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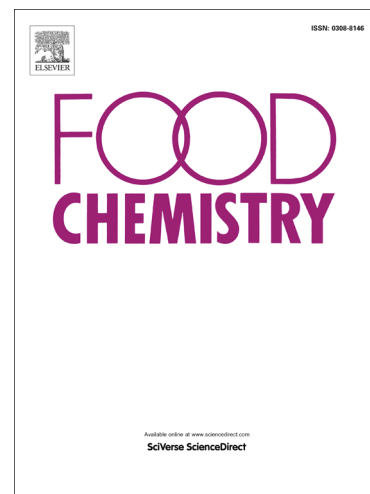
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1 **Antioxidant Activity of Alkyl Gallates and Glycosyl**
2 **Alkyl Gallates in Fish oil in Water Emulsions:**
3 **Relevance of their Surface Active Properties and of**
4 **the type of emulsifier**

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12 **Running Title.** Antioxidant activity of alkyl gallates and derivatives in fish oil in water emulsions

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17

18 **Abstract**

19 The antioxidant activity of gallic acid and a series of alkyl gallates (C4 to C18) and glycosylated
20 alkyl gallates (C4 to C18) on fish oil-in-water emulsions was studied. Three types of emulsifiers,
21 lecithin, Tween-20 and sodium dodecyl sulphate (SDS) were tested. A nonlinear behaviour of the
22 antioxidant activity of alkyl gallates when increasing alkyl chain length was observed for emulsions
23 prepared with lecithin. Medium-size alkyl gallates (C6-C12) were the best antioxidants. In contrast, for
24 emulsions prepared with Tween-20, the antioxidants seem to follow the polar paradox. Glucosyl alkyl
25 gallates were shown previously to be better surfactants than alkyl gallates. Nevertheless, they exhibited
26 a worse antioxidant capacity than their corresponding alkyl gallates, in emulsions prepared with lecithin
27 or Tween-20, indicating the greater relevance of having three OH groups at the polar head in
28 comparison with having improved surfactant properties but just a di-ortho phenolic structure in the
29 antioxidant.

30
31 **Highlights**

- 32 • Alkyl gallates exhibited good antioxidant (AO) activity in fish oil-in-water emulsions.
- 33 • The type of emulsifier affects their AO activity when increasing alkyl chain length.
- 34 • Glucosyl alkyl gallates showed to be better surfactants than alkyl gallates.
- 35 • Glucosyl alkyl gallates presented worse AO activity than alkyl gallates.
- 36 • Maintenance of the three OH groups at the antioxidant is crucial for AO activity.

37
38 **Keywords:** Lipid oxidation; gallic acid; alkyl gallates, glycosylation, oil-in-water emulsions,
39 antioxidant, surfactant;

40

41 INTRODUCTION

42

43 Polyunsaturated fatty acids (PUFA) are major components in fish oil and are known to be highly
44 beneficial for human health (Bang *et al.*, 1971; Dyerberg *et al.*, 1978; Tziomalos *et al.*, 2007). This
45 aspect has made them very attractive for the food, nutraceutical and cosmetics industries. However, the
46 use of marine lipids is quite challenging due to the presence of highly oxidizable unsaturated fatty acids
47 (Hsieh *et al.*, 1989). Lipid oxidation becomes an even larger problem when they are part of dispersed
48 lipid systems such as oil-in-water emulsions. This type of matrix is characterized by a large interfacial
49 area and it is at this exact location where lipid oxidation has been proposed to start before propagating to
50 the rest of the oil phase (Frankel, 1998; McClements *et al.*, 2000).

51 Among the different strategies used to retard or inhibit lipid oxidation, the addition of
52 antioxidants is one of the most employed approaches. Understanding the efficiency of antioxidants in
53 inhibiting oxidation is a relevant subject for designing and preparing better antioxidants. Thus, these
54 compounds will help fish oil containing products to extend their shelf life and maintaining their
55 nutritional and health-related properties.

56 A long time standing theory to predict the antioxidant efficiency on different oil matrices has
57 been the “polar paradox” proposed by Porter (1980) and Porter *et al.* (1989) which states that polar
58 antioxidants are more effective in bulk oils, whereas lipophilic antioxidants display better antioxidant
59 activity in emulsified systems. Frankel *et al.* (1994) contributed to explanation of these experimental
60 findings with the concept of interfacial oxidation. They proposed that the differences observed may be
61 explained by the affinity of polar antioxidants for the air-oil interface in bulk oils due to their low
62 solubility in oil, whereas lipophilic antioxidants would prefer to locate at the oil-water interphase in
63 emulsions.

64 Several research groups (Chaiyasit *et al.*, 2005; Kikuzaki *et al.*, 2002; Laguerre *et al.*, 2009;
65 Laguerre *et al.*, 2010; Medina *et al.*, 2009; Sørensen *et al.*, 2008; Sørensen *et al.*, 2011; Stöckmann *et*
66 *al.*, 2000; Torres de Pinedo *et al.*, 2007a; Torres de Pinedo *et al.*, 2007b; Yuji *et al.*, 2007) have found

67 different examples that question the validity of the polar paradox. We found that small structural
68 changes at phenolipids and other phenolic-based antioxidants affecting their polarity can display
69 different antioxidant activity in bulk oils than that predicted by the polar paradox (Torres de Pinedo *et*
70 *al.*, 2007a; Torres de Pinedo *et al.*, 2007b). Recently, Zhong *et al.* (2012) have reported a preliminary
71 study with several polar and nonpolar representative antioxidants in bulk oil where concentration seems
72 to play a critical role and therefore the polar paradox is applicable over certain concentration ranges
73 (Shahidi *et al.*, 2011).

74 In emulsions and liposomes, different authors have reported that an increase in hydrophobicity
75 was not always advantageous for antioxidant effectiveness (Kikuzaki *et al.*, 2002; Medina *et al.*, 2009;
76 Sørensen *et al.*, 2010; Stöckmann *et al.*, 2000; Yuji *et al.*, 2007). In fact, a parabolic (or cut-off) effect
77 on antioxidant activity was noticed when increasing the length of the homologous series of lipophilic
78 alkyl esters of chlorogenic and rosmarinic acids (Laguerre *et al.*, 2009; 2010). Consequently, medium-
79 size chains yielded the best antioxidant capacity in emulsions, in contrast with the prediction by the
80 polar paradox.

