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Advances toward diagnostic tools for managing zoonotic visceral leishmaniasis

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Running title: Detecting *L. infantum* infection in dogs

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Glossary:

visceral leishmaniasis: the vector-borne disease caused by infection with either *Leishmania donovani* or *L. infantum*

asymptomatic: infection in the absence of clinical signs or symptoms

super-spreader: infected dogs, or humans, that make a disproportionately high contribution to ongoing parasite transmission to the intermediate sand fly host

rK39: the gold standard recombinant, chimeric polyprotein rK39 used to confirm VL

DPP CVL: Dual-Path Platform technology (**DPP®CVL** rapid test) for the serodiagnosis of canine visceral. A lateral flow-based test that detects canine IgG antibodies against the rK28 antigen.

Abstract

Visceral leishmaniasis (VL) is a life-threatening outcome of *Leishmania infantum* or *L. donovani* infection. Dogs are the primary domestic reservoir of *L. infantum* parasites and ownership of infected dogs increases the risk of human VL. Controlling infection within dog populations is regarded as critical to VL management in endemic countries, both preventing progression of canine disease and limiting parasite transmission to humans and dogs. Here we discuss various strategies that are used to diagnose canine visceral leishmaniasis (CVL) and the possibilities of adapting these for use within population screening and control programs. In addition, given the variable transmissibility of *L. infantum* to the sand fly vector, we outline some possibilities for the preferential identification of “super-spreader” dogs among the overall infected population.

***Leishmania* infection and visceral leishmaniasis**

Visceral leishmaniasis (VL) is a vector-borne disease characterized by prolonged fever, wasting, splenomegaly, and hepatomegaly, resulting in >90% case-fatality within two years in the absence of treatment [1]. Estimates of the number of new human VL (HVL) cases per year range from 25,000 to 200,000 accounting for underreporting [2, 3], and although therapeutic drug options are available, the control portfolio to prevent transmission are limited. VL due to *Leishmania donovani* is considered anthroponotic, with likely zoonotic transmission in East Africa, whereas VL due to *L. infantum* is considered a zoonosis reliant on domestic dogs as the sole proven reservoir [4, 5] (Figure 1). *L. infantum* infection of dogs can lead to canine VL (CVL), a multisystemic disease with a range of clinical signs typically including dermatitis, lymphadenomegaly, general muscular atrophy, and renal disease [6].

Anthroponotic VL has been targeted for elimination as a public health problem (defined as an incidence of <1 VL case/10,000 people per year in specified geographical scales) in the Indian subcontinent by 2020 [7]. Substantial investments and political advocacy have been used to implement novel case detection strategies, rapid diagnostic testing and vector control activities [1, 7]. In contrast, despite the large burdens typically in young children, efforts to reduce zoonotic VL (ZVL) in endemic regions of Latin America, East Africa, and central Asia are less advanced [3]. The zoonotic nature of *L. infantum* transmission suggests that controlling infection within dog populations, is a reasonable strategy to reduce infection rates in humans [8, 9]. The majority of ZVL cases in the Americas occur in Brazil [3], where intervening measures of indoor residual spraying (IRS) and dog test-and-slaughter are national policies [10]. The test-and-slaughter policy has, however, been legally challenged in some Brazilian states with the outcome that treatment is now permitted. There is, however, a lack of evidence that these strategies have significantly reduced canine or human ZVL incidence [11, 12], and alternative or complimentary control methods are presently under evaluation [13-15]. Preventative measures against canine infection or disease include the use of topical or oral insecticides, and canine anti-*Leishmania* vaccination, that are shown to provide varying levels of protection to individual dogs [16]. That any canine control option corresponds to a reduction in human infection still requires well designed randomized field trials [17].

Given that many more **asymptomatic** than symptomatic canine (and human) *Leishmania* infections are identified in cross-sectional surveys, surveillance for both infection and disease are essential for the future success of control programs [6]. In this article, we discuss various diagnostic strategies, with emphasis on current and new developments in antigen-based serological diagnostic tests, their applications, and performance requirements for different settings. In doing so, we identify current issues and research priorities.

