1	Skeletal	muscle	dysfunction	is	associated	with	derangements	in	mitochondrial
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- 2 bioenergetics (but not UCP3) in a rodent model of sepsis
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48 Abstract:

49 Muscle dysfunction is a common feature of severe sepsis and multi-organ failure. Recent 50 evidence implicates bioenergetic dysfunction and oxidative damage as important underlying 51 pathophysiological mechanisms. Increased abundance of uncoupling protein-3 (UCP-3) in 52 sepsis suggests increased mitochondrial proton leak, which may reduce mitochondrial 53 coupling efficiency but limit ROS production. Using a murine model, we examined metabolic, 54 cardiovascular and skeletal muscle contractile changes following induction of peritoneal sepsis in wild-type and Ucp3^(-/-) mice. Mitochondrial membrane potential ($\Delta \Psi_m$) was 55 56 measured using two-photon microscopy in living diaphragm, and contractile function was 57 measured in diaphragm muscle strips. The kinetic relationship between membrane potential 58 and oxygen consumption was determined using a modular kinetic approach in isolated 59 mitochondria. Sepsis was associated with significant whole body metabolic suppression, 60 hypothermia and cardiovascular dysfunction. Maximal force generation was reduced and 61 fatigue accelerated in ex vivo diaphragm muscle strips from septic mice. Mitochondrial 62 membrane potential was lower in the isolated diaphragm from septic mice despite normal 63 substrate oxidation kinetics and proton leak in skeletal muscle mitochondria. Even though 64 wild-type mice exhibited an absolute $26 \pm 6\%$ higher UCP-3 protein abundance at 24 hours, 65 no differences were seen in whole animal or diaphragm physiology, nor in survival rates, between wild-type and Ucp3^(-/-) mice. In conclusion, this murine sepsis model shows a 66 67 hypometabolic phenotype with evidence of significant cardiovascular and muscle 68 dysfunction. This was associated with lower $\Delta \Psi_m$ and alterations in mitochondrial ATP 69 turnover and phosphorylation pathway. However, UCP-3 does not play an important 70 functional role, despite its upregulation. (246 words)

71 Keywords: Sepsis, Mitochondria, Metabolism, Uncoupling protein 3, Skeletal
72 muscle

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74 Glossary

75	i/p	Intraperitoneal
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- 76 $\Delta \psi_m$ Mitochondrial membrane potential
- 77 **RER** Respiratory exchange ratio
- 78 s/c Subcutaneous
- 79 SDS Sodium dodecyl sulfate
- 80 **TMRM** Tetramethylrhodamine methyl ester
- 81 **TPMP** Methyltriphenylphosphonium
- 82 UCP Uncoupling protein
- 83 VO₂ Whole body oxygen consumption
- 84 **VCO₂** Whole body carbon dioxide production
- 85 WT Wild-type
- 86

88 Introduction

89 Muscle dysfunction is a common feature of severe sepsis and other critical illnesses, 90 and a major cause of both prolonged intensive care stay (21) and long-term disability (7, 34). 91 Neuropathic and myopathic features are well recognized (7, 38, 40, 50), yet the underlying 92 pathophysiology remains incompletely understood. A net catabolic state, impaired cellular 93 and calcium signalling, bioenergetic dysfunction and oxidative damage are all implicated (30, 94 33, 50). In both patients and animal models, sepsis is associated with decreased skeletal 95 muscle mitochondrial respiratory capacity, reduced mitochondrial protein levels and 96 increased rates of generation of reactive oxygen and nitrogen species (ROS and RNS) (12, 13, 97 16, 18, 20, 26), all of which may impair muscle function.

98 The proton electrochemical gradient created by activity of the mitochondrial 99 respiratory chain generates a transmembrane potential ($\Delta \Psi_m$) that is used by the F_oF₁ ATP 100 synthase to phosphorylate ADP to ATP (coupled respiration). However, a variable proportion 101 of protons are uncoupled from ATP synthesis by leaking back into the mitochondrial matrix, 102 the rate of proton flux being dependent upon the magnitude of $\Delta \psi_{m}$. Proton leak rate is 103 variable and considered to constitute ~20% of the basal metabolic rate of hepatocytes, and 104 up to 50% in rat skeletal muscle (53). Uncoupled respiration has basal and inducible 105 components (35), with the inducible part forming the mechanism of heat generation in 106 brown adipose tissue through uncoupling protein (UCP)-1 (44). Other uncoupling proteins – 107 UCP-2-5 – have been identified but their physiologic role and biochemical mechanisms 108 remain controversial. One suggestion is that following activation by ROS and by-products of 109 ROS damage, these UCPs may induce a mitochondrial proton leak (11, 25) that, in turn, may 110 down-regulate ROS production, forming a negative feedback loop (42).

Processes interfering with maintenance of $\Delta \psi_m$ may impact on cellular energy supply and mitochondrial ROS production (23, 45). Sepsis is associated with high levels of nitric oxide production which impairs respiratory enzyme function (12, 27). Increased expression

of UCP-2 and UCP-3, reported in septic mouse models (60, 66), suggests a possible increase in proton leak with a reduction in mitochondrial coupling efficiency (the proportion of mitochondrial oxygen consumption used to drive ATP synthesis). A consequential decrease in mitochondrial ATP production could have significant impact on muscle contractile function.

The traditional approach of measuring whole body oxygen consumption as a marker of metabolic rate in sepsis does not take into account the proportion of oxygen use that is uncoupled from oxidative phophorylation. This proportion may fluctuate considerably over time, particularly in view of the significant thermoregulatory and inflammatory disturbances that occur in this condition.

The aim of our study was to determine changes in metabolism, muscle function and the influence of UCP3 in an established rodent model of sepsis. We hypothesised that sepsis leads to metabolic suppression and muscle dysfunction as a result of decreased capacity of mitochondrial ATP synthesis, and that UCP3, an uncoupling protein restricted to muscle and adipose tissue, offers protection to ROS-induced muscle contractile dysfunction at the expense of mitochondrial efficiency.