81 Different explanations have been proposed for this parabolic effect on antioxidant efficiency in
82 emulsions such as partitioning factors of antioxidants in emulsified systems (Laguerre *et al.*, 2009),
83 reduced mobility (Fendler, 1982; Laguerre *et al.*, 2012; Losada-Barreiro *et al.*, 2013), internalization
84 (Laguerre *et al.*, 2012), self-aggregation of phenolipids with very long alkyl chains due to their
85 hydrophobicity and molecular size (Laguerre *et al.*, 2010; 2012; Panya *et al.*, 2012) and surface active
86 properties of the phenolipid antioxidants (Heins *et al.*, 2007; Lucas *et al.*, 2010; Yuji *et al.*, 2007).

87 Our objective in this work was to investigate the efficiency of antioxidants in oil-in-water
88 emulsions by examining the relevance of the surface active properties and the molecular interactions
89 between the phenolipid antioxidants and the emulsifier. To do so, we designed and prepared a series of
90 alkyl gallate derivatives containing carbohydrates on the phenolic moiety and examined them as
91 inhibitors of the oxidation of highly oxidation susceptible fish lipids when contained in oil-in-water
92 emulsions (Figure 1). We have recently shown that by adding a sugar to alkyl gallates at their phenolic

93 structure, the corresponding glycosyl alkyl gallates become better surfactants. The idea was to check the
94 antioxidant capacity of these new molecules with improved surfactant efficiency but containing just a
95 di-ortho phenolic structure (in comparison with their parent compounds containing three phenolic
96 OH's). Oxidation experiments in oil-in-water emulsions have been carried out using lecithin, Tween-20
97 and SDS as emulsifiers. The rate of oxidation was monitored by the formation of lipid oxidation
98 products during controlled sample storage.

99

100 MATERIALS AND METHODS

101

102 **Materials.** Cod (*Gadus morhua*) liver oil contained 40.6 % of ω -3 PUFA's (3.7% of 18:3 ω 3; 1.3% of
103 20:4 ω 3; 14.9% of 20:5 ω 3; 2.8% of 22:5 ω 3 and 17.9% of 22:6 ω 3) was purchased from Fluka (New-Ulm,
104 Switzerland). It showed a standard quality as tested by the absence of rancid off-flavours as well as low
105 values of hexanal (less than 0.01 ppm), 1-penten-3-ol or pentanal (both lower than 0.001 ppm) (Iglesias
106 *et al.*, 2007). Its peroxide and anisidine values were 3.92 ± 0.35 milliequivalents oxygen/ kg oil
107 (Chapman *et al.*, 1949) and 10.32 ± 0.56 (AOCS, 2011 Method Cd 18-90), respectively.

108 L- α -phosphatidylcholine (Soybean lecithin, Sigma, St. Louis, MO, USA), Tween-20 (Sigma) and SDS
109 (Sigma) were used as surfactant in oil-in-water emulsions. Soybean lecithin used was essentially a crude
110 organic extract of egg yolk which contains not less than 60% phosphatidylcholine. The remaining 40%
111 consists of mostly phosphatidylethanolamine plus other phospholipids as well as traces of
112 triacylglycerols and cholesterol. Its peroxide and anisidine values were 6.78 ± 0.14 milliequivalents
113 oxygen/ kg oil (Chapman *et al.*, 1949) and 0.85 ± 0.02 (AOCS, 2011 Method Cd 18-90), respectively.
114 Gallic acid (Sigma) was used as control since is the basic unit of the different phenolipids. Butyl gallate,
115 hexyl gallate, octyl gallate, dodecyl gallate, hexadecyl gallate and octadecyl gallate were purchased
116 from TCI Europe. N.V (Boerenveldseweg, Zwijndrecht, Belgium). Decyl gallate was prepared as
117 described previously (Maldonado *et al.*, 2011). All chemicals and solvents used were either analytical or

118 HPLC grade (Ridel-Haën, Seelze, Germany). Water was purified through a Millipore-Q plus (Millipore
119 Corp., Bedford, MA, USA).

120 **Synthesis of glucosyl- and glucuronosyl alkyl gallates.** The new phenolipids were prepared from the
121 corresponding alkyl gallates as described previously (Maldonado *et al.*, 2011) (see Figure 1 for
122 structures). Glucuronosyl methyl ester hexadecyl gallate, compound **17**, was synthesized as follows:
123 acetyl protected glucuronosyl methyl ester hexadecyl gallate was dissolved in methanol (2 mL for each
124 100 mg) and Na₂CO₃ (0.3 eq.) was then added. The reaction mixture was stirred for 1 h and when
125 starting material had disappeared, Amberlite IR-120 was then added until pH = 7. The reaction mixture
126 was then filtered and solvents removed to afford compound **17** in high yield. ¹H NMR (300 MHz,
127 CDCl₃) δ 7.27 (s, 1 H, H_{arom}), 7.18 (s, 1 H, H_{arom}), 4.81 (d, 1H, J = 7.32, H-1), 4.49 (t, 2H, CH₂), 3.96
128 (d, 1H, J = 9.6 Hz, H-5), 3.71 (s, 3H, MeO), 3.60-3.45 (m, 3H, H-2, H-3, H-4), 1.67-1.63 (m, 2H, CH₂),
129 1.34-1.19 (m, 26 H, 13xCH₂), 0.82 (t, 3H, J = 7.5 Hz, CH₃). ¹³C NMR (75 MHz, CDCl₃) δ 169.4, 166.6,
130 145.6, 145.1, 140.3, 120.6, 112.0, 110.4, 102.9, 75.4, 73.1, 71.5, 64.6, 51.6, 31.7, 29.6, 29.5, 29.4, 29.3,
131 29.1, 29.0, 28.4, 25.7, 22.4, 13.1. MS (ES⁺) Calcd. for C₃₀H₄₈NaO₁₁ (M-H) 583.3, Found: 583.6. All
132 compounds prepared showed 95% purity or higher by HPLC.