Diagnosing infection and CVL

The clinical signs of CVL are quite general and therefore not particularly useful for detecting symptomatic infection or differentiating *Leishmania* from other etiologies [16]. Numerous tools are, however, available to aid the diagnosis of both canine and human infection, covering an array of direct and indirect detection methods (Figure 2). Direct methods include xenodiagnosis and parasite observance in *ex vivo* cultures, or recognition of amastigotes following biopsy or microscopy. Parasite genome equivalents can be detected by molecular DNA-based techniques. In clinical settings, confirmation of cure requires demonstrating absence of tissue parasites, which depends on the sensitivity of methods and sample type to detect low-level parasite burdens. *Leishmania* burdens generally decline during treatment [18-20], although residual parasites were detected in up to 50% of human patients that were considered cured based on the resolution of symptoms [19, 21]. Similarly, alleviation of symptoms and decreased parasite loads are considered indicators of treatment success in CVL patients, though complete parasitological clearance is often not achieved (reviewed by [22]). Some diagnostic techniques used routinely in research laboratories are not suited for widespread use in field settings. For example, PCR/qPCR requires nucleic acid extraction, amplification in a thermocycler and gel-based analyses to demonstrate specific gene expression, each step requiring specialist technical conditions; it may require invasive sample collection and is generally costly. Point-of-care assays such as loop-mediated isothermal amplification (LAMP) and nanoparticle-based lateral flow biosensors for DNA are being developed to address some of these issues [23-28]. The commercially available *Leishmania* OligoC-Test kit that incorporates standardized PCR reagents with rapid oligochromatographic

dipstick detection of the PCR products provides greater sensitivity for polysymptomatic and oligosymptomatic dogs than for asymptomatic dogs, a trend observed for various other qualitative PCR methods [29]. Real-time qPCR typically provides the highest sensitivity. Among the recent advances towards development of a field applicable PCR type test for CVL, is a combined, duplex qPCR protocol that detects *L. infantum* kinetoplast (k)DNA simultaneously with host (canine 18S) rRNA in a single tube, in a ready-to-use (gelified and freezer-free) format [30]. Duplexing of these qPCR protocols permits generation of quality-controlled results with reduced overall cost relative to running each assay separately. Higher test sensitivities (e.g. 92.9%) were achieved using splenic aspirates than skin or blood samples (50% and 35.7%, respectively) but relies on invasive sampling [30]. Similarly, an in-clinic point-of-care PCR for the diagnosis of canine *Leishmania* infection (PCRrun; Biogal Galed Labs ACS) provided reliable confirmation of CVL infection, but was constrained by an inverse correlation between assay sensitivity and simplicity of sample collection (ranging from 58.8% in lymph node aspirates to 10.0% in blood) [31].

Despite molecular advances, indirect approaches centered on immune responses to *Leishmania* antigens still provide the most tangible possibilities to support CVL and HVL diagnosis in low resource settings. While dogs resistant to developing CVL typically have undetectable or low, often transient, anti-*Leishmania* antibody responses, non-resistant dogs that develop signs of CVL typically have high serum antibody titers that positively correlate with tissue parasite density and clinical severity [32-34]. Therefore, antibody-based test sensitivities are unlikely to be similar for asymptomatic and symptomatic infections [35]. High initial antibody titers against crude *Leishmania* antigen (CLA) are associated with increased risk of developing clinical signs [36], and positive rk39 rapid diagnostic test (RDT) results are strongly associated with high infection levels and disease progression [35]. In humans, high anti-*Leishmania* antibody levels during the asymptomatic infection phases are similarly indicative of likely progression to VL: in India, 12% of individuals with direct agglutination test (DAT) titers >25,600 subsequently developed clinical disease, compared to only 1% of those with low but positive DAT results [37]. Likewise, in Bangladesh 29% of strongly rk39-seropositive individuals at study intake progressed

to VL compared to less than 4% of low seropositive or seronegative individuals [38]. Comparable estimates using alternative antibody threshold definitions were reported by others [37].

