

# An interactive approach for functional prototype recovery from a single RGBD image

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**Abstract** Inferring the functionality of an object from a single RGBD image is difficult for two reasons: lack of semantic information about the object, and missing data due to occlusion. In this paper, we present an interactive framework to recover a 3D functional prototype from a single RGBD image. Instead of precisely reconstructing the object geometry for the prototype, we mainly focus on recovering the object's functionality along with its geometry. Our system allows users to scribble on the image to create initial rough proxies for the parts. After user annotation of high-level relations between parts, our system automatically jointly optimizes detailed joint parameters (axis and position) and part geometry parameters (size, orientation, and position). Such prototype recovery enables a better understanding of the underlying image geometry and allows for further physically plausible manipulation. We demonstrate our framework on various indoor objects with simple or hybrid functions.

**Keywords** functionality; cuboid proxy; prototype; part relations; shape analysis

## 1 Introduction

*That form ever follows function. This is the law.*

Louis Sullivan

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With the popularization of commercial RGBD cameras such as Microsoft's Kinect, people can easily acquire 3D geometry information with an RGB image. However, due to occlusion and noise, recovering meaningful 3D contents from single RGBD images remains one of the most challenging problems in computer vision and computer graphics. Over the past years, much research effort has been devoted to recovering high-quality 3D information from RGBD images [1, 2]. Most of these approaches, starting either from a single image or multiple images, are dedicated to faithfully recovering the 3D geometry of image objects, while their semantic relations, underlying physical settings, or even functionality are overlooked. More recently, research has explored use of high-level structural information to facilitate 3D reconstruction [3–5]. For example, Shao et al. [3] leverage physical stability to suggest possible interactions between image objects and obtain a physically plausible reconstruction of objects in RGBD images. Such high-level semantic information plays an important role in constraining the underlying geometric structure.

Functionality is the center of object design and understanding. Objects in man-made environments are often designed for single or multiple intended functionalities (see Fig. 1). *That form ever follows*



**Fig. 1** Objects in man-made environments are often designed for single or multiple intended functionalities.

*function* is the law of physical manufacturing [6]. In this paper, we develop an interactive system to recover functional prototypes from a single RGBD image. Our goal is to allow a novice user to be able to quickly lift image objects into 3D using 3D prototypes, using just a few high-level annotations of joint types and geometric/functional relations; the user can meanwhile explicitly explore and manipulate an object's function. We focus on prototypes with simple proxies (e.g., cuboids) representing their parts as a means to alleviate the difficulties of precise 3D reconstruction which is a harder problem. By taking physical functionality into consideration, we may gain a much more faithful interpretation of the underlying objects. The functional properties can then be used for applications like in-context design and manipulation.

It is a challenging problem to infer object function just from user annotated joint types and geometric/functional relations. Our system should automatically optimize the detailed joint parameters (axis and position) in order to make the parts move correctly, whereas this task is typically done in CAD software by the user repeatedly adjusting parameters. Furthermore, initial proxies from user-segmented depths are often rough with incorrect orientation and position, and may be incomplete because of occlusion. Hence initial proxies often fail to satisfy the functional relations such as *A covers B*. Therefore our system should also optimize the proxy parameters (size, orientation, and position), in order to make parts satisfy functional relations.

Our method starts with a single RGBD image. The user segments the image object into parts by scribbling on the image using simple strokes or polygons. Then each segmented part is assembled using a 3D proxy. We use simple cuboids in this paper [7]. Given the initial proxies, our system then allows the user to annotate the joint types and functional/geometric relations between parts. In a key stage, our algorithm simultaneously optimizes the detailed joint parameters (axis and position) and the proxy parameters (size, orientation, and position). Finally, a functional prototype is produced with moving parts satisfying the user annotated relations.

We have tested our system on a variety of man-made hybrid functional objects taken from various

sources. Our results show that even using only a few user annotations, the proposed algorithm is capable of faithfully inferring geometry along with appropriate functional relations for the object parts. In summary, this paper makes the following contributions:

- Identifying and characterizing the problem of integrating functionality into image-based reconstruction;
- Simultaneous optimization of detailed joint and geometry parameters from user's high-level annotations of joint types and functional/geometric relations;
- An interactive tool for functional annotation which has been tested on a variety of indoor scene images and physical designs.