133 **Preparation of oil-in-water emulsions and thermal oxidation experiments.** Cod liver oil-in-water
134 emulsions containing the emulsifier (1% lecithin, 2 % Tween-20 and 1% SDS) and 10% fish oil were
135 prepared in water, as previously described by Huang *et al.* (1996b). Briefly, cod liver oil was emulsified
136 in water using lecithin, Tween-20 or SDS as emulsifiers, and sonicated at high power (Ultrason
137 Fungilab, 30 KHz ± 5%) for 10 min in a cold glass container. Previous studies in our laboratory showed
138 that these are the most adequate concentrations of each emulsifier to get a stable emulsion during the
139 whole study. Prepared phenolipids were added in methanol solutions into screw-capped 50-mL
140 Erlenmeyer flasks and then, methanol was removed under a stream of nitrogen before addition of oil-in-
141 water emulsions (3 g). The concentration of each phenolipid in the emulsion was 0.1 mmol/kg. Samples
142 were subsequently sonicated for 5 min for a total dispersion of antioxidants. Control samples have no
143 antioxidant added. The oxidative stability of emulsions was monitored during storage at two different

144 temperatures 45 °C and 30° C by sensory analysis and measuring the formation of conjugated diene and
145 triene hydroperoxides. The set of experiments including different phenolipids that share the hexadecyl
146 alkyl chains was carried out at 50 °C to accelerate sample oxidation. Triplicate samples were prepared
147 and oxidized.

148 **Sensory analysis.** Sensory analysis was evaluated by an expert panel formed by four trained specialists
149 in descriptive analysis of fishy off-flavours, in a room designed for that purpose. Samples were placed at
150 room temperature during 10 minutes before analysis. Three categories were ranked: no rancidity (**A**),
151 incipient rancidity (**B**), and rancid (**C**).

152

153 **Conjugated diene and triene hydroperoxides.** Fifty microliters of emulsion (49 mg) were dispersed in
154 5 mL of ethanol and then diluted to a measurable absorbance when it was necessary. The absorbance
155 was measured at 234 nm for dienes and at 268 nm for trienes (UV-Vis Spectrophotometer, Perkin
156 Elmer, Waltham, MA, USA). The results were expressed as millimol of hydroperoxides per kilogram of
157 oil (mmol/kg oil) as describes previously (Huang *et al.*, 1996a).

158 % Inhibition was determined according to equation:

$$159 \quad \% \text{ INHIBITION} = ((C-S)/C) * 100$$

160 Where C was the increment in the oxidation product formed in control and S was the increment in the
161 oxidation product formed in sample, both expressed as mmol / kg oil.

162 **Statistical Analysis.** Each sample type (antioxidant) was replicated in two independent storage
163 experiments (n=2) using different batches of oil-in-water emulsions. Triplicate samples were prepared
164 for the each of those experiments. An average value of the replicate analyses was used in calculations of
165 sample variation and significance testing. The data were compared by one-way analysis of variance and
166 the means were compared by a least squares difference method (Sokal *et al.*, 1994). Significance was
167 declared at $p < 0.01$. Correlations between the propagation rates of lipid oxidation products and the
168 physicochemical properties of phenolics were determined by Pearson coefficients. Statistical analyses
169 were performed with the software *Statistica*.

170

171 **RESULTS**

172

173 **Preparation of glucosyl- and glucuronosyl alkyl gallates.** These compounds have been synthesized
174 from the corresponding alkyl gallates **2-8** (Maldonado *et al.*, 2011) (see Figure 1 for structures). Briefly,
175 two contiguous hydroxyl groups of the alkyl gallates were protected via isopropylidene formation in
176 moderate yields (43-60%). Next, the remaining OH group was glycosylated with the acetyl-protected
177 glucosyl or glucuronosyl methyl ester trichloroacetimidate donors (yields 67-93 and 42-63%,
178 respectively). Finally, treatment with trifluoroacetic acid to remove the acetal group (yields 53-83% for
179 the glucose series and 62-81% for the glucuronosyl series) followed by basic hydrolysis gave glucosyl
180 alkyl gallates **9-15** (yields 75-99%) and glucuronosyl alkyl gallate **16** (yield 79%). Compound **17** was
181 obtained by shortening the reaction time during the basic hydrolysis of the corresponding acetyl
182 protected glucuronosyl methyl ester hexadecyl gallate. All compounds were purified by flash
183 chromatography using silica gel as stationary phase. Further details on the synthesis and purification can
184 be found in Maldonado *et al.* (2011).

185

186 **Inhibition of lipid oxidation by alkyl gallates 2-8.** Antioxidant activity of alkyl gallates and gallic acid
187 in fish oil-in-water emulsions was tested in thermal oxidation samples supplemented with
188 concentrations of 0.1 mmol/kg of each compound. The temperature and time of the experiment varies
189 depending on the concentration and efficiency of the antioxidants and the emulsifier used in the
190 oxidation experiment. The oxidation experiments were first run during 10 days at 45 °C using lecithin as
191 emulsifier. According to sensory assessment (Table S1, supplementary data) the best results were
192 obtained with hexyl gallate (**3**) which kept the emulsion stable until day 10. Control samples developed
193 incipient rancidity by the 4th day. Samples with the rest of alkyl gallates showed a good quality until, at
194 least, the sixth day. These results were verified by chemical analysis of conjugated diene and triene
195 hydroperoxides (Figure S1, supplementary data). Results on the percentage of inhibition on the

196 formation of conjugated diene and triene hydroperoxides are shown in Table 1. All alkyl gallate
197 derivatives were considerably effective to inhibit the formation of conjugated diene and triene
198 hydroperoxides. The antioxidant efficiency order was found to be: hexyl gallate ~ dodecyl gallate >
199 octyl gallate ~ decyl gallate ~ hexadecyl gallate > butyl gallate > octadecyl gallate >> gallic acid.
200 These results seem to disagree with the rules predicted by the polar paradox since the two more
201 hydrophobic compounds, hexadecyl and octadecyl gallates (**7** and **8**, respectively), display worse
202 antioxidant capacity than some medium size, less polar derivatives such as hexyl or octyl gallate (**3** and
203 **4**, respectively).

204 When the emulsion was prepared with Tween-20 or SDS as emulsifiers and the experiments
205 were carried out at 45°C, there was a notable increment of the rate of oxidation. As consequence of this
206 oxidation rate and the lack of induction period, it was difficult to study the antioxidant behavior of the
207 target compounds and therefore, identify differences on the antioxidant efficiency among them (data not
208 shown). Then, all the following experiments with Tween-20 or SDS were carried out at 30°C. It is
209 important to comment that lecithin is a known antioxidant compound (Evans, 1935; Feigenbaum, 1946;
210 Judde *et al.*, 2003) whereas Tween-20 and SDS are emulsifiers without any known antioxidant
211 properties.