129

131 Methods

132 Mouse septic model. All experiments were performed under the UK Animals (Scientific 133 Procedures) Act 1986 with approval from the University College London Ethics Committee. 134 Ten-week old male C57 black mice were purchased from Charles River (Margate, UK) and 135 maintained in the university animal facility until they reached 25-35 weeks of age. They were 136 housed in cages (maximum 6 per cage) with standard bedding, environment-enhancing 137 objects and free access to water and chow diet. Cages were maintained at room 138 temperature with 12-hour light and dark cycles. Comparison was made against age-matched 139 litter mice from three Ucp3^(-/-) homozygote breeding pairs (originally produced by Gong et al 140 (29) and back-crossed ten generations with wild-type C57 mice). Confirmatory genotyping was carried out using tail snips. All Ucp3^(-/-) mice were kept in individually ventilated cages 141 142 with litter-mates of the same sex kept in the same cage after weaning. Mice were allowed to 143 acclimatize to the laboratory for one week prior to the start of experiments.

The model of sepsis has been described in detail elsewhere (67). In summary, sepsis was induced by intraperitoneal injection of diluted cecal slurry (20 ml/kg) under a brief period of isoflurane anesthesia. Sham mice received 20 ml/kg of 0.9% saline i/p. As septic mice stop eating, a further sham starved group was added to account for this potential confounding factor. Starvation commenced after i/p injection of saline. Mice were blockrandomized to each treatment group for each set of experiments.

All mice received 0.9% saline 10ml/kg s/c at time 0 h, and 50 ml/kg of 5% dextrose/0.81% saline solution at 6, 18, 30 and 42 h. Initial experiments observed 72-hour survival, serum biochemical markers of organ failure, blood gases and echocardiography (Vivid 7, GE Healthcare, Chalfont St. Giles, Bucks, UK) at 24 hours. Clinical variables comprising behaviour, appearance temperature and acidemia were used to score sepsis severity (67). Severity scoring was carried out by two observers, one of whom was blinded to

the randomization process. The scores given by the two observers were consistently equal.

157 Subsequent studies are outlined below.

158 Whole animal metabolism. Whole body metabolic rate of sham and septic mice were 159 measured for 24h in individual metabolic chambers (Oxymax, Columbus Instruments, 160 Columbus, OH). Gas samples from each box were sampled for 90 seconds at 8-minute 161 intervals. Oxygen consumption (VO_2) and CO_2 production (VCO_2) were calculated using 162 standard formulae. The respiratory exchange ratio (RER) was calculated as the ratio of VCO₂ 163 to VO_2 . Values near 1 indicate a predominance of carbohydrate metabolism while values 164 approaching 0.7 indicate fatty acid oxidation (36). Rectal temperature was measured at 0, 6, 165 18 and 24h, and animals were weighed at 0 and 24h time-points.

166 Assessment of cardiac function: Echocardiography was performed at 0, 6 and 24h in sham and septic wild-type and $Ucp3^{(-/-)}$ mice using a 14 MHz probe connected to a Vivid 7 167 168 Dimension device as previously described (67). Aortic blood flow velocity was measured in 169 the proximal ascending aorta immediately before the bifurcation of the right carotid artery 170 using pulse-wave Doppler. Stroke volume was calculated by multiplying the velocity time 171 integral (VTI) from six consecutive cycles (equivalent to one respiratory cycle) by aortic cross-172 sectional area (using an aortic diameter of 0.26 cm). Average peak-to-peak distance and 173 maximum velocity over six consecutive systolic cycles were used to measure heart rate and 174 peak velocity, the latter being a marker of left ventricular contractility (12). Cardiac output 175 was calculated as the product of stroke volume and heart rate.

Western blotting for UCP3 protein. UCP-3 protein abundance was measured in snap-frozen heart and skeletal muscle tissue at 10 and 24h following induction of sepsis in the *wild-type* mice. After SDS protein precipitation, 10 μg protein was separated on a 12% SDS polyacrylamide gel and transferred to nitrocellulose. Membranes were probed overnight at 4°C with the primary antibody (Rabbit anti-UCP3: PA1-055 Pierce antibodies, ThermoFisher

181 Scientific, Loughborough, Leics, UK) at 1:1000 dilutions in blocking buffer. Each sample was 182 loaded twice in the same gel, and each gel repeated once. Following incubation with 183 secondary antibody (goat-anti-rabbit antibody: DAKO, Ely, Cambs, UK), cross-reacted 184 proteins were visualised by enhanced chemiluminescence (Amersham Biosciences, Little 185 Chalfont, Bucks, UK). Densitometry measurements were made using Image J image 186 processing and National Institute of Health analysis software. A single control sample was 187 used in each gel and all results normalized to this control allowing comparison between 188 samples in different gels. Alpha-tubulin (1:5000 dilution, Abcam 7291, Cambridge, Cambs, 189 UK) was used to ensure equal loading of gels and transfer of proteins from gel to membrane. 190 Each membrane was also Coomassie stained to ensure equal loading and transfer.

191 Diaphragm preparation. At 24h, under terminal isoflurane anesthesia, a midline laparotomy 192 was performed and the diaphragm excised en-bloc (still attached to ribs laterally) and pinned 193 (taut without excess stretch) at its edges in a petri dish filled with physiological saline 194 (containing of mmol/L: Na⁺ 145, K⁺ 5, Ca²⁺ 5, Mg²⁺ 1, HCO₃⁻ 25, Cl⁻ 118, SO₄²⁻ 1, PO₄³⁻ 1, 195 glucose 10, pyruvate 10, and equilibrated with 95% O_2 and 5% CO_2 (pH 7.4). Tubocurarine 196 (2.5 µm) was added to stop spontaneous neuromuscular junction activity. Diaphragm strips 197 (~1 mm wide) were dissected using stereomicroscopy; a strip consisted of muscle fibers with 198 the ribs at one end and central tendon at the other. Strips were allowed to rest in 199 physiological saline for at least 30 minutes prior to experimentation. All experiments were 200 performed within 5h of tissue harvest.

Force and power measurements. Aluminium foil T-clips were attached to the rib and central tendon at the ends of the diaphragm strips using cyanoacrylate gel glue. The muscle strips were transferred to a temperature-controlled (23°C) Perspex bath through which physiological saline was continuously circulated. At one end the strip was attached to a combined motor and force transducer (Model 300B, Cambridge Technology, Inc., Watertown, MA, USA) and the other end to a hook mounted onto a micrometer allowing 207 alteration of resting muscle length. Electrical stimulation (MultiStim System-D330, Digitimer, 208 Welwyn Garden City, Herts, UK) was delivered by two platinum electrodes placed in the bath 209 just above and below the belly of the muscle fibres. A program written in TestPoint (Keithley 210 Instruments, Bracknell, Berks, UK) controlled stimulation and motor arm position and 211 recorded force, length and stimulation. A DAS-1800AO Series A/D board (Keithley 212 Instruments) was used. The computer program could be altered to achieve complex 213 protocols such as force recording during repeated phased cyclical tetanic stimulations while 214 making controlled changes to muscle length.