## 2 Related work

**Proxy-based analysis.** A significant amount of work has leveraged proxies to understand objects or scenes. Li et al. [8] and Lafarge et al. [9] consider global relationships as constraints to optimize initial RANSAC-based proxies to produce structured outputs; similarly, Arikan et al. [10] use prior relations plus user annotations to create abstracted geometry. For scene analysis, many approaches encode input scenes as collections of planes, boxes, cylinders, etc., and study their spatial layout [11–16]. Recently, proxies have frequently been used in functionality analysis of a design. Umetani et al. [17] use physical stability and torque limits for guided furniture design in a modeling and synthesis setting. Shao et al. [18] create 3D proxy models from a set of concept sketches that depict a product from different viewpoints, with different configurations of moving parts. Our work is inspired by Koo et al. [7], who annotate cuboids with high-level functional relationships to fabricate physical work-alike prototypes. Unlike their algorithm, our framework does not require explicitly creating joint positions, as we consider a larger search space to automatically infer the joint and part parameters. To our knowledge, we are the first to focus on proxy-based functionality recovery from a single RGBD image, in particular recovering how the object works by jointly optimizing both part geometry and functional relationships based on user annotations.

**Constraint-based modeling.** Our work is related to constraint-based modeling research in the graphics and CAD communities. Similar work to ours involves the automatic determination of relevant geometric relationships between parts for high-level editing and synthesis of 3D models [5, 19–21]. Previous mechanical engineering research has used declarative methods for specifying relevant geometric constraints for a mechanical design [22, 23]. Some professional CAD software like AutoCAD and SolidWorks contains constraint-based modeling modules, but users are required to manually adjust the low-level part/joint parameters to specify relationships. In contrast, our system can automatically interpret user annotated high-level functionality to give specific geometric constraints.

**3D modeling from a single RGBD image.** Much effort has been devoted to obtaining high-quality geometry information from a single RGBD image [1, 24]. To recover structural information, Shen et al. [4] extract suitable model parts from a database, and compose them to form high-quality models from a single RGBD image. Shao et al. [3] use physical stability to recover unseen structures from a single RGBD image using cuboids. However, their techniques focus on creating static 3D geometry and structure, whereas our goal is to produce models with correctly moving parts.

### 3 Overview

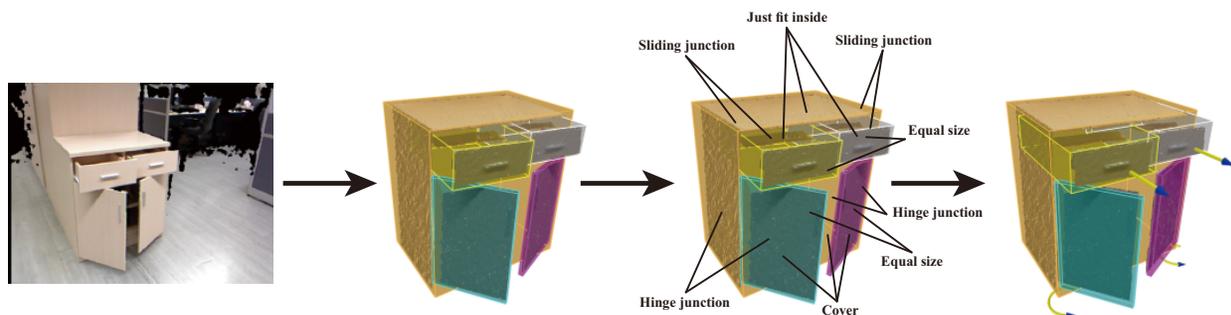
As illustrated in Fig. 2, given a single RGBD image, we first let the user scribble strokes over an image object to separate out its functional parts. These parts, being either a semantic component or an added object, are used during function recovery.

To segment the parts, we use a depth-augmented version of the GrabCut segmentation method [25], as Ref. [3] does. Optionally, if the color and depth are too similar, making it difficult to separate the parts with GrabCut, the user may use a polygon tool as in PhotoShop to perform segmentation (see the accompanying video in the Electronic Supplementary Material (ESM)). We assemble a set of cuboid proxies, one fitted to each individual part. The user then annotates high-level relations between these cuboids. The relations are of three kinds: joint type relations (e.g., hinge, sliding), functional relations (e.g., covers, fits inside, supports, flush, connects with) [7], and geometric relations (e.g., equal size, symmetry).

