autoclave in many laboratories (Trevors 1996; Berns et al. 2008), and has been used in
260 OECD 106-related research (Xu et al. 2009; Estevez et al. 2014; Mrozik and Stefańska 2014;
261 Zhang et al. 2013). Furthermore repeated cycles (2 or 3 times) of autoclaving has been shown
262 to be effective in sterilising soils (Wolf et al., 1989). However, in the current study a single
263 cycle of autoclaving converted the soil to a powder form and greatly increased the surface area
264 available for sorption; which has been reported (Trevors 1996; Berns et al. 2008). Berns et al.
265 (2008) also observed a 29 to 37-fold increase in the DOC content of soil solutions after
266 autoclaving two soils, while large increases in DOC have been measured after autoclaving in
267 other studies (Powlson and Jenkinson 1976; Shaw et al. 1999). Organic carbon physically
268 trapped between particles may have been solubilised while autoclaving may also detach
269 organic carbon from particle surfaces (Powlson and Jenkinson 1976; Berns et al. 2008). In
270 contrast, Lotrario et al. (1995) measured a decrease in soil surface area (55 %) after one dry
271 cycle (30 minutes at 121 °C) in the autoclave, suggesting that soil pores may have collapsed
272 causing aggregation of clay particles, resulting in a greater proportion of larger particles. A
273 smaller decrease (40 %) in surface area was measured by Wolf et al (1989) after 2-3 cycles of
274 autoclaving, which was attributed to the smoothing of irregular shaped particles and allowing

275 clumping to take place. Differences in surface area after autoclaving could be attributed to
276 different analytical methods of determining the aggregation of soil, such as mechanical or
277 gentle aggregate fractionation (Berns et al. 2008). Autoclaving also decreases soil pH,
278 attributed to the release of organic acids from the soil organic matter, but other studies observed
279 no pH difference (Wolf et al. 1989; Shaw et al. 1999; Berns et al. 2008). Both of these outcomes
280 were apparent in this study; the loam soil pH did not change whereas sandy loam showed a
281 decrease of 0.3 pH units (Table 3). Changes in the physical structure of the soils observed in
282 this and other studies indicate that autoclaving of soils will have an impact on the sorption
283 profiles of APIs in soils, as increasing the surface area will increase available sorption sites.
284 Furthermore, increasing DOC concentrations in sorption experiments in the aqueous phase can
285 decrease the sorption of analytes owing to complexation in the dissolved phase, potentially
286 leading to inaccurate risk assessments that do not reflect environmental conditions (Carmosini
287 and Lee 2009; Day 1991). Impacts on soil thus appear to vary with different soils so individual
288 assessments should be carried out when using autoclaving as a sterilisation method.

289 A small, but statistically significant, change in total enzyme activity was measured after
290 gamma irradiation of the soils, potentially because the amount of radiation used was too low
291 (25 kGy), although this level of radiation has been successful in several studies (Lensi et al.
292 1991; Bank et al. 2008; Buchan et al. 2012). Others have suggested that a higher radiation dose
293 is required to achieve sterilisation (up to 70 kGy) (McNamara et al. 2003; Kahle and Stamm
294 2007). However, higher doses have been reported to affect soil physico-chemical properties,
295 such as variations in soluble carbon, exchangeable cation concentrations, pH and clay mineral
296 chemistry (Lensi et al. 1991). Even at 25 kGy gamma irradiation has been reported to produce
297 a 1.7 to 3.3 fold increase in DOC concentrations (Lensi et al. 1991). Smaller increases in DOC
298 concentrations were measured in soils irradiated at 35 kGy, where only 2 % of total organic
299 carbon was released into solution (Berns et al. 2008). It was hypothesized that increases in

300 DOC after irradiation was probably due to lysis of cells and degradation of soil organic matter
301 (Lensi et al. 1991).

302 Although changes in DOC concentrations with gamma irradiation have been reported,
303 there is little evidence to suggest that gamma irradiation affects soil structure (Lensi et al.
304 1991). There are no consistent trends apparent in studies reporting effects of irradiation on pH;
305 however, it has been suggested that the moisture content of soil at the time of irradiation may
306 change soil pH (Lotrario et al. 1995; McNamara et al. 2003). CEC decreased in soils after
307 irradiation (at 20 kGy) from 39 to 31 $\text{cmol}_c\text{kg}^{-1}$ and was attributed to the breakdown of natural
308 organic matter (Bank et al. 2008). Changes in CEC will impact the sorption of ionisable
309 compounds to soil depending on the charge on the compound and whether there is an increase
310 or decrease in CEC. Decreases in CEC will reduce sorption of cations due to a removal of
311 potential sorption sites. Studies have reported that all studied sterilisation methods (irradiation,
312 autoclaving and sodium azide) had no significant effect on CEC (Wolf et al. 1989; Lotrario et
313 al. 1995). The reported variation with regards to changes in soil texture and chemistry after
314 gamma irradiation suggests that, while this may be the best available method of soil sterilisation
315 for sorption studies, different soils and the doses of gamma irradiation used will affect results.
316 When irradiation is used, controls must be in place to limit changes to soils so that sterilised
317 soils can be compared with untreated soils; for example, by comparing soil physico-chemical
318 properties before and after irradiation. From a practicality point of view, gamma irradiation is
319 usually carried out at specialised facilities which increases costs and may lead to delays in
320 testing.