215 Following system calibration and zeroing, optimal stimulation voltage (at 96Hz) was 216 found for the muscle strip; these stimulus parameters were used throughout the 217 experiment. The length/tension relationship was explored by increasing the muscle length in 218 0.2-0.4 mm increments to identify L_0 , the muscle length at which filament overlap was 219 optimal for isometric tetanic force development. A single 350 ms tetanic stimulation was 220 used to measure the maximal achievable tetanic isometric force. The maximum force 221 generated was expressed relative to muscle cross-sectional area (CSA) to take account 222 muscle size.

223
$$CSA = 4.9 \text{ x d x M } / L_0$$

where 4.9 is the wet-to-dry ratio (65), d is density (assumed to be 1 mg/mm^3), M is dry mass of the muscle and L₀ is muscle length as defined above. M and L₀ were measured at the end of the experiment.

The ability of the muscle to produce work and power was measured using a pattern of sinusoidal movement and stimulation that mimics diaphragm muscle action *in vivo*. This pattern was described and optimized by Stevens and Faulkner for diaphragm muscle strips from C57 black mice (59). The amplitude of the length change was ±0.4mm. Stimulation was applied for ~30% of each movement cycle and was approximately centered on the time at which the muscle length was longest. The maximum power was measured in one cycle of movement at frequency 4Hz. The initial muscle strip length was incrementally increased to find optimal range. To measure the effects of fatigue on power, cycles of length change with stimulation were repeated over a one-minute period. The frequency of movement was 2 Hz, which is equivalent to 120 breaths/min, close to the average respiratory rate measured in septic mice.

238 The net work performed by the muscle strip is the 'positive work' performed during 239 muscle shortening and contraction, minus the 'negative work' to bring the muscle back to its 240 original length (59). We evaluated net work as follows: Passive force was recorded during 241 movement without electrical stimulation, and then during the same movement with 242 electrical stimulation. The unstimulated record was subtracted from the stimulated record to 243 give the active force value. Net work performed during the movement cycle was evaluated 244 as the area circumscribed by the active force vs. length change graph, referred to as work 245 loop (Fig. 5C). The average power output during the movement cycle was calculated by 246 dividing net work by cycle duration, and is expressed relative to the muscle strip wet weight 247 to take account of size.

248 **Diaphragm muscle mitochondrial membrane potential** ($\Delta \psi_m$). Diaphragm muscle strips (3-4 249 mm wide) taken at 24h from paired fed sham and septic wild-type mice were pinned onto a 250 Sylgard[™] imaging chamber and continuously superfused with oxygenated saline solution at 251 23°C on the stage of an upright epifluorescent microscope (Zeiss Axioskop, Carl Zeiss, 252 Cambridge, Cambs, UK) with a x63 Achroplan water dipping objective and 0.9 numerical 253 aperture. The strips were incubated for 45 min with the lipophilic cationic dye, 254 tetramethylrhodamine methyl ester (TMRM) (Invitrogen, Paisley, Renfrewshire, UK) added to 255 the circulating saline solution at low concentration (100 nM). Fluorophore excitation was 256 achieved using a femtosecond pulsed Ti;sapphire tunable multiphoton laser (Coherent 257 Chameleon, Santa Clara, CA) set at wavelength 720nm. A photomultiplier tube detected the

emitted light, which was first split by a long pass 510nm dichroic mirror before passingthrough a band pass filter 560-615nm.

260 Accumulation of TMRM in cells and mitochondria depends on both plasma 261 membrane potential and $\Delta \Psi_m$. At low loading concentrations, TMRM fluorescence intensity 262 is linear to its concentration (24). The baseline fluorescence intensity of TMRM in muscle 263 strips isolated from sham and septic mice was measured and directly compared. 264 Fluorescence intensity was also determined in the presence of the ATP synthase inhibitor, 265 oligomycin (10 µg/ml, Sigma-Aldrich, Gillingham, Dorset, UK) and the protonophore, 266 carbonyl cyanide 4-(trifluoromethoxy) phenylhydrazone (FCCP) (1µM, Sigma-Aldrich). The 267 addition of oligomycin can differentiate states where mitochondria are depolarized as a 268 result of high ATP production and flux through the respiratory chain, from other states 269 where a pathological process has resulted in ATP synthase to switch back to its native ATPase 270 activity (17, 28). The addition of FCCP results in dissipation of $\Delta \Psi_{m}$, confirming that the 271 TMRM signal is truly mitochondrial and unquenched.

272 Stepped z-stacked images (10 at $4\mu m$ apart) were taken in all three states (baseline, 273 after oligomycin, after FCCP) and assimilated to form a single projection for measurement of 274 TMRM fluorescence intensity. Three different fields of muscle cells corresponding to the 275 brightest regions of the muscle strip with at least 5 cells per field were analyzed using Zeiss 276 LSM software, and values from the three fields averaged to give a single result per specimen. 277 As it was unclear how $\Delta \Psi_m$ would vary between subjects and in sepsis, eight subjects per 278 group were arbitrarily chosen. Statistical comparison was made within the group (i.e. sham 279 or septic) using ANOVA with repeated measures, and between groups using Student's t-test 280 for each state (baseline, +oligomycin, +FCCP).