Given the user annotated relations, in a key step, our method recovers each cuboid's orientation, position, and size along with the joint parameters using a combined optimization approach. We use a combined optimization strategy because the cuboid parameters are always coupled with the joint parameters: given a set of joints, the cuboid geometry must be adjusted to satisfy the functional constraints.

Optimization is done using a two-stage sampling strategy. In the first stage, our algorithm samples possible cuboid edges as joint candidates [18] for the specified joint type. Given one set of possible joint candidates, the cuboid orientation is aligned and the cuboid position is refined, by adjusting the corresponding joint edges. We assume that the joint must be snapped to the nearest cuboid face and be parallel to the nearest cuboid edge (as in Ref. [7]).

Having found one set of adjusted joints with cuboid orientations and positions, our method further samples a set of possible candidate rest



**Fig. 2** Algorithmic pipeline. Given the input RGBD image (left), our system generates initial proxy cuboids (mid-left) from parts segmented by the user with strokes or polygon tools. The user then annotates a set of high-level relations between the proxies including joint types and geometric/functional relations (mid-right). Finally our system simultaneously optimizes joint parameters (axis and position) and part parameters (orientation, position, and size) to obtain a functional prototype with parts moving as the user expects (right).

configurations for the cuboids. A rest configuration is a state where the object is in a *closed* state [7]. Because the cuboid size has not yet been definitively determined, the system does not know which state is the *closed* state. Thus we sample possible candidates for the rest configurations, as shown in Fig. 6. For each possible rest configuration, we optimize the cuboid size parameters according to the user annotated functional/geometric relations as in Ref. [7]. Finally, the optimized cuboids which best match the initial point cloud are selected, and the best prototype with best joint and cuboid parameters is produced. We next describe the algorithm in detail.

## 4 Algorithm

Our method takes as input an RGBD image of a functional object. By *functional* we mean objects having particular moving parts, such as a door which opens by rotating, a sliding drawer, etc. Such objects are very commonly seen in our daily life, for instance, rolling chairs, folding tables, printers, seesaws, etc.

**Initial cuboid generation.** Given the input RGBD image, our first task is to anchor the object's functional parts. Automatically identifying image objects and object parts in RGBD images has been explored in recent works, but without prior knowledge, such methods do not yet work well enough for our purposes. We resort to an interactive solution. As in Ref. [3], we let the user scribble on the image object to specify object parts. In particular, we allow the user to draw free strokes over parts to indicate a segment (part). We apply a depth-augmented GrabCut algorithm [3] to the underlying point cloud along with its pixel and adjacency information. Optionally, if the color and depth are too similar, making it difficult to separate the parts with GrabCut, the user may use a polygon tool as in PhotoShop to perform segmentation. We then run the *efficient RANSAC* algorithm [26] on the segmented points to generate candidate planes. The largest plane is selected as the primary plane, and the second largest plane is made orthogonal to the primary one. We extract initial cuboids determined by these orthogonal directions (the third direction is the cross product of the two plane normals). Figure 3 illustrates the process

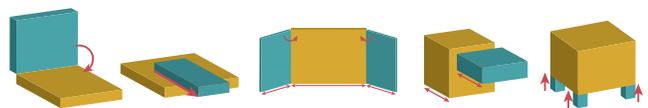


**Fig. 3** Initial proxy generation. The user is allowed to scribble strokes on the image (left); based on the scribbles, depth-augmented GrabCut is applied to segment the input object into different parts (middle). Initial cuboids are then fitted to the corresponding points (right).

of generating the initial cuboids. Note that the generated cuboid may have erroneous orientation, position, and size. In subsequent steps, our goal is to simultaneously optimize these parameters along with the joint parameters so that the extracted cuboids form a prototype whose functionality closely follows the image object.

**Relation annotation.** Let the set of initial cuboids be  $(B_1, \dots, B_N)$ . An important first step is for the user to annotate the high-level relations between the cuboids. To this end, we define three categories of relations. Category I comprises joint relations (e.g., A has a hinge relation w.r.t. B). Category II comprises functional relations (e.g., A covers B), while Category III comprises geometric relations (e.g., symmetry, equal size). In each case, the user selects a pair of cuboids and then indicates the relationship.