Diagnostic tests require different attributes dependent upon the particular application. High sensitivity is required to prevent inadvertent introduction of infected human or canine blood into blood banks; to monitor success of treatment/intervention of either HVL or CVL; to detect spread of *Leishmania*-infected dogs across international borders, and for epidemiological studies or trials to differentiate infected and non-infected individuals in the population. Refinement from the use of crude *Leishmania* extracts to purified recombinant antigens includes several recombinant antigen-based immunoassays, foremost among these, are platforms based on the chimeric polyprotein **rK39** used to confirm HVL and CVL infection [39-41]. The incorporation of rK39 into lateral flow-based rapid tests (Kalazar Detect™, InBios International Inc., Seattle, WA) provided a simple field-friendly format capable of providing diagnosis of HVL at the point of care [42, 43]. Modifications of the rK39 antigen yielded a next generation rK28 RDT-based rapid diagnostic test for enhanced diagnosis of HVL in Africa (*Onsite Leishmania Ab Combo Rapid Test*, CTK Biotech, San Diego, CA) [44-46]. A dog-specific variant of this, DPP® CVL (Chembio Diagnostics, Medford, NJ), retains high performance [47-49]. and is now established within the diagnostic recommendations of the Brazilian Ministry of Health. Removal of the laboratory requirements associated with ELISA render these lateral flow-based tests applicable in a wider array of settings.

New antigen targets and refinements to provide further incremental improvements in the diagnostic performance of antibody-detection tests are being proposed. For example, the use of recombinant protein rLc36 in ELISA enabled differentiation of positive and negative sera and showed a sensitivity of 85% and specificity of 71% [50]. Combinatorial approaches can enhance sensitivity, while continued screening of genomic expression libraries or adapted bioinformatics searches are providing new hits. Indeed, combining rKLO8 and rK26 increased sensitivity and specificity (85% and 93%, respectively) over the levels achieved by either antigen alone (sensitivities and specificities of 68% and 92% for KLO8, and of 77% and 91% for rK26, respectively) [51]. Following selection from a *L. infantum* amastigote cDNA library, rLci1A and rLci2B were combined and incorporated into a prototype rLci1A/rLci2B dual path platform rapid

test. Evaluation of 154 sera from CVL dogs across endemic regions in Brazil yielded performance specifications comparable to those attained with DPP® CVL (sensitivity of 87% and specificity of 100% for rLci1A/rLci2B DPP versus 88% sensitivity and 97% specificity for DPP® CVL, respectively) [52]. Immunoproteomics identified LiHyD, a hypothetical protein, that yielded perfect (100%) sensitivity and specificity when tested by ELISA against sera from 44 CVL and 9 asymptomatic *L. infantum*-infected dogs [53]. In terms of detecting disease, novel protein-coding gene fragments that had not previously been studied were used to generate polyproteins that in serum ELISA demonstrated better performance to detect CVL than HVL: no effective detection of HVL (26-52% sensitivity) was demonstrated whereas 48-91% of CVL dogs were detected. These three antigens performed better than rK39 in this particular evaluation panel of 46 CVL sera [54].

In addition to novel targets, practical advancements are being sought. As mentioned, lateral flow-based tests can be used in a wide array of settings and prototype rLci1A/rLci2B DPP have been generated [52]. Removal of the laboratory requirements expands applicability and other examples fulfill this criterion. Covalent coupling of a k28-like protein onto polystyrene latex to provide a substrate for antibody binding yielded an agglutination test capable of producing visual results within 5 minutes, with sensitivity, specificity and diagnostic accuracy of 78%; 100% and over 80%, respectively [55]. Besides formatting, adjustments (or simply alternative use) to generate results from other body fluids are also being examined. Anti-rK28 antibodies are readily detectable in the urine of HVL patients, with performance only marginally lower than that obtained with serum [56]. It is likely that similar results would be achieved from infected canine urine. Saliva presents another easy way to collect alternative analyte, and a time-resolved immunofluorometric assay (TR-IFMA) quantifying anti-rK39 IgG2 antibodies indicated that greater discrimination between seropositive and seronegative dogs was achieved using saliva than serum [57].

