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1 Imaging lung function abnormalities in primary ciliary dyskinesia using hyperpolarised  
2 gas ventilation MRI

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26 INTRODUCTION

27 Primary ciliary dyskinesia (PCD) is a genetic condition causing progressive lung disease,  
28 which starts during early childhood. Identifying early lung disease is therefore important  
29 for the initiation and assessment of early intervention to maintain lung health (1, 2).  
30 Assessment of lung function in PCD may have a significant clinical overlap with cystic  
31 fibrosis (CF) (3), the aim being to identify small, but clinically significant airways  
32 obstruction within the lung. Spirometry is insensitive to early lung abnormality in CF and  
33 PCD, however the lung clearance index (LCI) derived from multiple breath washout (MBW)  
34 can detect early ventilation heterogeneity in patients with CF (4). Recent studies utilising  
35 LCI in PCD have however highlighted that the relationship between pathophysiology and  
36 functional changes in PCD may not be entirely consistent with CF (3, 5, 6). Hyperpolarised  
37 gas ventilation MRI has been proven to be highly sensitive to early lung disease (7, 8),  
38 response to treatment (9) and to the deterioration of lung function (10) in CF and is well  
39 tolerated by children as young as 5 years (11). In this study we present our findings of  
40 preliminary studies with hyperpolarised gas ventilation MRI in children with PCD.

41

42 METHODS

43 This is a retrospective analysis of children diagnosed with PCD, referred to our centre for  
44 clinical assessment to further investigate their lung function. These patients had either  
45 normal FEV<sub>1</sub> or mild to moderate airflow obstruction (12, 13) but with on-going symptoms.  
46 On the day of testing all subjects were free from pulmonary exacerbation, were not  
47 undergoing any new acute treatments and felt well. Each child performed hyperpolarised  
48 gas ventilation MRI, MBW and spirometry. This study was performed under clinical  
49 research governance for retrospective research using clinical data.

50

51 3D volumetric hyperpolarised helium-3 ( $^3\text{He}$ ) ventilation MR images and  $^1\text{H}$  anatomical  
52 images were acquired during the same breath-hold on a 1.5T GE scanner (14). From these  
53 images two indices were calculated; (i) ventilation defect percentage (VDP), which  
54 quantifies the percentage of the lung volume that is not ventilated, and (ii) the mean  
55 coefficient of variance of ventilated image signal intensity (CV), a metric of regional  
56 ventilation heterogeneity.  $^1\text{H}$  steady state free precession MR images were separately  
57 acquired for assessment of lung morphology and mucus (15). Previous CT imaging was also  
58 reviewed for comparison when available.

59  
60 MBW was performed as previously described (16) and the parameters LCI,  $S_{\text{cond}}$  and  $S_{\text{acin}}$   
61 were calculated. The upper limit of normal for LCI was defined as  $>7.4$  (16).

62  
63 Due to the small sample size, Spearman correlations were performed between lung  
64 function and MRI metrics. A p-value  $<0.007$  was deemed to be statistically significant after  
65 Bonferonni correction.

66  
67 RESULTS

68 11 children with PCD (8 female) were assessed and their individual demographics, lung  
69 function and MRI metrics are summarised in Table 1. Seven children had situs inversus  
70 totalis.

71  
72 All 11 children had ventilation defects on ventilation MRI (Figure 1). Defects were mostly  
73 small and heterogeneously distributed, with multiple defects present on most image slices  
74 throughout the lungs. One subject (B) had significant mucus plugging evident in the left

75 lower lobe on <sup>1</sup>H MRI and on previous CT, which was associated with a large ventilation  
76 defect evident in all image slices.

77  
78 Five children (A, B and I-K) had abnormal LCI (>7.4 (16)) and six (A, B, G and I-K) had mild-  
79 moderate airflow obstruction on spirometry (FEV<sub>1</sub>/FVC z-score <LLN and FEV<sub>1</sub> z-score >-  
80 3.02). All children with abnormal LCI also had abnormal FEV<sub>1</sub> and one child had abnormal  
81 spirometry with LCI just below the upper limit of normal. The six children with airflow  
82 obstruction also had the highest VDP values.