To further classify the relations, we define two main types of joint relations: *hinges* and *sliding joints*. For functional relations, following Ref. [7], we define the following function types: *A covers B*, *A fits inside B*, *A supports B*, *A is flush with B*, and *A is connected to B*. The main geometric relations are *symmetry* and *equal size*. These relations impose different geometric constraints during the following optimization stage. Some relations might be dependent on each other; for example, if both A and C cover B, A is geometrically constrained w.r.t. B and C. Figure 4 shows the joint types and some



**Fig. 4** User annotated joint types and some typical functional relations. From left to right: hinge joint, sliding joint, exactly covers, just fits, and supports.

typical types of functional relations. Note that unlike Ref. [7], we do not need to explicitly specify joint positions and axes as well as cuboid orientations and positions. Instead, we optimize these parameters in a combined manner.

**Combined optimization of cuboids and joints.** We now detail our cuboid optimization algorithm. Our goal is to simultaneously optimize the cuboids' orientations and shape parameters (i.e., positions and sizes), as well as the detailed joint parameters, in accordance with the user annotated relations. The optimized cuboid configuration should deviate little from the input point cloud and move as the user expects. Given the input point cloud  $I$  and initial cuboids  $\mathcal{B} = (B_1, \dots, B_N)$ , along with the user annotated joint types  $\mathcal{J} = (J_1, \dots, J_M)$ , functional relations  $\mathcal{F} = (F_1, \dots, F_P)$ , and geometric relations  $\mathcal{G} = (G_1, \dots, G_Q)$ , we want to obtain the best joint parameters  $\Theta^* = (\Theta_1^*, \dots, \Theta_M^*)$  for the joint types  $\mathcal{J}$  along with the best cuboids  $\mathcal{B}^* = (B_1^*, \dots, B_N^*)$ , satisfying the functional relations  $\mathcal{F}$  and geometric relations  $\mathcal{G}$ . We do so using the formulation:

$$\operatorname{argmin}_{\mathcal{B}, \Theta} E(\mathcal{B}, \Theta, I) \quad \text{s.t.} \quad \mathcal{B}, \Theta \text{ satisfy } \mathcal{J}, \mathcal{F}, \mathcal{G} \quad (1)$$

Here  $E(\mathcal{B}, \Theta, I)$  measures the deviation of the optimized cuboid configuration from the input point cloud, defined as

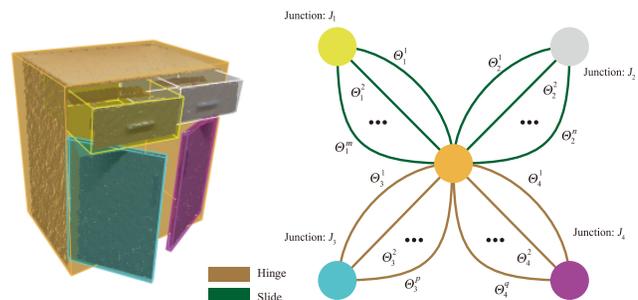
$$E(\mathcal{B}, \Theta, I) = \sum_j \sum_k \operatorname{dist}(B_j - p_j^k) \quad (2)$$

where  $\sum_k \operatorname{dist}(B_j - p_j^k)$  measures the deviation of cuboid  $B_j$  from its associated points  $p_j^k$ .

The challenge is how to enforce the annotated relations as geometric constraints while ensuring the cuboids respect the input point cloud. Since the annotated relations are high-level specifications, this leads to a large optimization search space due to the potential ambiguities arising from the rather general annotations. Another challenge is that the cuboid parameters are highly coupled with the joint parameters. That is, given a set of joints, the cuboid geometry should change accordingly to satisfy the functional constraints. Thus we cannot optimize the parameters locally and separately, but must instead do so in a global manner. To solve the above challenges, we use a multi-stage optimization paradigm which first populates the solution space with a two-step sampling algorithm, and then jointly optimize the cuboid parameters and joint parameters.