Detecting *Leishmania* infection in surveillance and control programs

VL surveillance programs and epidemiological studies that aim to detect *Leishmania*

infection pertinent to either HVL or CVL control require tests with high sensitivity. Under specific conditions, such as reservoir control programs that deploy a test-and-slaughter policy, low compliance by dog owners fearing the potential removal of their apparently healthy but seropositive dogs has a major impact on program success. Thus, high specificity to differentiate clinically suspect cases from other etiological agents is of paramount importance, as is the need for high sensitivity to detect asymptomatic infections. In the advent of treatment of CVL, prompt and specific differential diagnosis is required by veterinary clinics to indicate *Leishmania* chemotherapy. On the other hand, for prevention of canine infection, high test sensitivity and specificity to confirm lack of *Leishmania* infection are required prior to application of preventative topical or oral insecticides, or canine vaccination.

For both humans and dogs, tissue parasite numbers are generally low in asymptomatic *L. donovani* or *L. infantum* infections [58]. An Indian study recorded an average 500-fold lower blood parasite loads in asymptomatic *L. donovani*-infected individuals than in HVL patients [59], but indicated that asymptomatic individuals with higher parasite loads were at increased risk of developing VL [60]. The most sensitive technique for diagnosis of infection in dogs is qPCR, with qPCR results often, but not always, becoming positive in early infection before seroconversion occurs [34, 61]. Comparative evaluations indicate that seroprevalence may be below 30% in dog populations where prevalence of DNA detection by PCR can be as high as 80% [6, 62, 63]. Comparison between foci and tests is difficult but annual incidence estimates in Europe appear to vary greatly (between 40-92%) [61, 64, 65]. Test standardization for surveillance would help in data interpretation, but detection of blood or tissue parasites by PCR/qPCR is currently not operational within population-based surveillance programs for reasons discussed above.

Tests detecting immune responses appear more tenable for larger surveillance programs than many other current methods. Dogs resistant to developing CVL exhibit protective immunity mediated by CD4+ T helper 1 cell responses with prominent expression of IFN- γ and IL-4 cytokines in association with Th2 regulatory responses [32]. Among immune-based assays for HVL, *Leishmania*-specific T cell recall tests have been developed that range from the leishmanin skin test, which induces an *in vivo* delayed type hypersensitivity (DTH) response [66, 67], to *ex vivo* assays measuring secretion of cytokines into stimulated blood [68, 69].

Compared to cell-based assays, serological antibody-detection tests, including indirect fluorescent antibody test (IFAT), direct agglutination test (DAT) and ELISA, are relatively cheap and, although generally more sensitive at detecting symptomatic than asymptomatic infections [70], their durability and practicality is considered an advantage particularly for low resource settings. Simple strategies can also render **DPP CVL** semi-quantitative, providing a more detailed diagnostic assessment and potentially a broader use in monitoring [71]. Truly asymptomatic infections usually revert to seronegative and/or low to moderate titers within months of infection and accurate quantification of responses is important in capturing this [72].