83  
84 There were only significant correlations between VDP and; LCI (Figure 2), and FEV<sub>1</sub>/FVC  
85 (r=-0.83,p=0.003). CV did not significantly correlate with FEV<sub>1</sub> or FEV<sub>1</sub>/FVC but  
86 demonstrated the closest correlation with LCI (r=0.71, p=0.02).

87  
88 Seven children (B-D, F, H-J) had comparable CT imaging performed within 3.5 years prior  
89 to MRI (B-1year, C-8months, D-3.4years, F-3.4years, H-3.4years, I-1year, J-3.5years prior).  
90 Patient H and I had normal CT images. Patients C, D and F had bronchial wall thickening.  
91 Patient B, C and J had CT findings of mucus plugging (B, J) or bronchiectasis (C, J) that  
92 correlated with <sup>3</sup>He and <sup>1</sup>H MRI.

93  
94 DISCUSSION

95 In children with PCD, lung ventilation abnormalities are evident on hyperpolarised gas  
96 ventilation MRI despite the presence of normal LCI and FEV<sub>1</sub>. When compared to our  
97 healthy control cohort of 10 children (aged 7.1-15.6 years) previously reported (7), none  
98 of the healthy controls had visible defects and all had VDP values <1.88% and LCI values  
99 <7.4. Computed tomography imaging in PCD suggests a predominance of middle and lower

100 lobe disease (17). In the group we present, ventilation defects were observed in these lung  
101 regions, but there were often additional ventilation defects present in the upper lobes,  
102 possibly caused by mucus plugging that precedes structural change.

103  
104 Ventilation MRI has been shown to be more sensitive than LCI and FEV<sub>1</sub> for detection of  
105 ventilation abnormalities in CF (7). The ventilation images we report suggest this finding  
106 is consistent in children with PCD. LCI and FEV<sub>1</sub> inherently reflect global lung function  
107 averaged across the whole lung, this potentially masks mild ventilation heterogeneity.  
108 Ventilation MRI however provides high spatial resolution assessment of ventilation  
109 abnormalities at a given static lung volume, providing assessment of both the size and  
110 nature of un-ventilated lung regions and also the heterogeneity of ventilation. The imaging  
111 metric VDP appears to be sensitive to lung disease in PCD and, despite the small patient  
112 numbers, correlates with LCI, a pattern consistent in CF (7, 18), suggesting that the two  
113 techniques may be reflecting similar pathophysiology.

114  
115 A possible limitation of this analysis is the fact that CT imaging was not performed in all  
116 patients at the same time for comparison. However, we have previously shown that <sup>3</sup>He  
117 MRI has greater sensitivity to mild lung disease than CT (7) and the radiation burden of CT  
118 is a concern in this group of young patients. Indeed, avoiding exposure to ionising radiation  
119 was a key factor in the referral for ventilation MRI. With recent advances in <sup>1</sup>H MRI the  
120 sensitivity of structural MRI to detect lung disease has also increased (19, 20). When  
121 employed alongside hyperpolarised gas MRI, this would allow detailed sensitive  
122 assessment of both functional and structural lung disease without the need for sedation or  
123 ionising radiation. We recognise however that at present this technology is limited to  
124 specialist centres, however with the advent of xenon-129 ventilation MRI the technology is

125 now clinically accessible to any large hospital with MRI capability. The small sample size is  
126 a limitation of this work, which may restrict the generalizability of these findings to all  
127 people with PCD.

128

129 In conclusion, ventilation defects are present in children with PCD even in the presence of  
130 normal LCI and FEV<sub>1</sub>. This pattern is consistent with findings in patients with CF, and  
131 suggests that hyperpolarised gas ventilation MRI is a sensitive method for detecting lung  
132 disease in children with PCD.