212 When the oxidation experiments were run using Tween-20 as emulsifier at 30 °C for 5 days,
213 conjugated diene and triene hydroperoxide data showed that most alkyl gallates were quite effective
214 antioxidants (Table 1 and Figure S2, supplementary data). Only butyl gallate showed medium
215 antioxidant efficiency and in the case of gallic acid a prooxidant behaviour was observed. The
216 antioxidant efficiency order showed some differences compared to the experiment with lecithin as
217 emulsifier: dodecyl gallate ~ hexadecyl gallate ~ octadecyl gallate > octyl gallate ~ hexyl gallate >
218 decyl gallate >> butyl gallate >> gallic acid. In this case, the highest antioxidant efficiency is observed
219 for the more hydrophobic alkyl gallates **6-8**, as it would be predicted by the “polar paradox”. Sensory
220 assessment (Table S2A, supplementary data) showed that gallic acid developed incipient rancidity by

221 the first day, whereas dodecyl gallate, hexadecyl gallate and octadecyl gallate showed the best results
222 and did not show rancidity until day 5. Sensory scores agreed with chemical analysis results.
223 In emulsions prepared with SDS as emulsifier (Figure S3, supplementary data), all compounds showed
224 a prooxidant behaviour (Table S3) developing a rancid off-flavors by the second day of storage (Table
225 S4A).

226

227 **Inhibition of lipid oxidation by glucosyl alkyl gallates 9-15.** The effect of the addition of a glucose
228 unit at the phenolic ring of alkyl gallates on the antioxidant activity in emulsions was examined next.
229 Thermal oxidation experiments in fish oil-in-water emulsions were carried out in samples supplemented
230 with each phenolic derivative (0.1 mmol/kg). Emulsions were prepared first using lecithin as emulsifier
231 and oxidation experiments were run during 8 days at 45 °C. For direct comparison the corresponding
232 alkyl gallates were also added to the experiment.

233 According to sensory assessment (Table S5), control samples and all glucosyl alkyl gallates were
234 kept stable until day 4. After that, a rancid odour was detected. Sensory evaluations were verified by
235 chemical analysis of conjugated diene and triene hydroperoxides (Table S6). All glucosyl alkyl gallate
236 derivatives **9-15** showed very little efficiency to inhibit the formation of conjugated diene and triene
237 hydroperoxides (Figure S4, supplementary data). Only glucosyl hexyl gallate **10** displayed a very
238 limited antioxidant activity. The presence of the third hydroxyl group at the phenolic unit seems to be
239 crucial for the antioxidant capacity.

240 We decided to perform the same experiment under less drastic conditions (30 °C, see Table 2
241 and Figure S5, supplementary data) trying to differentiate more clearly among this series of
242 antioxidants. In this case, medium size glucosyl alkyl gallates (**9-12**) showed reasonable antioxidant
243 capacity after 8 days with glucosyl butyl gallate **9** being the best antioxidant of this series according to
244 sensory scores (Table S7B, supplementary data) and conjugated diene hydroperoxides formation.
245 Similarly to the oxidation experiment in emulsions prepared with lecithin containing alkyl gallates, the
246 most hydrophobic compounds (**13-15**) were worse antioxidants than some of the more polar compounds

247 of the series (**9-12**). Then, we carried out the oxidation experiments in emulsions prepared with Tween-
248 20 as emulsifier (30 °C for 5 days). Conjugated diene and triene hydroperoxide data (Table 2, see also
249 Figure S2, supplementary data) showed quite different results from those obtained in emulsions
250 prepared with lecithin. Here, glucosyl butyl gallate **9** displayed the worst antioxidant activity of the
251 series, followed by glucosyl decyl gallate **12**. The rest of derivatives were better antioxidants, with the
252 most hydrophobic glucosyl octadecyl gallate **15** being the best antioxidant of this series. Again, sensory
253 analysis agreed with these results (Table S2B) showing the worst quality for glucosyl butyl gallate **9** by
254 the second day.

255 Experiments with SDS as emulsifiers were carried out at 30 °C during 3 days (Table S8 and Figure S3,
256 supplementary data). Only glucosyl decyl gallate showed moderate antioxidant behaviour inhibiting the
257 development of rancidity up to second day (Table S4B). The rest of compounds showed a prooxidant
258 behaviour, the same result observed for the alkyl gallates series.

259

260 **Inhibition of lipid oxidation by glucosyl hexadecyl gallate 14, glucuronosyl hexadecyl gallates 16**
261 **and glucuronosyl methyl ester hexadecyl gallate 17.** As a final experiment we compared the
262 antioxidant efficiency in fish oil-in-water emulsions of three different modifications on the phenolic ring
263 of hexadecyl gallate with the unmodified hexadecyl gallate **7**. We included glucosyl, glucuronosyl and
264 glucuronosyl methyl ester hexadecyl gallates, compounds **14**, **16** and **17**. Compound **16** behaves as a
265 surfactant (surface tension changes with concentration in aqueous solution) whereas **14** and **17** do not.
266 Once again, thermal oxidation samples were supplemented with concentrations of 0.1 mmol/kg of each
267 antioxidant. The oxidation experiments were run at 50 °C during 4 days first on emulsions prepared with
268 lecithin. Octyl gallate **4** was used as positive control.

269 The results on the percentage of inhibition on the formation of conjugated diene and triene
270 hydroperoxides are shown in Table 3 (see also Figure S6, supplementary data). All three phenolic ring
271 modified hexadecyl gallates (**14**, **16** and **17**) showed a clear lower antioxidant efficiency compared to
272 hexadecyl gallate **7**. Among them, no differences could be observed between compounds **14** and **16**.

273 Compound **17** was the less active antioxidant in this system. Chemical results agreed with sensory
274 assessment (Table S9, supplementary data).

275 When the thermal oxidation experiment was carried on emulsions prepared with Tween-20 as
276 emulsifier at 30°C, the results for the phenolic ring modified alkyl gallates **14**, **16** and **17** were according
277 with those previously described for lecithin since they showed less antioxidant efficiency than the
278 original hexadecyl gallate **7** that showed a notable antioxidant efficacy. (Figure S2, supplementary data).
279 The type of unit attached to the phenolic ring has a small influence on the antioxidant activity of these
280 derivatives. Among the gallates with a phenolic ring substituent **14**, **16** and **17**, compound **16** showed
281 the highest efficiency. Sensory score agreed with these results (Table S2B, supplementary data).

282 Again, a prooxidant activity of these compounds was observed when emulsion was prepared
283 with SDS as emulsifier at 30 °C (Figure S3, supplementary data) showing a rancid off-flavor by the first
284 day (Table S4, supplementary data).