The Brazilian Ministry of Health changed the diagnostic protocol for CVL in December 2011, with the current protocol reducing false-positive results relative to the previous protocol. A key action within the program has traditionally included euthanasia of all *Leishmania* seropositive or confirmed infected dogs, and not only those that displayed clinical signs. This has, however, been legally challenged in several states with the courts generally preferring the option of treatment similar to the widely accepted practice in Europe. The current criteria of canine infection requires firstly a positive result in DPP CVL, followed by another positive result in a confirmatory ELISA using soluble antigens of *L. infantum* promastigotes. Recent studies have demonstrated that adjusting the sequence of testing, from that used in the current protocol (DDP followed by ELISA) to the reverse order (ELISA followed by DDP), did not cause a significant alteration in the final number of infected dogs detected [73, 74]. Sensitivity and specificity were, respectively, 82.3% and 92.8% for DDP, and 85% and 92.3% for ELISA [74]. However, conflicting test results lead to interpretation issues, which is perhaps inevitable as the tests target different antigens and have variable levels of sensitivity and specificity. Based on the surveillance protocol, of 1130 dogs first examined by DPP CVL under field conditions in Minas Gerais, then confirmed by lab-based ELISA evaluation, seroprevalence was assessed at 7.8% (8/101). This rate was slightly lower than the 8.9% (101/1130) that could be attributed by a positive result in either the DPP CVL test or ELISA (in addition to a lab-based DPP CVL) [75]. It was noteworthy, but perhaps not too surprising, that in animals initially positive by DDP CVL, seroconversion was more frequent in dogs that in follow-up testing were ELISA indeterminate, compared to those that were clearly ELISA negative. In another study surveying 975 dogs with

DPP, ELISA and qPCR, almost 1 in 5 (174/887; 19.6%) of the dogs that were negative in DPP CVL tested positive by qPCR of either blood or lymph node aspirates [76]. Further evaluation in a second cohort of the DPP negative dogs revealed that almost half (36/79; 45.6%) were positively detected in qPCR of at least one of their blood, lymph node or conjunctival swab samples that were collected.

Identifying infectious individuals as a means toward controlling transmission

A major question for HVL control efforts on the Indian subcontinent is whether people with asymptomatic infection are sufficiently infectious to sand flies to represent a significant reservoir for *L. donovani* [77]. While it has been demonstrated that HVL and post kala-azar dermal leishmaniasis (PKDL) patients can be highly infectious [4, 78], the epidemiological significance of asymptomatic *L. donovani* infection in transmission remains unclear. Given that there appear to be between 4- to 17-fold more asymptomatic individuals than HVL patients, some believe that even if a subset of asymptomatic individuals are infectious at a very low level, the asymptomatic population can make a critical contribution to ongoing transmission [72, 79-83]. By cross-sectional study, parasites have been detected in human blood in up to 58% of asymptomatic infections with *L. infantum* [19, 58, 84] and *L. donovani* [59, 85]. Large variance also occurs in tissue parasite loads. Fourteen percent of 4695 asymptomatic Ethiopians were qPCR-positive in blood, of which 3.2% had high genome equivalent counts [85], suggesting that a small proportion of individuals may have a disproportionate role in onward transmission. In longitudinal studies, 83% (80/97) of asymptomatic *L. infantum*-infected individuals living in an active transmission region of Brazil tested PCR-positive during 6 years follow-up [86].

In the case of zoonotic transmission, whilst it is clear that both asymptomatic and symptomatic dogs can infect vectors such as *Lu. longipalpis*, their relative roles in maintaining endemic transmission is best explored by mathematical modelling [5, 87-91]. Meta-analysis of the relatively few canine xenodiagnosis studies [35] indicate that the proportion of symptomatic dogs that are infectious is generally high (80%), and that this proportion may be higher in Europe than in Latin America (86% versus 45%). The meta-analysis also indicated that

29% of dogs reported as asymptomatic were also infectious, although the definition of true asymptomatic infection requires careful clinical, biochemical and hematological classification during infection development. While some longitudinally followed dogs contribute little or nothing to transmission, there is usually a small fraction of infected dogs that contribute disproportionately: current studies indicate that 15% to 44% of dogs are responsible for more than 80% of all sand fly infections [5, 92, 93]. A high transmission potential corresponds to skin and bone marrow *Leishmania* parasite loads being higher relative to loads in less infectious and non-infectious dogs [94]. Such animals are considered “**super-spreaders**”, as noted similarly in other infection systems [95-97].