133

134

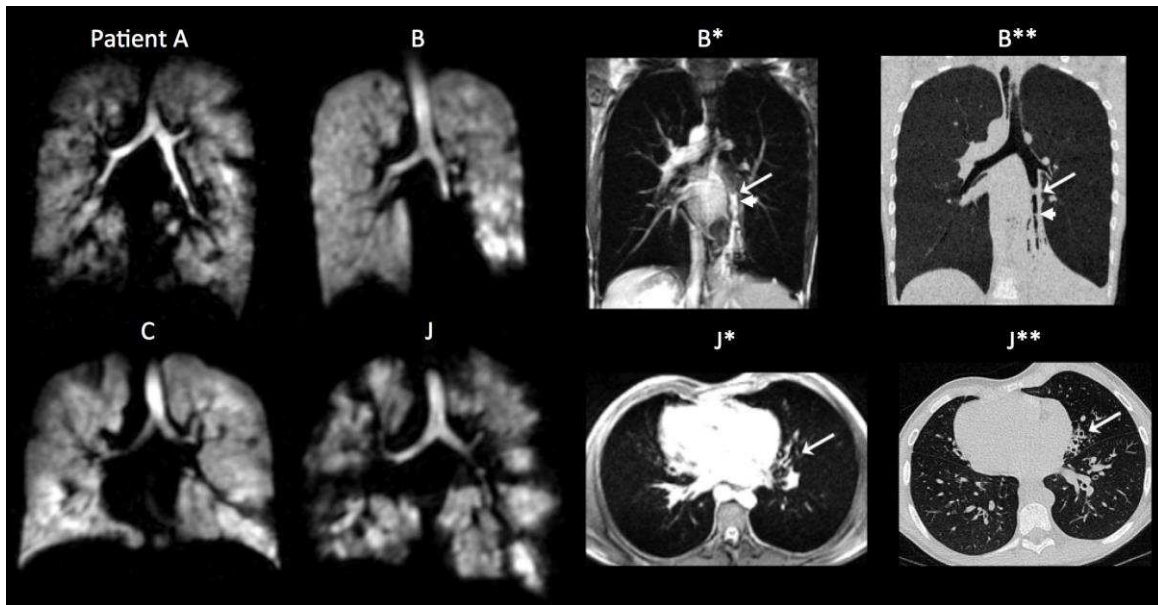
135 Table 1: Patient demographics, lung function and ventilation MRI metrics for all 11 patients. Diagnostic information on; Cilia beat frequency  
 136 and pattern, nasal nitric oxide, genetics and electron-microscopy findings, are supplied where available.

Patient	A	B	C	D	E	F	G	H	I	J	K	Mean
<b>Sex</b>	F	F	F	F	F	F	F	F	M	M	M	
<b>Age (years)</b>	14.7	9.4	14.4	12.1	12.2	17.0	17.3	10.1	7.3	16.5	15.7	13.3
<b>Height (cm)</b>	160.6	140.2	165.0	155.5	154.5	151.9	146.6	125.9	120.3	162.5	179.2	151.1
<b>Weight (kg)</b>	39.0	26.0	66.0	66.0	44.5	45.0	45.0	24.0	21.0	56.0	86.5	47.2
<b>FEV<sub>1</sub> z-score</b>	-2.11	-3.24	-0.67	0.57	0.69	0.56	-2.36	0.17	-3.02	-1.47	-2.06	-1.09
<b>FEV<sub>1</sub>/FVC z-score</b>	-1.73	-3.24	-0.5	-1.00	-1.44	-1.49	-2.32	0.52	-3.05	-3.03	-1.67	-1.72
<b>LCI</b>	8.76	7.78	6.94	6.03	6.79	7.35	7.34	6.41	11.09	10.7	8.55	7.98
<b>S<sub>cond</sub></b>	0.03	0.10	0.06	0.03	0.02	0.05	0.06	0.05	0.07	0.06	0.09	0.06
<b>S<sub>acin</sub></b>	0.29	0.08	0.12	0.07	0.06	0.03	0.18	0.07	0.28	0.17	0.14	0.12
<b>VDP (%)</b>	13.20	11.75	4.67	2.60	2.05	6.60	7.54	3.20	20.07	20.68	4.99	8.85
<b>CV (%)</b>	19.10	12.99	12.45	15.60	10.79	13.36	12.25	11.72	19.84	22.67	15.21	15.06
<b>Cilia beat frequency (Hz) and pattern</b>	6.5 Static or very reduced amplitude	2.9 Stiff, barely flickered	6.7 Normal	11.7 Dyskinetic motion	10.9 Jerking-like motion	5.8 Dyskinetic motion	- Static Cilia	5.8 Stationary or dyskinetic motion	5.8 Static or very stiff motion	2.6 Static	18.4 Dyskinetic, stiff motion	
<b>Nasal nitric oxide (nL/min)</b>	30	<1.5	31.5	8.4	161.1	6.3	17.7	5.4	3.6	6.3	7.8	
<b>Genetics</b>	-	DNAH5	DNAAF5 heterozygous	-	CCDC103	-	-	-	-	DNAL1	-	
<b>EM Findings</b>	Absence of inner & outer dynein arms	Absence of inner & outer dynein arms	No defect found	Slight increase in microtubular defects. Slight increase in ciliary disorientation	No defect found	Absence of inner dynein arms	Absence of inner & outer dynein arms	Absence of inner & outer dynein arms	Lack of inner dynein arms & displacement of the central microtubular pairs	Absence of outer dynein arms	Absence of inner dynein arms	