281

282 Isolation of mitochondria from total skeletal muscle. At the 24h time-point, lower limb, 283 back and respiratory skeletal muscle were taken from wild-type fed sham, starved sham and 284 septic mice, with clinical severity scoring performed beforehand. Mitochondria were then 285 isolated as described by Bhattacharya et al (8) at 4°C. In brief, muscles were rapidly removed, 286 weighed and placed in ice-cold isolation solution (KCl 100mM, Tris/HCl 50mM, EGTA 2mM, 287 pH 7.4 at 4°C). The muscle was minced and the muscle slurry washed and protease-digested 288 in digestion buffer (KCl 100mM, Tris/HCl 50mM, EGTA 2mM, ATP 1mM, MgCl₂.6H₂O 5mM, 289 Defatted Bovine Serum Albumin 0.5%, Protease Type VIII [(245.7units/100ml, Sigma P 290 5380)], pH 7.4). The mixture was Dounce homogenized and spun for 10 min at 490g. 291 Supernatant was filtered and spun in 2-3 stages for 10 min each at 10,400g. The final pellet 292 (mitochondria) was resuspended in a small volume of isolation medium (0.6-0.8 ml) and 293 protein concentration measured using a BCA protein assay kit (ThermoFisher Scientific).

To determine percentage recovery of mitochondria from skeletal muscle homogenate from all three groups of mice, citrate synthase activity was assayed at each step of the isolation process by spectrophotometric determination of oxaloacetate-dependent coenzyme Acoupled reduction of DTNB at 412nm (57), in an assay adapted for 96-well plates.

Respiratory activity of isolated mitochondria and modular kinetic analysis. Respiratory
activity of isolated mitochondria was determined using a Clark electrode chamber (Rank
Brothers, Bottisham, Cambs, UK) surrounded by a thermostatically-controlled water jacket at
30°C and calibrated with air-saturated assay medium. Mitochondria (0.35mg/ml) were
incubated in assay medium (KCl 120mM, KH₂PO₄ 5mM, HEPES 3mM, EGTA 1mM, 0.3% (w/v)
de-fatted BSA, pH 7.4).

Respiratory control ratios were calculated as state 3 respiratory rate after addition of
 ADP (200μM) divided by the state 4 rate after ADP phosphorylation with pyruvate 5mM and
 malate 2.5mM as substrates. Ratios of 3-10 have been quoted as acceptable RCR ratios (51,

307 58). FCCP (1-3μM) was added at end-study to measure maximal oxygen consumption rate; a
308 three-fold rise in respiratory rate has been used to indicate good mitochondrial quality (58).

309 Modular kinetic analysis. This technique allows interrogation of mitochondrial pathways 310 that generate $\Delta \Psi_m$ (substrate oxidation), and pathways that utilize/dissipate it (proton leak 311 and ATP turnover) (10, 15, 47, 48). The conceptual division of mitochondrial respiration into 312 these three kinetic modules is further detailed in Figure 1. The modules are divided into a 313 substrate oxidation module ($\Delta \Psi_m$ producing); an ATP turnover module, comprising 314 phosphorylation and associated transport activity ($\Delta \Psi_m$ dissipating); and a proton leak 315 module ($\Delta \Psi_m$ dissipating). Substrate oxidation and proton leak kinetics are determined 316 directly, while ATP turnover is derived by subtracting proton leak from total $\Delta \Psi_m$ –dissipating 317 pathway activity. Studies were performed in a specially adapted 7 ml Clark electrode 318 chamber that simultaneously measures oxygen consumption and $\Delta \Psi_m$ using a 319 methyltriphenylphosphonium (TPMP⁺) electrode in the presence of nigericin (0.1µM) to 320 abolish the pH gradient. Following addition of mitochondria (0.35 mg/ml) to the assay 321 medium (KCl 120mM, KH₂PO₄ 5mM, HEPES 3mM, EGTA 1mM, 0.3% (w/v) de-fatted BSA, 322 Nigericin 0.1 μ M; pH 7.4), the TPMP⁺ electrode was calibrated with five sequential 0.5 μ M 323 additions of TPMP. Mitochondria were energized with succinate 8mM (plus rotenone 5 μ M). 324 The starting oxygen level and the zero point were used for two-point calibration of the Clark 325 electrode (100% and 0% points). FCCP was added at the end of all experimental runs to 326 correct for any drift of the TPMP⁺ electrode. Due to the large number of mitochondria 327 needed for each of the protocols, mitochondria isolated from two mice in the same group 328 were pooled. Each protocol was run twice and the results averaged.

329 Since the kinetic response of each module to an effector reflects a change in the 330 common intermediate, $\Delta \psi_m$, the kinetic curves of modules that consume protonmotive force 331 are independent of the respiratory substrate used to generate that $\Delta \psi_m$ (2). Succinate (8

332 mM) was used as a substrate in all experiments, since mitochondrial energization is more 333 readily achieved than with NADH-linked substrates (41). The kinetic dependency of oxygen 334 consumption towards $\Delta \Psi_m$ was described for each kinetic pathway module by modulating 335 $\Delta \Psi_m$ using effectors targeted towards another module within the system. Substrate 336 oxidation kinetics were measured in the presence of oligomycin (1.3 μ M) by sequential 337 depolarization with FCCP (0.2-1 μ M). Proton leak kinetics were determined in the presence 338 of oligomycin 1.3 μ M by sequential additions of malonate (0.1–2.3 mM). The kinetics of total 339 $\Delta \Psi_{m}$ -dissipating activity (proton leak + ATP turnover reactions) were measured under state 3 340 conditions (ADP 2 mM) by sequential additions of malonate (0.1-2.3 mM). ATP turnover 341 kinetics (phosphorylation and transport reactions) were subsequently calculated by 342 subtraction of proton leak rate from the state 3 respiratory rate at the corresponding value 343 of $\Delta \psi_m$. FCCP (1 μ M) was added at the end of all experimental runs to correct for drift of the 344 TPMP⁺ electrode.

345 To test for statistical significance, respiration rates between flanking values of $\Delta \Psi_{\rm m}$ 346 were interpolated so that for each curve, oxygen consumption at $\Delta \psi_m$ of -170mV was 347 calculated. This value was the highest $\Delta\psi_{m}$ common to all conditions. The higher the value of 348 $\Delta \Psi_{\rm m}$, the higher the flux of protons through the various $\Delta \Psi_{\rm m}$ -consuming modules, and 349 therefore the more likely to see a result emerging through the inherent noise of the 350 experimental setup. Additionally, uncoupling proteins (UCP3 in particular) may require a high 351 $\Delta \Psi_m$ to become active (48). One-way ANOVA was used to test for difference in VO₂ between 352 the groups at $\Delta \psi_m$ of -170mV.