285

286 DISCUSSION

287

288 Alkyl gallates as antioxidants for emulsions have been studied previously by different groups. Porter *et*
289 *al.* (1989) examined gallic acid and alkyl gallates up to twelve carbons length (dodecyl gallate) in dry
290 vegetable oil-in-water emulsions using lecithin as emulsifier. When they plotted antioxidant
291 effectiveness against the R_f measured on silica TLC plates (that gives a rough measure of polarity), the
292 authors found a general linear trend where nonpolar antioxidants were more effective in dispersed lipid
293 emulsions. In fact, this has been considered a clear example of antioxidants that support the “polar
294 paradox”.

295 We have plotted the percentage of oxidation inhibition found in a fish oil-in-water emulsion
296 against the alkyl chain length for each antioxidant of this alkyl gallate series (Figure 2A) and have found
297 a parabolic behaviour when lecithin was used as emulsifier and a non-linear hyperbolic curve when
298 Tween-20 was used as emulsifier. When SDS was used as emulsifier, only glucosyl decyl gallate

299 showed moderate antioxidant behaviour. The rest of compounds showed a clear prooxidant action. Our
300 results with emulsions using lecithin as emulsifier seem to disagree with the rules predicted by the polar
301 paradox. The short series of alkyl gallates used by Porter and colleagues in their experiments may be the
302 reason for the discrepancies with our results. In contrast, our results with emulsions using Tween-20 as
303 emulsifier seem to fit better with the polar paradox since the more hydrophobic compounds display
304 better antioxidant efficiency in emulsions. In fact, a decrease in the percentage of oxidation inhibition is
305 not observed for hexadecyl gallate **7** or octadecyl gallate **8** on emulsions prepared with Tween-20 but it
306 is observed on emulsions prepared with lecithin.

307 Several studies of antioxidant efficiency of phenolipids in emulsions have been reported.
308 Laguerre *et al.* (2009; 2010) found a parabolic behaviour or cut-off effect on a series of chlorogenate
309 alkyl esters and rosmarinate alkyl esters where the maximum antioxidant efficiency in an oil-in-water
310 system was displayed by medium-size alkyl derivatives (dodecyl and octyl, respectively). Acylation of
311 hydroxytyrosol with medium-size alkyl chains (octanoic acid) also displayed higher antioxidant activity
312 than hydroxytyrosol itself or hydroxytyrosol fatty acid esters with longer alkyl chains in fish oil-in-
313 water emulsions (Medina *et al.*, 2009).

314 Several explanations have been proposed for this type of behaviour. Since location of the
315 antioxidants at the oil-water interphase is considered crucial to obtain good antioxidant activity in
316 emulsions (Heins *et al.*, 2007) it makes sense that the partitioning behaviour of the antioxidants between
317 the different phases could be key to explain the parabolic effect. However, Laguerre *et al.* (2009) did not
318 observe a good correlation with partitioning and proposed that long-chain phenolipids could be involved
319 in the formation of micelles or other aggregates and therefore not properly placed at the emulsion
320 interphase. The decrease in mobility due to the increase in molecular size for long-chain lipophilic
321 antioxidants has also been mentioned as a possible reason for the parabolic effect observed (Fendler,
322 1982). Once again, this decrease in diffusion of the antioxidants may hinder the proper location of the
323 antioxidants at the interphase.

324 Recently, we have shown that phenolipids such as hydroxytyrosol fatty acid esters possess
325 surfactant properties (Lucas *et al.*, 2010) and have proposed that the more effective surfactants would
326 locate preferentially at the oil-water interface in the emulsions inhibiting lipid oxidation more
327 efficiently. In a previous work we measured the surfactant properties in aqueous solutions of the alkyl
328 gallates **2-8** used in this study (see Table S10) (Maldonado *et al.*, 2011). When we plot the surfactant
329 effectiveness versus the length of the alkyl chain for each of the alkyl gallates that display surfactant
330 properties, we observe that the data easily fit a parabolic line (Figure S7). In fact, the best surfactants are
331 medium-size alkyl gallates that also display the best antioxidant efficiency in oil-in-water emulsions
332 when lecithin is used as emulsifier. However, since this surfactant property is linked to the structure of
333 the antioxidant, there is not such a correlation with the antioxidant capacity observed for the alkyl
334 gallates in emulsions prepared with Tween-20 as emulsifier where medium-size and long-size alkyl
335 gallates show similar antioxidant efficiency.

336 One could argue that the antioxidant behaviour of the phenolipids is ruled in a different way for
337 each specific emulsifier used to prepare the emulsions. This is probably not the case since Panya *et al.*
338 (2012) observed a parabolic effect for rosmarinic alkyl esters in emulsions prepared with Tween-20
339 whereas, in our case, alkyl gallates in emulsions prepared with Tween-20 seem to follow better the
340 behaviour predicted by the polar paradox. It seems to be related to the interactions of the emulsifier and
341 a specific antioxidant than to the existence of a universal emulsifier for all antioxidants.

342 The relevance of the nature of the emulsifier in emulsions has been studied by Stöckmann *et al.*
343 (2000). They reported that the antioxidant activity of a short homologous alkyl gallate series (from
344 gallic acid to octyl gallate) in stripped corn oil-in-water emulsions showed great differences depending
345 on the emulsifier used (lecithin, SDS and Brij 58). They hypothesized that specific molecular
346 interactions between the antioxidants and the emulsifier were the cause of the differences found between
347 emulsions. They proposed that these interactions could be between the antioxidant and the headgroup of
348 the emulsifier (e.g. hydrogen bonds between the phenolic OH groups and the charge of the emulsifier)
349 and also between the alkyl chains of the antioxidant and the lipid chain of the emulsifier, which would

350 affect the diffusion of the antioxidant in the emulsion. Several other authors (Aleman *et al.*, 2015;
351 McClements *et al.*, 2000; Shahidi *et al.*, 2011; Sørensen *et al.*, 2008) have also suggested the relevance
352 of the interactions between the emulsifiers and the antioxidants.