In the first stage, we sample possible joint parameters, i.e., axial position and orientation. Let us denote the set of joint types as  $(J_1, \dots, J_M)$ , and the parameters we wish to estimate as  $(\Theta_1, \dots, \Theta_M)$ . We start by building a joint configuration graph. For each cuboid we create a graph node and for each joint type  $J_i$ , we create multiple graph connections, with each connection associated with a candidate parameter  $\Theta_i^l$  for  $J_i$ . If A forms a hinge relation with B, each cuboid edge of A can be a candidate hinge axis. We choose only those cuboid edges which are close to B. More specially, we only choose the edges parallel to the face if there is also a *cover* relation, and only choose the edges perpendicular to the face if there is a *fit inside* or *support* relation. This leads to a configuration graph where any traversal path of the graph represents a possible configuration of joints. Figure 5 shows such a graph. Algorithm 1 gives the pseudo-code for building the graph.

Given the joint configuration graph, for each joint configuration we optimize each cuboid's orientation, position, and size based on annotated functional/geometric relations. The cuboid's orientation and position are firstly adjusted based on the current candidate joint configuration, by adjusting the corresponding joint edges. We assume that the joint must be snapped to the nearest cuboid face and be parallel to the nearest cuboid edge (following Ref. [7]). Then we optimize the cuboid's size to satisfy the functional/geometric relations given by the current joint configuration. Note that the functional relations typically indicate the geometry of the cuboids in a *closed* configuration (i.e., a rest configuration [7]). For instance, if A covers B, this typically means that one face of A is rotated about the hinge joint to be in close



**Fig. 5** Junction configuration graph. Each cuboid shown corresponds to a node with the same color. Each annotated joint type corresponds to multiple connections between nodes. One connection is associated with one candidate joint parameter.

**Algorithm 1:** Build the junction configuration graph

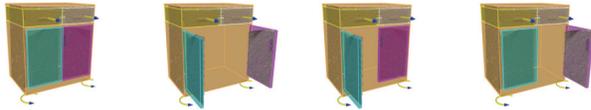
**Input:**  $N$  initial cuboids  $(B_1, \dots, B_N)$ ;  $M$  junctions  $(J_1, \dots, J_M)$  with unknown parameters  $(\Theta_1, \dots, \Theta_M)$ .

**Output:** Multi-connection junction graph  $G := (V, E)$  in which each edge  $e_i^j$  corresponds to a parameter  $\Theta_i^j$  for  $J_i$ .

```

 $G \leftarrow \emptyset$ 
for  $i = 1$  to  $N$  do
   $V_i \leftarrow B_i$ 
end for
/* Build multi-connections between nodes */
for  $i = 1$  to  $M$  do
   $B_c \leftarrow$  child cuboid of  $J_i$ 
   $B_p \leftarrow$  parent cuboid of  $J_i$ 
   $l \leftarrow 1$ 
  /* Test each edge of the child cuboid */
  for  $j = 1$  to 12 do
     $E_j \leftarrow$   $j$ -th edge of  $B_c$ 
     $D_j \leftarrow$  direction of  $E_j$ 
     $C_j \leftarrow$  center of  $E_j$ 
    for  $k = 1$  to 6 do
       $F_k \leftarrow$   $k$ -th face of  $B_p$ 
       $N_k \leftarrow$  normal of  $F_k$ 
      if  $\text{dist}(E_j, F_k) < \epsilon_d$  and  $(\text{abs}(D_j \cdot N_k) < \epsilon_a$  or
         $\text{abs}((D_j \cdot N_k) - 1) < \epsilon_a)$  then
         $\Theta_i^l \leftarrow (C_j, D_j)$  // set candidate parameter for  $J_i$ 
         $e_i^l \leftarrow \Theta_i^l$  // add a connection  $e_i^l$ 
         $l \leftarrow l + 1$ 
      end if
    end for
  end for
end for

```



**Fig. 6** Possible rest poses for the hinge joints. As we do not know which face of the cabinet door covers the cabinet, we rotate the hinge joints to sample a set of rest configurations to suggest possible covering faces.

agreement with a face of  $B$  (Fig. 6). Since we do not know which face covers  $B$ , we enumerate the possible cuboid faces to sample a set of rest configurations (see Fig. 6) and for each rest configuration we optimize the cuboid parameters. Specifically, given a rest configuration of cuboids, we employ the optimization strategy in Ref. [7] to optimize the cuboid parameters  $(B_1^*, \dots, B_N^*)$ . We then compute the optimization cost from Eq. (2). Finally, the configuration which best matches the point cloud is selected as the chosen configuration and the optimized cuboids are then computed. The overall algorithm is detailed in Algorithm 2.