353 Statistical analysis

354 Statistical analysis was performed using SPSS 18.0 (IBM) software. Data were normally 355 distributed, unless stated. Student's t-test, Mann-Whitney U tests, and analysis of variance 356 (ANOVA) (with and without repeated measures calculations) were used to test for statistical

357 significance with the alpha error set to <5% (p<0.05). Tukey and Dunnet *post hoc* tests were
358 used to define significance.

359

360 Results

361 Whole body physiology and skeletal muscle function

Mortality and whole-animal metabolism. Mortality rates of the septic wild-type (n=11) and $Ucp3^{(-/-)}$ mice (n=12) at 24h, 48h and 72h time-points were similar, at approximately 20%, 70% and 73%, respectively (Figure 2). No deaths occurred in either WT (n=10) or $Ucp3^{(-/-)}$ (n=9) sham groups. Septic mice developed a mixed respiratory and metabolic acidosis with biochemical evidence of acute kidney and liver injury (Table 1). The degree of organ dysfunction and acidosis correlated with severity of illness and mortality.

368 Sepsis produced a large and rapid drop in core temperature and metabolic rate with 369 good correlation between temperature and VO_2 (R²=0.95) [Fig. 3A-C]. Responses were similar 370 in both wild-type and $Ucp3^{(-/-)}$ groups. In both genotypes, the sham fed mice lost a small 371 amount of weight over the first 24 hours ($-2 \pm 1\%$ SEM body weight change; p=0.08). This was 372 more pronounced in the starved sham mice (-8 \pm 1% SEM body weight change; p<0.0001). 373 However, the septic mice gained weight (5 \pm 1% SEM body weight; p<0.001), due to a 374 combination of renal dysfunction and fluid sequestration in body cavities (pleural effusions 375 and ascites) and subcutaneous tissues evident at postmortem examination (Fig 3D).

376 **Cardiac function.** Echocardiography was performed in 16 sham and 13 severe septic *wild*-377 *type* mice, and nine sham and seven severe septic $Ucp3^{(-,-)}$ mice (Figure 4). Compared to 378 baseline values, there was a marked reduction in cardiac output at 6 and 24 h in the severe 379 septic mice in both genotypes (p<0.02 within group ANOVA, but no statistical significance 380 between the two genotypes). Decreases were noted in left ventricular contractility

381 (measured as peak flow velocity), stroke volume and heart rate. No difference was seen 382 between wild-type and $Ucp3^{(-/-)}$ groups.

383 Diaphragm muscle force and power. Measurements were made on diaphragm strips from both wild-type (9 fed sham, 8 starved sham, 9 septic wild-type) and $Ucp3^{(-/-)}$ (6 fed sham. 8 384 385 starved sham, 7 septic) mice. The average ages (±SEM) were 35±2 weeks for wild-type mice 386 and 35 ± 3.5 weeks for Ucp3^(-/-) mice (p=0.92), with average weights of 32 ± 4 and 34 ± 3 g, 387 respectively (p=0.048). At 24h, maximal tetanic isometric force generated by diaphragm 388 strips from septic wild-type mice was 28% lower than that produced by either fed or starved 389 sham mice (p<0.05) (Fig. 5A). Similarly, maximal power generated during a single length cycle 390 at 4 Hz (mimicking 240 breaths/min, typical for normal mice) was 36% and 28% lower in 391 muscle strips from septic mice than from fed and starved sham mice, respectively (p<0.05 392 one-way ANOVA) (Fig. 5B). Similar results were seen in the muscle strips from Ucp3^(-/-) 393 animals (Figure 5A and B). The work loop profiles generated by all sets of muscle strips 394 showed a similar contraction-relaxation profile (Fig. 5C).

395 The effect of fatigue on power output was assessed during cycles of movement and 396 stimulation repeated for one minute. A movement frequency of 2 Hz was used to mimic the 397 respiratory rate of 120 breaths/min in a septic mouse. A characteristic pattern of power 398 fatigue was seen: an initial rapid decline was followed by a plateau phase, and then a second 399 rapid decline to a lower plateau (Fig. 5D). The initial power in the fatigue test (Fig. 5D) was 400 about half of the maximum power (shown in Fig. 5B) due to the difference in movement 401 frequency, 2 and 4 Hz respectively. For both genotypes, the power output throughout the 402 fatigue test was lower in the septic group than in either of the sham groups. For both 403 genotypes, the duration of the first plateau ended sooner in the septic group (35 ± 3 cycles) 404 compared to both fed sham $(41 \pm 6 \text{ cycles})$ and starved sham $(41 \pm 4 \text{ cycles})$ strips (p= 0.02).

In summary, sepsis resulted in severe hypothermia and metabolic suppression associated with cardiovascular dysfunction, lower muscle force and power generation, and earlier fatigue. As no differences were seen between wild-type and $Ucp3^{(-/-)}$ mice, this implies that UCP3 does not affect the response to sepsis neither at the level of the whole animal nor at the level of muscle (dys)function. Further experiments were thus performed using only wild-type animals.

411 Mitochondrial physiology

412 A detailed analysis of mitochondrial function was performed to determine whether
413 mitochondrial dysfunction, potentially including increased proton leak, could underlie the
414 muscle dysfunction described above. Studies were performed using wild-type mice only.

415 **UCP protein abundance.** UCP3 protein expression in diaphragms taken from wild-type sham 416 (n=6) and severe septic mice (n=9) at 24h was assessed by Western blot. UCP3 protein 417 abundance increased by 26±6% (SEM) compared to sham (p<0.05). The α -tubulin 418 densitometry signal decreased in septic wild-type mouse diaphragms by 22±7% at 10h 419 [p=0.016], and by 12 ± 6% at 24h [NS]. The ratio of UCP3 to α -tubulin change was 47 ± 13% 420 (p<0.05; Figure 6). Coomassie staining confirmed equal protein loading and transfer. UCP2 421 was not detectable in these samples using 3 different commercially available antibodies.