353 Additionally, an important aspect that could be related to the differences found between the
354 antioxidant activity of the gallate derivatives in emulsions stabilized with lecithin, Tween-20 and SDS,
355 is the significance of the antioxidant properties of emulsifiers for improving the oxidative stability of
356 emulsions. Lecithin is a known antioxidant compound with good emulsification properties (Judde *et al.*,
357 2003). In contrast, Tween-20 and SDS are emulsifiers without any known antioxidant properties due to
358 lack of functional groups responsible for antioxidant activity (Kerwin, 2008). Pan *et al.* (2013) have
359 demonstrated a major stabilization of emulsions with lecithin associated to a lower rate of permeation of
360 peroxy radicals from the aqueous phase to the oil phase of emulsion compared with emulsions
361 stabilized with Tween-20. The higher rate of permeation of peroxy radicals in the Tween-20 emulsions,
362 due to the minor antioxidant activity of this emulsifier provoked a destabilization of the emulsion in
363 terms of oxidation. Therefore, in our work, probably antioxidant synergistic or additive effects between
364 lecithin and the gallate antioxidants are occurring and contributing to the antioxidant effectiveness
365 identified for each gallate derivative. Such synergistic and additive effects could be dependent of the
366 molecular structure of the conjugated gallate antioxidant. Tween-20 and SDS are compounds with no
367 known antioxidant properties, thus they cannot increase or decrease the antioxidant activity associated
368 to the gallate derivatives.

369 In this work we designed glycosyl alkyl gallates to improve the surface active properties of the
370 corresponding alkyl gallates expecting also to improve their antioxidant activity in emulsions (since
371 they still possess a di-ortho phenolic unit in their structure). When we measured the surface tension in
372 aqueous solutions for glycosyl alkyl gallates we found that from the butyl (**11**) to the dodecyl (**15**)
373 derivatives these compounds behave as surfactants (Table S10) (Maldonado *et al.*, 2011). Moreover, the
374 surfactant effectiveness (γ_{cmc} , surface tension at the CMC) for compounds **11-15** is lower than for the
375 corresponding alkyl gallates **4-6** (**7** and **8** do not behave as surfactants) demonstrating that they are

376 better surfactants. However, glycosyl alkyl gallates displayed less antioxidant efficiency in oil-in-water
377 emulsions than alkyl gallates. These results were somehow surprising since the structure of these
378 compounds still maintain a di-ortho phenolic ring and better antioxidant activity could be expected. It is
379 important to note that the ester functionality in these alkyl gallate derivatives partially deactivates the
380 aromatic ring what can limit the hydrogen donating capacity of the phenolic OH groups and decreases
381 the stability of a radical on the ring. In fact, other antioxidants with a di-ortho phenolic moiety and an
382 electron-rich aromatic ring such as hydroxytyrosol display excellent antioxidant activity (Medina *et al.*,
383 2009).

384 When the percentage of oxidation inhibition was plotted against the alkyl chain length for each
385 glucosyl alkyl gallate (Figure 2B), we observed a similar scenario to that found for the alkyl gallates,
386 butyl gallate and medium-size alkyl gallates (C6-C10) were the best antioxidants in emulsions prepared
387 with lecithin whereas in emulsions prepared with Tween-20 the glucosyl phenolipids tend to follow the
388 polar paradox.

389 Finally, direct comparison of antioxidants containing the same hexadecyl alkyl chain and
390 different polar headgroups, galloyl- **7**, glucosylgalloyl- **14**, glucuronosylgalloyl- **16** and glucuronate
391 methyl ester galloyl- **17**, indicates again the relevance of maintaining the three OH groups in the
392 aromatic ring and also points out the glycosyl unit is not relevant for activating the radical scavenging
393 activity of the phenolic group or for the location in the interphase of the emulsion since we only observe
394 minor differences among them. Moreover, the fact that glucuronosyl hexadecyl gallate **16** shows
395 surfactant properties does not improve its antioxidant activity when compared to **14** and **17** which are
396 not surfactants.

397 In conclusion, we have found that maintenance of the three phenolic hydroxyl groups in gallic
398 acid is fundamental for the antioxidant efficiency of alkyl gallate derivatives since glycosylation of just
399 one OH group results in a large decrease in antioxidant capacity. Improvement of the surfactant
400 properties of the alkyl gallate by addition of a carbohydrate in their polar head does not translate in
401 better antioxidant efficiency. The type of emulsifier seems to be playing an important role and probably

402 specific interactions between emulsifier and antioxidants together to the additive or synergistic effect
403 occurring may rule their antioxidant activity in oil-in-water emulsions. Strong head-to-head and tail-to-
404 tail interactions between the emulsifier and the phenolipid may place the antioxidant closer to the
405 interphase and therefore could display better protecting efficiency in the emulsion. If these interactions
406 are weaker then the antioxidant will tend to be more randomly located in the emulsion affecting its
407 antioxidant activity. Finally, small differences in antioxidant efficiency were observed when glucosyl,
408 glucuronosyl and glucuronosyl methyl ester hexadecyl gallates were compared.

409

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413

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499 60(1), 4-6.

502 **FIGURE LEGENDS**

503 **Figure 1.** Chemical structures of gallic acid **1**, alkyl gallates **2-8**, glucosyl alkyl gallates **9-15**, and
504 glucuronosyl alkyl gallate **16** and glucuronosyl methyl ester hexadecyl gallate **17**.

505 **Figure 2.** A) Percentage of inhibition of gallic acid **1** and alkyl gallates **2-8** vs their alkyl chain length.
506 Symbol ■ represents % inhibition at day 7 at 45 °C using lecithin as emulsifier. Symbol x represents %
507 inhibition at day 8 at 30 °C using Tween-20 as emulsifier. B) Percentage of inhibition of gallic acid **1**
508 and glucosyl alkyl gallates **9-15** vs their alkyl chain length. Symbol ■ represents % inhibition at day 7 at
509 30 °C using lecithin as emulsifier. Symbol x represents % inhibition at day 4 at 30 °C using Tween-20
510 as emulsifier.

511

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514

515 **Table 1.** Inhibition by gallic acid **1** and alkyl gallates **2-8** on the formation of conjugated diene and
 516 triene hydroperoxides in fish oil-in-water emulsions during oxidation (Tween-20 was used as emulsifier
 517 at 30 °C, lecithin was used as emulsifier at 45°C). Antioxidants were tested at the same concentration:
 518 0.1 mmol/kg (mean±sd)^{1,2}.