## 5 Results

We show use of our system to recover functionality

**Algorithm 2:** Optimize cuboids and junctions

**Input:** Input point cloud  $I$ ;  $N$  initial cuboids  $\mathcal{B} = (B_1, \dots, B_N)$ ; junction configuration graph  $G$ ; functional relations  $\mathcal{F}$ ; geometric relations  $\mathcal{G}$ .

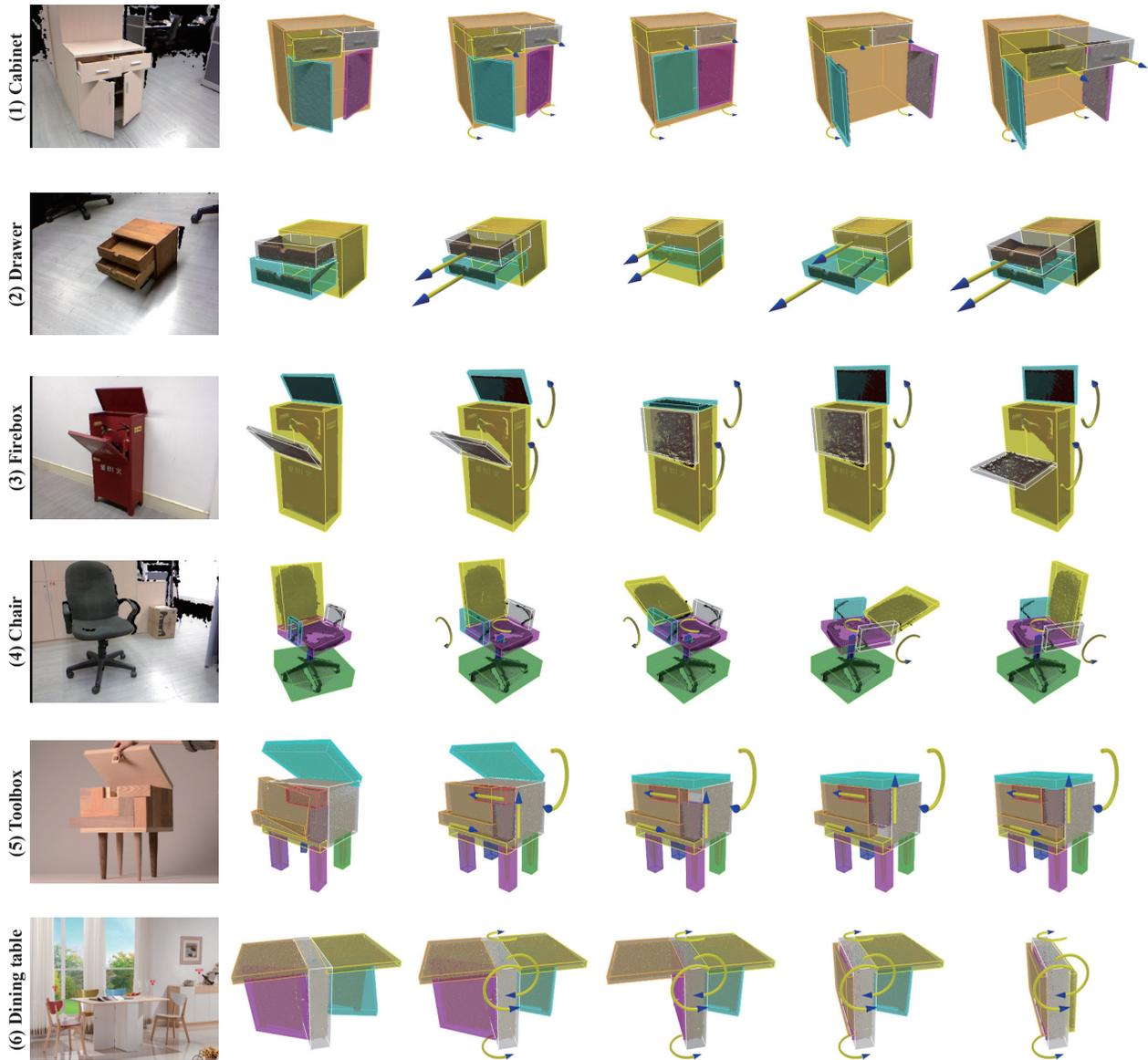
**Output:**  $N$  optimized cuboids  $\mathcal{B}^* = (B_1^*, \dots, B_N^*)$ ;  $M$  optimized junction parameters  $\Theta^* = (\Theta_1^*, \dots, \Theta_M^*)$ .

```

/* Sample candidate junction parameters from  $G$  and accordingly
optimize cuboid orientation, position and size */
 $err \leftarrow INF$  // deviation of cuboids from input point cloud
while true do
  Gather a connection combination  $(e_1^k, \dots, e_M^l)$  from  $G$ 
  if no more connection combinations then
    break
  end if
  Create junctions with parameters  $\Theta' = (\Theta_1^k, \dots, \Theta_M^l)$  from
   $(e_1^k, \dots, e_M^l)$ 
  adjust the cuboids positions and orientations by snapping the
  junction edges
  /* Calculate possible angles for rest configurations */
  for  $i = 1$  to  $M$  do
    calculate candidate angles  $(\alpha_i^1, \dots, \alpha_i^w)$  to parallelize parent
    and child
  end for
  /* Sample possible rest configurations */
  while true do
    Gather an angle combination  $(\alpha_1^u, \dots, \alpha_M^v)$ 
    if no more angle combinations then
      break
    end if
    Transform to rest configuration with  $(\alpha_1^u, \dots, \alpha_M^v)$ 
    Optimize the cuboids sizes to satisfy  $\mathcal{F}$  and  $\mathcal{G}$ , giving a
    solution  $\mathcal{B}' = (B_1', \dots, B_N')$ 
    if  $E(\mathcal{B}', \Theta', I) < err$  then
       $err \leftarrow E(\mathcal{B}', \Theta', I)$ 
       $(B_1^*, \dots, B_N^*) \leftarrow (B_1', \dots, B_N')$ 
       $(\Theta_1^*, \dots, \Theta_M^*) \leftarrow (\Theta_1^k, \dots, \Theta_M^l)$ 
    end if
  end while
end while