422 Mitochondrial membrane potential in diaphragm muscle strips. Muscle strips from nine fed 423 sham and nine septic wild-type mice were compared simultaneously. TMRM loading took 45 424 minutes to reach steady state prior to imaging. A typical double row and subsarcolemmal 425 high intensity fluorescence pattern was observed in all muscle cells, representing 426 interfibrillar and subsarcolemmal groups of mitochondria (Figures 7A-C). Baseline TMRM 427 fluorescence in diaphragms from wild-type septic mice was significantly lower than 428 diaphragms from sham mice, suggesting a decrease in mitochondrial membrane potential 429 $(\Delta \psi_m)$ (Figure 7D). The ATP synthase inhibitor, oligomycin was then added to observe

430 changes in $\Delta \psi_m$ following inhibition of mitochondrial ATP production. This differentiates 431 between states where mitochondria are depolarized as a result of high ATP production and 432 flux through the respiratory chain, from other states where the ATP synthase switches into 433 its native ATPase activity (17, 28). In the diaphragm strips, oligomycin increased TMRM 434 fluorescence, with a greater relative increase above baseline in the septic group (increase of 435 $30 \pm 5\%$ in septic diaphragm vs $16 \pm 8\%$ in sham; p<0.001). Absolute values of fluorescence 436 post-oligomycin were not statistically different between the two groups (p=0.1), suggesting 437 the differences are attributable to a difference in proton flux through the F_0F_1 -ATPsynthase. 438 The addition of FCCP resulted in complete dissipation of $\Delta \psi_m$ in all specimens examined, 439 confirming unquenched mitochondrial TMRM signal (24).

440 Citrate synthase activity and respiratory control of isolated mitochondria from skeletal 441 muscle. Mitochondria were isolated from muscles of 8 fed sham, 8 starved sham and 13 442 severe septic wild-type mice (clinical severity 5-6). Fractional recovery (mean ± SD) of citrate 443 synthase activity at each step of the mitochondrial preparation process compared to the 444 initial muscle homogenate was similar in all three groups, with a final recovery in the 445 mitochondrial pellet of $43 \pm 11\%$ (fed sham), $38 \pm 23\%$ (starved sham) and $41 \pm 9\%$ (septic) 446 (p=0.48). The respiratory control of the final mitochondrial pellet measured using the NADH-447 linked substrates pyruvate and malate was also similar (3.0 ± 0.6 fed sham, 3.5 ± 0.9 starved 448 sham, 2.9 ± 0.5 septic; p=0.47).

449 **Modular kinetic analysis of isolated skeletal muscle mitochondria.** The effect of sepsis on 450 the kinetic behavior of processes that govern $\Delta \psi_m$ were examined using modular kinetic 451 analysis in 8 fed sham, 8 starved sham and 13 severe septic mice. Substrate oxidation ($\Delta \psi_m$ 452 producing) and proton leak kinetics ($\Delta \psi_m$ consuming) were determined directly, while ATP 453 turnover ($\Delta \psi_m$ consuming) was derived by subtracting proton leak from total $\Delta \psi_m$ -454 dissipating pathway activity. Proton leak kinetics were similar in all three groups (Figs 8 A,B).

455	However, the kinetic behavior of total $\Delta \psi_m$ -dissipating processes (Figs 8 C,D) and ATP
456	turnover processes (Figs 8 E,F) were significantly affected in the septic mice: for a given
457	membrane potential (-170 mV), the oxygen consumption rate was significantly lower in
458	mitochondria from septic animals (207 \pm 26 fed sham, 157 \pm 53 starved sham, 120 \pm 30 septic
459	nmol oxygen/min/mg; p<0.05). While the substrate oxidation kinetic curves of the septic and
460	fed sham mice were similar (Figs 8 G,H), the curve for the starved sham group (316 \pm 19 nmol
461	oxygen/min/mg) was significantly different compared to both fed sham (230 \pm 17) and septic
462	(312 ± 22) groups; p<0.05), with oxygen consumption rates lower at all values of $\Delta\psi_{m}.$

463

464 Discussion

In this rodent model of sepsis and multi-organ failure, we have shown early muscle dysfunction and fatigue associated with metabolic suppression, lower mitochondrial membrane potential and phosphorylation capacity, but no change in mitochondrial proton leak despite an increase in UCP3 protein abundance. These changes were unaffected by presence or absence of UCP3 protein.

The pathophysiology of multi-organ failure and muscle dysfunction in established sepsis is complex and multifactorial. Recent interest has focused on bioenergetic dysfunction: increased production of reactive oxygen species results in disruption of metabolic processes and cell damage (12, 13, 61), while decreased transcription of mitochondrial proteins affects respiratory capacity (18, 32). A decrease in cell metabolism may also occur for other reasons, e.g. secondary to a decrease in thyroid activity associated with critical illness (9).

477 Recent publications have highlighted limitations of mouse models of sepsis and the 478 lack of translation of successful treatments from animal models to humans (31, 56). Indeed,

479 significant disparity was reported between mice and humans in their gene expression profile 480 response to inflammatory conditions including sepsis (56). We reported marked differences 481 in the metabolic response to sepsis between rats and mice (67). As seen in the present study, 482 mice showed an early and severity-dependent hypometabolic, hypothermic phenotype with 483 early myocardial depression. This presentation is seen in approximately 10% of cases of 484 human sepsis, and is associated with a 2-3 fold increased risk of mortality (4, 19). However, 485 the ability to define the roles of specific genes by genetic manipulation and to study fully 486 intact biological systems in complex diseases justify an ongoing role for rodent models in 487 defining pathophysiological mechanisms (46). We did make considerable efforts to refine 488 and optimize our murine septic model. These included using older mice equivalent to 30-40 489 year old humans (63), injecting a standardized septic inoculum, providing regular fluid 490 administration to limit hypovolemia-induced tissue hypoperfusion, and performing a block 491 randomization for group allocation in experiments (67).

492 Metabolic suppression and hypothermia are well-described phenomena in septic mice, 493 and are influenced by age, septic insult and ambient temperature (22, 54, 62, 67). We 494 previously demonstrated that rewarming of septic mice to normothermia failed to increase 495 metabolic rate (67), implying a primary metabolic suppression rather than a reactive 496 response to hypothermia.

UCP3 is upregulated in sepsis (60, 66), prompting speculation about the role of this protein in decreasing mitochondrial coupling efficiency. This may however act as a negative feedback loop to reduce ROS production (11). We set out to establish the importance and functional consequence of this increase in UCP3 expression, but instead report no detectable differences in the responses of $Ucp3^{(-/-)}$ mice to sepsis. Rather than detecting effects on mitochondrial proton leak, our findings suggest that processes related to mitochondrial phosphorylation may be affected.