Phenolic antioxidants	Tween-20		Lecithin			
	Conj. Dienes (day 4)	Conj. Trienes (day 4)	Conjugates Dienes		Conjugated Trienes	
			(day 7)	(day 10)	(day 7)	(day 10)
Control	0.0 ± 0.1 ^a	0.0 ± 0.1 ^a	0.0 ± 0.1 ^a	0.0 ± 0.0 ^a	0.0 ± 0.1 ^a	0.0 ± 0.0 ^a
Gallic acid 1	-26.9 ± 2.8 ^a	-10.3 ± 0.4 ^a	22.0 ± 2.8 ^b	-95.7 ± 21.2 ^a	21.1 ± 2.5 ^b	-62.7 ± 4.2 ^a
Butyl gallate 2	54.4 ± 0.4 ^b	74.2 ± 3.5 ^b	73.6 ± 3.9 ^d	-25.3 ± 7.0 ^a	78.6 ± 1.5 ^d	11.5 ± 1.5 ^b
Hexyl gallate 3	88.5 ± 0.4 ^d	91.7 ± 0.9 ^c	84.8 ± 3.2 ^e	65.2 ± 12.9 ^d	87.2 ± 5.3 ^e	81.1 ± 8.3 ^d
Octyl gallate 4	89.4 ± 1.1 ^d	93.2 ± 2.8 ^d	80.7 ± 8.5 ^{de}	37.0 ± 2.6 ^c	80.0 ± 10.9 ^e	67.2 ± 11.3 ^{cd}
Decyl gallate 5	85.8 ± 0.5 ^c	87.6 ± 1.3 ^c	81.9 ± 2.8 ^e	19.6 ± 3.8 ^b	81.7 ± 5.0 ^e	58.6 ± 1.6 ^{cd}
Dodecyl gallate 6	92.3 ± 1.4 ^e	96.3 ± 0.9 ^e	84.2 ± 3.6 ^e	60.9 ± 1.8 ^d	84.8 ± 10.6 ^e	78.0 ± 1.4 ^{cd}
Hexadecyl gallate 7	91.0 ± 1.0 ^e	95.4 ± 2.1 ^e	74.4 ± 7.2 ^{de}	19.9 ± 2.6 ^b	74.3 ± 6.8 ^{de}	55.0 ± 9.3 ^c
Octadecyl gallate 8	92.2 ± 1.5 ^e	96.4 ± 0.6 ^e	59.5 ± 4.2 ^c	-17.1 ± 8.0 ^a	60.8 ± 4.2 ^c	10.0 ± 3.1 ^b

519 ¹ % Inhibition = [(C - S)/C] X 100 where C = increment in the oxidation product formed in control and S = increment in
 520 the oxidation product formed in sample (Frankel, 1998). ²Values in each column with the same superscript letter were not
 521 significantly different (p < 0.01).

522

523 **Table 2.** Inhibition by gallic acid **1** and glucosyl alkyl gallates **9-15** on the formation of conjugated
 524 diene and triene hydroperoxides in fish oil-in-water emulsions during oxidation at 30°C using lecithin
 525 (data on day 8) or Tween-20 (data on day 4) as emulsifiers. Antioxidants were tested at the same
 526 concentration: 0.1 mmol/kg (mean±sd)^{1,2}.

Phenolic antioxidants	Tween-20		Lecithin	
	Conj. Dienes	Conj. Trienes	Conj. Dienes	Conj. Trienes
Control	0.0 ± 0.1 ^a	0.0 ± 0.1 ^a	0.0 ± 0.1 ^a	0.0 ± 0.0 ^a
Gallic acid 1	-26.9 ± 2.8 ^a	-10.3 ± 0.4 ^a	32.1 ± 0.9 ^b	26.9 ± 1.5 ^c
Glc-butyl gallate 9	5.0 ± 0.2 ^b	6.0 ± 1.2 ^b	49.6 ± 1.0 ^d	47.4 ± 2.3 ^e
Glc-hexyl gallate 10	28.3 ± 0.5 ^e	33.6 ± 0.5 ^d	31.5 ± 0.4 ^b	26.6 ± 0.8 ^c
Glc-octyl gallate 11	41.6 ± 0.8 ^f	43.1 ± 0.5 ^e	38.9 ± 1.2 ^c	39.8 ± 1.8 ^d
Glc-decyl gallate 12	12.4 ± 1.1 ^c	8.6 ± 0.6 ^c	30.7 ± 0.9 ^b	27.8 ± 1.1 ^c
Glc-dodecyl gallate 13	32.6 ± 3.2 ^e	50.8 ± 5.5 ^f	-2.3 ± 0.8 ^a	-2.5 ± 1.5 ^a
Glc-hexadecyl gallate 14	22.7 ± 0.4 ^d	66.0 ± 0.7 ^g	-6.1 ± 0.9 ^a	3.5 ± 0.2 ^b
Glc-octadecyl gallate 15	56.3 ± 5.3 ^g	57.6 ± 0.9 ^f	-9.1 ± 0.9 ^a	-5.6 ± 0.1 ^a

527 ¹ % Inhibition = [(C - S)/C] X where C = increment in the oxidation product formed in control and S = increment in
 528 the oxidation product formed in sample (Frankel, 1998). ² Values in each column with the same superscript letter were
 529 not significantly different (p < 0.01).

530

531

532 **Table 3.** Inhibition by octyl gallate **4**, hexadecyl gallate **7**, glucosyl hexadecyl gallate **14**, glucuronosyl
 533 alkyl gallates **16** and glucuronosyl methyl ester hexadecyl gallate **17** on the formation of conjugated
 534 diene and triene hydroperoxides in fish oil-in-water emulsions during oxidation at 50°C using lecithin as
 535 emulsifier (data on day 4) and during oxidation at 30°C using Tween-20 as emulsifier (data on day 4).
 536 Antioxidants were tested at the same concentration: 0.1 mmol/kg (mean±sd)^{1,2}.
 537

Phenolic antioxidants	Tween-20		Lecithin	
	Conj. Dienes	Conj. Trienes	Conj. Dienes	Conj. Trienes
Control	0.0 ± 0.1 ^a	0.0 ± 0.1 ^a	0.0 ± 0.1 ^a	0.0 ± 0.1 ^a
Octyl gallate 4	89.4 ± 1.1 ^b	93.2 ± 2.8 ^b	91.10 ± 0.34 ^b	89.89 ± 1.10 ^b
Hexadecyl gallate 7	91.0 ± 1.0 ^b	95.4 ± 2.1 ^b	67.53 ± 3.48 ^c	68.95 ± 4.01 ^c
Glc-hexadecyl gallate 14	22.7 ± 0.4 ^d	49.3 ± 0.7 ^c	35.04 ± 6.22 ^d	43.56 ± 4.51 ^d
GlcA-hexadecyl gallate 16	37.5 ± 0.2 ^b	50.4 ± 0.9 ^c	33.28 ± 2.42 ^d	41.76 ± 0.13 ^d
MeGlcA-hexadecyl gallate 17	25.2 ± 0.9 ^c	38.4 ± 1.3 ^d	14.71 ± 2.47 ^e	14.71 ± 6.65 ^e