```

prototypes for 6 different objects (see Fig. 7). The first 4 examples (cabinet, drawer, firebox, and chair) are real RGBD images captured with Microsoft Kinect, while the last 2 examples (toolbox and dining table) are synthetic depth data generated from existing 3D designs. See the accompanying video in the ESM for how the various parts move and fit together. Creating a single functional prototype takes 30 to 300s for these examples. The time for user interaction (segmenting points with strokes and specifying part relationships, plus the waiting time for plane detection for initial cuboid generation) ranges from 27 to 108s, while the optimization time varies greatly, from 1 to 241s, depending on the size of the sampling space for joint parameters and rest poses. Experimental statistics (including the numbers of annotated hinge joints, sliding joints, functional relations, and geometric relations) are listed in Table 1.



**Fig. 7** Experimental results. From left to right: the input RGBD image, initial cuboids, optimized cuboids and joints, and how parts move and fit after the optimization (3 configurations).

**Table 1** Statistics for examples: numbers of hinge and sliding joints, numbers of functional and geometric constraints, and time taken for interaction and optimization

| Model        | Hinge | Slide | Funct. | Geom. | Interact | Optimize |
|--------------|-------|-------|--------|-------|----------|----------|
| Cabinet      | 2     | 2     | 4      | 2     | 62 s     | 18 s     |
| Drawer       | 0     | 2     | 2      | 1     | 29 s     | 1 s      |
| Firebox      | 2     | 0     | 2      | 0     | 27 s     | 16 s     |
| Chair        | 2     | 0     | 3      | 0     | 90 s     | 2 s      |
| Toolbox      | 1     | 3     | 6      | 0     | 108 s    | 3 s      |
| Dining table | 4     | 0     | 6      | 2     | 55 s     | 241 s    |