138 Figure Legends:

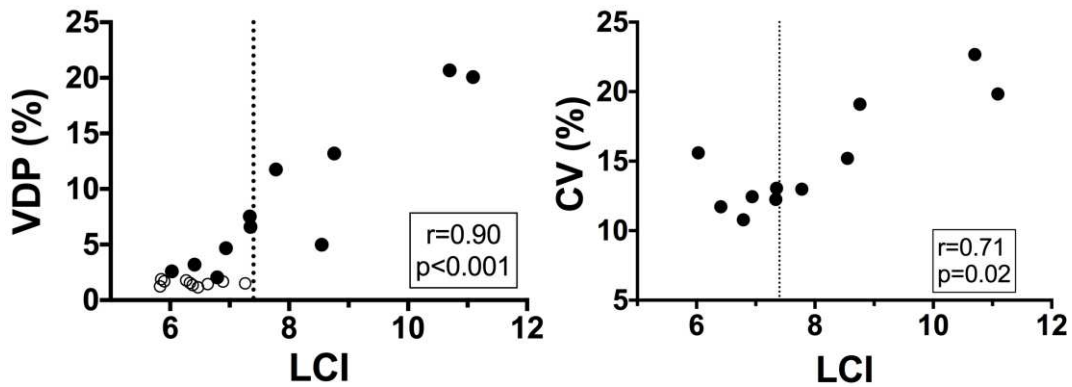
139



140

141 Figure 1: Representative single  $^3\text{He}$  MR ventilation image slices from four patients with  
142 PCD, with representative  $^1\text{H}$  MRI and CT slices for patients B and J. The patient letter  
143 corresponds to Table 1 and throughout the text. The four examples demonstrate the types  
144 of ventilation defects seen. Patient C had spirometry and LCI values within the normal  
145 range, where as patients A, B and J had abnormal spirometry and LCI. Patient B has a  
146 collapsed left lower lobe with dilated bronchi (see arrows) and mucus plugs (arrowhead)  
147 evident on  $^1\text{H}$  MRI (B\*) (the arrow on  $^1\text{H}$  MRI points to a dilated bronchus containing high  
148 signal mucous) and CT (coronal 4mm minimal intensity projection CT - B\*\*). These findings  
149 correspond with the clear-cut ventilation defects on  $^3\text{He}$  MRI (the CT image was performed  
150 approximately 8 months prior to MRI). Patient J has bronchiectasis evident on both  $^1\text{H}$  MRI  
151 (J\*) and CT (J\*\*) (see arrows) in the left middle lobe and right lingular segment where  
152 ventilation defects are apparent on  $^3\text{He}$  MRI. It is worth noting however that the CT image  
153 in this patient predates the MRI by 3.5 years and it may be the case that structural  
154 abnormalities may be more prevalent if CT were to be performed at the time of the MRI.

155



156

157 Figure 2: Spearman correlations between lung clearance index (LCI) and both ventilation  
158 defect percentage (VDP) and coefficient of variance of ventilated image signal intensity  
159 (CV) for the patients with PCD (closed circles). The dashed vertical line at an LCI value of  
160 7.4 represents the upper limit of normal (16). When comparing VDP and LCI we have added  
161 healthy control data (open circles) for reference, these data points were not included in the  
162 Spearman correlation analysis.

163

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