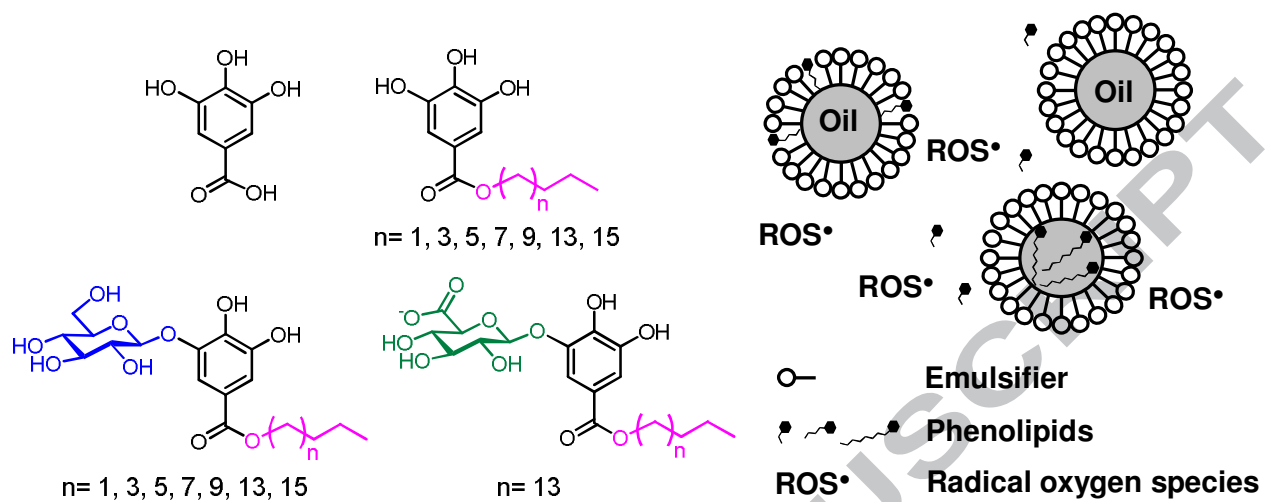
538 ¹ % Inhibition = [(C - S)/C] X 100 where C = increment in the oxidation product formed in control and S = increment
 539 in the oxidation product formed in sample (Frankel, 1998). ² Values in each column with the same superscript letter were
 540 not significantly different (p < 0.01).

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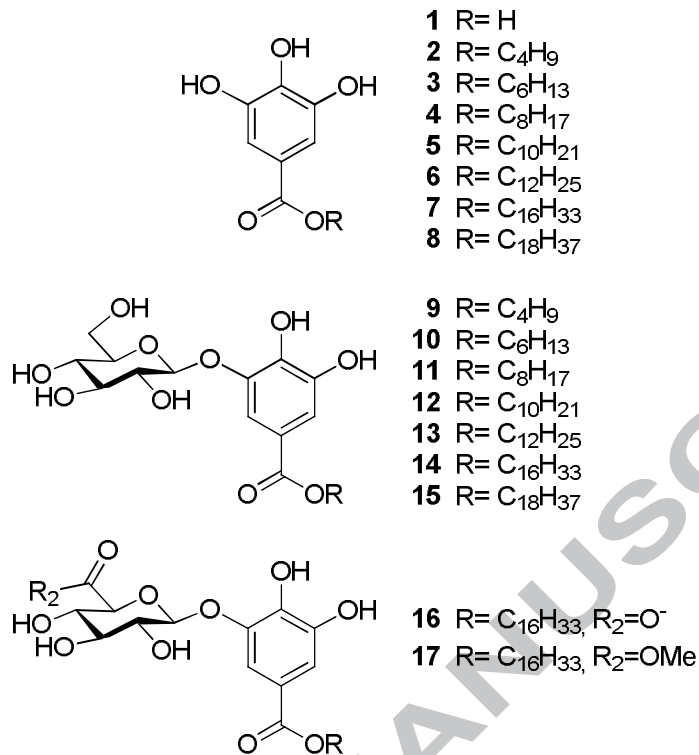
543 TOC Graphic

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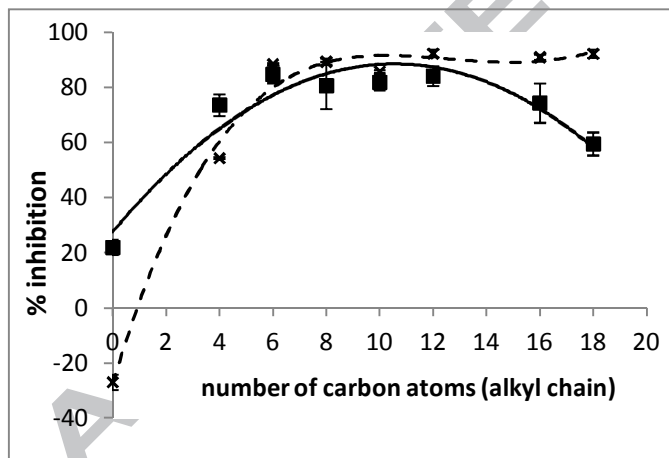
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555 **Figure 1.**

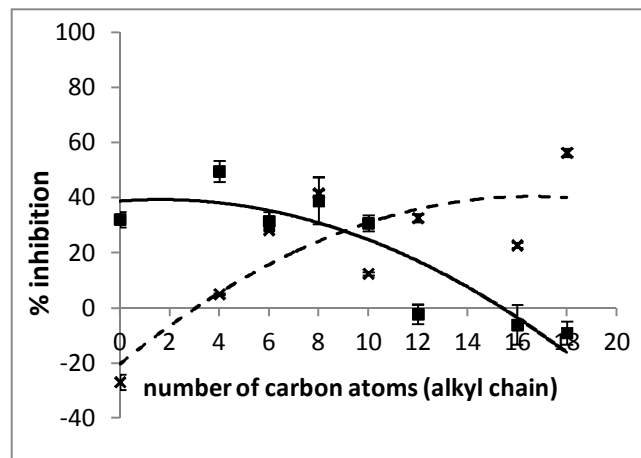
556

557 **Figure 2.**

558 A)



B)



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