321 Sodium azide did not inhibit microbial activity at the concentration used in this
322 experiment. This concentration (0.2 g L^{-1}) has been used in sorption experiments as a biocide
323 to minimise or suppress microbial activity (Yamamoto et al. 2009; Lin and Gan 2011). Higher
324 concentrations of sodium azide have also been used, ranging from 0.5 to 0.98 g L^{-1} (ter Laak

325 et al. 2006; Vasudevan et al. 2009; Zhang et al. 2013). The lower end of reported concentrations
326 was used in the present study as sodium azide has the potential to interfere with soil chemical
327 properties (Trevors 1996). Soil solution pH increased slightly after the addition of sodium azide
328 to the loam soil (Table 3). A more significant pH change, from 5.2 to 8.7 after 30 days
329 incubation with 5 % sodium azide compared with control samples where no change occurred,
330 has been reported (Rozycki and Bartha 1981). Variation of pH will be a function of the soil
331 buffering capacity (Trevors 1996). This could potentially influence the ionisation state of APIs
332 or other chemicals that are in ionic form at environmental pH. Sodium azide is low cost and
333 easy to access but it is toxic so must be handled and disposed of with care.

334 The FDA method used was a well-established test for bacterial microbial activity within
335 the soil. A preliminary experiment was necessary to compare the activity of the aged LUFA
336 soils with that of a recently collected 'fresh' soil in order to establish if storage of soil could
337 impact on its microbial activity. The data from the FDA experiments was compared with data
338 reported for non-irradiated soils (Table 4). Total enzyme activity from the FDA experiment in
339 the sandy loam soil was lower than reported values, which may have resulted from the long
340 storage period. The loam and Welltown soils had similar total enzyme activity to the lowest
341 reported data values (Table 4). Air drying soils reduces the concentration of adenosine 5'-
342 triphosphate (ATP), which is used as a measure of microbial biomass in soil. Storing soils
343 decreases the ability of microbial biomass to restore the ATP concentration after rewetting
344 (Mondini et al. 2002; De Nobili et al. 2006). For example a soil (from stubbed grassland) stored
345 for 2 years had ATP concentrations which were only 14 % of that of the fresh soil after
346 rewetting (De Nobili et al. 2006). Some soil microorganisms have developed capabilities for
347 surviving in dry conditions for long periods, including the production of endospores, cysts or
348 conidia, which may explain why some microbial activity can be recorded after periods of
349 storage (Chen and Alexander 1973). The levels of organic matter probably have an impact on

350 the survival of bacteria where soils with higher levels of organic matter ‘protect’
351 microorganisms by reducing oxidative radical reactions (De Nobili et al. 2006). This is shown
352 in Table 4 where the loam and Welltown soils had the highest enzyme activity and high organic
353 carbon levels (Table 1).

354

355

356 **Table 4. Comparison of experimental total enzyme activity data to literature values with**
 357 **associated soil properties**

Land use/soil type	pH	Organic carbon (%)	Cation exchange capacity (MEQ 100g ⁻¹)	Fluorescein production (µg g ⁻¹ hr ⁻¹)	Reference
Loam	7.3 ± 0.1	2.03 ± 0.22	33.0 ± 4.5	23.0±0.1	This study
Irradiated loam				20.3±0.1	This study
Sandy loam	5.7 ± 0.6	0.67 ± 0.03	7.5 ± 0.9	12.1±0.01	This study
Irradiated sandy loam	5.7 ± 0.6			9.1±0.04	This study
Welltown soil	4.4	4.94	27.8	22.4±0.5	This study
Crop land silty clay loam				~60	(Schumacher et al. 2015)
Crop land loam				~28	(Schumacher et al. 2015)
Crop land loam				~40	(Schumacher et al. 2015)
Grassland sandy loam				~22	(Schumacher et al. 2015)
Crop land sandy loam				40	(Debosz et al. 2002)

358

359

360 **5 Conclusions**

361 Data presented here compares, for the first time, sterilisation methodologies applied to
362 the OECD 106 adsorption-desorption batch equilibrium test method. Although previous studies
363 have reported on the efficacy of sterilisation methods for soils, none have compared available
364 methods applied to the specific conditions used in the OECD 106 test, now commonly used in
365 the risk assessment of chemicals within the soil environment. The data showed that none of the
366 samples in this study was successfully sterilised; as a consequence, the this would represent a
367 failure to follow the recommended OECD 106 method i.e. use of sterile soils to facilitate the
368 complete separation of sorption processes from biodegradation. As reported sterilisation
369 methods were tested in this study, our findings have significant implications for future research.
370 Specific methods are often applied with the assumption that the method delivers ‘sterilised’
371 soils. The work presented here shows that this a questionable assumption, and that some form
372 of testing of the ‘activity’ of the soil should be undertaken to confirm the absence or levels of
373 enzyme or other activity. Consequently, sterilisation techniques may be soil-specific and
374 should be thoroughly tested prior to undertaking abiotic sorption experiments for
375 environmental risk assessments.

376 All of the methods presented here can influence soil physico-chemical properties; this
377 could lead to incomparable sterile sorption profiles making the data less robust, potentially
378 leading to inaccurate assumptions regarding the fate and behaviour of chemicals in the soil
379 environment, particularly those which are influenced by particle size, pH and organic carbon
380 concentration changes (i.e. APIs and some pesticides). Recommendations on the sterilisation
381 of soils and how to minimise physico-chemical disturbance for sorption-desorption batch
382 experiments should be included within the test guidelines documentation. The difficulty with

383 this recommendation is that the soils appear to act differently according to sterilisation
384 conditions.

385 Having compared three widely-used sterilisation approaches in this study, it appears that
386 gamma irradiation is most appropriate for the OECD 106 method as it has the lowest impact
387 on the soil structure, though care needs to be taken to ensure that sterilisation is achieved or
388 recognise that some removal may be biologically mediated.

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