An alternative to blanket intervention programs against dogs may be to target those dogs that are most infectious to the sand fly vector, as opposed to targeting *L. infantum* infection or CVL *per se*. To date, attempts to use serological tests to specifically detect infectious individuals have been limited [92, 96], and as mentioned above, high test specificity is required to better inform dog owners and ensure compliance with control program recommendations. One longitudinal study showed high sensitivities for identifying highly infectious dogs with rK39 and CLA (79% and 97%, respectively), but low specificity for the detection of non-infectious dogs (50% and 13%, respectively). Alternative thresholds for defining positive results derived from receiver operating characteristic curve analysis improved the specificity to 68% with respect to non-infectious dogs, and provided an 82% sensitivity for predicting highly infectious dogs; differentiation of mildly infectious dogs from non-infectious dogs (both contributing relatively little to transmission in xenodiagnosis) was not achieved [92]. Similarly in another study, evaluating multiple current serological tests (ELISA, IFAT80, DPP CVL, rK39 tests, fast agglutination screening tests (FAST), and DAT) failed to discriminate those dogs that transmitted *L. infantum* from those that did not [98]. Further longitudinal evaluations of diagnostic tests to detect the epidemiologically important infectious portions of the infected CVL and HVL populations are urgently needed.

Concluding remarks

CVL is an important veterinary complication that not only deserves attention in its own right but could potentially be highly informative for HVL control programs (see Outstanding Questions). Control of infection in dogs in *L. infantum*-endemic regions would likely create the additional benefit of limiting a critical reservoir that maintains the threat of human infection and disease. As discussed, many tools currently used to diagnose CVL are now being evolved to allow identification and quantification of infection irrespective of symptoms, and both serological and molecular assays are being adapted to suit field conditions. Test attributes have to be tailored to be fit-for-purpose, conversely, test standardization is desirable to facilitate comparative interpretation and for meta-analyses. As alternative preventative measures are being evaluated to combat transmission, there are opportunities to develop immunological and molecular assays to identify infectious, in addition to infected and diseased individuals.

Figure Legends

Figure 1. Transmission of VL-causing *Leishmania* among dogs and humans. A Venn diagram depicting the progression of *Leishmania*-infected dogs and/or humans to an infectious state culminating in presentation of VL. While *L. infantum* can circulate in, and be transferred between, dog and human populations via sand fly vectors, *L. donovani* is limited to human populations.

Figure 2. Diagnostic and detection strategies. The progression of diagnostic strategies from direct detection methods to indirect, antibody-detection techniques is depicted. As antibody-detection methods are refined, the possibility of using “simpler-to-collect” (i.e., less invasive) samples that are more permissive for large-scale surveillance programs is presenting themselves.

Conflict of Interest Statement

Malcolm Duthie is a co-inventor on a patent for leishmaniasis vaccine development and IDRI supplies antigens to commercial partners for manufacture of diagnostic tests for VL. Orin Courtenay and Aurore Lison have stated no conflict of interest.

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Highlights

- Visceral leishmaniasis (VL) is an important disease of dogs and humans, with dogs being the primary domestic reservoir of *L. infantum* parasites.
- Detection of diseased, infected and parasite-transmitting “super-spreader” dogs is likely critical for control
- Dependent upon scale, direct and indirect detection methods can be used for surveillance
- Novel molecular assays with point-of-care potential are emerging
- New antigen targets are being characterized to enhance performance of serological assays
- Adaptation to provide point-of-care serological tests to permit *Leishmania* detection and facilitate large-scale screening programs

Outstanding questions

- Does control of the parasite reservoir in dogs impact on the incidence of human infection and HVL?

- Are any tests capable of differentiating between diseased, infected and parasite-transmitting “super-spreader” dogs?
- Can novel molecular assays be implemented in large-scale screening programs?
- Does asymptomatic infection elicit sufficiently potent antibody responses to permit detection?
- Can new antigens enhance the performance of serological assays?
- Are saliva and urine capable of providing performance levels in antibody-detection tests similar to those achieved with serum?
- Can tests developed for canine VL be informative for human VL?
- How are canine test-and-control options best deployed to provide a protective community effect?

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