As shown in Fig. 2, although the geometry of our prototypes may appear simple, the relationships between the moving parts are often complex. Manually adjusting the geometry and relation

parameters would be very time and labor consuming. Our system automatically infers the joint parameters (position and axis) and the geometry parameters (size, position, and orientation) by optimizing them simultaneously under the user annotated high-level constraints. Satisfactory part parameters and joint parameters were obtained in all our experiments. For example, in Fig. 7(1), our algorithm automatically places the hinge joints on the correct edges of the cabinet doors, adjusts their orientations appropriately by aligning the hinge joints to the nearest cabinet face, and makes them parallel to the nearest cabinet edges. The sizes of the doors are also optimized to be of equal size and cover the

cabinet. The drawers in Figs. 7(1) and 7(2) have the desired orientations with their sliding joints aligned with the cabinet, and have sizes which properly fit inside the cabinet and are equal. In Fig. 7(3), the lid and the front door are both optimized to just cover the firebox. In the chair example (Fig. 7(4)), due to occlusion, the initial cuboids for the leg and the armrest have smaller sizes than they should, but our algorithm successfully extends the leg to support the seat, and extends the armrests to connect with the back. Similarly, the occluded leg in Fig. 7(5) is extended to support the box and has the same size as the other legs. In Fig. 7(6), the orientation and size of the two doors are optimized to support the table top, and the orientation of the top is optimized to be horizontal.

**User study.** To further evaluate whether our approach can recover correct functional prototypes, we showed our system to 20 students. 5 were computer science undergraduates; 4 students were master candidates in industrial design. The others were 8 master candidates and 3 Ph.D. candidates in computer science. We showed them the captured RGBD images and asked them to imagine how the objects work. These students then used our system to add annotations to the pre-generated initial cuboids based on their imagination. All students reported that our system successfully recovered functional prototypes in which the parts moved as they expected. Furthermore, the optimized part

geometry also met their expectations. One exception was that 6 students said they imagined the hinge joint on the cabinet door in Fig. 7(1) to be exactly at the edge of the cabinet, while our optimization did not consider it to be the best location.

**Comparison with real objects and 3D design models.** We also checked the recovered prototypes against the captured real objects and 3D design models. As illustrated in the top 2 rows in Fig. 8, the generated prototypes have similar functionality to the real objects, and have parts which after movement give almost the same configurations as the real ones. Furthermore, the optimized simple cuboids approximate the real geometry well, with almost the same size, orientation, and position. We also compared our recovered prototypes with 3D design models whose joints were added and adjusted manually in Autodesk 3DS Max (bottom row in Fig. 8). We can see the prototypes recovered from the user's high-level annotations have very similar functionality to the manually designed models.

## 6 Conclusions

In this work, we have presented a novel approach to recovering a functional prototype from a user's high-level annotations of relationships. After indicating joint types and other functional/geometric relations, the joint parameters and part geometry parameters are simultaneously optimized. This interface allows



**Fig. 8** Top two rows: comparison with input data; bottom row: comparison with the 3D design model. Our system faithfully recovers the functionality the user expects.

users to focus on the functionality of the target object rather than working with low-level geometry and joint parameters. The results demonstrate that our system can generate functional models from a small number of user annotations. In the user study, the recovered prototypes worked correctly, as users expected. The comparison between the real objects and 3D design models further demonstrates the feasibility of our system.

**Limitations and future work.** The main limitation of our approach is that we use cuboids as proxies to approximate part geometry. While compositions of cuboids are sufficient for representing the functionality of many products, users often prefer higher fidelity geometry to better understand the geometry and relationships. Rough proxies may also cause inaccurate reconstruction. Similarly, the restricted set of joint types is another limitation. In the future, we will add other primitives for part proxies, such as cylinders and spheres. We also plan to integrate more joint types between parts, such as ball joints and simple mechanical links. The current optimization framework may need to be modified to handle more geometry and joint types. Another future direction is to consider other high-level functional constraints between parts. Exploring further high-level relationships could allow more sophisticated functional models.

**Electronic Supplementary Material** Supplementary material is available in the online version of this article at <http://dx.doi.org/10.1007/s41095-016-0032-x>.

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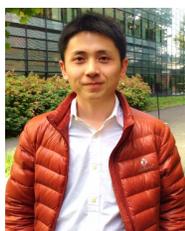
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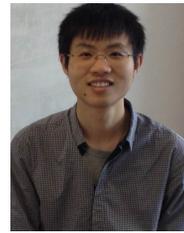
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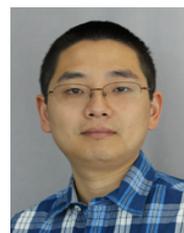


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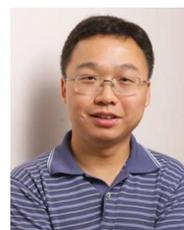
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