Previous studies in healthy Ucp3^(-/-) mice also found little difference in 504 505 thermoregulation and oxygen consumption rate compared to their wild-type controls (6, 64). 506 Despite a 5-fold increase in skeletal muscle UCP3 in mice given intraperitoneal endotoxin, Yu 507 et al in fact described a drop in core temperature to 30°C (66). While we too observed an 508 increase in skeletal muscle UCP3, we found no impact of the lack of UCP-3 protein upon 509 metabolic changes, temperature, cardiovascular and muscle function, or mortality in sepsis. 510 Similarly, while skeletal muscle mitochondria isolated from healthy Ucp3^(-/-) mice showed 511 more coupled respiration and increased ROS production (64), we could find no differences in 512 force, power and response to repeated stimulation between diaphragm muscle strips 513 isolated from septic wild-type and $Ucp3^{(-/-)}$ mice. The original description of the $Ucp3^{(-/-)}$ 514 mouse showed no compensatory up-regulation of other UCPs (64), although it is conceivable 515 that such up-regulation may be seen with sepsis. In line with previous work, we were unable 516 to detect UCP2 in muscle from either sham or septic mice using a number of commercially 517 available UCP2 antibodies (data not shown).

518 We imposed repeated work-loop cycles to mimic in vivo diaphragm muscle activity to 519 assess muscle fatigue (59). To our knowledge, the present study is the first description of this 520 functional assessment in a septic model. The plots show a characteristic three-phase 521 response (39); the second phase, a quasi-plateau phase of reduced function, involves 522 recruitment of oxidative metabolism and ends with depletion of high-energy substrates and 523 accumulation of phosphate and other end-products of metabolism (5). We found that this 524 second phase was significantly shorter in septic mice, was affected by hypoxia (data not 525 shown) but was independent of UCP-3 protein expression. This finding supports the notion 526 of linkage between disorders of oxidative metabolism and an inability to maintain high levels 527 of energy substrate.

528 A significantly lower $\Delta \psi_m$ was seen in the diaphragmatic myocytes of septic mice. 529 While previously shown in cells (1, 55), to our knowledge this is the first demonstration of

530 altered mitochondrial membrane potential in sepsis in a complex muscle preparation. The 531 low $\Delta \psi_m$ was a consistent finding in the septic mice, and suggests either a decreased rate of 532 $\Delta \Psi_m$ generation (e.g. due to a respiratory chain defect) or an increase in turnover of 533 pathways that dissipate the gradient such as proton leak or ATP production (23). Blocking 534 ATP synthase with oligomycin increased fluorescence and hence $\Delta \Psi_m$. This rise was 535 significant in the septic group and suggests higher ATP turnover in these diaphragm muscle 536 cells. This was an unexpected finding as previous studies had shown lower respiratory 537 complex activity and lower oxygen consumption in tissues and cells taken from septic 538 animals and humans (13, 16, 20).

539 Our interrogation of kinetic pathways involved in the maintenance of $\Delta \Psi_m$ implies 540 that the mitochondrial pathway that generates $\Delta \psi_m$ through succinate oxidation was 541 unaffected in this model of sepsis. Substrate oxidation rates of mitochondria isolated from 542 starved sham mice were depressed at all values of $\Delta \Psi_m$ compared to the other groups. This 543 implies either an altered sensitivity of these mitochondria to FCCP and/or that metabolic 544 adaptations in sepsis do not simply reflect a stressed nutritional state. Previous descriptions 545 of mitochondrial function in sepsis suggest Complex I dysfunction (12, 13). While a full kinetic 546 description of NADH-linked substrate oxidation kinetics was not attempted here due to 547 technical constraints, neither state 3 nor state 4 respiration were significantly different 548 between groups when the mitochondria were energized with complex 1 substrates pyruvate 549 and malate.

Proton leak kinetics were unaffected by the septic insult, despite the rise in UCP3 protein levels in the septic mice, although these experiments were not repeated in the presence of free fatty acids or GDP (47). However, ATP turnover kinetics were significantly affected in the septic mice with lower oxygen consumption rates at given values of $\Delta \psi_m$ when mitochondria were phosphorylating ADP. Defects in ATP synthase in sepsis have been

described previously and may explain these observations (16, 37, 43, 52). The higher ATP turnover in the diaphragms of septic mice suggested by the $\Delta \psi_m$ experiments, in conjunction with the altered ATP turnover kinetics seen in muscle mitochondria isolated from septic mice may explain, at least in part, the earlier fatigue observed in the diaphragm muscle strip studies.

560 An issue to consider with the use of isolated mitochondria, especially in conditions 561 such as sepsis, is that removal from their usual cellular environment takes away the impact 562 of local regulatory processes and high levels of inflammatory mediators, and also exposes 563 them to a supranormal oxygen milieu. Such regulatory processes include energy-consuming 564 pathways, resulting in altered ATP demand, or pathways that impose control over substrate 565 trafficking into the mitochondria and substrate oxidation, thereby regulating respiratory 566 chain function and, ultimately, ATP synthesis (14). Nitric oxide, pyruvate dehydrogenase 567 kinase-4 (PDK4) and hypoxia inducible factor-1 α (HIF-1 α) are all implicated in sepsis (3, 12, 568 49); these likely continue to impose control over mitochondrial function in the intact cell and 569 tissues. Following mitochondrial isolation and washing, and exposure to hyperoxic 570 conditions, such control may be lost. A comparison of our findings using in situ and isolated 571 mitochondria implies that sepsis modifies both local regulatory processes and the oxidative 572 phosphorylation machinery itself. These functional changes were not associated with 573 swollen or ruptured mitochondria in an examination of electron micrographs of diaphragm 574 muscles from a limited number of sham and septic mice (data not shown). Although, no 575 formal morphological examination of these were carried out, no gross changes in the shape, 576 size or distribution of mitochondria were noted.

577 In summary, the long-term, resuscitated septic mouse model that we have described 578 exhibits metabolic suppression, hypothermia and decreased cardiovascular activity. 579 Diaphragm muscle strips from septic mice exhibit increased fatiguability *ex vivo;* this may be

related in part to mitochondrial dysfunction. Despite increased UCP3 protein abundance in sepsis, kinetic analysis of isolated mitochondria from skeletal muscle revealed that proton leak kinetics were not different from sham controls, while the lack of UCP3 protein did not impact on mortality, physiological changes or muscle functionality. However, ATP turnover kinetics were significantly altered, implying a defect with ATP synthesis at the level of the F_0F_1 synthase or an associated transport process.

586

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592 Disclosures

593 There are no conflicts of interest to report.

594

596 **References**

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819 Figure legends

Figure 1 Simplified illustration of mitochondrial bioenergetic pathways split into three groups of reactions: 1) reactions that generate $\Delta \psi_m$ (substrate kinetics), 2) reactions consuming $\Delta \psi_m$ to generate ATP (phosphorylation kinetics), and 3) reactions that consume $\Delta \psi_m$ without generating ATP (leak kinetics). Adapted from Nicholls & Ferguson 2002.

824

Figure 2 Survival curve of wild-type (n=11) and UCP3^(-/-) mice (n=12) after i/p injection of fecal slurry. Sham mice (10 wild-type, 9 UCP3^(-/-)) received i/p saline. All animals received s/c fluids at 0, 6, 18, 30 and 42 hour time-points.

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Figure 3 Temperature (A) and oxygen consumption (B) of wild-type and UCP3^(-/-) mice following induction of sepsis (or sham controls). (C) Plot of oxygen consumption against core temperature of wild-type and UCP3^(-/-) mice. Line of best fit and correlation coefficient are noted on the graph. (D) Percentage weight change of sham and septic mice over 24 hours. Statistical significance (p<0.05) was seen between groups in each genotype. All mice received s/c fluid at 0, 6 and 18h time-points; food was withdrawn from starved sham mice immediately after i/p injection of saline.

836 * p<0.05 compared to fed sham using two-way ANOVA for temperature data over time, and837 one-way ANOVA for weight data.

- 838
- 839

Figure 4 Echocardiography results of wild-type and $Ucp3^{(-/-)}$ mice following i/p injection of fecal slurry or n-saline. All septic mice were in the severe category according to the clinical scoring scale. Results are mean ± SEM. *p<0.02 comparing sham values between the two genotypes; § p<0.01 comparing septic and sham values in same genotype using two-way ANOVA repeated measures.

845

846 Figure 5 (A) Maximal isometric force generated by 350ms tetanic stimulation in diaphragm 847 strips taken from wild-type and $Ucp3^{(-/-)}$ mice and normalized to cross-sectional area. (B) 848 Power generated by the muscle strips during sinusoidal length change at 4Hz with phasic 849 tetanic stimulation simulating in vivo muscle function. Power is expressed relative to muscle 850 wet weight. (C) Example of a work-loop generated by muscle strips from WT fed sham, 851 starved sham and septic mice (optimal muscle lengths: 8.4mm, 9.5mm, 9.7mm; muscle dry 852 weight: 0.665mg, 0.627mg, 0.578mg, respectively). (D) Power performance of diaphragm strips taken from fed sham and septic wild-type and $Ucp3^{(-/-)}$ mice undertaking 120 repeated 853 854 work-loop cycles at 2Hz over a 1 minute period. Values are the average power per cycle; for 855 clarity some values are not shown. The initial rapid decline is shallower, and the first plateau 856 ends earlier (marked by broken vertical line), in the septic groups in both genotypes 857 compared to the sham group. Values are mean \pm 1 SEM.

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Figure 6 Representative Western blots of UCP-3 protein content of diaphragm muscles from
sham (n=6) and septic wild-type mice 24 h after injection of fecal slurry (n=8). B Mean (±SD)
densitometry values of UCP-3 protein abundance at 10 h and 24 h in diaphragm muscle.
Values were normalized to alpha-tubulin content. * p<0.05 compared to sham using one-way
ANOVA.

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Figure 7 Live-cell images of TMRM (A) and Mitotracker green (B&C) fluorescence pattern in diaphragm muscle cells. Two distinct mitochondrial subpopulation of subsarcolemmal (SS) and intefibrillar (IF) are seen. Characteristic double band pattern of interfibrillar mitochondria is shown in B (arrowed). (C) Z-stack 3-D image projection of a diaphragm strip loaded with Mitotracker Green showing muscle and pleural cells on the surface. (D) Average TMRM fluorescence intensity of isolated diaphragms strips from sham and septic *wild-type* mice at 24h bathed in TMRM 100nM (baseline), and after addition of oligomycin 5 μ g/ml. (*p=0.016 independent sample t-test; § p<0.01 paired sample t-test comparing oligomycin to baseline fluorescence in the septic group).

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Figure 8 Dependency of oxygen consumption rate on $\Delta \psi_m$ for different $\Delta \psi_m$ -producing and dissipating kinetic modules (left panels), with oxygen consumption rates at $\Delta \psi_m$ of -170mV (right panels), for mitochondria isolated from total skeletal muscle of septic, fed sham and fasted sham mice. A & B, proton leak kinetics; C & D, total $\Delta \psi_m$ -dissipating pathways (proton leak + ATP turnover reactions) under state 3 conditions; E & F; ATP turnover kinetics (phosphorylation + transport reactions) – kinetic curves derived from subtraction of A from C; G & H, substrate (succinate) oxidation kinetics.

*p<0.05 one-way ANOVA significance of septic vs. both sham groups; ** p<0.05 one-way
ANOVA starved sham vs. fed sham and septic groups.

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887 **Table 1** Blood gas and serum biochemistry at 24 hours in *wild-type* mice following

	рН	pCO₂ kPa	pO₂ kPa	Lactate mmol/l	Hb g/dl	Glucose mmol/L	Urea mmol/l	Creatinine µmol/l	AST IU/I	ALT IU/I
Sham	7.33 ± 0.03	4.3 ± 0.3	13.4 ± 4.2	2.4 ± 0.9	13.2 ± 0.2	11.7 ± 4.0	6.5 ± 2.0	10 ± 6	61 ± 30	24 ± 14
Septic	6.96 ± 0.12*	8.5 ± 4.1*	12.2 ± 4.3	5.0 ± 1.2*	15.1 ± 1.6*	14.9 ± 2.4*	34 ± 28*	30±15*	266 ± 95*	77 ± 28*

888 induction of sepsis with comparison against sham controls.

889 (mean ± SD *p<0.05)

890 Hb Hemoglobin, AST Aspartate transaminase, ALT Alanine aminotransferase, IU international unit.







Figure 4



Fig 5



Cycle number





